

## Review

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# The Crosstalk Between Amyloid- $\beta$ , Retina, and Sleep for the Early Diagnosis of Alzheimer's Disease: A Narrative Review

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**Abstract.** Alzheimer's disease (AD) is the most common type of dementia, which is characterised by progressive memory loss and accumulation of hallmark markers amyloid- $\beta$  (A $\beta$ ) and neurofibrillary tangles in the diseased brain. The current gold standard diagnostic methods have limitations of being invasive, costly, and not easily accessible. Thus, there is a need for new avenues, such as imaging the retina for early AD diagnosis. Sleep disruption is symptomatically frequent across preclinical and AD subjects. As circadian activity, such as the sleep-wake cycle, is linked to the retina, analysis of their association may be useful additions for achieving predictive AD diagnosis. In this narrative review, we provide an overview of human retina studies concerning the deposition of A $\beta$ , the role of the retina in sleep-wake cycle, the disruption of sleep in AD, and to gather evidence for the associations between A $\beta$ , the retina, and sleep. Understanding the mechanisms behind the associations between A $\beta$ , retina, and sleep could assist in the interpretation of retinal changes accurately in AD.

**Keywords:** Alzheimer's disease, amyloid, dementia, retina, sleep

## INTRODUCTION

Dementia is categorized as the second most leading cause of death in Australia [1]. Alzheimer's disease (AD) is the most common type of dementia and an estimated 401,300 Australians are currently living with dementia [1]. There are currently little to no curative therapies for AD; therefore, prevention through managing modifiable risk factors is a prior-

itized endeavor [2]. Lifestyle interventions provide a promising evidence-based AD prevention strategy, yet the optimal time for administering these interventions in the disease trajectory is still uncertain [3–7]. Although prevention hinges on the early diagnosis of the disease, definitive diagnosis of AD is only possible when the primary pathological features of AD, namely plaques comprising of amyloid- $\beta$  (A $\beta$ ) protein and neurofibrillary tangles comprising of tau protein, are identified during autopsy of the brain [8]. However, there is now evidence that AD related A $\beta$  pathogenesis in the brain can be witnessed 10–15 years before clinical symptoms are apparent [9].

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The current gold standard for AD diagnosis includes measuring the A $\beta$  load in the brain using positron emission tomography (PET) or measuring both A $\beta$  and tau protein levels in the cerebrospinal fluid (CSF) [9]. These tools are recommended for research purposes only and have caveats in being highly invasive, expensive, and not widely available for use in a clinical setting [10]. The retina is the neurosensory structure of the eye and is a direct extension of the central nervous system as it is derived from the same embryological tissue as the brain [11]. Therefore, in the past few years, novel diagnostic avenues such as imaging the eye have gained significant attention to identify AD related biomarkers which could reflect the build-up of A $\beta$  in the brain.

*In vivo* studies document AD related changes in the retina, including a decreased thickness of the retinal nerve fiber layer (RNFL), changes in the retinal blood vasculature, and identification of retinal A $\beta$  in the postmortem transgenic mice and human AD retina [12–14]. However, studies using retinal imaging to identify A $\beta$  in the live human retina have provided inconclusive results [13, 15, 16].

Therefore, a more comprehensive tool that incorporates surrogate information could be more useful to endorse AD specific retinal changes. Indeed, studies have identified the role of the retina in sleep and circadian rhythm (sleep-wake) disruption in AD [17–19]. Here, we review the crosstalk between the retina, A $\beta$ , and sleep-wake systems to evaluate sleep-wake disturbances as surrogate markers in future retinal imaging and early AD diagnostic studies.

## METHODS

An extensive literature search was conducted across PubMed and Google Scholar databases to

include relevant papers pertaining to studies correlating aspects of the relationship between A $\beta$ , retina, and sleep-wake systems. Figure 1 displays the flow of studies included in this review. Briefly, information regarding sleep, dementia, and the retina was first obtained across Google scholar databases. Secondly, inclusive of these search terms, a combination of keywords was applied in PubMed database: A $\beta$ , retinal A $\beta$ , circadian rhythm, Alzheimer’s disease, dementia, sleep, rest-wake cycle, orexin, ghrelin, leptin, and cognition for scientific literature conducting human or animal observational, interventional, or review studies. Titles and abstract screening were conducted to preliminary, or unrelated studies. Exclusion criteria (see Fig. 1) were reviewed manually and applied to the eligible papers. This search was performed and updated through August 2020 – December 2023.

Eligible studies were published in English, peer reviewed clinical, observational, interventional or review studies. Each paper evaluated or measured a variable concerning the retina, A $\beta$  and sleep-wake functions on the sample cohort or in the reviewed literature. For the clinical, observational, and interventional studies, the sample inclusion criteria required participants who were aged  $\geq 50$  years, with an assessment of cognitive functions measured. Publications excluded from the extracted data include: 1) studies of populations with ophthalmological or retinal diseases, 2) articles measuring vasculature or including angiography as the only variable, 3) studies without retinal measures, and 4) review papers.

Two reviewers (ID and TS) independently sourced publications according to the inclusion criteria. Eligible articles were then identified for the planned narrative review. Disagreements between the reviewers about study inclusion were resolved through discussion. Specifically, the data extracted (see Table 1) includes sample size, clinical diagnosis, age,

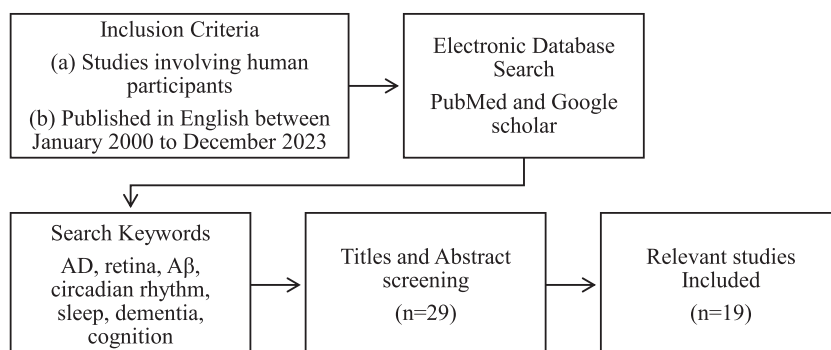


Fig. 1. Flowchart of studies included.

Table 1  
Evidence supporting the relationships between sleep, the retina, and amyloid- $\beta$  in AD

Author	Study Subject (n, clinical diagnosis, age)	Technique	Findings
<b>Amyloid-Beta in AD and Retina</b>			
Koronyo et al. [8]	(18; 8 AD, 5 probable AD, 5 controls, 48–94 y)	Cognitive assessments, IHC, Microscopy	A $\beta$ plaque pathology found deposited in the retina of confirmed AD individuals and suspected early-stage AD individuals; tissue stained with curcumin and anti-A $\beta$ <sub>40</sub> antibody.
Sharafi et al. [13]	(46, 20 Cognitively impaired & 26 CN, 60–85 y)	MMSE, HSI, PET	Non-invasive analysis of retinal vasculature and surrounding regions. These characteristics and image texture features of these regions have been used to differentiate between brain A $\beta$ positive and negative status.
Hadoux et al. [15]	(35, 15 A $\beta$ positive & 20 A $\beta$ negative, 60 – 76 y)	MMSE, HSI, PET, OCT, color fundus	Composite score derived from the HSI spectral data was able to discriminate between A $\beta$ positive and A $\beta$ negative individuals.
More et al. [22]	(35, 16 CN & 19 Cognitively impaired, 62–84 y)	HSI, MMSE	The retinal HSI spectral signature; derived from the combined constituents of retinal structures interaction with light when imaged, differentiates different levels of cognitive impairment.
Kayabasi et al. [23]	(30, MCI, 66–84 y)	FAF, OCT, Curcumin	Identifies lesions of interest in the outer plexiform, ganglion cell and nerve fiber retinal layers with curcumin stained FAF and OCT.
Snyder et al. [24]	(63, pre-clinical AD, 55–75 y)	Neuropsychological assessments, OCT, PET	CN individuals with high levels of brain amyloid have inclusion bodies on the retina. Inclusion body surface area is positively associated with brain A $\beta$ deposition. Also demonstrated in older individuals with brain A $\beta$ have an increased thickness in the inner plexiform retinal layer.
<b>Eye and Sleep</b>			
Naismith et al. [26]	(58, 30 MCI & 28 age-matched controls)	Polysomnography, neuropsychological assessment, dim light melatonin assessment	MCI individuals present with melatonin secretion misalignment and sleep disruption, consistent with AD.
Romagnoli et al. [30]	(52, 26 mild -moderate AD & 26 controls, 58–82 y)	Sleep questionnaires, neuro-ophthalmological evaluation, OCT	The mRGC's isolated contribution to the PLR; in the AD group, displayed a higher variability in the post-illumination pupil response compared to the controls. The combination also had positive effects on sleep efficiency, nocturnal restlessness, and average duration of nocturnal awakenings.
Oh et al. [57]	(20; pre-symptomatic AD and healthy controls, 64–80 y)	Actigraphy, Questionnaires, Chromatic pupillometry, CSF analysis	Pre-AD group displayed variability in PLR to blue light. Pre-AD group presented with irregular sleep and circadian patterns compared to controls.
Bacalini et al. [79]	Discovery (174; 79 AD, 33 MCI & 62 controls; 57–87 y) Validation (775; 449 AD & 326 controls; 58–83 y)	Next-generation sequencing, Genotyping	Identified a circadian clock gene; PER1 variant (Rs3027178) in an Italian population which is associated with AD risk.
<b>Sleep and AD</b>			
Dowling et al. [34]	(46; 17 controls & 29 cohort, AD; MMSE score range 0–23, 60–98 y)	Actigraphy and 1-hour exposure of bright light	Individuals with low MMSE scores or had severe AD experienced severe impairment in rest activity showed improvement from light therapy.
Riemersma-van der Lek et al. [35]	(189, 120 probable AD & 69 other Dementia; 98 placebo & 91 light exposure, mean 85.8 y)	RCT for light exposure and melatonin, Neuropsychiatric assessments, Actigraphy	Bright light has a modest benefit on cognitive deterioration, depressive symptoms, and daily living activities. Bright light was also able to counteract melatonin's negative effect on mood when used in combination compared to individuals taking melatonin standalone.

(Continued)

Table 1  
(Continued)

Author	Study Subject (n, clinical diagnosis, age)	Technique	Findings
Ju et al. [44]	(142, CN healthy volunteers, $\geq 45$ y)	Actigraphy, CSF analysis, ELISA	Participants with brain A $\beta$ deposition determined by CSF A $\beta_{42}$ levels were associated with decreased sleep quality and frequent napping but not sleep quantity.
Sabia et al. [49]	(6875, Whitehall II study cohort; 521 developed dementia, 45–75 y)	Accelerometer analysis for sleep duration	Risk of developing dementia is associated with short sleep and changing sleep durations in midlife.
Naismith et al. [50]	(1240, European prevention of AD longitudinal cohort; CN, $\geq 50$ y)	CSF analysis, PSQI questionnaire, MRI	Associations of sleep latency, sleep duration and sleep efficiency with AD pathology is age dependent. The p-tau/A $\beta_{42}$ ratio denoting brain amyloid pathology, greatly associates with shorter sleep durations in the 50–62 age tertile and conversely associates with longer sleep duration in the 70–88 age tertile.
Brown et al. [52]	(184, CN, $\geq 60$ y)	A $\beta$ PET, PSQI sleep questionnaire	The increase in time taken to fall asleep is associated with brain A $\beta$ deposition, independent of APOE $\epsilon 4$ carrier status.
Lim et al. [53]	(737, The Rush Memory and Aging Project cohort; 97 developed AD, mean 81.6 y)	Actigraphy, Cognitive testing	Increased sleep fragmentation was associated with faster decline in cognition and higher risk of developing AD.
Owen et al. [62]	(58; 34 hippocampi & 24 brainstems, OSA, mean 67 y)	Sleep studies, Quantitative IHC	OSA severity is a significant predictor of A $\beta$ burden in the hippocampus. Presence of hallmark proteins in the brainstem does not correlate with OSA severity
<b>Sleep, Retina and Amyloid-Beta in AD</b>			
La Morgia et al. [54]	(95; 21 AD & 74 controls, 51–85 y)	Actigraphy, OCT, Morphology Analysis, IHC	In AD individuals there was a reduction of RNFL thickness in superior quadrants of the retina, reduced sleep efficacy and circadian dysfunction. <i>Post-mortem</i> retinal analysis identified optic nerve axonal loss, retinal mRGC loss and A $\beta$ deposition inside and around mRGC's.

AD, Alzheimer's disease; A $\beta$ , amyloid-beta; IHC, immunohistochemistry; MMSE, Mini-Mental State Examination; HIS, hyperspectral retinal imaging; PET, positron emission tomography; MCI, mild cognitive impairment; FAF, fundus auto fluorescence; OCT, optical coherence tomography; mRGC, melanopsin retinal ganglion cell; PLR, pupillary light reflex; RCT, randomized control trial; CN, cognitively normal; CSF, cerebrospinal fluid; ELISA, enzyme-linked immunosorbent assay; PSQI, Pittsburgh Sleep Quality Index; MRI, magnetic resonance imaging; p-tau, phosphorylated-tau; OSA, obstructive sleep apnea; RNFL, retinal nerve fiber layer; APOE, apolipoprotein E.

study technique/intervention, and a summary of the main findings.

## A $\beta$ IN THE HUMAN RETINA

Studies utilizing postmortem transgenic AD mice retina and human AD retina have detected A $\beta$  and tau pathology, within various tissues of the eye, including the lens, vitreous humor, and the retina [20, 21]. A $\beta$  is reported deposited earlier in the retina than the occurrence of cognitive deficits [14, 22]. Particularly, the pioneering study by Koronyo et al. used A $\beta$  specific curcumin (*L. Curcuma longa*) staining in both postmortem AD human and AD mice retina, reporting that A $\beta$  like plaques were deposited in the retinal ganglion cell (RGC) and RNFL layers, and vasculature *in vivo* [14]. In 20 participants, with

mild cognitive impairment (MCI; a high risk state for AD) and 20 healthy controls aged 66–84 years, Kayabasi et al. used fundus autofluorescence to detect lesions of interest and optical coherence tomography (OCT) to determine the retinal layer of A $\beta$  deposition [23]. Identified exclusively in the MCI group, curcumin staining's affinity for A $\beta$  increased the fluorescence of abnormal deposits within the outer plexiform, RGC and RNFL layers surrounding the macula regions and retinal vasculature [23]. However, these studies did not compare correlative brain PET A $\beta$  imaging which could demonstrate the association of deposits within the retina to brain A $\beta$  load. On the other hand, Snyder et al. in a cross-sectional study of 63 cognitively normal adults with a family history of AD, investigated the comparison between brain A $\beta$  load, and retinal biomarkers identified with spec-

tral domain OCT [24]. Deposits termed as ‘inclusion bodies’ size and retinal layer volume was significantly different in the inner plexiform layer (IPL) and this relationship of deposit size and retinal layer volume increased with brain A $\beta$  load [24]. However, the major limitation to this study is the lack of conclusive *post-mortem* analysis of the inclusion bodies containing A $\beta$ .

In a review report, Shah et al. noted that retinal A $\beta$  may be present in other conditions; for instance, drusen found in macular degeneration [9]. Therefore, it is important to determine whether A $\beta$  deposition in the retina can be considered as a specific signature AD marker. Furthermore, Shah et al. noted that hyperspectral imaging (HSI) may be a more sensitive method in identifying retinal A $\beta$  and assist in the differential diagnosis from other conditions. The main advantage of HSI appears able to detect AD related retinal fluorophores without the need for external labelling, as shown in Fig. 2. HSI also addresses the limitation of non-specific staining by curcumin, as it is not an antibody. Studies to identify retinal A $\beta$  and associated changes in the human retinas are currently underway using this method. Sharafi et al. reported that retinal vasculature and texture changes could discriminate PET A $\beta$  positive from A $\beta$  negative subjects, with 85% accuracy, using HSI [13]. Hadoux et al. reported that the retinal reflectance spectra measured using HSI could differentiate PET A $\beta$  positive MCI subjects from PET A $\beta$  negative controls [15]. More et al. have used HSI in a cohort of 19 AD participants and 16 healthy controls grouped on the basis of their Mini-Mental State Examination (MMSE) scores. Their findings indicated the specific spectral signatures generated by the interaction of light and reflective structures in the retina such as, layers of the retina, blood supply, and AD-related A $\beta$  deposits, are feasible in detecting early stages of the disease, i.e., MMSE score  $\geq 22$  [22]. However, studies using retinal imaging to identify A $\beta$  in the live human retina have provided inconclusive results as compared with the results of Sharafi et al. and Hadoux et al. only reporting correlative outcomes as indirect measures of retinal A $\beta$  [13, 15, 16].

Measuring sleep-wake activity and considering its use as a biomarker is plausible due to the incidence of specific patterns seen in oscillatory events, such as slow wave activity, spindle density, and slow oscillation-sleep spindle, being associated with the presence of AD neuropathology [25]. In support, Naismith et al. identify that MCI individuals present with circadian misalignment and sleep disruption caused

by changes in secretion of the key sleep hormone melatonin, which is consistent with changes seen in AD [26]. Therefore, analyzing additional symptomatic information, such as sleep-wake functions, could help explain associated changes occurring in the retina. Combined use of surrogate markers may be another way to conclusively determine whether changes in the retina can reflect the buildup of A $\beta$  in the brain in AD.

## EYEING ON SLEEP

### *The circadian rhythm-sleep-retina link*

The human body’s internal clock or circadian rhythm is a 24-h cycle programmed to carry out essential functions and processes. The sleep-wake cycle, otherwise termed rest-activity cycle is one of the most important and well-studied circadian rhythms. In healthy individuals, the timing of sleep is regulated by the circadian rhythm and is directly influenced by environmental cues, including light [27]. The circadian rhythm (including the sleep-wake cycle) is synchronized with the central pacemaker, the suprachiasmatic nucleus (SCN), located in the brain’s hypothalamus. It regulates the key circadian markers, namely melatonin and cortisol. These markers, in turn, govern the fluctuations (rise and fall) of core body temperature which are closely tied with sleep-wake timing [27]. Melatonin is a hormone known for its function in inducing sleep and is mainly produced in the pineal gland [19]. Cortisol is a hormone known for its function in response to stress, though it also plays a role in inducing wakefulness, and is produced in the adrenal glands [28]. Under physiological conditions, the body temperature drops, cortisol levels decrease, and melatonin levels increase to induce nocturnal sleep, and vice versa for wakefulness during the day [27]. This intrinsic system can be entrained with external cues such as the light/dark cycle, temperature, or physical activity [27].

Literature shows that the neuronal retina plays a role in stimulating the expression of melatonin and therefore contributes to sleep induction [19]. Normally, light activates melanopsin in the retinal ganglion cells (mRGCs) of the inner retina and starts a cascade of events which results in an increased expression of melatonin. mRGCs are intrinsically photosensitive cells and are responsible for the non-image forming functions, including detection of contrast, a set of light responses that include circadian entrainment, pupillary light reflex (PLR), and

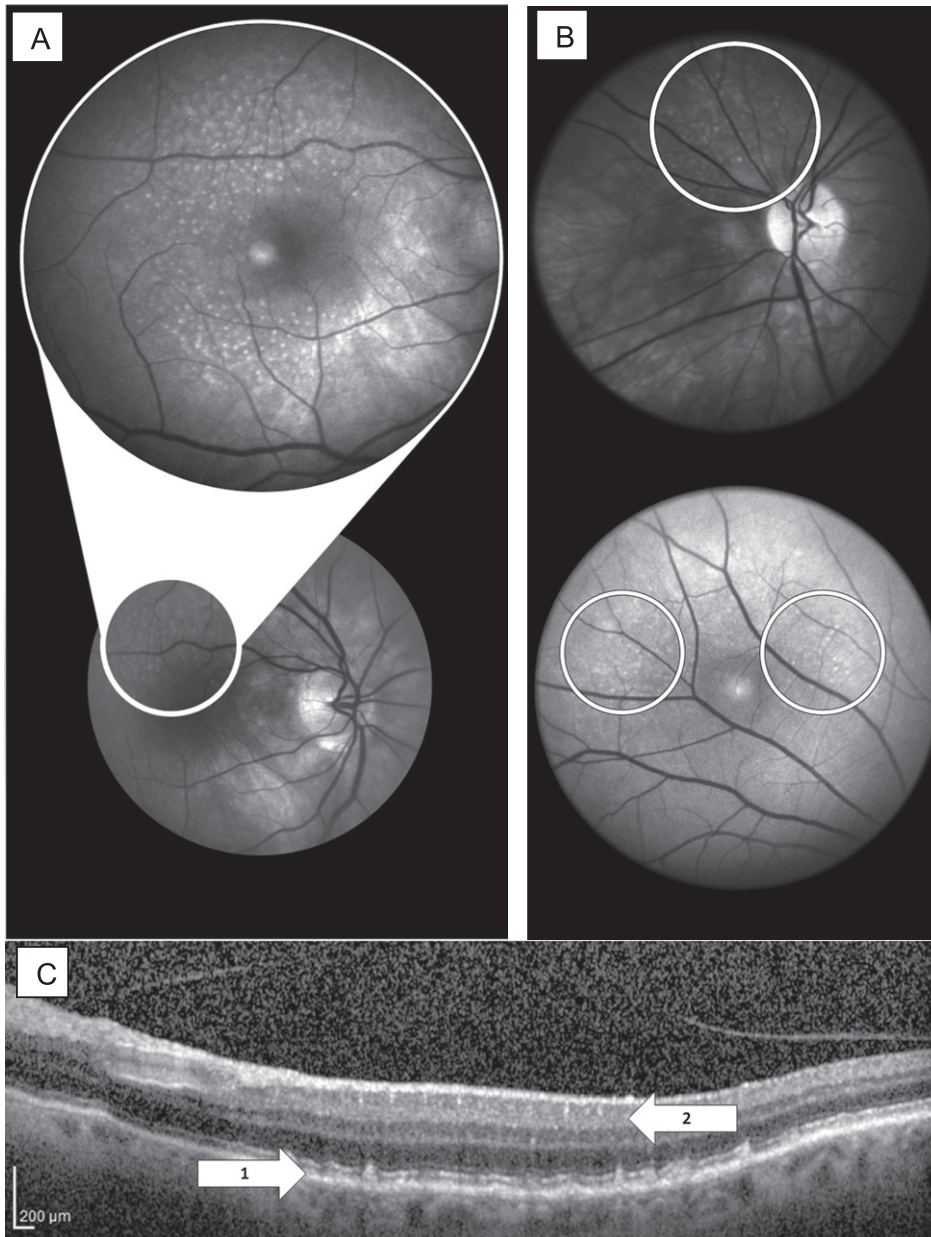


Fig. 2. Presentation of deposits in retinal imaging. A) HSI of the retina at 575 nm displaying drusen deposits in the macular region. B) Examples of HSI between 450–580 nm showing deposits of interest outside of the macular region. C) OCT image illustrating drusen deposition in and between the RPE and Bruch's membrane retinal layers (1). Note: According to literature, the layers of deposition for A $\beta$  are in superior layers, including the RNFL, GCL, and IPL (2) [14], [54]. HSI, hyperspectral imaging; OCT, optical coherence tomography; RPE, retinal pigment epithelium; RNFL, retinal nerve fiber layer; GCL, ganglion cell layer; IPL, inner plexiform layer.

the modulation of sleep/alertness, and mood [29]. The retinohypothalamic pathway, which mediates the retinal melanopsin stimulation into neuronal signals to the SCN, is known to become dysfunctional in age-related ocular and neurodegenerative diseases such as glaucoma and Parkinson's disease due to mRGC

loss [13]. The loss of function of these cells disrupts the circadian rhythm and could be a potential cause of sleep disruption. Chromatic pupillometry (using different wavelength light stimulus) has the potential to assess the functions of mRGCs *in vivo*, as mRGCs have the highest sensitivity to blue light

at 480 nm [30, 31]. Although measuring the pupil response post-illumination is considered an accurate metric of mRGC function [32], the use of chromatic pupillometry in the elderly population with mixed neurodegenerative pathologies, including AD, needs to be further investigated.

Moreover, the retina has been used as a therapeutic target for modulating sleep. Hanford et al. review studies completing bright light treatment in AD or related dementia that light treatment could improve the duration of the sleep period, increase daytime wakefulness, and reduce evening agitation [33]. Similarly, in a sample of 29 institutionalized AD individuals aged 60–98 years, Dowling et al. showed that using one-hour of light intervention Monday through Friday for 10 weeks improved sleep efficiency, sleep duration and stabilized the most active hours outside of typical hours of sleep in individuals who had severe sleep disruptions despite the nonsignificant improvement compared to the controls [34]. In a sample of 189 dementia individuals living in assisted care facilities (120 with AD) aged 80–91 years, Riemersma-van der Lek et al. identified that bright light and melatonin were able to reduce cognitive decline, reduce nocturnal restlessness, increase sleep duration and efficiency, and counteract negative impact of melatonin on mood and behavior [35]. Thus, retinal exposure to light may play a crucial role in the sleep-wake function and circadian rhythm function.

There are few studies examining melatonin in pre-clinical AD. However, in people with MCI, Naismith et al. showed that there was advanced timing of melatonin secretion compared to control subjects [26]. Subsequent studies have also shown advanced timing in people with subjective cognitive complaints [36]. A systematic review by Nous et al. analyzing the limited number of studies analyzing levels of melatonin in CSF, blood, saliva, and urine in AD concludes that there is a decrease in nighttime melatonin concentrations [37]. In postmortem AD, Liu et al. demonstrates there is a significant decrease in melatonin levels in the CSF, independent from a decrease seen with age [38]. Trials of melatonin also show promise in improving sleep, cognition, and mood [39–41]. Whether melatonin supplementation has any beneficial effects in at-risk individuals such as those with MCI, preclinical AD or subjective cognitive decline is unknown, but initial trials are underway in this regard (Schrire et al. [42], 2021). While animal studies suggest that melatonin may target A $\beta$  deposition, human randomized controlled trials have yet

to determine whether there are beneficial effects on A $\beta$ , tau, oxidative stress, or other neurodegenerative markers.

## SLEEP IN AD

The mechanisms behind sleep disruptions in AD are not yet fully understood. Variability in the sleep-wake cycle is common in individuals with AD [43]. Ju et al. reported in a preclinical AD sleep study that participants with worse sleep quality had higher levels of brain A $\beta$  deposition [44]. Specifically, in 145 cognitively normal individuals, the authors measured sleep using actigraphy for two weeks and examined sleep quantity, efficiency (i.e., amount of time spent in bed asleep and total time asleep), and brain A $\beta$  levels. One proposed mechanism for sleep variability is the loss of neuronal cells in the SCN, as Stopa et al. identified with postmortem immunostaining in individuals with AD compared to healthy controls [45]. In a mouse model, Kang et al. demonstrates the circadian fluctuation of A $\beta$  in the extracellular fluid, increased levels during wakefulness and sleep deprivation, and orexin agonists reduce A $\beta$  levels [46]. Roh et al. also identified in a mouse model of AD, A $\beta$  plaques in the brain caused a deterioration in the levels of A $\beta$  in the interstitial fluid and the sleep-wake cycle [47]. In a review study, Wu et al. reported that sleep deprivation could impair the clearance of brain A $\beta$  and tau, increase oxidative stress in the brain and reduce melatonin levels, and that this association is bidirectional [48]. Variability in sleep disruptions in AD could be attributed to its role in influencing the expression levels of A $\beta$  in the brain.

It is also unclear whether there is a critical period by which sleep disturbance may have a deleterious effect on brain structure, function, and A $\beta$  accumulation. In a UK study, sleep disturbance at midlife was predictive of dementia 25 years later [49]. In another study using the European Prevention of Dementia dataset, there were differential relationships between various sleep disturbances and CSF-derived AD pathology at midlife, older age, and late-life [50]. Using PET to evaluate aquaporin-4, a brain A $\beta$  clearance mechanism, in a sample of 222 cognitively normal participants aged 69–81 years, Rainey-Smith et al. identified variants and single-nucleotide polymorphisms that moderate the association of poorer overall sleep quality, latency and duration on brain A $\beta$  burden [51]. In a sample of 184 cognitively healthy participants aged at least 60 years, Brown

et al. evidenced sleep factors including longer sleep latency are associated with higher levels of brain A $\beta$  [52]. Thus, in addition to evidence showing that neurodegeneration due to AD may contribute to sleep disturbance, there appears to be evidence that sleep disturbance precedes AD, as well as evidence that those with AD and neurodegeneration decline faster [53].

## THE CROSSTALK BETWEEN SLEEP-RETINA-A $\beta$

A sleep-retina-A $\beta$  link is plausible, as PLR of the eye and sleep regulation are both mediated by the retina's population of mRGCs. Table 1 provides an overview of the studies examining the links between sleep, the retina, and AD. A possible mechanism for sleep-wake disruption in AD may be caused by the deposition of retinal A $\beta$  surrounding the functional mRGCs, attenuating their role as regulators of circadian rhythms [17, 53, 54]. La Morgia et al. performed OCT in AD ( $n = 21$ ) and healthy age-matched controls ( $n = 74$ ) to determine if there is an age-related loss of RNFL thickness [55]. Using actigraphy, the authors reported circadian rhythm dysfunction and reduced sleep efficiency in the AD group. Moreover, in postmortem analysis of horizontal retinal sections (AD  $n = 17$ , control  $n = 13$ ) using antibodies targeting melanopsin, the authors reported axonal loss due to age, and a loss of mRGCs independent of age. Further analysis of the postmortem AD retinas revealed selective deposits of retinal A $\beta$  surrounding the mRGCs in the superior region [55]. However, this is an early link as results have only been reported by one group, and independent investigations by other researchers are required to validate these findings.

Of interest, Oh et al. tested PLR as a function of the mRGCs in a preclinical cohort of AD to measure its suggested dysfunction [56]. The team did not find statistically significant differences between the AD subjects and control groups in terms of PLR function but noted the variability in sleep-wake function within the preclinical AD group [57]. The authors suggested that there may be further subtypes of mRGCs to account for the preserved function of PLR and disruption in sleep-wake rhythms [57, 58]. Further investigation may help understand the link between mRGC function and AD symptom expression of disrupted sleep.

Moreover, there is emerging literature reporting a connection between obstructive sleep apnea (OSA),

retinal health, and AD. Ferrandez et al. reported that peripheral vision function is reduced in individuals with OSA when compared to age-matched controls [59]. Brodie et al. and Schall et al. noted that individuals with OSA have a higher risk for retinal diseases such as diabetic retinopathy and age related macular degeneration (AMD) and the effectiveness of anti-vascular endothelial growth factor (VEGF) therapy treatment for AMD is reduced in individuals with OSA [60, 61]. Possible mechanisms associating OSA to retinal health includes high or transient changes in blood pressure due to OSA which increase sympathetic nerve stimulation and reduces blood flow to the eye, OSA-related hypoxia altering the chemical environment or high production of VEGF being expressed [60, 61]. Owen et al. reported that presence of tau within the hippocampus was independent of OSA severity, whereas A $\beta$  deposition in the hippocampus was highly correlated to OSA severity [62]. The 2022 American Thoracic Society workshop; a multidisciplinary perspective on OSA, cognition, and dementia, strengthens the OSA and AD association as they note a heterogenous improvement in cognitive domains in studies of continuous positive airway pressure (CPAP) treatment [63]. Overall, the information and mechanisms explaining the bidirectional relationship of various types of sleep disruption in AD could provide surrogate biomarker evidence for early AD diagnosis in addition to its physiological link.

## ROLE OF HORMONES

To further understand the mechanisms behind the crosstalk between A $\beta$ , the retina, and sleep in AD, it is important to mention the role of certain circadian hormones linked to photoentrainment (synchronizing of circadian rhythm cycles to light and dark). Figure 3 illustrates the crosstalk between A $\beta$  retina and sleep in AD and the involvement of hormones. Hormones such as melatonin, orexin, ghrelin, and leptin are associated with sleep regulation and their levels have been shown to be disrupted in AD. Furthermore, the resultant A $\beta$  deposition in the retina can negatively affect retinal health. Melatonin is one of the direct modulators of sleep quality. Orexin is an arousal hormone and plays an important role in sleep regulation [64]. In sleep deprivation caused by narcolepsy, levels of orexin within the CSF have been found to be abnormal [64]. Similarly, in animal and cell model studies Liu et al. reported an increased



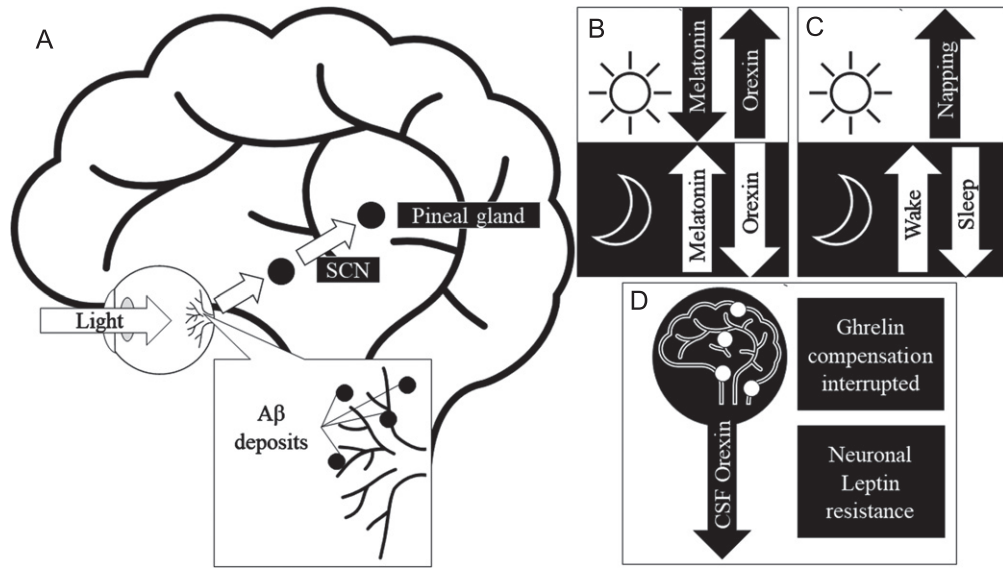


Fig. 3. Proposed mechanism for A $\beta$  in the retina to cause sleep-wake cycle and circadian hormone disruptions. A) Physiological pathway of circadian activity. B) Normal activity of sleep hormone expression in response to light saturation in day and night. C) Changes to sleep-wake patterns in response to AD, Retinal A $\beta$  may disrupt the pathway observed in (A) in AD, thereby causing disruption in sleep quality. D) Circadian hormones effected in AD, where CSF orexin expression is decreased and the attenuation of ghrelin and leptin's neuroprotective role. A $\beta$ , amyloid- $\beta$ ; AD, Alzheimer's disease; SCN, suprachiasmatic nucleus.

expression of orexin receptors in the presence of A $\beta$ , thereby, increasing the effectiveness of orexin causing arousal [65]. Ligouri et al. review orexin's circadian regulation and the prevalence of its dysregulation due to AD, resulting in sleep impairment [66]. This review highlights a similar pattern of orexin dysregulation occurring in preclinical AD individuals. The circadian dysregulation causes disruption in the expression of orexin and melatonin levels and in turn cause alterations of the sleep cycle, secondarily affecting the clearance of A $\beta$  [67]. Beyond the scope of this review, the role of transcriptomics and genetic information including the role of *clock* genes (*period* and *cryptochrome* genes) may further explain the complex mechanisms in the crosstalk between A $\beta$ , sleep and retina. The proposed effect of AD on the retina's health and circadian influence, in context of growing evidence of an ocular lymphatic and glymphatic system [68] may provide insight on AD and A $\beta$ 's effect on sleep and the retinal presentation.

Other hormones of interest are ghrelin, and leptin which are known as hunger hormones [66]. These peptides are recognized as important players in metabolic dysfunction seen within AD individuals and have been successfully correlated to the risk of obesity; with excess body weight contribut-

ing to cognitive decline and developing AD [69, 70]. These hormones play additional peripheral roles within the hippocampus, promoting learning, and memory [71], whereby there is evidence leptin receptors are expressed within the hippocampus [72, 73]. Fewlass et al. have demonstrated that leptin treatment can modulate A $\beta$  clearance [74] and therefore leptin deficient models are suggested to have poor spatial memory and downstream increases of brain A $\beta$  [75]. Ghrelin supports the maintenance of neuronal synapse formation as Carlini et al. has demonstrated with dose injections of ghrelin at concentrations of 0.3, 1.5, and 3 nm within a WISTAR rat model and the step-down test, that memory retention increases are proportionate with the level of ghrelin [76]. Moreover, the Martins et al. group reported that both leptin and ghrelin improved hippocampal cell survival against A $\beta$  oligomer toxicity [71]. Sleep deprivation can impact the expression of these hunger hormones as seen within normal circadian activity [77]. The outcomes of the study by Figueiro et al. demonstrate that the levels of both ghrelin and leptin in sleep restricted individuals are influenced by morning light, independent of other normal stimulants [78]. Retinal and sleep-wake interactions influencing hormone expression warrant further study; exploring within AD and preclinical AD cohorts may further

understanding on the mechanisms which connect AD retinal pathology and sleep disruption.

## CONCLUSION

Based on this review, it is suggested that the assessment of sleep, circadian rhythm and related hormones may have the potential to be used as surrogate markers along with changes identified using retinal imaging. The presence of sleep-wake disruption in AD, early, preclinical and MCI stages, are well evidenced in the literature suggesting that sleep-wake functions could be a viable early intervention target for risk factor modification. From the association between sleep and mRGCs, sleep and related hormone level disruptions may indicate retinal damage in AD might be used as a novel diagnostic marker. Current early diagnostic markers are invasive, expensive and cannot be used in a clinical setting, whereby imaging of the retina would allow us to non-invasively investigate brain health in AD. New methods such as hyperspectral imaging have clinical trials currently underway to address challenges associated with imaging the live human retina to identify signature AD biomarkers and thereby validate a simple eye test for population screening. There is a need for future studies using gold standards of measuring sleep neurophysiology to cross-sectionally and longitudinally evaluate the extent of the retinal influence on sleep-wake changes, cognitive decline and A $\beta$  accumulation. Therefore, the associations, synergies and crosstalk between retinal health, sleep-wake functions and A $\beta$  may further inform diagnosis and screening of preclinical and AD populations.

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## CONFLICT OF INTEREST

The authors have no conflict of interest to report.

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