

# Tuning T-Cell Receptor Affinity to Optimize Clinical Risk-Benefit When Targeting Alpha-Fetoprotein-Positive Liver Cancer

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Patients with hepatocellular carcinoma (HCC) have a poor prognosis and limited therapeutic options. Alpha-fetoprotein (AFP) is often expressed at high levels in HCC and is an established clinical biomarker of the disease. Expression of AFP in nonmalignant liver can occur, particularly in a subset of progenitor cells and during chronic inflammation, at levels typically lower than in HCC. This cancer-specific overexpression indicates that AFP may be a promising target for immunotherapy. We verified expression of AFP in normal and diseased tissue and generated an affinity-optimized T-cell receptor (TCR) with specificity to AFP/HLA-A\*02<sup>+</sup> tumors. Expression of AFP was investigated using database searches, by qPCR, and by immunohistochemistry (IHC) analysis of a panel of human tissue samples, including normal, diseased, and malignant liver. Using *in vitro* mutagenesis and screening, we generated a TCR that recognizes the HLA-A\*02-restricted AFP<sub>158-166</sub> peptide, FMNKFIYEI, with an optimum balance of potency and specificity. These properties were confirmed by an extension of the alanine scan (X-scan) and testing TCR-transduced T cells against normal and tumor cells covering a variety of tissues, cell types, and human leukocyte antigen (HLA) alleles. **Conclusion:** We have used a combination of physicochemical, *in silico*, and cell biology methods for optimizing a TCR for improved affinity and function, with properties that are expected to allow TCR-transduced T cells to differentiate between antigen levels on nonmalignant and cancer cells. T cells transduced with this TCR constitute the basis for a trial of HCC adoptive T-cell immunotherapy. (HEPATOLOGY 2019;69:2061-2075).

Hepatocellular carcinoma (HCC) is the sixth-most common cancer and the second-most frequent cause of cancer-related death worldwide.<sup>(1,2)</sup> Current treatment options are limited, and patients suffer from a high rate of recurrence. The 10-year survival rate is only 7.2%. Most HCC

patients cannot tolerate aggressive chemotherapy and therefore are in need of better treatment options.<sup>(3)</sup>

Several features make HCC a promising candidate for immunotherapy. Gene products of human leukocyte antigen (HLA)-class I (HLA-A, -B, and -C) are essential for presenting intracellular antigens

*Abbreviations:* 3D, three-dimensional; AFP, alpha fetoprotein;  $\beta$ 2m,  $\beta$ 2-microglobulin; cDNA, complementary DNA;  $EC_{50}$ , half maximal effective concentration; GFP, green fluorescent protein; GTEX, Genotype-Tissue Expression Portal; HCC, hepatocellular carcinoma; HLA, human leukocyte antigen; IFN, interferon; IHC, immunohistochemistry; IL, interleukin; pHLA, peptide human lymphocyte antigen (complex); RPL32, ribosomal protein L32; TCGA, The Cancer Genome Atlas; TCR, T-cell receptor; wt, wild type.

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on the cell surface so that they can be recognized by CD8<sup>+</sup> T cells. In contrast to many cancers, HCCs do not generally down-regulate HLA-class I expression; HLA-A is up-regulated in 54% of patients.<sup>(4)</sup> A recent meta-analysis confirmed that high levels of HCC tumor infiltration by CD8<sup>+</sup> T cells improved clinical outcomes, including overall survival.<sup>(5)</sup> Several groups have isolated class I restricted tumor-killing T-cell clones that were generated spontaneously in HCC patients. Most of these clones recognized alpha-fetoprotein (AFP; see previous works<sup>(6,7)</sup> and references therein).

AFP is absent from normal adult tissues, except for trace amounts produced by the liver. Fetal AFP synthesis, however, can resume in certain tumors of endodermal origin and to a lesser degree in both liver pathology and regeneration (reviewed in a previous work<sup>(8)</sup>). Serum AFP levels are used as a biomarker of the disease, because they correlate inversely with the survival of patients with HCC.<sup>(9-11)</sup> Moreover, evidence points to active roles of the protein in cell proliferation, transformation, and metastasis, through both autocrine and paracrine actions.<sup>(8,12)</sup> Because of these properties, AFP has attracted interest as a target for immunotherapy. Several cytotoxic T-cell epitopes have been identified, including the HLA-A\*02-restricted AFP<sub>158-166</sub> peptide, FMNKFYIEI.<sup>(13)</sup> Nevertheless, therapeutic interventions based on natural T-cell receptor (TCR) repertoires for AFP/HLA have been effective in only a minority of treated patients.<sup>(6,13,14)</sup>

T cells that are naturally generated by thymic selection generally lack high-affinity receptors for self-antigens, including tumor-associated self-antigens.<sup>(15)</sup> A potentially more successful approach involves improving TCR affinity through protein engineering. This process, however, risks altering specificity and/or generating cytotoxicity against tissues expressing levels of antigen that are too low to be recognized by natural TCRs, but high enough for an affinity-enhanced mutant TCR.<sup>(16-18)</sup> Several studies were able to find a “therapeutic window” of TCR affinity within which efficient tumor killing occurred with no or manageable damage to healthy tissue.<sup>(14,17)</sup> New TCRs recognizing AFP<sub>158-166</sub>/HLA-A\*02:01 have been described recently.<sup>(19,20)</sup> T cells transduced with these TCRs protected mice against subcutaneous tumor xenografts and displayed cytotoxicity against a limited number of AFP<sup>+</sup>, but not AFP<sup>-</sup>, cell lines. However, reactivity against tissues or cells expressing low-to-intermediate AFP levels was not tested. Herein, we report on the development of a TCR with optimal affinity and specificity for targeting HCC expressing HLA-A2 and high levels of AFP.

## Materials and Methods

### AFP TARGET VALIDATION

Publicly available data on AFP mRNA expression were obtained from the Genotype-Tissue Expression

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Portal (GTEx<sup>(21)</sup>; <https://gtexportal.org/>) and from The Cancer Genome Atlas (TCGA; <http://cancergenome.nih.gov/>) and were reanalyzed using OncoPrint (https://www.oncoPrint.org). qPCR was performed on the TissueScan Cancer cDNA Array obtained from Origene Technologies (catalog no. LVRT101; Rockville, MD) and on complementary DNA (cDNA) prepared from target cell lines (see below). Quantitative RT-PCR was performed with a commercial Taqman assay (catalog no. 4331182; Thermo Fisher Scientific, Loughborough, UK), using the QuantiTect PCR Master mix with ROX (catalog no. 204345; Qiagen, Manchester, UK). cDNA was added to 25 ng/ $\mu$ L (cell line cDNAs) or 1 ng (Origene). Reactions were set up in duplicate in 96- or 384-well plates covered with MicroAmp Optical Adhesive Film (catalog nos. 4309849 and 4311971; Thermo Fisher Scientific). Amplifications were carried out on a QuantStudio7 Real-time PCR system (Applied Biosystems, Warrington, UK). Absolute quantification was performed by comparing mean computed tomography values of the test samples to those of a standard (titrations of plasmids with known number of copies of AFP or a housekeeping gene, ribosomal protein L32 [RPL32]). Numbers of AFP transcripts were normalized to 100 ng of total RNA or RPL32.

For immunohistochemistry (IHC) of AFP protein, tissue microarrays were purchased from US Biomax (LV805a, LV1201, and LV20812; Rockville, MD). We used a polyclonal rabbit antihuman  $\alpha$ -1-feto-protein antibody (catalog no. A0008; DAKO, Santa Clara, CA). Tissue sections were pretreated, and staining was performed in a DAKO Autostainer Link 48 with the EnVision Flex detection system and hematoxylin stain (catalog nos. K8002 and K8008; DAKO, Ely, UK), according to protocols supplied by the manufacturer.

## TARGET CELL LINES

The HCC lines, HuH6, JHH-5, and JHH-4, were purchased from the Japanese Collection of Research Bioresources Cell Bank (Osaka, Japan), and Hep3B cells were obtained from Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH (Braunschweig, Germany). Other human nonprimary cell lines were supplied by ATCC. Epstein-Barr

virus (EBV)-transformed B lymphocytes were supplied by the Immunohistocompatibility Working Group (Seattle, WA). These cell lines were cultured in RPMI 1640 or Iscove's modified Dulbecco's medium supplemented with 10% fetal bovine serum (FBS), 1% PenStrep, and 1% L-glutamine. Primary cell lines were purchased from Sciencell (Carlsbad, CA) and Promocell (Heidelberg, Germany). In addition, a panel of *in vitro* differentiated inducible pluripotent stem (iPS) cell-derived cell lines (iCell) was purchased from Cellular Dynamics International (Madison, WI). These were derived from a single HLA-A\*02:01<sup>+</sup> donor and selected to represent all major organs of the human body. Where necessary, cells were stably transduced with lentiviral vector to coexpress human  $\beta$ 2-microglobulin ( $\beta$ 2m) with a relevant HLA-A\*02 subtype. Details of primary and iCell lines and the media used for their culture are shown in Supporting Table S1. All cell lines were routinely assessed for mycoplasma contamination (Mycoplasma experience Ltd, Bletchingley, UK), and cell-line integrity was routinely confirmed by short tandem repeat analysis (LGC Ltd, Teddington, UK).

## PROTEIN EXPRESSION AND PURIFICATION

Procedures used for preparation of proteins used in this study have been described.<sup>(22)</sup> AFP<sub>158-166</sub> peptide (FMNKFYIEI, >90% pure) was obtained from Peptide Protein Research Ltd. (Fareham, UK). Codon-optimized genes for all of the proteins used in this study, including soluble forms of both TCR  $\alpha$  and  $\beta$  chains, soluble  $\beta$ 2m (residues 21-119), and a soluble HLA-A\*02:01 heavy chain (residues 25-276), were cloned into the pGMT7 expression vector (Promega, Southampton, UK). Soluble HLA-A\*02:01 heavy chain was expressed with a C-terminal *in vitro* biotinylation tag and refolded in the presence of both soluble  $\beta$ 2m and peptide. After enzymic biotinylation with BirA-500 (Avidity, Colorado, CA), this refolded complex was purified as soluble HLA-biotinylated peptide HLA (pHLA) monomers by ion exchange and gel filtration to phosphate-buffered saline. Refolding of soluble TCR  $\alpha/\beta$  heterodimer was assisted by an artificial disulphide bond introduced by genetic engineering.<sup>(22)</sup>

## GENERATION OF AFFINITY-ENHANCED TCR MUTANTS AND BIOCHEMICAL CHARACTERIZATION

Affinity-enhanced mutants were engineered by using parental TCR  $\alpha$  and  $\beta$  chains as templates for mutagenesis of their complementarity-determining regions. High-affinity mutants were selected by phage display panning with pHLA-coated magnetic beads. Mutations from specific binders were cloned as separate TCR  $\alpha$  and  $\beta$  chains and refolded for affinity analysis. Equilibrium dissociation constants ( $K_D$ ) between TCRs and relevant biotinylated pHLA monomers were determined as described using streptavidin-coupled CM5 sensor chips and a BIAcore3000 instrument (GE Healthcare, Little Chalfont, UK).<sup>(22)</sup>

## T-CELL TRANSDUCTION AND CULTURE

Synthetic forms of the gene sequences for full-length wild-type (wt) AFP TCR  $\alpha$  and  $\beta$  chains were codon-optimized for maximal expression in human cells (GeneArt; Thermo Fisher Scientific). The genes for each pair of TCR chains were linked together in a single open-reading frame by a P2A ribosomal skipping sequence.<sup>(23)</sup> These fused genes were cloned into a glycoprotein of the vesicular stomatitis virus-pseudotyped lentiviral gene expression vector.<sup>(24)</sup>

Primary T cells expressing wt or affinity-enhanced TCRs were generated from peripheral blood mononuclear cells (PBMCs) obtained from healthy volunteers. PBMCs were harvested using a Lymphoprep Ficoll gradient, diluted to  $1 \times 10^6$  cells/mL in serum-free RPMI 1640 medium, and either subjected to a CD8- or CD4-negative isolation followed by mixing back at a CD4<sup>+</sup>:CD8<sup>+</sup> cell ratio of 1:1, or CD14<sup>+</sup> cell depletion. Isolated lymphocytes were incubated overnight with CD3/CD28 antibody-coated beads (Dynabeads; Thermo Fisher) in RPMI 1640 medium supplemented with 10% FBS (R10), 100 U/mL of recombinant human interleukin (IL)-2, and anti-CD3/anti-CD28. The following day, T cells were transduced to stably express the TCR genes by adding lentiviral vector supernatant at 1-2 $\times$  multiplicity of infection. TCR expression on the surface of stably transduced cells was confirmed by flow cytometry, using conjugated antibodies for the TCR  $\beta$  chain

(V $\beta$ 1-PE) and CD8. The fraction of CD8<sup>+</sup> cells was 60%-70% in T-cell cultures transduced with panel 1 and 20%-30% in cultures transduced with panel 2. For all TCRs except AFP<sup>c334</sup> and AFP<sup>c335</sup>, transduction was 55%-65% for CD8<sup>+</sup> cells and over 70% for CD4<sup>+</sup> cells. Similar results were obtained by staining with cognate pHLA tetramers, but sensitivity of the assay was low for some TCRs because of their relatively low affinity (not shown). Additionally, pHLA tetramer staining is poor in CD4<sup>+</sup> T cells compared to CD8<sup>+</sup> T cells and therefore under-represents transduced T-cell populations.

For small-scale culture, cells were expanded in 48- or 24-well microplates for up to 13 days. Beads were magnetically removed on day 5, and IL-2-containing R10 medium was refreshed every other day. For large-scale "mock clinical" cultures, TCR-transduced T cells were allowed to expand in TexMACS Good Manufacturing Practice serum-free culture medium (Miltenyi Biotech, Bisley, UK), T150 culture flasks until day 5, then transferred to WAVE Cellbags and expanded on a WAVE bioreactor (GE Healthcare), until day 12 before harvest and cryopreservation.

## CYTOKINE SECRETION

Interferon (IFN)- $\gamma$  ELISpot plate assays were performed with kits from Becton Dickinson (catalog numbers 551849 and 552572; Oxford, UK). TCR-transduced T cells were incubated overnight with the appropriate targets at a density of 50,000 cells each per well. Plates were read using an Immunospot analyzer (version 5.0; Cellular Technology Limited, Cleveland, OH).

Lymphokine secretion by fresh autologous whole-blood cells was assessed following the addition of AFP<sup>c332</sup>T cells expanded in microplate culture. The Luminex panel (catalog number LHC0009M; Thermo Fisher Scientific) included granulocyte macrophage colony-stimulating factor, IL-6, eotaxin, IFN- $\alpha$ , IL-7, interferon  $\gamma$ -induced protein 10, IFN- $\gamma$ , IL-8, monocyte chemoattractant protein-1, IL-1 $\beta$ , IL-10, monokine inducible by IFN- $\gamma$ , IL-1RA, IL-12, macrophage inflammatory protein 1 (MIP-1) $\alpha$ , IL-2, IL-13, MIP-1 $\beta$ , IL-2R, IL-15, regulated on activation normally T-cell expressed secreted, IL-4, IL-17, IL-5, and tumor necrosis factor  $\alpha$ . Reactions were set up in

triplicate at 50,000 and 250,000 cells per well in 96-well plates. Included were three positive controls: cells stimulated with anti-CD3/CD28-coated beads, targets pulsed with 5  $\mu\text{g}/\text{mL}$  of AFP<sub>158-166</sub>, and HepG2 liver cancer cells. Plate values were read with a Luminex MAGPIX reader.

## MEASUREMENT OF T-CELL-MEDIATED CYTOTOXICITY

Cytotoxicity assays were performed using the IncuCyte ZOOM platform (Essen Bioscience, Welwyn Garden City, UK), using time-lapse microscopy and detection of apoptotic cells through cleavage of a fluorogenic caspase-3/7 substrate (CellPlayer 96-Well Kinetic Caspase-3/7 reagent; Essen Bioscience). For this, target cells were seeded in 96-well plates the day before T-cell addition, followed by addition of AFP<sup>c332</sup>T or nontransduced T cells with 5  $\mu\text{M}$  of fluorogenic reagent. Images were taken of the wells at 3- or 4-hour intervals for >60 hours, and fluorescent objects were enumerated using IncuCyte software (Essen Bioscience). A size-exclusion gate was applied to remove dead T cells from further analysis.

## KILLING OF NATIVE ANTIGEN-EXPRESSING THREE-DIMENSIONAL MICROTISSUES

Three-dimensional (3D) microtissue killing assays were performed using the IncuCyte ZOOM, using time-lapse microscopy to measure the cytotoxic activity of AFP<sup>c332</sup>T cells toward green fluorescent protein (GFP)-labeled 3D cancer cell-line microtissues. For the generation of 3D microtissues, ultra-low-adhesion plates were used, facilitating the adhesion of cells to one another to form 3D microtissue. Target cells were seeded approximately 9 days before T-cell addition at various cell densities (350-1,000 cells/well). Images were acquired at 4-hour intervals at 4 $\times$  magnification. Microtissue formation was confirmed in each well before the addition of AFP<sup>c332</sup>T or nontransduced T cells (200,000 cells/well) from 2 separate donors. Killing of target GFP-positive cancer lines by AFP<sup>c332</sup>T or nontransduced T cells was assessed by quantification of total GFP fluorescence area of the central microtissue core. Image analysis was performed using custom Zeiss Axiovision software.

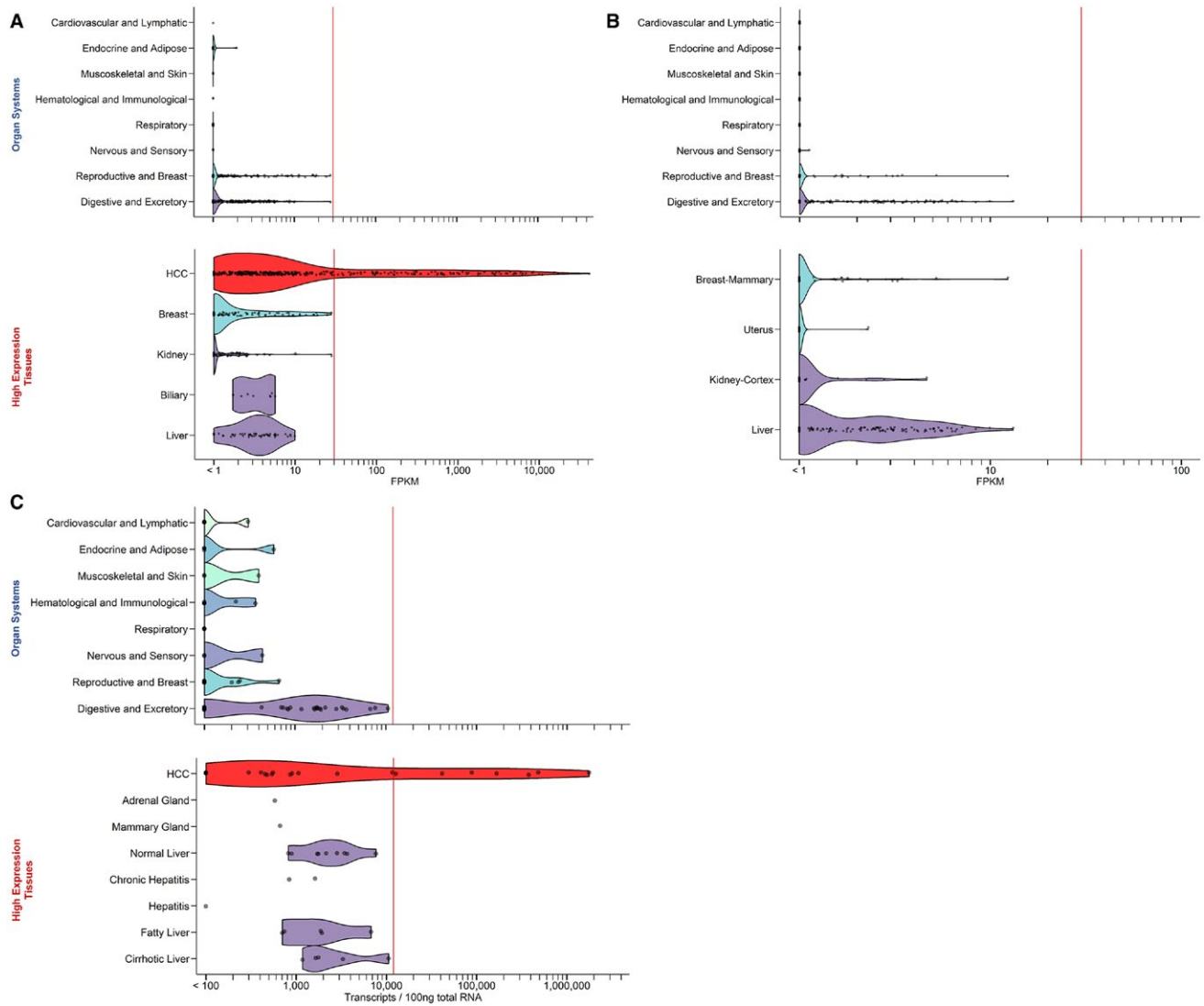
## Results

### AFP EXPRESSION IS WEAK OR UNDETECTABLE IN NORMAL TISSUES BUT HIGH IN A SIGNIFICANT FRACTION OF LIVER CANCERS

For a preliminary assessment of target safety and therapeutic potential, we analyzed AFP expression profiles in public RNA sequencing databases. TCGA allowed us to compare the abundance of AFP sequence reads in HCC samples ( $n = 371$ ) and in nonmalignant controls adjacent to diverse tumors ( $n = 741$ , representing 23 different tissues). In addition, the GTEx Portal provided information on AFP mRNA expression in 51 normal tissues ( $n = 8,153$  samples). Distribution of AFP transcript levels in both data sets is shown grouped by organ system and for selected tissues with the highest expression (Fig. 1). In both databases, AFP was most abundant in kidney, biliary, breast, and liver. Most HCC samples also contained AFP transcript numbers in a similar range, but ~30% of HCC expressed levels substantially above those found in any of the nonmalignant controls (note the log scale).

To confirm these findings, we performed RT-qPCR on mRNA isolated from human tissues and cell lines (Fig. 1C). Our RT-qPCR primers, from a validated commercial assay, spanned exons 14 and 15 of the AFP gene. No variant isoforms of human AFP have been described that carried this region without the AFP<sub>158-166</sub> sequence in exons 4-5. Conversely, variants of human AFP lacking the AFP<sub>158-166</sub> sequence but containing the probe region exist, but these are expressed at extremely low levels compared to wt AFP.<sup>(25)</sup> Normal tissues, including healthy and inflamed liver, expressed <7,500 AFP transcripts per 100 ng of RNA. The only exception was a sample of cirrhotic tissue adjacent to a liver tumor ( $1.1 \times 10^4$  transcripts/100 ng of RNA). In agreement with the TCGA data, ~35% of HCC samples had AFP expression levels above those found in any of the nonmalignant tissues. Similar results were obtained when transcripts were normalized by RPL32 as a reference gene (not shown).


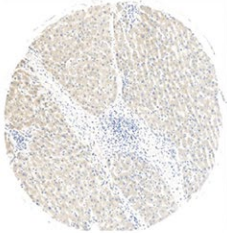
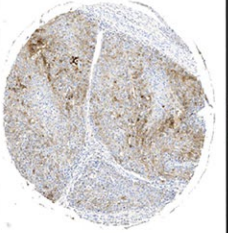
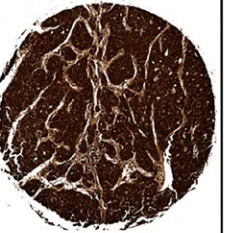
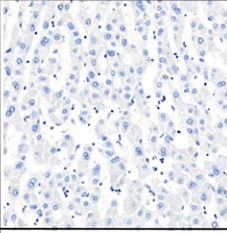
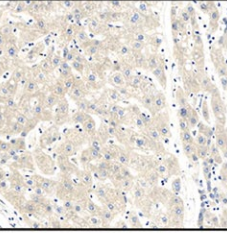
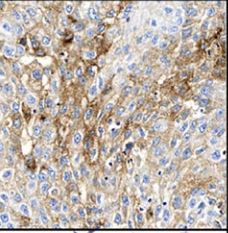
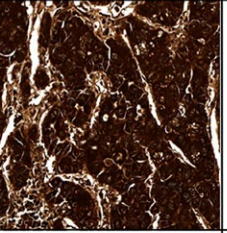

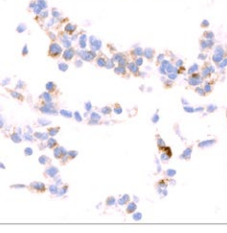
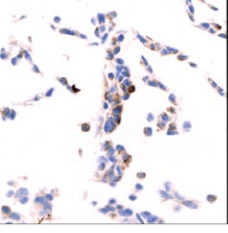

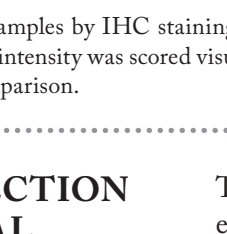
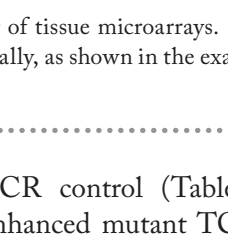
Tissue microarrays were used to evaluate AFP protein expression in HCC and liver disease (Fig. 2).



**FIG. 1.** Expression of AFP mRNA in normal tissues and cancer, according to public databases. (A) Liver cancer and nonmalignant tissues adjacent to tumors, samples in TCGA. (B) Tissues from healthy individuals in GTEx. Data were obtained as fragments per kilobase million (FPKM) using the OncoPrint platform. Samples were grouped by organ system (top panels) or tissue types in which samples with the highest expression levels were found (lower panels). The width of the ribbons shows the smoothed distribution of samples within a category as a function of AFP expression. Categories belonging to the same organ system are shown in identical colors in all four panels. (C) Analysis of AFP mRNA levels in selected tissues by RT-qPCR. Presented as in (A) and (B).

Based on a semiquantitative scale of staining, 26% (15 of 57) of HCC samples had high and 16% (9 of 57) low-to-medium expression. Expression in the HCC line, HepG2, showed some variability; however, it was always equal to or less than expression in HCC patient samples. Only 5 of 224 nonmalignant liver samples had high-intensity AFP staining. These tissues were from patients with cirrhosis or hepatitis, and 4 of 5

also had a diagnosis of HCC (no data were available for the fifth patient). In summary, AFP mRNA and protein is overexpressed in approximately one third of HCC with RNA transcript numbers typically 100- to 1,000-fold above levels found in noncancerous tissue. This observation formed the basis for developing a TCR with optimal sensitivity for AFP for adoptive T-cell therapy of HCC.

Tissue	None	Intensity of staining			Total
		Low	Medium	High	
Normal	42 (100%)	-	-	-	42
Hemangioma	10 (100%)	-	-	-	10
HCC	33 (58%)	1 (2%)	3 (14%)	15 (26%)	57
Cirrhosis	87 (87%)	7 (7%)	3 (3%)	4 (4%)	101
Fatty Liver	16 (100%)	-	-	-	16
Hepatitis	48 (92%)	-	3 (6%)	1 (2%)	52
Hepatic cyst	3 (100%)	-	-	-	3
Example (HCC)					
Tissue core					
Magnification					
HepG2					

**FIG. 2.** Detection of AFP protein in tissue samples by IHC staining of tissue microarrays. Shown are numbers and percentages of samples of normal and diseased liver. Staining intensity was scored visually, as shown in the example images. Representative samples of the HCC cell line, HepG2, are shown for comparison.

## GENERATION AND SELECTION OF A TCR WITH OPTIMAL AFFINITY AND SPECIFICITY FOR AFP-EXPRESSING CELLS

The wt parental T-cell receptor AFP<sup>c239</sup> was isolated from a T cell recognizing AFP<sub>158-166</sub> (FMNKFIYEI) presented by HLA\*A02:01. When expressed in *Escherichia coli* and refolded, soluble AFP<sup>c293</sup> demonstrated a specific, but weak, binding affinity for the cognate pHLA ( $K_D$  754  $\mu$ M). We recloned both TCR chains into a phage display vector and applied random mutagenesis to the complementarity-determining regions. The resulting library of mutant TCRs showed affinities across a broad range, increasing up to ~2,500-fold compared to the parent

TCR control (Table 1). wt and selected affinity-enhanced mutant TCRs were recloned as full-length codon-optimized single open-reading frame genes into lentiviral vectors.

IFN- $\gamma$  secretion demonstrated that TCR AFP<sup>c332</sup> ( $K_D$  10.6  $\mu$ M) had the best ability to discriminate between AFP-positive and -negative cells (Fig. 3A). Lower affinity resulted in loss of potency, whereas TCRs with higher affinity were cross-reactive. To identify the optimal affinity with higher resolution, we generated a second panel of mutant AFP TCRs with  $K_D$  values in the range bracketed by the two TCRs closest to AFP<sup>c332</sup> in panel 1 (Table 2; Fig. 3B). As in the low-resolution panel 1, IFN- $\gamma$  secretion by TCR-transduced T cells increased with target affinity, reaching a plateau when stimulated by levels

**TABLE 1. Initial Panel of Soluble Mutant TCRs Engineered From the wt AFP<sup>c293</sup> TCR**

TCR No.	T <sub>1/2</sub> [s]	K <sub>D</sub> [μM]	Fold wt K <sub>D</sub>
c293 (wt)	NA	754	1.0
c327*	NA	489	1.5
c329*	NA	356	2.1
c330*	NA	178	4.2
c331	0.4	80	9.4
c328	NA	37	20
c332	0.7	11	71
c326	1.5	1.5	503
c333	3.8	0.71	1,062
c334	4.3	0.52	1,450
c335	14	0.31	2,432

Shown are the binding half-life and biochemical affinity, as determined by BIAcore analysis, and the fold change in affinity over the wt TCR.

\*Low affinity: not tested further.

Abbreviation: NA, not available.

of AFP expressed by HepG2 liver cancer cells. Off-target cross-reactivity with AFP<sup>-</sup> cells emerged for K<sub>D</sub> values lower than 4.5 μM. Similar response data were obtained both for granzyme B secretion and for the direct killing of target cells by TCR-transduced T cells from 3 different donors (not shown). We concluded that AFP<sup>c350</sup>, AFP<sup>c332</sup>, and AFP<sup>c351</sup> TCRs occupied a window of optimal TCR affinity, characterized by enhanced potency and full retention of specificity. From these, TCR AFP<sup>c332</sup> was selected for further investigation. AFP<sup>c350</sup> and AFP<sup>c351</sup> would have been pursued further if AFP<sup>c332</sup> failed in specificity or potency testing.

## SAFETY AND POTENCY TESTING

To further assess the safety and potency of AFP<sup>c332</sup>T cells, we investigated how their responses correlated with levels of AFP mRNA in a variety of target cell lines naturally expressing AFP. In addition, Colo205 cells (for IFN-γ assays) or HCT116 cells (for cytotoxicity assays) were genetically modified to generate batches producing a range of AFP levels (unpublished work) that were verified by qPCR. A consistent trend was apparent, indicating that 1-2 × 10<sup>6</sup> transcripts per 100 ng of RNA are required for triggering IFN-γ secretion or cytotoxicity in a detectable number of AFP<sup>c332</sup>T cells *in vitro* (Fig. 4). Potency of AFP<sup>c332</sup>T cells was further investigated by quantifying their ability to kill antigen-positive 3D

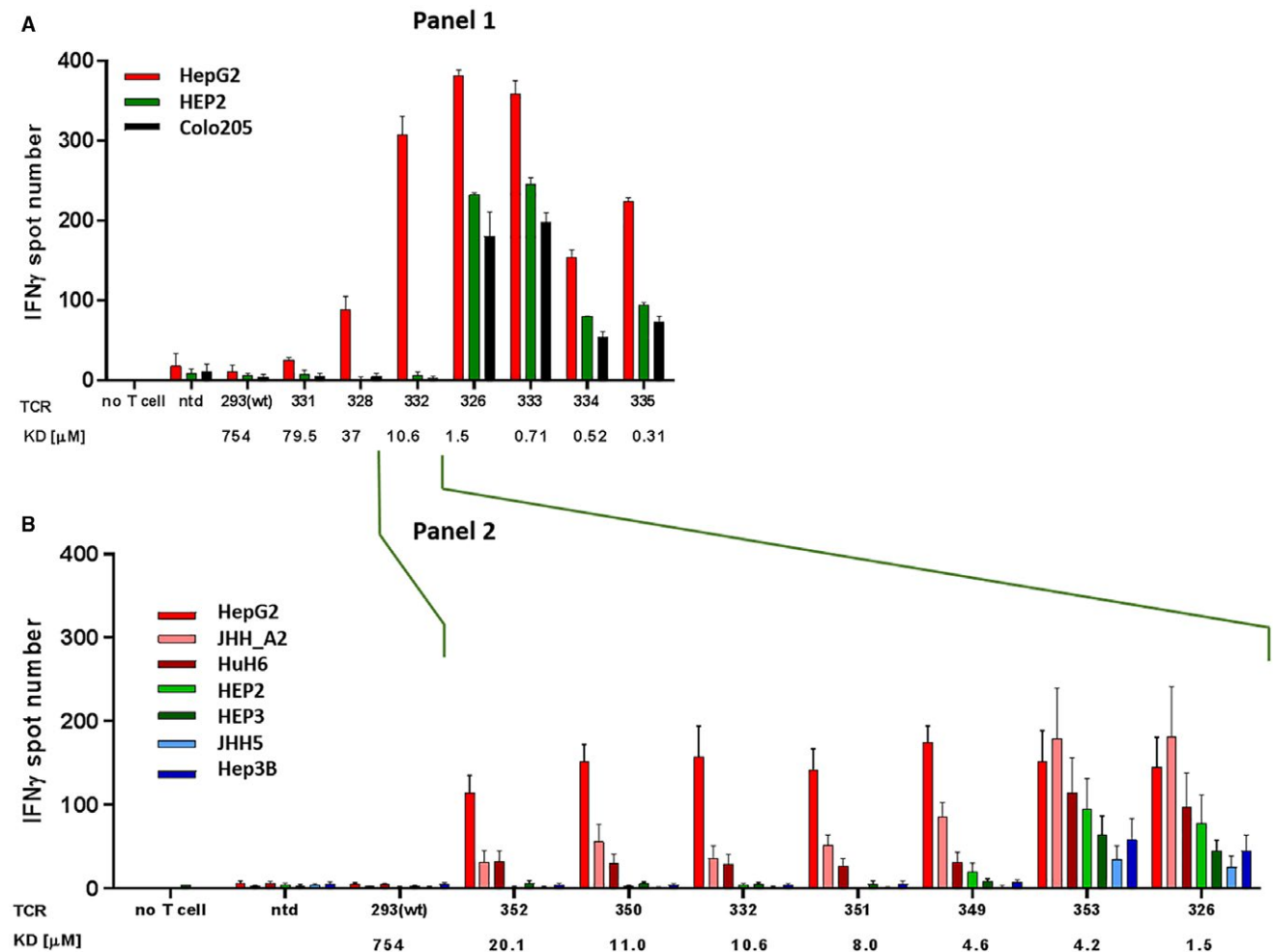
microtissues. Addition of AFP<sup>c332</sup>T cells to HepG2-GFP microtissues resulted in an almost complete loss of GFP fluorescence with time, indicating the rapid and complete destruction of microtissues (Fig. 5, representative data). Together, these results confirmed that AFP<sup>c332</sup>T cells are specific for high levels of AFP mRNA and protein that distinguish a subset of HCC from other human tissues. AFP<sup>c332</sup>T cells also recognized AFP<sub>158-166</sub> presented by HLA-A\*02:02 and A\*02:07 with functional avidities that were similar to A\*02:01 (Supporting Fig. S1). Responses toward A\*02:05 and A\*02:06 were slightly increased compared to A\*02:01.

Cross-reactivity for nontarget pHLA can occur in adoptive therapy with TCR engineered T cells<sup>(16,26)</sup> and chimeric antigen receptor T cells.<sup>(27)</sup> To mitigate this risk, we used two complementary approaches: testing a large panel of AFP<sup>-</sup> cell types from a variety of tissues, and a combined *in vitro/in silico* approach to scan the human proteome for potentially cross-reactive peptides.

To test whether AFP<sup>c332</sup>T cells might produce a systemic inflammatory response (cytokine release syndrome), autologous transduced T cells generated from 3 different donors were incubated with matched whole blood at T-cell concentrations similar to those in patients after infusion. None of the 25 serum cytokines and chemokines tested (see Materials and Methods) were induced, unless either anti-CD3/CD28 antibodies or AFP<sub>158-166</sub> peptide were added as positive stimulatory controls (data not shown).

We next evaluated the off-target recognition, shown by IFN-γ production by AFP<sup>c332</sup>T cells, using a panel of 123 human primary cells and iPS-derived cells representing 10 tissues and 67 cell types (Supporting Table S1). Where necessary, cell lines were transduced with lentiviral vector to coexpress HLA-A\*02 subtypes and β2m. Weak, but consistent, responses by AFP<sup>c332</sup>T cells were found toward one of eight brain vascular pericyte cell lines and one of two thyroid fibroblast lines (Supporting Fig. S2). In both cases, no AFP mRNA was detectable by RT-qPCR, ruling out reactivity attributed to AFP expression or contamination with AFP-producing cells (data not shown). Because both cell lines naturally expressed the HLA-A\*02:02 subtype, we investigated the possibility of alloreactivity. Several HLA-A\*02<sup>-</sup> brain vascular pericyte lines generated responses when they were transduced with HLA-A\*02:02/β2m, but not with





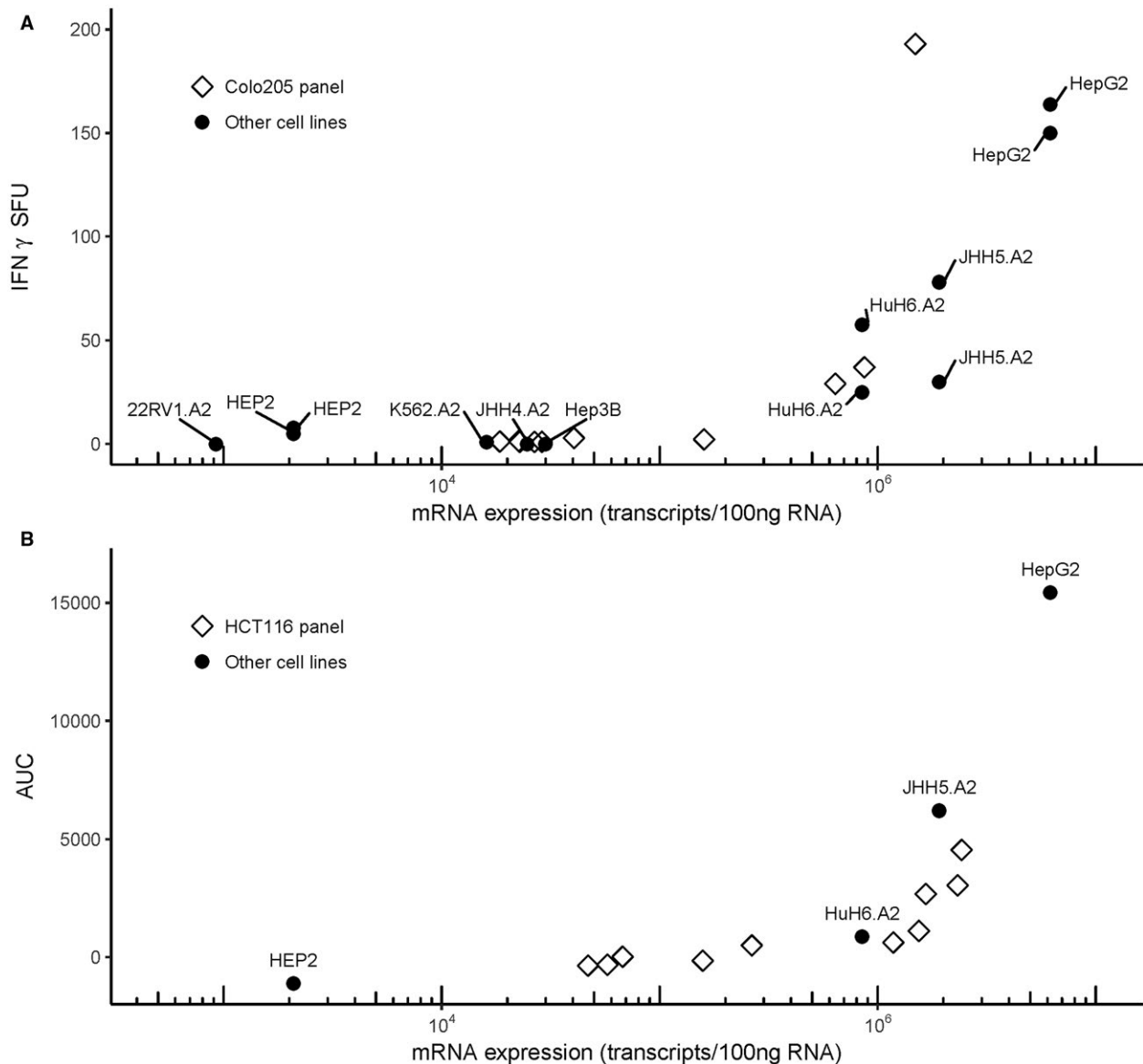
**FIG. 3.** Functional analysis of AFP TCR mutant panels by IFN- $\gamma$  ELISpot. Bars represent mean numbers of responding T cells in at least 6 donors, each tested in triplicate, with the standard error for interdonor variation. Red: HLA-A\*02:01<sup>+</sup>AFP<sup>+</sup> HCC cell lines (HepG2, JHH5.A2, and HuH6). Blue: HLA-A\*02:01<sup>+</sup>AFP<sup>+</sup> HCC cell lines (JHH5, Hep3B). Green: normal primary hepatocytes (HEP2, HEP3). TCRs are arranged by increasing affinity from left to right. (A) wt TCR AFP<sup>c239</sup> and initial panel of mutants. Data are representative of 2 donors, showing mean  $\pm$  SEM in triplicate wells. (B) Refined panel of enhanced affinity TCRs against HCC cell lines and normal liver cells. Abbreviation: ntd, nontransduced T cells.

HLA-A\*02:01/ $\beta$ 2m (Supporting Fig. S2B). Similar results were obtained for cytotoxic killing (data not shown). Two other A\*02:02<sup>+</sup> lines, HEF2 (esophageal fibroblasts) and HCPEpiC3 (choroid plexus epithelial cells), did not generate a response. Further HLA-alloreactivity testing was conducted against an extensive panel of EBV-transformed human B-cell lines naturally expressing 129 of the most common HLA alleles (Supporting Table S2). Two of these lines, FH42 and 230699, induced IFN- $\gamma$  release. Their only shared HLA allele, HLA-A\*24:02, did not generate a response in several other cell lines. Each cell line also carried a unique allele, HLA-C\*04:04 in FH42

**TABLE 2. Panel 2 Mutant TCRs Following High-Resolution Engineering**

TCR No.	$T_{1/2}$ [s]	$K_D$ [ $\mu$ M]	Fold wt $K_D$
c352	0.41	20.1	38
c350	0.79	11.0	68
c332	0.70	10.6	71
c351	0.77	8.0	94
c349	1.82	4.6	166
c353	1.53	4.2	181

Shown are the binding half-lives and biochemical affinities, as determined by BIAcore analysis (repeated for c332), and the fold change in affinity over the wt TCR.

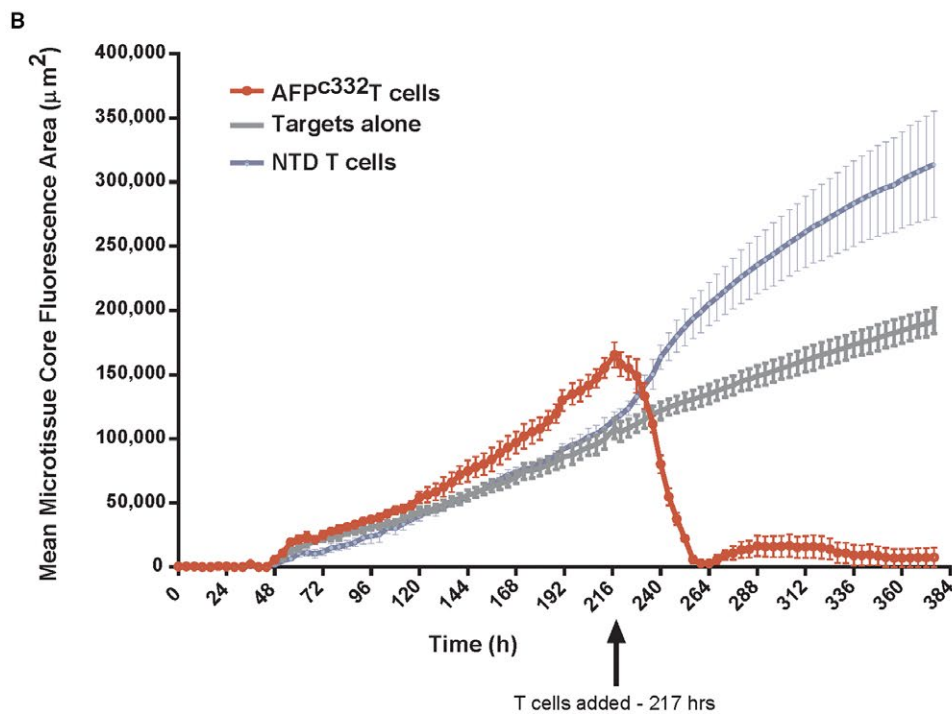
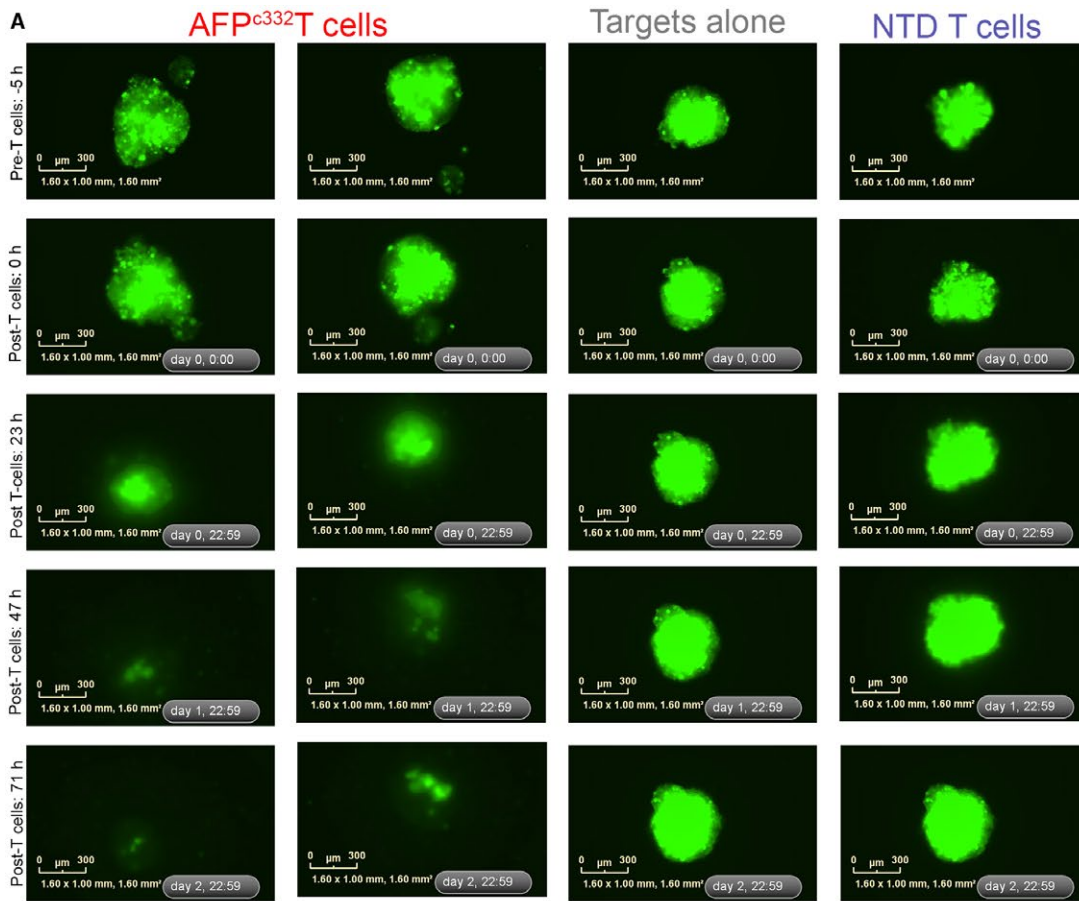


**FIG. 4.** Correlation between AFP mRNA expression in target cells and responses by AFP<sup>c332</sup>T cells. Numbers of IFN- $\gamma$  secreting AFP<sup>c332</sup>T cells (ELISpot count; A) or T-cell-mediated cytotoxicity (B) were plotted against AFP transcripts in target cells. The suffix “.A2” indicates HLA-A\*02:01 transduced lines. AFP transcript levels shown for JHH4.A2 are those measured in the parental JHH4 HCC line before transduction with HLA-A\*02. T-cell-mediated cytotoxicity was quantified from event counts (spot-forming units, SFU) as the area under the curve (AUC) from 1 to 36 hrs, after subtraction of cytotoxicity observed in the presence of nontransduced T cells.

and HLA-B\*51:03 in 230699. Because these alleles are extremely rare, it was not viable to confirm their allelic activity in alternate lines. None of the cell lines shown in Fig. 4 have been reported to carry these alleles.

Cell panels and animal models might be insufficient to assay the full range of human self-peptides presented by HLA.<sup>(26)</sup> We therefore performed a comprehensive mutational analysis to identify single

amino acid substitutions in the AFP<sub>158-166</sub> peptide that were tolerated by the AFP<sup>c332</sup> TCR (“X-scan”).<sup>(28)</sup> Matching sequences were synthesized as synthetic peptides, and those which generated a response were tested as naturally processed and presented antigen. No evidence for recognition of antigens other than AFP by the AFP<sup>c332</sup> TCR was found (Supporting Table S3).



**FIG. 5.** Cytotoxic activity of AFP<sup>c332</sup>T cells toward small AFP expressing HepG2-GFP 3D microtissues. HepG2-GFP cells seeded at 350 cells/well resulted in microtissues of ~450-500  $\mu\text{m}$  estimated diameter at the time of T-cell addition. (A) Images show the GFP fluorescence of microtissues during the course of the assay. Time points shown refer to the approximate times after initial HepG2-GFP target cell seeding: pre-T cells -5 hours = 212 hours posttarget seeding, 0 hours post-T cells = 217 hours, 23 hours post-T cells = 240 hours, 47 hours post-T cells = 264 hours, 71 hours post-T cells = 288 hours. Scale bars = 300  $\mu\text{m}$ . (B) The GFP fluorescent area of the microtissue in each well was measured over time for each treatment. Black arrow indicates T-cell addition. Data are expressed as mean microtissue fluorescence area  $\pm$  SEM of all three wells for each condition. Abbreviation: NTD, nontransduced T cells.

## Discussion

Although T cells transduced with affinity-enhanced TCRs can show superior antitumor activity *in vitro* and *in vivo*, this strategy can also produce reactivity toward normal tissues. Off-target cross-reactivity has been observed in clinical trials toward homologous peptides (such as from other proteins of the same family<sup>(16)</sup>), but also in absence of any recognizable sequence homology.<sup>(26)</sup> The X-scan was designed to screen for the latter and complement testing in cell lines where these might not fully represent all proteins expressed in the human body.<sup>(28)</sup> Novel specificities that might arise from mispairing between transduced and endogenous TCR chains are much harder to predict. Autoimmunity caused by this scenario has so far been observed only *in vitro* and in a mouse model,<sup>(29)</sup> but not in clinical trials. Strategies to promote the selective pairing of the transduced TCR chains exist (reviewed in a previous work<sup>(30)</sup>), but can cause problems of their own.<sup>(31)</sup> We used a lentiviral vector optimized for TCR expression,<sup>(24)</sup> codon optimization,<sup>(32)</sup> and a 2A peptide-linked retroviral vector<sup>(23)</sup> to favor the expression of transduced TCRs over endogenous and mispaired TCR  $\alpha$  and  $\beta$  chains.

On-target/off-tumor reactions against healthy tissues are a specific risk when targeting genes that are expressed preferentially, but not exclusively, by tumors. In most cases, adverse effects were either absent or manageable in mice<sup>(33,34)</sup> and humans<sup>(17,18)</sup>; also reviewed in another work<sup>(27)</sup>. However, cases of fatal toxicity arose from recognition of peptide epitopes shared by normal brain<sup>(16)</sup> and lung tissue.<sup>(35)</sup> We therefore aimed to generate a TCR with an optimal affinity, sufficient to trigger responses against high densities of AFP<sub>158-166</sub> HLA-A\*02:01 complexes on tumor cells, but not against the lower densities that might exist on nonmalignant cells. Unfortunately, neither the pHLA densities on individual cells in patient tissues nor the activation threshold of AFP-specific T cells in a clinical setting are easily determined. We

used AFP mRNA levels and *in vitro* T-cell responses as surrogate makers. Through bioinformatic searches, RT-qPCR, and immunohistology, we confirmed and extended previous observations that a sizeable fraction of hepatocellular tumors express AFP at levels exceeding those in any nonmalignant tissue, including inflamed liver. In fact, the highest levels of AFP in samples not classified as tumor were from 5 of 135 patients with cirrhosis or hepatitis, of which 4 also had a diagnosis of HCC (no data were available for the fifth patient). In these, we theorize that AFP overexpression might reflect early stages of transformation,<sup>(36)</sup> driven by viral proteins.<sup>(12)</sup>

A major source of AFP in nonmalignant liver is a type of cells resembling fetal hepatoblasts.<sup>(37)</sup> These cells constitute less than 0.1% of all cells in the adult organ, but can expand in liver disease and regeneration. Hepatoblasts arise through differentiation of hepatic stem cells, but in contrast to the latter produce high amounts of AFP. AFP expression is lost again with further differentiation of hepatoblasts into biliary and hepatic cells.<sup>(37)</sup> Therefore, off-tumor cytotoxicity against hepatoblasts might delay liver regeneration, but without affecting the critical stem cell population or fully differentiated tissue. An autoimmune hepatitis caused by AFP vaccination of partially hepatectomized mice resolved spontaneously when the organ recovered.<sup>(38)</sup> Less evidence exists for low or intermediate AFP expression at the cellular level *in situ* in nonmalignant liver or other tissues. In normal rat liver, partial hepatectomy induced a weak AFP mRNA hybridization signal in all hepatocytes.<sup>(39)</sup> In humans, variable fluorescence immunostaining for AFP outside the tumor area was observed in combined hepatocellular cholangiocarcinoma and was associated with hepatocyte dedifferentiation and proliferation.<sup>(40)</sup> In our *in vitro* experiments, however, primary hepatocytes (and other cells expressing similar levels of AFP mRNA) did not generate IFN- $\gamma$  or cytotoxic responses to AFP<sup>c332</sup> transduced cells. Moreover, we found only low levels of HLA-A, -B,

TARGET VALIDATION		Samples/Source	Expression	Experimental Procedure(s)
		Public databases (TCGA, GTEx) cDNA arrays, cell lines	mRNA	Bioinformatic searches RT-qPCR
		Tissue microarrays	Protein	Immunohistochemistry
		Cell lines	Epitope presentation	Analysis of HLA-A*02:01 bound peptides
TCR ENGINEERING & SELECTION		Number of TCRs	Properties	Experimental Procedure(s)
		1	Isolated from human T cells Recognizes AFP <sub>158-166</sub> pHLA-A*02:01 with low affinity	CDR mutagenesis of wild type TCR
		10		SPR-based selection for enhanced affinity
		7	Improved AFP <sub>158-166</sub> affinity/activity vs. wild type TCR	SPR Target cell recognition
		1	Recognize HLA-A*02:01 <sup>+</sup> cells expressing AFP	Further CDR mutagenesis
		7	Range of intermediate affinities Recognize HLA-A*02:01 <sup>+</sup> cells expressing <i>high levels</i> of AFP	SPR Potency and safety testing
		1	High specificity Optimal affinity for targeting AFP over- expressing HLA-A*02:01 <sup>+</sup> cancers	
PRECLINICAL CANDIDATE		<b>AFPc332</b>		

**FIG. 6.** Summary of the experimental strategy for generating a TCR with high specificity and optimal affinity for targeting AFP-overexpressing HLA-A\*02:01<sup>+</sup> cancers. Abbreviations: CDR, complementarity determining region; SPR, surface plasmon resonance.

and -C in normal liver, compared to HCC (unpublished observations), which could further enhance treatment safety.

Protection of mice against subcutaneous xenografts of tumor cell lines has been used to assess the potency of TCRs *in vivo*<sup>(19,20)</sup>; however, these models involve immune-compromised hosts. Syngeneic grafts in wt animals tend to elicit stronger responses than naturally evolved tumors.<sup>(41)</sup> In addition, species differences exist regarding antigen expression, presentation, and immune systems. Taken together, it seems unlikely that current animal models can provide additional information on the risk-benefit profile of new TCRs, beyond what can be demonstrated from a carefully chosen combination of *in vitro* assays.

In general, affinity dissociation constants ( $K_D$ s) of 1-10  $\mu$ M seem to produce the strongest and greatest number of effector functions for HLA class I-restricted TCRs (reviewed in a previous work<sup>(42)</sup>). The 11- $\mu$ M  $K_D$  affinity of AFP<sup>c332</sup> is just below this range, but we anticipate that it will be sufficient, considering that the target density is much higher than that of NY-ESO-1 presented by HLA-A2.<sup>(43)</sup> A better correlate of TCR potency is functional avidity (half maximal effective concentration;  $EC_{50}$ ), which is the concentration of antigenic peptide triggering half-maximal responses such as cytokine secretion or target cell killing. AFP<sup>c332</sup> recognized AFP<sub>158-166</sub> presented by several HLA-A2 subtypes with an  $EC_{50}$  of ~1 nM. This functional avidity is within the range of

those of the NY-ESO-1-specific TCRs that have produced encouraging clinical results.<sup>(17,44,45)</sup> Moreover, 2 HCC patients who generated T-cell clones with an EC<sub>50</sub> of ≤10 nM in response to AFP peptide vaccination had better and longer-lasting tumor responses. No autoimmunity was observed.<sup>(14)</sup>

AFP<sup>c332</sup> lacks alloreactivity with common HLA-class I alleles; however, the rare subtypes HLA-C\*04:04 and HLA-B\*51:03 were identified as potentially alloreactive. In addition, reactivity was observed to HLA-A\*02:02<sup>+</sup> brain pericytes and thyroid fibroblasts, but no other HLA-A\*02:02<sup>+</sup> cell lines. This observation suggests the involvement of a peptide or peptides specific for these cell lineages and demonstrates the importance of testing alloreactivity in a large panel of cell types. Based on current data, a clinical trial with AFP<sup>c332</sup> should exclude patients who are carriers of the above alleles.

AFP is an attractive target for adoptive T-cell therapy of HCC, particularly in aggressive forms for which limited treatment options exist. Using TCR engineering and rigorous testing (Fig. 6), we have developed a TCR with the potential to benefit HLA-A2<sup>+</sup> individuals with AFP-overexpressing HCC. In an ongoing clinical trial (NCT03132792), we are examining biopsies for AFP expression and T-cell infiltration while closely monitoring liver chemistries. These observations will increase our understanding of the role of TCR affinity and T-cell avidity *in vivo* and help to enable safe and effective targeting of AFP and other cancer-associated antigens.

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## Supporting Information

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