



# Developing neuronal networks: Self-organized criticality predicts the future

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Self-organized criticality emerged in neural activity is one of the key concepts to describe the formation and the function of developing neuronal networks. The relationship between critical dynamics and neural development is both theoretically and experimentally appealing. However, whereas it is well-known that cortical networks exhibit a rich repertoire of activity patterns at different stages during *in vitro* maturation, dynamical activity patterns through the entire neural development still remains unclear. Here we show that a series of metastable network states emerged in the developing and “aging” process of hippocampal networks cultured from dissociated rat neurons. The unidirectional sequence of state transitions could be only observed in networks showing power-law scaling of distributed neuronal avalanches. Our data suggest that self-organized criticality may guide spontaneous activity into a sequential succession of homeostatically-regulated transient patterns during development, which may help to predict the tendency of neural development at early ages in the future.

The emergence of patterned activity spontaneously generated by transiently coupled neurons is a ubiquitous phenomenon in developing neuronal networks *in vitro* and *in vivo*<sup>1,2</sup>. Understanding these patterns is the key to reveal how brain works and what happens during neural development<sup>1-3</sup>. It is well known that a large diversity of spontaneous activity patterns was encountered in neuronal cultures and could be roughly classified corresponding to stages of maturation<sup>4-8</sup>. However, it remains unclear that how the network activity changes its pattern through developmental stages, especially, whether developmental dynamics changes abruptly or smoothly between successive developmental states.

Recently, evidence has been found suggesting that neural systems operating close to a critical dynamical state show advantages in information processing and pattern formation of developing neuronal networks<sup>8-16</sup>. Interestingly, even in the mature stage, developing neuronal networks were not always driven into the critical regime during development. Little is known about the role of criticality in shaping the dynamics in the entire development process and in the progressive refinement of neural activity patterns. In this study, we address these issues by analysing dynamical network states and neuronal avalanches in dissociated hippocampal networks cultured on multi-electrode arrays. Particularly, when we focus on the state transition of spontaneous activity in developing network, the question is: what is the difference between networks in the critical regime and those out of the critical regime?

## Results

**Mapping changes of network activity patterns in a complete *in vitro* developmental process.** To examine developmental changes of spontaneous network activity, multi-electrode recordings were made from primary dissociated hippocampal cultures of E18 Wistar rat embryos (23 cultures from 20 plating batches, typical network and electrodes layout were shown in Supplementary Fig. 1). Spikes were detected using an adaptive amplitude threshold, and then were used to construct a neural activity vector (see Methods). To capture the global dynamics of network activity patterns (Typical firing patterns during development were shown in Supplementary Fig. 2), we first built a similarity matrix between vector pairs which respectively denoted a spatial neural activation pattern at the corresponding time point (Fig. 1a). Afterwards, we inspected the similarity matrix in a reduced dimensional space using principal component analysis (PCA, Fig. 1b). Network activity patterns were then mapped as dots in

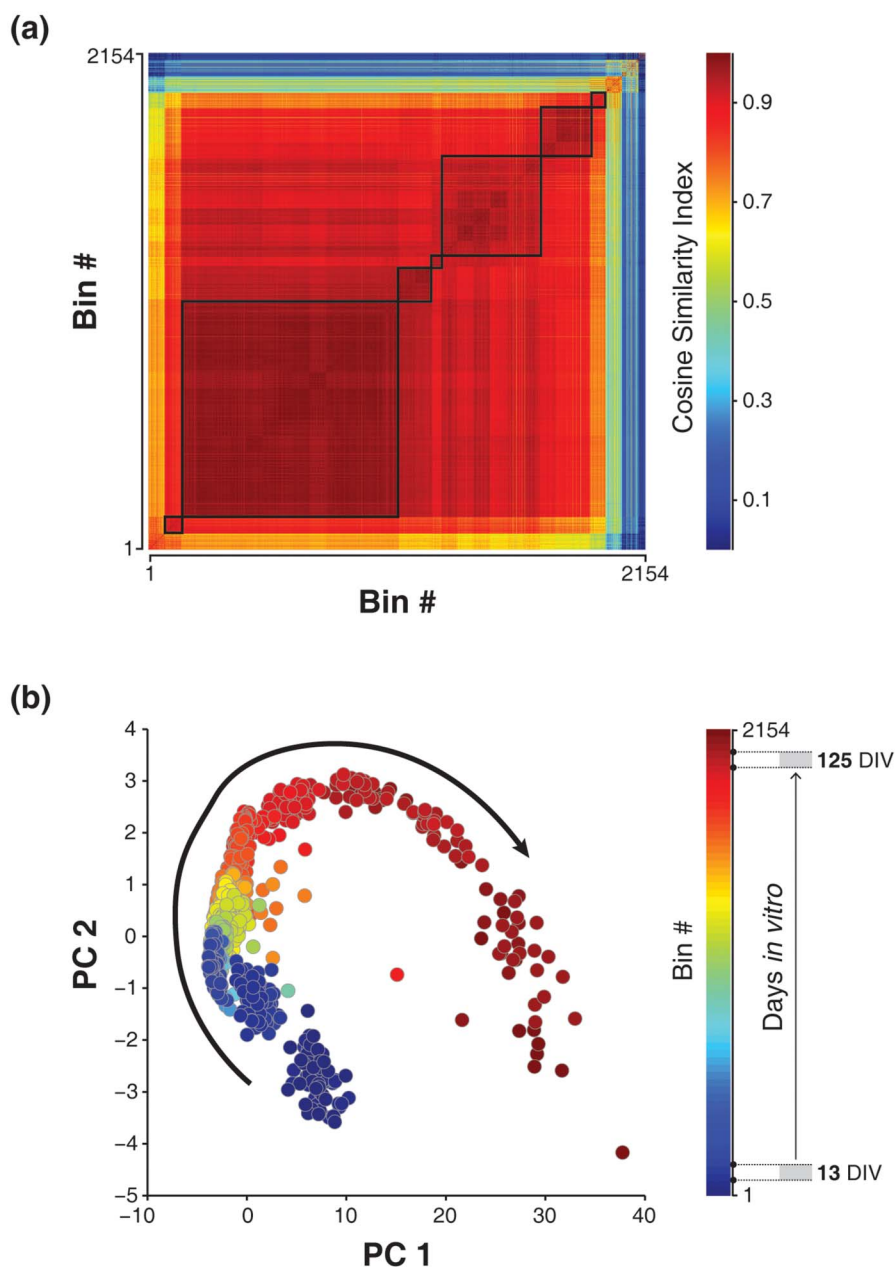
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**Figure 1 | Unidirectional sequence of network state transitions during *in vitro* maturation.** (a), Similarity matrix of spatiotemporal firing patterns of a developing network. The colorbar indicates the range of similarity index. (b), Data in the PC coordinate shows a trail of clusters sequentially emerged through stages of development. The colorbar indicates the range of recorded life span. The arrow indicates the direction of sequential state transitions during development. DIV: days *in vitro*.

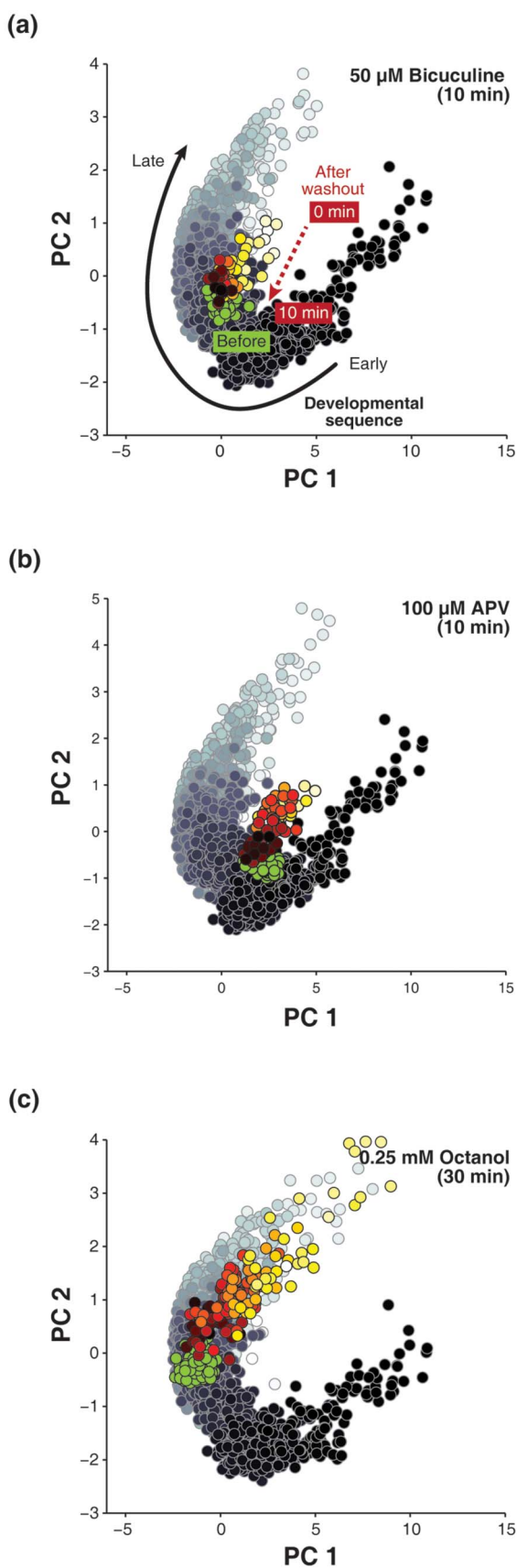
the PC (principal component) space where the distance between two dots were used for measuring the difference between the corresponding spatial patterns.

**State transitions during development illustrated a homeostatically-regulated trajectory.** After examining the entire development process in the PC space, we found a U-shape trajectory left by the sequential emergence of dot clusters which were referred to network states here (in 18 of 23 long-term recordings of hippocampal cultures, examples shown in Fig. 1b and in Supplementary Fig. 6a). Spatiotemporal activity patterns in the same recording session shared similar characteristics, but changed gradually in successive sessions.

From early to late stages, the trail of sequential network state transitions formed a unidirectional path, indicating that the

predominant activity pattern in each stage changed progressively during development. By visualising and examining the developmental dynamics in long-term cultures, we found the trajectory was a common phenomenon which may reflect the network dynamics in the entire developing process.

Previous studies have suggested that it is the excitation-inhibition balance that homeostatically maintains dynamical states and prevents external perturbations from driving the network towards pathological states<sup>17–20</sup>. To test whether the disturbance could alter the shape or direction of the trajectory, we added 50  $\mu\text{M}$  bicuculline (BIC, a specific antagonist of GABA-A receptors) and 100  $\mu\text{M}$  (2R)-amino-5-phosphonovaleric acid (APV, a specific antagonist of NMDA receptors) to the medium respectively (see Methods). In the presence of bicuculline, the network activity pattern changed to stereotypic rhythmic bursting. After washing out bicuculline by



**Figure 2 | Disturbing the excitation-inhibition balance alters the developmental trajectory.** (a–c), The application of Bicuculline (50  $\mu\text{M}$ ), APV (100  $\mu\text{M}$ ) and Octanol (0.25 mM) breaks the excitation-inhibition balance and alters the trajectory. In the PC space, the inherent

developmental sequence is shown by the grayscale trajectory (black to white) and the recovering track left by firing patterns after washing out drugs is indicated by colored dots (light yellow to dark red). The green dots indicate the original firing patterns before the drug was applied. The black arrow and the red arrow indicates the direction of sequential state transitions during development, and the direction of changing firing patterns after the drug was washed out, respectively. Note firing patterns return to the approximately original position through the unidirectional recovering process, leaving a track pointed to the position where firing patterns before drug was applied (green dots). (Total recorded life span of the cultured network: 147 DIV, Experiment Day: APV: 33 DIV; BIC: 51 DIV, OCT: 101 DIV). Data are available online. DIV: days *in vitro*.

changing the entire medium three times, the network state did not immediately return to its original site in the PC space. Instead, it took approximately 10 minutes to recover from the overexcited state, leaving a markedly trail pointed towards the former trajectory (Fig. 2a). After the APV application which led to the inhibition of neural activity, the recovering network activity also left a similar trail (Fig. 2b). Such phenomenon was also observed after the application of 0.25 mM octanol (OCT, a putative blocker of electrical synapses, Fig. 2c). These observations suggest that network activity patterns in the development were homeostatically-regulated. After external perturbation was removed, the network could autonomously restore the global dynamics to a “preset” dynamical state corresponding to the “current” developmental stage (for more examples, please see Supplementary Fig. 10).

**Networks activities were looking more similar in the middle stages.** To investigate the changes of spatiotemporal activity patterns in the course of entire development process, we next examined the network states in different developmental stages. In the early and the late stages, the activity patterns were sparsely scattered in the PC space. In the first and the last few recordings, we could only distinguish individual “clusters” by responding recording dates. In contrast, clusters gradually became densely distributed in the middle stages when most neural ensembles were activated and acting correlatively (Supplementary Fig. 3a, 4, 5, 9), indicating enhanced pattern stability and greater activity synchronization over the network. By examining other cultures, we found this phenomenon of changing cluster distribution was common in the *in vitro* development. It is well known that the impact of topology on dynamics in complex networks is a fundamental but yet to be fully explored problem. Previous studies have suggest that maturation of functional connectivity leads into an intrinsic exploratory dynamics characterized by more stable and organized activity patterns<sup>9,11,21</sup>. While the tightness of the cluster may reflect the similarity of spatiotemporal activity patterns, crucial changes in network topology needs to be explored. We then estimated the global functional connectivity of *in vitro* neuronal networks (see Methods). In the first and the last few weeks, only seldom weak connections were identified in the network. The number of connections showed an age-dependent increase and the connectivity patterns became richer and more complex in the middle stage (the time range of middle stages varies from batch to batch, for example, 3–7 weeks *in vitro* as the example shown in Supplementary Fig. 3b). The above observation of network connectivity was in line with previous studies<sup>22</sup>. Next, we calculated betweenness centrality and assortativity of the functional network to quantitatively characterize statistical properties of its topology of individual stages. The results showed that hubs with high degrees and betweenness centrality emerged (Supplementary Fig. 3b, 5, 9, please note that hub nodes and “core” populations shares some common electrodes but not exactly identical) only in the middle stages. Consistent with previous extracellular recording studies, the assortativity coefficient in our hippocampal networks was less than



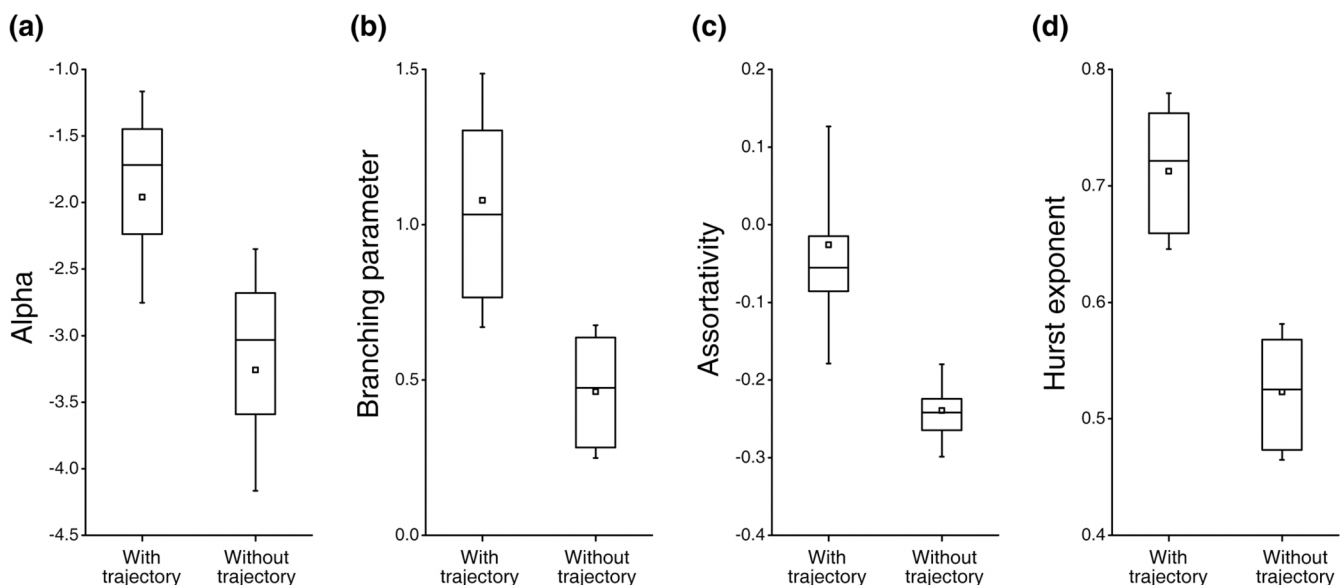
zero<sup>22,23</sup>. The negative assortativity coefficient indicates that neural assembly which has many connections tends to connect to neural assembly that has a relatively small amount of connections. Interestingly, this coefficient increased and approached zero in middle stages (Supplementary Fig. 4), suggesting that the degree distribution turned to be more homogenous in the middle stages when the network was becoming more interconnected, even though the network showed disassortative mixing in the early and late stages. Considering the fact that more neural assemblies were engaged in the global firing activity during middle stages and that hub nodes were emerged in the same period, we suggest that these changes in network connectivity may help to diminish the diversity of spatiotemporal activity patterns.

**The developmental trajectory was only showed in the self-organized network.** Recent studies have implicated self-organization as a fundamental process in the formation of spatiotemporal activity patterns<sup>12,13,24,25</sup>. The presence of self-organized criticality (SOC) in cultured networks has been characterized by power-law scaling of distributed neuronal “avalanche” activity<sup>8,10,16,26</sup>. To examine SOC in the course of entire development process, we tracked and analysed both avalanche events (“global”) and spike events (“individual units”) of all recordings (see Methods). In neuronal avalanche assay, the fitted slope value ( $\alpha$ ) found for the avalanche size distribution was  $-1.95 \pm 0.79$  (mean  $\pm$  S.D.,  $n = 1763$  recordings), and the branching parameter which is a common metric describing avalanche propagation was close to unity:  $1.07 \pm 0.41$  (mean  $\pm$  S.D., Fig. 3), both suggesting emerging critical dynamics in the network. In addition, the Hurst exponent of the inter-spike intervals of individual spike trains was  $0.71 \pm 0.08$  (mean  $\pm$  S.D.), indicating strong long-range temporal correlations in the spontaneous activity of individual network units. These observations demonstrate the presence of SOC in networks which exhibited unidirectional sequential state transitions in the course of development (“with the trajectory”). However, we also noted that not all cultures exhibited such transitions. In those networks (6 of 23 cultures), we found irregular/abrupt transitions or reversible transitions of

spontaneous activity patterns during development (Supplementary Fig. 6, 7, 8). The fitted slope value ( $\alpha$ ) was far from the universal power-law exponent value  $-1.5$  ( $\alpha = -3.25 \pm 0.91$ , mean  $\pm$  S.D., with the branching parameter:  $0.46 \pm 0.21$ , mean  $\pm$  S.D.,  $n = 231$  recordings), suggesting that subcritical dynamics (randomness) existed in the developing process of these networks. Further, Hurst analysis showed that activity of individual neuronal ensembles in such networks exhibited very weak temporal correlation (Hurst exponent:  $0.52 \pm 0.07$ , mean  $\pm$  S.D.). Taken together, the above data suggest that metastable state transitions may only occur in temporally evolving networks operating at or close to critical, which for the first time experimentally confirms the theoretical prediction of the close link between self-organized criticality and metastable transient dynamics in living neural networks<sup>27</sup>.

## Discussion

Self-organized criticality in cultured neuronal networks is widely suggested in previous studies<sup>2,15,18,19,28</sup>. To demonstrate this, we recorded electrical activities from long-term cultured hippocampal networks and performed both neuronal avalanche assays and long-range correlation estimation on the data. There were two types of cultured networks in our study: the majority showed self-organized criticality, and the rest remained mostly in the subcritical regime. Our data confirms that not all neuronal networks stayed in the critical regime during development, which has been reported previously<sup>11,19</sup>. It was still unknown that how different activity propagating mode (critical or non-critical) could affect the developmental process. From the perspective of the entire development process, what is the influence of self-organized criticality on the developmental dynamics? On the ground of previous studies, our raw data contained all recordable network activities during the entire development of cultured network. We analysed the dynamical states which emerged successively in the developing networks. We observed a unique and unprecedented phenomenon that the majority of the neuronal networks showed progressively-changed activity patterns through developmental stages. Interestingly, we found the observed trajectory of metastable state transitions only showed in the networks



**Figure 3 | Network dynamics varies in cultures with/without developmental trajectory.** (a–d), Parameters of network dynamics between cultured networks with/without developmental trajectory: Data distribution of the fitted slope values in avalanche size distribution (a), the branching parameter of avalanche propagation (b), the assortativity coefficient of functional connectivity of networks (c), and the Hurst exponent of spiking activity of individual units (electrodes) of networks (d) with/without developmental trajectory are shown in box plots. In the box plot, 25<sup>th</sup> percentile, median, 75<sup>th</sup> percentile of data are represented by the box (the bottom, the band near the middle, and the top of the box, respectively), the mean value is represented by the square dot inside the box, and the standard deviation is represented by the whiskers of the box.



in which population activity propagated in the critical mode. As a self-organized system, neural networks are believed to be able to optimise two critical aspects of neural computation: one aspect is in information transmission which is believed to be optimised while the system operates in the critical region<sup>16,17</sup>; the other aspect is in information storage which is optimised while metastable dynamics exists in the system<sup>6,7,27</sup>. The simultaneous existence of critical and metastable dynamics confirms the previously reported theoretical prediction which based on mathematical models<sup>27</sup>.

Further, we tried to find whether the homeostatic plasticity in developing networks could be reflected by the trajectory. In our study, homeostatic mechanisms closely related to AMPA-A and NMDA receptors and gap junctions were examined using commonly used chemical blockers. We found that disrupting the excitation-inhibition balance could alter the trajectory in shape and direction. After re-establishing the balance, the network returned to the original dynamical state, suggesting that homeostatic plasticity in developing networks may not only holds the activity level (firing rates) to a set point<sup>26</sup>, but more importantly, maintains nontrivial activity patterns and dynamical network states during development. The trajectory in our results showed that a progressively-changed activity pattern dominated the global mode in each stage, and that such firing patterns could be rebuilt even when it was disrupted.

In summary, we used long-term cultured hippocampal networks to demonstrate that large random developing networks showed sequential global dynamics during *in vitro* maturation, capturing metastable transitions in the entire developmental process. Our data suggest that a self-organized criticality mechanism with long range interactions hereby plays a potential role in the emergence of metastable activity states in an evolving network.

In temporally evolving networks, the coexistence of self-organized criticality and metastable state transition showed in our results provides an unprecedented experimental evidence for the hypothesis that critical networks should simultaneously exhibit criticality and metastability. Understanding the self-organized nature of developing networks may hold the key to elucidating the network-level mechanisms of brain development. Based on our result, it may be possible to predict how the network will evolve by examining the criticality in early stages. It will open a door to the investigation of age-related neuronal dysfunction, and ultimately to the forecasting of developmental dynamics of the brain.

## Methods

**Dissociated hippocampal cultures.** As previously reported, hippocampi from E18–19 Wistar rat embryos were dissected and the hippocampal neurons were dissociated by enzymatic digestion with trypsin (10 min at 37°C) and mechanical dissociation<sup>29</sup>. Cells were then plated at a density of 2500 cells/mm<sup>2</sup> onto multielectrode array dishes (Ayuda Biosystems SA, Lausanne, Switzerland) coated with poly-L-lysine. The culture medium in MEA dishes contained 1 ml Neurobasal medium with B27 (Invitrogen), 0.5 mM Glutamax (Invitrogen), and 10% equine serum (Hyclone). MEA dishes were kept in a 37°C, 5% CO<sub>2</sub> water jacketed incubator, and half of the medium was changed every 2 days. All experimental procedures used in this study were approved by the Animal Ethics Committee of Huazhong University of Science and Technology.

**Recording.** Signals from 59 recording electrodes in the MEA dish were acquired simultaneously with a MEA1060 recording system (Multichannel Systems, Reutlingen, Germany). For each culture, after electrical activities became detectable by electrodes (~ after 1 week *in vitro*), extracellular signals were continuously sampled with a fixed schedule at 25 kHz for at least 1 hour every 2 days until no valid signal could be detected due to network deterioration (typical observation period last for 100–150 days *in vitro*). For each electrode, a threshold automatically set by 5× standard deviation of the noise was used to convert raw waveform data into a spike train.

**Drugs.** Bicuculline methiodide (Sigma), D(–)-2-amino-5-phosphopentanoic acid (Sigma) and 1-Octanol (Sigma) were dissolved in culture medium. The volume of medium in the MEA chamber was maintained at 1 ml. Drugs were added directly to the MEA chamber, and the reaction was terminated by aspiration of the entire medium and replacement with fresh culture medium.

**Data analysis.** To capture slow changing dynamics of network firing patterns during the entire development process, raster plots of all valid recordings of a network during

development were binned every 1–10 s. The binning was determined by the distribution of inter-spike interval (ISI) and inter-burst interval (IBI). Cultures with small ISIs and IBIs would be applied a smaller binning window. Detected spikes of individual electrodes were counted in each bin to construct a set of  $M$ -dimension activity vectors  $\vec{g}_1, \vec{g}_2, \dots, \vec{g}_N$  ( $M$ : Total electrode number,  $N$ : Total bin number) which represents changing spatiotemporal pattern (i.e., active neural assemblies and their activity levels) of network activity with time. We constructed the similarity matrix  $S_{ij}$  by calculating cosine similarity between  $\vec{g}_i$  and  $\vec{g}_j$ :

$$S_{ij} = \cos(\theta) = \frac{\vec{g}_i \cdot \vec{g}_j}{\|\vec{g}_i\| \|\vec{g}_j\|},$$

which has a value between 0 and 1. To visualize and identify possible network states emerged during development, we then applied PCA to the matrix. After PCA, the first two principal components (PC) spanned an orthogonal space where each pattern was mapped as a dot.

To follow the state transition, we used graph theory to analyze the functional topology of the network. To evaluate connection strength between nodes, mutual information between spike trains recorded by individual electrodes was computed by evaluating joint and single probabilities of two spike trains ( $X, Y$ ):

$$MI(X, Y) = \sum_{x,y} p(x,y) \cdot \log_2 \left( \frac{p(x,y)}{p(x)p(y)} \right),$$

where  $x, y$  denote a spike event and  $p(x,y)$  represents the joint probability (binning window = 1 ms). Then, the values are normalized between 0 and 1, which are used to measure the strength of the functional connectivity link between two assemblies<sup>22</sup>. Betweenness centrality of node  $i$  was computed by considering the number of shortest paths between the other two nodes that pass through  $i$ :

$$b_i = \frac{1}{(n-1)(n-2)} \sum_{\substack{h,j \in N \\ h \neq i, h \neq j, i \neq j}} \frac{\rho_{hj}(i)}{\rho_{hj}},$$

where  $\rho_{hj}$  denotes the number of shortest paths between the other two nodes  $h$  and  $j$ , and  $\rho_{hj}(i)$  denotes the number of shortest paths between them but also passes through  $i$ <sup>28</sup>. Assortativity of a network was computed by the Pearson correlation coefficient of the degree distribution:

$$r = \frac{m^{-1} \sum_e k_i(e)k_j(e) - \left\{ m^{-1} \sum_e \frac{1}{2} [k_i(e) + k_j(e)] \right\}^2}{m^{-1} \sum_e \frac{1}{2} [k_i^2(e) + k_j^2(e)] - \left\{ m^{-1} \sum_e \frac{1}{2} [k_i(e) + k_j(e)] \right\}^2},$$

Where  $k_i(e)$  and  $k_j(e)$  denotes the degrees of nodes at ends of link  $e$ , and  $m$  is the total number of links<sup>23</sup>. The network is assortative when  $r > 0$  (correlated), disassortative when  $r < 0$  (anti-correlated), and uncorrelated when  $r = 0$ .

The generation and propagation of network activity was examined by detecting and evaluating neuronal avalanches in the network. Self-organization criticality in network activity during development was identified by both properties of neuronal avalanches in the network and Hurst exponent of spiking activity of individual spike trains. Avalanches were detected by using the method presented in ref. 9–11 (with a 3-ms binning window), and the size of an avalanche is defined as the number of active electrodes inside the event. To validate criticality, we followed the maximum likelihood method with Kolmogorov-Smirnov test presented in ref. 30. To evaluate propagation of avalanches, we defined the branching parameter as the average number of electrodes activated by prior electrodes in the propagation of neuronal avalanches. Both the scaling exponent (slope value:  $\alpha$ ) close to  $-1.5$  and branching parameter close to 1 suggest self-organized critical dynamics in the network activity. To evaluate the presence of long-range correlation in the activity of individual units of the network, we first concatenated all detected spikes of each electrode during development into a spike train, and then applied the rescaled range method to estimate Hurst exponents on the inter-spike interval sequences<sup>31</sup>. A Hurst exponent value  $H$  close to 0.5 indicates a random walk,  $H > 0.5$  indicates persistent behaviour, and  $H < 0.5$  indicates anti-persistent behaviour.

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## Author contributions

Q.L. and H.G. conceived the project, participated in its development and revised the manuscript. J.P. and X.L. prepared the neuronal cultures and performed the experiments. J.P. and H.G. analysed the data, and wrote the manuscript.

## Additional information

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