Model selection for spectral parameterization

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9 Abstract: Neurophysiological brain activity comprises rhythmic (periodic) and arrhythmic 10 (aperiodic) signal elements, which are increasingly studied in relation to behavioral traits and clinical symptoms. Current methods for spectral parameterization of neural recordings rely on 11 12 user-dependent parameter selection, which challenges the replicability and robustness of findings. 13 Here, we introduce a principled approach to model selection, relying on Bayesian information 14 criterion, for static and time-resolved spectral parameterization of neurophysiological data. We 15 present extensive tests of the approach with ground-truth and empirical magnetoencephalography 16 recordings. Data-driven model selection enhances both the specificity and sensitivity of spectral 17 and spectrogram decompositions, even in non-stationary contexts. Overall, the proposed spectral 18 decomposition with data-driven model selection minimizes the reliance on user expertise and

19 subjective choices, enabling more robust, reproducible, and interpretable research findings.

20 Lay summary: Brain activity is composed of rhythmic patterns that repeat over time and 21 arrhythmic elements that are less structured. Recent advances in brain signal analysis have 22 improved our ability to distinguish between these two types of components, enhancing our 23 understanding of brain signals. However, current methods require users to adjust several 24 parameters manually to obtain their results. The outcomes of the analyses therefore depend on 25 each user's decisions and expertise. To improve the replicability of research findings, the authors 26 propose a new, automated method to streamline the analysis of brain signal contents. They 27 developed a new algorithm that defines the parameters of the analytical pipeline informed by the 28 data. The effectiveness of this new method is demonstrated with both synthesized and real-world 29 data. The new approach is made available to all researchers as a free, open-source app, observing 30 best practices for neuroscience research.

31 <u>Keywords:</u> Neurophysiology, Spectral decomposition, Time-frequency analysis,

32 Magnetoencephalography, Model selection, Rhythmic and arrhythmic brain signals, Parameter

- 33 optimization, Reproducibility in research.
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43 Introduction

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45 Neural oscillations are rhythmic (periodic) signal components ubiquitously observed in electrophysiology across spatial and temporal scales (Buzsaki & Watson, 2012). In the power 46 47 spectrum, periodic components can be modelled as Gaussian-shaped peaks emerging from an 48 arhythmic (aperiodic) background (Wen & Liu, 2016; Donoghue et al., 2020; Wilson et al., 2022). 49 Aperiodic activity is spectrally characterized by a reciprocal distribution of signal power that 50 decays with frequency according to a power law $(1/f^{\alpha})$. In practice, the scalar exponent parameter 51 α and broadband offset of the aperiodic model are inferred from estimates of the signal's power 52 spectrum density (PSD) of the electrophysiological signal. Computational neuroscience models 53 and growing empirical evidence suggest that α reflects the physiological balance between excitatory (E) and inhibitory (I) neural activity (Brake et al., 2024; Chini et al., 2022; Gao et al., 54 55 2017; Wiest et al., 2023), and the offset is related to aggregate neuronal population spiking (Miller 56 et al., 2014; Voytek & Knight, 2015). These model parameters of the aperiodic spectral component 57 decrease with age, accounting for the observation of a *flatter* power spectrum in aging (Cellier et 58 al., 2021; Donoghue et al., 2020; Voytek et al., 2015). They also fluctuate during cognitive tasks 59 (Donoghue et al., 2020; Gyurkovics et al., 2022; Preston et al., 2022; Waschke et al., 2021) and 60 reflect behavioral traits (Ostlund et al., 2021; Wilson et al., 2022).

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62 Recent algorithms and software such as the *specparam* (Donoghue et al., 2020) and Spectral 63 Parameterization Resolved in Time (SPRiNT; Wilson et al., 2022) have streamlined the adoption 64 of spectral parameterization in electrophysiological research. These tools require users to define a 65 number of method parameters (hyperparameters), such as model complexity via the prespecification of the maximum number of spectral peaks N_{G} to be adjusted from the empirical PSD 66 67 (Gerster et al., 2022; Ostlund et al., 2022; Wilson et al., 2022). When hyperparameters are not set appropriately, the specparam algorithm either fits spurious, outlier spectral peaks or misses 68 69 genuine spectral peaks (Donoghue et al., 2020). Similarly, time-resolved spectral parametrization 70 tools rely on hyperparameters to minimize the detection of outlier spectral peaks (Brady & 71 Bardouille, 2022; Cole et al., 2019; Kosciessa et al., 2020; Seymour et al., 2022; Stokes et al., 72 2023; Whitten et al., 2011; Wilson et al., 2022).

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74 Setting model hyperparameters is a prevalent challenge across many fields of science and 75 engineering. Good-practice approaches recommend prioritizing parsimonious models with a 76 balance between simplicity (less hyperparameters) and the ability to fit the observed data (more 77 flexibility; Vandekerckhove et al., 2015). Here, we propose such a model selection strategy for the parameterization of both the PSD and spectrogram of neurophysiological time series. The method 78 proceeds with adjusting progressively more complex models to the empirical data spectrum or 79 80 spectrogram, and determines the parameters of the simplest model that adequately accounts for the 81 data on the basis of the Bayesian information criterion (BIC). This also enables the quantitation of 82 evidence for periodic activity in spectral data via Bayes factor analysis. We demonstrate below the method's performance using extensive ground-truth simulated data and a large set of empirical 83

84 resting-state magnetoencephalography (MEG) from N=606 participants.

85 Methods

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87 Model Selection using Bayesian Information Criterion.

88 In the context of parsimonious modeling of power spectra and spectrograms, our goal is to optimize 89 the trade-off between model fidelity and complexity. This principle emphasizes deriving the most 90 accurate and representative model directly from empirical data while minimizing the inclusion of 91 unnecessary assumptions or parameters (Myung, 2000). Among the methods for comparing 92 models, Bayes factors are noteworthy as they balance model fit evaluation with the principle of 93 simplicity (Jefferys & Berger, 1992). These factors can be effectively estimated using the Bayesian 94 Information Criterion (BIC), which offers a pragmatic tradeoff between goodness-of-fit and 95 complexity in terms of the number of model parameters (Schwarz, 1978; Vandekerckhove et al., 96 2015).

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98 In the *specparam* approach, model fitting involves minimizing the least-squares error between 99 model predictions and the empirical power spectrum. The *ms-specparam* method refines this 100 objective by estimating the negative log-likelihood of a model:

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$$-\ln p(y \mid x, w, \beta) = \frac{\beta}{2} \sum_{i=1}^{N} \{f(x_i, w) - y_i\}^2 - \frac{N}{2} \ln(\beta) + \frac{N}{2} \ln(2\pi)$$

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104 where (x) and (y) are the frequency bins and empirical spectral power values, respectively; 105 $(f(x_i, w))$ is the spectral power predicted by the model at frequency (x_i) ; (y_i) is the empirical 106 spectral power value at frequency (x_i) ; (w) represents the model parameters, (N) the number of 107 frequency bins. (β) is the precision, or inverse variance, of residuals.

 $\beta = 1/\sigma^2$,

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- 109 110

111 Under the assumption of zero-mean (unbiased) Gaussian noise in the empirical power spectrum, 112 minimizing negative log-likelihood provides an equivalent solution to minimizing squared error 113 (Mitchell, 1997). Finally, we express the *specparam* optimization's output in terms of the 114 Bayesian information criterion (BIC):

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- 116 $BIC = 2 \cdot NLL + \log(N) \cdot k,$

117 where NLL is the negative log-likelihood, (N) is the number of frequency bins, and 118 (k) represents the total number of parameters, which includes the aperiodic parameters (exponent 119 and offset) and three additional parameters for each peak (center frequency, amplitude, 120 bandwidth). Note that (k = 3P + 2), where (P) represents the number of peaks.

121 The *specparam* algorithm iteratively fits models of increasing complexity by adding peaks and 122 minimizing the squared error. It performs a final optimization of both aperiodic and periodic 123 parameters, and then converts the squared error into a negative log-likelihood, which is used to

- 124 calculate the BIC. The algorithm ultimately provides the parameters of the model with the lowest
- 125 BIC, thus achieving a balance between fit quality and model simplicity.
- 126 We developed *ms-specparam* and *ms-SPRiNT* as plug-in libraries that interoperate 127 with *Brainstorm* (Tadel et al., 2011) and are therefore open-source and accessible to everyone.

128 Algorithm Settings and Hyperparameters.

- 129 The spectral parameterization of neural power spectra (synthetic and empirical) was conducted in
- 130 the frequency range of 1-40 Hz using three distinct approaches, each characterized by different
- 131 hyperparameter settings:

1. Default Hyperparameters: This approach adhered to the default settings established by the
Python implementation of *specparam* (Donoghue et al., 2020). The hyperparameters included a
minimum peak height of 0.1 arbitrary units (a.u.), a maximum of 6 peaks, peak width limits set
within the range of [1, 8] Hz, and a proximity threshold of 0.75 a.u.

136 2. Conservative Hyperparameters: This setting was driven by the *Brainstorm* implementation
137 of *specparam* (Tadel et al., 2011). It involved more conservative hyperparameters, with a
138 minimum peak height of 0.3 a.u., a reduced maximum number of peaks at 3, peak width limits
139 broadened to [0.5, 12] Hz, and an increased proximity threshold of 2.0 a.u.

- 159 bioladened to [0.5, 12] 112, and an increased proximity threshold of 2.0 a.u.
- 140 **3.** *ms-specparam*: This approach used the same hyperparameter settings as *default-specparam*,
- including a minimum peak height of 0.1 a.u., a maximum of 6 peaks, peak width limits between
 [1, 8] Hz, and a proximity threshold of 0.75 a.u. The most parsimonious spectral model is selected
- according to the procedure described in *Model Selection using Bayesian Information Criterion*.
- 144 These different spectral parameterization strategies were selected to provide a comprehensive
- 145 comparison across various standard and conservative parameter settings.

146 Dynamic synthetic neural time series were similarly parameterized using *SPRiNT* in the 1-40

147 Hz frequency range, using 5x1 s windows (50% overlap), according to four distinct

- 148 conditions. While each condition used default hyperparameters for *specparam*, they differed
- 149 in their methodologies for removing spurious, outlier spectral peaks:
- **150 1. SPRiNT:** No procedure for pruning spurious, outlier spectral peaks.
- 151 **2. SPRiNT with post-processing:** This condition identified putative spurious, outlier spectral
- 152 peaks as those with fewer than a predetermined number of similar peaks (by center frequency)
- 153 within neighboring time bins of the spectrogram. The process prunes identified spurious,
- 154 outlier spectral peaks and re-optimizes spectral models in affected time bins. For more details,
- see Wilson et al. (2022). The hyperparameters used for post-processing consisted of pruning
- 156 spectral peaks with fewer than four neighboring peaks within 1.5 Hz and six time bins (3 s).

157 **3. ms-SPRiNT:** This condition selected the most parsimonious spectral model in each time

- 158 bin according to the procedure described in Model Selection using Bayesian Information
- 159 Criterion.

160 4. ms-SPRiNT with post-processing: This process first selected the most parsimonious

spectral model using *ms-SPRiNT* before pruning spurious, outlier spectral peaks through post-

162 processing.

163 Synthetic Data.

We created 5,000 synthetic neural power spectra using a range of aperiodic parameters: exponents from 0.5 to 2 Hz⁻¹) and offsets from -8.1 to -1.5 arbitrary units (a.u.). Each power spectrum was

augmented with zero to four peaks, with 1,000 instances for each peak quantity. The parameters

167 for these peaks fell within specified ranges: center frequencies between 3 and 35 Hz, amplitudes

168 from 0.1 to 1.5 a.u., and bandwidths (2 s.d.) from 2 to 6 Hz. We ensured a minimum separation of

- 169 one bandwidth between adjacent peak frequencies. The frequency domain for simulation spanned
- 170 from 0.5 to 100 Hz with increments of 0.5 Hz.
- 171 To mimic realistic noise conditions, we introduced Gaussian white noise at varying intensities:
- 172 low (0.05 a.u.), medium (0.10 a.u.), and high (0.15 a.u.). We then applied *default-specparam*

173 (minimum peak height of 0.1 a.u., up to six peaks, peak width range of 1 to 8 Hz, and proximity

threshold of 0.75 a.u.) alongside *ms-specparam* with identical parameters.

175 We also used 10,000 synthetic neural-like time series to evaluate the model selection approach in

176 the context of time-resolved spectral parameterization. These simulations, previously generated by

177 Wilson et al. (2022) to evaluate SPRiNT's performance, each consist of 60 seconds of unique,

- 178 dynamic periodic and aperiodic activity. Aperiodic exponents were initialized between 0.8 and 2.2 179 Hz^{-1} and aperiodic offsets between -8.1 and -1.5 a.u. Within the 12-36 second segment of the
- Hz⁻¹, and aperiodic offsets between -8.1 and -1.5 a.u. Within the 12–36 second segment of the simulation (onset randomized), the aperiodic exponent and offset underwent a linear shift of magnitude in the ranges 0.5, 0.5 Hz⁻¹ and 1, 1 a.u. respectively (complete continuously and
- magnitude in the ranges -0.5-0.5 Hz⁻¹ and -1-1 a.u., respectively (sampled continuously and chosen randomly). The duration of the linear shift was randomly selected for each simulated time series between 6 and 24 seconds. Periodic components (0–4 peaks) were added to each trial with parameters randomly sampled within the ranges: center frequency: 3–35 Hz; amplitude: 0.6–1.6
- 184 parameters randomly sampled within the ranges: center frequency: 5-55 Hz, amplitude: 0.0-1.0185 a.u.; SD: 1–2 Hz. Onset (5–40 s) and duration (3–20 s) of periodic components (if any) were 186 randomized across trials, with the constraint that they would not overlap in both time and
- 187 frequency; they were allowed to overlap in one dimension. If a periodic component overlapped
- temporally with another, its center frequency was set at least 2.5 peak SDs from the other temporally overlapping periodic component(s). The magnitude of each periodic component was
- 190 tapered by a Tukey kernel (cosine fraction = 0.4). Spectral noise levels were inherent to the 191 methods for time-frequency decomposition (short-time Fourier transform, 5x1 s windows with
- 192 50% overlap) and were previously approximated to be high (approximately 0.2 a.u.; Wilson et al.,
 193 2022).
- 195 194

For accuracy assessment, a 'hit' was designated when an identified peak's center frequency was within one bandwidth (2 s.d.) of a true peak. In cases where multiple identified peaks met this criterion, the peak with the highest amplitude was selected as the 'hit.' Peaks detected by the algorithm that did not correspond to a true peak were classified as false positives.

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We defined peak sensitivity as the ratio of 'hits' to the total number of simulated peaks, and the positive predictive value as the ratio of 'hits' to all detected peaks (including false positives). For

202 peaks identified as 'hits,' we calculated the parameter estimation error as the absolute deviation 203 from the true values.

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205 Bayes factor evidence for periodic brain activity.

Bayes factor (BF) provides a statistical measure for comparing two models, offering evidence
about the presence of periodic activity within neural power spectra. The computation of the Bayes
factor follows the formula proposed by Wagenmakers (2007):

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$$BF_{01} = e^{(BIC_0 - BIC_1)/2}$$

where (BIC_0) is the Bayesian Information Criterion (BIC) value for the aperiodic-only model and (BIC_1) is the BIC value of the lowest-BIC model.

212 In this context, (BIC₀) represents the BIC for the aperiodic-only model, which posits that the data

213 can be explained without invoking rhythmic components. (BIC₁), on the other hand, corresponds

to the model that includes both aperiodic and periodic elements and has the lowest BIC among all

215 models considered.

The Bayes factor (BF_{01}) compares these models, translating the difference in their BIC values into the odds ratio against periodic activity. A smaller (BF_{01}) implies stronger evidence against the aperiodic-only model, thereby indicating the presence of significant periodic activity within the brain's neural power spectra. Conversely, larger (BF_{01}) values suggest that the periodic components do not significantly improve the model beyond the aperiodic activity alone.

This approach allows for the quantitative assessment of oscillations in neural recordings, providing a more rigorous foundation for claims of rhythmic brain activity observed in electrophysiological

223 data.

224 **Empirical data.**

225 The empirical dataset for our study was obtained from the Cambridge Centre for Aging 226 Neuroscience repository (Cam-CAN; Shafto et al., 2014; Taylor et al., 2017). This comprehensive 227 dataset includes 606 healthy individuals aged 18 to 90 years (mean age = 54.69; SD = 18.28), with a balanced gender representation (299 females). Each participant underwent a thorough 228 229 assessment, beginning with a detailed home interview followed by a resting-state 230 magnetoencephalography (MEG) session. The MEG recordings, lasting approximately 8 minutes 231 each, were conducted using a 306-channel VectorView MEG system (MEGIN). These recordings 232 were complemented with structural T1-weighted magnetic resonance imaging (MRI) to provide 233 anatomical context for MEG source mapping. All data collection occurred at a single, consistent 234 location to maintain uniformity in data acquisition.

This rich dataset forms the basis for our analyses, allowing for a comprehensive investigation into the spectral properties of neural signals across a wide age spectrum.

237 MEG Preprocessing and Source Mapping.

- 238 We preprocessed magnetoencephalography (MEG) data with Brainstorm (Tadel et al., 2011;
- 239 March 2021 distribution), integrated with MATLAB (2020b; Natick, MA), adhering to established
- best-practice guidelines (Gross et al., 2015). The preprocessing methodology followed protocols
- 241 detailed previously (da Silva Castanheira et al., 2021).

Line noise artifacts at 50 Hz and its first 10 harmonics were filtered using a notch filter bank. Additionally, an 88-Hz artifact present in the Cam-CAN dataset (Wiesman et al., 2022) was removed. To address slow-wave and DC-offset artifacts, a high-pass finite impulse response (FIR) filter with a cutoff frequency of 0.3 Hz was applied. Signal-Space Projections (SSPs) were implemented to attenuate cardiac artifacts and mitigate low-frequency (1–7 Hz) and highfrequency (40–400 Hz) noise components, typically originating from saccades and muscle activities.

- 249 Brain source models were anchored to the individual T1-weighted MRI data of each participant.
- 250 Automatic segmentation and labeling of MRI volumes were achieved using FreeSurfer (Fischl,
- 251 2012). Co-registration with MEG data was facilitated using approximately 100 head points
- digitized for each participant. MEG biophysical head models were computed using *Brainstorm*'s
- 253 overlapping-spheres model (default parameters).
- 254 Cortical source models were estimated using linearly constrained minimum-variance (LCMV) 255 beamforming, following *Brainstorm*'s default parameters (2018 version for source estimation
- processes). MEG source orientations were constrained normal to the cortical surface, distributed
- across 15,000 locations. Neural power spectra were then calculated for each of the 148 cortical
- regions defined by the Destrieux atlas (Destrieux, 2010). These calculations were based on the
- first principal component of all signals within each region of interest (ROI). Neural spectral power
- 260 was estimated using Welch's method, utilizing 2-second windows with a 50% overlap.

261 Statistical Analyses.

To evaluate the accuracy of spectral parameters generated by *ms-specparam*, we employed twosample non-parametric permutation t-tests from the *RVAideMemoire* package in R. This statistical approach allowed us to test differences in spectral parameter estimates, specifically focusing on five key variables: aperiodic exponent, aperiodic offset, peak center-frequency, peak amplitude, and peak bandwidth.

To capture differences in residual variance and the number of peaks fitted between algorithms in our empirical data, we similarly relied on paired non-parametric permutation t-tests.

We implemented hierarchical linear regression models using the *lmer* function in R to test how algorithm choice impacts age's effect on both aperiodic exponent and offset. The models were formulated as:

- 272 $y \sim \text{intercept} + (\text{Participant intercept}) + \beta_1 \times \text{chronological age} + \beta_2 \times \text{algorithm}$ 273 $+ \beta_3 \times \text{chronological age} \times \text{algorithm}$
- where (y) represents the dependent variables, including the aperiodic exponent and offset.

275 Separate linear regression analyses were conducted to compare *ms-specparam* against both 276 *default-specparam* and *conservative-specparam*. Chronological age and the chosen algorithm 277 (e.g., *ms-specparam* vs. *default-specparam*) were introduced as fixed predictors, and individual 278 participants were treated as a random factor to account for inter-individual variability.

To quantify the Bayesian evidence for each predictor in our regression models, we employed Bayes factor analysis with the Wagenmakers approximation (Wagenmakers, 2007). This provided a nuanced understanding of the statistical significance and strength of the effects of age and algorithm on spectral parameterization outcomes.

283 Results

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285 Figure 1a highlights how user-dependent hyperparameters affect the outcome of spectral 286 parameterization. In the example shown, the hyperparameter specifying the maximum number of 287 peaks expected from spectral parameterization was set manually to a value of 6. However, the 288 spectrum of the present empirical data contains only two peaks (left panel; Figure 1a). Under high 289 signal-to-noise conditions, spectral parameterization may yield only two peaks as expected. 290 However, when data is realistically noisy, the spectral parameterization algorithm may 291 overestimate the number of peaks in the spectrum to account for noise-related fluctuations (right 292 panel; Figure 1a). Ideally, spectral and spectrogram parameterization should automatically and 293 adaptively adjust model hyperparameters to account for the noise level in the data.

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295 To address these issues, we propose an approach that proceeds iteratively with the 296 parameterization of empirical power spectra and spectrograms with increasing model complexity 297 (i.e., the maximum number of spectral peaks). We derive the Bayesian Information Criterion (BIC) 298 for each hyperparameter setting. The most parsimonious hyperparameter setting to model the 299 empirical power spectrum or spectrogram is the one corresponding to the lowest BIC value (Figure 300 1b). If the most parsimonious model contains spectral peaks, we can further quantify the evidence 301 for periodic activity using the Bayes factor relative to the aperiodic-only model (Figure 1c). Our 302 approach applies to both spectral and spectrogram parameterization, as demonstrated herein with 303 specparam (Donoghue et al., 2020) for spectral analysis and SPRiNT (Wilson et al., 2022) for 304 spectrograms. The model-selection versions of these methods, coined ms-specparam and ms-305 SPRiNT, are freely available through Brainstorm (Tadel et al., 2011) and on GitHub 306 (github.com/lucwilson/model selection).

307

308 We tested and validated *ms-specparam* using 5,000 ground-truth, synthetic but 309 neurophysiologically plausible power spectra. We compared its performance against the original 310 specparam algorithm, configured to its default hyperparameters (referred to as default-specparam; 311 see Methods). Additionally, we validated *ms-SPRiNT* against the original *SPRiNT* algorithm using 312 spectrograms from 10,000 synthetic, neurophysiologically plausible time-series. We also applied 313 ms-specparam to task-free MEG recordings from 606 participants to replicate, with less 314 dependence on user-selected hyperparameters, the previously reported findings of an age-related 315 decline in the aperiodic exponent of the neurophysiological power spectrum (Voytek et al., 2015).



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Figure 1: Spectral Parameterization with Model Selection

(a) Illustration of a spectral parameterization of a simulated power spectral density
estimate (black line) obtained with *specparam* in the context of lower (left panel) and
higher (right panel) noise levels. Both spectra are generated using the same spectral
parameters (i.e., two spectral peaks). In more noisy conditions, *specparam* (pink line) fits
a greater number of spectral peaks (green shaded areas) than what is present (simulated)
in the data, resulting in overfitting (right panel). Key: '*cf*' refers to a peak's center
frequency, '*amp*' refers to a peak's amplitude, and '*bw*' refers to a peak's bandwidth.

(b) *ms-specparam* is a method for spectral parameterization combined with a model
selection procedure. It first adjusts a model for the aperiodic component of the spectrum
(subpanel i) before adding spectral peaks (green shaded areas) in an iterative fashion
(subpanel ii). These successive models are then assessed via the Bayesian Information
Criterion (BIC; subpanel iii).

330 (c) The resulting BIC model is then subjected to Bayes factor inference against the 331 aperiodic spectral model (panel i) to adjudicate whether spectral peaks are likely to be 332 present in the data power spectrum. A Bayes factor greater than 1 is evidence in favour 333 of periodic brain activity over the null hypothesis of no periodic activity (panel iv).

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335 Synthetic, Ground-Truth Data.

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337 Each of the 5,000 synthetic power spectra comprised an aperiodic component, with offset values 338 ranging from -8.1 to -1.5 arbitrary units (a.u.) and exponents set between 0.5 and 2 Hz⁻¹. We 339 randomly added between 0 and 4 spectral peaks to the aperiodic background of each power

- 340 spectrum (1,000 simulated spectra for each number of peaks; see Methods). Zero-mean Gaussian 341 noise was added to the resulting power spectra with varying standard deviation values (s.d.).
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343 In moderate noise conditions (s.d. = 0.10), ms-specparam demonstrated a slightly lower sensitivity 344 (89%) in detecting spectral peaks compared to default-specparam (91%). However, it had a

345 substantially higher positive predictive value for peak detection (*ms-specparam*: 96%; *default*-

346 specparam: 63%). On average, default-specparam overestimated the number of peaks in the

347 spectrum by 59%, whereas *ms-specparam* underestimated the number of peaks by 13% (Figure

348 2a). We observed similar results for sensitivity and PPV in both algorithms at lower and higher

- 349 noise levels (Supplemental Materials).
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351 We found that, on average, parameter estimates derived from *ms-specparam* are more accurate

352 than those derived from *default-specparam*: aperiodic exponent (t = 8.62, p = 0.0019; aperiodic

353 offset t = 8.38, p = 0.0019; peak center frequency t = 15.33, p = 0.0019; peak amplitude t =

354 10.33, p = 0.0019; peak bandwidth t = 14.50, p = 0.0019; one-tailed permutation t-tests; Figure 2b).

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a | ms-specparam fits fewer spurious peaks



Figure 2: Performances on Synthetic Stationary Data

(a) Sensitivity and positive predictive value (PPV) for detection of spectral peaks (top row). *ms-specparam* (green) has similar sensitivity (89%) than *default-specparam* (blue; 91%), but superior PPV (96% vs. 63%). The heat maps below report the ground-truth vs.
estimated number of spectral peaks (with percent incidence listed in each element) and highlight *ms-specparam*'s improved peak detection accuracy.
(b) Boxplots and empirical density distributions reporting the errors on the estimates of

366 the spectral parameters derived using *ms-specparam* and *default-specparam*. For every

367 spectral parameter, *ms-specparam* estimated values with significantly lower mean
 368 absolute error (one-tailed permutation t-test, all p<0.05).

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We subsequently evaluated the performance of *ms-SPRiNT* using 10,000 time series simulated from realistic ranges of spectral parameters. We replicated the simulation procedure of Wilson et al. (2022): in short, we synthesized neurophysiologically plausible time series (60-s duration) composed of time-varying periodic and aperiodic components (see Methods).

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We evaluated the respective performances of *SPRiNT*, *SPRiNT* with post-processing (i.e., removing spurious outlier spectral peaks, following Wilson et al., 2022), *ms-SPRiNT*, and *ms-SPRiNT* with post-processing (Table S1). On average, *SPRiNT* with post-processing best estimated the number of spectral peaks (4% more than expected), than *ms-SPRiNT* (15% more than expected) and *ms-SPRiNT* with post-processing (20% fewer than expected). Similar to *specparam*, *SPRiNT* tended to overestimate the number of spectral peaks (60% more than expected; Figure 3a).

SPRiNT's peak detection had the highest sensitivity (89%) of all contexts (post-processing: 84%;

ms-SPRiNT: 80%; *ms-SPRiNT* with post-processing: 76%; Figure 3b) but also the lowest positive

383 predictive value (22%). Notably, peaks detected using *ms-SPRiNT* with post-processing had a

much higher positive predictive value (83%) than all other contexts (post-processing: 45%; *ms*-

385 *SPRiNT*: 43%; Figure 3d).

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Figure 3: Performances on Synthetic Data with Time-Varying Spectral Contents

(a) Heat maps reporting the number of spectral peaks detected vs. ground-truth. *ms*-*SPRiNT* with post-processing (purple; bottom right) best recovers the true number of
spectral peaks. Numbers of datasets synthesized with 0 spectral peaks = 798,753, 1
peak = 256,599, 2 peaks = 78,698, 3 peaks = 14,790, and 4 peaks = 1160.

400 (b) Sensitivity of spectral peak detection (N = 10,000 simulated time series). *ms-SPRiNT* 401 with (purple) and without post-processing (fuchsia) exhibit marginally lower sensitivity 402 then the default *SPRiNT* algorithm (grange)

402 than the default *SPRiNT* algorithm (orange).

- 403 (c) Positive predictive value (PPV) of spectral peak detection. *ms-SPRiNT* with post 404 processing (purple) exhibits a higher positive predictive value than all other algorithms.
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406 **Empirical MEG Data.**

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408 We applied *ms-specparam* to resting-state MEG data from the Cam-CAN repository (N=606; 409 Shafto et al., 2014; Taylor et al., 2017). We first preprocessed and source-mapped the MEG time 410 series using Brainstorm (Tadel et al., 2011) following good-practice guidelines (Gross et al., 411 2013). We then derived the PSDs of each cortical parcel of the Destrieux atlas (Destrieux, 2010; 412 see Methods). We then compared models generated with *ms-specparam* to those frrom *specparam*, 413 using two hyperparameter settings: *default-specparam* (minimum peak height: 0.1 a.u.; maximum 414 number of peaks: 6; peak width limits: [1 8]; proximity threshold: 0.75 s.d.) and a more 415 conservative configuration (conservative-specparam; minimum peak height: 0.3 a.u.; maximum 416 number of peaks: 3; peak width limits: [0.5 12]; proximity threshold: 2). 417

418 We found that *ms-specparam* generated models with less residual variance (i.e., mean squared 419 error, MSE; average MSE = 2.08×10^{-3} , SD = 7.68×10^{-4}) than both *specparam* settings (default:

average MSE = 3.06×10^{-3} , SD = 1.07×10^{-3} ; t = -48.82, p < 0.001; conservative average MSE = 420 7.63x10⁻³, SD = $3.08x10^{-3}$; t = -51.17, p < 0.001; Figure 4a). This observation was consistent across 421 422 all cortical parcels, with posterior parietal areas showing the greatest enhancements in model 423 goodness-of-fit (Figure 4a). Reduced residual variance was consistent over the entire frequency 424 range (1–40 Hz), with marked improvements with respect to both *specparam* settings over the 425 edges of the spectrum (<5 Hz and >35 Hz; Figure 4a). We also observed that ms-specparam 426 detected fewer spectral peaks than *default-specparam*, and therefore, as expected, provided more 427 parsimonious spectral parameterizations (t= -58.26, p < 0.001; Figure 4b).

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With *ms-specparam*, we performed Bayes factor analyses (Vandekerckhove et al., 2015) as an objective measure of evidence for the presence of rhythmic activity in the neurophysiological power spectrum. We found that the bilateral cuneus exhibited the highest Bayesian evidence for rhythmic activity in the resting-state, while we found the lowest evidence of rhythmic activity in the orbitofrontal and medial frontal cortices (Figure 4c).

434

435 For illustration purposes, Figure 4d shows representative spectral parameterizations obtained from

436 neurophysiological time series recorded the right post-central gyrus. In this instance, ms-

437 specparam identified two spectral peaks while default-specparam adjusted five spectral peaks

- 438 (*default-specparam* MSE: 1.76x10⁻³; *ms-specparam*: 1.17x10⁻³).
- 439



440

441 Figure 4: Performances on Empirical MEG Data.

(a) Residual variance analysis across the 606 participants and all brain regions shows *ms-specparam* (green) with consistently lower residual variance, indicating a superior fit
relative to the other spectral parameterization methods (blue and yellow; left panel). The
brain maps display residual variance values for each cortical parcel. A frequency
breakdown (right panel) reveals *ms-specparam* outperforms the other two tested *specparam* variations across the spectrum, particularly at the edges of the frequency
spectrum.

- 449 (b) ms-specparam estimates less spectral peaks than default-specparam, demonstrating
- 450 more parsimonious modeling, as reported in the box/density plots (left panel). The brain
- 451 maps indicate that less spectral peaks were detected in posterior cortical parcels with ms-
- 452 specparam (right panel).
- 453 (c) Bayesian evidence for periodic, rhythmic brain activity mapped across the cortical
- 454 surface emphasizes occipital and left temporal regions.

(d) Parameterized spectra from the right post-central gyrus of a sample subject highlight
the differences between algorithms: *ms-specparam* fits two peaks (right panel), reflecting
the dominant oscillations, whereas *default-specparam* fits five (left panel), some of which
may be redundant or overfitted, as seen in the overlaid spectral models.

- 459
- 460 Age-Related Flattening of the Aperiodic Spectrum Depends on Hyperparameter Choice.
 461

462 We aimed to replicate with *ms-specparam* previous observations using *specparam* of age-related 463 decreases in aperiodic exponent (Donoghue et al., 2020; Voytek et al., 2015). We examined the 464 degree to which the methods' hyperparameters influenced the detection of these aging effects. To 465 do this, we fitted hierarchical linear regression models where age, the choice of spectral 466 parameterization algorithm, and their interaction were included as predictors of both aperiodic 467 exponent and aperiodic offset, respectively. A significant interaction would suggest that age-468 related changes in the spectral aperiodic exponent, for example, are contingent upon the method's 469 hyperparameters, rather than reflecting actual neurophysiological effects.

470

We found that age-related decreases in aperiodic exponent are modulated by the algorithm used, whether *ms-specparam* or *specparam* with default or conservative hyperparameter settings (i.e., a significant interaction between age and spectral parameterization algorithm). This indicates that the observed age-related changes in aperiodic exponent may be influenced by the choice of parameterization method rather than solely reflecting genuine neurophysiological effects (default hyperparameters: $\beta = 0.04$, SE = 0.01, 0.02, 0.06]; BF₀₁= 0.12; conservative hyperparameters: $\beta =$

- 477 0.12, SE = 0.01, [0.09, 0.14]; BF₀₁ = 5.91×10^{-12} ; Figure 5a-b and Table S2 & S3).
- 478

We obtained similar results for the age-related decline in aperiodic offset. Our analysis confirmed a significant interaction effect between age and spectral parameterization algorithm, both when comparing *ms-specparam* to *default-specparam* ($\beta = 0.04$, SE = 0.01, CI [0.01, 0.06]; BF₀₁= 11.91) and to *conservative-specparam* ($\beta = 0.11$, SE = 0.01, CI [0.09, 0.14]; BF₀₁= 2.96×10⁻¹³; Figure 5b

- 483 and Table S4 & S5).
- 484

Taken together, these observations suggest that the magnitude of age-related declines in the aperiodic exponent and offset are influenced by the choice of the hyperparameters in the spectral parametrization method. Note that the effect size of age was the smallest in the spectral models derived from *ms-specparam*.

489

As in our simulation results, we also found that *ms-specparam* identified fewer spectral peaks than *default-specparam*, particularly in the 8-30 Hz range, and most pronounced in senior participants (65-89 years old). This reinforces the earlier finding that *ms-specparam* curtails the number of detected peaks and underscores the influence of model selection on the characterization of peak parameters across all age groups (Figure 5c).



495 496

Figure 5: Age-related Neural Spectral Changes and Algorithmic Parsimony

497 (a) Topographical differences in aperiodic neural components, showing the variations in
 498 exponent (top) and offset (bottom) estimates when using *ms-specparam* versus *default-* 499 *specparam*. Areas where *ms-specparam* yields higher parameter estimates are
 500 highlighted in blue.

501 (b) Moderating effect of spectral parameterization method (*ms-specparam* vs. *Default-*502 *specparam*) on the relationship between age and aperiodic spectral components. The left 503 graph shows the exponent, and the right graph displays the offset, with statistical 504 interactions highlighted.

505 (c) Frequency-specific empirical distribution of the number of peaks fitted across different 506 age groups. The histograms show that *ms-specparam* (green) generally fits fewer peaks, 507 especially in the mid-frequency range (8-30Hz), illustrating a more parsimonious 508 approach to model fitting and potentially more accurate reflection of age-related spectral

- 509 changes.
- 510
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Discussion 512

513

514 Spectral parameterization enables the spectral decomposition of neurophysiological signals into 515 aperiodic and periodic components. Its adoption has grown rapidly over recent years, thanks to 516 open software tools, with the aim of disambiguating the respective functions of rhythmic 517 oscillatory and arrhythmic background neural activity. However, present tools require the manual 518 adjustment of algorithm parameters (hyperparameters), which hinders the reproducibility, 519 interpretability, and proper fitting of spectral parameterization models to the empirical data. In the 520 present report, we addressed this issue with the addition of a principled model selection strategy 521 to specparam (ms-specparam) and SPRiNT (ms-SPRiNT) to set key hyperparameters, including 522 the maximum number of peaks, minimum peak height, and proximity threshold. We validated both 523 new methods with synthetic and empirical data. We show that the resulting spectral 524 parameterizations are more parsimonious and fit better the data, while being considerably less 525 dependent on user decisions and expertise.

526

527 Spectral Parameterization with Enhanced Model Parsimony and Goodness-of-Fit.

528

529 Our examination of ground-truth data shows that *ms-specparam* is more effective that *specparam* 530 in accurately identifying spectral peaks. It avoids the frequent issue in practice of overfitting the 531 spectral data, which typically overestimates the number of periodic components in power spectra 532 (Figure 2a). In empirical data also, *ms-specparam* consistently generates more parsimonious 533 models (Figure 4). We observed enhanced goodness-of-fit (reduced residual variance) in both 534 synthetic and real-world data (Figures 2b and 4a), particularly at the edges of the frequency 535 spectrum. The implications of these findings are twofold: firstly, ms-specparam's parsimonious 536 approach prevents both overfitting and *underfitting* (see Practical Guidelines below). Secondly, 537 the improved accuracy of aperiodic component estimates is critical for characterizing complex 538 neural dynamics (Donoghue et al., 2020; Gerster et al., 2022).

539

540 The proposed model selection approach features similar benefits for the parameterization of 541 spectrograms, with substantial improvements of the model's positive predictive values and less 542 parameter settings than the ad-hoc post-processing steps proposed by Wilson et al. (2022). We 543 note, however, that combining model selection with post-processing increases dramatically the 544 positive predictive value of detected peaks (83% vs. about 45% for model-selection only), with 545 only a modest reduction (approximately 8%) in peak sensitivity.

546

547 To understand why the benefits of post-processing and model selection are additive, we can 548 consider the mechanism by which they achieve more parsimonious fits. Post-processing removes 549 isolated spectral peaks in time and frequency. Model selection encourages the parsimonious 550 addition of spectral peaks to the model, observing both goodness-of-fit and BIC. When combined, 551 model selection and post-processing remove spectral peaks which either do not substantially 552 improve goodness-of-fit (model selection) or have short durations (post-processing).

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555 **Hyperparameter Settings.**

557 Our empirical investigations emphasize the critical role of hyperparameter settings in spectral 558 modelling. Notably, the choice of spectral parameterization algorithm influenced aperiodic 559 parameter estimates across methods (Figures 5a-c). While deviations were modest, suggesting that 560 default spectral parameterizations may have captured the aperiodic component accurately, our 561 comparative analysis with synthetic data indicates that *ms-specparam* yields improved estimates 562 of the aperiodic exponent and offset (Figure 2b).

563

We replicated with the new model selection approach previous observations of changing aperiodic parameters with age (Cellier et al., 2021; Donoghue et al., 2020; Hill et al., 2022). We found that the aperiodic component of the neurophysiological power spectrum flattens with age, which has been discussed as related to increased neural noise and asynchronous neuronal firing, yielding less structured brain dynamics (Usher et al., 1995; Pozzorini et al., 2013; Voytek et al., 2015; Voytek & Knight, 2015). Several empirical observations support this hypothesis (Hanggi and Jung, 1994; Bédard et al., 2006; Sosnoff and Newell, 2011).

571

We found, however, that previously reported effects of shifts in the spectral aperiodic exponent and offset with age can be substantially reduced, depending on the spectral parameterization method used and its hyperparameters. This observation encourages the present and further efforts towards more automated and principled parameter selection procedures, promoting robustness and replicability of research results.

577

578 **Practical Guidelines.**

579

580 We provide practical recommendations to adjust the hyperparameters of *ms-specparam*. As with 581 other spectral parameterization methods, we encourage future users to examine their data's power 582 spectra before and after applying *ms-specparam* and verify the model's goodness-of-fit. We refer 583 the reader to the previously published guidelines by Donoghue et al. (2020), Gerster et al. (2022), 584 and Ostlund et al. (2022), which set good foundational principles for neurophysiological spectral 585 parameterization. Here, we highlight more specific considerations for the best possible use of *ms-*586 *specparam*:

- 5871. Model selection in *ms-specparam* determines the optimal number of spectral peaks that fit588the empirical power spectrum. The setting of other hyperparameters, including peak width589limits and aperiodic mode, remain to be defined by the user. The value set for the maximum590number of spectral peaks parameter needs to be larger than the number of peaks that are591clearly visible in the data's power spectrum. In our investigations, we set this value to 6.
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 2. We encourage users to derive measures of model goodness-of-fit, such as Mean-Squared Error, R², and BIC, as in our *Brainstorm* plug-in of the proposed model selection methods. Some of these metrics, like R², may be influenced by the aperiodic component of the spectrum, as demonstrated in previous studies (Donoghue et al., 2020). In the context of model selection, users should choose the spectral model with the lowest BIC (as in the present study).
- 598

599 These guidelines also apply to time-resolved spectral parameterization with *ms-SPRiNT*. Model 600 selection enhances the positive predictive value of detected spectral peaks and may substitute for

601 post-processing (Wilson et al., 2022). Post-processing requires users to set additional 602 hyperparameters.

603 To conclude, the present report introduced a model selection approach to the parameterization of 604 neurophysiological power spectra and spectrograms. The approach minimizes the requirement for 605 user expertise in the adjustment of hyperparameters, which influences the outcome of analyses. It is grounded in the optimization of parameters that favour model parsimony while maximizing 606 607 goodness-of-fit. We foresee that this principled approach will contribute to the robust application 608 of spectral parameterization in neuroscience research, further elucidating the roles of rhythmic and 609 arrhythmic brain activity in cognition, health, and disease. We anticipate that the proposed tools, 610 ms-specparam and ms-SPRiNT, will enhance the reproducibility and robustness of reported 611 findings.

612

613 Data and Software Availability

- 614 The *ms-specparam* and *ms-SPRiNT* algorithms, as well as simulated power spectra and code used
- 615 to generate results, are available on GitHub (<u>github.com/lucwilson/model_selection</u>). Simulated
- 616 neural-like time series used for spectrogram parameterization can be accessed from Wilson et al.
- 617 (2022). Resting-state MEG recordings were obtained from the CamCAN repository (Shafto et al.,
- 618 2014; Taylor et al., 2017).

619 Author Contributions

- 620 Conceptualization: LEW, JDSC
- 621 Data Curation: LEW, JDSC
- 622 Methodology: LEW, JDSC, BLK
- 623 Software: LEW, JDSC
- 624 Visualization: LEW, JDSC, BLK
- 625 Funding acquisition: SB
- 626 Writing original draft: LEW, JDSC, SB
- 627 Writing review & editing: LEW, JDSC, BLK, SB

628 Declaration of Competing Interests

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640 Supplemental Materials

641 Algorithm Validation with Alternative Noise Conditions.

642

643 In addition to injecting moderate noise to the synthetic data, we also evaluated *ms-specparam* 644 performance at low (s.d. = 0.05) and high (s.d. = 0.15) noise conditions. In spectra with low 645 noise, *ms-specparam* detected spectral peaks with comparable sensitivity (92%) to *default-*646 specparam (92%). The positive predictive value of peaks detected using ms-specparam (97%) 647 was notably higher than that of peaks detected using *default-specparam* (68%). In spectra with 648 high noise, ms-specparam detected spectral peaks with lower sensitivity (84%) to default-649 specparam (90%). However, the positive predictive value of peaks detected using ms-specparam 650 (96%) remained higher than that of peaks detected using *default-specparam* (61%). Taken

- 651 together, these results support the generalizability of the observed algorithmic performance
- 652 improvements across noise levels.
- 653
- 654

	expo	onent	off	fset	cent.	freq.	amp	litude	st.	dev.
Parameter estimation error	Mean	SE	Mean	SE	Mean	SE	Mean	SE	Mean	SE
SPRiNT	0.16	5x10-4	0.21	5x10-4	0.59	9x10 ⁻⁴	0.24	8x10-4	0.24	5x10-4
<i>SPRiNT</i> with post-processing	0.13	5x10 ⁻⁴	0.16	5x10 ⁻⁴	0.49	9x10 ⁻⁴	0.24	8x10 ⁻⁴	0.24	5x10 ⁻⁴
ms-SPRiNT	0.15	5x10 ⁻⁴	0.19	5x10 ⁻⁴	0.49	9x10 ⁻⁴	0.24	8x10 ⁻⁴	0.24	5x10 ⁻⁴
<i>ms-SPRiNT</i> with post-processing	0.12	5x10 ⁻⁴	0.15	5x10 ⁻⁴	0.41	9x10 ⁻⁴	0.23	9x10 ⁻⁴	0.23	5x10 ⁻⁴

Table S1. Parameter estimation error for spectrograms with and without model selection.

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		exponent	
Predictors	Estimates	CI	р
(Intercept)	0.00	-0.07 - 0.08	0.944
algorithm [default vs ms-specparam]	-0.01	-0.03 - 0.01	0.610
age (continuous)	-0.44	-0.510.37	<0.001
algorithm [default vs ms-specparam] × age (continuous)	0.04	0.02 - 0.06	<0.001
Random Effects			
σ^2	0.03		
τ _{00 subID}	0.80		
ICC	0.96		
N subID	606		
Observations	1212		
Marginal R ² / Conditional R ²	0.174 / 0.9	69	

Table S2. Hyperparameter choice impacts the age's effect on the aperiodic exponent: defaulthyperparameter setting vs *ms-specparam*.

		exponent	
Predictors	Estimates	CI	р
(Intercept)	-0.12	-0.190.05	0.001
algorithm [conservative vs ms-specparam]	0.24	0.21 - 0.26	<0.001
age (continuous)	-0.50	-0.570.43	<0.001
algorithm [conservative vs ms-specparam] × age (continuous)	0.12	0.09 - 0.14	<0.001
Random Effects			
σ^2	0.06		
$ au_{00 \text{ subID}}$	0.73		
ICC	0.93		
N subID	606		
Observations	1212		
Marginal R ² / Conditional R ²	0.209 / 0.94	2	

Table S3. Hyperparameter choice impacts the age's effect on the aperiodic exponent:

676 conservative hyperparameter setting vs *ms-specparam*.

		offset	
Predictors	Estimates	CI	р
(Intercept)	0.19	0.13 - 0.26	<0.001
algorithm [default vs ms-specparam]	-0.39	-0.410.36	<0.001
age raw	-0.52	-0.590.46	<0.001
algorithm [default vs ms-specparam] × age raw	0.04	0.01 - 0.06	0.002
Random Effects			
σ^2	0.04		
$ au_{00 \text{ subID}}$	0.67		
ICC	0.94		
N subID	606		
Observations	1212		
Marginal R ² / Conditional R ²	0.294 / 0	.960	

Table S4. Hyperparameter choice impacts the age's effect on the aperiodic offset: default
 hyperparameter setting vs *ms-specparam*.

		offset	
Predictors	Estimates	CI	р
(Intercept)	0.12	0.05 - 0.19	<0.001
algorithm [conservative vs ms-specparam]	-0.24	-0.270.22	<0.001
age raw	-0.58	-0.650.52	<0.001
algorithm [conservative vs ms-specparam] × age raw	0.11	0.09 - 0.14	<0.001
Random Effects			
σ^2	0.05		
$ au_{00 \text{ subID}}$	0.66		
ICC	0.93		
N subID	606		
Observations	1212		
Marginal R ² / Conditional R ²	0.294 / 0.	.948	

Table S5. Hyperparameter choice impacts the age's effect on the aperiodic offset: conservative
 hyperparameter setting vs *ms-specparam*.

- . _ .

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