

ORIGINAL ARTICLE

Nasopharyngeal viral PCR in immunosuppressed patients and its association with virus detection in bronchoalveolar lavage by PCR

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ABSTRACT

Background and objective: Pulmonary infiltrates are common in immunosuppressed patients. Bronchoscopy with bronchoalveolar lavage (BAL) is often used to evaluate their aetiology. However, it may not always be easily performed. Thus, alternative diagnostic strategies may be needed. There is limited data on the correlation of nasopharyngeal (NP) respiratory viral panel (RVP)-PCR testing compared with BAL. We aimed to identify the predictive value of NP RVP-PCR samples compared with samples obtained from BAL in immunosuppressed patients with pulmonary infiltrates.

Methods: We conducted an observational retrospective study of immunosuppressed adults who underwent bronchoscopy in the Pulmonary Department at the University of Rochester Medical Center between January 2011 and June 2016. We compared the positive and negative predictive values, sensitivity, specificity and false negative rate of NP RVP-PCR and BAL RVP-PCR, as well as identified clinical predictors of positive viral BAL RVP-PCR.

Results: Eighty-nine immunosuppressed patients had both NP and bronchoalveolar RVP-PCR testing. Twentyone patients had NP(+)BAL(+) RVP-PCR testing. Seven patients had false negative (NP(-)BAL(+)) RVP-PCR testing. Three patients had NP(+)BAL(-) RVP-PCR testing. The positive and negative predictive values of NP RVP-PCR testing were 88% and 89%, respectively. Allogeneic bone marrow transplantation and testing performed in the winter and spring months were significantly associated with positive BAL RVP-PCR (OR = 3.3 (1.19-9.12); OR = 4.62 (1.64-12.99), respectively).

Conclusion: NP RVP-PCR testing has high concordance with testing performed on BAL samples. Repeat testing through BAL is beneficial when there is high concern for viral infection after initial NP RVP-PCR testing is negative.

SUMMARY AT A GLANCE

There are limited data on nasopharyngeal (NP) testing compared with bronchoscopy in immunosuppressed patients. NP PCR testing has a false negative rate of 8%, positive predictive value of 88% and negative predictive value of 89%.

Key words: immunodeficiency, nasopharyngeal, pneumonia, viral infection.

Abbreviations: AIDS, acquired immune deficiency syndrome; BAL, bronchoalveolar lavage; BMT-Allo, allogeneic bone marrow transplantation; CTD, connective tissue disease; Heme, haematological malignancy; HIV, human immunodeficiency virus; ICU, intensive care unit; NP, nasopharyngeal; OR, odds ratio; PCR, polymerase chain reaction; PJP, *Pneumocystis jiroveci pneumonia*; RSV, respiratory syncitial virus; RVP, respiratory viral panel; SOT, solid organ transplant.

INTRODUCTION

Respiratory infections in immunosuppressed patients account for significant morbidity and mortality.^{1,2} Immunosuppression and co-morbid disease predispose patients to pulmonary infiltrates from infectious and non-infectious aetiologies.¹ Excluding infection is important in order to improve management of non-infectious aetiologies. Bronchoscopy with bronchoal-veolar lavage (BAL) has improved the ability to detect infection in immunosuppressed patients,^{2,3} but the timing and frequency of its use is often subject to variable institutional policies. Patients who are too ill to undergo a BAL require alternative diagnostic strategies.

Immunosuppressed patients are at increased risk for more severe disease from respiratory viruses.^{4–6} The incidence of lower respiratory tract viral infections is difficult to accurately identify because of varying diagnostic techniques, seasonal and geographic variations in viral infection,^{7,8} the lack of specific symptoms and radiographical findings,⁹ and the fact that many viruses are difficult to culture ex vivo.¹⁰ Multiplex PCR testing

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Received 16 November 2016; invited to revise 15 January 2017; revised 20 February 2017; accepted 20 February 2017 (Associate Editor: James Chalmers).

can identify up to 15 respiratory viruses and three atypical bacteria.⁴ The detection rate of symptomatic lower respiratory tract viral infections on BAL fluid has been reported to be as low as 3% using culture¹¹ and up to 12% via PCR.¹² Importantly, identification of respiratory viruses on PCR does not always indicate active infection. There is often some uncertainty in the clinical significance of detection of certain viruses, such as rhinovirus, in the lower respiratory tract.¹³

Nasopharyngeal (NP) respiratory viral panel-PCR (RVP-PCR) can non-invasively detect viral infections.¹⁴ There are sparse data on the ability of NP RVP-PCR to identify lower respiratory tract disease in immunosuppressed patients. Two studies reported differing false negative NP rates, 3 of 72 (4%) patients¹⁵ compared with 17 of 81 (21%) patients.¹⁶ Many clinical decisions, including antibiotic choices and whether to pursue BAL are based on initial NP RVP-PCR testing. Therefore, it is important to know the testing characteristics of NP RVP-PCR and its ability to identify lower respiratory tract disease.

In this study, we aimed to better characterize NP RVP-PCR in comparison with BAL RVP-PCR testing by determining positive and negative predictive values, sensitivity, specificity and the false negative rate.¹⁷ We also determined whether there were any clinical variables that were significantly associated with a positive BAL RVP-PCR as well as determine the importance of the seasonal variability on RVP-PCR testing. Finally, we evaluated whether additional pathogens were identified in BAL fluid in patients who had NP testing.

METHODS

Patient testing

We conducted a retrospective chart review that evaluated immunosuppressed adults who underwent bronchoscopy in the Pulmonary Department at the University of Rochester Medical Center between January 2011 and June 2016. Institutional review board approval was obtained. Patients were included if they had a paired NP swab performed within 7 days prior to bronchoscopy. All patients underwent BAL because of concern for lower respiratory tract infection based on imaging and symptoms. NP and BAL samples were analysed using FilmArray (Biofire Diagnostic Inc, Salt Lake City, UT, USA) multiplex PCR which detects adenovirus, coronavirus (four strains), human metapneumovirus, rhinovirus/enterovirus, influenza A (three strains), influenza B, respiratory syncytial virus (A and B), parainfluenza 1-4, Mycoplasma pneumoniae, Chlamydia pneumoniae and Bordetella pertussis. Accepted culturing and PCR techniques were performed in our microbiology laboratory for bacterial, fungal and viral identification.

Demographic, clinical and microbiological data were collected. Characteristics thought to be associated with viral infection included fever, cough, dyspnoea, hypoxia, leucopenia, lymphopenia and ground glass opacities on imaging (oedema).¹⁸ Immunosuppression categories were defined as HIV/AIDS, haematological malignancy, solid organ transplantation or other immunosuppression (immunosuppressive chemotherapy, prednisone 40 mg daily, or two immunosuppressant agents). We defined winter and spring months as December through May for analysis of seasonal variability.

Statistical analysis

Positive predictive value, negative predictive value, sensitivity, specificity and the false negative rate were calculated by comparing RVP-PCR testing on NP and BAL samples. Linear and logistic regression analyses were performed to determine whether associations existed between clinical variables and BAL RVP-PCR results. Multivariable analyses were performed using a manual stepwise addition of independent variables. All analyses were performed using SAS version 9.4 (SAS Institute Inc., Cary, NC, USA) with statistical significance defined as P < 0.05.

RESULTS

Characteristics

Three hundred immunosuppressed patients underwent bronchoscopy between January 2011 and June 2016. Eighty-nine patients had both NP and BAL RVP-PCR testing. Radiographical abnormalities were present in all the patients and seventy-eight patients had either cough or shortness of breath. Eleven patients had fever without respiratory symptoms. The median duration of time from symptom onset to NP testing was 3 days (range: 0–21 days) and the median of time duration from NP testing to BAL was 2 days (range: 0–7 days). Demographic and clinical characteristics are shown in Table 1. Seven different virus strains were identified in 31 patients (35% positivity) (Table 2). Of the four patients with influenza virus detected on BAL, three had received the influenza vaccine.

Positive and negative predictive values, sensitivity, specificity and false negativity

The positive and negative predictive values of NP RVP-PCR for concordant BAL RVP-PCR testing were 88% and 89%, respectively (Table 2). The sensitivity and specificity of NP RVP-PCR testing were 75% and 95%, respectively. The false negative rate of NP RVP-PCR was only 8%.

Concordant NP and BAL RVP-PCR testing

Of the 89 patients who had paired NP-BAL RVP-PCR testing, 21 had concordant NP-positive BAL-positive (NP(+)BAL(+)) PCR testing. Fifty-eight patients had concordant NP(-)BAL(-) RVP-PCR testing. The total concordance was 89% (Table 2). Viruses detected by PCR are listed in Table 2. Patient characteristics for positive results are listed in Table 2.

Discordant NP and BAL RVP-PCR testing

Ten patients had discordant tests. Seven (8%) patients had samples that were NP test negative but BAL test positive (NP(-)BAL(+)). The viruses identified only on BAL testing are also listed in Table 2. Five of the patients had haematological malignancy and two had

 Table 1
 Patient and disease characteristics

Characteristics	<i>n</i> = 89
Underlying disease	
Haematological malignancy	57 (64%)
Bone marrow transplantation	23 (40%)
Allogeneic	21 (37%)
Autologous	2 (3%)
Leukaemia	21 (37%)
Lymphoma	10 (18%)
Multiple myeloma	3 (5%)
HIV/AIDS	6 (7%)
Other immunosuppression	16 (18%)
Solid organ transplant	10 (11%)
Demographics	
Median age (years, range)	60 (21–81)
Male	52 (58%)
Caucasian	75 (84%)
Any smoking history	37 (42%)
Received influenza vaccine prior to	38 (43%)
bronchoscopy	
Clinical	
Cough	50 (56%)
Shortness of breath	66 (74%)
Hypothermia (<36°C)	3 (4%)
Hyperthermia (>38°C)	20 (22%)
Hypoxia (>2 /min)	48 (53%)
l ymphocyte count	0.5 (0-5.7)
Neutropenia	26 (29%)
Complications after bronchoscopy	11 (12%)
Location of patient	
Inpatient	83 (93%)
Floor	58 (70%)
Intubated (ICU)	25 (30%)
Outpatient	6 (7%)
Badiograph	0 (1 /0)
Chest X-ray abnormalities $(n = 82)$	69 (84%)
Computerized tomography $(n = 80)$	
Consolidation	35 (44%)
Ground glass	41 (51%)
Nodules	34 (43%)
Adenonathy	9 (10%)
Bronchoscopy indication	0 (10 /0/
Cavitary lesion	1 (1%)
Consolidation	1 (1%)
Cough	1 (1%)
Diffuse infiltrate	61 (69%)
Eocal infiltrate	17 (19%)
Mass	1 (1%)
Nodules	7 (8%)
Median duration between symptoms and NP	3 (0_21)
tests (days)	5 (0-21)
Median duration between NP and BAL tests (days)	2 (0–7)

BAL, bronchoalveolar lavage; ICU, intensive care unit; NP, nasopharyngeal.

other immunosuppression. Three patients (3%) had samples that were NP(+)BAL(-), with coronavirus found twice and rhinovirus once. One patient had a haematological malignancy, one had a solid organ transplant and one had other immunosuppression. Influenza was missed on NP testing in two patients who had received allogeneic stem cell transplants. Only one had received the influenza vaccine prior to bronchoscopy. Rapid influenza testing was only checked in the patient who did not receive the vaccine and was negative.

Clinical variables associated with a positive BAL RVP-PCR sample

Unadjusted logistic regression analyses showed that allogeneic bone marrow transplantation and testing performed between December and May were significantly associated with increased odds of having a positive viral BAL RVP-PCR (OR = 3.3, 95% CI: 1.19-9.12; and OR = 4.62, 95% CI: 1.64-12.99, respectively) (Table 3). No associations were identified between positive BAL RVP-PCR and other groups or subsets of immunosuppression or other clinical variables (Table 3). Multivariable logistic regression analyses demonstrated that both season and allogeneic bone marrow transplantation were independently associated with increased odds of having a positive viral BAL RVP-PCR (OR = 3.73, 95% CI: 1.23-11.29; OR = 5.03, 95% CI: 1.70-14.86, respectively).

Viral testing and BAL positivity based on the month

Forty-nine patients had paired RVP-PCR testing performed between December and May. Twenty-two (45%) were BAL RVP-PCR positive (see Figs S1, S2, Supplementary Information, for testing positivity and viruses detected by month). Six had negative NP RVP-PCR testing. Forty paired tests were performed between June and November. There were six (15%) positive BAL RVP-PCR tests. One of these patients had a negative NP RVP-PCR test.

Organisms isolated from BAL

There was no difference in the detection of non-viral organisms found on BAL between the positive or negative NP RVP-PCR groups (P = 0.48 for bacteria and P = 0.60 for fungal species) (Table 4). The NP-positive group had three patients (12.5%) in which five bacterial species were isolated, whereas the NP-negative group had five patients (8%) in which six bacterial species were isolated. In patients who had positive NP RVP-PCR testing, six patients (25%) had fungal species identified on BAL testing. In patients (31%) had fungal species identified on BAL testing.

DISCUSSION

Immunosuppressed patients are at risk for severe disease from respiratory viral infections.^{4–6} Multiplex PCR has increased the detection of viruses but its role in the management of immunosuppressed patients remains unclear. Our study was designed to better characterize the role of RVP-PCR in the diagnosis of viral infections in this vulnerable population. We found that RVP-PCR

Table 2	Results of respiratory viral PCR testing on
paired B.	AL and NP fluid samples

Paired sampling $(n = 89)$	
Concordant paired NP(+)/BAL(+)	21
Concordant paired NP(-)/BAL(-)	58
Concordance	89%
Discordant NP(+)/BAL(-)	3
Discordant NP(–)/BAL(+)	7
Sensitivity	75%
Specificity	95%
Positive predictive value	88%
Negative predictive value	89%
Underlying disease in positive samples $(n = 31)$	
Haematological malignancy	20
Allogeneic bone marrow transplantation	12
Acute myelogenous leukaemia	2
Chronic lymphocytic leukaemia	2
Lymphoma	3
Multiple myeloma	1
HIV/AIDS	1
Other immunosuppression	5
Crohn's disease	1
Rheumatoid arthritis	1
Sjogrens syndrome	1
Systemic lupus erythematosus	2
Solid organ transplant	5
Paired positive viruses $(n = 21)^{\dagger}$	
Adenovirus	1
Coronavirus	3
Influenza A virus	2
Human metapneumovirus	1
Parainfluenza virus	2
Respiratory syncytial virus	4
Rhinovirus	8
NP($-$)BAL($+$) viruses ($n = 7$)	
Adenovirus	1
Coronavirus	3
Influenza A virus	2
Rhinovirus	1
NP(+)BAL(-) viruses ($n = 3$)	
Coronavirus	2
Rhinovirus	1

[†]Fourteen haematological malignancies, four solid organ transplants, two other immunosuppression and one HIV/AIDS. BAL, bronchoalveolar lavage; NP, nasopharyngeal.

testing on NP samples has a high positive and negative predictive value of 88% and 89%, respectively, compared with BAL RVP-PCR testing. The overall concordance of NP and BAL RVP-PCR testing was 89% with a low false negativity rate of 8%. This suggests that NP RVP-PCR testing is sufficient in diagnosing or excluding the most lower respiratory tract viral infections, and could be helpful when a patient is clinically unstable and cannot undergo bronchoscopy.

There are limited data comparing positivity from multiplex PCR testing performed on both NP and BAL samples in immunosuppressed patients. Hakki *et al.*¹⁵ reported detection of viruses in 25 of 72 patients (19 NP(+)BAL(+), 3 NP(+)BAL(-) and 3 NP(-)BAL(+))

vielding an overall positivity rate of 35%. Azadeh et al.¹⁶ detected viruses in 35 of 81 patients (15 NP(+) BAL(+), 3 NP(+)BAL(-) and 17(NP(-)BAL(+)) yielding an overall positivity rate of 43%. In this study, M. pneumoniae was only detected once in BAL fluid. The overall concordance of NP and BAL testing reported by Hakki et al.¹⁵ was significantly higher (92%) than that reported by Azadeh et al. (77%).¹⁶ However, in the subgroup of immunosuppressed patients (n = 61) reported by Azadeh *et al.*¹⁶ the concordance was 82%, closer to the concordance rate reported by Hakki et al.¹⁵ Our data show similar rates of viral positivity to both of the studies mentioned above. In our study, 31 of 89 patients (35%) had positive viral PCR testing with an overall concordance of 89%. We did not identify any of the atypical bacteria using PCR.

The number of false negative NP RVP-PCR testing (NP(-)BAL(+)) in our population was 7 patients (8%) which was significantly lower than the 17 patients (21%) reported by Azadeh et al.¹⁶ The high false negative rate may have been due to several variables including the population of non-immunosuppressed patients, poor swabbing and handling technique, lower NP viral shedding, higher viral levels in the lower respiratory tract, seasonal variability of virus detection, treatment with neuraminidase inhibitors prior to testing for influenza or the presence of viral mutations.¹⁹ If the majority of testing occurred in the summer and fall when there are fewer viruses circulating, there would be less of a chance for a virus to be found on BAL. We used the same PCR testing as Azadeh et al.¹⁶ with FilmArray (BioFire Diagnostics Inc), yet our findings were more similar to Hakki et al.15 (4% false negative) who used xTAG analyser. This suggests that the brand of PCR testing does not necessarily influence the rate of PCR positivity.

In our study, influenza was diagnosed four times through BAL. In two patients, influenza was detected on BAL but not NP testing. Azadeh *et al.*¹⁶ also reported four patients in whom influenza was detected on BAL but not on NP testing. This study, however, does not provide clinical or demographic data on these patients. The two patients in our study who had NP(–)BAL(+) influenza had symptoms for less than 2 days and viral shedding in the upper respiratory tract should have been present.²⁰ False negative NP testing could again be due to variables mentioned above. The reason for the negative NP influenza testing in our patients remains unclear.

Another important factor not addressed in the prior studies is the impact of influenza vaccination, which is recommended in immunosuppressed patients. Influenza vaccination could potentially explain lower rates of viral shedding and thus false negative NP testing. Conversely, immunosuppressed patients are at risk for reduced immunogenicity²¹ and thus may not be adequately protected from influenza infection. Three of the four patients diagnosed with influenza on BAL in our study had received the influenza vaccine prior to bronchoscopy. This finding would suggest that a high clinical suspicion for influenza should be maintained regardless of their immunization status and/or negative NP swab PCR testing.

Table 3 Univariate and multivariate analyses of associations with RVP-positive I	3AL
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	OR	95% CI	<i>P</i> -value
Univariate analysis			
Allogeneic bone marrow transplantation	3.30	1.20–9.12	0.02
Haematological malignancy	1.28	0.47-3.30	0.61
HIV/AIDS	0.42	0.05–3.73	0.43
Other immunosuppression	0.68	0.20-2.33	0.54
Solid organ transplant	1.53	0.40-5.91	0.54
December-May	4.62	1.64–12.99	0.004
Fever	0.34	0.10-1.12	0.08
Ground glass opacities	2.40	0.87-6.90	0.09
Нурохіа	0.57	0.23–1.44	0.23
Intubation	0.59	0.22-1.55	0.28
Lymphocyte count	1.30	0.87–1.94	0.20
Neutropenia	1.78	0.63–5.10	0.20
Age (years)	0.99	0.96-1.02	0.51
Diabetes	1.10	0.41-2.99	0.85
Gender	0.87	0.35–2.16	0.76
Hypertension	1.50	0.60-3.80	0.36
Smoking history	1.08	0.44-2.67	0.87
Multivariate analysis			
Allogeneic bone marrow transplantation	3.73	1.23–11.29	0.019
December–May	5.03	1.70–14.86	0.004

BAL, bronchoalveolar lavage; CI, confidence interval; RVP, respiratory viral panel.

NP RVP-PCR	Immunosuppression	Bacteria	Fungal species
Corona [†]	SOT	Pseudomonas aeruginosa Nocardia asteroides	
Influenza A	SOT		Aspergillus species
Metapneumovirus	SOT		Candida species
Rhinovirus	BMT-Allo	Staphylococcus aureus Stenotrophomonas maltophilia	
Rhinovirus	BMT-Allo	Mycobacterium gordonae	Candida species
Rhinovirus	CTD		Candida species
RSV	BMT-Allo		Candida species
RSV	SOT		PJP PCR
-	AIDS (3) [‡]		PJP PCR (3) [‡]
-	BMT	Alpha haemolytic <i>Streptococcus</i>	
-§	BMT	Staphylococcus aureus	
-	BMT	Tuberculosis mycobacterium	Aspergillus species
-	CTD		Histoplasmosis capsulatum
-	CTD	Haemophilus influenzae	PJP PCR
-	Heme (5) [‡]		PJP PCR (5) [‡]
-	Heme	Actinomyces israelii	Candida species
-	Other-cancer (4) ‡	-	PJP PCR (4) [‡]

 Table 4
 Organisms isolated from BAL stratified by NP PCR positivity

Overall, 11 bacteria and 26 fungal samples were detected in BAL fluid. In patients who were NP positive, five bacterial species were identified in three patients and six fungal species were identified in six patients. In patients who were NP negative, 6 bacterial species were identified in five patients and 16 fungal species, 13 being pneumocystis, were identified in 16 patients. Four NP (–) with *Candida* species only on culture were excluded from the table. The NP-positive group had two patients (rhinovirus and coronavirus) culture cytomegalovirus. The NP-negative group had three patients who were cytomegalovirus positive on viral culture and two patients who were positive for herpes simplex type 1 on viral culture. *Candida* species were not thought to be pathologic in any of our patients.

[†]Only NP-positive sample without concordant BAL(+) RVP-PCR.

*Numbers in parenthesis indicate the number of patients.

[§]BAL(+) RVP-PCR for influenza.

BAL, bronchoalveolar lavage; BMT-Allo, allogeneic bone marrow transplantation; CTD, connective tissue disease; Heme, haematological malignancy; NP, nasopharyngeal; PJP, *Pneumocystis jiroveci pneumonia*; RSV, respiratory syncytial virus; RVP, respiratory viral panel; SOT, solid organ transplant. We observed an increase in the detection of viruses between December and May (45%) and lower detection (15%) in the summer and fall months. During winter, NP viral PCR testing is less helpful at ruling out viral infections as five out of seven NP(–)BAL(+) tests were performed on samples obtained between February and April. Therefore, bronchoscopy with repeat viral testing should be strongly considered in the case of a negative NP RVP-PCR test performed in the winter or spring months.

Co-pathogens are common in immunosuppressed patients with pulmonary infiltrates.²² Hakki et al.¹⁵ reported six patients (8%) who had a positive BAL RVP-PCR and also had additional pathogens detected, five bacteria and one galactomannan, suggestive of invasive aspergillosis.²³ Azadeh et al.¹⁶ reported seven immunosuppressed patients (11%) who had a positive NP PCR who also had additional pathogens detected in BAL samples. Here, we report eight patients (9%) who had a positive NP PCR test who also had additional pathogens isolated from BAL samples (Table 4). These findings suggest bronchoscopy should be considered even in patients with positive RVP-PCR from NP sampling. Our data also support the use of bronchoscopy in patients with negative NP RVP-PCR testing as additional pathogens were identified in BAL fluid.

We acknowledge that our study has limitations inherent to observational studies. There is a small sample size within each group, which limits our statistical power. We had no way to standardize the timing of when samples were collected relative to symptom onset or why patients underwent repeat testing, as there was no protocol at our institution for timing or work-up of immunosuppressed patients with pulmonary infiltrates. There is the possibility that NP sample collection was not adequate, which could have contributed to the false negative rate. Lastly, BAL return was highly variable which also may have affected viral PCR positivity.

In conclusion, viral PCR testing performed on samples obtained from the nasopharynx has a high concordance with viral PCR testing performed on BAL samples with a low false negative rate. However, NP testing is heavily reliant on proper collection technique and has variability in the detection of certain viruses. Non-invasive testing using NP RVP-PCR should be used if bronchoscopy is unable to be performed and is a reliable test both for diagnosing and excluding viral infections. Relying on the negative predictive value of NP viral PCR in patients with low clinical suspicion of infection could lead to cost savings by avoiding duplicate RVP testing. However, BAL remains an important tool in the evaluation of infiltrates in immunosuppressed patients, particularly during the winter and spring months because of discordance in testing and the high rates of co-infection. Ultimately, accurate and timely diagnosis (or exclusion) of viral infections via RVP-PCR testing of either NP or BAL samples will likely have an important clinical impact on immunosuppressed patients both through the rapid initiation of antiviral therapy and careful antibiotic stewardship.

Acknowledgements

We acknowledge funding support by a National Institutes of Health training grant (T32-HL066988-1) to D.J.L. and D.P.C., and a Parker B. Francis Fellowship and University of Rochester Department of Medicine grant to R.M.K.

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Supplementary Information

Additional supplementary information can be accessed via the *html* version of this article at the publisher's website.

Figure S1 Viral testing and positivity by month. **Figure S2** Viruses detected throughout the year.