

1 **COVID-19 redux: clinical, virologic, and immunologic evaluation of clinical rebound after**
2 **nirmatrelvir/ritonavir**

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40 **Abstract**

41 Clinical rebound of COVID-19 after nirmatrelvir/ritonavir treatment has been reported. We performed
42 clinical, virologic, and immune measurements in seven patients with symptomatic rebound, six after
43 nirmatrelvir/ritonavir treatment and one without previous treatment. There was no evidence of severe
44 disease or impaired antibody and T-cell responses in people with rebound symptoms.

45

46 **Introduction**

47 Nirmatrelvir-ritonavir (NMV-r) has been granted an Emergency Use Authorization for treatment
48 of early mild-moderate COVID-19 after demonstrating an 89% relative risk reduction of hospitalization
49 or death in unvaccinated patients at high risk for severe disease, with an associated decrease in
50 nasopharyngeal viral load at day-five.^{1,2} Some patients demonstrate a rise in viral load between days 10-
51 14,¹ and clinical rebound after completing NMV-r has now been reported.³ The etiology of this rebound
52 remains unknown, and immune evasion because of early viral suppression has been hypothesized.⁴
53 Additionally, the risk of severe disease, viral transmissibility, and potential for NMV-r resistance remains
54 unclear. To address these questions, we performed detailed virologic and immunologic evaluations of
55 seven patients with rebound COVID-19.

56

57 **Methods**

58 Detailed methods are provided in supplement. All patients were evaluated after written informed
59 consent and enrollment in clinical protocol NCT04401436. Soluble biomarkers were measured using
60 enzyme-linked immunosorbent assay (ELISA) or electrochemiluminescence assays. Viral isolation on
61 Vero E6 cells and targeted viral sequencing were performed on SARS-CoV-2 positive nasal swab
62 samples, as previously described.^{5,6} Serum SARS-CoV-2 nucleocapsid protein was measured using a
63 single-molecule immunobead assay.⁷ ELISA was performed for SARS-CoV-2 anti-spike (anti-S) and
64 anti-receptor binding domain (anti-RBD) IgG, IgA, and IgM and for IgG and IgM anti-nucleocapsid
65 antibodies using a previously validated technique⁸. The GenScript cPass assay, a surrogate viral
66 neutralization test (sVNT)⁹, was done to detect neutralizing antibodies against wild-type and Omicron
67 spike protein. T-cell stimulation assays using peptide pools corresponding to SARS-CoV-2 spike,
68 nucleocapsid, and membrane proteins were performed.

69

70 **Results**

71 Seven patients with rebound COVID-19 symptoms (six following NMV-r, one without treatment)
72 were seen, two with samples also collected during their acute presentation. Five COVID-19 (Omicron)-
73 infected patients were included as controls. Based on time from symptom onset to sample collection,
74 patients were subdivided into an acute (<4-days, n=6) and late group (>11-days, n=2, including the
75 patient with rebound without prior NMV-r) that were compared to the rebound patients who received
76 NMV-r (n=6) (Table-1, Table-S1). All participants were vaccinated/boosted, and none received CYP3A4
77 inducers prior to NMV-r.

78 NMV-r was started 1-4 days after initial symptom onset. All rebound patients experienced
79 significant symptomatic improvement prior to worsening. Median time to symptom recurrence was 12.5-
80 days after initial symptom onset and 6.5-days after completing NMV-r. On rebound, five patients reported
81 milder, one worse and one similar symptom severity from initial illness (Table-S2). No additional
82 pathogens were detected by BioFire® FilmArray® Respiratory Panel 2.1 on nasal swabs. No rebound
83 patients required additional treatment or hospitalization. Median C-reactive protein (CRP) level was
84 lower at rebound than during acute COVID-19, whereas neutrophil and lymphocyte counts and SARS-
85 CoV-2 PCR cycle thresholds (Ct) were similar across groups (Fig-1A-D) with low or undetectable serum
86 nucleocapsid antigen levels at rebound (Fig-1E). Infectious replication-competent SARS-CoV-2 was
87 isolated from the nasal swab of 3/4 controls and 1/7-rebound patients (Patient-1). No mutations associated
88 with NMV resistance were identified. Inflammatory markers associated with myeloid cell activation and
89 severe COVID-19,¹⁰ such as IL-6 or IL-8, were downtrending at rebound, whereas markers of T-cell
90 activation like interferon-gamma and soluble CD25 were stable or increasing (Fig-S1).

91 Anti-S and anti-RBD IgG antibodies were at high levels in both groups (Fig-1F-G), consistent
92 with prior vaccination. Anti-nucleocapsid IgG antibodies were absent in acute and detectable in 4 rebound
93 patients including the untreated patient (Fig-1H). IgM and IgA responses were variable (Fig-S2A-E).
94 Surrogate VNTs⁹ assays showed high levels of wild-type spike neutralizing antibodies in all patients with
95 lower percent binding inhibition to Omicron-spike protein, especially in the acute group (Fig-1I-J). More
96 rebound patients had detectable neutralizing antibodies against Omicron-spike that inversely correlated
97 with serum antigen (Fig-S2F).

98 CD4 and CD8 T-cell counts increased in rebound and late cases (Fig-2A-B). Robust T-cell
99 responses against the spike protein with more antigen-specific, cytokine-producing (IFN γ , TNF α) CD4⁺
100 T-cells were seen in those with rebound or late presentation (Fig-2C-E). CD4⁺ T-cell responses against
101 nucleocapsid and membrane proteins were also higher in the late and rebound cases (Fig-2C-E). Two
102 patients were evaluated longitudinally (Table-S3). They both exhibited significant drops in CRP and
103 serum nucleocapsid antigen (Fig-2F-G) at rebound, with concomitant increases in neutralizing antibodies
104 and SARS-CoV-2 specific CD4⁺ T-cells (Fig-2H-I).

105 **Discussion**

106 Nirmatrelvir/ritonavir has been a long-awaited addition in the COVID-19 therapeutic
107 armamentarium as an outpatient oral medication that can improve disease prognosis in high-risk patients.
108 Cases of clinical rebound after NMV-r reported recently have raised concerns about clinical deterioration
109 and interference of early antiviral administration with adaptive immune responses. The licensing trial did
110 not identify significant differences in viral rebound incidence among NMV-r versus placebo in
111 unvaccinated patients during the delta variant wave.² Currently, NMV-r is widely used in breakthrough
112 infections by the Omicron variant, which may impact the incidence of clinical rebound. Larger studies are

113 required to determine the incidence and risk factors for rebound COVID-19 in those treated versus not
114 treated with NMV-r.

115 In our case series, which includes one patient with rebound without prior antiviral therapy, no
116 patients developed severe disease or required additional therapy. High levels of SARS-CoV-2 anti-S IgG
117 antibodies were detected in all patients consistent with prior vaccination. Anti-nucleocapsid IgG and
118 Omicron-specific neutralizing antibodies were higher in patients with rebound consistent with
119 development of humoral immunity, that usually occurs 2–3-weeks post-infection.¹¹ Additionally, we
120 detected rising T-cells and robust SARS-CoV-2 specific T-cell responses at rebound, that were greater
121 than those in early acute COVID-19. Two patients with longitudinal sampling demonstrated an increase
122 in both antibody and cellular immune responses during rebound compared to their acute presentation.
123 These findings refute the hypothesis that impaired adaptive immune responses contribute to symptomatic
124 rebound.

125 Resistance mutations were not identified at COVID-19 rebound, consistent with prior reports.^{3,12}
126 SARS-CoV-2 was isolated from culture in one of seven patients with rebound and in three of four tested
127 controls with acute infection probably signifying higher transmission potential in early disease. In a recent
128 report, virus growth was observed in samples from three of seven rebound patients after NMV-r¹²,
129 however, different methodologies may explain this disparity. Interestingly, our rebound patient with
130 culturable virus had underlying immune suppression raising the question of longer treatment for
131 immunocompromised persons.

132 Overall, our findings of lower levels of serum nucleocapsid antigen and downtrending innate
133 immune markers with an emerging adaptive immune response in rebound COVID-19, do not support
134 uncontrolled viral replication driving inflammation with significant risk for impending disease
135 progression. Increases in total and virus-specific T-lymphocytes, biomarkers of T-cell activation, and

136 antibodies suggest that the rebound symptoms may in fact be partially driven by the emerging immune
137 response against residual viral antigens possibly shed from dying infected cells due to cytotoxicity and
138 tissue repair throughout the respiratory tract.¹³ Symptoms may be more clinically evident after use of
139 potent antiviral treatment with quick clinical improvement and re-appearance of antigen at the time of a
140 maturing immune response.

141 In conclusion, this case series provides important insights into the pathophysiology of rebound
142 COVID-19. None of these patients developed severe disease, and adaptive immunity against SARS-CoV-
143 2 appeared intact. Our findings support the need for isolation and the consideration for prolonged or
144 additional therapies for immunocompromised patients who cannot rely on adaptive immune responses.
145 Evaluation of larger cohorts is required to further assess the incidence, clinical and, importantly,
146 epidemiologic implications of rebound COVID-19.

147

148 All authors declare no conflicts of interest.

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150 **Ethics Approval:**

151 All patients and healthy controls evaluated at the NIH provided written informed consent and were
152 enrolled on NCT04401436 or NCT00001281. Both of these protocols were approved by the National
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154

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197 Table 1: Patient Characteristics

	Acute^a (N=6)	Rebound after NMV-r (N=6)	Late^b (N=2)
Gender			
Male	2 (33%)	3 (50%)	1 (50%)
Female	4 (67%)	3 (50%)	1 (50%)
Age (years)			
Median [Min, Max]	49.5 [33, 65]	42.5 [33, 74]	38.5 [23, 54]
Comorbidities			
None	1 (17%)	0 (0%)	2 (100%)
Pulmonary	1 (17%)	2 (33%)	0 (0%)
Immunocompromised ^c	2 (33%)	2 (33%)	0 (0%)
Cardiac	0 (0%)	2 (33%)	0 (0%)
Other	2 (33%)	0 (0%)	0 (0%)
Days from initial symptom onset to visit			
Median [Min, Max]	3 [2, 4]	16 [11, 17]	11 [8, 14]
Initial symptoms			
Upper respiratory only	2 (33%)	2 (33%)	0 (0%)
Upper and lower respiratory symptoms	2 (33%)	1 (17%)	1 (50%)
Upper respiratory and constitutional	1 (17%)	3 (50%)	1 (50%)
Upper respiratory, lower respiratory, and constitutional	1 (17%)	0 (0%)	0 (0%)
Received NMV-r			
NMV-r Recipients	4 (67%)	6 (100%)	0 (0%)
NMV-r Nonrecipients	2 (33%)	0 (0%)	2 (100%)
Day of illness NMV-r started			
Median [Min, Max]	2.50 [2, 3]	2.50 [1, 4]	NA
Day of illness symptoms returned			
Median [Min, Max]	13 [11, 15]	12.5 [11, 15] ^d	9 [rebound patient only]
Day symptoms returned after completing NMV-r			
Median [Min, Max]	6 [4, 8]	6.50 [3, 9]	NA

198 ^a Two patients were seen during both their initial acute episode as well as during rebound symptoms. Their first
199 timepoint was included in the “acute” group, and their second timepoint in the “NMV-r rebound” group. They both

200 had symptom resolution and negative antigen tests after completing NMV-r with symptom return and positive
201 antigen tests at eight and five days after completing NMV-r, respectively. See supplemental table 3 for further
202 details (patient 1 and patient 2).

203 ^b The NMV-r nonrecipient rebound patient presented 14 days after symptom onset and was included alongside a
204 control who had presented 8 days after symptom onset in the “late” group.

205 ^c Immunocompromising conditions across the entire cohort include multiple sclerosis, idiopathic thrombocytopenic
206 purpura, ankylosing spondylitis, and primary biliary cirrhosis

207 ^d Four rebound patients repeated rapid antigen tests after initial symptom resolution. Three became negative and the
208 fourth became weakly positive. All four became positive again when rebound symptoms returned.

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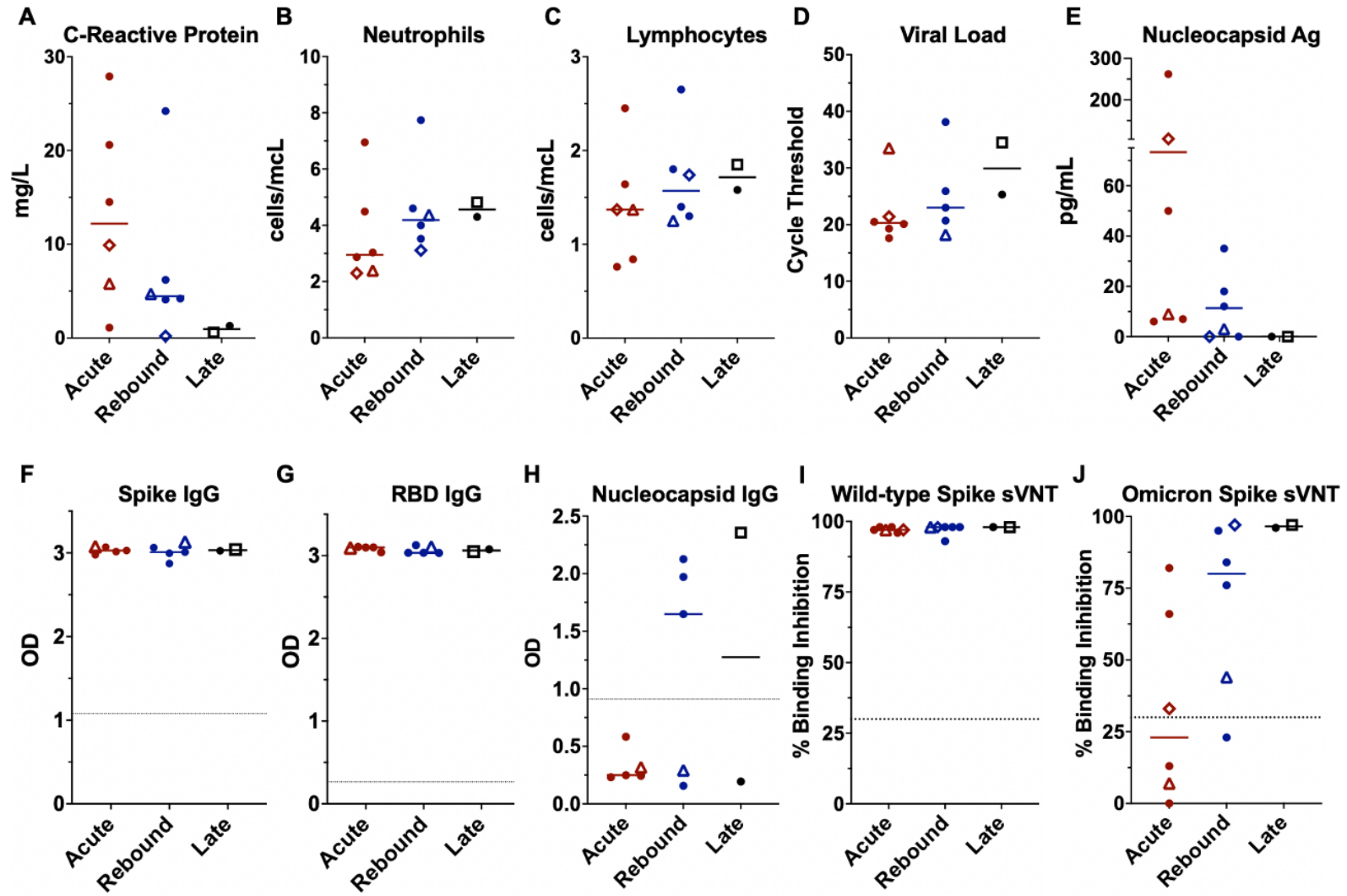
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220 **Figure-1: Comparison of clinical laboratories, virologic measurements, and antibody responses across the groups.** Lines represent median
221 and points represent individual results. The two longitudinal patients are identified by an open diamond (patient 1) and open triangle (patient 2),
222 respectively. The open square represents the COVID-19 rebound patient that did not receive NMV-r. Clinical values for C-reactive protein,
223 absolute neutrophil count, and absolute lymphocyte count across the acute (red), rebound (blue), and late presenting (black) COVID-19 cohorts
224 (A, B, C). SARS-CoV-2 cycle threshold from nasal swab samples (D) and serum nucleocapsid antigen (E). Antibody levels by enzyme-linked
225 immunosorbent assay (ELISA) against the spike protein, spike - receptor binding domain (RBD), and the nucleocapsid protein (F, G, H) presented
226 as optical density (OD). ELISA data not available for longitudinal patient-1 (diamond). Surrogate viral neutralization test (sVNT) to detect
227 neutralizing antibodies against the wild-type (I) and Omicron (J) spike protein presented as percent binding inhibition. Dotted lines represent the
228 cut-off for a positive result for the antibody tests (F-J). Abbreviations: Ag – antigen, OD – optical density, RBD – receptor-binding domain, sVNT
229 – surrogate viral neutralization test.

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235 **Figure-2: Comparison of T-cell responses across the groups and longitudinal immune responses of the two patients with sampling at acute**
236 **and rebound timepoints.** Absolute T-cell counts compared across groups (A-B). Lines represent median values and points represent individual
237 results. The two-longitudinal patients are identified by an open triangle and open diamond. The empty square represents the COVID-19 rebound
238 patient that did not receive NMV-r. T-cell counts not available for longitudinal patient-2 (triangle) at rebound timepoint. T-cell stimulations were
239 performed with peptide pools corresponding to spike, nucleocapsid, and membrane proteins as listed on the x-axis. Bars represent medians and
240 groups are defined as acute (red), rebound (blue), and late presentations (gray). SARS-CoV-2 specific CD4 T-cell responses are highlighted by
241 memory, cytokine-producing (CD154+IFN γ +, CD154+TNF α + or CD154+IL-2+), activated (CD154+CD69+), or antigen-specific proliferating T-
242 cells (Ki67+) (C-E). For phenotyping of Ki67+ cells, a threshold of at least 20-events and a 2-fold increase over unstimulated cells was used, and
243 samples were excluded if they did not meet these thresholds (E). Serum nucleocapsid antigen (Ag) and C-reactive protein trends from the two
244 longitudinal patients (F-G). T-cell responses and neutralizing antibodies from the acute and rebound presentation for two patients with longitudinal
245 samples (H-I). T-cell responses are from spike (S) and nucleocapsid (N) stimulations. Antigen-specific CD4 T-cells defined by (CD154+CD69+,
246 CD154+IFN γ + and CD154+TNF α +), and neutralizing antibodies represented by percent binding inhibition on the surrogate virus neutralization
247 test (sVNT). Abbreviations: Ag – antigen, S – spike, N – nucleocapsid, Ag-spec – antigen-specific, sVNT - surrogate virus neutralization test, N
248 Ag – nucleocapsid antigen.

