

# Angiogenesis and Blood-Brain Barrier Permeability in Vascular Remodeling after Stroke

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**Abstract:** Angiogenesis, the growth of new blood vessels, is a natural defense mechanism helping to restore oxygen and nutrient supply to the affected brain tissue following an ischemic stroke. By stimulating vessel growth, angiogenesis may stabilize brain perfusion, thereby promoting neuronal survival, brain plasticity, and neurologic recovery. However, therapeutic angiogenesis after stroke faces challenges: new angiogenesis-induced vessels have a higher than normal permeability, and treatment to promote angiogenesis may exacerbate outcomes in stroke patients. The development of therapies requires elucidation of the precise cellular and molecular basis of the disease. Microenvironment homeostasis of the central nervous system is essential for its normal function and is maintained by the blood-brain barrier (BBB). Tight junction proteins (TJP) form the tight junction (TJ) between vascular endothelial cells (ECs) and play a key role in regulating the BBB permeability. We demonstrated that after stroke, new angiogenesis-induced vessels in peri-infarct areas have abnormally high BBB permeability due to a lack of major TJPs in ECs. Therefore, promoting TJ formation and BBB integrity in the new vessels coupled with speedy angiogenesis will provide a promising and safer treatment strategy for improving recovery from stroke. Pericyte is a central neurovascular unit component in vascular barrierogenesis and are vital to BBB integrity. We found that pericytes also play a key role in stroke-induced angiogenesis and TJ formation in the newly formed vessels. Based on these findings, in this article, we focus on regulation aspects of the BBB functions and describe cellular and molecular special features of TJ formation with an emphasis on role of pericytes in BBB integrity during angiogenesis after stroke.

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## 1. INTRODUCTION

Treatment options for ischemic stroke are very limited since ischemia-induced brain injury is a complex and multi-stage process. New approaches to the treatment and medical care of stroke are urgently needed [1]. Currently, early treatments of patients with acute ischemic stroke aimed to achieve reperfusion include intravenous (IV) and intra-arterial therapies, based on the 2019 AHA/ASA guideline [2]. However, intravenous recombinant tissue plasminogen activator (rtPA) must be given within 4.5 hours after stroke onset, while patients presenting within 0-6 hours of symptom onset (6-24 hours from last known normal for carefully selected patients) have access to mechanical thrombectomy. This limits their use to around 5% of stroke victims; thus, research has focused on novel neurorestorative approaches that could be given beyond the hyperacute phase of stroke, since the time window for therapies aimed at improving

stroke recovery is far longer. In addition, treatments to improve the stroke recovery is extremely important to maximize patient's functional independence and quality of life. Neurorestorative events include neurogenesis and angiogenesis. Emerging evidence shows that angiogenesis is a key feature of ischemic stroke recovery and neuronal post-stroke re-organization [3-6]. Angiogenesis induction and new vessel generation contribute to neurorepair processes, including neurogenesis and synaptogenesis [3, 4, 6-8].

Angiogenesis is the physiological process through which new blood vessels form from pre-existing vessels, which is an important process that occurs during both health and disease. During the development of brain vasculature, blood vessels form *via* two distinct processes: vasculogenesis and angiogenesis [9]. Vasculogenesis involves the proliferation and differentiation of mesoderm-derived angioblasts into endothelial cells (ECs). After the primary vascular plexus is formed by vasculogenesis, a more complex vascular network is established *via* angiogenesis. Like other vascular networks, brain vessels undergo formation, stabilization, branching, pruning and specialization. The vasculatures formed by vasculogenesis and angiogenesis are stabilized *via*

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the recruitment of mural cells and generation of the extracellular matrix. They are then fine-tuned in response to environmental cues from neighboring cells before finally acquire featuring suitable for the brain function [9, 10].

After the stroke, ischemic penumbra tissue releases angiogenic factors that induce proliferation of ECs and migration of endothelial progenitor cells for the formation of new blood vessels. Factors released by ECs *in vitro* trigger neural stem cell proliferation [11]. The leading process of the migrating neural progenitor cells (NPCs) is closely associated with blood vessels, suggesting that this interaction provides directional guidance to the NPCs. These findings suggest that blood vessels play an important role as a scaffold for NPCs migration toward the damaged brain region. In addition, evidence showed that between 30 and 90 days of reperfusion, the density of new vessels in the peri-infarct regions regressed significantly [12]. Therapeutic angiogenesis may remain insufficient if it does not prevent the regression of established vessels in the peri-infarct regions [13]; therefore, angiogenesis could be a key therapeutic target for stroke recovery [3].

Nevertheless, current pharmacological and other approaches to enhance angiogenesis may have dual natures since some growth factors involved in post-ischemic angiogenesis are faced with challenges that may have detrimental adverse effects and worsen stroke outcome [1, 14-16]. Ischemia-induced cerebral angiogenesis can be boosted by a huge variety of agents, stem cells, as well as other manipulations in experimental models of rodent stroke. The literature reviewed by Beck *et al* and Font *et al* provides promising evidence supporting stimulating post-ischemic angiogenesis to improve neurological function [1, 14]. They also presented information demonstrating that almost all treatment strategies are not angiogenesis-specific, rather, strategies influence other post-ischemic events too, such as vascular permeability and inflammation, and enhancing angiogenesis, and may have detrimental effects in the brain by increasing blood-brain barrier (BBB) permeability [5, 17]. Increased angiogenic growth factors like vascular endothelial growth factor (VEGF) and its receptors were seen in human tissue after ischemic stroke [18]. Treatment of stroke with VEGF is a double-edged sword due to VEGF-induced new vessels are immature and leaking [19], which might exacerbate edema, for example, a major and often life-threatening complication of various brain injuries [1, 14-16].

The central nervous system (CNS) requires precise control of their bathing microenvironment for optimal function, and an important element in this control is the BBB [20]. The BBB is formed by the endothelial cells lining the brain microvessels, under the inductive influence of neighboring cell types within the neurovascular unit (NVU), the milieu of neurons, astrocytes (AC), pericytes (PC), microglia and other components of the brain parenchyma that communicate with ECs (Fig. 1 [21]). The endothelium forms the major interface between the blood and the CNS; by a combination of low passive permeability and presence of specific transport systems, enzymes and receptors regulate molecular and cellular traffic across the barrier layer. ECs are interconnected by tight junctions (TJ) that reveal a unique morphology and biochemical composition of brain vasculature. Tight junction

proteins (TJP) are integral transmembrane proteins that form the TJ strands between ECs. TJPs play an important role in establishing fully-functional BBB barrier function that is critical in the regulation of permeability of brain microvessels. This highlights the significance of translational angiogenesis therapy: facilitation of functional BBB and determination of appropriate points of intervention for functional vascular remodeling during stroke recovery.

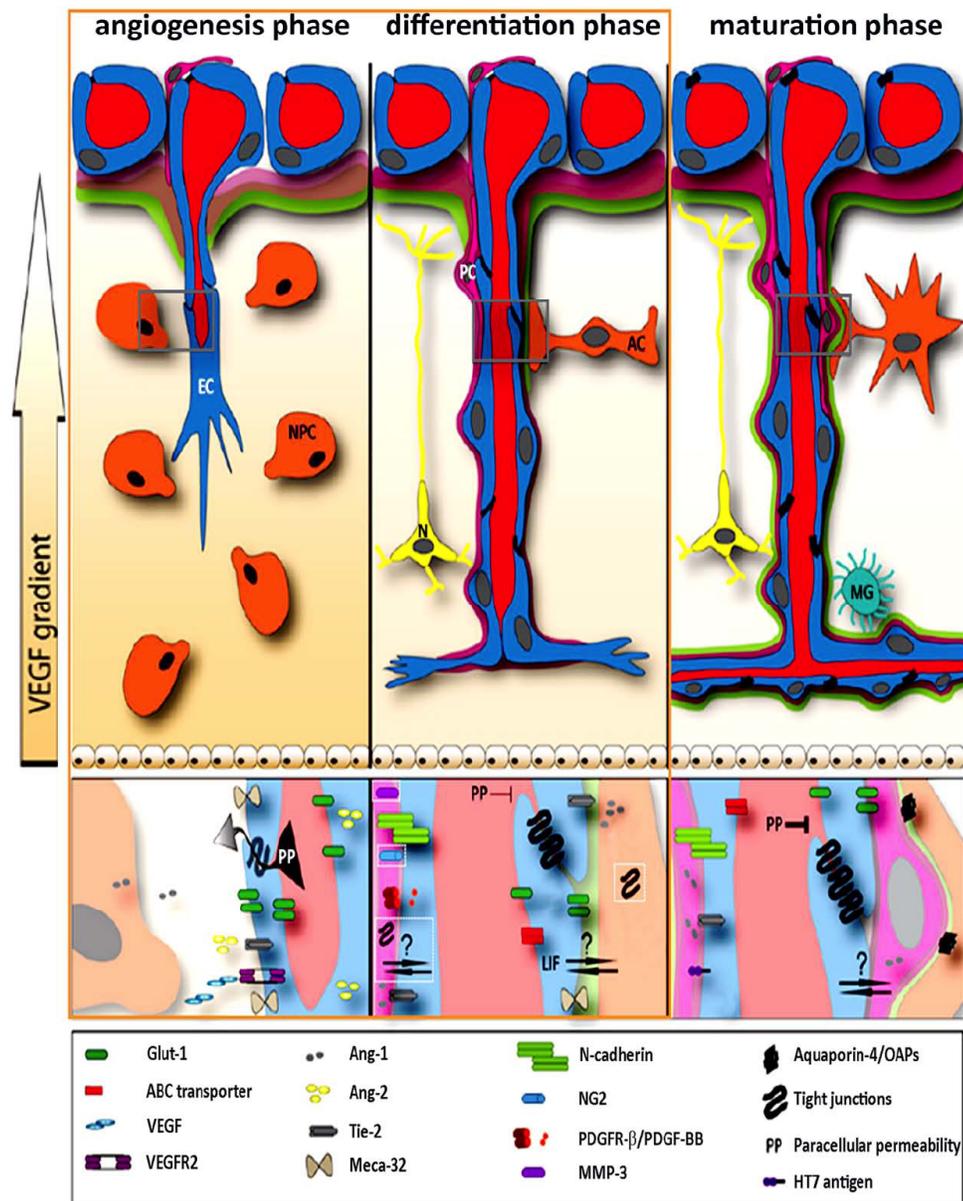
## 2. BBB MATURATION AND MAINTENANCE DURING EMBRYONIC DEVELOPMENT AND ADULTHOOD

### 2.1. Cellular Barrier-Neurovascular Unit (NVU)

The structural and functional integrity of the brain depends on the delicate balance between substrate delivery through blood flow and energy demands imposed by neural activity. BBB plays a major role in controlling the neuronal microenvironment. Cerebral vessels have extremely specialized characteristics that allow them to form the BBB. ECs, inter-endothelial tight junctions, the basal lamina, perivascular ACs and PCs, and microglia are jointly referred to as the BBB or, more recently, as the NVU (Fig. 1) [9, 20, 22-25]. CNS ECs have specific transporter and receptor proteins to control entry and exit of metabolites across cells (transcellular transport) and high electrical resistance TJs to limit movement between adjacent cells (paracellular transport); and low levels of transcytotic vesicles compared to peripheral endothelia and an absence of fenestrae (small pores that allow rapid passage of molecules in peripheral endothelial cells) [26]. Around the cerebral ECs is a basal lamina composed primarily of laminin, fibronectin, and heparan sulfate. The basal lamina provides a structural barrier to the extravasation of cellular blood elements and anchors ECs and ACs [27]. Anatomically, most ACs have stellate shapes containing multiple processes. These ACs expand toward neurons and vessels. The processed ends of the ACs, so-called endfeet, contact the vessel wall and form large compartments that enclose most blood vessels of the brain and play a decisive role in the maintenance of the barrier properties of the brain microcapillary ECs. PCs share a basement membrane with ECs and form direct synaptic-like peg-socket focal contacts with endothelium through N-cadherin and connexins (such as connexin 43, CX43), allowing exchanges of ions, metabolites, second messengers, and ribonucleic acids between the two cell types. PCs play important roles in maintaining BBB integrity, aiding in angiogenesis and microvascular stability [28-33]. Low rate of vesicular transport (transcytosis) has been identified as one of the two unique properties of CNS ECs that maintain the restrictive quality of the BBB [34]. Loss of PCs increased transcytosis and enhanced BBB permeability in mouse model of intracerebral hemorrhage [35] and in pericytic-laminin conditional knockout mouse [36]. Microglia, the brain resident immune cells, are activated in response to injury and orchestrate the brain's inflammatory response [37].

### 2.2 Molecular Barrier-endothelium Tight Junction Proteins

The BBB proper is made up of ECs interconnected by TJs that reveal a unique morphology and biochemical composition of brain vasculature. Cerebrovascular endothelial



**Fig. (1).** Differentiation of the blood-brain barrier (BBB). **Angiogenesis phase:** Vascular sprouts radially invade the embryonic neuroectoderm towards a concentration gradient of VEGF-A, which is produced by neuroectodermal cells located in the ventricular layer. VEGF-A binds to its endothelial receptor, the receptor tyrosine kinase flk-1/KDR/VEGFR2. The EC specific receptor tyrosine kinase Tie-2 and its ligand Ang-1 are involved in angiogenic sprouting early during embryogenesis. The cerebral ECs show Glut-1 evenly distributed and the MECA-32 antigen is highly expressed, contributing to poor barrier characteristics and high paracellular permeability (PP). **Differentiation phase:** The phenotype of cerebral ECs changes such that they downregulate the expression of the MECA-32 antigen. Glut-1 is now enriched on the abluminal surface of the endothelium. The TJs become complex and thus tight for small polar molecules. Phenotypic changes of ECs are accompanied by their close contact with PCs and astroglial cells. Recruitment of PCs along the differentiating BBB vessels is ensured by several mechanisms. PDGF-BB produced by ECs binds to its receptor PDGFR-β on PCs; N-cadherin enriched at sites of PC-EC contact; Ang-1 expressed by PCs binds to the endothelial receptor tyrosine kinase Tie-2. ECs produce leukemia inhibitory factor (LIF), inducing the maturation of ACs via the LIF-Rb. Furthermore, increased oxygen level and EC-derived PDGF-BB lead to an upregulation of SSeCKS in ACs that in turn upregulates Ang-1. **Note:** During vascular remodeling after stroke, the newly formed vessels in peri-infarct regions demonstrate cellular features as *angiogenesis phase* and *differential phase* as shown above. In the differential phase, the only TJP that formed the TJ strands between ECs is claudin-5, while occludin and ZO-1 are expressed by ACs and PCs. The vascular PCs also express NG2, MMP-3, and other angiogenic factors. The molecular mechanisms involved in crosstalk between ECs, ACs and PCs required for TJ formation and maturation in the newly formed vessels remain under studied. **Maturation phase:** Despite the fact that the cerebral ECs form the barrier proper, close contact with PCs, ACs and maybe neuronal cells is required for the maintenance of the BBB. The molecular mechanisms involved in this crosstalk required for BBB maintenance in the mature CNS remain unknown to date. Modified from Stefan Liebner *et al.*, *Int. J. Dev. Biol.* 2011, 55, 467-476. (A higher resolution / colour version of this figure is available in the electronic copy of the article).

TJs join ECs together to form the first barrier that restricts molecules from moving between the blood and the brain [38-40]. The TJPs, critical for the maintenance of the barrier function [41, 42], assemble in the clefts of the cerebral blood vessels to restrict transport across the BBB. Several TJPs have been isolated and cloned. Transmembrane TJPs consist of three integral proteins: claudins, occludin, and junctional adhesion molecules (JAM). Occludin is a ~ 65 kDa phosphoprotein that regulates paracellular permeability [38, 43-46]. Claudins are ~ 22 kDa phosphoproteins that are thought to help maintain high transendothelial electrical resistance. The extracellular loops of occludin, claudins, and JAM originating from neighboring cells form the paracellular barrier of the TJ, which selectively prevents most blood-borne substances from entering the brain. In rodents and adult human brains, claudin-1/3, claudin-5, and occludin have been found to be present in brain endothelial tight junctions forming the BBB. In the brain, claudin-5 is the only EC-specific component of TJ strands [47], appearing to be the most important structural components of BBB TJs [48]. Studies showed controversial roles of claudin-1 in BBB TJ complex organization, helping to form secondary astrocyte/glia barriers to protect the brain from endothelial breakdown [48, 49], or unessential for BBB TJ complex function under physiological conditions [50, 51]. Recent study suggested that claudin-1 results in limited brain endothelial barrier post-stroke recovery, partially due to restricted claudin-5 expression [52]. At the level of the ECs, which are directly in contact with the systemic circulation, the TJPs form a seal that blocks the entry of large proteins and charged molecules [27]. TJs are dynamic structures and TJPs are subject to changes in expression, subcellular location, post-translational modification, and protein-protein interactions under both physiological and pathological conditions [24, 46, 53-60]. Claudins and occludin are close to the blood and junctional adhesion molecules (JAM) and are deeper inside the endothelial cell clefts [38-40, 61, 62]. Zona occludens (ZO)-1 and -3 are cytoplasmic tight junctional accessory proteins, which connect TJs to the actin cytoskeleton [44, 63, 64]. Occludin, claudin-5 and ZO-1, which are the main structural barrier proteins, are considered sensitive indicators of normal and disturbed functional states of the BBB [41]. Disruption of BBB TJ by disease or drugs can lead to impaired BBB function and thus compromise the CNS. Regulation of the three TJPs are essential for the maintenance of the BBB permeability.

### 2.3. Maturation and Regulation of the BBB Following Angiogenesis

Following angiogenesis of the primary vascular network, brain vessels experience a maturation process, known as barrierogenesis, *via* the recruitment of mural cells and the generation of the extracellular matrix, in which the BBB is formed [9, 32, 65, 66]. Several excellent reviews have detailed the cellular and molecular basis of brain angiogenesis and barrierogenesis in BBB differentiation and maturation [9, 14, 21, 26, 28, 67]. Fig. 1 modified from the review by Liebner *et al.*, summarized the three phases of BBB conduction and differentiation during development [21] and stroke-induced angiogenesis [68].

ACs have been well documented to induce barrier properties in brain ECs both *in vitro* and *in vivo* [65, 69-71]. ACs are generally thought to be an essential for both the TJ formation and the maintenance of the TJPs in the paracellular spaces between vascular ECs in CNS [22, 72-74]. The period of AC differentiation coincides with that of BBB formation. Differentiating ACs may extend their processes to the vessel wall, thereby sending signals to acquire BBB properties [9, 21]. It is also clear that if brain ECs are cultured *in vitro*, they lose certain BBB characteristics, such as high TEER, the membrane localization of TJPs, and transporter expression; while most BBB characteristics can be regained *via* coculture with ACs or treatment with AC conditioned medium [9]. Astrocytic laminin polarizes astrocytic endfeet, inhibits PC differentiation, and induces and maintains TJP expression in ECs [75]. However, the role of ACs in BBB development in the immature brain still controversial, for example, the study found that ACs are not present in the developing brain during the time of initial vascularization lends support to a role for ACs in TJ maintenance and not formation [76].

On the other hand, evidence has accumulated to show that PCs have a key role in the development of cerebral vasculature and control key neurovascular functions and neuronal phenotype in brain [9, 32, 70, 77-80]. PCs also play a key role in the cell crosstalk processes during the development of cerebral vasculature and regulation of BBB function in brain diseases [9, 32, 42, 65, 78, 81-83]. PC recruitment is crucial to establishing BBB characteristics. Loss of PCs in platelet-derived growth factor receptor- $\beta$  (*Pdgfr* $\beta$ ) knockout mice exhibit age-dependent BBB dysfunction resulting from reduced TJ protein expression, whereas young adult mice with hypomorphic alleles of *Pdgfb* display defects in BBB integrity as a result of increased rates of endothelial transcytosis [26, 30, 32]. During development, when ECs invade the central nervous system, PCs are also recruited to the developing vessels. This occurs more than a week before AC generation, suggesting that PCs are critical for BBB integrity [76]. Intriguingly, PCs not only regulate mature BBB integrity, but also function to guide astrocytic foot processes to cerebral vessel walls and mediate the polarization of astrocytic end-feet [26]. In addition, PCs have been shown to decrease with age. A primary loss of PCs may lead to two parallel pathways of neurodegeneration, BBB breakdown and hypoperfusion, which lead to secondary neurodegenerative changes, paralleling an increase in BBB permeability [32].

Studies show that PCs function at the BBB formation and maintenance in several ways: (1) control BBB integrity by regulating the orientation and abundance of endothelial TJPs and AJs; (2) regulate the stability and architecture of newly formed cerebral microvessels; (3) contribute to secretion and regulate the levels of extracellular matrix proteins forming the basement membrane; (4) regulate capillary diameter and blood flow; and (5) provide clearance and phagocytotic functions in the brain [29, 30, 32, 33, 84, 85].

## 3. ANGIOGENESIS AFTER STROKE

### 3.1. Angiogenesis Processes after Stroke

Three processes are implicated in neurorepair: angiogenesis, neurogenesis, and synaptic plasticity. We and others

have demonstrated that some vessels remain in the lesion areas up to 7 days after reperfusion, which provides the cellular basis to trigger angiogenesis [68]. At 3 weeks of reperfusion, we observed that proliferating PCs closely surrounded the increased number of regrowing ECs seen in the peri-infarct areas in a rat model of middle cerebral artery occlusion. These vascular-associated PCs are neural/glial antigen 2 (NG2) and Ki67 positive, suggesting that they are in angiogenic status and contribute to new vessel formation [86-92]. After the stroke, increased vascular remodeling in rat brain is found in the areas of newly-born neuroblasts which migrate from the subventricular zone to the peri-infarcted cortex [93]. ECs are primary effector cells of the angiogenic response after ischemic injury, followed by the PCs and smooth muscle cells. Angiogenesis involves the proliferation of ECs and sprouting of the vessels that eventually increase vascular density [68]. The proliferation of ECs after cerebral ischemia has been extensively demonstrated [6, 8, 88, 94-96]. Studies have shown that stroke-induced active angiogenesis takes place at 3-4 days following the ischemic insult and continues more than 21 days [1, 12, 14, 97, 98]. ECs surrounding the infarcted brain area start to proliferate as early as 12-24 hours following vessel [14, 28, 99-101]. This in turn already leads to an increase of vessels in the peri-infarcted region 3 days following the ischemic injury. A study on temporal angiogenesis and related gene expression in mouse brain demonstrated that vessel proliferation continued more than 21 days following experimental cerebral ischemia [100]. Using human brain samples, studies demonstrated that active angiogenesis takes place at 3-4 days following the ischemic insult [98]. Patients who survived from several days to weeks after cerebral stroke showed a positive correlation between microvessel density and survival [98].

### 3.2 BBB Permeability of Angiogenic Vessels

Using a 90 min MCAO in spontaneously hypertensive rats (SHRs), we investigated angiogenesis from 24 hours to 3 weeks after reperfusion [68]. An increase of newly formed vessels was observed in the peri-infarct region 3 weeks following the ischemic injury, which is consistent with other reports [14, 99, 100]. We used both MRI and histological techniques to determine functional neurovascular remodeling and BBB integrity (Fig. 2). MRI T2 and ADC maps showed increased hyperintensity in the infarct area (inf) compared to the peri-infarct regions (Fig. 2A). The permeability coefficient maps reconstructed from data acquired by dynamic contrast-enhanced MRI (DCEMRI) showed increased BBB transfer rate (Ki) and plasma volume (Vp) in the peri-infarct areas, which was absent in the infarct core [102, 103]. Arterial spin labeling (ASL), used to measure cerebral blood flow (CBF) [104], showed perfusion in the ischemic hemisphere with very low signal in the core infarct area, while the peri-infarct region showed hyperperfusion compared with the contralateral normal hemisphere. RECA1 (a marker of ECs) immunostaining showed increased density of new vessels in the peri-infarct area, corresponding to the elevated BBB transfer rate, plasma volume, and arterial blood perfusion. These data indicated that blood flow returned to the newly

formed vessels, suggesting functional vascular remodeling with higher BBB permeability at 3 weeks after stroke.

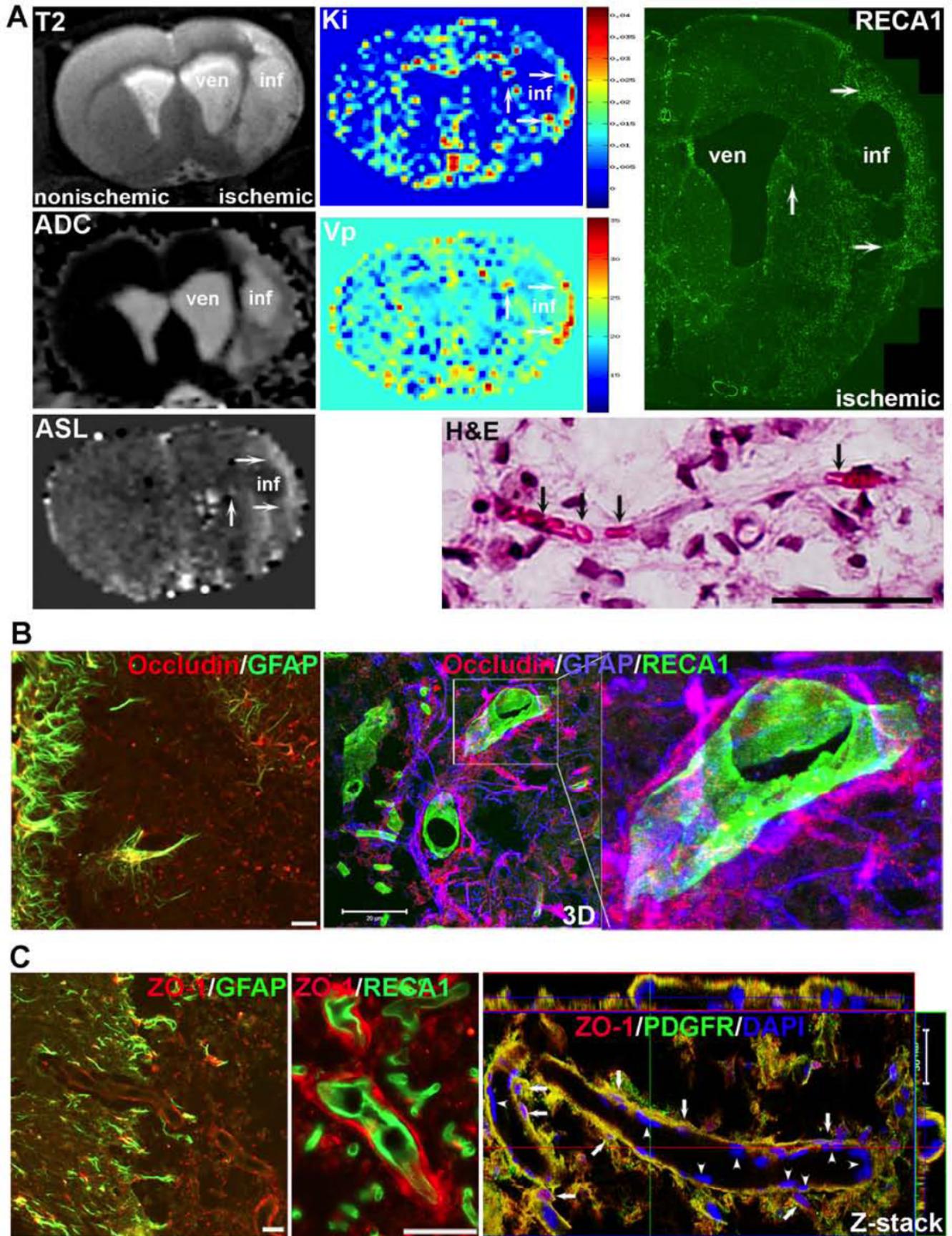
### 3.3. Tight Junction Formation in Angiogenic Vessels

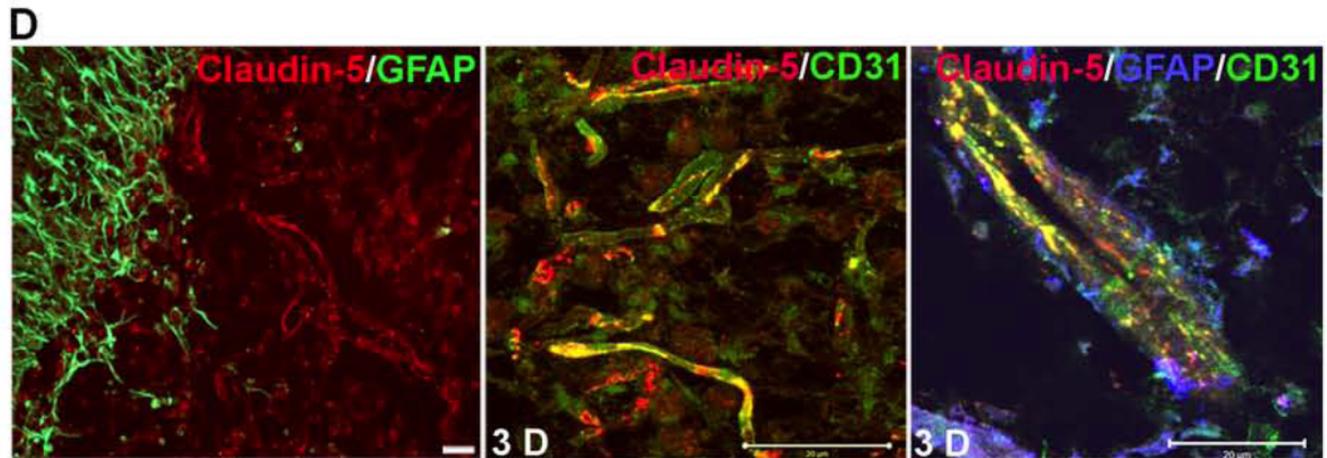
At 3 weeks after stroke, we observed that TJPs, occludin, claudin-5, and ZO-1, were observed in NVU cells in the peri-infarct regions (Fig. 2B, C, and D) [68]. Only claudin-5, which disappeared in BBB from 24 hours to 7 days after stroke, reappeared in the newly formed vascular ECs. The other major TJPs, occludin and ZO-1, were absent from ECs and prominent in the GFAP-positive reactive ACs, which extended processes to closely encapsulate nearby ECs. A striking collection of NG2-positive PCs entirely surrounded vascular ECs and produced ZO-1. The TJPs normally seen within ECs where they form the first barrier to blood-borne substances were expressed by PCs and ACs, which failed to provide a barrier to Evans blue or Gadolinium, which consistent with the MRI data of high BBB permeability. These findings suggest that the TJPs in perivascular cells do not form a functional barrier and that strongly indicates that these new vessels lack barrier properties. Since PCs and ECs have direct synaptic-like peg-socket focal contacts and gap junctions formed with CX43, which allow the exchange of molecules between PCs and ECs [33, 74, 105-107], whether the TJPs move into the ECs or are made de novo will need further study.

Brain PCs and ACs play a key role in the development of cerebral vasculature and regulation of BBB function in brain diseases [9, 30, 32, 42, 65, 78, 81, 82, 108], yet, the roles of these NVU cells in neurovascular remodeling during recovery stage after stroke remains obscure. We found that PCs and ACs act spatiotemporally contributing to extraendothelial TJP formation in BBB restoration during recovery at 3 weeks after stroke [68]. The contributions of ACs and PCs to BBB restoration in stroke-induced angiogenesis during recovery are still under studied [107, 109].

### 3.4. Microglia Activation and Angiogenesis

Microglia are the resident macrophages that control the immune response in the brain. One striking feature of microglial cells is their rapid activation in response to minor pathological alterations/infections in the CNS [110, 111], which orchestrates the brain's inflammatory response [37]. Microglia can assume different activated phenotypes depending on the activating stimulus [112, 113]. Microglia rapidly develop a pro-inflammatory phenotype in response to acute brain injury; meanwhile, activation of microglia also present reparative and anti-inflammatory roles through a regulatory/homeostatic phenotype, which facilitates recovery of injury [114-117]. The pro-inflammatory response includes that microglia become activated, obtain an amoeboid morphology, and release inflammatory cytokines. In the regulatory/homeostatic phase, microglia have an enhanced capacity for phagocytosis and produce anti-inflammatory mediators, which help to terminate the inflammatory response and promote tissue repair and remodeling. During the recovery of stroke, microglia in anti-inflammatory phenotypes play a key role in the promotion of neurovascular remodeling through





**Fig. (2).** Blood flow, BBB permeability, and expression of TJPs in the new vessels within peri-infarct regions 3 weeks of reperfusion in spontaneously hypertensive rat subjected to transient middle cerebral artery occlusion. (A) Hyperintensive areas in the anatomical T2 image and ADC map show the lesion extent and tissue ischemia. ven: Ventricle; inf: Core infarct area. Color-coded permeability coefficient maps reconstructed from DCEMRI data demonstrate the regions of high (red) and low (blue) permeability. The parametric image  $K_i$  map represents BBB transfer rate. The parametric image  $V_p$  map represents plasma volume. Elevated values of  $K_i$  and  $V_p$  are located in the vicinity of core infarct area (arrows). There are no signals of  $K_i$  and  $V_p$  in the core infarct area. The color scales used for the permeability and plasma volume signal intensity. ASL map shows higher CBF in peri-infarct areas (arrows). RECA1 immunostaining shows the increased density of new vessels in the peri-infarct area (arrows), corresponding to the elevated BBB transfer rate, plasma volume and blood perfusion (arrows). H&E staining shows red blood cells located inside of the new microvessels (arrows). (B) Left panel: double-immunostaining of occludin (red) with astrocytes (GFAP, green) shows that occludin was co-localized with reactive astrocytes adjacent to or within the peri-infarct region. Middle and right panels: triple-immunostaining of occludin (red) with ACs (blue) and ECs (green). The 3D confocal images demonstrate that ACs expressing occludin (shown in purple when co-localized) with end-feet closely surrounding vessels. (C) Double immunostaining of ZO-1 with astrocytes, endothelial cells, and PCs (PDGFR), respectively. ZO-1 co-localized with reactive astrocytes (GFAP) but not with ECs (RECA1). Z-stack confocal image shows PCs (green) surrounding vessels express ZO-1 (arrows). Arrowheads indicate DAPI-stained endothelium. (D) Double immunostaining shows no co-localization (left panel) between ACs (green) and claudin-5 (red). The 3D confocal image (middle panel) demonstrates that claudin-5 was co-localized with ECs (CD31, green) of microvessels within the peri-infarct region. Confocal image (right panel) of triple-immunostaining shows that ACs (blue) surround a vessel with claudin-5 in endothelial cells (green). Scale bars=20 or 50  $\mu\text{m}$ . Cited from Yi Yang *et al.*, *Journal of Cerebral Blood Flow & Metabolism* (2013), 1104-1114. (A higher resolution / colour version of this figure is available in the electronic copy of the article).

the release of growth-related proteins and cytokines from peripheral and resident immune cells [37, 112, 113, 117, 118]. We also found that in the peri-infarct areas a new population of active regulatory-phenotype microglia expressing TGF- $\beta$  and IL-10 is involved in the promotion of TJP expression and BBB integrity at 4 weeks after stroke [119].

#### 4. POTENTIAL MOLECULAR AND CELLULAR TARGETS TO FACILITATE BBB MATURATION AFTER STROKE.

Cerebral ischemia-induced angiogenesis is a complex and dynamic process involving the different vascular components such as ECs, vascular ACs and PCs, fibroblasts, smooth muscle cells, and the extracellular matrix [120-122]. Understanding the cellular and molecular mechanisms regulating TJ formation and BBB integrity during angiogenesis after stroke will be key to the understanding of angiogenesis and is crucial for our knowledge of effective treatment of stroke. A detailed analysis of the contribution of the different vascular components in the angiogenesis, especially in BBB restoration, will therefore be valuable for therapeutic angiogenesis in stroke.

#### 4.1. The BBB Functions Depend on the Crosstalk between PCs and ECs

The cell-cell signaling in the NVU is known to have key roles in how the brain responds to ischemic injury after stroke. Brain PCs and ACs play a key role in the development of cerebral vasculature and regulation of BBB function in brain diseases [9, 30, 32, 42, 65, 78, 81, 82]. The roles of these NVU cells in neurovascular remodeling during the recovery stage after stroke remains obscure. We found that the proliferating vascular PCs participate in the neovascularization in the peri-infarct areas after stroke by expressing TJPs, VEGF, and matrix metalloprotease (MMP)-3. There is an overexpression of NG2 in vascular-associated PCs at 3 weeks after stroke in rat, which is spatiotemporally expressed with MMPs, VEGF, and TJPs [68]. Along with its ability to reveal the activated/angiogenic state of microvessels, the PC-derived NG2 is an important factor in promoting EC migration and morphogenesis during the early stages of neo-vascularization [123]. Pathologic angiogenesis can be reduced by targeting PCs *via* the NG2 proteoglycan. In NG2 knock-out mice, proliferation of both PCs and ECs in retina in response to hypoxia is significantly reduced [92]. There

are several possible mechanisms by which NG2 regulates angiogenesis: NG2 has been shown to bind directly to basic fibroblast growth factor and platelet-derived growth factor AA (PDGF-AA), and may enhance interaction of these growth factors with cell surface receptors [123].

#### 4.2 NG2-Dependent Crosstalk between PCs and ECs

NG2 is a prominent component of activated PCs, not ECs, in both normal and pathological microvessels [124]. The NG2 proteoglycan is expressed by microvascular PCs in newly formed blood vessels. Studies on cancer angiogenesis have demonstrated that NG2 plays important roles in PC recruitment and interaction with ECs during microvessel development [92, 123, 125-128]. Altered interactions between these key microvessel components lead to deficits in both PC and EC maturation [129] and these changes at the cellular and structural levels result in decreased tumor vessel patency and increased tumor vessel leakiness. Recently, using Cre/lox technology created NG2<sup>fl/fl</sup>:pdgfr $\beta$ -Cre mice and NG2 siRNA in human microvascular PCs [124] to specifically ablate NG2 in PCs demonstrated a deficit of tumor blood vessel structure and function and reduction of endothelial junctions, which increased endothelial permeability *in vivo* and *in vitro*. These findings suggest that NG2-positive PCs may play a critical role during the formation of TJ in ECs during vascular remodeling [68, 86, 91]. Treatments targeting on the NG2-PCs pathway may provide angiogenic therapeutic strategies to promote BBB maturation in the new vessels and improve stroke outcome.

The 3-hydroxy-3-methylglutaryl coenzyme A reductase inhibitors (statins) are potent inhibitors of cholesterol biosynthesis used to treat hypercholesterolemia and prevent recurrent stroke. The five statins commonly used in clinical practice are atorvastatin, fluvastatin, lovastatin, rosuvastatin, and simvastatin [130, 131]. Beyond their lipid-lowering effects, increasing evidence indicates that statins, particularly atorvastatin, are neuroprotective in several brain injuries, including stroke [132-136]. Patients who took statins prior to the onset of stroke demonstrated significantly decreased mortality and improved outcome [83, 137]. Statins promote angiogenesis in ischemic stroke. The effect of statins on the induction of angiogenesis is dose dependent and biphasic, a pro-angiogenic effect at low doses and anti-angiogenic and pro-apoptotic effect at high doses [1, 17, 138, 139]. They are relatively safe, orally available agents that may acquire novel therapeutic indications through their angiogenic modulating effects [1, 17, 130, 140-142]. Recent preclinical and clinical trial studies show that atorvastatin blunts cerebral cavernous angioma (CA) lesion development, hemorrhage, and rebleeding in stabilizing CAs after a symptomatic hemorrhage, through inhibiting RhoA kinase [143]. Statins at higher concentrations have been demonstrated to up-regulating endothelial NO synthase (eNOS) gene expression and direct activation of eNOS in endothelial cells [133, 136]. The low dose-dependent proangiogenic effects of atorvastatin correlated with the activation of the PI3k-Akt pathway [144]. Statins increase brain EC expression of Gas6/Axl and thereby activate the PI3K-AKT pathway, which regulates endothelial cell survival, proliferation and migration, and increases angiogenesis [145-148]. Statins also increase vas-

cular stabilization and decrease BBB permeability after stroke [149]. The signaling pathways that NG2 regulates the interaction between PC and EC are involved in  $\alpha\beta$ 1 integrin mediated PI3K/AKT and Ras/ERK1/2 in EC survival and proliferation [123, 124, 128]. Very interesting, the dose-dependent proangiogenic effects of atorvastatin correlated with the activation of the PI3k-Akt pathway [144], suggesting a role of the NG2 signaling pathway in promoting BBB maturation in the new vessels during stroke-induced angiogenesis in brain. This suggested that a therapeutic potential of treatment with statins during stroke recovery could stabilize the newly formed vessels and facilitate maturation of BBB in stroke recovery.

#### 4.3. Sphingosine 1 Phosphate (S1P) Signaling Regulates BBB Integrity

S1P is a bioactive sphingolipid that, acting through its five G-protein coupled S1P-receptors (S1PR<sub>1-5</sub>), modulates a large diversity of biological mechanisms (cell proliferation, survival, cytoskeletal reorganization, migration)[150, 151], including BBB integrity [152, 153]. Of the five S1P receptors, S1PR<sub>1,2,3</sub> are expressed in ECs, S1PR<sub>1</sub> is expressed in ACs and S1PR<sub>3</sub> in PCs [154, 155], the cell types that are the major cellular barrier components of the BBB. S1P induces changes in BBB function mediated through both S1PR<sub>1</sub> and S1PR<sub>2</sub> [156]. S1PR<sub>1</sub> signaling plays important roles in modulating vascular barrier function, vascular tone, and the regulation of lymphocyte trafficking [157, 158]. S1PR<sub>1</sub> signaling in brain vascular ECs is also needed for TJ complex assembly and the normal function of the BBB [154, 159]. Activation of S1PR<sub>1</sub> was found to increase endothelial barrier integrity by modulating EC cytoskeletal forces [154, 160] *via* the PI3K/Akt/Rac signaling pathway [161-164]. S1PR<sub>1</sub> promotes the expression of TJPs and AJs in ECs and ACs, enhances BBB integrity [151], reduces vascular leakage, limits leukocyte infiltration, and inhibits astrogliosis [154, 160, 165, 166]. S1PR<sub>3</sub> cooperates with S1PR<sub>1</sub> in stimulating migration of EC progenitors and EC proliferation, thereby contributing to vasculogenesis and angiogenesis [167]. S1PR<sub>2</sub> plays pivotal roles in CNS autoimmunity, cell differentiation, and enhances BBB permeability and leukocyte entry [156, 168, 169] *via* Rho/ROCK pathways and the inhibition of S1PR<sub>1</sub> [161, 163, 170]. S1PR<sub>2</sub> activity impairs remyelination, and enhances BBB leakage and demyelination in animal models of multiple sclerosis [171]. S1PR<sub>3</sub> cooperates with S1P<sub>1</sub> in stimulating the migration of EC progenitors and EC proliferation, thereby contributing to vasculogenesis and angiogenesis [154, 172]. SEW2871 is a selective agonist for S1PR<sub>1</sub> [173, 174], therapeutic manipulation of the S1P pathway using SEW2871 has shown promise in preclinical models of Alzheimer's disease, traumatic, and acute stroke brain injury [160, 175, 176]. Therapy for CNS diseases [175, 177-180] now includes the use of FDA approved fingolimod to target the S1PR<sub>1,3-5</sub> pathway for multiple sclerosis treatment [175, 181]. Pilot clinical trials examining the efficacy and safety of fingolimod in patients showed that fingolimod enhances the efficacy of alteplase administration in acute ischemic stroke without serious adverse events recorded [182, 183]. Targeting endothelial S1P-S1PR signaling pathway during stroke recovery may promote vascular TJ formation and BBB integrity in angiogenic vessels.

#### 4.4. Microglial Alternative Activation Involves in BBB Integrity in Stroke

Ischemic stroke induces a cascade of metabolic and inflammatory consequences that extend from the core of the infarct into the penumbra. Activated microglia have been the target of experimental and clinical studies focusing on neuroinflammation after focal cerebral ischemia [184, 185]. Neuroinflammation accompanies microglial immunophenotype changes over time from pro-inflammatory to regulatory/homeostatic (anti-inflammatory) after ischemic stroke, with one phenotype predominating over another in a time-dependent manner [112, 117, 186]. The pro-inflammatory response of microglia/macrophage includes increased expression of the cytokines TNF- $\alpha$  and IL-1 $\beta$ , while in the regulatory/homeostatic phase, expression switches to the anti-inflammatory or reparative cytokines, such as IL-10 and TGF- $\beta$ , and their co-stimulatory proteins [37, 114, 187]. The location of the microglia (core vs. penumbra) with respect to the infarct is the critical determinant of that phenotype [117]. After 90 minutes of transient ischemia, activated microglia increased in number from 3.5 to 7 days in the peri-infarct [188]. Studies indicated that the peri-infarct is dominated by proinflammatory, proliferating, and activated microglia that increase in number over the first week after ischemia [139, 189-192]. Importantly, these spontaneous repair-related molecular and cellular changes in brain after stroke can be influenced by several factors including drug treatment [193, 194]. Activated microglia can be seen in ischemic hemispheres as early as 24 h reperfusion and reach a peak at 1 week that extends to 4 weeks [119]. At 4 weeks after stroke, the active microglia surrounding and within the peri-infarct areas expressed both pro-inflammatory factors (TNF- $\alpha$  and IL-1 $\beta$ ) and anti-inflammatory factors (TGF- $\beta$  and IL-10) [119, 195]. TGF- $\beta$  signaling pathway has been demonstrated to involve in the regulation of BBB functional integrity and TJP expression during inflammation, and may lower the BBB permeability [58, 196-198]. We found that treatment with minocycline at an early stage of stroke promotes increased expression of TGF- $\beta$  and IL-10, and facilitates the shifting of microglia functional activity from pro-Inflammation to anti-inflammation during recovery [119]. Besides the active microglia, the proliferating microglia-like pericytes, closely surrounding angiogenic vessels in the peri-infarct areas, also expressed TGF- $\beta$ . Importantly, an enhanced restructuring of the BBB TJPs by minocycline provide functionality to the BBB despite an immature endothelium during neurovascular remodeling during stroke recovery [119]. This suggests a novel treatment potential for facilitating neurological recovery by influencing spontaneous repair-related alteration of microglia activation in the brain after stroke with medicine exposure.

#### CONCLUSION AND FUTURE DIRECTIONS

In summary, clinical outcomes of ischemic cerebral stroke are poor, and treatment for recovery improvement is of utmost importance. Angiogenesis and re-vascularization are the main repair processes following stroke. Promising evidence suggests that stimulating post-ischemic angiogenesis can improve neurological function [1, 14]. Induction of functional angiogenesis in the brain after stroke poses a

number of particular challenges, including (a) functional BBB barrierogenesis; (b) correct timing of the use of therapeutic agents; and (c) localization of the pro-angiogenic signal to areas of injury. To take advantage of angiogenesis as a therapeutic concept for stroke treatment, the knowledge of the precise molecular and cellular mechanisms is vital. It is necessary to define and optimize restorative therapies by characterizing the cellular and molecular mechanisms through which angiogenesis occurs and the functional BBB forms in response to spontaneous and therapy-induced vascular remodeling. TJPs play an important role in allowing these newly formed vessels to establish fully-functional BBB and promoting BBB restoration coupled with speedy angiogenesis, which may improve functional outcome and recovery following a stroke injury. Studies examining whether mature BBB is restored in stroke-induced re-vasculature and determining appropriate points of intervention for the facilitation of functional BBB restoration will provide critical preclinical information that addresses the major clinical challenge to accelerate cerebral angiogenesis without exacerbating brain edema and inflammation.

#### CONSENT FOR PUBLICATION

Not applicable.

#### FUNDING

None.

#### CONFLICT OF INTEREST

The authors declare no conflict of interest, financial or otherwise.

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