# Pretreatment hematological markers predict clinical outcome in cancer patients receiving immune checkpoint inhibitors: A meta-analysis

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#### Keywords

Cancer; immune checkpoint inhibitor; marker; neutrophil to lymphocyte ratio; platelet to lymphocyte ratio.

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#### Abstract

**Background:** Immune checkpoint inhibitors (ICIs) have revolutionized the clinical treatment of multiple cancers. Recent studies revealed the potential prognostic value of the neutrophil to lymphocyte ratio (NLR) and platelet to lymphocyte ratio (PLR) in patients receiving ICIs; however, the results were inconsistent. We conducted a meta-analysis to identify the prognostic significance of baseline NLR and PLR in cancer patients treated with ICIs.

**Methods:** We conducted a thorough literature search of PubMed, Embase, and Cochrane databases for studies dealing with the prognostic impact of pretreatment NLR and/or PLR levels in cancer patients treated with ICIs. The clinical outcomes were progression-free survival (PFS) and overall survival (OS). Hazard ratios (HRs) and 95% confidence intervals (CIs) were calculated, and sensitivity and subgroup analyses were performed to investigate heterogeneity.

**Results:** Seventeen articles involving 2092 patients were included in the final analysis. The pooled HRs of PFS and OS for NLR were 1.81(95% CI 1.36-2.41) and 2.26 (95% CI 1.68-3.03), respectively, suggesting that patients with higher baseline NLRs had significantly poorer PFS and OS. Similar results were detected in sensitivity and subgroup analyses. However, no significant relevance was found between PLR and clinical endpoints in patients treated with ICIs (HR = 1.14, 95% CI 0.88-1.48 for PFS; HR = 1.35, 95% CI 0.86-2.12 for OS).

**Conclusion:** These results indicate that high pretreatment NLR but not PLR level, as a routinely obtained hematological parameter, is a potential prognostic predictor for poor PFS and OS in cancer patients receiving ICIs.

#### Introduction

Cancer is the leading cause of death in many countries and the incidence rate is assumed to consistently increase across the world over the next decades.<sup>1</sup> Although forecasted demographic estimations indicate that the number of cancer patients will increase, targeted intervention and increasing curative treatment options may help to control incidence.<sup>2</sup>

Immune checkpoint inhibitors (ICIs), mainly targeting CTLA-4, PD-1, and its associated ligand PD-L1, have led to a new era of treatment for a wide range of cancers.<sup>3</sup> To date,

six drugs (anti-CTLA-4 antibodies ipilimumab; anti-PD-1 antibodies nivolumab and pembrolizumab; and anti-PD-L1 antibodies atezolizumab, avelumab, and durvalumab) have been approved for the treatment of multiple malignancies, including melanoma, non-small cell lung cancer (NSCLC), metastatic renal cell carcinoma, and urothelial cancer.<sup>4</sup> ICIs have improved clinical outcomes compared to standard chemotherapy in various cancers; however, only a proportion of patients can benefit and a small number of patients that achieve a clinical benefit suffer a period of progression (pseudoprogression).<sup>5</sup> Thus, the identification

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of potential biomarkers to discern patients best suited to immune therapy and to predict clinical response is urgently required.

A great deal of research has focused on determining the potential predictive biomarkers of ICI treatment, such as PD-L1 expression and tumor mutational load; however, these are invasive or expensive methods.<sup>6</sup> Several studies have explored the predictive value of existing peripheral blood markers, such as peripheral blood cell count, which may be promising predictors of response to ICI therapy.<sup>7</sup> Hematological markers are convenient to obtain and readily accessible in clinical practice, and thus would assist to make clinical decisions.

Among these hematological markers, the neutrophil to lymphocyte ratio (NLR) and platelet to lymphocyte ratio (PLR) can reflect inflammation and host immune reaction. Numerous studies have elucidated the mutual influence of host immune activity and cancer progression, especially in patients who have undergone ICI treatment.<sup>8,9</sup> A higher NLR level indicates elevated protumor inflammation and weaker anti-tumor immune ability.<sup>10</sup> Several meta-analyses have reported the prognostic value of NLR and PLR in various cancers, but have not taken the therapy regimen into consideration. Recently, several studies have demonstrated the prognostic significance of NLR and/or PLR in patients treated with ICI;11-13 however, the results of these studies were inconsistent, thus the prognostic significance of NLR and PLR in ICI treatment remains uncertain. Therefore, we searched relevant studies and performed this metaanalysis to obtain a more reliable result assessing the value of NLR and PLR in predicting response to ICI treatment in multiple cancer patients.

#### Methods

#### Literature search

This meta-analysis was carried out according to the instructions of the Preferred Reporting Items for Systematic Review and Meta-Analyses (PRISMA). A systematic electronic search of PubMed, Embase, and Cochrane databases was conducted in November 2017, and re-run in June 2018. The search terms were: "immune checkpoint blockade," or "immune checkpoint inhibitor," or "anti-PD-1 antibody," or "anti-PD-L1 antibody," or "anti-CTLA-4 antibody," or "pembrolizumab," or "nivolumab," or "atezolizumab," or "durvalumab," or "avelumab," or "ipilimumab," or "melanoma," or "malignancy," or "sarcoma," or "NLR," or "platelet-to-lymphocyte ratio," or "PLR." The titles and abstracts were scanned carefully to exclude irrelevant articles. The remaining studies were comprehensively reviewed by reading the full text.

#### **Selection criteria**

This meta-analysis was limited to studies dealing with the prognostic implications of pretreatment NLR and PLR levels. The NLR was defined as the absolute neutrophil count divided by the absolute lymphocyte count, and the PLR as the ratio of absolute platelet count to absolute lymphocyte count. Studies were eligible if they met the following conditions: (i) all patients were histologically diagnosed with cancer and treated with ICIs; (ii) the predictive or prognostic value of NLR or PLR was evaluated; (iii) NLR or PLR was assessed before initiating ICI treatment; (iv) hazard ratios (HRs) and corresponding 95% confidence intervals (CIs) comparing patients with high and low level NLR or PLR were described or statistically extractable from the data; and (v) when several studies reported the same patient population, the newest or most informative study was included. The exclusion criteria were: (i) review articles, editorial comments, letters, expert opinions, conference abstracts, or case reports; (ii) the full text was unavailable; (iii) non-human studies; and (iv) non-English publications, with translation unavailable.

#### **Data extraction**

Two investigators independently performed data extraction and disagreements were resolved by discussion. The following information was extracted from each study: first author's name, publication year, country of origin, number of patients, therapeutic regimen, cancer type, and clinical factors. HRs and 95% CIs were used to combine these data, they were obtained directly from 13 articles when described in text or tables,<sup>14–27</sup> or calculated from available numerical data using methods reported by Tierney *et al.*,<sup>28–30</sup>or by emailing the authors.<sup>31</sup> Multivariate data were preferable to univariate data if both were provided; however, univariate data were acceptable if multivariate results were not presented.

#### **Statistical analysis**

The prognostic values of NLR and PLR were evaluated using HR and 95% CIs. The pooled HRs were presented in the form of a forest plot. HR > 1 implied poor survival, with a higher pretreatment NLR or PLR. Prognostic outcomes were primarily progression-free survival (PFS) or overall survival (OS); median PFS and OS time were presented using descriptive statistics. Heterogeneity across studies was assessed by Cochran Q and  $I^2$  tests. Statistically significant heterogeneity was considered when the Cochran Q test *P* value was  $\leq 0.10$  and/or the  $I^2$  value was  $\geq 50\%$ ; random effects models were adopted regardless of heterogeneity.

Sensitivity analysis was performed by removing one study at a time in order and examining the pooled results of the rest. In addition, subgroup analyses stratified by potential confounding factors were conducted to explore the sources of heterogeneity. Begg's and Egger's tests were performed to detect publication bias. Stata statistical software, version 12.0 (Stata Corporation, College Station, TX, USA) was used for the analyses.

Two investigators independently assessed the quality of each study using the Newcastle-Ottawa Quality Assessment Scale (NOS): a study with a score  $\geq$  7 was considered high-quality.<sup>32</sup>

#### Results

#### **Study characteristics**

In total, 40 studies were selected for full-text review and 17 were eligible for the final meta-analysis. The reasons for exclusion are shown in Figure 1. Detailed information about the studies is shown in Table 1. A total of 2092 patients were included in the final analysis, ranging from 27 to 209 cases per study. Treatment strategies included: 826 patients treated with ipilimumab, 1190 with anti-PD-1 antibodies, and 76 with anti-PD-L1 antibodies. Among the 17 studies, the histological types were: 7 NSCLC, 7 melanoma, and 3 metastatic renal cell carcinoma or other cancers. The study by Ferrucci *et al.* included a training group and three validation groups (henceforth referred to as Ferrucci tr and Ferrucci va1–3).<sup>19</sup>

#### Association between pretreatment neutrophil to lymphocyte ratio (NLR) and progression-free survival (PFS)

The association between pretreatment NLR and PFS was analyzed in 11 studies including 1274 patients. As shown in Figure 2, patients with high pretreatment NLR levels experienced earlier progression than those with low NLR levels. The pooled HR for PFS was 1.81 (95% CI 1.36–2.41) with existing heterogeneity ( $I^2 = 85.1\%$ ; P < 0.001), suggesting elevated NLR was associated with an increased risk of progression.

#### Association between pretreatment NLR and overall survival (OS)

Fifteen studies reported OS in patients treated with ICIs according to high or low NLR levels. As shown in Figure 3a, patients with high pretreatment NLR levels generally experienced shorter OS than those with low NLR levels. Pooled analysis demonstrated that patients with high NLR levels had a significantly increased risk of death (HR = 2.26, 95% CI 1.68–3.03) (Fig 3b), suggesting that a high pretreatment NLR was a predictive marker of poor OS. However, high heterogeneities were present in these analyses (I2 = 86.4%; P < 0.001).

# Association of pretreatment platelet to lymphocyte ratio (PLR) with PFS and OS

Four studies analyzed an association between pretreatment PLR and PFS and OS. As shown in Figure 4, the combined HR for PFS was 1.14 (95% CI 0.88–1.48), suggesting that there were no significant PFS differences between patients

Figure 1 Flow diagram of study selection. CI, confidence interval; HR, hazard ratio; ICI, immune checkpoint inhibitor; OS, overall survival; PFS, progression-free survival.



Author	Year	Country	Duration	Sample Size	Cancer type	Median age	Clinical parameters	Therapy administered	Cutoff value (NLR/PLR)	Median follow-up (months)	Clinical factor
Suh <i>et al.</i> <sup>31</sup>	2017	Korea	2013-2016	54	NSCLC	68 (43–80)	1,3,4,5,6,7,8,9,10	Nivo, Pemb	5/169	26.2 (6.8–36.2)	OS, PFS
Bagley <i>et al.</i> <sup>14</sup>	2017	USA	2015-2016	175	NSCLC	68 (33–88)	1,2,3,5,6,7,9,10	Nivo	5	NR	OS, PFS
Shiroyama <i>et al.</i> <sup>15</sup>	2017	Japan	2015-2016	201	NSCLC	68 (27–87)	1,3,5,10	Nivo	4	12.4	PFS
Diem <i>et al.</i> <sup>16</sup>	2017	Switzerland	2015-2016	52	NSCLC	66 (46–88)	1,3,5,7,8,9,10	Nivo	5/262	0-14	OS, PFS
Sun <i>et al.</i> <sup>17</sup>	2017	France	2011-2014	167	Multiple	55 (20–82)	1,3,8,10	PD-1, PD-L1	4	12.9 (1.2–47.2)	OS
Cassidy <i>et al.</i> <sup>18</sup>	2017	USA	2006–2011	197	Melanoma	63 (10–91)	1,3,4,5	lpi	D	54.3 (5109)	OS, PFS
Ferrucci <i>et al.</i> <sup>19</sup>	2015	Italy	2010-2013	69(tr)	Melanoma	62 (33–87)	1,3,4,5,6,7,10	lpi	5	10.6	OS, PFS
				115(va1)	Melanoma	63	1,3,5,10	lpi	5	16.4	OS
				72(va2)	Melanoma	62	1,3,5,10	lpi	5	10.6	OS
				27(va3)	Melanoma	55 (23–77)	1,3,4,5	lpi	D	9.6	OS
Jung <i>et al</i> . <sup>28</sup>	2017	Korea	2014-2015	104	Melanoma	58 (50–66)	1,3,4,5,6,7,9	lpi	5	7.1 (5.9–8.3)	OS, PFS
Khoja <i>et al</i> . <sup>20</sup>	2016	Canada	2008–2014	183	Melanoma	58 (24–89)	1,3,4,6,9	lpi	4/-	7.5 (0.3,49.5)	OS, PFS
Zaragoza <i>et al.</i> <sup>21</sup>	2016	France	2015–2016	58	Melanoma	54.7	1,3,4,7	lpi	4	31	OS
Jeyakumar <i>et al</i> . <sup>22</sup>	2017	USA	NR	42	mRCC	42 (24–85)	2,5	Multiple	ſ	NR	OS, PFS
Fukui <i>et al.</i> <sup>23</sup>	2018	Japan	2016-2017	52	NSCLC	69 (46–83)	1.3.4.5.6.7.9.10	Nivo	D	10.9 (5.6–16.4)	OS, PFS
Russo et al. <sup>24</sup>	2018	Italy	NR	28	NSCLC	68 (45–82)	1.5.9.10	Nivo	-/160	NR	OS, PFS
Rosner <i>et al.</i> <sup>25</sup>	2018	USA	NR	209	Melanoma	60.5 (22–86)	1.4.10	Nivo, Ipi	4.73	13.1	OS, ORR
Fujisawa <i>et al</i> . <sup>30</sup>	2018	Japan	NR	06	Melanoma	NR	NR	Nivo	2.2	NR	OS
Bilen <i>et al.</i> <sup>26</sup>	2018	USA	2015–2016	38	mRCC	69 (28–80)	1.2.3.	Nivo	5.5	NR	OS, PFS
Park <i>et al.</i> <sup>27</sup>	2018	USA	2015-2017	159	NSCLC	68 (41–91)	1.2.3.4.9.10	Nivo	5	NR	OS, PFS
lpi, ipilimumab; mR	CC, mei	tastatic renal c	ell carcinoma;	Nivo, nivoluma	b; NR, not repo	orted; NSCLC, r	non-small cell lung ca	ncer; OS, overall survival	; PD-1, anti-PD-	-1 antibody; PD-L1, a	anti-PD-L1 anti-
body; Pemb, pembi	rolizumē	ib; PFS, progre	ession-free sun	vival; tr, trainin	g group; va, va	alidation group;	: 1, gender; 2, race;	3, performance status; 4	l, stage; 5, hist	ological subtype; 6,	adverse effect;
7, metastatic diseas	e; 8, PD	-L1 expression;	9, driver muta	tion; 10, previo	us lines of trea	tment.					

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 Table 1
 Characteristics of the included studies



grouped according to pretreatment PLR level. Moreover, there was no significant difference in OS between patients with different PLR levels (HR = 1.35, 95% CI 0.86-2.12). Thus, pretreatment PLR may not be a prognostic factor for clinical outcome in patients treated with ICIs.

#### Sensitivity analysis

To assess the stability of the studies included, we performed sensitivity analysis by sequential omission of individual studies. As summarized in Table 2, the pooled OS and PFS results were not significantly affected by removing any of the studies, but heterogeneity reduced significantly when the study by Khoja *et al.* was excluded.<sup>20</sup> This may be the source of heterogeneity. When this outlier study was removed, as expected there was no evidence of heterogeneity in the remaining studies referring to NLR and PFS ( $I^2 = 19.4\%$ ; P = 0.27).

#### Subgroup analysis

To explore the possible sources of heterogeneity, we conducted further subgroup analysis taking cutoff value, sample size, therapy, cancer type, analysis method, follow-up



**Figure 3** (a) Median overall survival (OS) according to neutrophil to lymphocyte ratio (NLR). (b) Forest plot of the hazard ratio (HR) of the impact of NLR on OS. +NR: not reached (OS is shown based on median follow-up duration). CI, confidence interval. S NLR-high, B NLR-low.

duration, and median age into consideration. The results indicated that the predictive value for OS or PFS remained unchanged by most confounders; the results for the subgroups based on cancer type and treatment regimen suggest the prognostic potential of NLR for all kinds of cancer patients receiving ICI treatment (Table 3). Interestingly, in studies with median follow-up durations of > 12 months or median age > 60 years, NLR was no longer associated with PFS. Heterogeneity no longer existed in small sample size, PD-1/PD-L1, and NSCLC groups in regard to PFS.

#### Quality assessment and publication bias analysis

The mean quality score was 7.1 (range: 6–8) (Table 4). A funnel plot suggested asymmetry, indicating the existence of publication bias (Fig S1). No evidence of publication bias was demonstrated by Begg's regression tests for PFS (P = 0.533) or OS (P = 0.449), while the Egger's tests show significant bias for PFS (P = 0.015 slope, P < 0.001 bias) and OS (P = 0.018 slope, P < 0.001 bias).

**Figure 4** Forest plot of the hazard ratio (HR) of the impact of pretreatment platelet to lymphocyte ratio (PLR) on progression-free survival (PFS) and overall survival (OS). The impact of PLR on (**a**) PFS and (**b**) OS. CI, confidence interval.



Table 2 Sensitivity analysis of NLR results of progression-free and overall survival by random effect model

		Prog	gression-free	survival		Overall survival					
Study omitted	HR	LCL	UCL	/ <sup>2</sup>	Р	HR	LCL	UCL	l <sup>2</sup>	Р	
Suh <i>et al</i> . <sup>31</sup>	1.82	1.35	2.46	86.40	< 0.01	2.30	1.70	3.11	87.10	< 0.01	
Bagley et al. <sup>14</sup>	1.88	1.36	2.59	85.90	< 0.01	2.28	1.68	3.09	86.30	< 0.01	
Shiroyama et al.15	1.87	1.36	2.59	85.70	< 0.01						
Diem et al. <sup>16</sup>	1.78	1.32	2.39	85.20	< 0.01	2.16	1.61	2.89	85.90	< 0.01	
Sun et al.17						2.35	1.71	3.22	86.90	< 0.01	
Cassidy et al.18	1.81	1.33	2.46	83.60	< 0.01	2.28	1.68	3.11	85.20	< 0.01	
Ferrucci et al.19 tr	1.73	1.30	2.31	84.10	< 0.01	2.15	1.61	2.87	85.00	< 0.01	
Ferrucci <i>et al</i> . <sup>19</sup> va1						2.28	1.68	3.10	86.60	< 0.01	
Ferrucci <i>et al</i> . <sup>19</sup> va2						2.27	1.68	3.08	86.80	< 0.01	
Ferrucci <i>et al</i> . <sup>19</sup> va3						2.21	1.64	2.97	86.70	< 0.01	
Jung et al. <sup>28</sup>	1.78	1.32	2.39	85.60	< 0.01	2.46	1.76	3.43	87.10	< 0.01	
Khoja <i>et al</i> . <sup>20</sup>	1.82	1.54	2.16	19.40	0.27	2.35	1.81	3.04	65.80	< 0.01	
Zaragoza et al. <sup>21</sup>						2.26	1.67	3.06	86.80	< 0.01	
Jeyakumar et al. <sup>22</sup>	1.73	1.30	2.31	84.80	< 0.01	2.21	1.64	2.97	86.70	< 0.01	
Fukui <i>et al</i> . <sup>23</sup>						2.20	1.64	2.96	86.60	< 0.01	
Rosner et al.25						2.28	1.68	3.10	86.70	< 0.01	
Fujisawa <i>et al</i> . <sup>30</sup>						2.18	1.63	2.93	86.30	< 0.01	
Bilen et al.26	1.72	1.30	2.28	84.90	< 0.01	2.17	1.63	2.90	86.40	< 0.01	
Park et al.27	1.83	1.34	2.48	85.60	< 0.01	2.20	1.64	2.96	85.80	< 0.01	
Combined	1.81	1.36	2.41	85.10	< 0.01	1.72	1.27	2.33	86.40	< 0.01	

HR, hazard ratio; LCL, lower confidence limit; NLR, neutrophil to lymphocyte ratio; UCL, upper confidence limit.

			~	JLR & OS					NLR & PFS		
					Heterog	geneity				Heteroge	eneity
Factor		No.	HR	٩	12	Ρ	No.	HR	ط	12	Р
Cut-off	< 5	9	2.26 (1.68,3.03)	0.008	81.90%	< 0.001	7	1.84 (1.50–2.26)	0.042	18.80%	0.286
	≥ 5	12	2.44 (1.74,3.43)	< 0.001	73.10%	< 0.001	4	1.58 (1.02–2.46)	< 0.001	85.70%	0.001
Sample size	< 100	12	2.94 (1.79,4.83)	< 0.001	76.30%	< 0.001	9	2.42 (1.83–3.19)	< 0.001	%0	0.661
	≥ 100	7	1.79 (1.22,2.63)	0.003	89.40%	< 0.001	ъ	1.42 (1.05–1.91)	0.023	85.90%	< 0.001
Therapy	PD-1/PD-L1	6	2.76 (1.91–3.98)	< 0.001	50.50%	0.004	7	1.75 (1.40–2.19)	< 0.001	28.40%	0.212
	CTLA-4	8	1.82 (1.25,2.64)	0.002	87.90%	< 0.001	4	1.71 (1.02–2.86)	0.041	91.20%	< 0.001
Cancer type	NSCLC	4	2.62 (1.62-4.25)	< 0.001	33.60%	0.211	4	1.53 (1.25–1.89)	< 0.001	%0	0.674
	Melanoma	11	2.04 (1.45,2.89)	< 0.001	88.10%	< 0.001	5	1.70 (1.10–2.62)	0.017	88.90%	< 0.001
	Others	Μ	3.43 (1.02–11.54)	0.047	72.70%	0.026	2	3.43 (1.89–6.25)	< 0.001	85.10%	< 0.001
Analysis	Uni	ъ	2.36 (1.51,3.69)	< 0.001	48.60%	0.100	ъ	1.71 (1.39–2.12)	< 0.001	0.00%	0.442
	Multi	13	2.19 (1.56,3.07)	< 0.001	87.40%	< 0.001	9	1.80 (1.20–2.71)	0.005	88.20%	< 0.001
Follow-up (month)	< 12	7	2.5 (1.34,3.44)	0.002	87.20%	< 0.001	m	1.71 (1.27–2.33)	0.149	88.20%	< 0.001
	≥ 12	9	1.86 (1.53,2.59)	< 0.001	0.00%	0.881	4	1.69 (1.38–2.06)	< 0.001	%0	0.654
	NA	ß	3.07 (1.99,4.73)	< 0.001	30.70%	0.217	4	2.00 (1.32–3.04)	0.001	56.50%	0.075
Median age (year)	< 60	9	1.38 (1.01,1.90)	0.045	72.70%	0.003	4	1.81 (0.99–3.33)	0.055	85.60%	< 0.001
	≥ 60	10	2.59 (1.99,3.37)	< 0.001	29.80%	0.171	9	1.74 (1.35–2.23)	< 0.001	36.80%	0.161
NA, not available; NLR	, neutrophil to lym	nphocyte ra	atio; NSCLC, non-small cel	l lung cancer; (	DS, overall survi	ival; PD-1, anti-	PD-1 antib	ody; PD-L1, anti-PD-L1	antibody; PFS, p	rogression-free s	urvival.

Table 3 Results of subgroup analysis

 Table 4
 Assessment of study quality by Newcastle-Ottawa scale

Study		Sele	ction		Compa	arability	OU	TCOME assessn	nent	
Study	1	2	3	4	5	6	7	8	9	Score
Suh <i>et al</i> . <sup>31</sup>	x	x	х	х	х	_	х	х	х	8
Bagley <i>et al</i> . <sup>14</sup>	х	х	х	х	_	_	х	х	х	7
Shiroyama et al.15	х	х	х	х	_	_	х	х	х	7
Diem et al.16	х	х	х	х	_	_	х	_	х	6
Sun et al. <sup>17</sup>	х	х	х	х	х	_	х	х	х	8
Cassidy et al.18	х	х	х	х	_	_	х	х	х	7
Ferrucci et al.19	х	х	х	х	_	_	х	х	х	7
Jung et al. <sup>28</sup>	х	х	х	х	_	_	х	_	х	6
Khoja et al. <sup>20</sup>	х	х	х	х	_	_	х	х	х	7
Zaragoza et al. <sup>21</sup>	х	х	х	х	_	_	х	х	х	7
Jeyakumar et al.22	х	х	х	х		х	х	х	х	8
Fukui <i>et al.</i> <sup>23</sup>	х	х	х	х	_	_	х	х	х	7
Russo et al.24	х	х	х	х	_	_	х	х	х	7
Rosner et al.25	х	х	х	х	_	_	х	х	х	7
Fujisawa <i>et al</i> . <sup>30</sup>	х	х	х	х	_	_	х	х	х	7
Bilen et al. <sup>26</sup>	х	х	х	х	_	х	х	х	х	8
Park et al. <sup>27</sup>	х	х	х	х	—	—	х	х	х	7

x: For cohort studies: 1 truly representative of the exposed cohort; 2, non-exposed cohort drawn from the same community; 3, ascertainment of exposure; 4, outcome of interest not present at start; 5, cohorts comparable on basis of PD-L1 expression; 6, cohorts comparable on other factor(s); 7, quality of outcome assessment; 8, follow-up long enough for outcomes to occur; and 9, complete accounting for cohorts.

### Discussion

There is sufficient evidence of the association between inflammation and immune response with prognosis in patients with diverse histological types of neoplasia. Outcomes after ICI treatment, which reactivates the immune system and eradicates tumors, are closely connected to immune status.<sup>33</sup> Previous studies revealed the prognostic potential of several blood and clinical markers, including NLR and PLR, which are routinely available in daily practice. Our meta-analysis of 17 studies comprising 2092 patients demonstrated that a high pretreatment NLR level is correlated with a 1.81-fold increased risk of progression (HR = 1.81, 95% CI 1.36-2.41) and a 2.26-fold increased risk of death (HR = 2.26, 95% CI 1.68-3.03). However, no significant differences in PFS (HR = 1.14, 95% CI 0.88-1.48) or OS (HR = 1.35, 95% CI 0.86-2.12) were identified when patients were dichotomized according to pretreatment PLR levels. No significant association between PLR and PFS or OS was found, which may have been a result of the small number of studies included. Our conclusions require confirmation by further studies.

In-deep investigation targeting immune regulatory mechanisms within the tumor microenvironment has yielded a deeper understanding of ICI therapeutic activity. Because T cells undertake the role of immunosurveillance, tumor-infiltrating lymphocytes (Tils) are reported to occupy an important position in the tumor microenvironment of patients treated with ICIs.<sup>34</sup> Several factors mediating T cell proliferation, viability, and spatial distribution

are also related to the response to ICI therapy: silencing genes encoding chemokines can lead T cell trafficking to the tumor<sup>35</sup> while age-related immunity decline (immunosenescence) also influences the response to ICI therapy.<sup>36</sup> Immunity function plays a vital role in ICI treatment, thus any element affecting immunity can impact the response to ICI treatment. On the other hand, inflammatory factor interleukin-6 (IL-6) has been identified as promoting ICI resistance,<sup>37,38</sup> and tumor cell cyclooxygenase (COX) can produce prostaglandin (E2), which suppresses immunity and builds an inflammatory environment favoring tumor growth.<sup>39</sup> Based on the results of previous studies, we propose that the prognostic value of NLR in cancer patients administered ICI treatment is associated with different functions of lymphocytes and neutrophils. Recruited neutrophils could stimulate the secretion of many inflammatory cytokines, such as IL-1, IL-6, and tumor necrosis factor (TNF), and fuels a favorable environment for tumor development and progression.<sup>40</sup> In contrast, lymphocytes are considered immune cells and exert anti-tumor effects. An increased NLR implies an elevated neutrophil count and/or a reduced lymphocyte count; therefore, a higher NLR level reflects an advantage of anti-tumor over protumor activity, which implies an unfavorable prognostic factor for patients treated with ICIs. Because NLR is a systemic inflammation indicator that can predict the efficacy of ICI treatment, will the combination of immunomodulating drugs and ICIs deliver a better outcome? No prospective study has investigated the interaction efficacy of immunomodulating drugs with ICIs,41 nonetheless, retrospective results show that patients who received immunomodulators for adverse events did not achieve better efficacy than those who had not.<sup>42,43</sup> More details of the influence of immunomodulating drugs (types, timing, duration) on the clinical outcome of ICIs are needed.

Several meta-analyses have demonstrated the predictive value of NLR or PLR in multiple cancers, including hepatocellular carcinoma, nasopharyngeal carcinoma and ovarian cancer;<sup>11-13</sup> however, treatment has not been consolidated. In recent years, the development of ICIs has changed the landscape of cancer treatment; therefore it is critical to identify biomarkers that predict a response to ICIs. Although a meta-analysis investigating the prognostic role of NLR in patients receiving ICI treatment has previously been conducted,<sup>44</sup> there are several advantages of our study. First, we included 17 full articles (2092 patients) compared to the 7 studies (4 of which were abstracts) included in the previous meta-analysis. We included a greater number of studies and data to ensure the validity and reliability of our research. Second, we evaluated not only HR as a parameter of the prognostic value of NLR, but also median PFS and OS, which are consistent with HR results. Third, we conducted sensitivity and subgroup analyses of cutoff values, sample size, therapy, cancer type, analysis methods, follow-up duration, and median age. The significance of our results remained, increasing their reliability. Finally, to the best of our knowledge, this is the first meta-analysis to evaluate the prognostic value of both pretreatment peripheral blood NLR and PLR in patients treated with ICIs.

Despite these advantages, there are several limitations to our study. First, almost all eligible studies were retrospective observational studies and only one prospective study was included, which may cause bias in the final analysis. Second, heterogeneity was observed in this study, which may partially be attributed to different study designs. For example, the study by Khoja et al. comprised only cutaneous melanoma patients, which is quite different from other studies. As various histological cancers show diverse responses to ICIs, consolidation of cancer histological types may result in heterogeneity.<sup>20</sup> Heterogeneity may also derive from other variations, such as the treatment administered after ICI failure, complications, and because the peripheral blood cell count can easily be influenced by inflammatory diseases. It is noteworthy that heterogeneity in our study decreased in small sample size, PD-1/PD-L1 treatment, and NSCLC subgroups, demonstrating varying degrees of the prognostic value of NLR for different cancer patients treated with various ICI regimens. Third, publication bias was detected in this study and we cannot ignore the fact that positive results are preferentially published. Fourth, we only analyzed the relationship between pretreatment NLR or PLR level with clinical outcomes. Studies by Suh *et al.*<sup>31</sup> and Di Giacomo *et al.*<sup>45</sup> indicated no significant association between pretreatment NLR level but an association with post-treatment NRL level, while Cassidy *et al.*<sup>18</sup> evaluated the changes in the NLR after ICI treatment. The comprehensive meaning of different periods of these biomarkers and their serial changes requires further investigation.

In summary, our meta-analysis demonstrates that high pretreatment peripheral blood NLR might be a predictor of poor PFS/OS in cancer patients treated with ICIs; however, no significant correlation between PLR and PFS/OS was observed. Baseline NLR could serve as a promising prognostic predictor for ICI treatment, as it is convenient to obtain from a routine blood test.

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#### Disclosure

No authors report any conflict of interest.

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## **Supporting Information**

Additional Supporting Informationmay be found in the online version of this article at the publisher's website:

**Figure S1** Funnel plots presenting meta-analyses of neutrophil to lymphocyte ratio (NLR) in progression-free survival (PFS) and overall survival (OS). Funnel plot of (**a**) 11 studies reporting PFS and (**b**) 14 studies reporting OS.