

# Protective Effects of Radix Sophorae Flavescentis Carbonisata-Based Carbon Dots Against Ethanol-Induced Acute Gastric Ulcer in Rats: Anti-Inflammatory and Antioxidant Activities

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**Aim:** To explore the effects of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs) on an ethanol-induced acute gastric ulcer rat model.

**Methods:** The structure, optical properties, functional groups and elemental composition of RSFC-CDs synthesized by one-step pyrolysis were characterized. The gastric protective effects of RSFC-CDs were evaluated and confirmed by applying a rat model of ethanol-induced acute gastric ulcers. The underlying mechanisms were investigated through the nuclear factor-kappa B (NF- $\kappa$ B) signalling pathway and oxidative stress.

**Results:** RSFC-CDs with a diameter ranging from 2–3 nm mainly showed gastric protective effects by reducing the levels of NF- $\kappa$ B, tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ), interleukin (IL)-6, superoxide dismutase (SOD), catalase (CAT), glutathione peroxidase (GSH-Px), glutathione (GSH), malondialdehyde (MDA) and inducible nitric oxide synthase (iNOS) to inhibit ethanol-induced inflammation and oxidative stress.

**Conclusion:** RSFC-CDs have anti-inflammatory and anti-oxidative effects, making them promising for application in ethanol-induced gastric injury.

**Keywords:** carbon dots, Radix Sophorae Flavescentis, gastroprotection, anti-inflammatory, antioxidant

## Introduction

Carbon dots (CDs), a kind of carbon-based zero-dimensional fluorescent nanomaterial with many diverse organic functional groups, have stimulated broad and instructive studies in nanomedicine, including gene/drug delivery,<sup>1</sup> bioimaging,<sup>2</sup> antibacterial agents,<sup>3</sup> and phototherapy,<sup>4</sup> owing to their non/low toxicity, biocompatibility, tunable chemical/optical properties, photostability, and excellent water dispersibility. Recently, the self-bioactivities of CDs have attracted much attention. In particular, the bacteriostat,<sup>5–8</sup> antitumour,<sup>9</sup> free radical scavenging action,<sup>10</sup> anti-inflammation,<sup>11</sup> anti-gout effects,<sup>12,13</sup> haemostasis,<sup>14</sup> hypoglycaemic bioactivity<sup>15</sup> and analgesia<sup>16</sup> effects of CDs have been widely investigated. Although there are many studies on the functions and applications of CDs, research focusing on CDs in Chinese herbal medicine is relatively scarce.

As an effective Chinese herb that has been widely used for more than 2000 years in China, Radix Sophorae Flavescentis (RSF), the dried root of *Sophora*

*flavescens* Ait., was first recorded in Shen Nong's Classic of the Materia Medica written in the Han Dynasty (202 BC–220 AD) and acknowledged in the Pharmacopoeia of the People's Republic of China (1953). Radix Sophorae Flavescens Carbonisata (RSFC), the product of RSF prepared by a carbonizing process, has been widely used to treat a multitude of ulcerative diseases throughout the body. Despite abundant clinical reports on the efficacy of RSFC, research on its material basis and the underlying mechanisms is rare.

Gastric ulcers, a common clinical gastrointestinal presentation associated with increased oxidative stress and inflammation, break the mucosal barrier of the stomach lining; susceptibility to ulcers is increased by damaging factors, including smoking, nonsteroidal anti-inflammatory drug (NSAID) medications, alcohol, and *Helicobacter pylori* infection.<sup>17</sup> If not treated sufficiently, gastric ulcers can cause serious complications, such as bleeding and perforation.<sup>18</sup> Even though a variety of drugs are used to treat gastric ulcers, including proton pump inhibitors, antacids, gastric mucosal protectors, and antibiotics, these treatments may cause undesirable side effects and cannot efficaciously control the recurrence of ulcers.<sup>19–21</sup> While some studies focus on the anti-ulcer activity of nanoparticles,<sup>22,23</sup> research on the anti-gastric ulcer activity of CDs in the Chinese Materia Medica is still lacking.

In this study, we prepared fluorescent RSFC-based CDs (RSFC-CDs) by an environmentally friendly, one-step pyrolysis method; characterized the physicochemical properties of the as-prepared RSFC-CDs; and identified the anti-ulcer activity of RSFC-CDs in an ethanol-induced acute gastric ulcer rat model. The underlying mechanisms of the anti-inflammatory and antioxidative stress effects were explored by detecting the levels of NF- $\kappa$ B, TNF- $\alpha$ , IL-6, SOD, CAT, GSH-Px, GSH, MDA and iNOS.

## Materials and Methods

### Chemicals

RSF was purchased from Beijing Qiancao Herbal Pieces Co., Ltd. (Beijing, China), and the RSFC was prepared in a muffle furnace in our laboratory. Cell Counting Kit-8 (CCK-8) was purchased from Dojindo Molecular Technologies, Inc. (Kumamoto, Japan). Dulbecco's Modified Eagle Medium (DMEM) and foetal bovine serum (FBS) were purchased from Thermo Fisher Scientific Inc. (Waltham, MA, USA). Dialysis membranes with a 1000 Dalton molecular weight cut-off were purchased from Beijing Ruida Henghui

Technology Development Co., Ltd. (Beijing, China). SOD, CAT, GSH-Px, GSH, MDA and iNOS kits were purchased from Nanjing Jiancheng Bioengineering Institute of China (Nanjing, China). Rat Enzyme-Linked Immunosorbent Assay (ELISA) kits for NF- $\kappa$ B, TNF- $\alpha$ , and IL-6 were purchased from Cloud-Clone Corp. (Wuhan, China). All other analytical grade chemicals and reagents were purchased from Sino-pharm Chemical Reagents Beijing (Beijing, China).

### Animals

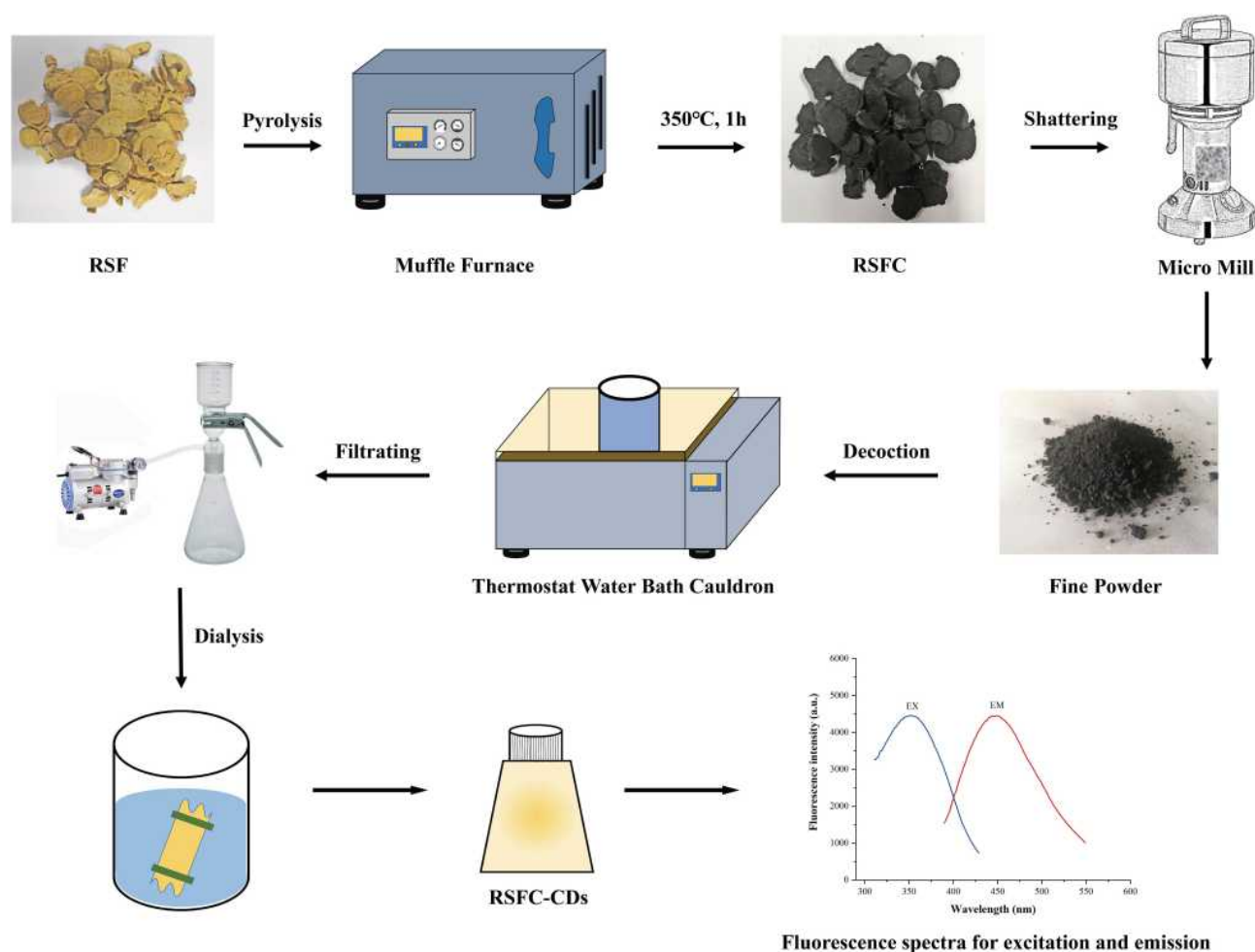
Male adult Sprague Dawley (SD) rats of average weight, 220 grams, were purchased from SiPeiFu Biotechnology Co., Ltd. (Beijing, China) and were housed in the Laboratory Animal Center of Beijing University of Chinese Medicine at  $24.0 \pm 1.0$  °C with 55–65% relative humidity under a 12 h light/dark cycle and allowed to feed *ad libitum*. The protocols for this study complied with the Guide for the Care and Use of Laboratory Animals and were approved by the Animal Ethical Committee of Beijing University of Chinese Medicine (NO. BUCM-4-2019112703-4078).

### Preparation of RSFC-CDs

RSFC-CDs were synthesized by the one-step pyrolysis method at high temperature with RSF as the carbon source according to previous reports.<sup>24</sup> Placed in porcelain crucibles with sealed lids, RSF was placed in a muffle furnace (TL0612, Beijing Zhongke Aobo Technology Co., Ltd., Beijing, China) at 350 °C for 1 h. The resulting RSFC was then crushed into small pieces, 20 times distilled water was added, and the RSFC was boiled twice at 100 °C for 1 h each time. After filtering through a 0.22  $\mu$ m microfiltration membrane and condensation using a rotary evaporator, the decoction solution was dialysed with a dialysis membrane (1000 Daltons molecular weight cut-off) against distilled water for 7 days. The obtained RSFC-CDs solution was stored at 4 °C until further use. The flow-process diagram for this preparation is shown in Figure 1. The synthesis yield (weight-by-weight, in %) was calculated considering the amounts of RSFC-CDs powder after purification and the initial precursors.

### Characterization of RSFC-CDs

The morphological features, structural details and atomic lattice fringes of RSFC-CDs were measured using transmission electron microscopy (TEM; Tecnai G220, FEI Company, Hillsboro, OR, USA) and high-resolution TEM (HRTEM; JEN-1230, Japan Electron Optics



**Figure 1** Flow-process diagram of the preparation of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs).

Laboratory, Tokyo, Japan). The X-ray diffraction (XRD) pattern was characterized with a D8 DISCOVER Plus X-ray Diffractometer (Bruker AXS, Karlsruhe, Germany) with Cu K  $\alpha$  radiation. The fluorescent performances and ultraviolet-visible (UV-vis) absorption spectra of RSFC-CDs were studied using a fluorescence spectrophotometer (F-4500, Hitachi, Tokyo, Japan) and a UV-vis spectrometer (CECIL, Cambridge, UK). Fourier transform infrared (FTIR) spectroscopy was performed to identify characteristic chemical bonds and functional groups with a FTIR spectrophotometer (Thermo Fisher Scientific, CA, USA). Through X-ray photoelectron spectroscopy (XPS), the surface composition of RSFC-CDs was examined using an X-ray photoelectron spectrometer (ESCALAB 250Xi, Thermo Fisher Scientific, MA, USA) with a mono X-ray source Al K $\alpha$  150 W.

### Quantum Yield of RSFC-CDs

Applying quinine sulfate (% quantum yield [QY] was 54 in 0.1 M sulfuric acid [H<sub>2</sub>SO<sub>4</sub>] solution) as a standard sample, the QY of RSFC-CDs was measured using a comparative method and was calculated by the following formula:

$$Q_{CDs} = Q_R \times \frac{I_{CDs}}{I_R} \times \frac{A_R}{A_{CDs}} \times \frac{\eta_{CDs}^2}{\eta_R^2}$$

where Q represents the QY, I represents the measured integrated emission intensity, and A and  $\eta$  indicate the absorbance at the excitation wavelength and the refractive index of the solvent, respectively. The CDs and the R denote RSFC-CDs and the standard sample, respectively. To minimize the interference of reabsorption, the absorbance of the CDs and the R were kept under 0.05.

## Cytotoxicity Assay of RSFC-CDs

The cytotoxicity of the RSFC-CDs on a human gastric epithelial cell line (GES-1 cells) was measured by the CCK-8 kit. GES-1 cells were purchased from Procell Life Science & Technology Co. Ltd. (Wuhan, China). GES-1 cells were cultured in DMEM supplemented with 15% FBS and 1% penicillin-streptomycin (Mediatech, Manassas, VA, USA) and incubated at 37 °C under humidified 5% CO<sub>2</sub>. GES-1 cells were seeded in a 96-well plate at a density of 5×10<sup>4</sup> cells per 100 μL/well and cultured for 24 h. The medium in each well was replaced with 100 μL of culture medium containing gradient concentrations (1000, 500, 250, 125, 62.50, 31.25, and 15.63 μg/mL) of RSFC-CDs. After 24 h, the medium containing RSFC-CDs was discarded, and the cells were washed with phosphate-buffered saline (PBS) twice. After adding 10 μL CCK-8 solution per well and incubating for an additional 4 h, the optical density in each well was measured by a microplate reader at 450 nm wavelength. The relative cell viability was calculated using the following formula:

$$\text{Cell viability (\% of control)} = \frac{A_e - A_b}{A_c - A_b} \times 100$$

A<sub>e</sub>, A<sub>b</sub> and A<sub>c</sub> denote the absorbance of the experimental, blank and control groups at 450 nm, respectively.

## The Ethanol-Induced Damage Model of GES-1 Cells and Drug Treatment

GES-1 cells were cultured under the same conditions and treated with RSFC-CDs of the same gradient concentrations. The medium solution of RSFC-CDs in the 96-well plate was discarded, and the cells were washed with PBS twice. Then, GES-1 cells, except the blank and control groups, were treated with medium containing 5% ethanol and incubated for 3 h. Cells of the blank and control groups were treated with medium containing an equivalent volume of PBS for the same time. Then, the medium containing 5% ethanol was removed, and the cells were washed twice with PBS. Ten microlitres of CCK-8 solution was added to each well, and the solution was incubated for an additional 4 h. Next, the optical density in each well was measured using a microplate reader at a wavelength of 450 nm. The formula is the same as previously described.

## The Ethanol-Induced Acute Gastric Ulcer Model in Rats and Drug Treatment

The ethanol-induced acute gastric ulcer model was established by oral administration of ethanol. Male SD

rats (n=48) were randomly divided into six groups: the control group (normal saline [NS] 10 mL/kg, p.o.), model group (NS 10 mL/kg, p.o.), positive group (ranitidine 50 mg/kg, p.o.), high group (RSFC-CDs 0.25 mg/kg, p.o.), medium group (RSFC-CDs 0.125 mg/kg, p.o.) and low group (RSFC-CDs 0.0625 mg/kg, p.o.). After 7 days of intervention, rats of all the groups were fasted for 24 h with free access to water. Then, the rats in each group except the control group were given 100% ethanol (5 mL/kg) for 1 h and sacrificed. The blood and stomach were collected to evaluate macroscopic and histopathologic changes.

## Evaluation of Ulcer Index and Percentage Inhibition

The stomachs of all rats were removed, cut along the greater gastric curvature, and completely rinsed with ice-cold saline. The total ulcer area was measured using ImageJ software (NIH, Bethesda, MD, USA). The ulcer index (UI) and percentage inhibition were determined using formulas from the literature.<sup>25,26</sup> The UI and percentage inhibition were calculated by the following formulas:

$$\text{Ulcer index (UI)} = \frac{\text{Ulcer partial pixel area}}{\text{Total gastric pixel area}} \times 100\%$$

$$\text{Percentage inhibition} = \frac{\text{UI in model group} - \text{UI in each group}}{\text{UI in model group}} \times 100\%$$

## Histopathological Evaluation

After observing and taking pictures of gastric tissue, part of the stomach was fixed in a 4% paraformaldehyde solution, dehydrated, embedded in paraffin, sliced into 4–5 μm thick sections, and stained with haematoxylin and eosin (H&E). The stained sections were photographed and observed under a microscope at magnifications of 200× and 400×.

## Detection of Relevant Biochemical Indicators in Gastric Tissues

The remaining stomach tissues were rinsed in ice-cold PBS to remove excess blood thoroughly, weighed, cut into small pieces, and homogenized with ice-cold PBS buffer (pH 7.2). Then, the gastric tissue homogenate was centrifuged for 10 min at 3000 rpm to obtain the supernatant to detect the level of oxidative stress (SOD, CAT, GSH-Px, GSH, MDA, and iNOS) by the corresponding kits and inflammatory cytokines (NF-κB, TNF-α, IL-6)

using specific ELISA kits. All the procedures were performed according to the instruction manuals.

## Statistical Analysis

Statistical analysis of all experiments was performed using IBM SPSS Statistics (version 20, SPSS Inc., Chicago, IL, USA). The means  $\pm$  standard deviation (SD) are provided for data with a normal distribution and variance homogeneity. One-way analysis of variance (ANOVA) was performed to compare differences between groups. Data with a non-normal distribution were analysed with nonparametric statistics using the Kruskal–Wallis test with a post hoc test, and  $p < 0.05$  and  $p < 0.01$  were regarded as statistically significant differences.

## Results

### Characterization of RSFC-CDs

The morphology and particle size distribution of RSFC-CDs were detected by TEM and HRTEM. The TEM image showed that RSFC-CDs had a practically spherical shape and homogeneous dispersibility with a concentrated size distribution ranging from 2 to 3 nm (Figure 2A and C). The HRTEM image indicated that RSFC-CDs had a graphite-like crystalline framework with a lattice spacing of 0.278 nm (Figure 2B), which was consistent with the (100) planes of graphitic carbon.<sup>27,28</sup> The recognizable diffraction peak ( $2\theta=23.7^\circ$ ) in the XRD pattern (Figure 2D) revealed an amorphous carbon phase of RSFC-CDs, which corresponded with the HRTEM image.<sup>29</sup>

The UV–vis absorption spectrum indicated that RSFC-CDs had an extensive spectrum without any definite peak (Figure 2E). RSFC-CDs exhibited the maximum emission at 449 nm following 352 nm excitation in the fluorescence spectra (Figure 2F). The fluorescence emission spectra of RSFC-CDs under different excitations are shown in Figure 2G. When the wavelength of the excitation light increased from 312 to 512 nm, the emission peaks were all redshifted.

The various surface functional groups of RSFC-CDs were identified based on the FTIR spectra (Figure 2H), which displayed characteristic peaks at  $3432\text{ cm}^{-1}$ ,  $2922\text{ cm}^{-1}$ ,  $2860\text{ cm}^{-1}$ ,  $1639\text{ cm}^{-1}$ ,  $1384\text{ cm}^{-1}$ , and  $1058\text{ cm}^{-1}$ . The absorption bands of O–H and N–H stretching vibrations emerged at  $3432\text{ cm}^{-1}$ , and the absorption peaks at  $2922\text{ cm}^{-1}$  and  $2860\text{ cm}^{-1}$  indicated C–H stretching vibrations from  $-\text{CH}_3$  and  $-\text{CH}_2$  functional groups, respectively.<sup>30,31</sup> The peak at  $1639\text{ cm}^{-1}$  originated

from C=O vibrational stretching.<sup>32</sup> A peak appeared at  $1384\text{ cm}^{-1}$ , indicating the bending vibrations of C–H and N–H,<sup>33</sup> and the absorption peak at  $1058\text{ cm}^{-1}$  was associated with C–O–C stretching vibrations.<sup>34</sup>

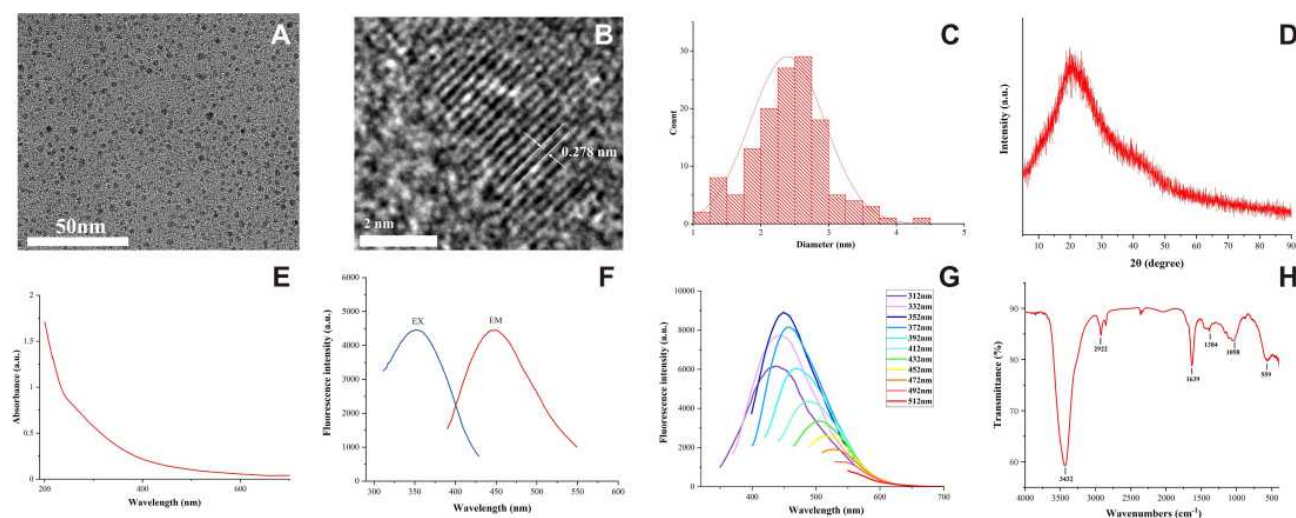
The surface element composition and chemical bonds of RSFC-CDs were determined by XPS. The full survey spectrum (Figure 3A) could be divided into three peaks at 284.5, 531.7 and 399.7 eV, which were attributed to C 1s (66.90%), O 1s (26.93%) and N 1s (4.87%), respectively. The high-resolution spectrum of C 1s (Figure 3B) could be deconvoluted into three peaks at 284.1 (C–C/C=C), 285.6 (C–O/C=N) and 287.6 eV (C=O).<sup>35,36</sup> In the high-resolution O 1s spectrum (Figure 3C), two peaks at 530.5 and 531.9 eV were attributed to the presence of O–H and O–C=O bonds, respectively.<sup>37,38</sup> Two peaks at 399.3 and 400.3 eV were in the high-resolution N 1s spectrum (Figure 3D), revealing the existence of pyridinic N and pyrrolic N.<sup>39</sup> The synthesis yield of RSFC-CDs was  $3.14 \pm 0.2\%$ . The Quantum Yield of RSFC-CDs was 1.08%.

### Cytotoxicity Evaluation of RSFC-CDs

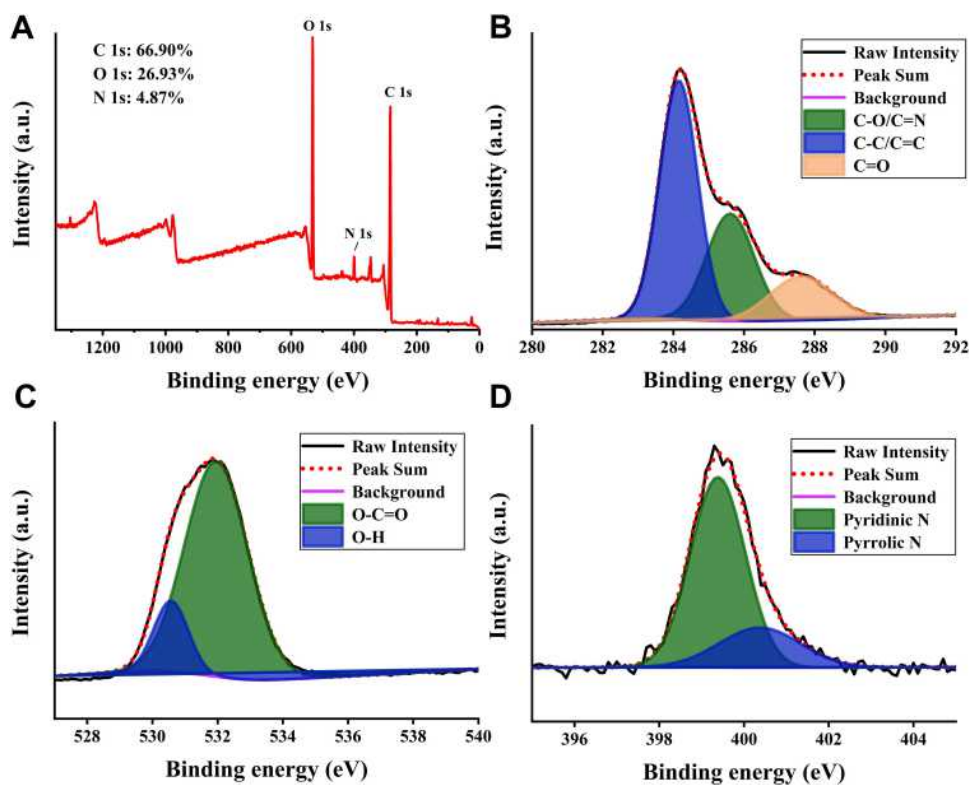
To evaluate the cytotoxicity of RSFC-CDs at different concentrations, GES-1 cells were exposed to a series of gradient concentrations of RSFC-CDs ranging from 15.63 to 1000  $\mu\text{g/mL}$  for 24 h (Figure 4A). The results showed that the viability of GES-1 cells was almost 100%, ranging from 15.63 to 250  $\mu\text{g/mL}$ , which was virtually the same as that of the control group. RSFC-CDs at concentrations ranging from 500 to 1000  $\mu\text{g/mL}$  can promote the proliferation of GES-1 cells. All the outcomes confirmed that RSFC-CDs possessed good biocompatibility and lower biotoxicity, even up to 1 mg/mL.

### Effect of RSFC-CDs on the Ethanol-Induced Damage Model of GES-1 Cells

To explore the protective effect of RSFC-CDs on ethanol-induced damage, GES-1 cells were treated with gradient concentrations of RSFC-CDs ranging from 15.63 to 1000  $\mu\text{g/mL}$  for 24 h and then exposed to 5% ethanol for 3 h (Figure 4B). As the RSFC-CDs concentration increased, the cell viability also increased, which indicated that RSFC-CDs had a dose-dependent protective effect on ethanol-induced damage to GES-1 cells. RSFC-CDs showed an obvious protective effect on the cells, especially at concentrations of 500 and 1000  $\mu\text{g/mL}$ .



**Figure 2** (A) Transmission electron microscope (TEM) image of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs). (B) High-resolution TEM (HRTEM) image of RSFC-CDs. (C) Particle size distribution histogram of RSFC-CDs. (D) X-ray diffraction (XRD) pattern of RSFC-CDs. (E) Ultraviolet-visible (UV-vis) absorption spectrum of RSFC-CDs. (F) Fluorescence spectra for excitation and emission. (G) The excitation-dependent fluorescence spectra of RSFC-CDs. (H) Fourier transform infrared spectroscopy (FTIR) spectrum of RSFC-CDs.

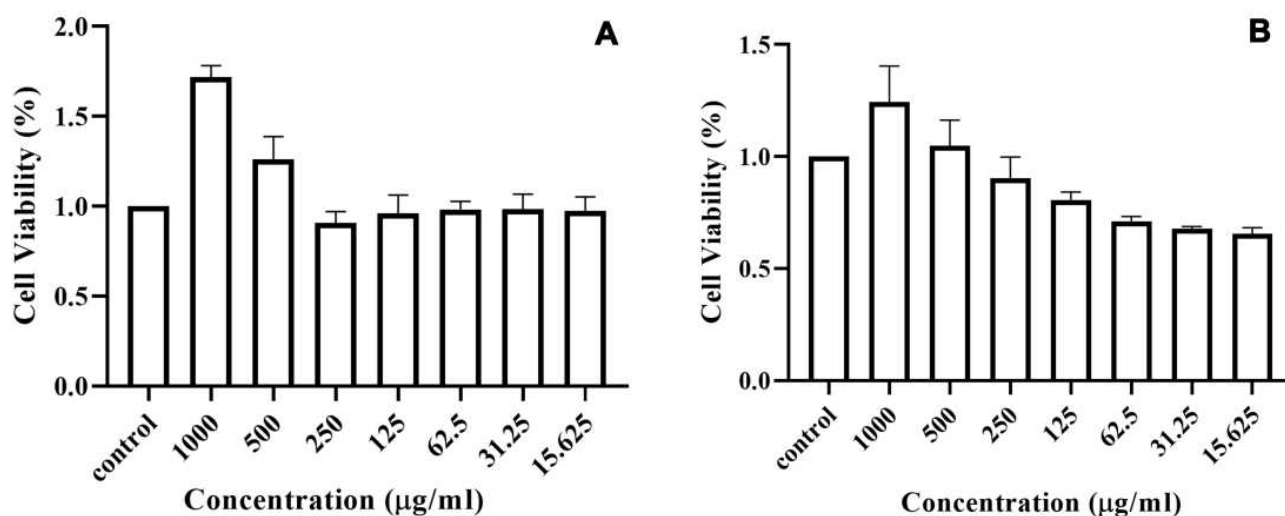


**Figure 3** X-ray photoelectron spectroscopy (XPS) spectrum of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs). (A) The full survey spectrum. High-resolution survey spectra of (B) C 1s, (C) O 1s and (D) N 1s.

## The RSFC-CDs Mitigated Ethanol-Induced Acute Gastric Ulcer in Rats

This study explored the protective effect of RSFC-CDs against acute gastric ulcers in rats induced by oral administration of excessive ethanol, which could lead to mucosal

oedema, glandular area hyperaemia, linear haemorrhage necrosis, and multiple other lesions along the long axis of the stomach. In contrast to the control group rats (Figure 5A), rats exposed to ethanol exhibited a broad thick, dull red stripe, which indicated severe haemorrhagic



**Figure 4 (A)** Cytotoxicity analysis in GES-1 cells treated with various concentrations of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs). **(B)** The protective effect of different concentrations of RSFC-CDs on the ethanol-induced damage model of GES-1 cells.

lesions of the gastric mucosa. Compared with the model group rats (Figure 5B), animals treated with ranitidine (Figure 5C) and RSFC-CDs (Figure 5D–F) presented lighter, shorter and narrower dull red stripes, which indicated less damage and severity in those groups.

With the purpose of quantifying the changes in the damaged area to evaluate the gastroprotective effect of RSFC-CDs, the ulcer area and percentage inhibition of each group were measured by ImageJ software. The UI (Figure 5G) in the model group ( $25.87 \pm 5.68\%$ ) significantly increased, which indicated that the ethanol-induced acute gastric ulcer model was successfully established. All the doses of RSFC-CDs (H:  $7.73 \pm 0.83\%$ ,  $p < 0.01$ ; M:  $10.03 \pm 0.71\%$ ,  $p < 0.01$ ; L:  $14.96 \pm 1.41\%$ ,  $p < 0.01$ ) and ranitidine ( $5.54 \pm 0.58\%$ ,  $p < 0.01$ ) significantly decreased the UI. Moreover, the percentage inhibition (Figure 5H) of the positive ( $78.55 \pm 2.24\%$ ), high- ( $70.11 \pm 3.21\%$ ), medium- ( $61.20 \pm 2.76\%$ ), and low- ( $42.17 \pm 5.47\%$ ) dose RSFC-CDs groups significantly increased compared with the model group ( $p < 0.01$ ).

## RSFC-CDs Ameliorated Ethanol-Induced Gastric Histopathological Damage

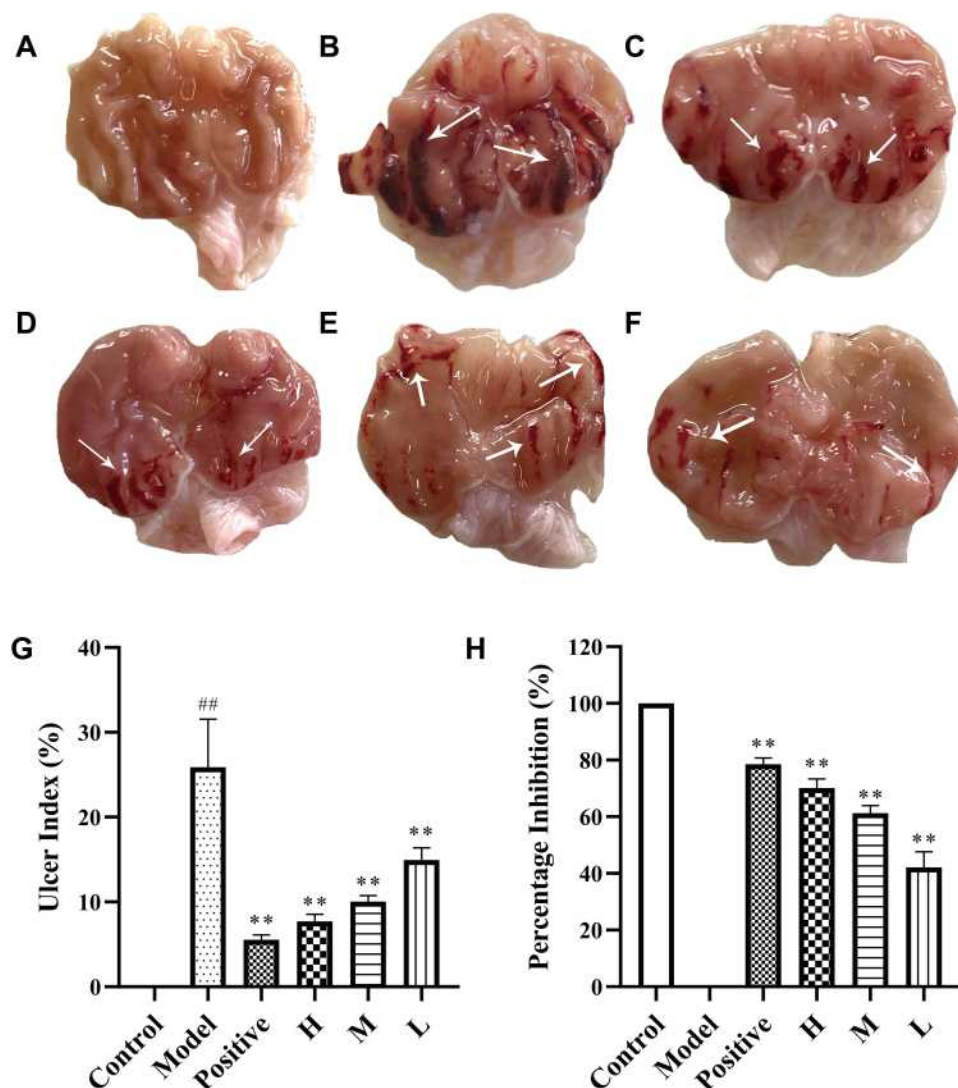
The histopathological characterizations of different groups illustrated the microscopic alterations in gastric tissues, revealing ethanol-induced damage and the protective bioactivity of RSFC-CDs (Figure 6). It can be seen clearly from the control group with a normal gastric wall structure that the intact gastric mucosa was flat and smooth and the arrangement of glandular cells was tidy (Figure 6A and D). Ethanol-induced oedema and inflammatory cell

infiltration were found in the submucosal area in the model group. Furthermore, severe intervillous haemorrhages were observed; these were associated with severe exfoliation of the mucosal epithelium (Figure 6B and E). In contrast, pretreatment with oral administration of ranitidine (Figure 6C and F) and RSFC-CDs (H: Figure 6G and J; M: Figure 6H and K) significantly ameliorated the exfoliation of mucosal cells, scattered bleeding, oedema, and inflammatory cell infiltration. Additionally, the low-dose group (Figure 6I and L) exhibited a protective tendency against histopathological damage. However, the improvement was relatively more pronounced in the ranitidine group and the RSFC-CDs groups (high- and medium-dose) than in the low-dose group.

## RSFC-CDs Mitigated Oxidative Stress by Upregulating the Activity of Antioxidants

Overdose ethanol can stimulate acute gastric injuries mediated by overexpressing reactive oxygen species (ROS). As one of the mechanisms of stimulation, severe oxidative damage is triggered by ROS and plays a vital role in ulcerative and haemorrhagic lesions.<sup>25</sup> The alterations of the redox status were further assessed by the level of antioxidant and peroxidation products. The antioxidant capacity was illustrated through an assessment of enzymatic antioxidant (CAT, SOD, GSH-Px) and non-enzymatic antioxidant (GSH) levels in stomach tissues (Figure 7A–D). The gastric tissue damage caused by oxidative stress was evaluated by the levels of MDA and iNOS (Figure 7E and F).

Ethanol intervention in the model group caused a significant decrease in the activities of CAT ( $0.65 \pm 0.15$  U/mgport,  $p <$



**Figure 5** Macroscopic images of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs) ameliorating an ethanol-induced acute gastric mucosal injury. (A) Control group. (B) Model group. (C) Positive group. (D) High-dose RSFC-CDs group. (E) Medium-dose RSFC-CDs group. (F) Low-dose RSFC-CDs group. (G) The ulcer index. (H) Percentage inhibition of each group. Data are expressed as the mean  $\pm$  SD. <sup>##</sup> $p < 0.01$  compared with the control group, <sup>\*\*</sup> $p < 0.01$  compared with the model group.

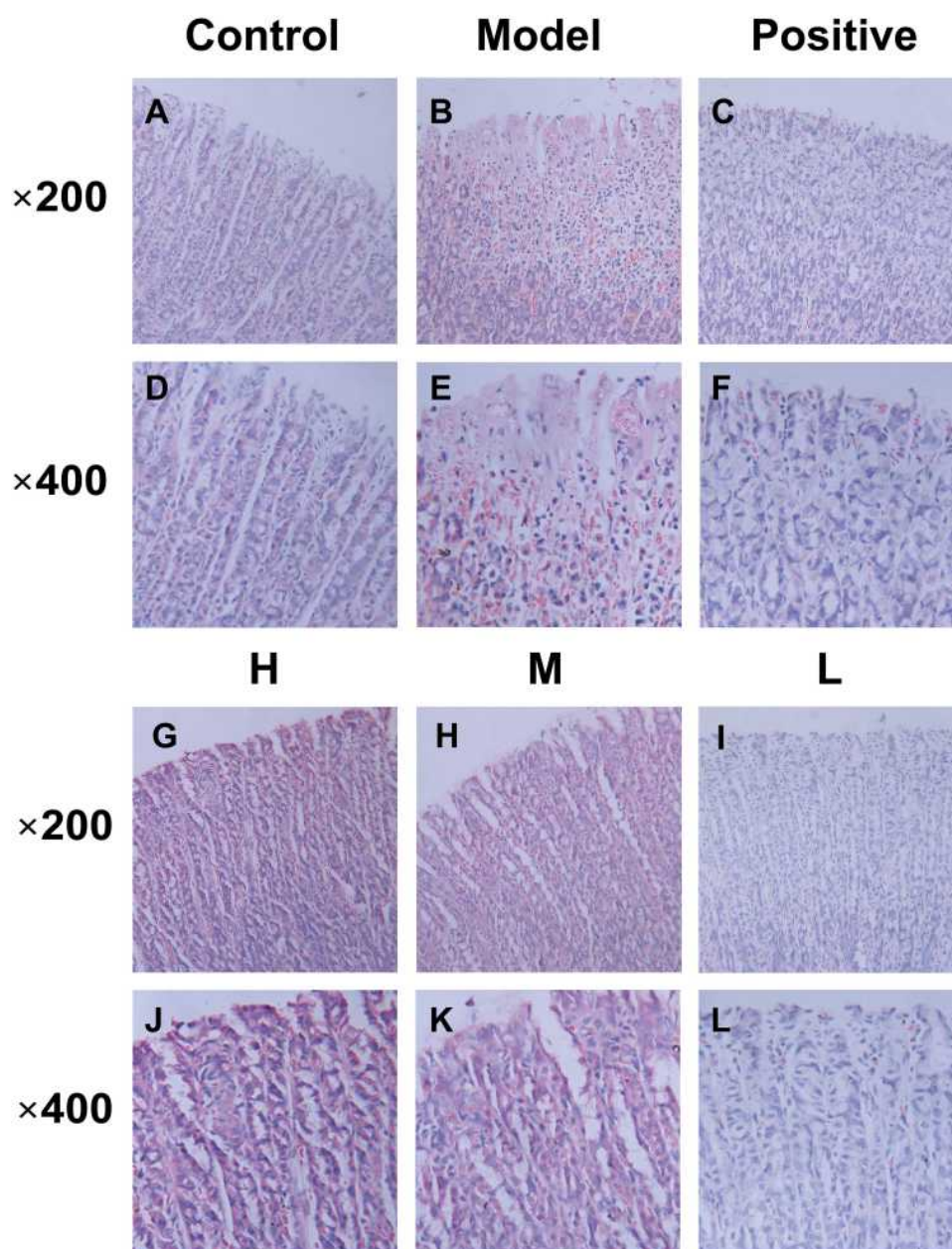
0.01), SOD ( $337.88 \pm 32.74$  U/mgport,  $p < 0.01$ ), GSH-Px ( $587.28 \pm 12.56$  U/mgport,  $p < 0.01$ ), and GSH ( $0.77 \pm 0.11$   $\mu\text{mol/gport}$ ,  $p < 0.01$ ) compared with the control group (CAT:  $1.78 \pm 0.28$  U/mgport; SOD:  $531.30 \pm 35.23$  U/mgport; GSH-Px:  $695.38 \pm 25.42$  U/mgport; GSH:  $1.90 \pm 0.33$   $\mu\text{mol/gport}$ ).

Conversely, pretreatment with high and medium doses of RSFC-CDs produced a significant increase in the levels of CAT (H:  $1.28 \pm 0.15$  U/mgport,  $p < 0.01$ ; M:  $1.16 \pm 0.07$  U/mgport,  $p < 0.01$ ), SOD (H:  $443.28 \pm 28.35$  U/mgport,  $p < 0.01$ ; M:  $454.30 \pm 33.69$  U/mgport,  $p < 0.01$ ), GSH-Px (H:  $673.82 \pm 26.52$  U/mgport,  $p < 0.01$ ; M:  $652.91 \pm 29.93$  U/mgport,  $p < 0.05$ ), and GSH (H:  $1.46 \pm 0.23$   $\mu\text{mol/gport}$ ,  $p < 0.01$ ; M:  $1.33 \pm 0.17$   $\mu\text{mol/gport}$ ,  $p < 0.01$ ). Moreover, improvements of those antioxidants (CAT:  $1.65 \pm 0.24$  U/

mgport,  $p < 0.01$ ; SOD:  $469.61 \pm 30.15$  U/mgport,  $p < 0.01$ ; GSH-Px:  $676.02 \pm 39.07$  U/mgport,  $p < 0.05$ ; GSH:  $1.72 \pm 0.24$   $\mu\text{mol/gport}$ ,  $p < 0.01$ ) were more obvious in the group treated with ranitidine. In contrast to the model group, the low dose of RSFC-CDs significantly upregulated the activities of CAT ( $1.06 \pm 0.18$  U/mgport;  $p < 0.05$ ) and SOD ( $425.08 \pm 24.37$  U/mgport,  $p < 0.01$ ). At the same time, low-dose RSFC-CDs increased the levels of GSH ( $1.10 \pm 0.27$   $\mu\text{mol/gport}$ ;  $p > 0.05$ ) and GSH-Px ( $609.49 \pm 17.75$  U/mgport;  $p > 0.05$ ), but without significant differences.

RSFC-CDs can not only upregulate the level of antioxidants in ethanol-induced gastric injury but also downregulate the levels of lipid peroxide metabolites (MDA) and iNOS to balance the levels of antioxidant and peroxidation





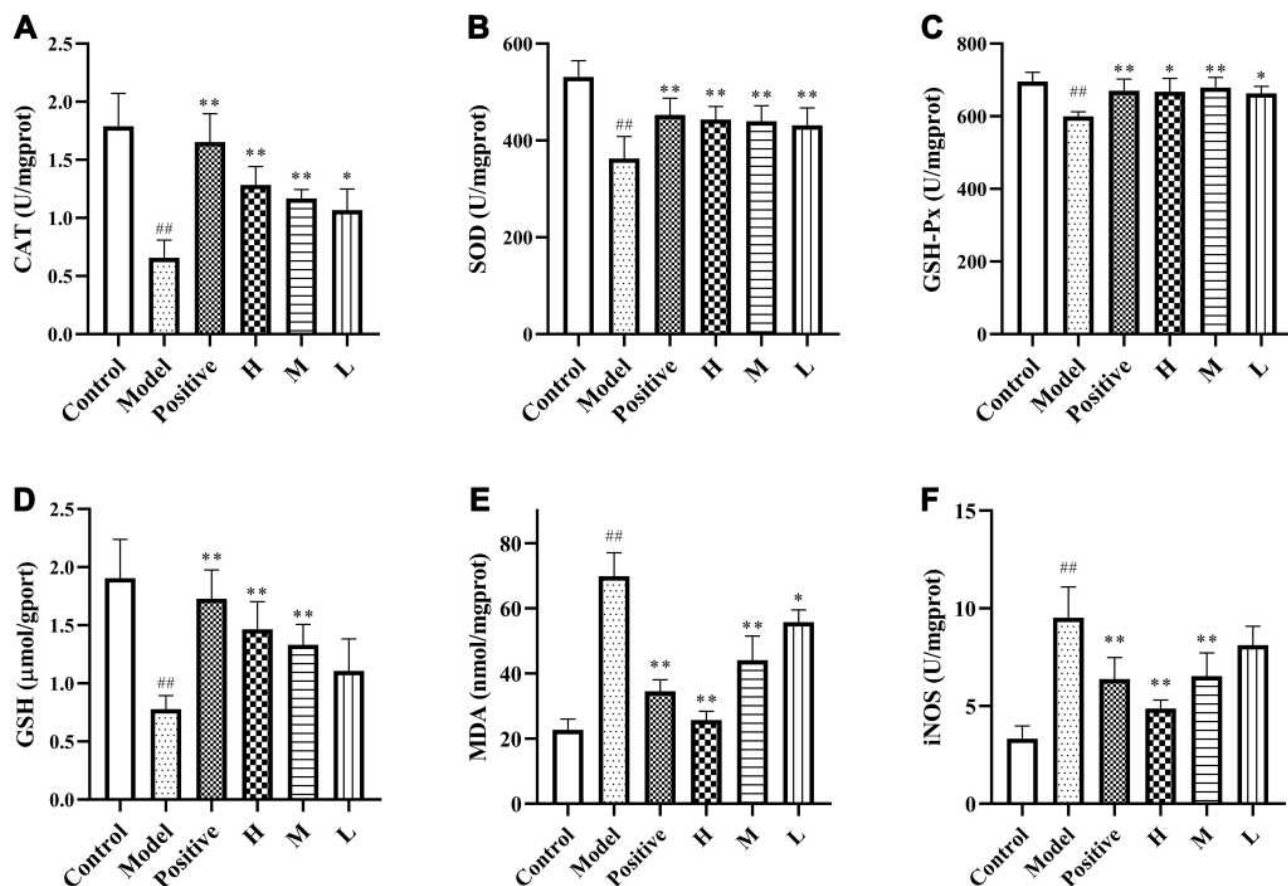
**Figure 6** Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs) ameliorate ethanol-induced gastric histopathological damage. Histopathological sections of gastric tissue were stained with H&E. The control group (**A** and **D**) illustrated the normal structure of the gastric wall with an intact gastric mucosa. The model group (**B** and **E**) demonstrated the exfoliation of the mucosal epithelium, haemorrhage, oedema, and inflammatory cell infiltration. Positive (**C** and **F**), high-dose (**G** and **J**), medium-dose (**H** and **K**), and low-dose (**I** and **L**) RSFC-CDs groups showed that the gastric injuries had improved to varying degrees.

products. Compared with the control group (MDA:  $22.68 \pm 3.29$  nmol/mgport; iNOS:  $3.33 \pm 0.65$  U/mgport), ethanol caused MDA ( $69.84 \pm 7.18$  nmol/mgport,  $p < 0.01$ ) and iNOS ( $9.51 \pm 1.57$  U/mgport,  $p < 0.01$ ) to rise significantly. Pretreatment with high and medium doses of RSFC-CDs significantly decreased the levels of MDA (H:  $25.65 \pm 2.69$  nmol/mgport,  $p < 0.01$ ; M:  $44.06 \pm 7.40$  nmol/mgport,  $p < 0.01$ ) and iNOS (H:  $4.87 \pm 0.42$  U/mgport,  $p < 0.01$ ; M:  $6.52 \pm 1.18$  U/mgport,  $p < 0.01$ ). At the same time, administration of the low dose of RSFC-CDs downregulated the levels of

MDA ( $55.75 \pm 3.77$  nmol/mgport;  $p < 0.05$ ) and iNOS ( $8.12 \pm 0.96$  U/mgport;  $p > 0.05$ ).

### RSFC-CDs Inhibited TNF- $\alpha$ and IL-6 by Downregulating the Expression of NF- $\kappa$ B

Inflammation is another important underlying mechanism of acute gastric ulcers induced by an overdose of ethanol, which can be activated by the NF- $\kappa$ B pathway and upregulated by its downstream proinflammatory effectors.



**Figure 7** Effects of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs) on the levels of (A) catalase (CAT), (B) superoxide dismutase (SOD), (C) glutathione peroxidase (GSH-Px), (D) glutathione (GSH), (E) malondialdehyde (MDA) and (F) inducible nitric oxide synthase (iNOS) in gastric tissue homogenate supernatant. Data are expressed as the mean  $\pm$  SD. <sup>##</sup> $p < 0.01$  compared with the control group, <sup>\*</sup> $p < 0.05$  and <sup>\*\*</sup> $p < 0.01$  compared with the model group.

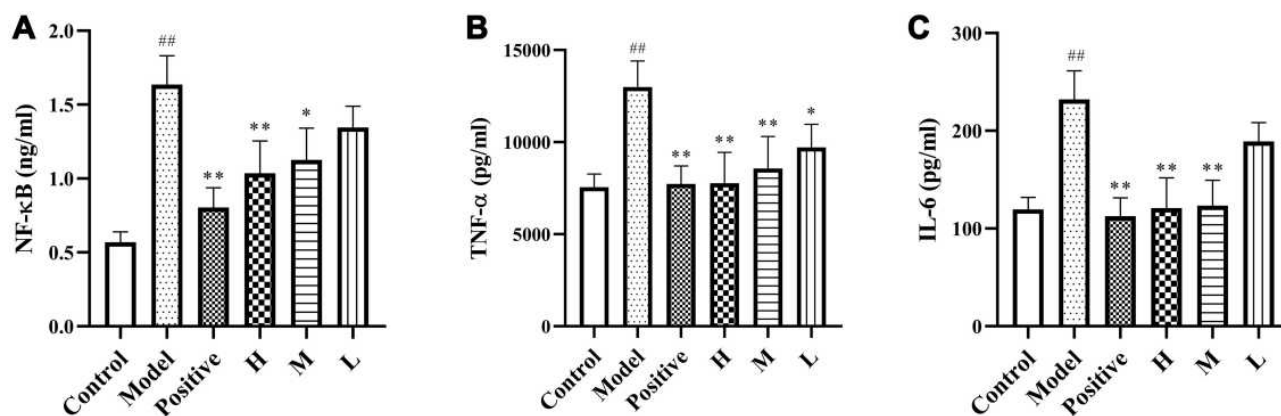
When comparing NF- $\kappa$ B in stomach tissue, the level in the model group ( $1.63 \pm 0.19$  ng/mL) increased significantly ( $p < 0.01$ ) over that of the control group ( $0.56 \pm 0.06$  ng/mL). In the positive ( $0.80 \pm 0.13$  ng/mL;  $p < 0.01$ ), high-dose ( $1.03 \pm 0.21$  ng/mL;  $p < 0.01$ ) and medium-dose ( $1.12 \pm 0.21$  ng/mL;  $p < 0.05$ ) groups, the concentrations of NF- $\kappa$ B decreased significantly in comparison to that in the model group. There was no significant change detected in the low-dose group ( $1.34 \pm 0.14$  ng/mL) compared with the model group (Figure 8A).

The TNF- $\alpha$  level in the stomach tissue of the model group ( $12,992.76 \pm 1410.92$  pg/mL) was also significantly increased ( $p < 0.01$ ) in comparison to that of the control group ( $7562.29 \pm 707.16$  pg/mL). The level of TNF- $\alpha$  in the positive ( $7726.66 \pm 982.42$  pg/mL;  $p < 0.01$ ), high-dose ( $7769.58 \pm 1678.01$  pg/mL;  $p < 0.01$ ), medium-dose ( $8567.21 \pm 1744.45$  pg/mL;  $p < 0.01$ ) and low-dose ( $9722.50 \pm 1247.51$  pg/mL;  $p < 0.05$ ) groups significantly decreased compared with that in the model group (Figure 8B).

Likewise, the IL-6 level in the stomach tissues of the model group ( $232.00 \pm 29.43$  pg/mL) was significantly upregulated ( $p < 0.01$ ) compared with that of the control group ( $119.53 \pm 12.43$  pg/mL). In comparison to the model group, the IL-6 level of the positive ( $112.74 \pm 18.63$  pg/mL), high-dose ( $120.88 \pm 30.98$  pg/mL), and medium-dose ( $123.48 \pm 25.85$  pg/mL) groups was significantly downregulated ( $p < 0.01$ ). In contrast, there was no significant difference observed in the low-dose group ( $189.03 \pm 19.36$  pg/mL) compared to the model group (Figure 8C).

## Discussion

CDs have been exploited for biosensing, bioimaging, fluorescent labelling, diagnosis, drug delivery, targeted therapy, and other medical fields<sup>40–43</sup> for their merits of excellent photostability, photobleaching resistance and biocompatibility. Nevertheless, studies focused on the self-bioactivities of CDs are relatively insufficient and may be concerned with carbon sources lacking inherent bioactivities or synthetic



**Figure 8** Effects of Radix Sophorae Flavescentis carbonisata-based carbon dots (RSFC-CDs) on the levels of (A) nuclear factor-kappa B (NF-κB), (B) tumour necrosis factor-α (TNF-α), and (C) interleukin (IL)-6 in gastric tissue homogenate supernatant. Data are expressed as the mean ± SD. <sup>##</sup>*p* < 0.01 compared with the control group, <sup>\*</sup>*p* < 0.05 and <sup>\*\*</sup>*p* < 0.01 compared with the model group.

conditions.<sup>44</sup> A number of previous studies have identified the innate bioactivities of CDs, such as carbon nanodots from metronidazole possessing selective antibacterial activity against obligate anaerobes,<sup>45</sup> ginsenoside Re-based CDs displaying inhibition efficiency of cancer cells,<sup>44</sup> and Gly-CDs synthesized from glycyrrhizic acid exhibiting excellent antiviral properties.<sup>46</sup> However, CDs with inherent biological activities have immense clinical research potential.

Produced by a high-temperature carbonization process that is similar to high-temperature pyrolysis, a bottom-up synthesis method, a number of charcoal medicines with various functions have been widely used in traditional Chinese medicine for more than 2000 years. Traditional Chinese herbs, as organic carbon sources with superiorities of low cost, low toxicity, moderate reaction, and eco-environmental friendliness, have a variety of clinical treatment effects. It is interesting to note that charcoal medicines and their carbon sources both have conspicuous self-activities, which inspire us to conclude that CDs prepared with traditional Chinese herbs using high-temperature pyrolysis may have inherent bioactivities. Prior studies by our research team revealed that CDs derived from charcoal medicines have various bioactivities as the functional material basis of charcoal medicines. The results indicate that CDs derived from Pollen Typhae,<sup>47</sup> Herba Cirsii Japonici<sup>48</sup> and Phellodendri Cortex<sup>24</sup> have haemostatic bioactivity; CDs derived from Jiaosanxian have hypoglycaemic bioactivity,<sup>15</sup> CDs derived from Junci Medulla have hepatoprotective bioactivity,<sup>49</sup> CDs derived from Lonicerae Japonicae Flos have bioactivities of alleviating fever and hypothermia;<sup>50</sup> CDs derived from Zingiberis Rhizoma

have analgesic activity;<sup>16</sup> and CDs derived from Aurantii Fructus Immaturus have antihyperuricaemic and anti-gouty arthritis activities.<sup>13</sup>

This study focused on RSFC, one of the charcoal medicines widely used in ulcerative, haemorrhagic, traumatic and infective diseases. The solution was synthesized from the active precursor RSF, and we evaluated the effects of RSFC-CDs on ethanol-induced acute gastric ulcers in rats and explored the underlying mechanism of anti-inflammatory and anti-oxidative stress. One-step high-temperature pyrolysis was applied due to its cost-effective and eco-friendly synthesis. The as-prepared fluorescent RSFC-CDs with tiny sizes possessed abundant functional groups, which was beneficial for multiple biological activities.

Using GES-1 cells to evaluate the cytotoxicity of the RSFC-CDs and their protective effect on ethanol-induced damage, our results illustrated that the RSFC-CDs had excellent biocompatibility and low toxicity and significantly ameliorated ethanol-induced damage. The RSFC-CDs not only showed an apparent cytoprotective effect but also had a significant protective effect on ethanol-induced gastric ulcer rats both in macrography and micrography. In macroscopic measurements, the RSFC-CDs could effectively allay gastric oedema, hyperaemia, and haemorrhagic necrosis induced by an overdose of ethanol, thereby decreasing the UI and increasing the percentage inhibition. Microscopic measurements showed that the RSFC-CDs could defend the gastric mucosa by lessening exfoliation of the gastric mucosal epithelium, haemorrhage, oedema, and inflammatory infiltration of the stomach.

Gastric ulcers characterized by multiple types of mucosal injuries were induced by various pathogenic factors. As a prominent invasion factor of gastric ulcers, ethanol can quickly and easily penetrate and invade the gastric mucosa and give rise to mucosal injuries or even into the muscularis, which can provoke excessive inflammation, oxidative damage, cell necrosis, and apoptosis of gastric mucosa, as proven by prior studies.<sup>51</sup>

The inflammatory response mediated by the NF- $\kappa$ B signalling pathway plays a crucial role in ethanol-induced acute gastric ulcers. NF- $\kappa$ B induces cytokines that regulate the inflammatory response, which leads to the recruitment of leukocytes to sites of inflammation.<sup>52</sup> Previous research has established that downregulated levels of NF- $\kappa$ B and downstream pro-inflammatory cytokines (ie, TNF- $\alpha$ , IL-6) can ameliorate gastric mucosal injuries generated by an overdose of ethanol.<sup>53–55</sup> In this study, RSFC-CDs downregulated the levels of NF- $\kappa$ B, TNF- $\alpha$  and IL-6 in injured gastric tissues to inhibit further deterioration triggered by an overdose of ethanol, which may be one potential mechanism of gastric protection for RSFC-CDs.

Oxidative damage mediated by over-expressed ROS is another critical mechanism in ethanol-induced acute gastric ulcers. Accumulated and excessive ROS will consume endogenous antioxidants and destroy the internal defensive system, which can cause lipid peroxidation, cellular death, and tissue damage, prompting the aggravation of gastric injury.<sup>56</sup> The NF- $\kappa$ B signalling pathway could be activated by ROS produced within the cell.<sup>57</sup> Numerous studies have described that a decline in antioxidants is relevantly associated with gastric injuries and that heightened antioxidants can lessen the severity of alcohol-induced damage.<sup>54,55,58</sup> These results indicated that the RSFC-CDs could promote the expression of enzymatic antioxidants (CAT, SOD, GSH-Px) and non-enzymatic antioxidants (GSH) in stomach tissues to neutralize the over-expressed oxidant, which could be the underlying mechanism by which RSFC-CDs alleviate gastric lesions. At the same time, RSFC-CDs could downregulate the levels of lipid peroxide metabolites (MDA) and pro-inflammatory mediators (iNOS) to mitigate the degree of damage induced by oxidative stress.

RSFC-CDs exhibited protective effects for curing ethanol-induced acute gastric ulcers with anti-inflammatory and anti-oxidative bioactivities, which may be correlated with their diverse surface functional groups. With diverse surface functional groups, CDs are composed of hybridized sp<sup>2</sup> and sp<sup>3</sup> carbon atoms, which form a core-shell structure.<sup>59,60</sup> CDs are widely studied in medicine and life

science research due to their impressive surface properties, although their exact structure has not been completely revealed.<sup>45,46,61,62</sup> The antibacterial property of carbon nanodots synthesized from metronidazole may be primarily attributed to the nitro group.<sup>45</sup> Gly-CDs retained most functional groups of precursors, which could further affect antiviral activity.<sup>46</sup> The characteristic functional groups of ginsenoside still remained on the surface of ginsenoside Re-based CDs, which are probably crucial to its anticancer bioactivity.<sup>44</sup> The acetyl groups retained from aspirin and the amide groups in synthesized FACD exerted synergistic effects, leading to increased anti-inflammatory bioactivities compared to aspirin alone.<sup>63</sup> Similarly, the enhanced anti-inflammatory effect of ibuprofen-based carbon quantum dots might be related to the formation of acetyl groups.<sup>64</sup> All of these previous studies indicate that the various functional groups on the surface of CDs play essential roles in their inherent bioactivities.

Although there are currently several kinds of medicines used for the treatment of upper gastrointestinal ulcers, such as antacids, there are no specific medicines for the treatment of acute gastric ulcers. As the first-line treatment for gastric ulcers, proton pump inhibitors and H<sub>2</sub> receptor blockers can effectively inhibit gastric acid secretion to protect the gastric mucosa.<sup>65</sup> However, these inhibitors also provoke multitudinous serious adverse reactions in clinical applications, such as acute interstitial nephritis,<sup>66</sup> subacute cutaneous lupus erythematous,<sup>67</sup> myocardial infarction,<sup>68,69</sup> and hepatic encephalopathy.<sup>70</sup> Hence, exploring a new type of safe and low-toxicity medicine with anti-gastric ulcer effects has attracted the attention of researchers. As the precursor of RSFC-CDs, RSFC, which has a long history of clinical applications, is still applied to treat ulcerative diseases throughout the body due to its remarkable clinical effects. Synthesized via a green method with an extensive precursor source, RSFC-CDs not only display a therapeutic effect comparable to ranitidine but also show no obvious biological toxicity and have outstanding biocompatibility, which indicate that they have tremendous potential as a candidate treatment for gastric ulcers.

The results of this study show that the gastric protective bioactivity of RSFC-CDs is caused by lessening the oxidative damage and inflammatory response provoked by ethanol. This study primarily illustrated the anti-inflammatory and anti-oxidative action of RSFC-CDs through the NF- $\kappa$ B signalling pathway and ROS system. Hence, future research will focus on the comprehensive mechanisms of gastric protection and other bioactivities of RSFC-CDs.

## Conclusion

In conclusion, novel fluorescent RSFC-CDs with inherent bioactivity, good biocompatibility, and low toxicity were synthesized by one-step pyrolysis using RSF as the sole precursor. To the best of our knowledge, for the first time, the gastroprotective activity of RSFC-CDs at the molecular, cellular, and animal levels was demonstrated. By decreasing the concentrations of NF- $\kappa$ B and its downstream proinflammatory cytokines (TNF- $\alpha$  and IL-6), the RSFC-CDs inhibited inflammation in gastric tissues. At the same time, the RSFC-CDs increased the levels of CAT, SOD, GSH-Px and GSH and decreased the levels of MDA and iNOS to mitigate the oxidative stress induced by ethanol. These outstanding characteristics make RSFC-CDs a safe and effective nanomedicine that has good potential for applications in the clinical therapy of ethanol-induced gastric injury.

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## Disclosure

Guoliang Cheng is an employee of Lunan Pharmaceutical Group Co., Ltd. The authors report no other potential conflicts of interest for this work.

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