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A Two-Ward Acute Care Hospital Outbreak of SARS-CoV-2 Delta Variant Including a Point-Source Outbreak Associated with the Use of a Mobile Vital Signs Cart and Sub-Optimal Doffing of Personal Protective Equipment

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PII: S0195-6701(22)00314-0

DOI: https://doi.org/10.1016/j.jhin.2022.09.019

Reference: YJHIN 6763

To appear in: Journal of Hospital Infection

Received Date: 15 July 2022

Revised Date: 16 September 2022 Accepted Date: 27 September 2022

Please cite this article as: O'Grady HM, Harrison R, Snedeker K, Trufen L, Yue P, Ward L, Fifen A, Jamieson P, Weiss A, Coulthard J, Lynch T, Croxen MA, Li V, Pabbaraju K, Wong A, Zhou HY, Dingle TC, Hellmer K, Berenger BM, Fonseca K, Lin Y-C, Evans D, Conly JM, A Two-Ward Acute Care Hospital Outbreak of SARS-CoV-2 Delta Variant Including a Point-Source Outbreak Associated with the Use of a Mobile Vital Signs Cart and Sub-Optimal Doffing of Personal Protective Equipment, *Journal of Hospital Infection*, https://doi.org/10.1016/j.jhin.2022.09.019.

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1 Title: A Two-Ward Acute Care Hospital Outbreak of SARS-CoV-2 Delta Variant Including a Point-2 Source Outbreak Associated with the Use of a Mobile Vital Signs Cart and Sub-Optimal Doffing of Personal Protective Equipment 3 4 **Authors**: Heidi M. O'Grady<sup>1</sup>, Robyn Harrison<sup>2,3</sup>, Kate Snedeker<sup>4</sup>, Lisa Trufen<sup>3</sup>, Ping Yue<sup>1</sup>, Linda Ward<sup>1</sup>, 5 Abraham Fifen<sup>1</sup>, Peter Jamieson<sup>5,6</sup>, Amanda Weiss<sup>6</sup>, Jennifer Coulthard<sup>6</sup>, Tarah Lynch<sup>7,8,9</sup>, Matthew A. 6 Croxen<sup>10,11,12</sup>, Vincent Li<sup>10</sup>, Kanti Pabbaraju<sup>9</sup>, Anita Wong<sup>9</sup>, Hong Yuan Zhou<sup>9,13</sup>, Tanis C. Dingle<sup>7,9</sup> Kim 7 Hellmer<sup>6</sup>, Byron M Berenger<sup>7,9</sup>, Kevin Fonseca<sup>9,13</sup>, Yi-Chan Lin<sup>12,14</sup>, David Evans<sup>12,14</sup>, John M. 8 Conly<sup>1,7,13,15,16,17</sup> 9 10 **Affiliations:** 11 1. Infection Prevention and Control, Alberta Health Services, Calgary, Alberta, Canada 12 2. Department of Medicine, University of Alberta, Edmonton, Alberta, Canada 13 Workplace Health and Safety, Alberta Health Services, Edmonton, Alberta, Canada 14 3. Provincial Population and Public Health, Alberta Health Services, Calgary, Alberta, Canada 15 4. 5. Department of Family Medicine, University of Calgary and Alberta Health Services, Calgary, 16 17 Alberta, Canada 6. Site Administration, Foothills Medical Centre, Alberta Health Services, Calgary, Alberta, Canada 18 7. Department of Pathology & Laboratory Medicine, University of Calgary and Alberta Health 19 Services, Calgary, Alberta, Canada 20 21 8. Genomics and Bioinformatics, Alberta Public Health Laboratory, Alberta Precision Laboratories, 22 Calgary, Alberta, Canada 9. Alberta Public Health Laboratory, Alberta Precision Laboratories, Calgary, Alberta, Canada 23 10. Alberta Public Heath Laboratory, Alberta Precision Laboratories, Edmonton, Alberta, Canada 24 25 11. Department of Laboratory Medicine, University of Alberta, Edmonton, Alberta, Canada Li Ka Shing Institute of Virology, University of Alberta, Edmonton Alberta, Canada 26 12.

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12		
13	Runni	ng Title: SARS-CoV-2 two-ward outbreak
14	Keywo	ords: SARS-CoV-2; point source; COVID-19; fomite; transmission; outbreak; Delta, personal
15	protect	ive equipment; vital signs cart; whole genome sequencing
16	This w	ork was presented as a late breaker in abstract form (#4654/L0510) at the 32nd ECCMID meeting
17	April 2	23-29 2022, Lisbon, Portugal
18		
19	Word	count: abstract: 250; main text: 3530
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1	Summary
2	<b>Background</b> : We conducted a detailed epidemiological investigation of a linked 2-ward COVID-19 Delta
3	variant outbreak to elucidate its source, risk factors, and control measures.
4	
5	Methods: Investigations included epidemiologic analysis, detailed case review serial SARS-CoV-2 RT-
6	PCR testing of patients and healthcare workers (HCWs), viral culture, environmental swabbing, HCW-
7	unaware personal protective equipment (PPE) audits, ventilation assessments, and the use of whole
8	genome sequencing (WGS).
9	
10	Results: This linked 2-ward outbreak resulted in 17 patient and 12 HCW cases, despite an 83%
11	vaccination rate. In this setting suboptimal adherence and compliance to PPE protocols, suboptimal hand
12	hygiene, multi-bedded rooms, and a contaminated vital signs cart (VSC) with potential fomite or spread
13	via the hands of HCWs were identified as significant risk factors for nosocomial COVID-19 infection.
14	Sudden onset of symptoms, within 72h, was observed in 79% of all Ward 2 patients and 93% of all cases
15	(patients and HCWs) on Ward 2 occurred within one incubation period, consistent with a point source
16	outbreak. RT-PCR assays showed low Cycle threshold (Ct) values, indicating high viral load from
17	environmental swabs including the VSC. WGS results with $\leq$ 3 SNP differences between specimens were
18	observed.
19	
20	<b>Conclusions</b> : Outbreaks on both wards settled rapidly, within 3 weeks, using a 'back-to-basics' approach
21	without extraordinary measures or changes to standard PPE requirements. Strict adherence to
22	recommended PPE, hand hygiene, education, assistance from infected cases (interviews and testing), and
23	additional measures such as limiting movement of patients and staff temporarily were all deemed to have
24	contributed to prompt resolution of the outbreak.
25	

### 1 Introduction

- 2 The arrival of the Delta variant of SARS-CoV-2 was associated with increased transmissibility, reported
- to be as high as 97% compared to the ancestral lineages, and causing illness of greater severity [1–3].
- 4 Reports of nosocomial outbreaks of Delta variant COVID-19 in acute care hospitals have been described
- 5 but control measures varied widely [4–7].
- 6 We describe a two-ward acute care hospital outbreak of Delta variant where the probable modes of
- 7 transmission were elucidated using epidemiologic, laboratory and virologic investigations, environmental
- 8 investigations and whole genome sequencing (WGS).

#### 1 Methods

- 2 The outbreak investigation and reporting followed the ORION guidelines [8], with the exception of the
- 3 exact start and finish dates, to protect patient and HCW confidentiality.

4

5

- Outbreak Setting and Epidemiologic Investigations
- 6 *Ward 1*
- 7 Entry of the Delta Variant occurred in an 1100 bed tertiary acute care facility in the spring of 2021 via a
- 8 designated support person (DSP) with community COVID-19 acquisition and secondary transmission via
- 9 close contact, to the index patient (Figure 1A). The entry of the virus to Ward 1 and the subsequent
- 10 outbreak occurred during a time of relatively low community transmission in our jurisdiction (active cases
- 11 153 /100,000; https://covid-tracker.chi-csm.ca/) during an interwave period and just before the Delta
- wave emergence in our jurisdiction. Further to the first case, two additional hospital-acquired (HA)
- patient cases (Supplemental File S1: Definitions for case definitions; HA cases require the absence of any
- epidemiologic evidence to support a community or household exposure) in separate rooms on the same
- ward were identified following respiratory symptom onset 4 and 6 days later, respectively (Figure 1A,
- 16 1B). Three HCWs who became infected, all of whom developed respiratory symptoms, had worked
- 17 directly with at least one of the affected patients. It was noted that all three infected patients had high
- dependency needs. Nasopharyngeal (NP) swabs were obtained from all patients on the ward and were
- requested from all HCWs who attended Ward 1 (patient census = 45; Ward staff = 140; physicians = 25;
- 20 medical ward). Patients were tested serially (q3 days), with SARS-CoV-2 RT-PCR [9] until closure of
- 21 the outbreak.

- 23 *Ward 2*
- An initial patient case was identified on Ward 2 (census = 41; medical ward), in a separate building, after
- onset of fever and cough 10 days following the last identified case on Ward 1. Symptom onset occurred in
- 26 eight patients within 24 hours and within 72 hours, eleven patients had symptoms (Figure 1B). NP swabs

1	were obtained from all patients and were requested from all HCWs who attended Ward 2 (patient census
2	= 41; Ward staff = 109; physicians = 28; medical ward). The patient NP swabs were collected serially
3	(q2-3 days) and tested by RT-PCR [9] until closure of the outbreak .
4	
5	For both wards HCWs were offered SARS-CoV-2 NP testing and it was strongly encouraged. Neither
6	vaccination nor testing were mandatory. Investigations by the Outbreak Management Team were
7	conducted using classic epidemiologic tools, including interviews of infected individuals, review of
8	medical records, review of patient placement, patient and HCW movement between wards and HCW
9	contact tracing using forward and backward contact tracing [10] and a linkage interview, with the aim of
10	determining the transmission routes to patients (Supplemental File S1) and HCWs and to facilitate
11	outbreak control measures.
12	
13	Environmental Investigations
14	Environmental swabs were collected from multiple sites in patient rooms and from shared medical
15	equipment, including a mobile vital signs cart (VSC). One set of swabs was collected the day the outbreak
16	was declared on Ward 2 and a second set the following day. As a negative control, swabs were taken from
17	the main shower room, which was in a section of the unit separate from where the initial positive patients
18	were identified. Environmental specimen PCR assays were performed according to methods previously
19	described [9,11-13]. Typing of strains were confirmed using Variant testing PCR, as previously described
20	[3].
21	
22	Laboratory and Virologic Investigations
23	Clinical specimens, primarily NP swabs, but in some cases throat swabs, were collected and tested for
24	SARS-CoV-2 using RT-PCR. Serial NP swab COVID-19 testing was done with 13 patients (Ward 2) by
25	experienced HCWs to observe viral kinetics over time in patients using a validated RT-PCR assay, based

- on an E gene target with internal controls [9]. To confirm the presence of infectious virus, clinical
- 2 samples from consented patients were cultured using Vero cells as described by Lin et al. [12].
- 3 In addition, HCWs were offered voluntary prevalence testing on site to increase uptake and convenience
- 4 of testing. Testing was highly recommended every 5 days for "on unit" and "off unit" staff who attended
- 5 the ward in the 14 days prior to and since the start of the outbreak. Based upon the numbers of tests
- 6 completed, it was learned that HCW testing was incomplete and that the number of HCWs tested
- 7 dramatically reduced after the initial round of prevalence testing.

### 1 HCW Symptom Screening

- 2 A daily "Fit-for-Work" symptom review was required for all HCWs. A HCW was deemed fit for work if
- 3 they were asymptomatic, as well as having no discrete COVID-19 exposure risk. HCWs were required to
- 4 show their fit for work status on arrival at work and mid-way through shift. If a HCW developed COVID-
- 5 19 symptoms while at work they were directed to leave, isolate and test for COVID-19.

6

7

### PPE Compliance Based on Covert Observations

- 8 Anonymous "HCW unaware" audits of adherence to PPE and hand hygiene had been ongoing in the
- 9 hospital for several months and stored on an accessible database. Multiple audits were completed just
- prior to the outbreak on the affected ward and were available for comparison to the designated COVID-19
- Wards across the health region. These covert audits were performed by clinical nurse educators from
- other hospital wards and hence were unknown to the HCWs on the audited ward. A standardized audit
- tool was utilized and loaded into a RedCap database. The audit tool addressed the individual components
- for each step of PPE use including the PPE environment (i.e. availability of PPE materials), donning of all
- components of the PPE and the doffing of all PPE components, including the gloves, gowns, eye
- 16 protection and masks plus the required hand hygiene for each doffing step. Separate from the audits, any
- infected HCWs were asked via questionnaire about the individual elements of PPE and to self-describe
- their doffing procedures and hand hygiene technique as part of the contact tracing interviews.

19

20

### Whole Genome Sequencing

- 21 WGS of patient and HCW samples was done retrospectively. The full genome of SARS-CoV-2 strains
- obtained from the NP swabs of HCWs and patients between the 2 wards was amplified by multiplex PCR
- according to the LoCost ARTIC protocol [14] (https://www.protocols.io/view/ncov-2019-sequencing-
- protocol-v3-locost-bh42j8ye) using the Freed oligos [15] as 1,200-bp amplicons with sequencing done
- using Oxford Nanopore or Illumina sequencing technology. Consensus sequences were aligned with

1	mafft [16] and visualized using snipit (https://github.com/aineniamh/snipit). The full protocol was
2	completed as outlined previously [11].
3	
4	Ventilation Assessments
5	Ventilation was measured in air exchanges per hour (AEH), by the Facilities, Maintenance, and
6	Engineering department of the hospital, at the end of the outbreak. Values were interpreted relative to the
7	Canadian Safety Association standards for Heating, Ventilation, and Air Conditioning (HVAC) Systems
8	in Health Care Facilities (CSA-Z317.2-15).
9	
10	Outbreak Control Measures
11	Multiple measures were employed concomitantly for control of the outbreak including isolation of
12	patients, frequent clinical monitoring using a comprehensive COVID-19 symptom/sign monitoring tool
13	[17], serial testing for SARS-CoV-2, selected transfer of infected patients (to designated COVID-19
14	wards), implementation of enhanced environmental cleaning and review of all cleaning and disinfection
15	practices for the patient environment and shared medical equipment, staff and visitor restrictions, review
16	of ventilation parameters, and coached adherence to contact and droplet PPE by all HCWs (medical
17	masks, goggles/face shields, gowns, gloves). All break and lunch rooms were reviewed for compliance
18	with the restrictions, occupancy limits and segregated physical distancing limits implemented by Site
19	Administration at the beginning of the pandemic.
20	
21	Statistical analysis
22	Statistical analysis was performed using chi square or student's t for categorical and continuous variables
23	as appropriate; a p value <0.05 was considered significant.
24	

### 1 Results

2	Descriptive Epidemiology Wards 1 and 2
3	Following initial entry of the Delta Variant via a designated support person (DSP) as described above,
4	epidemiologic investigations identified transmission to patients 2 and 3 occurred when patient 1, with
5	known difficulty masking from underlying medical conditions, and having core respiratory symptoms,
6	including frequent coughing, was inadvertently seated directly beside patients 2 and 3 (masking status
7	unknown) in a treatment waiting area elsewhere in the facility on day 2 (Figure 1A). The three HCWs
8	who became positive, despite continuous masking (Figure 1A) were considered as patient-to-HCW
9	transmission based on contact tracing, patient assignments and the high dependency needs of the patients.
10	Prospective serial PCR testing (q3 days) of all patients and of HCWs who were tested revealed no other
11	positive cases on Ward 1.
12	
13	Symptom screening and serial testing identified a total of 14 SARS-CoV-2 infected patients on Ward 2
14	(Figure 1B. Figure 1C; designated PTs 4-17). One outlier patient case and one HCW case were identified
15	>7 days from outbreak declaration. All Ward 2 patients (n=14) had core respiratory symptoms +/- low
16	grade fever based on the symptom screening tool employed, regardless of their vaccination status. The
17	frequency of vaccinated and unvaccinated patients and HCWs is presented in Table 1. For patients and
18	HCWs, vaccination rates were 77% and 92%, respectively for an overall rate of 83%. The 14 SARS-CoV-
19	2 infected patients had mobility scores indicating they were at higher risk of falls and had higher
20	dependency needs.
21	
22	Of the total 17 patient cases, were considered hospital-acquired. The median number of days from
23	admission to symptom onset was 14 days; only 4 patient cases had an interval < 10 days, one of whom
24	was the index patient (PT1, Fig 1C) with a known DSP-patient transmission event and the other 3 patient
25	cases (PT 7, 13, 14, Fig 1C) had a least one negative SARS-CoV-2 NP test on admission and /or in the 3-
26	5 days prior to symptom onset.

1	There were 140 and 109 primary HCW staff (including registered nurses, licensed practical nurses,
2	healthcare aides, unit clerks and allied health staff including physiotherapists/occupational therapists) who
3	were assigned to Wards 1 and Ward 2, respectively, and there were 25 and 28 physicians who worked at
4	various times on Wards 1 and 2, respectively. There was high uptake of SARS-CoV-2 NP prevalence
5	testing during this outbreak with 91.4% of the HCW staff and physicians undertaking NP prevalence
6	testing at least once between the 2 units, based on Public Health records of individuals tested. A total of
7	551 NP RT-PCR tests were completed between the two wards over the course of the outbreak. Some
8	HCWs had multiple tests done. Of the 12 PCR positive HCWs detected between the 2 wards, some of
9	whom were identified as exposed contacts of cases, none initially reported "exposure" or PPE breaches
10	on initial contact tracing interview, but post-diagnosis questionnaires and interviews conducted on all 12
11	cases with a detailed selection of additional interview questions, including backwards contact tracing
12	found 6/12 (50%) reported breaches related to either PPE (eye protection or masking), hand hygiene, or
13	environmental exposure or difficulty accessing wipes for shared computer workstations. All HCWs were
14	asked about COVID-19 within their households and none had a household contact prior to their own
15	onset of COVID-19 symptoms. Three of the HCWs reported suspected onward transmission of infections
16	to their household contacts following their occupationally acquired COVID-19. Although initially only 1
17	HCW was found to have worked between the two wards, more comprehensive and collaborative
18	investigations between ward managers, WHS, IPC and hospital administration identified at least 6 HCWs
19	who had worked between both wards during the 14 days prior to the Ward 2 outbreak, not all of whom
20	were confirmed to have been tested for SARS-CoV-2. It was also learned that many HCWs frequently
21	and simultaneously aided with at least one of the patients reported to have had frequent forceful coughing
22	and who had very high care and dependency needs, particularly for positioning and toileting.
23	
24	Multi-bedded rooms with shared bathrooms
25	All but one Ward 2 case occurred in multi-bedded rooms (2, 3 or 4 beds with a shared bathroom) (Figure
26	2). A significant association with infection (p=0.04) was seen in patients who were in multi-bedded rooms

1	with a shared bathroom (9/12) versus those in a private room. No aerosol generating medical procedures
2	(AGMPs) [18] were performed in multi-bedded rooms.
3	
4	Environmental Investigations
5	SARS-CoV-2 PCR positivity was found on 10/10 swabs from high-touch surfaces on the
6	VSC (Table 2) with Ct values (N gene) between 17.14-19.70, which is in the range highly predictive of
7	infectious virus [12]. All samples, including those from the VSC, were confirmed to be Delta strain by
8	RT-PCR [11]. COVID-19 positive cases were significantly associated with rooms (10/13 vs 0/5; p=0.007)
9	on Ward 2 where the VSC was used by HCWs as opposed to rooms with wall mounted vital signs
10	equipment. No record or log of cleaning the mobile VSC was found.
11	
12	Laboratory and Virologic Investigations
13	Viral kinetics studies were done on 13 patients up to 30 days post symptom onset (Figure 3), (n=6 fully
14	immunized; n=7 not fully immunized, File S1 for definitions). On illness day six, 11/11 patients (3
15	unvaccinated) had Ct values $\leq$ 25 (E-gene) and 6/6 patients (1 unvaccinated) continued to have Ct values
16	$\leq$ 25 up to day 10. Viral cultures [12] for two consented patients (both fully immunized) revealed 1.60 x
17	10 <sup>3</sup> plaque forming wards (pfu)/ml (Ct N gene 18.09) at Day 2 and 1.58 x 10 <sup>3</sup> pfu/ml (Ct N gene 17.25) at
18	Day 6 of infection (Figure 3).
19	
20	

1	Monitoring of PPE Compliance Based on Covert Observations
2	We found a highly significant association with sub-optimal adherence to doffing, hand hygiene and order
3	of doffing on Ward 2 (55% and 64% of the time, respectively) compared to 4 adult designated COVID-19
4	wards (78% and 89%, respectively; p=0.007; n=54 HCW-unaware audits) in the 3 month period prior to
5	the outbreak. Regarding specific components of the PPE doffing, which may place HCWs at risk of
6	mucous membrane inoculation of SARS-CoV-2, in the 3 months prior to the outbreak, lack of hand
7	hygiene after glove and gown removal was identified in 27.2% and 36.3% of audits, and improper doffing
8	of eye protection and masks in 27.2 % and 36.3% of audits, respectively. In addition, it is noteworthy that
9	in the 4 weeks following the declaration of the outbreak, the lack of hand hygiene after glove and gown
10	removal was markedly reduced, being found in only 2.7% and 5.4 % of audits, respectively while
11	improper doffing of eye protection and masks was noted in only in 13.5% and 16.2% indicating a marked
12	improvement in overall adherence. There was no difference in the type of PPE used (medical mask,
13	googles or face shields, gowns and gloves) between COVID-19 wards and general wards.
14	
15	Whole Genome Sequencing
16	WGS revealed $\leq$ 3 single nucleotide polymorphism (SNP) differences in the strains found in all HCWs
17	and patients from Wards 1 and 2, including individuals who had worked between both wards. The
18	outbreak strain was markedly different (mean 17.5 SNPs) than community Delta strains, demonstrating a
19	unique strain was responsible for both outbreaks (Figure 4).
20	
21	Ventilation Parameters
22	The testing of air exchanges per hour (AEH) immediately following the outbreak for the rooms on Ward
23	2 revealed that they exceeded Canadian safety standards, with variance between 6.9-9.5 AEH, with 100%
24	outside air. The bathrooms were negative pressure with respect to the patient rooms; the patient rooms

were positive with respect to the hallway.

#### 1 Infection Prevention and Control Measures and Outbreak Closure

2 Measures initiated concomitantly with declaration of the outbreak included serial SARS-CoV-2 PCR prevalence testing of all patients and >90% of the HCWs enabling rapid detection of cases, moving some 3 positive patients to the designated COVID-19 Ward to allow all remaining patients to be in single-bedded 4 5 rooms on Ward 2, a temporary suspension of all new admissions, restricting HCW movement on multiple 6 wards or sites, purchasing of additional VSCs, enhanced environmental cleaning of surfaces and mobile 7 medical equipment and strict adherence/compliance to our standard PPE measures. Enhanced cleaning by 8 Environmental Services (twice as opposed to once daily) of the patient environment with a hospital approved cleaning product, in addition to standard cleaning at the time of discharge or transfer of a patient 9 to another ward or whenever any visible soling was present. Cleaning and disinfection of any patient 10 related mobile or shared medical equipment was the responsibility of the Ward staff rather than 11 Environmental Services and was to be done after use on any patient and at discharge or transfer. A review 12 of the medical equipment cleaning and disinfection revealed verbal reports of staff not following the 13 cleaning and disinfection process. No logs of the cleaning and disinfection of the shared medical 14 equipment were kept. Reviews of all breakrooms, lunch rooms and the cafeteria and WHS investigations 15 with the HCWs identified no breaches in compliance but nonetheless these measures were reinforced. 16 17 Outbreaks on both wards settled rapidly, within 3 weeks, without extraordinary measures or changes to PPE other than improved adherence to all measures for PPE donning, doffing [19] and hand hygiene. 18

#### 1 Discussion

2 Patient-to-patient and patient-to-HCW transmission on Ward 1 followed by transmission from Ward 1 to Ward 2 via HCWs who worked between both wards was considered most likely. The two wards were in 3 separate buildings. For Ward 2, with 84% of symptomatic patients presenting within a 72 hour period, as 4 5 well as a significant association with the use of a mobile VSC and the presence of environmental SARS-6 CoV-2 RNA contamination with very low Ct values, a point-source transmission from the VSC was considered a plausible explanation based on the epidemiologic and environmental findings. There is 7 8 evidence that SARS-CoV-2 from clinical sources (cough droplets, saliva, nasal secretions) can be readily 9 cultured from human hands and may persist on common medical surfaces for many hours, including stethoscope diaphragms, pulse oximeters and plastic surfaces, all of which are basic components of the 10 VSCs [12,13]. The lack of systematic cleaning and disinfection of the VSC and its components would 11 have permitted continued growth of the virus on its high touch surfaces. The suboptimal hand hygiene 12 and improper doffing of eyewear and masks in the immediate pre-outbreak period which we documented 13 through the covert audits on the Ward would have provided opportunities for contact transmission of the 14 virus to the mucous membranes of the HCWs. It is also possible that additional HCWs were infected and 15 at work communicable to others unbeknownst to the outbreak response teams given that testing was not 16 17 mandatory. Transmission may have occurred in association with close contact between patients who were in multi-bedded rooms with a single shared bathroom but it does not explain how 8 patients became 18 symptomatic and were laboratory confirmed COVID-19 on the same day but were in different rooms and 19 no one HCW had assignments to all these patients. The lack of finding of any transmission events 20 21 associated with patients in single rooms, with the one exception where the mobile VSC was used, and the 22 ventilation parameters exceeding CSA standards does not support long-range airborne transmission across either of the wards. It is possible transmission occurred via infected HCWs travelling with the cart during 23 their interactions with patients but this possibility is not compatible with the timing. 24

1	The prolonged presence of low Ct values in patients (Figure 3), that correlate with cultivatable virus [12],
2	combined with a stronger binding avidity to ACE-2 bearing receptor cells [20] provide a potential
3	explanation as to why the Delta variant strain was so transmissible. This outbreak occurred despite
4	mRNA vaccination in a large number of HCWs and patients (Table 1), which corroborates other study
5	findings [4,5].
6	
7	This outbreak also occurred in the setting of continuous masking by HCWs but thorough investigations
8	led to discovery of well recognized risk factors for transmission: suboptimal adherence and compliance to
9	PPE protocols, suboptimal hand hygiene, risks associated with multi-bedded rooms, and a contaminated
10	VSC with potential fomite or indirect spread via the attendant HCWs. An underlying impression of a
11	"veil of protection" feeling among vaccinated HCWs, illustrative of the bias of purity risk ritual may have
12	contributed [21].
13	
14	Despite the rigour of investigation and the strength of our findings, there are limitations to this study
14 15	Despite the rigour of investigation and the strength of our findings, there are limitations to this study including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to
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15 16	including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to capture every patient-HCW interaction and care being provided without documented patient assignment.
15 16 17	including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to capture every patient-HCW interaction and care being provided without documented patient assignment.  Nonetheless, the findings underscore the importance of "shoe leather" epidemiology supplemented with
15 16 17 18	including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to capture every patient-HCW interaction and care being provided without documented patient assignment.  Nonetheless, the findings underscore the importance of "shoe leather" epidemiology supplemented with molecular epidemiology and adherence to fundamental IPC principles and rigourous investigations to
15 16 17 18 19	including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to capture every patient-HCW interaction and care being provided without documented patient assignment.  Nonetheless, the findings underscore the importance of "shoe leather" epidemiology supplemented with molecular epidemiology and adherence to fundamental IPC principles and rigourous investigations to
15 16 17 18 19 20	including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to capture every patient-HCW interaction and care being provided without documented patient assignment. Nonetheless, the findings underscore the importance of "shoe leather" epidemiology supplemented with molecular epidemiology and adherence to fundamental IPC principles and rigourous investigations to ascertain modes of transmission during a COVID -19 outbreak.
15 16 17 18 19 20 21	including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to capture every patient-HCW interaction and care being provided without documented patient assignment.  Nonetheless, the findings underscore the importance of "shoe leather" epidemiology supplemented with molecular epidemiology and adherence to fundamental IPC principles and rigourous investigations to ascertain modes of transmission during a COVID -19 outbreak.  Unlike other outbreak reports where N95 respirators were employed as a part of the response strategy [6]
15 16 17 18 19 20 21 22	including imprecision due to not testing all HCWs, recall bias, non-responder bias, and inability to capture every patient-HCW interaction and care being provided without documented patient assignment. Nonetheless, the findings underscore the importance of "shoe leather" epidemiology supplemented with molecular epidemiology and adherence to fundamental IPC principles and rigourous investigations to ascertain modes of transmission during a COVID -19 outbreak.  Unlike other outbreak reports where N95 respirators were employed as a part of the response strategy [6] and considered a necessary component, this outbreak settled rapidly without any change to PPE

- 1 France [24] where there was no difference in an multivariate analysis in HCWs who acquired COVID-19
- whether they were wearing a surgical mask or a N95 respirator.

1	Transparency Declaration
2	Conflicts of interest
3	All authors have filled out an ICMJE Disclosure form. None of the authors have relevant direct conflicts
4	of interest to declare related to the submitted manuscript. All authors have read the submitted version of
5	the manuscript.
6	
7	Funding
8	This investigation associated with this outbreak were unfunded. The culturing of SARS-CoV-2 was
9	funded in part by the University of Calgary Infectious Diseases Research and Innovation Fund for
10	COVID-19.
11	
12	Ethics approval
13	This investigation was conducted as part of a formal Epidemiologic Investigation under Public Health in
14	the Province of Alberta. It also was assessed using the ARECCI (A Project Ethics Community Consensus
15	Initiative) tool which scored this project as fitting with a quality improvement project for which ethics
16	approval is not required. The consenting and culturing of virus from the affected patients was approved
17	by the University of Calgary Conjoint Research Ethics Board (REB20-0444).
18	
19	Acknowledgements
20	We thank Paul Dieu, Christina Ferrato, Kara Gill, Raymond Ma, and Johanna Thayer from Genomics and
21	Bioinformatics, Alberta Public Health Laboratory-South, Calgary, AB, Canada. We acknowledge the
22	Public Health Agency and the Government of Canada for their generous assistance to provide personnel,
23	reagents and consumables to support genome sequencing of SARS-CoV-2. We also acknowledge support
24	from the Canadian COVID-19 Genomics Network (CanCOGeN). We also wish to thank the Workplace
25	Health and Safety occupational health nurses, and Infection Prevention and Control and Public Health

team members who facilitated rapid contact tracing and epidemiological investigations related to the

1	outbreak. We would like to thank Drs Sunita Chacko and Alison Lewis for their assistance and astute
2	observations during the outbreak period and for their physician leadership during the course of this
3	investigation. The hospital site leadership team and AHS communication team members were also
4	instrumental in facilitating a highly coordinated and effective outbreak response. Finally, the authors
5	would like to acknowledge the outstanding co-operation of the healthcare workers who had experienced
6	infection themselves, and their affected patients and families, and all of the healthcare workers (both
7	clinical and non-clinical staff members and physicians), who with support from the site leadership and
8	hospital administrators helped to maintain excellent patient care delivery during the outbreak period.
9	
10	Author Contributions:
11	HMO: conceptualization, data curation, formal analysis, investigation, methodology, writing, original
12	draft and review and editing
13	RH: conceptualization, data curation, investigation, methodology, writing, original draft and review and
14	editing
15	KS, LT, PY, LW, AF: data curation, investigation, validation, writing – review and editing
16	PJ, AW, JC: data curation, validation, resources, writing – review and editing
17	TL, MC, VL, KP, AW, HYZ, TD, KH, BMB, KF: data curation, validation, writing – review and editing
18	YCL, DE: Data curation, formal analysis, resources, methodology, writing – review and editing
19	JMC: conceptualization, data curation, formal analysis, investigation, methodology, resources, writing,
20	funding, original draft and review and editing.
21	
22	
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3	
4	Figure 1. Delta SARS-CoV-2 Introduction and Transmission between 2 Wards
5	
6	(A) Origin of SARS-CoV-2 Delta variant on Ward 1.
7	CA indicates community acquired; HA indicates hospital acquired; SOD is the date of core respiratory,
8	core GI or expanded COVID symptom onset or the date the case tested positive for COVID-19,
9	whichever is sooner; PT indicates patient; HCW indicates Healthcare Worker; DSP indicates designated
10	support person. Solid lines indicates strong epidemiological link based on work assignment, detailed
11	interview and/or any reported PPE breaches. Direction of arrow indicates direction of transmission.
12	
13	(B) Epidemic Curve of Delta SARS-CoV-2 Outbreak on Two Medical Wards
14	Epidemic curve showing cases (patient and HCW) by symptom onset date (SOD). SOD is the date of core
15	respiratory, core GI or expanded COVID symptom onset or the date the case tested positive for COVID-
16	19, whichever is sooner. OB indicates outbreak. Dates of outbreaks on Ward 1 and 2 are indicated by a
17	green and orange line, respectively. Outbreak on Ward 2 closed on day 59 (indicated by forward facing
18	arrow). Black arrow indicates HCW movement between Wards 1 and 2. Open black arrows indicate key
19	epidemiological events.
20	
21	(C) Introduction and Transmission of Delta SARS-CoV-2 on Ward 2
22	SOD is the date of core respiratory, core GI or expanded COVID symptom onset or the date the case
23	tested positive for COVID-19, whichever is sooner; PT indicates patient; HCW indicates Healthcare
24	Worker. Solid lines denote transmission event with strong epidemiological link based upon work
25	assignment, detailed interview and/or any reported PPE or hand hygiene breaches; dashed black lines
26	denotes possible transmission based upon care dates and communicable phase of illness; blue lines

1	indicate patient to patient transmission event or patient who were roommates; black lines indicate HCW					
2	to patient transmission event; orange lines indicate patient to HCW transmission event; black frames					
3	around HCW cases denote shift overlap with a co-worker. Absence of any line indicates source of					
4	infection is unknown/no specific interaction between patients and/or HCWs identified. In all cases the					
5	risk period refers the communicable phase of illness (defined as 48 hours before symptom onset until to					
6	14 days after symptom onset).					
7						
8	Figure 2. Room assignment of positive cases					
9	Room number is indicated for each room (1-14) and shower room is indicated by ‡. Symptom onset date					
10	(SOD) is indicated by day in blue text and the number of patients that were positive in each room is					
11	indicated in italics (n=x). SOD is the date of core respiratory, core GI or expanded COVID symptom					
12	onset or the date the case tested positive for COVID-19, whichever is sooner. Solid red boxes indicate					
13	shared rooms where positive cases were found; dotted red box indicate private room where positive case					
14	was found; solid green boxes indicate shared rooms where no positive cases were found; dotted green box					
15	indicates private rooms where no positive cases were found.					
16						
17	Figure 3. Transmission of Delta SARS-CoV-2 cases on Ward 2					
18	Serial Ct values of patients over time.					
19	PFU indicates plaque forming units (per ml); Ct indicates cycle threshold value for the Envelope (E)					
20	gene; PT indicates patients (for Ward 2 patients numbering starts at PT 4, as per numbering in Figure 1C).					
21	Data represent 13 of 14 patients; PT 17 was not tested for serial CT. Median, minimum and maximum					
22	number of serial Ct values per patient is 6, 2 and 11, respectively.					
23						
24	Figure 4. Whole Genome Sequencing of HCWs and patient cases from Ward 1 and Ward 2					

- 1 PT indicates patient; HCW indicates Healthcare Worker. NC\_045512.2 is the genome reference sequence
- 2 number for SARS-CoV-2 (Wuhan genome). Please refer to Figure 1, which indicates which HCWs and
- patients are linked to either Ward 1 (Figure 1A) and Ward 2 (Figure 1C).

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Table 1. Number of Delta SARS-CoV-2 cases and vaccination status on Wards 1 and 2

Word	HCW			Patient				
Ward	n=0	n=1	n=2	Total	n=0	n=1	n=2	Total
1	0	1	2	3	0	3	0	3
2	1	3	5	9	4	4	6	14
Number of cases	1	4	7	12	4	7	6	17

*n* refers to the number of vaccine doses

Table 2. Environmental specimens from Ward 2 taken on days 1 and  $2^a$ 

<b>Environmental Specimens</b>	RT-PCR
RT-PCR (Ward 2 Day 1) <sup>b</sup>	CT (E gene)
Patient room 11 (2-bed)	
Call bell	32.28
Commode	34.82
Bed rail	33.92
Table top	31.98
A random blood pressure monitor, thermometer, bladder scanner and O <sub>2</sub> monitor and shower room (n=5)	negative
Room 13 specimens (call bell, bed rail, light switch, table top (n=4))	negative
RT-PCR (Ward 2 Day 2)	CT (N gene)
Room 13 (overcapacity space)	
Vital signs cart 1: Thermometer 1	19.14
Vital signs cart 1: metal temperature probe	18.27
Vitals signs cart 1:pulse oximeter	19.15
Vitals signs cart 1:push handle	17.14
Commode: under seat and armrests	19.26
Patient room 14 (4-bed)	
Vital signs cart 2: Thermometer 2	18.1
Vital signs cart 2: Pulse oximeter 2	18.8
Vital signs cart 2: Push handle and monitor buttons	20.14
Vital signs cart 2: Stethoscope	18.06
Patient room 11 (2-bed)	
Commode: under seat, armrests	16.92
Patient room 9 (2-bed)	
Commode	15.2
Bed rail	19.4
Call bell	20.08
Stethoscope hanging on door	19.7
Patient room 12 (Private)	
Room sink taps and vanity counter	18.48
Call bell (on bedrail only)	18.5
Vitals monitor buttons, thermometer, pulse oximeter	18.53

Computer keyboard and mouse		
Shared Equipment stored across from room 5		
Bladder scanner - push handle, wand, monitor buttons, gel bottle	16.96	
Masimo vital cart - push handles, temp probe, monitor buttons, pulse oximeter	17.44	

<sup>&</sup>lt;sup>a</sup>Day 1 and 2 on Ward 2 corresponds to day 19 and 20 (related to Ward 1), as per Figure 2B

<sup>&</sup>lt;sup>b</sup>All strains were confirmed Delta by RT-PCR [11]











