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#### **Case Report**

# Autoimmune Hemolytic Anemia as a Complication of Nivolumab Therapy

Amruth R. Palla<sup>a</sup> Devin Kennedy<sup>b</sup> Hossain Mosharraf<sup>a</sup> Donald Doll<sup>a</sup>

<sup>a</sup>Division of Hematology and Oncology, Department of Medicine, Ellis Fischel Cancer Center, University of Missouri Columbia, Columbia, MO, USA; <sup>b</sup>Division of Internal Medicine, Department of Medicine, University of Missouri Columbia, Columbia, MO, USA

#### Keywords

Immune checkpoint inhibitors · Nivolumab · Autoimmune hemolytic anemia

#### Abstract

Recently, immunotherapeutic drugs, including PD-1 inhibitors (nivolumab, pembrolizumab), PD-L1 inhibitors (atezolizumab, avelumab), and CTLA4 inhibitors (ipiliumumab), have emerged as important additions to the armamentarium against certain malignancies and have been incorporated into therapeutic protocols for first-, second-, or third-line agents for these metastatic cancers. Immune checkpoint inhibitor nivolumab is currently FDA approved for the treatment of patients with metastatic malignant melanoma [Redman et al.: BMC Med 2016;14:20], metastatic non-small cell lung cancer [Guibert and Mazières: Expert Opin Biol Ther 2015;15:1789–1797], metastatic renal cell cancer [Farolfi et al.: Expert Opin Drug Metab Toxicol 2016;12:1089–1096], and relapsed or refractory classic Hodgkin's lymphoma [Villasboas and Ansell: Expert Rev Anticancer Ther 2016;16:5–12]. Given the current and increasing indications for these drugs, it is essential for all physicians to become well versed with their common adverse effects and to be observant for other less documented clinical conditions that could be unmasked with the use of such medications. A definite association between autoimmune hemolytic anemia and the immune checkpoint inhibitor nivolumab has not



Amruth R. Palla, MD, MPH, FACP Fellow Hematology Oncology, Ellis Fischel Cancer Center University of Missouri Columbia 1 Hospital Drive, Columbia, MO 65212 (USA) E-Mail pallaa@health.missouri.edu

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been clearly documented, although a few cases have been reported recently [Kong et al.: Melanoma Res 2016;26:202–204; Schwab et al.: Case Rep Oncol 2016;9:373–378; Tardy et al.: Hematol Oncol 2016, DOI: 10.1002/hon.2338]. We report a case of fatal autoimmune hemolytic anemia refractory to steroids in a patient treated with nivolumab for metastatic lung cancer, and reflect on the other reported cases of autoimmune hemolytic anemia after the use of nivolumab.

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#### Introduction

Immune checkpoint inhibitor nivolumab is a fully human IgG4 monoclonal antibody against programmed death-1 (PD-1) receptor that blocks interactions between PD-1 and its ligands on tumor cells to prevent T cell exhaustion [1] in patients with cancer. However, this release of check on the immune system can also unleash it against the body's own tissues leading to an autoimmune phenomenon which is the main mechanism for the adverse effects associated with nivolumab [2]. Common clinical manifestations due to this autoimmune phenomenon include pneumonitis, hepatitis, colitis, hypophysitis, pruritis, arthritis, and nephritis [2]. Autoimmune hemolytic anemia (AIHA) is recently being recognized as another autoimmune adverse effect of this treatment.

#### **Case Presentation**

A 70-year-old male was treated with nivolumab at 3 mg/kg every 2 weeks in the second-line setting for metastatic adenocarcinoma of lung. Three days after the second dose, he was admitted to the hospital with complaints of increased shortness of breath and new onset of atrial fibrillation with rapid ventricular response. His hemoglobin at baseline of 10.5 g/dL was noted to have decreased to 8.1 g/dL on admission and subsequently decreased to 7.0 g/dL. Further evaluation showed an LDH of 200 units/L and an elevated reticulocyte count of 0.1962 × 10<sup>12</sup>/L. Peripheral blood smear revealed severe normocytic normochromic anemia, occasional spherocytes, nucleated RBC, and polychromasia (Fig. 1, Fig. 2, Fig. 3).

He was noted to have warm agglutinins, with Coombs test positive for C3, but negative for IgG. A cold agglutinin screen was negative on gel antibody screen but was found to be positive using tube methodology; however, the titer was <1:64. No underlying alloantibodies were discovered on reference lab testing. Hemoglobin further decreased to 5.5 g/dL and LDH increased to 330 units/L (reference upper limit 225 units/L). Review of peripheral blood smear confirmed presence of agglutination at room temperature that resolved after refrigeration. A diagnosis of AIHA was made and he was started on prednisone initially at 1 mg/kg, which was later increased to 1.5 mg/kg. Since the patient was not on any other medications commonly implicated in the etiology of AIHA and given the timing of his anemia after initiation of nivolumab, we concluded that his AIHA was most likely due to the auto-immune effect of nivolumab. Despite the steroids, he continued to require frequent blood transfusions to maintain a hemoglobin level >7.0 g/dL. His total bilirubin peaked at 3.89 mg/dL and LDH peaked at 1,192 units/L. The patient continued to have issues with atrial

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fibrillation, and later also developed significant respiratory distress but did not want to be intubated and eventually expired.

#### Discussion

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AIHA related to nivolumab therapy is not well documented, though few cases of this have recently been reported. Kong et al. [3] reported a case of AIHA in a patient who received nivolumab in the third line for metastatic malignant melanoma after progression with ipilimumab. AIHA developed after 5 cycles of nivolumab and responded to prolonged course of steroids. Schwab et al. [4] reported a case of AIHA in a patient who received nivolumab in the third line for cutaneous squamous cell carcinoma. AIHA developed after 8 cycles of nivolumab and responded to short course of steroids. Tardy et al. [5] reported a case of AIHA in a patient who received nivolumab in the third line for relapsed Hodgkin's lymphoma. AIHA developed after 2 cycles of nivolumab and responded to prolonged course of steroids.

Drug-induced AIHA may be either "warm" or "cold" depending on the temperature at which the autoantibodies become active. Warm AIHA is generally mediated via IgG that binds the Fc receptor of phagocytic cells, but can also rarely be mediated by IgM, IgA, or a combination of these 3 antibodies or via C3 alone [6], and the autoantibodies are active at temperatures greater than  $37^{\circ}$ C [7]. Cold AIHA results from IgM activation of classic complement pathway and the autoantibodies are active at temperatures of  $0-4^{\circ}$ C [7]. In some cases, both types of autoantibodies may exist simultaneously and such cases are labeled as "mixed" AIHA [7].

AIHA post-nivolumab therapy appears to be a warm AIHA and is commonly mediated through IgG [3, 5], though IgG and C3 were both positive in one case [4]. Our case was exclusively mediated through C3, and IgG was negative. Another interesting observation in our case was that the cold agglutinin screen was positive, though the titer was very low (<1:64). There was definite evidence of hemolysis via warm autoantibodies, thus suggesting that our case was possibly a drug-induced mixed AIHA.

In all cases reported, the patients received nivolumab either in the second- or third-line setting. The anemia occurred after 2 cycles of nivolumab in 2 cases [5], after 5 cycles in one case [3], and after 8 cycles in one case [4]. Thus AIHA associated with nivolumab therapy can occur with nivolumab given in any line, either after initiation of the drug within the first few cycles or later in the clinical course. Thus clinicians should be vigilant in diagnosing this entity, even if the patient tolerates nivolumab without hematologic complications in the first few cycles. Another interesting observation is that in all the cases reported, the dose of nivolumab used was 3 mg/kg [3–5], though the AIHA can likely occur independent of the dose.

In the previous cases reported, the patients did fairly well and the anemia responded to steroids. However, the patient in our case had steroid-refractory anemia and subsequently expired due to respiratory failure. Nonetheless, clinicians should have a low threshold for initiating steroids in patients with suspected nivolumab-related AIHA. Rituxan could be considered as a second-line agent early on in patients who do not respond to steroids.



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#### **Statement of Ethics**

The authors have no ethical conflicts to disclose.

#### **Disclosure Statement**

The authors have no conflicts of interest to declare.

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Fig. 1. Peripheral blood smear showing spherocytosis due to warm autoimmune hemolytic anemia [6].



Fig. 2. Peripheral blood smear showing nucleated RBC [6].

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Fig. 3. Peripheral blood smear showing polychromasia [6].

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**Table 1.** Details of cases of autoimmune hemolytic anemia after the use of nivolumab therapy reported thus far in the literature, including the case in focus

|                             | Kong et al. [3]  | Schwab et al. [4]   | Tardy et al. [5]  | Palla et al. [6]  |
|-----------------------------|--|---|---|---|
| Age, gender                 | 85-year-old male   | 82-year-old male  | 75-year-old female  | 70-year-old male  |
| Indication for<br>nivolumab | Metastatic melanoma<br>(1st dacarbazine, 2nd<br>ipilimumab, 3rd<br>nivolumab)  | Cutaneous squamous cell<br>carcinoma (1st cisplatin/<br>radiation, 2nd cetuximab<br>and docetaxel, 3rd<br>nivolumab)    | Stage IIIB Hodgkin's<br>lymphoma (1st ABVD ×2<br>cycles, 2nd bendamus-<br>tine and brentuximab ×4<br>cycles, 3rd nivolumab)   | Stage IV adenocarcinoma<br>of the lung (pT4 pN2p<br>pM1a) (1st carboplatin<br>and pemetrexed ×3<br>cycles, 2nd nivolumab) |
| Cycles of<br>nivolumab      | 5 (3 mg/kg)  | 8 (3 mg/kg)   | 2 (3 mg/kg)   | 2 (3 mg/kg)   |
| Mediator                    | IgG  | IgG, C3   | IgG   | С3  |
| Clinical course             | No mention of ICU;<br>anemia recovered after<br>~2 weeks   | No mention of ICU;<br>anemia recovered after 2<br>weeks   | No mention of ICU;<br>anemia recovered after<br>10 days, but persistent<br>subclinical hemolysis for<br>total 1 month   | Admitted with atrial<br>fibrillation with RVR;<br>received 10 U pRBCs,<br>transferred to MICU for<br>respiratory failure  |
| Treatment                   | Prednisone (~110 mg<br>×2 weeks, tapered over<br>a total of 80 days)   | Prednisolone (80 mg/day<br>×2 weeks)  | Prednisone (2 mg/kg<br>×10 days, then 1 mg/kg<br>×2 weeks, then tapered<br>over 3 months)   | Prednisone 1.5 mg/kg<br>×5 days   |
| Outcome                     | Complete recovery of<br>anemia   | Complete recovery of<br>anemia 1 month after<br>stopping treatment; good<br>response to cancer for at<br>least 5 months | Complete recovery of<br>anemia after 1 month;<br>good response to<br>treatment after 2 doses;<br>3 weeks after steroids<br>discontinued, nivolumab<br>re-introduced and<br>received 6 more cycles<br>without recurrence of<br>hemolysis | Expired 10 days after<br>admission  |
| Caveats                     | Prior red cell antibodies<br>in 2011 (c positive, d<br>positive, e positive, DAT<br>positive); no prior<br>history of hemolysis,<br>repeat DAT in 2012 was<br>negative |   |   | Cold agglutinin screen<br>positive, titer <1:64; no<br>alloantibodies   |