

# A Bayesian network meta-analysis of three different surgical procedures for the treatment of humeral shaft fractures

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## Abstract

**Background:** The optimal surgical procedure for humeral shaft fractures remains a matter of debate. We aimed to establish the optimum procedure by performing a Bayesian network meta-analysis.

**Methods:** PubMed, EMBASE, the Cochrane Library, and Medline were searched for both randomized controlled trials and prospective studies of surgical treatment for humeral shaft fractures. The quality of the included studies was assessed according to the Cochrane Collaboration's "Risk of bias".

**Results:** Seventeen RCTs or prospective studies were included in the meta-analysis. The pooled results showed that the occurrence rate of radial nerve injury was lowest for minimally invasive plate osteosynthesis (MIPO; SUCRA probability, 95.1%), followed by open reduction and plate osteosynthesis (ORPO; SUCRA probability, 29.5%), and was highest for intramedullary nailing (IMN; SUCRA probability, 25.4%). The aggregated results of pairwise meta-analysis showed no significant difference in radial nerve injury rate when comparing ORPO versus IMN (OR, 1.92; 95% CI, 0.96 to 3.86), ORPO versus MIPO (OR, 3.38; 95% CI, 0.80 to 14.31), or IMN versus MIPO (OR, 3.19; 95% CI, 0.48 to 21.28). Regarding the nonunion, SUCRA probabilities were 90.5%, 40.2%, and 19.3% for MIPO, ORPO, and IMN, respectively. The aggregated results of a pairwise meta-analysis also showed no significant difference for ORPO versus IMN (OR, 0.83; 95% CI, 0.41 to 1.69), ORPO versus MIPO (OR, 2.42; 95% CI, 0.45 to 12.95), or IMN versus MIPO (OR, 2.49; 95% CI, 0.35 to 17.64).

**Conclusion:** The current evidence indicates that MIPO is the optimum choice in the treatment of humeral shaft fractures and that ORPO is superior to IMN.

**Abbreviations:** IF = inconsistency factor, IMN = intramedullary nailing, MIPO = minimally invasive plate osteosynthesis, OR = odds ratio, ORPO = open reduction and plate osteosynthesis, PRISMA = Preferred Reporting Items for Systematic Reviews and Meta-Analyses, RCTs = randomized controlled trials, SMD = standardized mean difference, SUCRA = surface under the cumulative ranking curve.

**Keywords:** humeral shaft fracture, intramedullary nailing, minimally invasive plate osteosynthesis, network meta-analysis, open reduction and plate osteosynthesis

Editor: Somchai Amornyoitin.

HQ and ZW are co-first authors.

Disclaimer: The author, their immediate families, and any research foundation with which they are affiliated have not received any financial payments or other benefits from any commercial entity related to the subject of this article.

The authors have no conflicts of interest to disclose.

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Medicine (2016) 95:51(e5464)

Received: 10 August 2016 / Received in final form: 31 October 2016 /

Accepted: 1 November 2016

<http://dx.doi.org/10.1097/MD.0000000000005464>

## 1. Introduction

Humeral shaft fractures are common injuries, making up about 1%–3% of all adult fractures.<sup>[1,2]</sup> Treatment of humeral shaft fractures includes surgery and conservative treatment.<sup>[3]</sup> Conservative treatment frequently leads to malunion and some complications of prolonged immobility such as shoulder and elbow stiffness.<sup>[3]</sup> Currently, surgical treatment is the preferred option, with the most common surgical methods being open reduction and plate osteosynthesis (ORPO), intramedullary nail (IMN), and minimally invasive plate osteosynthesis (MIPO).<sup>[4,5]</sup>

Traditional ORPO can achieve anatomical reduction and rigid internal fixation under direct vision, but the surgical trauma is large, easily leading to wound infection or radial nerve injury and other complications.<sup>[6]</sup> IMN can protect the integrity of the periosteum, reduce soft tissue dissection, and promote fracture healing, but antirotation ability after IMN is poor.<sup>[2,6,7]</sup> MIPO has the advantages of protecting the broken end blood supply, less trauma, and fewer complications, but it is more difficult to reset.<sup>[8]</sup> Since each of these 3 different surgical methods has advantages and disadvantages, there is controversy regarding which represents the best surgical approach.

Many clinical trials have been carried out on the different surgical methods for the treatment of humeral shaft fracture. Traditional meta-analysis can only compare 2 different operation modes, and therefore cannot comprehensively evaluate 2 or more interventions, whereas network meta-analysis is a new statistical method which can be used to compare multiple methods.

Therefore, we performed a Bayesian network meta-analysis to provide more useful information about the utility of different surgical interventions for humeral shaft fractures.

## 2. Methods

### 2.1. Search strategy

We conducted a computerized search of the electronic databases PubMed, EMBASE, Cochrane Library, and Medline until the end of January 2016, according to the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses), for randomized controlled trials and prospective studies comparing different surgical procedures in the treatment of humeral shaft fractures. The keywords used included “humeral shaft fracture,” “plate,” “intramedullary nail,” “minimally invasive osteosynthesis,” “randomized controlled trials,” and “randomized”. Secondary searches of unpublished literature were conducted in Google Scholar and Medical Matrix until the end of January 2016. The references cited in these articles were also reviewed to identify any additional studies not previously identified in the initial literature search. Our study was approved by the Research Ethics Committee at our institution Committee.

### 2.2. Selection criteria

Studies with the following criteria were included: (1) patients: patients who were diagnosed with humeral shaft fracture were included in the study; (2) intervention: ORPO, IMN, and MIPO; (3) comparisons: comparisons between any 2 of the 3 methods were included; (4) outcomes: radial nerve injury and nonunion; (5) study: randomized controlled trials or prospective studies. The exclusion criteria were as follows: (1) duplicates or multiple publications of the same study, retrospective studies or case reports, and (2) study did not report outcomes of interest.

### 2.3. Quality assessment

The quality of the included studies was independently assessed by 2 reviewers (according to the Cochrane Collaboration’s “Risk of bias” and the Newcastle–Ottawa Scale score). The Cochrane Risk of Bias Tool of Review Manager version 5.3 (Copenhagen, Denmark: The Nordic Cochrane Centre, The Cochrane Collaboration) was applied. Appraisal criteria included: random sequence generation, allocation concealment, blinding of participants and personnel, blinding of outcome assessment, incomplete outcome data, selective reporting, and other bias. Each of these factors was recorded as low risk, unclear risk or high risk. Where data were unclear, we contacted authors for clarification, where possible. Disagreements were resolved by third party adjudication.

### 2.4. Data extraction

Two researchers independently extracted and cross-checked data on trials. The decision to include studies was made initially on the basis of the study title and abstract. When a study could not be excluded with certainty at this stage, the full text was obtained for

evaluation. Disagreements were resolved by discussion and, where necessary, in consultation with a third reviewer. Extracted information included the first author, publication year, study design, characteristics of participants, and information to assess the risk of bias. If any data were missing from the trial reports, the reviewers attempted to obtain the data by contacting the authors.

### 2.5. Traditional pairwise meta-analysis

The pairwise meta-analysis was performed completely in Stata 13.0 (Stata Corporation, College Station, Texas). For dichotomous variables, odds ratio (OR) with 95% confidence interval (CI) was calculated. For continuous variables, standardized mean difference (SMD) with 95% CI was calculated. The assessment for statistical heterogeneity was calculated using the  $\chi^2$  statistic and  $I^2$  statistic. If there was no heterogeneity ( $P > 0.05$ ,  $I^2 < 50\%$ ), a fixed effects model was used. Otherwise, a random effects model was used. The outcomes for all direct comparisons were reported.

### 2.6. Bayesian network meta-analysis

A Bayesian network meta-analysis is designed to pool direct and indirect or different indirect outcomes simultaneously. WinBUGS version 1.4.3 (MRC Biostatistics Unit, Cambridge, UK) was used for our Bayesian network meta-analysis using Markov chain Monte Carlo (MCMC) methods. To gain convergence, we performed each MCMC chain with 40,000 iterations and 10,000 burn-in. Thin value was 3. We used the graphical tools in Stata 13.0 (Stata Corporation, College Station, Texas) to present the results of statistical analyses in WinBUGS 1.4.3. Radial nerve injury and nonunion were presented as OR with 95% CI. The results were presented using the surface under the cumulative ranking curve (SUCRA). The SUCRA value was presented as the percentage of the area under the curve, and the higher SUCRA value reflected the better treatment method.

### 2.7. Inconsistency analysis

Disagreement between direct and indirect evidence can suggest that the transitivity assumption might not hold. The inconsistency factors in the closed loop were assessed by the method described by Chaimani et al.<sup>[9]</sup> Inconsistency analysis was presented as a funnel plot.

## 3. Results

### 3.1. Search results

A total of 513 records were reviewed; 346 studies were excluded on the initial review of the title and abstract because they clearly did not match our inclusion criteria. After removing duplicates, 149 records were screened. One RCT was excluded because we could not obtain the full text. Finally, 17 RCTs or prospective studies<sup>[10–26]</sup> met the eligibility criteria and were included in our network meta-analysis. The study selection process and reasons for exclusion are summarized in Fig. 1. The relationship between the interventions in the network meta-analysis is presented in Fig. 2.

### 3.2. Quality assessment and basic information

The quality of the included RCTs was assessed using the Cochrane Collaboration’s “Risk of bias.” The risk of bias

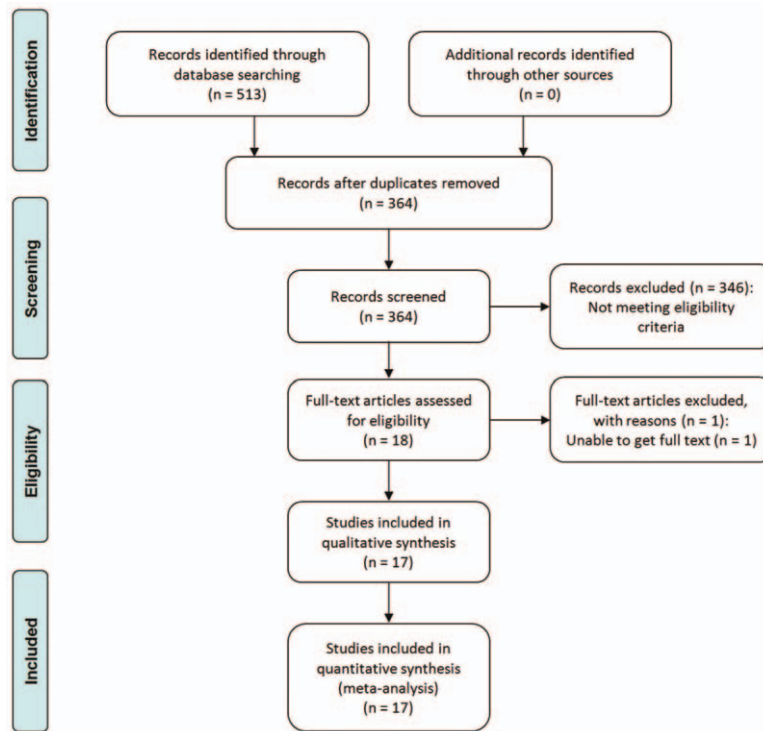


Figure 1. PRISMA 2009 flow diagram. PRISMA = Preferred Reporting Items for Systematic Reviews and Meta-Analyses.

assessment of included studies is given in Figs. 3 and 4. The risk of bias of the included non-RCTs evaluated with the Newcastle–Ottawa Scale score is demonstrated in Table 1. Ten RCTs<sup>[10,11,13,16,18,19,21–23,25]</sup> and 7 prospective studies<sup>[12,14,15,17,20,24,26]</sup> were included, and a summary of their characteristics is presented in Table 2. These studies were published between 2000 and 2015. A total of 815 patients were enrolled in our studies. As described in each study, patients treated by both methods were comparable in terms of gender, side

involved, and injury mechanism. All of the studies involved patients with humeral shaft fractures who were followed up for at least 12 months. All the articles evaluated the clinical efficacy of the different surgical procedures in the treatment of humeral shaft fractures. The sample sizes of the included studies ranged from 30 to 84.

### 3.3. Results of pairwise meta-analysis and network meta-analysis

**3.3.1. Radial nerve injury.** Information on the incidence of radial nerve injury was provided in all 17 studies.<sup>[10–26]</sup> The aggregated results of the pairwise meta-analysis showed no significant difference when comparing ORPO versus IMN (OR: 1.92, 95% CI: 0.96 to 3.86) (Fig. 5), ORPO versus MIPO (OR: 3.38, 95% CI: 0.80 to 14.31) (Fig. 6), and IMN versus MIPO (OR: 3.19, 95% CI: 0.48 to 21.28) (Fig. 7). Similarly, the pooled results of the network meta-analysis showed no significant difference when comparing ORPO versus IMN (OR: 1.44, 95% CI: 0.12 to 6.38), ORPO versus MIPO (OR: 6.34, 95% CI: 0.89 to 26.01), and IMN versus MIPO (OR: 8.82, 95% CI: 0.66 to

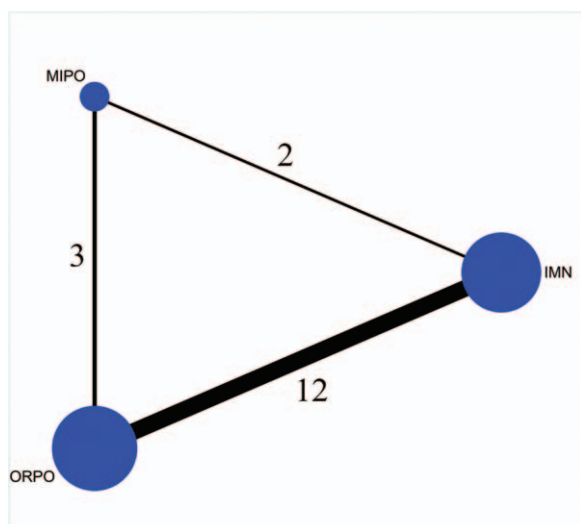


Figure 2. Relationship between the interventions in the network meta-analysis.

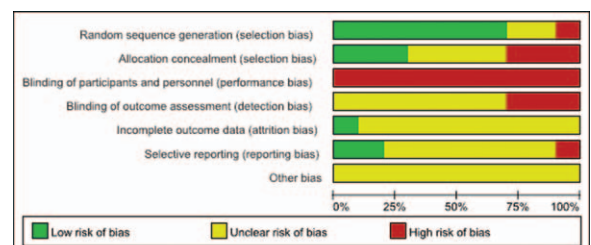


Figure 3. Risk of bias graph.

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Benegas 2014	?	?	+	?	?	?	?
Changulani 2007	+	?	+	+	?	?	?
Chapman 2000	+	+	+	+	+	?	?
Iqbal 2011	+	+	+	+	?	?	?
Kim 2015	+	+	+	?	?	+	?
Li 2011	+	?	+	+	?	+	?
Lian 2013	+	+	+	?	?	?	?
McCormack 2000	+	+	+	?	?	+	?
Putti 2009	?	+	+	?	?	?	?
Wali 2014	+	?	+	?	?	?	?

Figure 4. Risk of bias summary.

Table 1

The Newcastle–Ottawa Scale score of non-RCT.

Study ID	Selection	Comparability	Exposure
Kesemenli et al 2003 <sup>[12]</sup>	☆☆☆☆	☆☆	☆☆
Daglar et al 2007 <sup>[14]</sup>	☆☆☆☆	☆☆	☆☆☆
Raghavendra and Bhalodiya 2007 <sup>[15]</sup>	☆☆☆	☆☆	☆☆
Singiseti and Ambedkar 2010 <sup>[17]</sup>	☆☆☆	☆☆	☆☆
Kumar et al 2012 <sup>[20]</sup>	☆☆	☆☆	☆☆☆
Esmailiejah et al 2015 <sup>[24]</sup>	☆☆	☆☆	☆☆☆
Wang et al 2015 <sup>[26]</sup>	☆☆☆	☆☆	☆☆☆

ranking of the 3 different surgical procedures in terms of the probability of radial nerve injury is shown in Fig. 9.

**3.3.2. Nonunion.** All 17 studies reported the incidence of nonunion; since 3<sup>[18,19,25]</sup> of the studies reported that no nonunion occurred in any group, we analyzed the data of the remaining 14 studies.<sup>[10–17,20–24,26]</sup> The aggregated results of pairwise meta-analysis showed no significant difference when comparing ORPO versus IMN (OR: 0.83, 95% CI: 0.41 to 1.69) (Fig. 10), ORPO versus MIPO (OR: 2.42, 95% CI: 0.45 to 12.95) (Fig. 11), and IMN versus MIPO (OR: 2.49, 95% CI: 0.35 to 17.64) (Fig. 12). Similarly, the pooled results of the network meta-analysis showed no significant difference when comparing ORPO versus IMN (OR: 0.88, 95% CI: 0.34 to 1.88), ORPO versus MIPO (OR: 3.75, 95% CI: 0.57 to 14.03), and IMN versus MIPO (OR: 4.88, 95% CI: 0.63 to 18.96). However, SUCRA probabilities were 40.2%, 19.3%, and 90.5% for ORPO, IMN, and MIPO, respectively (Fig. 13).

**3.4. Inconsistency analysis**

The funnel plot was symmetrical in general, suggesting that publication bias for the included literature was controlled acceptably (Fig. 14). Inconsistency test results showed an inconsistency factor (IF) of 0.69 (95% CI: 0 to 3.23), which implied that there were no small sample study effects in the closed loop of the network meta-analysis (Fig. 15).

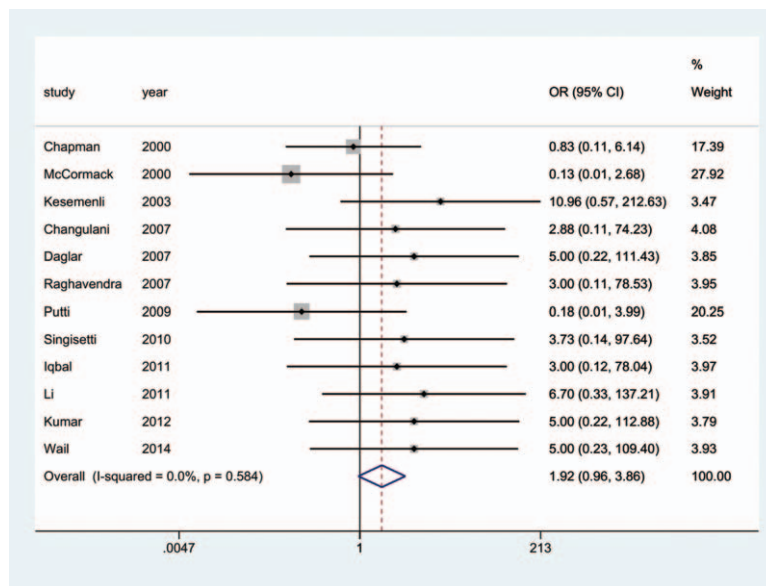
43.12). However, SUCRA probabilities were 29.5%, 25.4%, and 95.1% for ORPO, IMN, and MIPO, respectively (Fig. 8). The

Table 2

Characteristics of the included studies.

Study (year)	Country	Study type	M/F	Age	Comparison	Main outcome	Follow-up (months)
Chapman et al 2000 <sup>[10]</sup>	USA	RCT	51/33	33 (18–83)	ORPO vs. IMN	①②③⑥	13
McCormack et al 2000 <sup>[11]</sup>	Canada	RCT	28/16	44.5 (19–82)	ORPO vs. IMN	①②③⑦	14.3
Kesemenli et al 2003 <sup>[12]</sup>	Turkey	Prospective study	43/17	38 (19–61)	ORPO vs. IMN	①②⑤	42
Changulani et al 2007 <sup>[13]</sup>	India, UK	RCT	39/8	37	ORPO vs. IMN	①②③⑤⑦	12
Daglar et al 2007 <sup>[14]</sup>	Turkey	Prospective study	14/20	36.4 (18–62)	ORPO vs. IMN	①②③④⑧	32
Raghavendra and Bhalodiya 2007 <sup>[15]</sup>	India	Prospective study	32/4	40.53 (18–70)	ORPO vs. IMN	①②③⑤	12
Putti et al 2009 <sup>[16]</sup>	India, UK	RCT	32/2	36 (23–84)	ORPO vs. IMN	①②③⑦	24
Singiseti and Ambedkar 2010 <sup>[17]</sup>	India, UK	Prospective study	28/8	NM (18–63)	ORPO vs. IMN	①②③	12
Iqbal et al 2011 <sup>[18]</sup>	Pakistan	RCT	30/10	28 (15–40)	ORPO vs. IMN	①④	12
Li et al 2011 <sup>[19]</sup>	China	RCT	35/15	37.6 (20–60)	ORPO vs. IMN	①③⑥⑧	12
Kumar et al 2012 <sup>[20]</sup>	India	Prospective study	18/12	45.33 (17–69)	ORPO vs. IMN	①②③⑦⑧	17.5
Lian et al 2013 <sup>[21]</sup>	China	RCT	31/16	38.2 (17–77)	IMN vs. MIPO	①②③④⑤⑥⑦	14.5
Benegas et al 2014 <sup>[22]</sup>	Brazil	RCT	26/14	41.6	IMN vs. MIPO	①②③⑥⑨	12
Wali et al 2014 <sup>[23]</sup>	India	RCT	41/9	37.5	ORPO vs. IMN	①②③④	12
Esmailiejah et al 2015 <sup>[24]</sup>	IR Iran	Prospective study	48/17	34 (15–56)	ORPO vs. MIPO	①②③④⑥⑨	12
Kim et al 2015 <sup>[25]</sup>	Korea	RCT	37/31	42 (15–86)	ORPO vs. MIPO	①②④⑤⑥⑨	15
Wang et al 2015 <sup>[26]</sup>	China	Prospective study	30/15	37.5 (18–60)	ORPO vs. MIPO	①②④⑥⑦⑧	12

F = female, IMN = intramedullary nailing, M = male, MIPO = minimally invasive plate osteosynthesis, NM = not mentioned, ORPO = open reduction and plate osteosynthesis, RCT = randomized controlled trial, vs. versus: ① radial nerve injury, ② nonunion, ③ infection, ④ operation time, ⑤ union time, ⑥ malunion, ⑦ American Shoulder and Elbow Surgeons score, ⑧ Constant score, ⑨ the University of California, Los Angeles score.



**Figure 5.** Forest plot of pairwise meta-analysis for radial nerve injury (ORPO vs. IMN). IMN = intramedullary nailing, ORPO = open reduction and plate osteosynthesis.

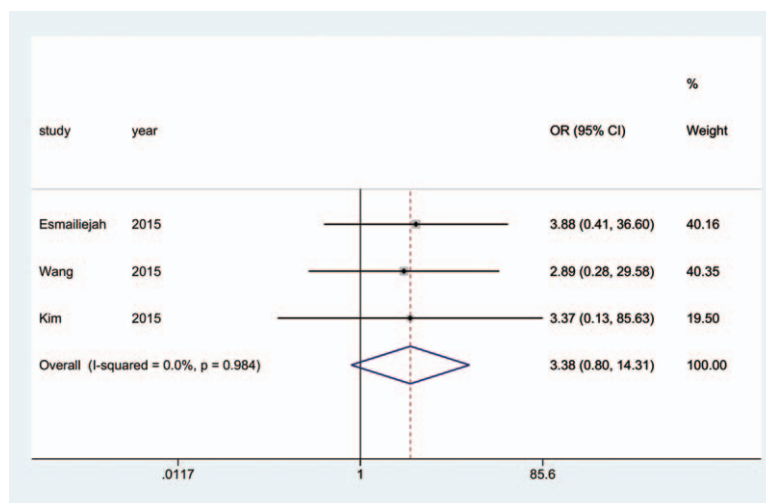
#### 4. Discussion

The optimal surgical procedure for humeral shaft fractures remains a matter of debate. Recently, several meta-analyses of the management of humeral shaft fracture have been published,<sup>[27–30]</sup> but these only focused on the comparison between ORPO and IMN. However, MIPO is playing an increasingly important role in the treatment of humeral shaft fractures.<sup>[21,22,24–26]</sup> Therefore, we considered it necessary to perform a Bayesian network meta-analysis to compare all 3 methods.

Regarding the incidence of radial nerve injury and nonunion, both the aggregated results of the pairwise meta-analysis and the Bayesian network meta-analysis showed no significant difference between any 2 of the 3 treatments based on OR values. However,

the results of SUCRA ranking suggested that MIPO had the lowest probability of radial nerve injury and nonunion than ORPO and IMN. In addition, ORPO had a lower probability of radial nerve injury and nonunion than IMN. Hence, we concluded that MIPO is the optimum choice in the treatment of humeral shaft fractures.

At present, MIPO for humeral shaft fractures involves both an anterior and a posterior approach. Vilaca and Uezumi<sup>[31]</sup> suggested that the anterior approach is more secure since the plate is placed in front of the humerus in a fixed humeral shaft fracture; the front of the humerus is smoother because there are no major blood vessels and nerves passing through it, and also the anterior approach has the advantage of not needing to reveal the radial nerve. Therefore, it is unlikely to cause iatrogenic radial



**Figure 6.** Forest plot of pairwise meta-analysis for radial nerve injury (ORPO vs. MIPO). MIPO = minimally invasive plate osteosynthesis, ORPO = open reduction and plate osteosynthesis.

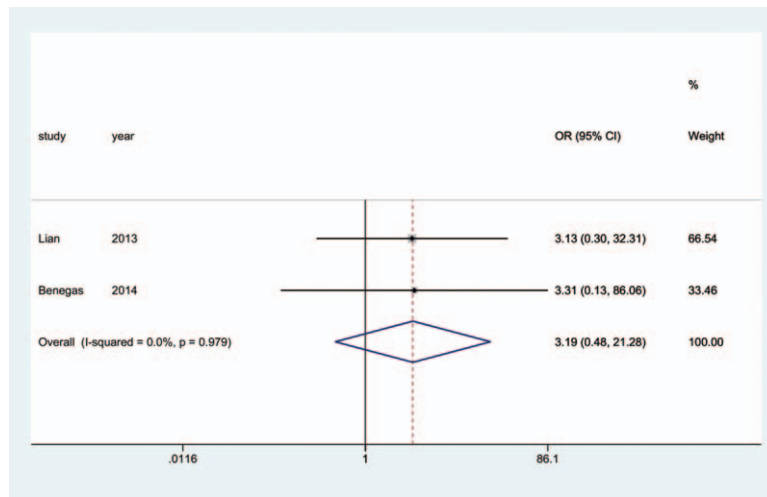


Figure 7. Forest plot of pairwise meta-analysis for radial nerve injury (IMN vs. MIPO). IMN = intramedullary nailing, MIPO = minimally invasive plate osteosynthesis.

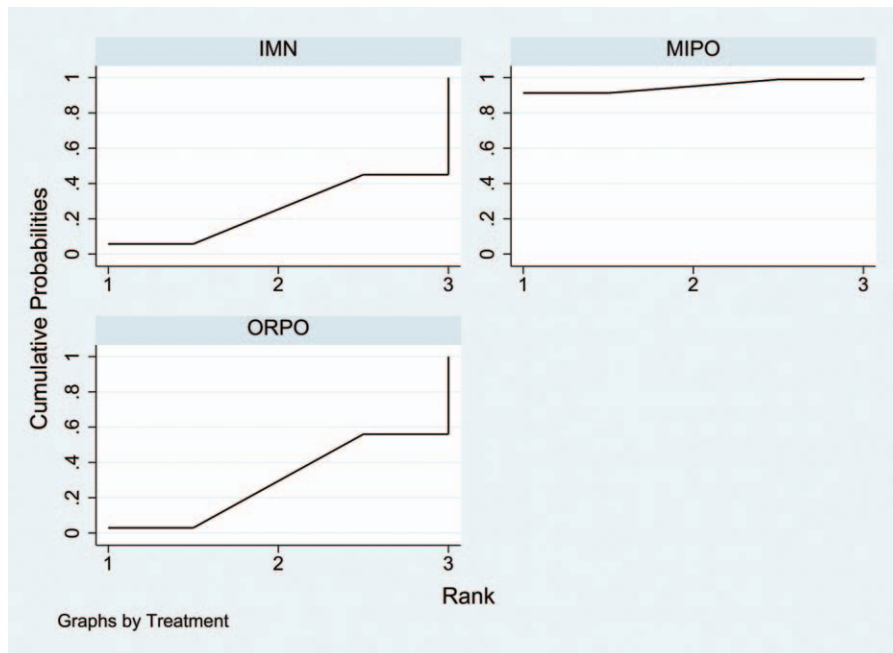


Figure 8. Surface under the cumulative ranking curve for radial nerve injury.

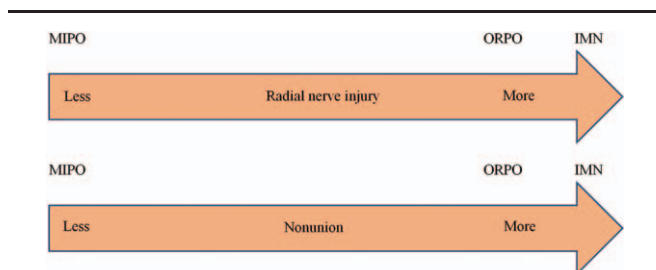


Figure 9. Ranking of treatments in terms of radial nerve injury and nonunion.

nerve injury. The studies<sup>[21,22,24–26]</sup> included in the Bayesian network meta-analysis all used this anterior approach to fix the humeral shaft fracture.

We did not evaluate the postoperative shoulder joint function because the evaluation indicators used in the studies were not uniform. Although most<sup>[11,13,14,16,19,21–23,25]</sup> of these studies evaluated the shoulder joint function after operation, they used different scoring systems, such as the American Shoulder and Elbow Surgeons (ASES) score, the Constant score, the University of California, Los Angeles (UCLA) shoulder scale, and so on. Some RCTs<sup>[11,13,14,16,19,23]</sup> have compared the shoulder joint function of ORPO and IMN in the treatment of humeral shaft fracture with different shoulder scores. Their results showed that

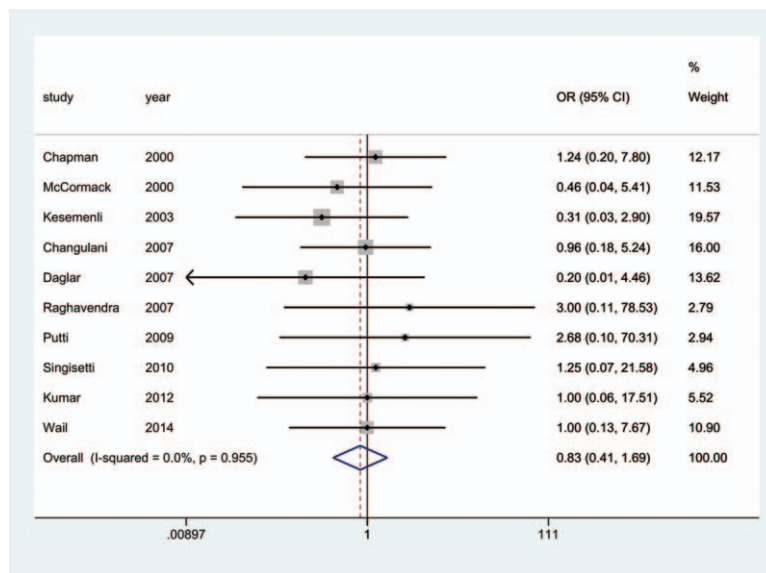


Figure 10. Forest plot of pairwise meta-analysis for nonunion (ORPO vs. IMN). IMN = intramedullary nailing, ORPO = open reduction and plate osteosynthesis.

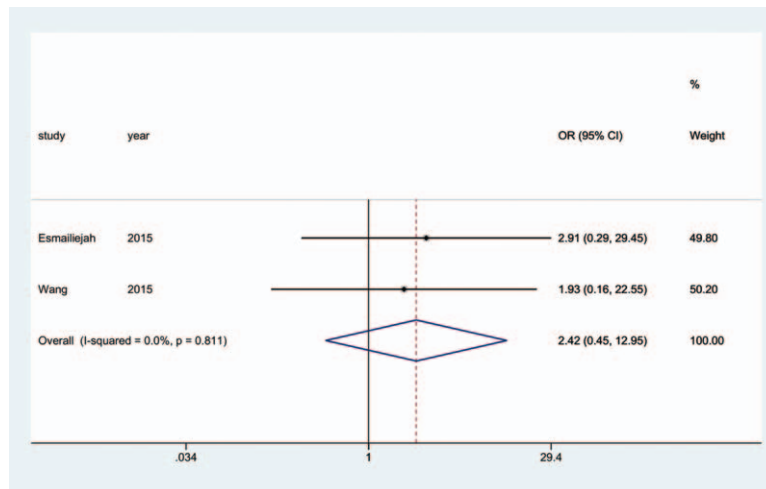


Figure 11. Forest plot of pairwise meta-analysis for nonunion (ORPO vs. MIPO). MIPO = minimally invasive plate osteosynthesis, ORPO = open reduction and plate osteosynthesis.

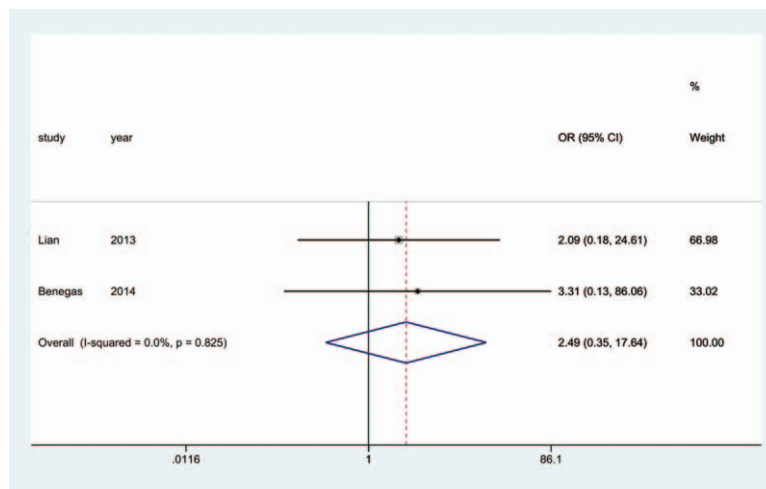


Figure 12. Forest plot of pairwise meta-analysis for nonunion (IMN vs. MIPO). IMN = intramedullary nailing, MIPO = minimally invasive plate osteosynthesis.

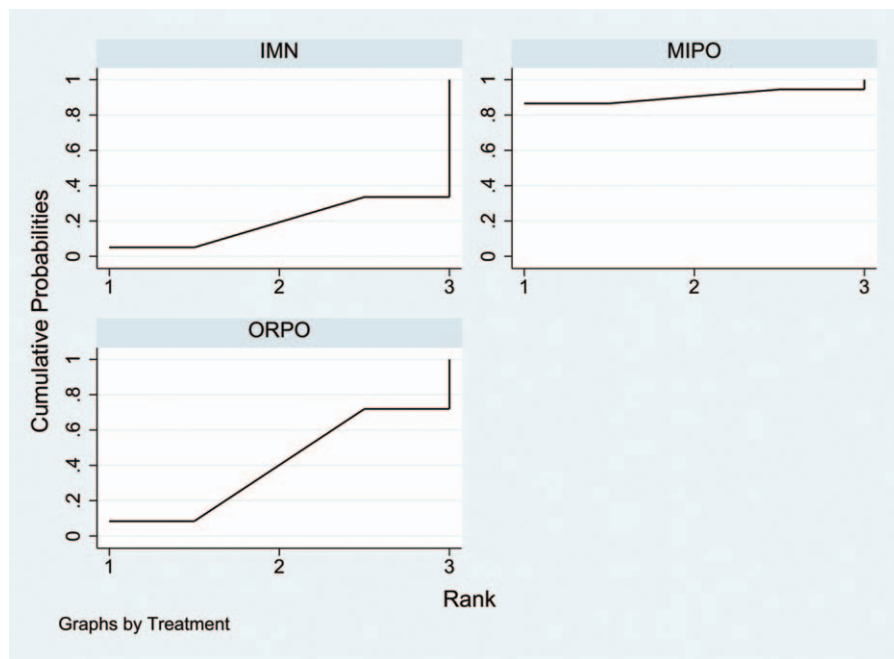


Figure 13. Surface under the cumulative ranking curve for nonunion.

the effect of ORPO was similar to IMN on postoperative shoulder function. A meta-analysis of 8 RCTs and 2 quasi-RCTs by Ma et al<sup>[28]</sup> also supports this conclusion. An RCT by Benegas et al<sup>[22]</sup> evaluated the shoulder joint function after MIPO and IMN for the treatment of humeral shaft fracture, and found no significant difference between the 2 groups ( $P=0.98$ ). However, Lian et al<sup>[21]</sup> suggested that the shoulder joint function score after MIPO was significantly higher than that after IMN ( $P < 0.001$ ). An RCT by Kim et al<sup>[25]</sup> evaluated shoulder joint function after MIPO and IMN in the treatment of humeral shaft fracture, and found no significant difference between the 2 groups ( $P=0.264$ ). Therefore, we concluded that the 3 different surgical procedures for the treatment of humeral shaft fractures can obtain similar shoulder joint function. But the results of 2 traditional meta-analyses<sup>[27,29]</sup> showed that IMN may cause more method-related complications and shoulder impingement than ORPO. There-

fore, we suggest that ORPO and MIPO are superior to IMN for humeral shaft fractures in regard to shoulder joint function, whereas, on the basis of current evidence, both OPRP and MIPO can achieve a similar treatment effect on humeral shaft fractures.

The present meta-analysis has potential limitations. First, different studies used different inclusion and exclusion criteria and follow-up time, which possibly created some of the heterogeneity we observed among trials. Second, different studies use different evaluation methods, and results of a future comparison would be more convincing if more RCTs use the same evaluation methods. Third, the qualities of the recruited studies were quite different. Some studies demonstrated adequate randomization, but others had incomplete random sequence generation, weak blinding, or imperfect allocation concealment. This limitation might be resolved by an updated Network meta-analysis restricted to high-quality studies, once sufficient become available.

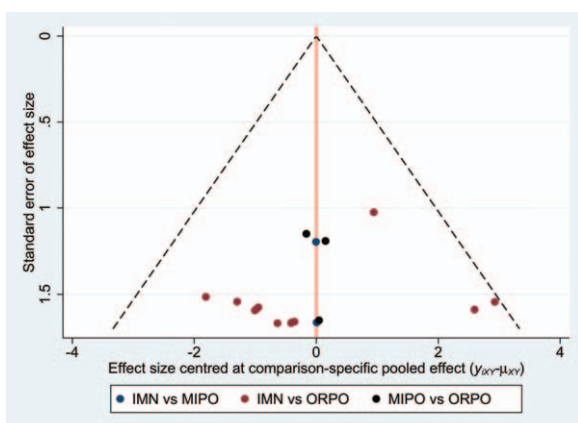


Figure 14. Funnel plot of this Bayesian network meta-analysis.

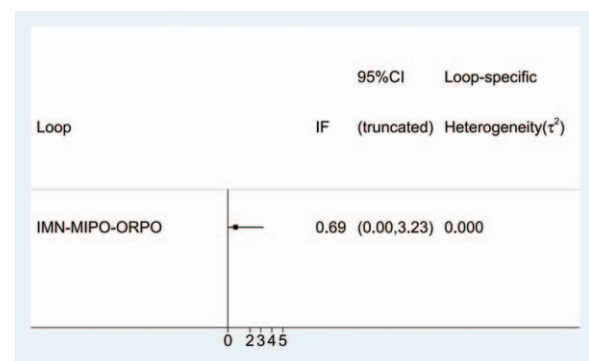


Figure 15. Inconsistency plot of this network meta-analysis.



## 5. Conclusion

In summary, our network meta-analysis suggests that MIPO is the optimum choice in the treatment of humeral shaft fractures and that ORPO is superior to IMN. Some traditional meta-analyses<sup>[27–30]</sup> and systematic reviews<sup>[6,32]</sup> indicated that both ORPO and IMN can achieve similar fracture union with a similar incidence of radial nerve injury, whereas IMN was associated with an increased risk of shoulder impingement, more restriction of shoulder movement, a higher incidence of implant failure, and so on. They support our conclusion that ORPO is superior to IMN for the treatment of humeral shaft fractures. Due to the limited numbers of patients included in the literature, there is still a need for more well-designed, high-quality studies to further verify this conclusion.

## Acknowledgments

We thank LetPub ([www.letpub.com](http://www.letpub.com)) for its linguistic assistance during the preparation of this manuscript.

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