

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

Effect of Ayurvedic Intervention as an adjunct therapy in Post COVID-19 Mucormycosis (PCM): A non-randomized parallel group study

Dr. Praveen kumar Madikonda, Dr. Srikanth Babu Perugu, Dr. Ramadevi C H

PII: S0975-9476(22)00131-0

DOI: https://doi.org/10.1016/j.jaim.2022.100672

Reference: JAIM 100672

- To appear in: Journal of Ayurveda and Integrative Medicine
- Received Date: 3 November 2021

Revised Date: 25 April 2022

Accepted Date: 19 October 2022

Please cite this article as: Madikonda Pk, Perugu SB, C H R, Effect of Ayurvedic Intervention as an adjunct therapy in Post COVID-19 Mucormycosis (PCM): A non-randomized parallel group study, *Journal of Ayurveda and Integrative Medicine*, https://doi.org/10.1016/j.jaim.2022.100672.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2022 The Authors. Published by Elsevier B.V. on behalf of Institute of Transdisciplinary Health Sciences and Technology and World Ayurveda Foundation



Title: Effect of Ayurvedic Intervention as an adjunct therapy in Post COVID-19 Mucormycosis (PCM): A non-randomized parallel group study

Running title: Adjunct therapy Ayurvedic therapy for PCM

Contributors:

Dr. Praveen kumar Madikonda,¹ Dr. Srikanth Babu Perugu, ². Dr. C H Ramadevi, ³

- Associate Professor, Department of Department of Panchakarma, Dr. BRKR Government Ayurvedic College, Erragadda, Hyderabad, Telangana 500038, India Phone no: +91-9849271601 Email ID: pkmadikonda@gmail.com
- Principal, Department of XXXX, Dr. BRKR Government Ayurvedic College, Erragadda, Hyderabad, Telangana 500038, India Phone no: +91-9849463878; Email ID:drperugu@gmail.com
- Professor, Department of Shalakya, Dr. BRKR Government Ayurvedic College, Erragadda, Hyderabad, Telangana 500038, India. Phone no: +91-9849164668 Email ID: rama.sreerangam@gmail.com

Institutes and Departments involved:

 Department of Panchakarma, Department of Kayachikista and Department of Shalakya Dr. BRKR Government Ayurvedic College, Hyderabad.

Corresponding Author:

Dr. Praveen kumar Madikonda, Associate Professor, Department of XXXX, Dr. BRKR Government Ayurvedic College, Hyderabad. Phone no: +91-9849271601; Email ID: pkmadikonda@gmail.com

Present address of the corresponding Author:

Dr. Praveen kumar Madikonda, Associate Professor, Department of XXXX, Dr. BRKR Government Ayurvedic College, Hyderabad. Phone no: +91-9849271601 Email ID: pkmadikonda@gmail.com

Permissions:

- 2. Ministry of Health & Family welfare, Government of Telangana(Memo 4609/E/2021)
- 3. IEC- DRBRKRGAC/2021

Author role declaration

| 4609/E/2021) | | | |
|------------------------------------|---------------|---------------|---------------|
| 3. IEC- DRBRKRGAC/202 | 1 | | |
| Author role declaration | | | |
| | Contributor 1 | Contributor 2 | Contributor 3 |
| Concepts | v | v | v |
| Design | v | v | v |
| Definition of intellectual content | v | v | v |
| Literature search | v | | v |
| Clinical studies | v | v | v |
| Experimental studies | v | v | V |
| Data acquisition | v | | v |
| Data analysis | v | v | v |
| Statistical analysis | v | V | v |

| Manuscript preparation | v | v | v |
|------------------------|---|---|---|
| Manuscript editing | v | v | v |
| Manuscript review | | v | v |

Conflicting Interest (If present, give more details): Nil

Acknowledgement: We are highly indebted to the support we received from Commissioner Department of AYUSH, Dr. Alagu Varsini IAS for the initiation and monitoring at every step of study. We also acknowledge the support of Dr.T.Shankar, Superintendent Government ENT Hospital Koti, Hyderabad, Dr.Manish Gupta, Associate Professor, ENT hospital, Hyderabad for providing facilities to work at Government ENT hospital, Hyderabad for conducting of the study. We thank Dr. V. Balakrishna, Drug inspector, Dept of Ayush, Telangana, Dr. Saketh ram, Research officer, NIIMH-CCRAS, Hyderabad. We convey our thanks to Dr. Ak. Sailaja. Head Dept of Pharmaceutics, RBVRR, women's college of pharmacy, Hyderabad for conduction of lab analysis of antifungal properties of Ayurveda intervention drugs. The contribution of following PG scholars Department of Shalakya, BRKR Government Ayurvedic college, Hyderabad Dr. Paidipala Girija Shree, Dr.Mangilipally Divya, Dr.A. Mallikarjun, Dr. Nalini Yadav, Dr. Punnam ramya kumari is worth mentioning as they worked in the wards maintaining case records and follow-up studies of patients. Internees Dr Akshay Tandley, Dr.P. Mounika priyadarshini Dr.D.Anagha, Dr Hima vani, Dr Himanshi Choudhary, Dr.P Pravalika yadhav and Dr Varthya mohan naik extended support in data collection.

Financial support and sponsorship: Entire financial support for the project has been extended by Department of AYUSH, Telangana. [Grant number: Letter no 446/C/2021]

2 (PCM): A non-randomized parallel group study.

3 Abstract

Background: The Ayurveda therapy is often used as an adjunct to conventional allopathic
treatments for management of chronic disorders including life threatening infections such as
post COVID-19 Mucormycosis (PCM).

Aims/ Objectives: The aim of the current study is to evaluate the role of adjunct Ayurveda
therapy (AAT+CAT) over conventional Allopathic therapy (CAT) in the prevention of
progression of oral/ orbital / neural extension of PCM.

Material and methods: A non-randomised parallel group interventional study was on a 10 11 sample of 92 cases of PCM, sorted into two groups i.e. group A (n=46; AAT+CAT) and group B (n=46; CAT/controls). The group A received AAT (lab-tested standardised regimen) while 12 simultaneously receiving conventional antifungal measures (or CAT). The outcomes assessed 13 were clinical symptomatic grading score, Nasal endoscopic examination for patency of sinuses, 14 Progression or extension of disease from sinuses to maxilla, orbit and brain, need of additional 15 16 surgical interventions and antifungal medication after study period, adverse drug reactions and mortality. 17

Results: The group A (AAT+CAT)) had shown extension free PCM in 86.96 % (n=40) as
opposed to 41.3% (n=19) in group B (CAT), No surgical interventions were needed in 89.13
%(n=41) in group A vs. 60.87% (n=28) in group B. Around 69.5% (n=32) in group A vs. 4.37%
(n=2) in group B did not need antifungal medication. The safety of both arms of the therapy
has been determined by liver function and renal profile which are with in normal range in both
groups.

Conclusion: Adjunct Ayurveda therapy (given along with routine medical therapy) for PCM
showed a better cure and reduced disease progression after a trial period of 45 days and in the
extended observational period of three months. AAT+CAT regimen is not only therapeutically
effective, but also safe and economical option to consider for PCM.

Keywords: Ayurveda, COVID-19, Mucormycosis, Complementary Medicine, Medicine,
Alternative, AAT (Adjunct Ayurveda Therapy), CAT (Conventional Allopathy therapy)

30

31 Introduction

Coronavirus disease 2019 (COVID-19) is caused by Severe Acute Respiratory Syndrome 32 Coronavirus-2 (SARS-CoV-2) and is the reason for the on-going pandemic. Since its detection, 33 34 in December 2019 in China, an adherence to one a standard pathophysiology, management, or occurring complications were noted.^[1] The Post COVID-19 Mucormycosis (PCM) or 'black 35 fungus' (local term), is an infection that causes necrosis in the head and neck regions (affecting 36 the nose, paranasal sinuses, eyes, facial bones, and the brain).^[2] The PCM is associated with a 37 high morbidity and mortality accountable to delayed diagnosis and rapid progression. The 38 Indian Health Ministry has advised all states to declare mucormycosis an epidemic. Patients 39 with uncontrolled diabetes, a dysfunctional immune system due to Covid infection and 40 injudicious use of corticosteroids could be largely responsible for this ailment. The central/ 41 42 state government authorities tried to cope up with this rapidly progressive ailment with a conventional approach of providing possible antifungal measures following initial surgical 43 debridement^{.[1-3]} 44

The Ministry of Health & Family Welfare of Telangana State in an unprecedented move
officially permitted adjunct Ayurveda intervention with conventional Allopathic antifungal
management for Mucormycosis at two major allopathy hospitals of Hyderabad with effect from

26/5/2021.^[4] In this regard, more than 130 patients of PCM have been provided adjunct 48 Avurveda intervention at Government ENT hospital, Hyderabad, TS, India.^[4] According to the 49 statement issued by Union Health ministry of India on 22nd June, 2021, a total number of 45, 50 374 cases of Mucor mycosis were reported in the country and out of which 4332 deaths have 51 occurred due to the ailment. The reported Mortality rate is around 9.6%, unlike the previously 52 observed mortality associated with non COVID-19 related mucormycosis which was around 53 54 50 to 80%. As per the data available on 21st July 2021, Telangana reported 2538 cases of PCM with a lesser mortality rate than expected as compared to the other states, owing to prompt 55 56 actions by the government of Telangana to provide adjunct therapy with Ayurveda for Mucormycosis^{.[6]} 57

Though rate of death is lesser than the anticipated figures, PCM still remains to be a huge cause 58 of concern, due to its rapidly progressive nature and its extension to orbits causing permeant 59 vision impairment enforcing an inevitable enucleation in some of the patients. The 60 identification (of diabetes / steroid supressed cases)/ risk factors etc) and early addressing is 61 needed for dealing with PCM.^[7] The role of Ayurveda in providing a treatment plan for 62 COVID-19 is well documented in literature.^[8] The role Ayurveda for the management of PCM 63 is extensively discussed in reviews. ^[9,10] A case report highlighted that nasal spray irrigation 64 employed in Ayurvedic practice, aided in prevention of rhino-orbital PCM progression.^[11] 65 66 However, the utility of Ayurveda based adjunct therapies for PCM, explored in a clinical study are sparse in literature and are need to be reported. 67

68 Aim:

The aim of the current study is to evaluate the role of adjunct Ayurveda therapy (AAT) given
with conventional Allopathic therapy (CAT) over CAT alone in the prevention of progression
and oral/ orbital / neural extension of PCM.

72 **Objectives:**

To compare namely the *nasal crusts and synechiae, clinical symptoms/grading,* need/stoppage
of Antifungal medicine, disease progression, additional surgical requirements, Mortality and
Adverse effects, and bio-chemical parameters between groups that received adjunct Ayurveda
therapy (AAT) with conventional Allopathic therapy (CAT) over CAT alone in post COVID19 mucormycosis (PCM) cases.

78

79 Methodology:

80 Study settings:

A non-randomised parallel group interventional study (Quasi-Experimental Design) was
conducted at Dr. BRKR Government Ayurvedic College, Hyderabad with collaboration of
Government ENT Hospital, Hyderabad from of 26th May 2021 to 26th July 2021. The study
was conducted on on a sample of 92 patients with Post COVID-19 mucormycosis (PCM).

85 Sample size and sampling:

A sample 92 was obtained by non-probability sampling (conventional method) and sorted into 86 two groups i.e. group A (n=46; AAT +CAT was given) and group B (n=46; CAT was given/ 87 taken as controls). The participants in group A received AAT (lab-tested standardised regimen) 88 89 while simultaneously receiving conventional antifungal measures (or CAT). The sampling 90 technique used was consecutive systematic sampling (every first case that fits the set criteria was considered to be sorted into group A and the next into group B, until the sample size was 91 reached). The treatments were not blinded i.e. those who consented for ATT were only taken 92 93 for group A, followed by equal sampling in group B (CAT/ controls). Thus, non-randomised

94 nature of design is explained. Random allocation to ATT+CAT or CAT groups was not
95 considered, owing to patient consent and concerns over novel treatments during pandemic.

The study was approved by Institutional Ethical Committee, of Dr BRKR Government
Ayurvedic College, Hyderabad. (Reference no: IEC/DRBRKARGAC 2020-21; dated
24/05/2021). A written informed consent was obtained in English and local langue (Telugu)
for all the participants before commencing of the study.

100 Study criteria:

The patients between age group 18 years to 70 years of either gender, who diagnosed PCM, with a willingness to participate in the study (by providing a duly signed consent form), those who have been taking Conventional allopathy treatment (with Amphotericin B for three days following FESS (functional endoscopic sinus surgery) for Mucormycosis as per the protocol of Government ENT Hospital, patients who are willing to use Ayurveda medicine at least for thirty days, patients with mild to moderate Mucormycosis with Nasal, oral involvement clinically with or without orbital involvement were included in the study.

108 The cases of Mucormycosis presenting with complications such as stroke and seizures, known 109 cases of PCM with CNS involvement or Pulmonary Mucormycosis, the participants who failed 110 to use AAT continuously for thirty days and those unwilling and uncooperative for study were 111 excluded.

112 Patients and groups

In the group A in which AAT+CAT was given, 46 patients could complete study period of 45 days duration and were observed for a total period of three months. Similar number of patients (n=46) were included in group B (controls/ CAT was provided). There were 26 dropouts from the group A. All of them dropped from the study within two to five days of starting the

intervention. The reason for drop out is largely due to the apprehension regarding the use of both Ayurveda and allopathy at the same time. Though 62 patients were recruited under control group, 16 patients were excluded as their initial MRI findings are suggestive of CNS spread at the time of registration. All the registered patients underwent a mandatory FESS (functional endoscopic surgery) with a three day intervention with Amphotericin B. All the patients there after were kept on Posaconazole in a dose of 300mg per day.

123 Need of the control group: Conventional allopathy intervention is an established therapy for 124 Mucormycosis. Therefore the efficacy & advantage of Adjunct Ayurveda intervention will be 125 known only when it is compared with the same given in control group.

126 Interventions

All the participants that fit the criteria of the study and diagnosed with PCM underwent initial 127 FESS (functional endoscopic sinus surgery) and mandatory administration of Amphotericin B 128 129 for three days. The following outcomes were measured ain both groups. Specifically, the group A participants received FESS +Amphotericin B for three days, Posaconazole for at least two 130 weeks along with AAT. The group B (controls) received only CAT after common routine 131 (FESS +Amphotericin B for three days + Posaconazole as per the advice of ENT doctors). The 132 AAT used for the group A subjects is summarised in Table 1. The antifungal efficacy of the 133 Ayurveda medicines used was pre-tested in laboratory settings. 134

135

Table No 1 – Adjunct Ayurveda Intervention used in the present study

| Principle /rationale behind Ayurvedic intervention medicine | Name of the compound Ayurveda medicine* | Dosage |
|--|--|--------|
|--|--|--------|

| 1 | Effective against fungal ailments | 1. Gandhaka rasayana (3,4) | 500 mg tab thrice a day after food |
|---|-----------------------------------|--|---|
| | | 2. Kaisora guggulu(5-10) | 500mg tab thrice a day after |
| | | | food |
| 2 | Aiding in Symptomatic | 3. Dasamula katutrayadi kashayam | One gram tablet once a day |
| | improvement, Anti inflammatory | 4. Vyoshadi vati | 500 mg 2 tab twice day- chewable |
| | | | Č |
| 3 | Immune promotive | 5. Vasanta kusumakar ras | One tab once a day |
| | Anti hyperglycemic | 6. Nishamalki | 500 mg 2 tab twice a day |
| 4 | Drugs which improve local hygiene | Surasadi gana taila nasya (11, 12) | 2 drops in each nostril for local application once a |
| | (Topical use) | | day morning |
| | 50 | 2. Triphala, Daru haridra Kashaya for gargling (Kavala) | 50 gm of the powder to be boiled in a 400ml of water and reduced to 100 ml. for gargling in mouth with closed lips for 10 min/ twice a day (Kavala) |
| | | Fumigation of sinuses with herbal Sticks made of Trifala & daruharidra (Dhuma Nasya) (13-14) | Fumes from the herbal sticks made of Trifala & Daruharidra powders (Berberis Aristata) |

136

*as Vata-kapha symptoms were more, Ushnodaka was advised as Anupana.

Analysis of antifungal activity of the Ayurveda Intervention drugs: The anti-fungal activity
of Kaisora guggulu, Gandhaka rasayanam, Dashamula Katutrayadi kashayam, Trifala and
Daruharidra powder was tested against Aspergillus niger, Candida albicans and Rhizopus

oryzae. Anti-fungal activity studies were performed at Laboratory College of pharmacy, 140 Barkatpura, Hyderabad. The method employed was Agar well diffusion method. 141 Clotrimoxazole solution was taken as standard. From the results it was observed that Kaisora 142 guggulu was exhibiting antifungal activity against *Aspergillus niger* with a zone of inhibition 143 of 8 mm. Gandhaka rasayanam was exhibiting antifungal activity against Aspergillus niger 144 with a zone of inhibition of 14 mm. Dashamula Katutrayadi kashayam was exhibiting 145 antifungal activity against Aspergillus niger with a zone of inhibition of 13 mm. Trifala and 146 Daruharidra powder was exhibiting antifungal activity against Aspergillus niger with a zone of 147 148 inhibition of 16 mm. Whereas the standard Clotrimoxazole solution was exhibiting 18mm. Dashamula Katutrayadi kashayam was also exhibiting anti-fungal activity against Candida 149 albicans with a zone of inhibition of 10 mm. Whereas the standard was showing 25 mm. (See 150 figure 1a-d) 151



Figure 1- Laboratory analysis (antifungal activity) of Ayurveda intervention against *Aspergillus nigger*: 1a, 1b: Kaosora gugullu and Gandhaka rasayana- Zone of inhibition (ZOE) at 8mm and 14mm respectively; 1c,1d: Dasamula katutrayadikasayam and Daruharidra churna- ZOE at 13mm and 16mm

157

The above report showed that Kaisora guggulu, Gandhaka rasayanam, Dashamula Katutrayadi kashayam, Trifala and Daruharidra powder were exhibiting good anti-fungal activity against *Aspergillus niger*. Dashamula Katutrayadi kashayam was also effective against Candida albicans. Surasadi taila couldn't exert antifungal activity in laboratory probably due to its lesser solubility in the medium.

163 **Outcomes assessed:**

- 1. Examination for nasal crusts and synechiae: Following the FESS, all were 164 examined for presence of nasal crusts and synechiae at every fortnight. The 165 observations and findings made by ENT doctors were documented in their case 166 records. Patency of all sinuses and absence of nasal crust is an important indication 167 for disease clearance from the channels of nose, which was found to be in 168 accordance to the clinical observations and symptomatology improvement. 169 However in some cases Synechiae, of the Sino nasal cavity may occur following 170 Endoscopic nasal surgery(ENS) also referred as DNE (Diagnostic nasal 171 endoscopy). 172
- 173
 2. *Clinical symptoms and grading:* This was done based on MRI and clinical grading
 174 systems to compare the scores before and after interventions. MRI of sinuses and
 175 brain is an important guideline to establish extent of disease progression and
 176 remission. All the registered patients were graded based on their presenting clinical
 177 symptoms and MRI and clinical symptoms for intergroup assessments. See Table 2
 178 for MRI based grading

| S.no | Government ENT hospital, Hyderabad) Site of spread | Grading |
|------|--|---------|
| 1 | MRI of evidence of only Sino-nasal disease | Grade 1 |
| 2 | MRI suggestive of Sino-nasal-maxillary disease | Grade 2 |
| 3 | MRI suggestive of disease limited to rhino-orbital involvement | Grade 3 |
| 4 | MRI suggestive of rhino-orbital-cerebral disease | Grade 4 |

 Table 2: Magnetic resonance imaging (MRI) based grading (as per grading Government ENT hospital, Hyderabad)

181

179 180

182

- 3. Use /Stoppage of Antifungal medicine: In both groups, patients were enquired for 183 their continuity or stoppage of antifungal medication. Those with both clinical 184 improvement and patent sinuses are advised to stop antifungal oral medicine 185 Posaconazole. But repeated hospital admissions and requirement of additional 186 surgical interventions such as maxillectomy and orbital exenteration necessitates 187 the need of using Liposomal Amphotericin B and/or Posaconazole for an extended 188 period, which in a way adds to the financial burden both to patient and on health 189 care system. 190
- 4. Disease progression: To be able to prevent progression of disease has been one of
 the primary objectives of Adjunct Ayurveda therapy intervention. This has been
 assessed on the basis of disease progression/ extension from sino nasal to oral
 cavity, orbits and CNS. Post therapy MRI has been carried out in some of the
 patients also revealed post therapy disease status and its extension.
- 5. Additional Surgical requirement: Those who either doesn't respond to therapy
 the disease may spread to oral cavity or orbit enforcing either maxillectomy or
 enucleation depending on the severity. Lesser the need of any further surgical needs
 during and after the study period, the greater the efficacy of intervention.
- 200

6. Mortality & Adverse effects: Though Mortality has been also taken as one of the

| | 11111 | nal | D | | | |
|--|-------|------|---|--|--------------|--|
| | uL. | llai | | | <u>, 1</u> (| |

parameter, as discussed earlier, reported rate of death in PCM has been observed to
be less than non COVID related mucormycosis. All the patients also have been
enquired for any drug intolerance & adverse drug reaction in both groups.

7. Bio-chemical parameters: To witness any deleterious effects on liver, kidney, and
 bone marrow, by conducting and comparing before and after biochemical
 parameters such as serum creatinine, blood urea, Liver function tests.

207 Trail monitoring

The study was monitored by principal investigator (PI) who had actively communicated with a site staff (Research assistant, at ENT hospital who collected data). The Research assistant was reviewed by PI weekly for the procedures and records. The verification of the accuracy of data collected was ensured by co-investigator (A professor in Ayurveda). The results data reporting, drafting and review of work was done by a guarantor (A Senior professor in Ayurveda).

214 **Observations:**

215 Ninety two patients of PCM had a mean age of 46.3 ±10.2 years. Eighty one patients (88%) were aged 216 between 31-60 years. Most patients were male (n = 74) and the major co-morbidity has been diabetes mellitus in 83 patients (90%). Thirty six patients (39%) were newly diagnosed as diabetic during 217 COVID attack. A total of 59 patients (64.1%) received steroid therapy and 33 patients (35.8%) didn't 218 have any history of steroid use during COVID attack. Oxygen support was extended in 42 patients 219 220 (45.6%) and 50 patients reported that they did not use oxygen during covid period (54.3%), however none of the patients required ventilator support. The interval between COVID-19 recovery and, 221 hospitalisation for Mucormycosis was between 11-25 days in 54.6% patients. 222

As per the initial MRI Screening 58.7% had Sino nasal mucormycosis at the time of admission, followed
by 31.5% with rhino orbital involvement. Nineteen patients have initial clinical symptoms related to

225 Sino nasal mucormycosis: grade 1-(20.65%), and a maximum of 48.91% of patients have symptoms 226 related to rhino maxillary (grade 2), while 28 patients have presented with symptoms related to rhino 227 orbital involvement : grade 3 (30.4%). Even though majority of patients have evidence of Sino-nasal 228 mucor mycosis as per their MRI findings, most of patients presented with clinical symptoms related to 229 both nose and oral cavity. The initial mean of disease severity index, which has been ascertained, based 230 on MRI of PNS/ Brain and Clinical grading is same in both groups indicating matching of case vs 231 control. Over all 36 patients presented with pan sinusitis (40.44%). which was followed by and 232 Maxillary & Ethmoidal sinus involvement.

233 **Results:**

(i) Examination for nasal crusts and synechiae: Attempt has been made to document 234 the findings for presence of nasal crust, synechiae or patent sinuses, through 235 periodical DNE. 71.4% (n=33) of group A (AAT+CAT) subjects who recovered 236 from clinical symptoms presented with patency of sinuses without any trace of crust 237 238 or synechiae. This was only 6.5% (n=3) in control group. The DNE examination was deemed as 'not clear' in 28.26 % (n=13) and 82.6% (n=38) in group A and B 239 240 respectively. In 5 patients in group B, the details of DNE were not available as they have not turned up for their final follow up. The clinical symptoms of patients with 241 patent sinuses are very less compared to those with presence of crust and synechia. 242 Therefore the Endoscopic nasal evaluation could be taken as one of the standard 243 parameter for clearance of Mucor mycosis. Figure 2 shows outcomes of a clinical 244 case treated with AAT+CAT. 245



| 247 248 249 250 251 252 253 | | Figure 2- The observations of a clinical case in (ATT): 2a: pre-treatment DNS (showing crusts; 2b:post-treatment DNS (patent sinus); 2c: - showing normal maxillary bone ; 2d: radiographic appearance of effected bone after PCM in Control group (CAT); 2e: pre-treatment PCM case with palatal ulceration; 2f: post-treatment PCM case with resolution of ulceration after Ayurveda adjunct therapy. |
|---|---------------|--|
| 254 | (<i>ii</i>) | Clinical symptoms and grading: Post therapy MRI of PNS/Brain has been |
| 255 | | performed in 15 patients with persistent symptoms. Post therapy MRI showed CNS |
| 256 | | extension in 19.7% (n=9) in control or group B as compared to only in 6.6% (n=9) |

| | 1 D | | |
|---------|-------------|------|---------|
| Journa | $I Pre_{-}$ | .nro | 01 |
| JUUIIIA | | -010 | |

in Adjunct therapy or group A. Clinically 43% (n=20) and 13% (n=6) showed good
and excellent outcomes in group A as opposed to 19.6% (n=9) and 2.2% (n=1) in
group B (controls) See table 3.

8----r = ((------)

260

261

Table 3: Comparison of improvement in clinical grading after therapy

| Clinical Grading Result | Group | B (CAT) | Group A (<mark>AAT+CAT</mark>) | | |
|--------------------------|-------|---------|----------------------------------|------|--|
| Chinical Grauning Result | No. | % | No. | % | |
| Very Progressive | 4 | 8.7 | 0 | 0 | |
| Progressive | 5 | 10.9 | 0 | 0 | |
| Stable | 21 | 45.7 | 10 | 21.7 | |
| Good | 6 | 13 | 10 | 21.7 | |
| Very Good | 9 | 19.6 | 20 | 43.5 | |
| Excellent | 1 | 2.2 | 6 | 13 | |
| Total | 46 | 100 | 46 | 100 | |

between groups

262

(iii) Use /Stoppage of Antifungal medicine: Around 69.5% (n=32) in AAT+CAT
group vs. 4.37% (n=2) in control group did not need antifungal medication. Around
30.43% (n=14) in group A (AAT+CAT group) and 95.6% (n=44) in group B (CAT)
were still using antifungal medications.

(*iv*) Disease progression: Disease progression has been measured in terms of oral
extension of the disease from nasal sinuses to oral cavity, eye and brain. In the
group A (AAT+CAT) only 10.8%(n=5) underwent partial maxillectomy and the
MRI of one patient revealed CNS extension. In group B (control/ CAT) over all
58.6%(n=27) suffered with some or the other form of disease extension and 9 were
found to have CNS extensions. Around 30.4%(n=14) from control group underwent

| 279 | 3,4 |
|-----|---|
| 278 | in 89.13 %(n=41) in group A vs. 60.87% (n=28) in group B. See Table 4 and Figure |
| 277 | opposed to 41.3% (n=19) in group B (CAT). No surgical interventions were needed |
| 276 | the group A (ATT+CAT) had shown extension free PCM in 86.96 % (n=40) as |
| 275 | from the adjunct therapy group have any form of intra orbital extension. Overall, |
| 274 | of the control group the disease invaded orbits enforcing enucleation. While none |
| 273 | maxillectomy compared opposed to 10.8% (n-5) in adjuvant group. In Four patients |

280

Table 4: Comparison of the disease progression in both groups

| Sl. No | Affected Dort/Degion | Group <mark>A (AAT+CAT)</mark> | | Group B (CAT) | |
|---------|--------------------------------|--------------------------------|-------|---------------|-------|
| 51. 140 | Affected Part/ Region | n | % | n | % |
| 1 | Maxilla/ oral cavity Extension | 5 | 10.87 | 14 | 30.43 |
| 2 | Intra-orbital Extension | 0 | 0 | 4 | 8.7 |
| 3 | Intra-cranial Extension | 1 | 2.17 | 9 | 19.57 |
| 4 | No Extensions | 40 | 86.96 | 19 | 41.3 |
| Total | | 46 | 100 | 46 | 100 |



| Figure 3: comparisons | s of the | Disease progression i | n both groups |
|-----------------------|----------|-----------------------|---------------|
|-----------------------|----------|-----------------------|---------------|

Table 5 : Comparisons of the need for additional surgical interventions between groups

| SI. | Surgical intervention | Group A (<mark>AAT+CAT</mark>) | | Group B (ACT) | |
|-----|--|-------------------------------------|-------|--------------------|-------|
| No | Surgical intervention | No. of Subjects | % | No. of Subjects | % |
| 1 | Partial Maxillectomy | 5 | 10.87 | 13 | 28.26 |
| 2 | Complete Maxillectomy | 0 | 0 | 1 | 2.17 |
| 3 | Enucleation | 0 | 0 | 4 | 8.7 |
| 4 | No Any Surgical Intervention Required | 41 | 89.13 | 28 | 60.87 |
| | Total | 46 | 100 | 46 | 100 |



- 290 291
- 292

Figure 4: comparisons of the need for additional surgical interventions between groups

(v) Mortality & Adverse effects: There was no mortality in the 92 patients during the
study period of 45 days and also for an extended observation period of three months
in both adjunct therapy and control group. However, 2 patients from group A and
5 from group B have reported nausea, which could be due to use of oral
Posaconazole. No other major adverse effects have been observed during the study.

(vi) Bio-chemical parameters: The safety of both arms of the therapy has been
determined by liver function and renal profile which are with in normal range in
both groups.

301 **Discussion:**

Ayurveda has long before identified the relation between the ailments of nose, oral cavity, eye, ear and brain, not just for their closer proximity but also due to their inter connectivity. Acharya Charaka mentioned nose as the gate way of brain by stating *"NasahiShirasodwaram"*, which appears very true considering the spread of disease Mucormycosis from the channels and

sinuses of nose to brain. All the ailments related to nose, eye, ear and brain in Ayurveda are
grouped under one single disease entity called *"Urdhwajatrugata-vyadhi"*, a word referring to
ailments manifesting above the region of neck. ^[13,14,15]

Mucormycosis is an aggressive, rapidly progressive fungal ailment mimicking its symptomatology with some of *urdhwajatrugata*rogas (ailments of supraclavicular region) such as *Dusta-peenasa (sinusitis)*, Mukha-rogas (diseases of oral cavity), *chaladanta*(*loosening of teeth*),*talu paka* (Palatal abcess), Netrabhishyanda(conjunctivitis), Akshipaka (*pan ophthalmitis*), Adhimantha (conditions similar to glaucoma) and Hatadhimantha(Atropic bulbi).

PCM most often begins at the channels of nose, with nasal block (pratisyaya) and pain as the 315 first symptom. The upadrava/ complications of pratisyaya has been stated as Andhya 316 317 (blindness), which is observed in patients of PCM in the form of loss of vision. Acharya Susruta denoted a clinical condition called Akshipaka (pan ophthalmitis) and Adhimantha in drusti gata 318 rogas. It has been emphasised that the inflammatory condition of eye Akshipaka (pan 319 ophthalmitis), if not managed properly may lead to an incurable stage called Adhimantha, 320 leading to a vision loss. Considering all the above possibilities, AAT for the current study was 321 designed.^[15-18] Ayurvedic parameters related to PCM have been assessed in all patients as the 322 following table. (Table no 5). 323

 Table 5: Symptoms assessed in patients as per Ayurveda textual references
 [23]

| Sl.No. | Symptoms related to Nose found in patients of mucormycosis |
|--------|--|
| 1 | Singhnakam-ghanam (thick mucoid discharge) |
| 2 | Na vetthi-gandharasamcha (loss of smell and loss of taste) |
| 3 | Shushyathi- pinasa (dryness of the nose) |
| 4 | Ganda-akshi-shankha-rujam (pain in the eyes and temple region) |

| 1 | |
|----|---|
| 5 | Pari shoshite (extreme dryness of the nose) |
| 6 | Kruchra-uchwasanam(difficulty in breathing) |
| 7 | Shookapurna-nasa(cruts) |
| | Symptoms related to oral cavity and teeth |
| 1 | Chaala-danta (Loosening of teeth) |
| 2 | Bhakshanani-Adhikavyadha(severe pain while eating) |
| 3 | Talu-mamsena-pitika(eruptions on the mucosa of palate) |
| 4 | Shwayathu(swelling of gums) |
| 5 | Ruja(pain) |
| 6 | Paka(suppuration) |
| 7 | Puya-sravi(discharging of pus) |
| 8 | Maharuja(severe pain) |
| | Symptoms of Eye |
| 1 | Akshi Sopha (Swelling of eye) |
| 2 | Gouravam (Heaviness of eye ball) |
| 3 | Stambhana(loss of movement/stiffness) |
| 4 | Shankha-akshi-bhru-lalata-toda-spurana,bhedanam(pain at frontal eye region) |
| 5 | Nimesha-unmesha-kruchrath(difficulty in opening and closing of the eyelids) |
| 6 | Gurutha(heaviness) |
| 7 | Akshishopha(swelling of the eye) |
| 8 | Nidra(sleepiness) |
| 9 | Annanabhilasham(dislike for food) |
| 10 | Netra utpatya(severe pain on eye ball) |

Ayurveda intervention used in the present study included compound herbo mineral drugs 326 namely, "Gandhaka rasayana", "Kaisora guggulu" based on their antifungal activity, 327 "Vasantakusumakar ras", "Nishamalaki" considering their immune boosting effect, 328 "Dasamula katutrayadi Kashaya" tablet and "Vyoshadi vati" for its potential to reduce severity 329 of symptoms. Some of the local measures employed included, "Surasadi gana taila" in a dose 330 of 2 drops in each nostril which has been observed to provide good symptomatic relief in terms 331 332 of reduction in feeling of heaviness, numbress and pain in the nose. Many patients observed cleansing of channels of nose after instillation of "Surasadi gana taila" in the form of nasal 333 334 drops. Combination of "triphala" and "daruharidya churna", in the form of herbal decoction has been found to be helpful in minimising foul smell of mouth, oral ulcers and toothache. 335 Fumes inhaled from a herbal stick made up "triphala" and "daru haridra" known as "Dhooma 336 pana" in the ayurvedic context also has been advised in all patients. The fumes generated by 337 lighting the herbal stick, which are allowed to inhale from nose and exhaled from mouth for 338 three times in succession for three occasions in a span of twenty four hours. ^[13,15,16,19] 339

Additionally, efforts were made to analyse the basic anti-fungal activity of the above Ayurveda
intervention drugs and was tested by the method of Agar well diffusion with a standard
antifungal medicine. The study showed that *Kaisora guggulu, Gandhaka rasayanam, Dashamula Katutrayadi kashayam, Trifala - Daruharidra powder* were exhibiting good antifungal activity against *Aspergillus niger. Dashamula Katutrayadi kashayam* was also effective
against Candida albicans.

The treatment of mucormycosis (black fungus) in recent Ayurveda literature was described by Mohsina *et al*, and Karthik *et al*., which is in line with the treatment we offered in the group A.^[9,10] Rastogi S *et al*, in a recent case report had employed a saline nasal irrigation to be a primary intervention in suspected rhino-orbito-cerebral mucormycosis helps improving the recovery, which is also in line with one of the treatments in AAT+CAT group. ^[11] Authors

successfully managed the PCM with ayurvedic saline nasal irrigation case and also suggested 351 that Ayurvedic innate constitution (*prakriti*) may be much beneficial in *pitta* people as 352 compared to *vata* or *kapha* dominant people. People having allergic inflammatory sinus 353 disorders do not benefit much from saline nasal irrigation^[11] The current study results have 354 shown benefits Ayurveda treatments in PCM cases when used as an adjuvant. The evidence is 355 in line with existing case Reports of COVID-19 associated Mucormycosis in in Ayurveda and 356 Homeopathy.^[20] This shows a wide scope of these treatments to be explored with gold standard 357 drugs. We pre-tested the ayurvedic drugs under *invitro* laboratory settings, likewise an invitro 358 359 investigation had reported that Anu taila was found effective against Mucor species. The authors concluded that repeated *nasal medication (oil)* application demonstrated rapidly 360 abolished fungal microarchitectures than amphotericin B in scanning electron microscopy 361 (SEM) images. In the present study "surasadi gana taila" (herbal medicated nasal drops) has 362 provided instant symptomatic relief patients on AAT. This mechanism of action of this herbal 363 nasal-drops is that they can suppresses mucormycosis by regulating host TNF- α response and 364 inhibiting the fungal ergosterol biosynthesis.^[21] The level of evidence comes from case reports/ 365 invitro reports ^[11,20,21] in ayurvedic literature, whilst the current study adds valuable evidence 366 from a clinical study with comparisons with conventional therapies. It difficult to contrast the 367 exact observations from outcomes of the current study with the above existing evidence, as 368 study designs are not the same (case reports vs original experimental study). The lessons learnt 369 from loss of patients, underrated benefits of traditional Indian treatments during 2nd wave of 370 COVID-19 are highlighted in recent literature, recommending further clinical studies.^[22] 371

The merits of the study lie in the use of pre-tested Ayurveda therapy and the use AAT+CAT for PCM which is a novel addition to Ayurvedic medical literature. The limitations lie in short duration of follow up and non-random allocation while grouping. Mucormycosis as such needs an extended follow up for at least for period of six months to rule out the possibility relapse of

the disease. The future directions include larger clinical trials with the use of Ayurveda regimen
used here in multicentric cohort studies to establish the direct efficacy of the stated regimen for
PCM.

Conclusion: Adjunct Ayurveda with conventional allopathic intervention (AAT+CAT) for 379 PCM showed a better cure measured in terms of symptom score, disease progression, need 380 of conventional antifungal medicines and surgical requirements as compared to those who 381 received conventional allopathic therapy (CAT) alone. The combination (AAT+CAT) is not 382 only therapeutically effective, but also safe and economical option to consider for PCM. The 383 study shows that antifungal efficacy and the role of Ayurveda in management of emergency 384 state such as epidemics with outburst of contagious infections. Exploring the role of such 385 ayurvedic therapeutic options may aid in saving lives in pandemic. The study could be 386 witnessed as a step closer towards an integrated approaches in the healthcare sector in the near future. 387 388

389

390 Acknowledgements: We are highly indebted to the support we received from Commissioner Department of AYUSH, Dr. Alagu Varsini IAS, for the initiation and monitoring at of the study 391 every stage. We also acknowledge and thank Dr.T.Shankar, Superintendent Government ENT 392 Hospital Koti, Hyderabad and Dr.Manish Gupta, Associate Professor, ENT hospital, 393 Hyderabad for providing facilities to work at Government ENT hospital, Hyderabad for 394 conducting study. We thank Dr. V. Balakrishna, Drug inspector, Dept of Ayush, Telangana, 395 Dr. Saketh ram, Research officer, NIIMH-CCRAS, Hyderabad. We convey our thanks to Dr. 396 Ak. Sailaja. Head Dept of Pharmaceutics, RBVRR, women's college of pharmacy, Hyderabad 397 398 for conduction of lab analysis of antifungal properties of Ayurveda intervention drugs. The contribution of the following PG scholars Department of Shalakya, BRKR Government 399

Ayurvedic college, Hyderabad, Dr. Paidipala Girija Shree, Dr.Mangilipally Divya, Dr.A.
Mallikarjun, Dr. Nalini Yadav, Dr. Punnam ramya kumari is worth mentioning as they worked
in the wards maintaining case records and follow-up studies of patients. Internees Dr Akshay
Tandley, Dr.P. Mounika priyadarshini Dr.D.Anagha, Dr Hima vani ,Dr Himanshi Choudhary,
Dr.P Pravalika yadhav and Dr Varthya mohan naik extended support in data collection. We
Thank" Dr Santosh Palla for redrafting manuscript/ critically reviewing as per journal
guidelines ."

Financial support: Entire financial support for the project has been extended by Department

408 of AYUSH, Telangana. [Reference Letter no: 446/C/2021]

409 **Conflict of interest**; No conflict of interest is present with this study.

410

411 **References**

Sharma S, Grover M, Bhargava S, Samdani S, Kataria T. Post coronavirus disease
 mucormycosis: a deadly addition to the pandemic spectrum. J Laryngol Otol.
 2021;135(5):442-447.

2. Deaths due black fungus in India 415 to https://www.tribuneindia.com/news/ludhiana/mucormycosis-cases-on-decline-416 in- punjab-289209. Available from: https://www.aa.com.tr/en/asia-pacific/india-417 reports-more-than-4-300-deaths-from- black-fungus-to-date/2310117 418 3. Garg D, Muthu V, Sehgal IS, Ramachandran R, Kaur H, Bhalla A, Puri GD, 419

415 5. Garg D, Matha V, Sengar IS, Ramaenandran R, Rah H, Bhaha A, Full GD,
420 Chakrabarti A, Agarwal R. Coronavirus Disease (Covid-19) Associated Mucormycosis
421 (CAM): Case Report and Systematic Review of Literature. Mycopathologia.
422 2021;186(2):289-298.

| Lournal Dra proof | |
|-------------------|----|
| Journal Pre-proor | |
| | 27 |

| 423 | 4. | Hyderabad Ayurvedic treatment for black fungus to start today .Acessed from |
|-----|-----|--|
| 424 | | https://timesofindia.indiatimes.com/city/hyderabad/ayurvedic-treatment-for-black- |
| 425 | | fungus-cases-to-start-today/articleshow/82956177.cms |
| 426 | 5. | Sood A, Nayyar V, Mishra D, Kakkar A, Priya H. Post-COVID mucormycosis: |
| 427 | | Ascertainment of the pathological diagnostic approach. J Oral Maxillofac Pathol. |
| 428 | | 2021;25(2):219 |
| 429 | 6. | India reports 45,374 Black fungus cases, 4,332 deaths so far. Accessed from: |
| 430 | | https://telanganatoday.com/india-reports-45374-black-fungus-cases-4332-deaths-so- |
| 431 | | far |
| 432 | 7. | Pushparaj K, Kuchi Bhotla H, Arumugam VA, Pappusamy M, Easwaran M, Liu WC, |
| 433 | | Issara U, Rengasamy KRR, Meyyazhagan A, Balasubramanian B. Mucormycosis |
| 434 | | (black fungus) ensuing COVID-19 and comorbidity meets - Magnifying global |
| 435 | | pandemic grieve and catastrophe begins. Sci Total Environ. 2022 Jan 20;805:150355. |
| 436 | 8. | Rastogi S, Pandey DN, Singh RH. COVID-19 pandemic: A pragmatic plan for |
| 437 | | ayurveda intervention. J Ayurveda Integr Med. 2022;13(1):100312. |
| 438 | 9. | Mohsina FP, Faheem IP, Tabassum S, Shah I, Ahmad A. An insight of mucormycosis |
| 439 | | (black fungus) in ayurveda. Open Journal of Pharmacology and Pharmacotherapeutics. |
| 440 | | 2021;6(1):013-7. |
| 441 | 10. | Karthik KP, Dileep A, Rajagopala S, Mahapatra AK, Dharmarajan P. Ayurveda |
| 442 | | approach to mucormycosis and other fungal infections: A comprehensive review. |
| 443 | | Journal of Indian System of Medicine. 2021;9(4):216. |
| 444 | 11. | Rastogi S, Verma A. Jalaneti (saline nasal irrigation) as primary intervention in |
| 445 | | suspected rhino-orbito-cerebral mucormycosis helps improving the recovery: A case |
| 446 | | report. Journal of Ayurveda and Integrative Medicine. 2022;13(2):100516. |

| 447 | 12. Prasanna Kumar T, Vijay Kumar G, YumnamDhanesori Devirajivgandhi university of |
|-----|--|
| 448 | health sciences: in-vitro antifungal activity of gandhakarasayana, 2010, (Gandhak |
| 449 | Rasayana) Acessed from: https://www.ijam.co.in/index.php/ijam/article/view/23 |
| 450 | 13. Saokar RM, Sarashetti RS, Kanthi V, Savkar M, Nagthan CV, Screening of |
| 451 | Antibacterial and Antifungal Activity of GndhakaRasayana – an Ayurvedic |
| 452 | Formulation. International Journal of Recent Trends in Science and Technology, 2013; |
| 453 | 8(2):134-137. |
| 454 | 14. Gupta V, Bansal P, sahu M, sachdeva K, ghaiye P. An Ayurvedic Polyherbal |
| 455 | Formulation Kaishore Guggulu: A Review. International Journal of Pharmaceutical & |
| 456 | Biological Archives 2011; 2(1):497-503. |
| 457 | 15. Lather A, Gupta V, Bansal P, Sahu M, Sachdeva K, Ghaiye P. An Ayurvedic polyherbal |
| 458 | formulation Kaishore Guggulu: a review. Int J Pharm Biol Arch. 2011;2(1):497-503. |
| 459 | |
| 460 | 16. Lather A, Gupta V, Bansal P, Sahu M, Sachdeva K, Ghaiye P. An Ayurvedic polyherbal |
| 461 | formulation Kaishore Guggulu: a review. Int J Pharm Biol Arch. 2011;2(1):497-503. |
| 462 | |
| 463 | 17. Deogade MS, Pandya T, Prasad KS, Gupta H. Surasadi Gana Dravya-A review. Journal |
| 464 | of Indian System of Medicine. 2016;4(2):114. |

- 18. Peterson CT, Denniston K, Chopra D. Therapeutic uses of triphala in ayurvedic medicine. The Journal of Alternative and Complementary Medicine. 2017;23(8):607-1
- 19. Goli D. Anti-diabetic activity of stem bark of Berberis aristata DC in alloxan induced diabetic rats (Doctoral dissertation, RGUHS).
- 20. Javed D, Dixit AK, Vats H, Anwar S, Giri N. Review of Published Case Reports of COVID-19 Associated Mucormycosis with Search of Therapeutic Potential in Ayurveda and Homeopathy.

- 21. Balkrishna A, Rastogi S, Kharayat B, Tomer M, Varshney Y, Singh K, Kumari P, Dev 473
- R, Srivastava J, Haldar S, Varshney A. Anu taila, an herbal nasal-drop, suppresses 474
- mucormycosis by regulating host TNF- α response and fungal ergosterol biosynthesis. 475
- Journal of applied microbiology. 2022 Jan 13. 476
- 22. Panda AK, Palei DT, Rout S, Kar S. Ayurveda approaches to COVID-19 in 2nd wave: 477 Lessons learned from practice. 478
- 23. K.R. srikantha Murhty, Susruta Samhita, Vol 3, Uttara Stana, chapter 6 & 22, 479 Choukamba Orientalia, Varanasi 480

oundance

| Conflict of Interest an | nd Authorship | p Conformation | Form |
|-------------------------|---------------|----------------|------|
|-------------------------|---------------|----------------|------|

Please check the following as appropriate:

- All authors have participated in (a) conception and design, or analysis and interpretation of the data; (b) drafting the article or revising it critically for important intellectual content; and (c) approval of the final version.
- This manuscript has not been submitted to, nor is under review at, another journal or other publishing venue.
- The authors have no affiliation with any organization with a direct or indirect financial interest in the subject matter discussed in the manuscript
- The following authors have affiliations with organizations with direct or indirect financial interest in the subject matter discussed in the manuscript:

Author's name

Affiliation

| | | and the second | |
|--|---|--|--|
| | 2 | | |
| | 0 | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |