


# BMJ Open Role of neutrophil-to-lymphocyte ratio as a prognostic biomarker in patients with breast cancer receiving neoadjuvant chemotherapy: a meta-analysis

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## ABSTRACT

**Objective** The neutrophil-to-lymphocyte ratio (NLR) is recognised as a suitable prognostic biomarker in patients with breast cancer. Nevertheless, the efficacy of this biomarker in predicting the pathological complete response (pCR) and survival in patients with breast cancer receiving neoadjuvant chemotherapy (NACT) is still controversial. This meta-analysis aimed to identify the association between baseline NLR and the prognosis of patients with breast cancer treated with NACT.

**Design** Meta-analysis.

**Data sources** Relevant literature published before 1 May 2021 was searched using the Cochrane Library, Embase, PubMed and the Web of Science databases.

**Eligibility criteria** All studies involving patients with breast cancer treated with NACT and peripheral blood pretreatment NLR recorded as a dichotomous variable were included.

**Data extraction and synthesis** Two researchers independently extracted and evaluated OR/HR and its 95% CIs of survival outcomes and clinicopathological parameters.

**Results** A total of 19 studies were identified. From each study, the impact of NLR on the pCR, OR and HR, with their 95% CIs were extracted and combined using either a random or fixed-effects model. The results indicate that a higher pCR in patients with a low NLR (OR 1.620, 95% CI 1.209 to 2.169,  $p < 0.001$ ). In addition, an elevated NLR predicted lower disease-free survival (HR 2.269, 95% CI 1.557 to 3.307,  $p < 0.001$ ) and overall survival (HR 1.691, 95% CI 1.365 to 2.096,  $p < 0.001$ ) in patients with breast cancer treated with NACT.

**Conclusions** NLR is a suitable biomarker for predicting pCR and survival in patients with breast cancer receiving NACT.

## INTRODUCTION

Breast cancer has the highest incidence and cancer-related mortality in women worldwide.<sup>1</sup> Neoadjuvant chemotherapy (NACT) is often used to downstage the tumour to facilitate breast-conserving surgery in patients

## Strengths and limitations of this study

- This is the first meta-analysis to assess the role of neutrophil-to-lymphocyte ratio (NLR) as an immunity/inflammation biomarker in predicting pathological complete response and survival in patients receiving neoadjuvant chemotherapy (NACT).
- This study provides preliminary evidence for NLR to predict the treatment response and prognosis of patients with breast cancer with NACT.
- Scientific and reliable statistical methods were applied.
- The results of this study could provide a strategy for further large-sample prospectively randomised controlled studies.
- All the studies included in our analysis were retrospective and lacked detailed information on the tumour subtypes, potentially biasing the results of our research findings.

who might have needed a mastectomy at initial diagnosis. Additionally, NACT has an important role in assessing the efficacy of chemotherapy early on during the treatment, hence reducing the risk of providing ineffective toxic therapy.<sup>2</sup> However, studies have shown that pathological complete response (pCR) was more likely to occur in a subgroup of patients with breast cancer treated with NACT, eventually leading to improved survival when compared with patients who still had residual disease after surgery.<sup>3</sup> Numerous genetic mutations, including TP53, PIK3CA, CDKN2A and SIRT5, have been associated with a worse response to NACT in breast cancer.<sup>4</sup> However, these mutations are difficult to trace, and until now, no single genetic marker has been found to be clinically suitable to predict the tumour response after NACT. Therefore, there is a need to identify

better prognostic serum biomarkers to optimise the treatment for patients with breast cancer.

Recent studies have found an association between tumour progression and systemic inflammatory response to treatment.<sup>5–7</sup> Various inflammatory markers have been identified as prognostic markers for different types of cancers. The neutrophil-to-lymphocyte ratio (NLR) is one of the most commonly used markers and is calculated through dividing the number of neutrophils by the number of lymphocytes.<sup>8–11</sup> NLR has been linked with poor survival in several cancers, including gastrointestinal and ovarian cancers.<sup>12</sup> Furthermore, some studies found that a higher NLR may lead to a worse response to NACT and worse survival in different types of solid tumours.<sup>13</sup> Yet, the role of NLR as a prognostic biomarker for patients with breast cancer treated with NACT is still not clear, highlighting the need for a meta-analysis to assess the role of NLR as a biomarker in predicting pCR and survival in these patients.

Therefore, this meta-analysis aimed to evaluate the relationship between pretreatment NLR, pCR, and survival in patients with breast cancer treated with NACT.

## MATERIAL AND METHODS

### Search strategy

A systematic literature search of all articles published up to 1 May 2021 was conducted based on the following databases: Embase, PubMed, The Cochrane Library and Web of Science databases. The keywords used for the search strategy included variations of the term “NLR” (eg, “NLR”, “neutrophil/lymphocyte”, “neutrophil-to-lymphocyte”, “neutrophil to lymphocyte ratio”, “neutrophil” and “lymphocyte”), “Breast cancer” (eg, “Breast cancer”, “breast carcinoma”, “malignant breast tumor”, “mammary cancer,” “breast neoplasms”) and “neoadjuvant chemotherapy” (eg, “neoadjuvant chemotherapy”, “preoperative systemic treatment”, “primary chemotherapy”, “preoperative chemotherapy”, “pre-surgical treatment”). The reference list of the retrieved articles was also reviewed to identify relevant articles.

### Inclusion and exclusion criteria

pCR was defined as the absence of residual cancer in both breast tissue and nodes following surgery. All studies involving patients with breast cancer who were treated with NACT and had the peripheral blood pretreatment NLR recorded as a dichotomous variable were included. Furthermore, only the studies that had complete treatment outcomes reported in the form of either pCR, breast cancer-specific and/or disease-free survival (DFS), overall survival (OS), HR and OR with their 95% CIs, were included.

Abstracts, case studies, letters, reviews, nonclinical studies and studies not written in English were excluded. Studies were also excluded if they had repeated data or had insufficient data to estimate the HR or OR with 95% CI, NLR presented as a continuous variable or a

non-binary variable, and missing information on the number of patients achieving pCR in the low and high NLR groups.

### Data extraction and quality control

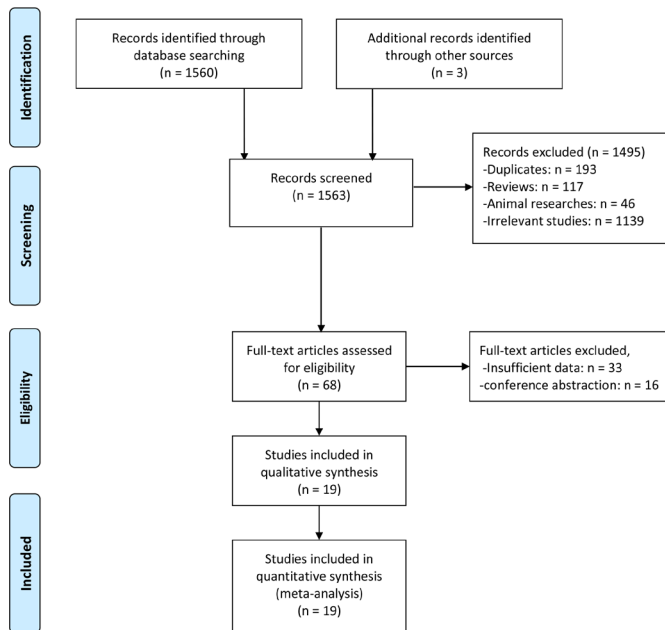
Two researchers independently extracted and evaluated relevant data from the research articles or abstracts. If the two researchers did not reach an agreement, a third researcher was consulted. The year of publication, first author and location were extracted for each study. Furthermore, the total number of cases in the pCR and non-pCR groups, age, follow-ups, tumour subtype and stage, the technique used to calculate NLR, and NLR thresholds were recorded. The treatment outcomes, including neoadjuvant treatment response, survival outcome information, and HRs with 95% CIs, were subsequently extracted. The researchers used the Newcastle-Ottawa Scale (NOS) rating scale to assess the quality of the included studies.<sup>14</sup> This technique involves assigning a quality rating to each study using a scale ranging from zero to nine, based on three broad group categories, including the comparability of the groups, the selection of the study groups, and the outcome of the exposure. The higher the NOS score, the higher the quality of the study.

### Patient and public involvement

No patient involved.

### Statistical analysis

The pCR rate, ORs, HRs and 95% CIs for the high and low-NLR groups were either extracted from the study or calculated using the data available.<sup>15</sup> A OR greater than 1 indicates that a low NLR is associated with improved pCR rate, while an HR greater than 1 shows poorer outcomes in patients with breast cancer with high NLR expression. HR estimates were weighted and pooled using the generic inverse-variance and random-effects model according to Cochrane Handbook for Systematic Reviews of Interventions.<sup>16</sup> The Higgins I-squared ( $I^2$ ) and the Cochran's Q test ( $Ph(Q)$ ) tests were performed to assess the statistical heterogeneity of the meta-analysis. A  $Ph(Q)$  test with a p value of less than 0.1 and  $I^2$  greater than 50% indicates significant heterogeneity. The combined OR and HR, together with their 95% CIs were calculated using the DerSimonian-Laird random-effects model for a  $P_{\text{heterogeneity}} < 0.10$  or  $I^2 > 50\%$ . For all other studies, the Mantel-Haenszel fixed effect model was adopted. Studies with inconsistent results were further analysed in a subgroup analysis based on published time, sample size, geographic location, cut-off value and tumour subtype. Meta-regression analysis was used to evaluate the effects of the different subgroups and moderator variables in the meta-analysis. Sensitivity analysis was performed by omitting each single study to evaluate the stability of the results. Begg's tests and funnel plot were applied to evaluate publication biases. All p values were two sided. Statistical significance was considered if the p value was below



**Figure 1** Flow chart of the included studies.

0.05. All data analyses were conducted using Stata V.12.0 (StataCorp) software.

## RESULTS

### Overview of studies included in the meta-analysis

During the preliminary search, a total of 1563 research articles were retrieved. Following evaluation by two independent researchers, nineteen studies with a total of 5910 patients published between 2014 and 2020 were finally included, as illustrated in [figure 1](#).<sup>17–35</sup> Most studies were conducted in Asia, with 42%, 21% and 11% of the studies being conducted in China, Korea and Japan, respectively. The rest of the studies were conducted in non-Asian countries, with 10% being conducted in Spain and the rest in Italy, Mexico, and Turkey. Seventeen studies with 5504 patients analysed the association between the NLR and pCR, 11 studies with 4001 patients reported the relationship between the NLR and DFS, while 6 studies with 3547 patients reported the correlation between NLR and OS in patients with breast cancer treated with NACT. Nine studies enrolled more than 200 patients. The NLR threshold indicative of pCR and DFS varied between studies and ranged from 1.63 to 3.33. Eleven studies had an NLR cut-off value smaller than or equal to 2.3, and seven studies reported an NLR greater than 2.3. Eighteen studies involved early-stage and locally advanced disease stages (I–III/II–III), and only one study included advanced-stage disease (II–IV). Two of the studies included the hormone receptor (HR) positive and the human epidermal growth factor receptor 2 (HER2) negative (HR+/HER2–) breast cancer subtype. Four studies only included the triple-negative breast cancer (TNBC) subtype, one study included the HER2-positive breast cancer subtype only, and another study examined both the HR+/HER2– and the TNBC subtypes.

The other studies included all breast cancer subtypes. In almost all studies, the patients were treated with the standard anthracycline and/or taxane NACT. However, two studies included other NACT combinations based on everolimus, platinum compounds and vinorelbine. Neoadjuvant trastuzumab was administered to patients whose tumours were HER2-positive. The study characteristics are summarised in [table 1](#).

### Association between the NLR and pCR

A link between a higher NLR and negative pCR was identified in seventeen studies (OR 1.620, 95% CI 1.209 to 2.169,  $I^2=66.0\%$ ,  $p < 0.001$ , random effects model; [figure 2A](#)). Following stratification by publication year, we found a pooled OR of 1.452 (95% CI 1.133 to 1.860;  $p=0.003$ ) for studies published after 2018. Conversely, we did not identify a statistically significant difference in the OR (OR 2.144; 95% CI 0.949 to 4.844;  $p=0.067$ ) and subgroup analysis ( $p=0.571$ ) for studies published before 2018 ([table 2](#)). The data were then stratified into Asian and non-Asian. A statistically significant relationship between NLR and pCR was identified in Asian studies (OR 1.726; 95% CI 1.167 to 2.553;  $p=0.006$ ), but the same finding was not observed in non-Asian studies (OR 1.189; 95% CI 0.974 to 1.451;  $p=0.089$ ) ([table 2](#)).

Further analysis was also conducted to evaluate the impact of sample size on the study outcomes. The ORs were 1.254 (95% CI 0.970 to 1.621) for studies with a sample size greater than 200 and 2.783 (95% CI 1.484 to 5.221) for studies with a sample size below 200. The OR for high NLR in studies with a sample size below 200 was significantly higher when compared with the OR in studies with a sample size above 200 ( $p$  for subgroup difference=0.04) ([table 2](#)). When evaluating the NLR cut-off values, an NLR below or equal to 2.3 did not show a statistically significant association with pCR (OR 1.387; 95% CI 0.930 to 2.069;  $p=0.108$ ), while a statistically significant association was noted for NLR values greater than 2.3 (OR 2.334; 95% CI 1.345 to 4.051;  $p=0.003$ ). Further, subgroup analysis was performed by tumour subtypes. In the TNBC subtype a statistical significance was noted between NLR and pCR (OR 2.905; 95% CI 1.350 to 6.249;  $p=0.006$ ). The ORs were 1.491 (95% CI 1.040 to 2.137) for all breast cancer subtypes and 1.320 (95% CI 0.646 to 2.697) for HR+/HER2–. Differences between tumour subtypes were not statistically significant ( $p$  for subgroup difference=0.256) ([table 2](#)).

### Association between NLR and breast cancer survival

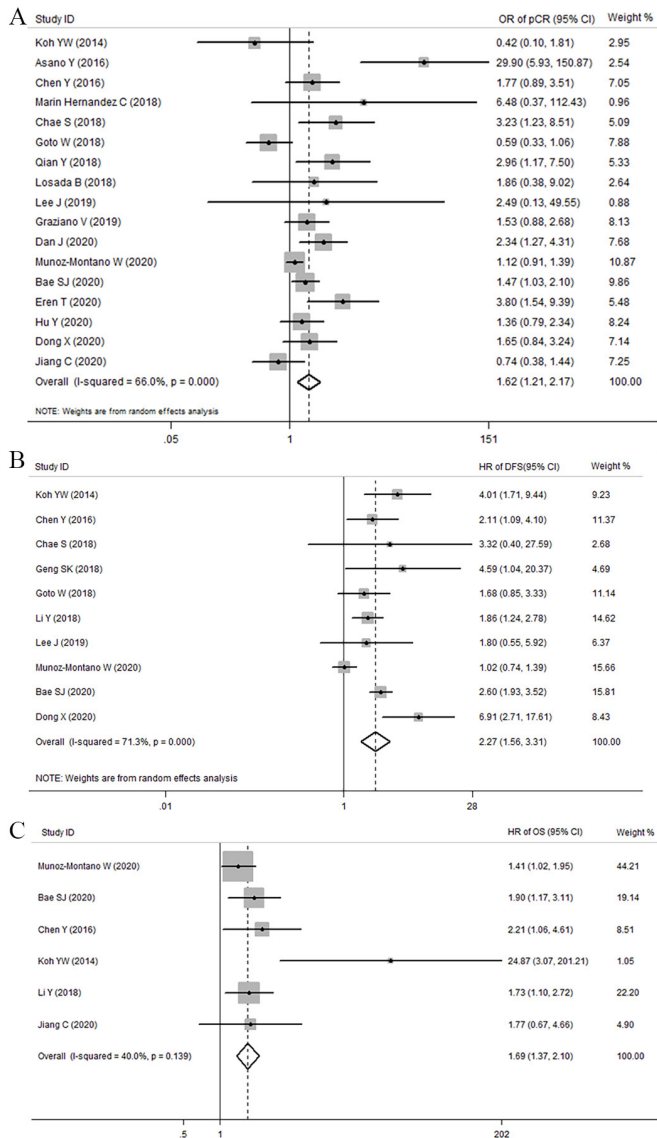
Eleven studies with 4001 cases were identified comparing high pretreatment NLR and DFS in patients with breast cancer. Since the  $I^2$  value indicated significant heterogeneity ( $I^2=71.30\%$ ,  $P_h=0.000$ ), a random effects model was applied ([figure 2B](#)). The pooled results of these studies demonstrated a statistically significant correlation between high pretreatment NLR levels and DFS with an HR of 2.269 (95% CI 1.557 to 3.307,  $p < 0.001$ , [table 3](#)). Subgroup analyses by publication year using fixed-effects



**Table 1** Summary of studies included in the meta-analysis

Author	Year	Sample	Country	Duration	Age (years)	Follow-up (months)	Subtype	Stage	Cut-off value	Method for cut-off	Outcomes	Hr (M/U)	NOS score
Koh <sup>17</sup>	2014	157	Korea	2002–2010	44 (24–71)	21 (1–108)	HR+/HER2–	II–IV	2.25	ROC	PCR/RFS/OS	M/U	6
Asano <sup>18</sup>	2016	61	Japan	2007–2013	56	40.8 (7.2–72)	TNBC	II–III	3	Ref	PCR/DFS/OS	M/U	6
Chen <sup>19</sup>	2016	215	China	2001–2010	44.61±9.82	55 (36.1–75.8)	All	II–III	2.1	ROC	PCR/RFS/BCSS	M/U	7
Marin Hernandez <sup>20</sup>	2018	150	Spain	2013–2016	49.8 (28–77)	24 (1–144)	All	II–III	3.33	ROC	PCR/DFS/OS	NR	7
Chae <sup>21</sup>	2018	87	Korea	2004–2012	45.8±11.2	57	TNBC	I–III	1.7	ROC	PCR/RFS	M	7
Geng <sup>22</sup>	2018	96	China	2002–2014	53.6±10.6	24	All	I–III	1.878	ROC	DFS	U	6
Goto <sup>23</sup>	2018	239	Japan	2007–2015	55	44.4 (2.4–72)	All	II–III	1.63	ROC	PCR/DFS/OS	U	7
L <sup>24</sup>	2018	310	China	2006–2013	43.9±12.0	62.5 (9.6–138.5)	HER2-positive	I–III	2.7	ROC	DFS/OS	M/U	7
Qian <sup>25</sup>	2018	180	China	2008–2015	46 (23–71)	22.7 (3–85)	All	II–III	2.15	Median	PCR/DFS	NR	6
Losada <sup>26</sup>	2018	104	Spain	2004–2018	71 (65–89)	48 (6–149)	All	I–III	3.33	Ref	PCR/DFS/OS	NR	6
Lee <sup>27</sup>	2019	50	Korea	2008–2015	43.5 (25–66)	NR	TNBC	II–III	3.16	ROC	PCR	NR	6
Graziaco <sup>28</sup>	2019	373	Italy	1999–2018	50 (26–82)	NR	All	II–III	2.42	ROC	PCR	NR	6
Dan <sup>29</sup>	2020	242	China	2012–2017	50 (32–70)	NR	All	II–III	3.1	Mean	PCR	NR	6
Muñoz-Montaño <sup>30</sup>	2020	1519	Mexico	2007–2015	49 (22–88)	52.9 (6–121)	All	I–III	2	ROC	PCR/DFS/OS	M/U	8
Bae <sup>31</sup>	2020	1097 (638/459)	Korea	2007–2018	47 (20–84)	56 (5–152)	(HR+/HER2–(638) and TNBC (459))	I–III	2.74	ROC	PCR/DFS/OS	M/U	8
Eren <sup>32</sup>	2020	131	Turkey	2009–2018	49 (23–74)	NR	All	II–III	1.95	ROC	PCR	NR	6
Hu <sup>33</sup>	2020	480	China	2012–2016	40	NR	HR+/HER2–	I–III	NR	NR	PCR	NR	6
Dong <sup>34</sup>	2020	170	China	2010–2014	NR	34 (6–74)	TNBC	II–III	1.928	Median	PCR/DFS	M	7
Jiang <sup>35</sup>	2020	249	China	2014–2018	51	31 (4–72)	All	I–III	2.13	ROC	PCR/OS	M/U	8

'M' means the HR come from multivariate analysis, 'U' means the HR comes from univariate analysis, M/U means both were available in the original study. BCSS, breast cancer-specific survival; DFS, disease-free survival; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; NOS, Newcastle-Ottawa Quality Assessment Scale; NR, not reported; OS, overall survival; pCR, pathological complete response; ref, reference; RFS, Recurrence-free survival; ROC, receiver operating characteristic; TNBC, triple negative breast cancer.



**Figure 2** Forest plots illustrating the association between NLR and PCR (A), DFS (B) and OS (C) of breast cancer treated with NAC. Results are presented as individual and pooled or HR with their 95% CI. DFS, disease-free survival; NAC, neoadjuvant chemotherapy; NLR, neutrophil-to-lymphocyte ratio; OS, overall survival; PCR, pathological complete response.

model revealed a pooled HR of 2.120 (95% CI 1.599 to 2.811,  $p < 0.001$ ) and 2.261 (95% CI 1.065 to 4.801,  $p = 0.034$ ) for studies published before and after 2018, respectively. Stratification by geographic location showed that NLR was not associated with DFS in non-Asian populations ( $p = 0.912$ ). Conversely, in Asian patients, an elevated NLR was linked with shorter DFS (HR obtained from fixed-effects model: 2.478, 95% CI 1.914 to 3.208,  $p < 0.001$ ,  $I^2 = 22.70\%$ ,  $Ph = 0.241$ ). Subgroup analyses by sample size revealed a pooled HR of 4.091 (95% CI 2.464 to 6.793,  $p < 0.001$ ,  $I^2 = 0.00$ ,  $Ph = 0.542$ ) for sample size smaller than 200 and 2.604 (95% CI 1.927 to 3.518,  $p = 0.007$ ) for sample size greater than 200. In addition, subgroup analysis was performed according to a cut-off

value of 2.3, tumour subtype, and univariate and multivariate analysis (table 3). The heterogeneity issue in the analysed study was addressed by performing meta-regression analyses based on publication year (before 2018 or after 2018), location (Asian or non-Asian), sample size ( $\leq 200$  or  $> 200$ ), cut-off value ( $\leq 2.3$  or  $> 2.3$ ), tumour subtype, and univariate analysis and multivariate analysis. The meta-regression analyses did account for the heterogeneity source caused by study location and sample size ( $p$  for subgroup difference = 0.008 and 0.044, respectively.) (table 3). The fixed-effects model ( $I^2 = 40.00\%$ ,  $Ph = 0.139$ ; figure 2C) was also used for studies evaluating OS. The results revealed that an elevated NLR predicted a worse OS with a combined HR of 1.691 (95% CI 1.365 to 2.096,  $p = 0.000$ ; table 3). We performed further subgroup analysis for the OS subset using various clinical features included in the heterogeneity literature, and no significant changes were identified after stratification (online supplemental table 1).

### Sensitivity analysis

According to the sensitivity analysis, the majority of the studies were near the central line with no clear deviation, as illustrated in figure 3A,B.

### Publication biases

Visual inspection of the Begg's test or funnel plots did not reveal any publication bias (figure 4A,B). The Begg's test z-score p values were 0.108 and 0.474 for pCR and DFS, respectively.

## DISCUSSION

This meta-analysis assessed the impact of pretreatment NLR on pCR and survival on 5504 patients with breast cancer from 17 studies. The findings indicate that elevated NLR significantly predicted poor pCR in patients with breast cancer with NACT (OR 1.620, 95% CI 1.209 to 2.169,  $p < 0.001$ ). Only 11 studies analysed the relationship between NLR elevation and DFS in patients with breast cancer. The combined HR of 2.269 based on the random-effects model (95% CI: 1.557 to 3.307,  $I^2 = 71.30\%$ ,  $Ph = 0.000$ ,  $p < 0.001$ ) demonstrated that patients with an elevated NLR had worse DFS postsurgery. Furthermore, NLR elevation was also associated with worse OS (HR 1.691, 95% CI 1.365 to 2.096,  $p < 0.001$ ) in 3547 patients with breast cancer in six studies.<sup>17 19 24 30 31 35</sup> Consistent with previously published studies, our findings suggest that pCR was more likely to be achieved in patients with breast cancer with an NLR below 2.3, leading to improved DFS and OS irrespective of the clinical stage, nuclear grade, the value of Ki67 expression levels and chemotherapy regimen.<sup>2 4 18</sup>

Following subgroup analysis, we found that NLR was only significantly associated with pCR in patients with TNBC,<sup>18 21 27 31 34</sup> but not in those who were HR+/HER2-.<sup>17 31 33</sup> NLR tends to be relatively low in TNBC subtypes partially due to high lymphocyte activity.<sup>27</sup>

**Table 2** Association between NLR and pCR for different subgroups

Subgroup analysis of PCR	No of studies	No of patients	OR (95% CI)	P (z-test)	Heterogeneity		P for subgroup difference
					I <sup>2</sup>	Ph(Q)	
Total	17	5504	1.620 (1.209 to 2.169)	0.001	66.00%	0.000	
Year							0.571
≤2018	8	1193	2.144 (0.949 to 4.844)	0.067	78.00%	0.000	
>2018	9	4311	1.452 (1.133 to 1.860)	0.003	46.30%	0.061	
Country							0.78
Asian	13	3358	1.726 (1.167 to 2.553)	0.006	71.00%	0.000	
Non-Asian	4	2146	1.189 (0.974 to 1.451)	0.089	0.00%	0.435	
Sample size							<b>0.04</b>
≤200	9	1090	2.783 (1.484 to 5.221)	0.001	55.70%	0.021	
>200	8	4414	1.254 (0.970 to 1.621)	0.084	56.20%	0.025	
Cut-off value							0.72
≤2.3	9	2947	1.387 (0.930 to 2.069)	0.108	69.30%	0.001	
>2.3	7	2077	2.334 (1.345 to 4.051)	0.003	59.50%	0.022	
NR	1	480	1.358 (0.787 to 2.343)	0.271	–	–	
Subtype							0.256
ALL	10	3402	1.491 (1.040 to 2.137)	0.030	65.20%	0.003	
HR+/HER2–	2+(1)	1275	1.320 (0.646 to 2.697)	0.446	49.00%	0.141	
TNBC	4+(1)	827	2.905 (1.350 to 6.249)	0.006	69.10%	0.003	
HER2-positive	0	0	–	–	–	–	

Bold values mean  $p < 0.05$ .

HER2, human epidermal growth factor receptor 2; HR, hormone receptor; NLR, neutrophil-to-lymphocyte ratio; NR, not reported; pCR, pathological complete response; Ph(Q), p values of Q test for heterogeneity test; TNBC, triple-negative breast cancer.

The pCR rate has a unique prognostic value in TNBC subtype.<sup>36 37</sup> In patients with low NLR, chemotherapy stimulates the immune response, thereby accelerating the antitumour effect,<sup>38</sup> indicating that NLR could potentially be used as an immunoinflammatory marker to predict the response to NACT in TNBC patients.

We also evaluated the relationship between NLR and prognosis. As opposed to the result by Xue *et al* and Cullinane *et al*,<sup>39 40</sup> the pooled data indicated that high NLR was significantly correlated with OS ( $p=0.001$ ) and DFS ( $p<0.001$ ). Furthermore, analysis based on tumour subtype revealed a statistically significant correlation between NLR and DFS in HR+/HER2– and TNBC subtypes. Koh *et al* reported the NLR in 157 patients with breast cancer and also found a statistically significant relationship among NLR elevation, DFS and OS in patients with HR+/HER2–.<sup>17</sup> Similarly, Bae *et al* evaluated 638 HR+/HER2– patients with breast cancer and also identified that a high NLR as a significant independent parameter affecting DFS and OS.<sup>31</sup> The TNBC subtype of 827 patients was studied by four centres and was significantly linked with a worse prognosis in all studies.<sup>18 21 31 34</sup> This finding is consistent with previous studies confirming that TNBC was more immunogenic than other subtypes of breast cancer, and higher pretreatment levels of tumour-infiltrating lymphocytes were correlated with improved

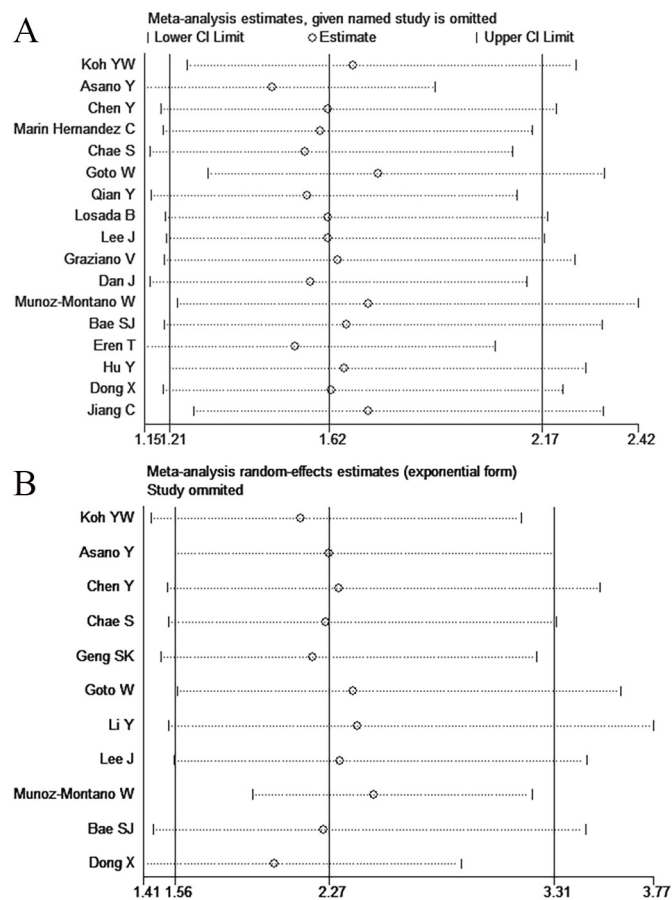
survival in TNBC patients treated with NACT.<sup>41–43</sup> Nevertheless, our study included a larger number of patients and studies conducted after 2018. These studies showed a more significant correlation with survival, possibly due to the provision of a more standardised preoperative treatment.

The underlying mechanisms behind the role of elevated NLR levels and poor outcomes in cancer patients are poorly understood. A possible explanation could be that NLR levels are associated with inflammation. Studies have shown that inflammation caused by the tumour microenvironment promotes the initiation, proliferation, haematogenous spread and survival of tumour cells. This eventually reduces the response to anticancer agents.<sup>6 7</sup> Neutrophils form part of the tumour microenvironment and therefore have an important role in tumour progression and in the development of pathways leading to treatment resistance.<sup>44</sup> It has been suggested that the tumour-induced neutrophils suppress cytotoxic CD8 +T lymphocytes by producing inducible nitric oxide synthase, a major inflammatory mediator, limiting tumour spread.<sup>45</sup> This implies that the pretreatment immunity-inflammation peripheral blood index measured in the form of a combined neutrophil and lymphocyte counts is more likely to represent a better response to therapy.

**Table 3** Associations between NLR and DFS and OS for different subgroups

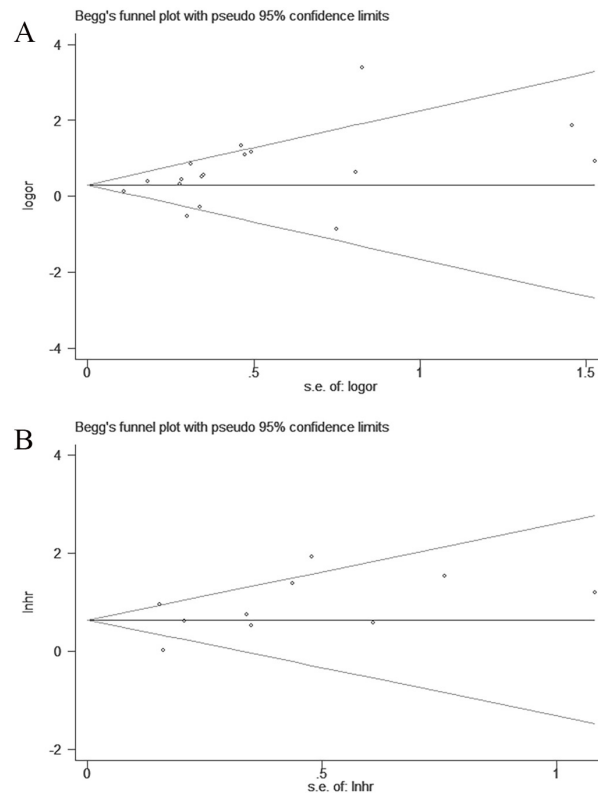
Subgroup analysis of DFS and OS	No of studies	No of patients	Random-effects model			Fixed-effects model			Heterogeneity		P for subgroup difference
			HR (95% CI)	P (z-test)	HR (95% CI)	P (z-test)	I <sup>2</sup>	Ph(Q)			
DFS	11	4001	2.269 (1.557 to 3.307)	0	1.900 (1.607 to 2.247)	0	0.713	0	0.816		
Year											
≤2018	7	1165	2.120 (1.599 to 2.811)	0	2.120 (1.599 to 2.811)	0	0	0.519			
>2018	4	2836	2.261 (1.065 to 4.801)	0.034	1.790 (1.453 to 2.205)	0	0.886	0			
Country									0.008		
Asian	10	2482	2.478 (1.914 to 3.208)	0	2.433 (1.996 to 2.966)	0	0.227	0.241			
Non-Asian	1	1519	1.018 (0.743 to 1.395)	0.912	1.018 (0.743 to 1.395)	0.912	–	–			
Sample size									0.044		
≤200	6	621	4.091 (2.464 to 6.793)	0	4.091 (2.464 to 6.793)	0	0	0.542			
>200	5	3380	2.604 (1.927 to 3.518)	0.007	1.729 (1.448 to 2.066)	0	0.783	0.001			
Cut-off value									0.785		
≤2.3	7	2483	2.525 (1.377 to 4.628)	0.003	1.577 (1.243 to 2.000)	0	0.758	0			
>2.3	4	1518	2.286 (1.804 to 2.896)	0	2.286 (1.804 to 2.896)	0	0	0.388			
Subtype									0.361		
All	4	2069	1.622 (0.958 to 2.747)	0.072	1.279 (0.987 to 1.656)	0.062	0.608	0.054			
HR+/HER2–	1+(1)	795	2.704 (1.822 to 4.013)	0	2.681 (1.849 to 3.888)	0	0.055	0.304			
TNBC	4+(1)	827	3.206 (1.914 to 5.371)	0	2.737 (1.759 to 4.259)	0	0.236	0.27			
HER2-positive	1	310	1.856 (1.1238 to 2.782)	0.003	2.062 (1.109 to 4.446)	0.003	–	–			
Analysis method									0.504		
Univariate analysis	9	3744	1.820 (0.696 to 20.976)	0.123	56.721 (53.541 to 60.091)	0	0.993	0			
Multivariate analysis	8	2615	2.300 (1.364 to 3.879)	0.002	1.546 (1.253 to 1.907)	0	0.775	0			
OS	6	3547	1.816 (1.326 to 2.487)	0	1.691 (1.365 to 2.096)	0	0.4	0.139			

DFS, disease-free survival; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; NLR, neutrophil-to-lymphocyte ratio; OS, overall survival; Ph(Q), p values of Q test for heterogeneity test; TNBC, triple-negative breast cancer.



**Figure 3** Sensitivity analysis of the relationships between high NLR and PCR (A) and DFS (B). DFS, disease-free survival; NLR, neutrophil-to-lymphocyte ratio; PCR, pathological complete response.

This study has several limitations that need to be considered. First of all, the limited availability of HER2-positive breast cancer data could potentially have biased the results of the research findings. Second, the studies included in our analysis were all retrospective. In addition, the NACT regimens and doses could have varied among studies. Almost all patients received standardised NACT based on anthracycline and/or taxane. Therefore, the impact of using different NACT regimens could not be evaluated in our meta-analysis. Besides, the tendency of studies with positive results to be published and the fact that we could only include written English papers might have introduced potential publication bias in our meta-analysis, although the funnel plots and Begg's test indicated that there were no significant publication bias in pCR and DFS studies ( $p > 0.05$ ). Finally, the patient characteristics, including age distribution, presence of concurrent disease, geographic location and NLR cut-off value varied among studies, potentially leading to heterogeneity, which is a common limitation of all meta-analyses. The need to pool the HR for OS results could have increased the heterogeneity further. Since it was not possible to account for all sources of heterogeneity in this meta-analysis, further research is recommended to assess



**Figure 4** Funnel plots for the detection of publication bias of the PCR (A) and DFS (B). DFS, disease-free survival; PCR, pathological complete response.

the relationship between NLR and tumour prognosis more accurately.

## CONCLUSION

This study found a reduced association between high levels of NLR and pCR achievement following NACT in patients with HER2-negative breast cancer. High values of NLR seemed also to be associated with worse survival. This implies that NLR is a promising non-invasive prognostic inflammation marker that could be developed to assist the clinical decision-making process regarding the use of NACT in patients with breast cancer. However, further studies with larger samples are recommended to explain the relationship between high NLR and worse survival for all breast cancer subtypes.

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