

Prognostic Analysis of Patients with Primary Extranodal Lymphoma: A Retrospective Study

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Background: Originating from extranodal organs or tissues, primary extranodal lymphoma (PENL) acts in different primary sites with diverse clinical performances and PENL has remarkable geographical differences and lacks the relevant reports in each region.

Patients and Methods: Two hundred and twenty PENL patients were enrolled, and the relevant clinical and laboratory indicators were analyzed. In addition, statistical methods were applied to analyze the effects of different factors on overall survival (OS) and progression-free survival (PFS) of patients.

Results: The three most frequent primary sites of PENL are the digestive system, head and neck, and central nervous system. The patients were classified into groups based on their risk status, resulting in low-risk, medium-low-risk, medium-high-risk, and high-risk, and their respective 3-year OS values were calculated, which showed that 121 patients (55%) were in the low-risk group and 3-year OS was 85.2% (25.9% medium-low-risk, 3-year OS 66.6%; 15% medium-high-risk, 3-year OS 61.9%; 4.09% high risk, 3-year OS 45.7%). A multivariate analysis of the Cox regression demonstrated that serum beta 2-microglobulin (β_2 -MG) and lactate dehydrogenase (LDH) were independent prognostic factors for OS and PFS, respectively. Both the performance status and pathological subtypes were independent prognostic factors for OS and PFS.

Conclusion: The correlated independent risk factors such as β_2 -MG, LDH, performance status, and pathological subtypes, were helpful for effectively determining the prognosis of PENL patients and guiding treatment.

Keywords: beta 2-microglobulin, lactate dehydrogenase, performance status, pathological subtype, primary extranodal lymphoma, prognostic analysis

Introduction

Primary extranodal lymphoma (PENL) originates from extranodal organs or tissues that have narrow and broad definitions. The former refers to lymphomas that occur in organs or tissues without lymphocytes, except in the spleen, thymus, tonsils, and intestinal collections/isolated lymph nodes. The latter refers to lymphomas originating from organs and tissues outside the lymph nodes.¹ However, there is still a debate about whether lymphomas that originate in the tonsils and Waldeyer's rings should be classified as intranodal or extranodal lymphomas. PENL acts on different primary sites with diverse clinical performances, and typically, the first symptom is less likely to be swollen lymph nodes, nor is PENL accompanied by common B symptoms.² Therefore, most patients with PENL are difficult to diagnose and early misdiagnosis and missed diagnosis rates are high. Numerous articles have shown that there are regional and geographical differences in the incidence of

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PENL.² However, most reports only studied the treatment and prognosis of extranodal lymphoma at a particular site, lacking a systematic study. Additionally, PENL has remarkable geographical differences and lacks a relevant literature summary in each region.

Therefore, the purpose of this study was to summarize the clinical characteristics and efficacy of PENL patients who were initially treated in the department of hematology in our hospital, as well as analyze the incidence of different pathological subtypes and the proportion of different pathogenesis. In addition, through laboratory examination, international prognostic index (IPI) stratification, and other different prognostic factors, we could analyze overall survival (OS) and progression-free survival (PFS) of patients in order to guide future clinical work.

Patients and Methods

Medical Records

Two hundred and twenty PENL patients in the Department of Hematology, at the Affiliated Hospital of Xuzhou Medical University from January 2012 through January 2017, were enrolled. The main inclusion criteria were as follows: 1. Patients with PENL diagnosed by pathological biopsy were initially treated in our department. 2. Positron emission tomography (PET)-CT and other relevant examinations confirmed that lymphoma originated from the extranodal sites. 3. Every PENL patient has data related to pathology, relative laboratory and imaging information. 4. The patients were treated with at least two cycles of regimens. If the patients' tumors originated in the lymph nodes or other lymphatic tissues (including the spleen and thymus), or if patients were not initially treated in our hospital, or if patients had a history of immunodeficiency diseases such as long-term use of immune-suppressants, organ transplants, or AIDS, they were excluded from the study. The diagnosis of diseases included in this study is based on the lymphoma guidelines issued by the National Comprehensive Cancer Network.^{3,4} Written informed consent was obtained from the patients. This research was approved by the Ethics Committee at the affiliated hospital of Xuzhou Medical University and was conducted according to the guidelines put forth in the Declaration of Helsinki.

Clinical Data

The clinical characteristics included the patients' sex, age, clinical stage, US Eastern Cooperative Oncology Group

(ECOG) score, and pathology subtypes. Laboratory examinations included white blood cell counts, hemoglobin levels, serum lactate dehydrogenase (LDH), serum beta 2-microglobulin (β_2 -MG), and erythrocyte sedimentation rate (ESR) before the first treatment. Cranial MRI, CT scan of the chest and abdomen, superficial lymph node color super and PET-CT were included in the imaging examinations.

Methods of Research

All enrolled patients were followed up through electronic medical records, telephone communication, and outpatient clinics. The follow-up period ended in February 2020 (the median follow-up 51 months), and the patient's OS and PFS were evaluated according to the collected data. OS was defined as the period from diagnosis to death, loss of visit, or final follow-up time for any cause. The interval time from the beginning of treatment to the progress or recurrence of the disease was defined as PFS. Statistical methods were applied to analyze the effects of different factors on the OS and PFS of patients.

Clinical Staging

Following PET-CT, PENL patients in this study were staged according to the criteria for lymphoma at the Lugano meeting,⁵ the majority of which were phased according to the revised Ann Arbor staging criteria at the Cotswolds meeting.⁶ Primary gastrointestinal lymphoma was phased using the Lugano gastrointestinal lymphoma staging system,⁷ while primary skin lymphoma was based on the TNM system designed for fungal disease stages.⁸

Risk Category

In this study, PENL patients were staged clinically and stratified according to the IPI score with risk categories.

Statistical Analysis

All data were statistically analyzed using SPSS19.0 software. The Kaplan-Meier survival curve was drawn for single-factor analysis. The log rank method was used to compare the differences between the different survival curves, and the Cox regression model was chosen for the multivariate analysis. A *p* value < 0.05 was considered statistically significant.

Results

Clinical Characteristics

The median age of the 220 patients was 56 years (14–86 years), of which 122 were men and 98 were women, with

a ratio of 1.24 to 1. There were 77 cases (35%) with primary digestive lymphomas, and three-year OS was 86.7% (56 [25.5%] primary head and neck lymphomas, three-year OS 76.0%; 36 [16.4%] primary central nervous system lymphomas, three-year OS 54.6%; 11 [5%] primary skin lymphomas, three-year OS 51.9%; 9 [4.1%] primary genitourinary lymphomas, three-year OS 83.3%; 31 [14.1%] rare site lymphomas, three-year OS 78.7%). The specific primary sites and survival rates are shown in Table 1.

As shown in Figure 1 and Table 2, 176 patients had B-cell lymphomas (125 [71%] had diffuse large B cell lymphomas [DLBCL]; 37 [21%] had mucosa-associated lymphoid tissue lymphomas; 3 [1.7%] had follicular lymphomas; 2 [1.1%] mantle cell lymphomas and 44 patients had T-cell lymphomas, 27 [61%] had NK/T cell lymphomas).

Risk Category

Next, all patients were assessed using their IPI scores (each prognostic factor was scored as 1, the sum of the indicators was the final IPI score; the low-risk group, medium-low-risk group, medium-high-risk group and high-risk group corresponded to 0–1, 2, 3, and 4–5 points, respectively) the different risk categories. In addition, the cases and the three-year OS values were collected and shown as follows: 121 patients (55%) were in the low-risk group and 3-year OS was 85.2% (57 [25.9%] medium-low-risk, 3-year OS 61.9%; 33 [15%] medium-high-risk, 3-year OS 66.6%; 9 [4.09%] high risk, 3-year OS 45.7%) (Table 3).

Single-Factor Prognosis Analysis of OS and PFS

The IPI score is still considered as the standard prognostic assessment tool for lymphoma, which regards ages older than 60 years, lymphoma in the III or IV stage, increased serum LDH, poor general condition, and more than one

Table 1 The Distribution of Primary Sites and Survival Statistics of 220 PENL Cases

	Primary Site	Cases	Percentage (%)	3-Year OS (%)
Digestive system		77	35.00	86.70
	Stomach	52	23.64	
	Small intestine	15	6.82	
	Colon	10	4.55	
Head and neck		56	25.45	76.00
Central nervous system		36	16.33	54.60
Skin		11	5.00	51.90
Genitourinary system		9	4.10	83.30
	Testis	6	2.73	
	Others	3	1.36	
Rare sites		31	14.10	78.70
	Thyroid	7	3.18	
	Adrenal gland	6	2.73	
	Others	18	8.18	

extranodal involvement site as negative prognostic factors. Additionally, the patient's sex, ESR, serum β_2 -MG, and pathological subtypes were also evaluated.

ECOG Score, Serum LDH, β_2 -MG, and Pathological Subtypes

Patients were graded according to their performance status before the first treatment and divided into groups based on their performance condition scores, with 169 (74.09%) patients with a better performance condition (score of 0–1) and 57 (25.91%) patients with a poor performance condition (score of 2–4). As shown in Figure 2A and B, which compared the influence of physical conditions on the patient's

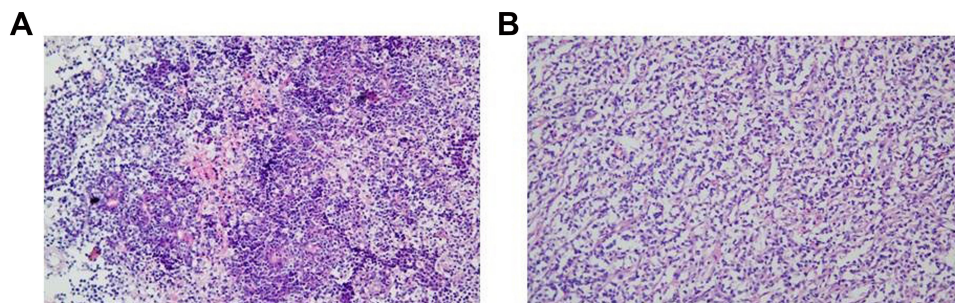


Figure 1 The pathology of patients with PENL. (A) The pathology of DLBCL (primary site from central nervous system). (B) The pathology of mucosa-associated lymphoid tissue lymphoma (primary site from stomach) magnification $\times 200$.

Table 2 The Pathological Subtypes of PENL Patients

Cell of Origin	Subtypes	Cases	Percentage (%)
B-cell origin	Diffuse large B cell lymphoma	125	56.82
	Mucosa-associated lymphoid tissue lymphoma	37	16.82
	Follicular lymphoma	3	1.36
	Mantle cell lymphoma	2	0.91
	Others	9	4.09
T-cell origin	NK/T cell lymphoma	27	12.27
	Others	17	7.73

Table 3 The Risk Categories of PENL Patients According to IPI Score

Groups	IPI Score	Cases	Percentage (%)	3-Year OS (%)
Low-risk group	0–1	121	55.00	85.2
Medium-low-risk group	2	57	25.91	61.9
Medium-high-risk group	3	33	15.00	66.6
High risk group	4–5	9	4.09	45.7

OS and PFS, respectively, the results were statistically significant between the two groups ($P=0.004$, $P=0.006$).

Serum LDH is an IPI risk score indicator. In this study, the normal levels of serum LDH was not greater than 240U/L in all patients, so these levels were further divided into two groups: the normal LDH level group, 152 cases (69.09%), and the high LDH group (levels exceeding 240U/L), with 68 cases (30.91%). [Figure 2C](#) and [D](#) show the comparison of OS and PFS of the patients in the two groups, respectively, with the statistical significance ($P=0.003$, $P=0.001$).

According to the enrolled patient's serum β_2 -MG levels, the patients were divided into two groups: one group included patients with the serum β_2 -MG levels less than or equal to the normal upper limit and the other included those with levels greater than the normal upper limit. A total of 136 (61.81%) patients' β_2 -MG levels were in the normal range, and 84 (38.38%) exceeded the normal limit. As shown in [Figure 2E](#) and [F](#), OS and PFS of the patients in the normal β_2 -MG level group were higher than

those in the group with β_2 -MG levels that exceeded the normal group ($P=0.001$, $P=0.004$).

Given the remarkable subtypes of the pathology and the low number of some pathological subtypes in PENL, the enrolled patients were only divided into two groups according to their cell of origin. There were 176 (80%) patients with B-cell originating lymphomas and 44 (20%) had a T-cell origin. When OS and PFS between the two groups were compared, the results were statistically significant for both OS and PFS values ($P=0.001$, 0.002 ; see [Figure 2G](#) and [H](#)).

The Degree of Extranodal Involvement and Gender

Considering that the primary site of the PENL patients was extranodal in the study, the patients were divided into two groups based on their PET-CT examination results before their first treatment was administered. One group had one site of extranodal involvement, accounting for 88.64% of the cases, and the other group had more than one extranodal involvement site accounting for 11.36%. As shown in [Figure 3A](#) and [B](#), the comparison of OS between the two groups was statistically significant ($P=0.021$), while the comparison of the groups' PFS was not ($P=0.266$).

The patients were divided into two groups according to their sex, where 122 were men (55.45%) and 98 (44.55%) were women. [Figure 3C](#) and [D](#) illustrate that the comparison of OS from the two groups was statistically significant ($P=0.024$), while that PFS was not ($P=0.065$).

ESR

There were 110 patients within normal levels of ESR (not more than 15 mm/h) and 110 patients with levels exceeding 15 mm/h. [Figure 4](#) confirmed that the comparison of OS of the two groups was not statistically significant ($P=0.103$), whereas the comparison of their PFS was statistically significant ($P=0.032$).

Age and Clinical Staging

In this study, there were 131 (59.55%) patients whose age was greater than 60 years, and 40.45% were older than 60 years. As shown in [Figure 5A](#) and [B](#), there was no statistical significance between the two groups in terms of the groups' OS and PFS ($P=0.920$, $P=0.233$).

The enrolled patients were divided into stage I–II and III–IV groups according to the above-mentioned staging criteria, with 135 cases (61.36%), and 85 (38.64%) cases in each group respectively. There was no significant differences between the two groups in

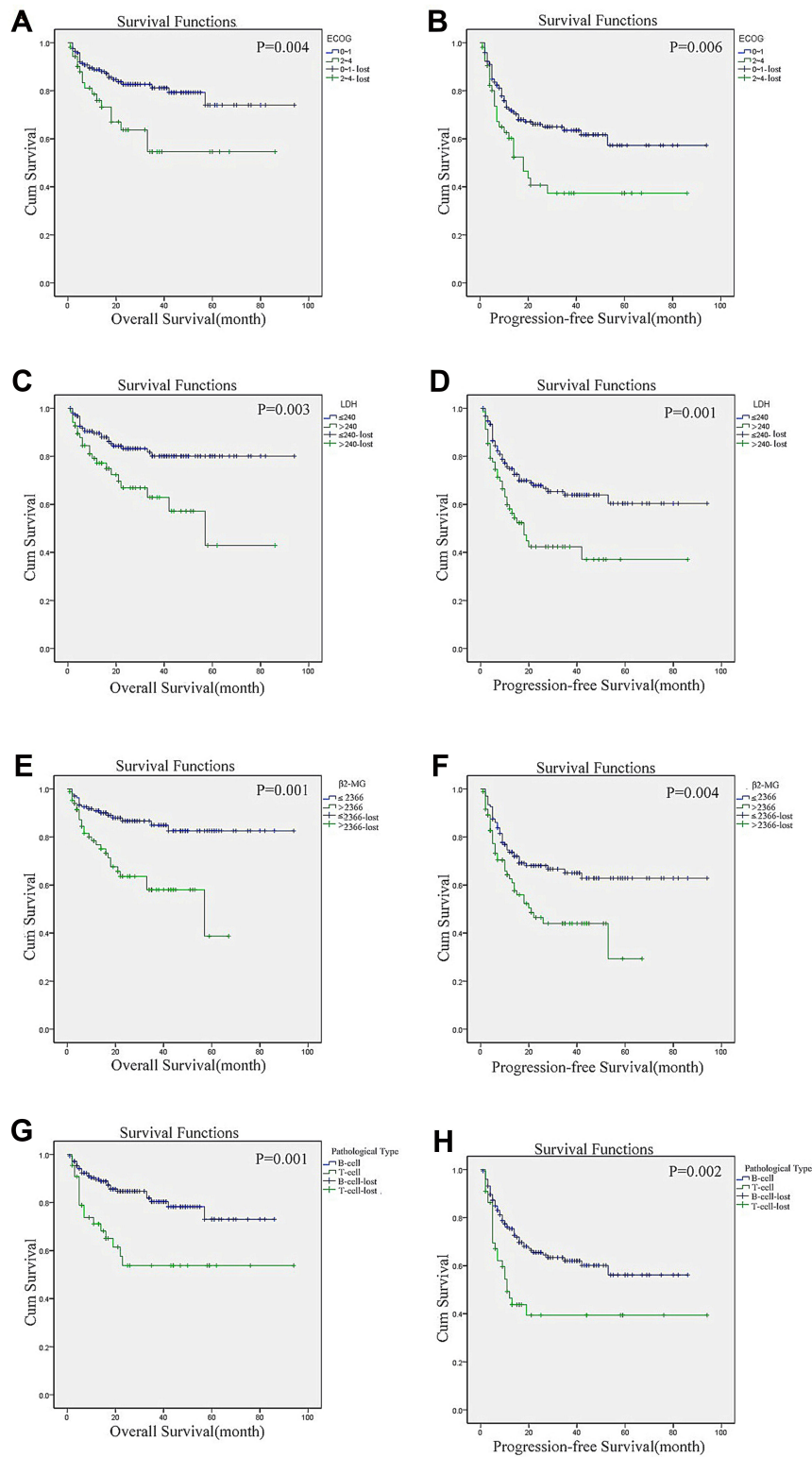


Figure 2 The influences of ECOG scores, serum LDH levels, β_2 -MG levels and pathological subtypes on OS and PFS of PENL patients. **(A)** The influences of ECOG scores on patients' OS. **(B)** The influences of ECOG scores on patients' PFS. **(C)** The influences of serum LDH levels on OS of patients. **(D)** The influences of serum LDH levels on PFS of patients. **(E)** The influences of serum β_2 -MG levels on patients' OS. **(F)** The influences of β_2 -MG levels on patients' PFS. **(G)** The influences of pathological subtypes on OS of patients. **(H)** The influences of pathological subtypes on PFS of patients.

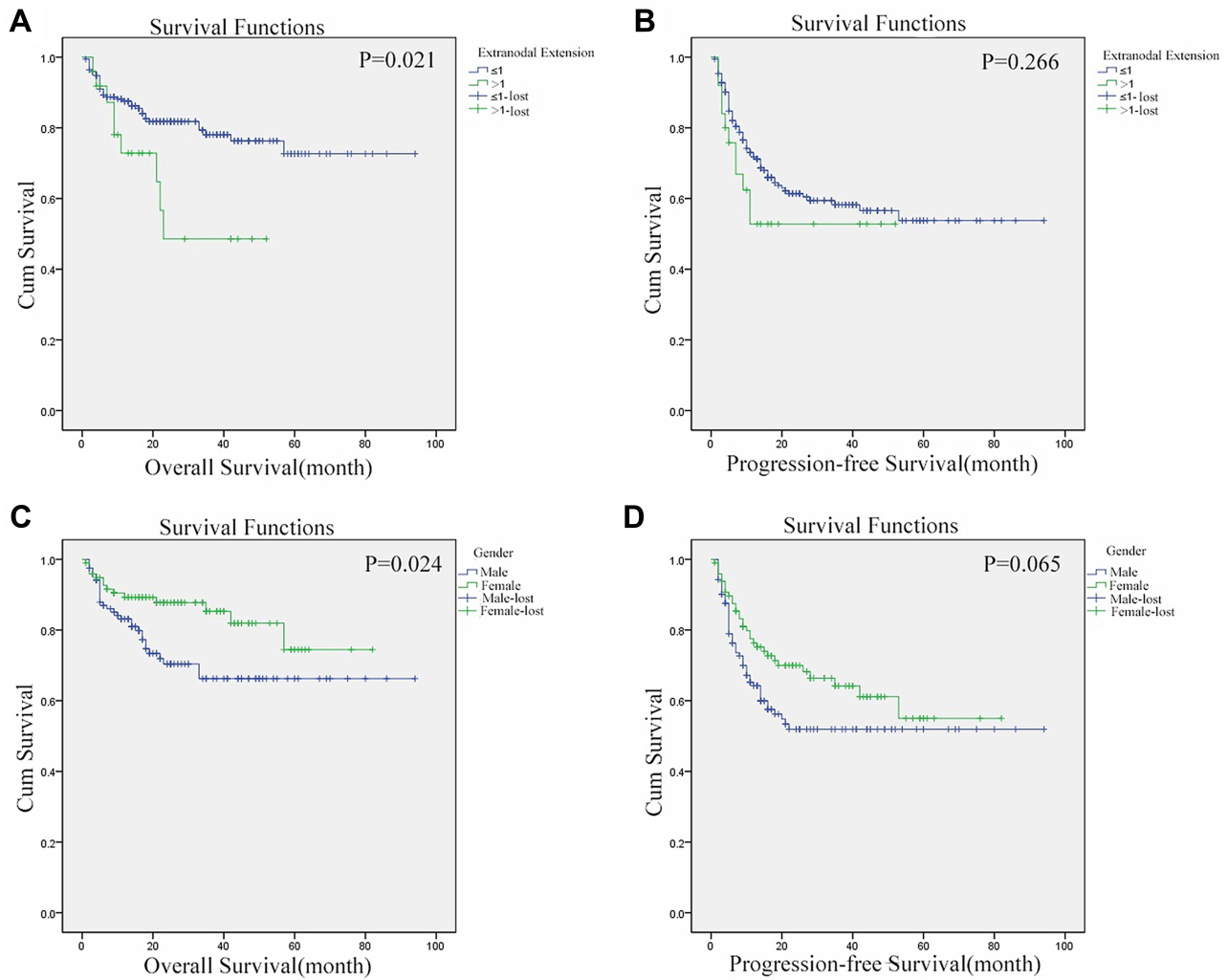


Figure 3 Comparison of the influences of extranodal involvement degree and sex on both patients' OS and PFS. **(A)** Comparison of the influences of extranodal involvement degree on patients' OS. **(B)** Comparison of the influences of extranodal involvement degree on patients' PFS. **(C)** Comparison of the influences of sex on patients' OS. **(D)** Comparison of the influences of sex on patients' PFS.

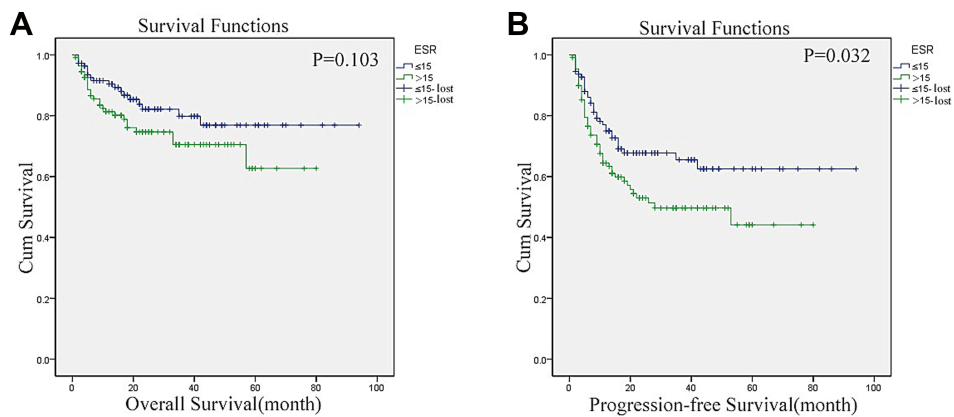


Figure 4 The influences of ESR on OS and PFS of PENL patients. **(A)** The influences of ESR on patients' OS. **(B)** The influences of ESR on patients' PFS.

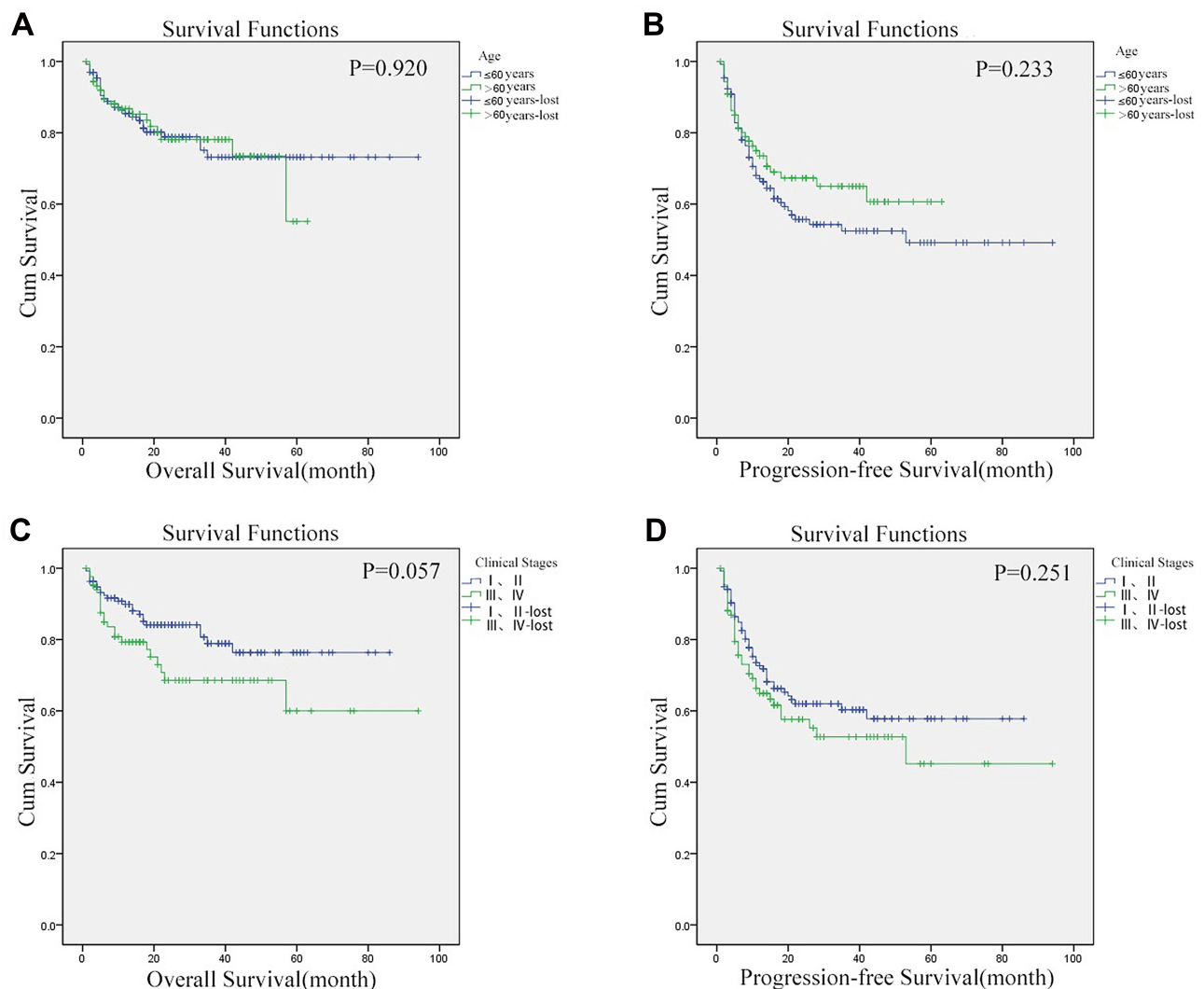


Figure 5 Comparison of age and clinical staging on both patients' OS and PFS. **(A)** Comparison of age on patients' OS. **(B)** Comparison of age on patients' PFS. **(C)** Comparison of clinical staging on patients' OS. **(D)** Comparison of clinical staging on patients' PFS.

terms of OS and PFS ($P=0.057$, $P=0.251$, as shown in Figure 5C and D).

The single-factor analysis showed that:

1. Elevated serum LDH, β 2-MG, poor performance status, diverse extranodal involvement, male sex, and the pathological subtype of the T-cell origin were all associated with a worse prognosis.
2. Elevated serum LDH, ESR, β 2-MG, poor performance status, and pathological subtype of the T-cell origin were the inferior prognostic factors for PFS.

3. Serum LDH, β 2-MG, performance status and pathological subtype were all correlated with the patient's OS and PFS.

Cox Regression Model Multivariate Prognostic Analyses

Based on the multiple factors that affect the prognosis of patients with OS and PFS from the single-factor analysis, the above factors were incorporated into the Cox regression model for a multivariate prognostic analysis (Table 4), the results of which are shown as follows:

Table 4 The Cox Regression Model Multivariate Prognostic Analysis of PENL Cases

Factors	OS (P value)	PFS (P value)
Serum LDH level	0.065	0.006
Serum β_2 -MG level	0.007	0.221
Performance status	0.020	0.003
The degree of extranodal involvement	0.085	0.228
Sex	0.162	0.387
Pathological subtype	0.001	0.001
ESR level	0.857	0.570

1. Serum β_2 -MG, performance status, and pathological subtypes were independently related factors for patients' OS.
2. Serum LDH, performance status, and pathological subtypes were independently related factors for patients' PFS.
3. Performance status and pathological subtypes were independently related factors that influenced both the patients' OS and PFS.

Discussion

As a special type of lymphoma, the concept of PENL has been proposed since the 1960s, whereas the analysis of prognostic factors related to PENL has rarely been reported. In this study, a retrospective analysis was performed on PENL patients. According to our single-factor and Cox regression model analysis, serum LDH was thought to be an independent risk factor that affected patients' PFS. Many studies have confirmed that serum LDH levels is one of the most important indicators of IPI scores, and the higher the level, the worse the prognosis for patients with lymphoma.^{9,10} According to this scoring system, the performance statuses (ECOG scores) of the groups scoring 0–1 and 2–4 were significantly different from the survival rates,⁹ which was consistent with the conclusion that ECOG scores are independent risk factors for OS and PFS in patients. Studies have shown that elevated levels of serum β_2 -MG are associated with a high tumor burden, short tumor-cell multiplication time, and inferior prognosis of highly aggressive lymphoma.^{11,12} In addition, serum β_2 -MG was considered an adverse prognostic factor for OS and PFS in patients.

However, the mechanism of the relationship between serum β_2 -MG and lymphomas is still poorly understood. The pathological subtypes of PENL are mainly DLBCL and mucosa-associated lymphoid tissue lymphomas, and 72% of extranodal lymphomas were DLBCL, according to a report by Al Diab.¹³ This study showed that there were 56.81% of DLBCL cases in the overall PENL cases and 16.81% were mucosa-associated lymphoid tissue lymphomas, which was consistent with relevant reports. Our multivariate statistical analysis suggested that pathological type was an independent risk factor affecting PENL patients' OS and PFS, and pathological subtypes with a T-cell origin had inferior prognoses. The reasons for this might be as follows: First, CD20 expression is mostly positive in lymphomas of B-cell origin and rituximab has a good effect on CD20-positive B-cell lymphomas.¹⁴ Second, most lymphomas of T-cell origin are NK/T cell lymphomas and chemotherapy combined with radiotherapy is the main regimen. Several patients have difficulty tolerating subsequent treatments because of the toxic side effects of radiotherapy, which may affect the prognosis of patients. Third, some lymphomas of B-cell origin are indolent and have a good prognosis, while most lymphomas of T-cell origin are aggressive and have a poor overall prognosis. Intragumtornchai enrolled 181 NHL patients and divided patients with T-cell and B-cell lymphomas into different groups according to their IPI scores.¹⁵ The results indicated that almost all patients with lymphomas of T-cell origin had a poor prognosis, and a multivariate analysis showed that T-cell origin was the most important independent prognostic risk factor. Although this study was aimed at PENL patients, the overall conclusions were relatively consistent.

The ratio of male patients to female patients was 1.24:1 in this study, and the incidence of PENL in men was slightly higher than that in women, which demonstrated that there was no significant difference in the sex ratio between the PENL patients from our region compared to other regions. The single-factor analysis suggested that male sex was a poor prognostic factor for OS in patients. There were more nasal types of NK/T-cell lymphomas in male patients, and according to pathological type (T-cell origin) as an independent adverse risk factor for patients' OS, the higher proportion of male patients with NK/T-cell lymphomas might reduce OS level. The most common primary site of PENL is thought to be the gastrointestinal tract, but the incidence of lymphoma in the head and neck has increased in some regions; thus, the site of PENL might be related to the region affected. As the main

primary site, the stomach accounted for 67.53% of primary digestive lymphomas, which greatly exceeded the proportion concluded by Shannon and colleagues.¹⁶ Several factors such as the region and food culture, environmental pollution, consumption of a large amount of stimulating food, and other factors might lead to more lesions in the gastrointestinal tract and other parts. In addition, in recent years, the widespread use of gastroscopy and other examinations has reduced the missed diagnosis rate of primary gastric lymphoma. Some patients were examined by gastroscopy only due to gastrointestinal discomfort, and the diagnosis was made via pathology. Furthermore, the primary lymphomas seen in the small intestines were classified as T-cell lymphomas, since colonoscopy and other examinations are difficult to be widely carried out. Thus, when the disease was diagnosed, the patients had intestinal perforation, intestinal obstruction, and other symptoms. Nasal NK/T-cell lymphoma was the main type of head and neck lymphoma seen in our study. Air pollution, oral hygiene, tobacco and the higher infection rate of EBV in China as a developing country contribute to the increased incidence of lymphoma in the nasopharyngeal area.

The patients included in this study had a wide range of ages (4–86 years), however, most of them were middle-aged and elderly; the median age was 56 years, which was similar to the relevant report.¹⁷ According to IPI scoring criteria, the age of 60 years was regarded as the cutoff level to determine the influence of age on the prognosis of PENL patients. Following the Kaplan-Meier survival curve analysis, we found that the impact of age on PENL patients' OS and PFS was not statistically significant. With the increase in age, DNA mutation probability, adaptive immune dysfunction, epigenetic escape, and other mechanisms will increase the incidence of lymphoma.^{18,19} With the development of the economy, the average life expectancy gradually increases and the average age of lymphoma patients is also gradually increasing, so the reference age of 60 years may be out of date. Roth and other scholars²⁰ took age 70 as a dividing standard when studying primary central nervous system lymphoma and found that age was related to the prognosis of patients. Hence, although no correlation between age and the prognosis of PENL patients was found in this study, the prognosis of PENL patients could be studied through different age groups to further clarify the relationship between age and PENL in the future. Our results illustrated that clinical staging factors had a tendency to affect patients' OS, but not their PFS.

We know that PENL has numerous geographical differences in each country or region. Here, this report showed that β_2 -MG, LDH, performance status, and pathological subtypes were all the correlated independent risk factors of PENL, which were helpful for effectively judging the prognosis of PENL patients and guiding treatment. Nevertheless, there are also some limitations to this study that should be addressed in future research. First, in order to better collect the data information, some patients with incomplete initial diagnosis and that were treated in other hospitals were excluded, which might have caused a selection bias. Second, the ranges of origin were only from B-cells and T-cells, making it difficult to improve the prognosis analysis between pathological types, so it is necessary to further expand this research to cases of rare lymphomas and to reduce the error caused by the small sample of pathological types. Last, the data were limited by our cohort.

Conclusion

In summary, serum β_2 -MG levels, performance status, and pathological subtypes were independent related factors that affected patient's OS prognosis, whereas high serum LDH levels, poor performance status and special pathological subtypes were significantly associated with an inferior PFS; performance status and pathological subtypes were independent factors that influenced OS and PFS in all patients. Feasible and efficacious treatment in light of the relevant risk factors can help improving the prognosis of PENL patients.

Ethics Statement

Written informed consent was obtained from the patients. This research was approved by the Ethics Committee at the affiliated hospital of Xuzhou Medical University and was conducted according to the guidelines put forth in the Declaration of Helsinki.

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Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

Disclosure

The authors report no conflicts of interest in this work.

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