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Mesenchymal stem/stromal cell—based therapies for COVID-19: First iteration of a living systematic review and meta-analysis MSCs and COVID-19



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ABSTRACT

Background: Mesenchymal stem/stromal cells (MSCs) and their secreted products are a promising therapy for COVID-19 given their immunomodulatory and tissue repair capabilities. Many small studies were launched at the onset of the pandemic, and repeated meta-analysis is critical to obtain timely and sufficient statistical power to determine efficacy.

Methods and Findings: All English-language published studies identified in our systematic search (up to February 3, 2021) examining the use of MSC-derived products to treat patients with COVID-19 were identified. Risk of bias (RoB) was assessed for all studies. Nine studies were identified (189 patients), four of which were controlled (93 patients). Three of the controlled studies reported on mortality (primary analysis) and were pooled through random-effects meta-analysis. MSCs decreased the risk of death at study endpoint compared with controls (risk ratio, 0.18; 95% confidence interval [CI], 0.04 to 0.74; P = .02; $I^2 = 0\%$), although follow-up differed. Among secondary outcomes, interleukin-6 levels were most commonly reported and were decreased compared with controls (standardized mean difference, -0.69; 95% CI, -1.15 to -0.22; P = .004; $I^2 = 0\%$) (n = 3 studies). Other outcomes were not reported consistently, and pooled estimates of effect were not performed. Substantial heterogeneity was observed between studies in terms of study design. Adherence to published ISCT criteria for MSC characterization was low. In two of nine studies, RoB analysis revealed a low to moderate risk of bias in controlled studies, and uncontrolled case series were of good (3 studies) or fair (2 studies) quality.

Conclusion: Use of MSCs to treat COVID-19 appears promising; however, few studies were identified, and potential risk of bias was detected in all studies. More controlled studies that report uniform clinical outcomes and use MSC products that meet standard ISCT criteria should be performed. Future iterations of our systematic search should refine estimates of efficacy and clarify potential adverse effects.

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Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the pathogenic β -coronavirus that causes coronavirus disease 2019

(COVID-19), has spread rapidly around the world, creating an urgent need for effective therapies that can prevent excessive mortality [1]. SARS-CoV-2 infects cells via attachment of its spike (S) protein to the angiotensin-converting enzyme 2 (ACE2) receptor on the surface of target cells [2]. The subsequent endocytosis of the ACE2 complex leads to increased free serum angiotensin II (Ang II), which can induce profound inflammatory responses through binding with

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angiotensin receptor type 1 and activation of the nuclear factor- κB (NF- κ B) pathway [3], as well as the conversion of membrane bound interleukin (IL)- $6R\alpha$ to soluble IL-6 (sIL-6), activating signal transducer and activator of transcription 3 (STAT3) [3]. The synergistic activation of NF- κ B and STAT3 creates a positive feedback loop that further augments the production of pro-inflammatory cytokines and chemokines [3], attracting pro-inflammatory immune cells to infected tissues [4] and resulting in a dramatic augmentation in proinflammatory cytokine production termed the "cytokine storm" [5]. This cytokine storm causes considerable tissue damage through apoptosis, necroptosis [6] and pyroptosis [7]. Pro-inflammatory responses and the cytokine storm induced by SARS-CoV-2 infection can lead to pulmonary complications including acute lung injury, pulmonary edema and acute respiratory distress syndrome (ARDS) [8,9], which may require intubation and ventilator support in intensive care units (ICUs).

Since SARS-CoV-2 first emerged in December 2019, few approved therapies have emerged to supplement the ongoing COVID-19 vaccination efforts that have been launched [10]. With many people remaining vulnerable because of slower uptake of vaccinations in some areas, combined with the emergence of increasing variants of concern, the need for effective therapy remains a pressing issue.

Mesenchymal stem/stromal cells (MSCs) were quickly viewed with significant promise to treat COVID-19 [11], and many studies were launched rapidly, with several now completed and reported. MSCs are multipotent stem-like cells that can be isolated from a number of adult and neonatal tissues including bone marrow, adipose tissue, umbilical cord and placenta [12]. MSCs have demonstrated immunomodulatory, antimicrobial and tissue-regenerative capabilities across a wide variety of diseases in both preclinical and clinical studies [13-17) (see Box 1 for a summary of mechanisms of immune modulation by MSCs). After migration to sites of infection or injury, MSCs can reduce neutrophil infiltration [18], suppress CD8⁺ T cell proliferation, polarize pro-inflammatory M1 macrophages and T helper 1 (Th1) CD4⁺ T cells to anti-inflammatory M2 macrophages and Th2 CD4⁺ T cells, promote regulatory T cell (T reg) and regulatory B cell (B reg) function, suppress dendritic cell maturation and function, and modulate natural killer (NK) cell activity [19]. The immunomodulatory actions of MSCs on these immune cells are mediated through the secretion of many soluble factors [18,20] and through direct cell-cell contact, and MSCs may target the IL-6 amplifier protein directly by attenuating the hyperactivation of NF- κ B and STAT3 [21,22]. The vast majority of preclinical and clinical studies examining the use of MSC-based therapeutics have found that MSCs have minimal immunogenicity when administered to patients [23,24] and do not lead to further inflammation or worsening of the cytokine storm. Moreover, the occurrence of adverse events resulting from MSC therapy is rare [25,26].

An emerging method of conducting systematic reviews are living systematic reviews, which involve frequent updating to incorporate new evidence as soon as it becomes available, providing clinicians, scientists and policymakers with the most up-to-date, high-quality information surrounding specific topics [27]. Living systematic reviews have been recently conducted to provide estimates regarding the safety and efficacy for many repurposed therapeutics in the context of COVID-19 [28-30) and seem most appropriate for the analysis of MSCs in COVID-19, with the expectation that many studies were launched early in the pandemic and will be published over the ensuing 12 to 18 months [31].

Pooled estimates regarding the use of MSCs to treat patients with COVID-19 are needed, as nearly all studies in this area are small and lack sufficient statistical power to determine efficacy on their own. Meta-analysis may be limited, however, by heterogeneity in aspects of study design, product characterization, outcome measures and differences in participant populations enrolled between studies. Timely regulatory approval and clinical translation will likely require metaanalysis of similar high-quality, well-designed studies identified through a systematic search of the literature to determine whether MSC-based therapeutics are safe and effective for the treatment of COVID-19. A living systematic review and meta-analysis is needed to keep pace with the rapid evolution of new information related to the pandemic and to provide insight from a combined sample size that will have sufficient power for determining efficacy.

Methods

This systematic review is reported in accordance with the Preferred Reporting Items for Systematic reviews and Meta-Analysis (PRISMA) guidelines [32] (Figure S1.). The study protocol has been published [33] and is registered at the International Prospective Registry of Systematic Reviews (PROSPERO; CRD42021225431).

Literature search strategy

A systematic search of all clinical studies (controlled and uncontrolled) examining the use of MSCs and/or their secretome (which includes conditioned media [MSC-CM] or extracellular vesicles [MSC-EVs] derived from MSCs) as a therapeutic intervention was conducted from 1947 to February 3, 2021, in Embase Classic+Embase, Ovid MED-LINE, Ovid EBM Reviews and the Cochrane Central Register of Controlled Trials. The search strategy was developed in collaboration with a health sciences librarian (R. Shorr) specializing in systematic review searches and was peer-reviewed by a second librarian according to the Peer Review of Electronic Search Strategies (PRESS) framework [34]. The reference lists of included studies and relevant reviews captured by the search were also examined by two independent reviewers (A.M. Kirkham, M. Monaghan) to ensure that all relevant articles were captured. The full search strategy is outlined in Figure S2.

Eligibility criteria

All English-language, full-text, clinical studies examining the use of MSCs or their secretome (MSC-EVs, MSC-CM) as a therapeutic intervention for COVID-19 were included. Studies could be single armed (uncontrolled) or have a comparator or control groups (controlled). For the controlled studies, all randomization methods were considered acceptable (randomized, pseudo-randomized, and nonrandomized). Studies published in languages other than English, review articles, commentaries, editorials, letters, case reports, conference abstracts, unpublished gray literature and other study types (in vitro studies, preclinical animal studies, etc.) were excluded. All symptomatic or asymptomatic patients with confirmed SARS-CoV-2 infection (quantitative RT-PCR, antibody assay, etc.) were included. MSCs derived from any known applicable tissue source (bone marrow, adipose tissue, umbilical cord, dental pulp, placenta, etc.) were acceptable. MSCs could be obtained from syngeneic, allogeneic or xenogeneic tissues. All routes of MSC/secretome administration were acceptable (intravenous injection, aerosol inhalation, intramuscular injection, etc.). MSC-based products could also be administered along with other therapeutic agents (antivirals, anti-cytokine drugs, immunomodulatory agents, etc.). Studies exclusively investigating other non-MSC-based therapeutics were excluded.

Outcomes

The primary analysis of this study was mortality rate at study endpoint. Secondary analyses included number of patients requiring ICU admission; number of patients requiring mechanical ventilation; length of time in hospital, in ICU or on mechanical ventilation; presence and severity of clinical symptoms (fever, cough, shortness of breath, chest pain, *etc.*); presence and size of pulmonary lesions on radiographic imaging (*i.e.*, computed tomography scan); change in oxygenation levels (*e.g.*, PaO₂/FiO₂ ratio), viral load and body temperature; organ failure assessment score (e.g., Sequential Organ Failure Assessment [SOFA]); circulating levels of immune cells (lymphocytes, neutrophils, macrophages, regulatory dendritic cells, NK cells, *etc.*), pro-inflammatory cytokines (IL–6, tumor necrosis factor [TNF]– α , interferon [IFN]– γ , *etc.*), anti-inflammatory cytokines (IL–10, transforming growth factor [TGF]- β , *etc.*) and inflammatory markers (C–reactive protein, ferritin, D–dimer, *etc.*); and adverse events arising from MSC-based product administration (tumorigenesis, thromboembolism, *etc.*)

Study selection

All citations identified in the search were imported into Rayyan (https:// rayyan.qcri.org/) for management of search records. After duplicates were removed, the study titles and abstracts were screened in duplicate by two independent reviewers (A.M. Kirkham, M. Monaghan). After all potentially relevant titles and abstracts were identified, the full texts of all potentially relevant studies were reviewed in duplicate to determine final eligibility. In cases of disagreement between the two reviewers, consensus was achieved through discussion with a third senior team member (D.S. Allan).

Data extraction

All relevant data was extracted in duplicate by two independent reviewers (A.M. Kirkham, M. Monaghan) from the included studies using a standardized data extraction template in Microsoft Excel (Microsoft, Seattle, WA). In cases of disagreement between the two reviewers, the differences were resolved through consultation with a senior team member (D.S. Allan). Specific data extracted from studies included study characteristics (e.g., authors, publication year, country of study), study design (characteristics of control group, sample size, length of observation period, planned preconditioning or alterations to MSCs or secreted factors before therapeutic use, MSC/secretome isolation and characterization methods, etc.), patient characteristics (age, sex, comorbidities, COVID-19 severity, symptoms upon hospital admission, etc.), intervention characteristics (MSC tissue source, MSC/secretome dose, route of administration, number of doses, whether MSC-based products met all of the minimal criteria established in guidelines by the International Society for Cellular Therapy [ISCT] [35] and/or Minimal Information for Studies of Extracellular Vesicles [MISEV] [36] criteria), all data pertaining to primary and secondary outcomes, and details concerning risk of bias (RoB) determination. RoB assessment was conducted using the Risk-of-Bias Tool for Randomized Trials (ROB 2) [37] for randomized controlled trials, the Risk of Bias in Non-randomized Studies of Interventions (ROBINS I tool) [38] for non-randomized controlled studies, and the Evidence Based Medicine (EBM) tool [39] for case series. ImageJ software was used to extract data in graphical format (https://imagej.nih.gov/ij/ download.html).

Data analysis

The results from individual studies were pooled for meta-analysis using Review Manager (version 5.4) Systematic Review Software (https://training.cochrane.org/online-learning/core-software-

cochrane-reviews/revman/revman-5-download). For dichotomous outcomes, risk ratios (RRs) were calculated to determine the risk of death between the control and experimental groups at study endpoint. For continuous outcomes, the standardized mean difference (SMD) between control and experimental groups was calculated using random effects meta-analyses. Significance in pooled analysis was performed using the DerSimonian and Laird random effects model. All data is presented with 95% confidence intervals (CIs). Meta-analysis was performed only when three or more controlled studies reported on the same outcome. Outcomes that were reported in fewer than three controlled studies or for which adequate data for inclusion in meta-analysis was not provided were analyzed in a descriptive manner. Statistical heterogeneity was assessed using the l^2 statistic. Potential subgroup analyses were determined a priori in our study protocol with the goal of determining if the effect of MSCs as a therapeutic intervention for COVID-19 was significantly different for studies that used MSCs from specific tissue sources, MSCs versus secreted factors (MSC-EVs, MSC-CM), or in patients with varying COVID-19 severity. Because of the small number of studies included in each of our quantitative analyses, we did not perform a planned analysis for publication bias. Finally, P < .05 was considered significant for all analyses.

Results

Literature search

A total of 459 unique records were identified in our systematic search of the literature after duplicates were removed. Nine articles met the criteria for inclusion in our analysis [40-48). Reasons for study exclusion were trial protocol only (n = 57); reviews, editorials or commentaries (n = 9); non-MSC cells (n = 8); and uncontrolled case series in languages other than English (n = 4; one Spanish, one Chinese, one Persian, and one Russian) (Figure 1).

Study characteristics

The characteristics of the nine included studies are summarized in Table 1. Four of the studies were controlled [40-43), and five were uncontrolled [44-48). Two of the controlled studies were randomized controlled trials (RCTs) [41,43], and two were nonrandomized controlled trials [40,42]. All five of the uncontrolled studies were case series [44-48). Study publication date ranged from March 9, 2020, to January 29, 2021. Five of the studies were conducted in China [40-42,45,46], two in the United States [43,44], one in Iran [48] and one in Spain [47].

Patient characteristics

In total, there were 189 patients (mean age 58.3 ± 6.3 years; 124 male) enrolled across all study groups, and 136 patients (mean age 58.5 ± 6.7 years; 96 male) were administered MSC-based therapy as a therapeutic intervention for COVID-19. In the controlled studies, 40 patients (55.5 ± 7.1 years of age; 24 male) were treated with MSCs, and 53 patients (57.9 ± 6.4 years of age; 28 male) served as controls. The distribution of patients with mild, moderate, severe and critical COVID-19 at the time of treatment with MSC-based treatment was somewhat similar for patients in the intervention groups and controls; however, there were more patients with mild COVID-19 and fewer with severe disease in the intervention group compared with the control group (Table 1).

In terms of patient comorbidities, there were more obese patients in the intervention group compared with controls (27.5% versus 9.4%). However, all other comorbidities, including hypertension, diabetes, chronic obstructive pulmonary disease (COPD), coronary artery disease and hyperlipidemia, appeared well balanced between control and intervention groups (Table 1).

Intervention characteristics

Intervention characteristics are summarized in Table 2. Eight studies used MSCs [40-43,45-48), and one study used MSC-EVs (exosomes) [44]. All MSCs were derived from allogeneic human tissues, including umbilical cord (n = 5) [41-43,45,46], bone marrow (n = 1) [44] and adipose tissue (n = 1) [47]. One study used MSCs derived from both umbilical cord and placental tissue [48]. One study did not



Figure 1. Results of systematic search of the literature. MEDLINE and Embase and Cochrane Central Register of Controlled Trials, searched from 1947 up to February 3, 2021. (Color version of figure is available online.)

report the tissue source for MSCs [40]. The passage number of the MSCs varied widely between studies (see Table 2], with four studies not reporting how many passages were performed before harvesting MSCs from *ex vivo* culture. With regard to the extent that studies reported on specific ISCT criteria [35] for MSC characterization, only two of the nine studies addressed all three minimal criteria established by the ISCT. Specific details regarding the number of studies meeting each of the three individual ISCT criteria can be found in Table 2. The study that used MSC-EVs (termed *exosomes* in the study) did not report sufficient details to allow classification of the EVs within the MISEV [36] criteria for characterization.

MSC doses varied and the format of reporting dose differed between studies, including cells per kilogram of body weight (n = 4; 1 to 2×10^6 cells/kg), total cells per injection (n = 4; 0.3 to 2.0×10^8 cells) and milliliters of ExoFlo (n = 1; 15 mL) in the case of MSC-EVs. All nine studies administered their product intravenously. Most patients (41.9%) received one infusion of MSCs, although other studies reported administering up to four MSC infusions (see Table 2). The reported time from COVID-19 diagnosis to MSC administration (median of 6.5 days across studies, n = 8, range 1 to 15) was similar between control groups (4.0 days, range 1 to 14) and intervention groups (5.9 days, range 1 to 11.5) in the controlled studies.

Patients were administered other therapeutic agents in addition to MSCs or MSC-EVs in eight of the nine studies (88%). The specific therapeutic agents administered varied considerably between studies and are summarized in Table 3. Two of the studies stated that they used medications in addition to MSCs but did not specify what medications were used. The median period of follow-up after MSC administration was 22.0 days (range 14 to 60).

Primary outcome: mortality

Outcomes reported across studies are summarized in Table 4. All nine studies reported mortality. The mortality rate at endpoint for all

Table 1

Characteristics of patients enrolled in clinical studies of mesenchymal stromal cells (MSCs) as a therapeutic intervention for COVID-19.

Patient characteristics	All studies	Controlled studies (n = 4)		
	(n = 9)	Control groups	MSC groups	
Number of patients	189	53	40	
Male sex (%)	65.6	52.8	60.0	
Age (y)	58.3 (6.3)	57.9 (6.4)	55.5 (7.1)	
Covid-19 severity				
Mild	9 (4.8)	3 (5.7)	5 (12.5)	
Moderate	30 (15.9)	5 (9.4)	5 (12.5)	
Severe	123 (65.1)	45(84.9)	29 (72.5)	
Critical	27 (14.3)	0(0.0)	1 (2.5)	
Comorbidities				
Hypertension	71 (37.6)	16 (30.2)	13 (32.5)	
Diabetes	56 (29.6)	11 (20.8)	9 (22.5)	
Obesity	16 (8.5)	5 (9.4)	11 (27.5)	
Chronic obstructive	8 (4.2)	0(0.0)	0(0.0)	
pulmonary disease				
Coronary artery	9 (4.7)	3 (5.7)	1 (2.5)	
disease				
Hyperlipidemia	5 (2.6)	0(0.0)	0(0.0)	
Chronic kidney failure	3 (1.6)	0(0.0)	0(0.0)	
Other*	20 (10.6)	3 (5.7)	0(0.0)	
Follow-up(d)	22 (14 to 60)	21 (14 to 28)	21 (14 to 28)	

Data are n (%) or mean (standard deviation) unless noted otherwise. *Includes ex-smoker, pre-diabetes, asthma.

Table 2

Intervention characteristics for clinical studies of patients administered mesenchymal stromal cells (MSCs) as a therapeutic intervention for COVID-19.

Intervention	Total Studies, n	Controlled studies, n
MSC product		
MSCs	8	4
MSC-EVs	1	0
Donor type		
Allogeneic	9	4
Autologous	0	0
MSC tissue source		
Umbilical cord/placenta	6	3
Adipose tissue	1	0
Bone marrow	1	0
Not described	1	1
Product dose		
MSCs/kg (no. of studies)	1 to $2 \times 10^6 (4)$	1 to $2 \times 10^6 (2)$
Total MSCs (no. of studies)	0.3 to 2.0×10^8 (4)	0.3 to 1.0×10^8 (2)
mL of ExoFlo MSC-EVs (no. of	15(1)	NA
studies)		
MSC infusions		
1	57 (41.9)	19 (47.5)
2	31(22.2)	12 (30.0)
3	32 (23.5)	9 (22.5)
4	16(11.8)	0 (0.0)
ISCT criteria		
Met all three criteria (A, B, and	2	1
C below)		
(A) Plastic adherence	2	1
(B) Trilineage differentiation	3	2
(C) Positive/negative surface	5	3
markers		

Data are n or n (%) unless noted otherwise. EV, extracellular vesicle; ISCT, International Society of Cellular Therapy; NA, not applicable.

patients administered MSCs or MSC-EVs was 17 of 136 patients (12.5%). In the controlled studies, the mortality rate at endpoint for the combined control groups was 11 of 53 patients (20.7%), whereas the mortality rate for the combined MSC groups was 1 of 40 patients (2.5%). In meta-analysis of the controlled studies (n = 3), MSCs were associated with a decreased risk of death at study endpoint (RR, 0.18; 95% CI, 0.04 to 0.74; P = .02, $I^2 = 0\%$) compared with the control group (Figure 2).

of re	ported outco	mes in clinical stu	dies examining	g mesenchyma	l stromal cells (N	ISCs) as a the	rapeutic int	tervention for C	COVID-19.							
study	Mortality rate	Diagnosis to intervention (time)	Intervention to recovery (time)	No. pts hospitalized	No. pts on supplemental O ₂	No. pts on ventilator	Time in hospital	Progression of symptoms	Improvement of symptoms	Time to clinical improvement	Oxygenation levels	Immune cell levels	Pro- inflammatory cytokines	Anti- inflammatory cytokines	Viral load	Radiological outcomes
(40)	•	•	•	•	I	I	•	•	•	•	•	•	•	•	I	•
(41)	•		1		•	•	•	•	•	•			•	1	I	
(42)	•	•		•	I	•	•	•	I	•	•	I		I	•	•
(43)	•			1	•	•	I	•	1	1	1	1	•	•	•	1
(44)	•	•	•	•	I	I	•	•	I	I	•	•	•		I	I
(45)	•	I	I	I	I	I	I	I	I	I	•	•	•	•	•	•
(46)	•	•	•	•	I	I	I	I	I	I	•	•	•	I	•	I
(47)	•	•	•	•	•	•	I	•	•	•	I	•	•	I	I	•
(48)	•	•	•	I	•	•	•	•	•	•	•	•	•	•	T	•
Total	6	8	7	9	4	5	5	7	4	5	7	7	6	4	4	9
Controll	d studies an	e highlighted in gro	ey, Outcomes r	eported in eac	h study are indic	ated by (•), a	nd outcome	es not reported	are indicated by	r (–). References	40, 41, 42, and	43 were con	trolled studies.			

Table 4

Adverse events (AEs) and severe adverse events (SAEs) reported in clinical studies examining mesenchymal stromal cells (MSCs) as a therapeutic intervention for COVID-19. Controlled studies are highlighted in grey.

Study	Safety lab values	Treatment-related AEs	Non-treatment-related AEs	Treatment-related SAEs	Non-treatment-related SAEs
(40)	-	_	_	_	_
(41)	-	-	_	_	_
(42)	•	•	_	_	•
(43)	-	•	•	_	•
(44)	-	-	_	-	•
(45)	-	_	_	_	•
(46)	-	_	_	_	_
(47)	•	_	_	_	_
(48)	•	•	_	-	_
Total	3	3	1	0	4

AEs and SAEs reported in each study are indicated by (\bullet), and AEs and SAEs not reported are indicated by (-). References 40, 41, 42, and 43 were controlled studies.

Secondary outcomes

Time to clinical improvement

Five studies (three controlled) reported on the median time from MSC administration to improvement of COVID-19 clinical symptoms (6.3 days, range 1.7 to 20.0, for all patients who received MSCs). In the three controlled studies, time from MSC administration to improvement of COVID-19 clinical symptoms was 23.0 days in control groups (range not defined, as patients did not improve in control groups of two studies) and 10.9 days (range 1.7 to 20.0) in MSC groups.

Hospitalization and ICU metrics

Six of the nine studies (three controlled) reported on the number of patients hospitalized for COVID-19 at the beginning and end of their study periods. All of the 97 patients (100%) who received MSCs or MSC-EVs were hospitalized at time of enrollment, and only 32 of 97 patients (33.0%) were still in hospital at the end of the respective study periods. In controlled studies, patients who received MSCs were less likely to remain hospitalized at the end of the study period

compared	with	controls	(odds	ratio	[OR],	0.34;	95%	CI,	0.12	to	0.91;
P = .03]).											

Immune biomarkers

All nine studies reported pro-inflammatory cytokines at baseline and study endpoint. Three of the controlled studies reported serum IL-6 levels at study endpoint in a format that could be combined in meta-analysis, which revealed that MSCs significantly decreased serum IL-6 levels compared with controls (SMD, -0.69; 95% CI -1.15to -0.22; P = .004; $l^2 = 0\%$) (Figure 3). Trends in several other proinflammatory cytokines from baseline to study endpoint were also observed among patients administered MSCs; however, none were consistently reported in enough of the controlled studies to perform meta-analysis. C-reactive protein changes were reported in seven studies (two controlled), and levels decreased in patients administered MSCs in all studies, with greater reductions in treated patients compared with controls. D-dimer levels decreased in three studies and increased in one study in patients administered MSCs in all four



Figure 2. Forest plot demonstrating decreased risk of death at study endpoint in patients administered mesenchymal stromal cells (MSCs) compared with control patients. Control groups received standard of care for COVID-19 at the time of hospital admission, which varied depending on the institution. (Color version of figure is available online.)

	Experimental			Control Std. Mean Difference			:	Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% Cl	IV, Random, 95% Cl
Lanzoni 2020	20.6998	13.49995	12	103.9303	138.635	12	30.7%	-0.82 [-1.65, 0.02]	
Meng 2020	4.766111	2.76039	9	5.634889	3.553149	9	25.1%	-0.26 [-1.19, 0.67]	
Shu 2020	1.1	1.36	12	11.7	14.67	29	44.2%	-0.83 [-1.53, -0.14]	
Total (95% CI)			33			50	100.0%	-0.69 [-1.15, -0.22]	•
Heterogeneity: Tau ² = 0.00; Chi ² = 1.07, df = 2 (P = 0.58); I ² = 0%									
Test for overall effect: Z = 2.89 (P = 0.004) Favours [experimental] Favours [control]								Favours (experimental) Favours (control)	

Figure 3. Forest plot demonstrating standardized mean difference in interleukin-6 levels between experimental (mesenchymal stromal cell [MSC]) and control groups at study endpoint. (Color version of figure is available online.) studies in which it was reported. TNF- α decreased from baseline to endpoint in patients administered MSCs in five studies.

Seven of the nine studies (two controlled) reported on changes in circulating levels of immune cells and/or other immune biomarkers. Lymphocyte count changes increased from baseline to endpoint in all seven studies (two controlled) that reported this outcome for patients who were administered MSCs.

Radiological outcomes

Six of the nine studies (three controlled) examined radiological improvement in patients after MSC administration. Five studies did so in a descriptive manner, with these studies reporting the disappearance of ground glass opacities, linear opacities and pleural effusions. One controlled study reported changes at 2 weeks in comparison to baseline and reported nonsignificant resolution of ground glass opacities (OR, 0.26; 95% CI, 0.06 to 1.09; P = .07), linear opacities (0.27; 0.07 to 1.11; P = .07) and pleural effusions (1.23; 0.10 to 14.96; P = .87).

Virological outcomes

Four studies (two controlled) reported changes in viral load from baseline to study endpoint. At the beginning of these studies, all the patients administered MSCs (100%) were positive for SARS-CoV-2 viral RNA. At the respective endpoints of these studies, none of the patients administered MSCs (0%) were positive for SARS-CoV-2 viral RNA. Two studies (one controlled) reported on changes in SARS-CoV-2 antibody titers in patients administered MSCs. Both studies displayed increasing antibody titers from baseline to endpoint in patients treated with MSCs compared with controls.

Adverse events

Adverse events are summarized in Table 5. Three studies reported adverse events associated with MSC infusion. These adverse events included facial flushing, transient fever and shivering. However, these symptoms resolved in all patients spontaneously or with minimal supportive treatment 1 to 24 h after MSC administration. Six studies reported no adverse events associated with MSC infusion. None of the studies reported severe adverse events associated with MSC infusion.

RoB, *publication bias and study quality*

RoB was assessed for the outcomes of mortality and IL-6 levels in RCTs. Regarding mortality, one RCT [43] was found to have low risk of bias, and the other RCT [41] had a risk of bias of "some concern-s"(Table S1): the method of randomization was unclear, and it was unclear whether there were deviations from intended interventions or selection of reported results. Regarding changes in IL-6 levels, one

Table 5

Concomitant therapies reported in studies. Controlled studies are highlighted in grey.

RCT [43] had a low risk of bias, and the other RCT [41] had some concerns regarding potential risk of bias (Table S2) as the method of randomization was unclear and it was unclear whether there were deviations from intended interventions, missing outcome data or selective reporting of results. For nonrandomized studies [40,42], both were found to have a moderate risk of bias (Table S3). Both studies had potential bias from confounding, measurement of outcomes (as studies did not mention blinding) and selection of reported results (as neither study preregistered their protocol). Of the included case series, three were found to be of good quality [45,47,48] and two of fair quality [44,46] (Table S4). Two of the case series did not present characterization of their MSCs [44,46].

Discussion

Our systematic review and meta-analysis of clinical studies examining the use of MSCs and/or their secretome as a therapeutic intervention for COVID-19 demonstrated a positive therapeutic effect with minimal safety concerns, although the number of studies was limited. Meta-analysis revealed that MSCs decreased the risk of death, and we noted a decrease in IL-6 levels at study endpoint compared with control groups. Although we could not perform metaanalysis of other secondary outcomes owing to inconsistent reporting, MSCs also appeared promising with regard to decreasing proinflammatory cytokines and immune cells, increasing anti-inflammatory cytokines and immune cells, improving respiratory function and the oxygenation index, correcting abnormal radiological findings, ameliorating clinical symptoms, and reducing time in hospital, time in ICU, and time on mechanical ventilation. The strength of our conclusions, however, is limited by the small sample size and by the limited number of studies in this first edition of our living systematic review. We also detected potential reporting bias in all studies examined in our review. Reporting of common outcomes at uniform time points across studies, and use of MSC-based products characterized according to published minimal criteria from the ISCT [35] and MISEV [36], are key issues that must be addressed to overcome some of these observed differences between studies and will likely be necessary to accelerate translation of MSC-based therapeutics to mainstream clinical use. This could be facilitated through the use of a master protocol. Our primary analysis was mortality, given its clear relevance to lessening the paralytic and global impact of the pandemic. In a recently reported systematic review examining the efficacy of MSCs for ARDS, overall mortality appeared reduced, although not statistically different in the MSC group compared with the control group (RR, 0.63; 95% CI, 0.21 to 1.93; P = .064; $I^2 = 35.8\%$) [49]. Although some of the patients in this review had COVID-19-induced ARDS, other patients had a broad range of underlying causes for

Study (ref)	Antiviral agents	Antibiotic agents	Glucocorticoids	Transfusion-based interventions
(40)	None	None	None	None
(41)	Abidor/oseltamivir	Moxifloxacin	Systemic glucocorticoids	None
(42)	Lopinavir/Ritonavir	None	Glucocorticoids	None
(43)	"Best standard of care"	"Best standard of care"	"Best standard of care"	"Best standard of care"
(44)	None	Hydroxychloroquine, azithromycin	None	None
(45)	"Concomitant medication"	"Concomitant medication"	"Concomitant medication"	"Concomitant medication"
(46)	Umifenovir, interferon alfa-2b, oseltamivir	Chloroquine	Methylprednisolone	Intravenous immunoglobulin, intra- venous albumin
(47)	"Supportive therapy at discretion of clinician"	"Supportive therapy at discretion of clinician"	"Supportive therapy at discretion of clinician"	"Supportive therapy at discretion of clinician"
(48)	Lopinavir/ritonavir, ribavirin, favipir- avir, Oseltamivir	Hydroxychloroquine, azithromycin, meropenem, vancomycin, imipe- nem, colistin	None	Intravenous immunoglobulin

References 40, 41, 42, and 43 were controlled studies.

ARDS (*e.g.*, pneumonia due to H7N9). The most promising study in terms of mortality reduction in this previous analysis was the single COVID-19 study that was also included in our review. Given the favorable reduction in mortality observed in our meta-analysis, it is possible that MSCs are particularly well suited to treat ARDS caused by COVID-19. Although we were unable to perform subgroup analysis to examine the difference in mortality reduction between mild/moderate COVID-19 patients (not experiencing ARDS) and severe/critical COVID-19 patients (experiencing ARDS) in this edition of our living systematic review, we plan on performing a detailed analysis of this nature in future iterations.

IL-6 levels have received significant attention as a mediator of damaging inflammation in COVID-19, particularly as part of the cytokine storm in the pathogenesis of severe and critical cases [50]. Antagonists of IL-6 receptors such as tocilizumab have been investigated as treatment but have not yielded mortality benefits in studies reported so far [51-53). Moreover, IL-6 has been associated with prognostic significance for COVID-19 [54]. Our analysis identified that MSCs lowered IL-6 levels. Whether lowering IL-6 levels contributed directly to the observed mortality benefits remains unclear. Other mediators of inflammation were also variably reported and were lowered by MSC treatment in several studies in our review, including TNF- α , IFN- γ and IL-12. Additionally, a number of studies reported that MSC administration supported the production of antiinflammatory cytokines such as IL-10, TGF- β and PGE2. Production of these anti-inflammatory cytokines by MSCs may further antagonize the pathogenic effects of pro-inflammatory cytokines [55]. Furthermore, MSCs and their secreted factors may also support the regeneration of tissues damaged by the cytokine storm through release of growth factors including hepatocyte growth factor, keratinocyte growth factor and vascular endothelial growth factor [56,57]. Thus, the pleiotropic anti-inflammatory and regenerative effects of MSCs may point to several potential mechanisms by which MSCs exert their apparent beneficial effect.

Although MSCs likely ameliorate COVID-19 through immunomodulation, MSCs may have modest constitutive immune-modulating properties [58-60). MSCs primed through exposure to pro-inflammatory mediators such as IFN- γ , TNF- α and IL-1 β have more potent immune-modulating activity and secrete higher levels of soluble anti-inflammatory factors such as IDO and PGE2 [19]. In patients with severe or critical COVID-19, high levels of pro-inflammatory cytokines at the time of MSC treatment may induce a greater immune-modulatory phenotype, even without prior *ex vivo* priming [4,61], and in patients with mild or moderate COVID-19, induction of an immune modulatory phenotype in MSCs may be less complete [61]. The role of ex vivo priming of MSCs in the treatment of COVID-19 was not identified in studies included in the first iteration of our systematic review and may be worth pursuing in future trials.

Only one study in our review examined the use of the MSC exosomes, which are part of the secretome, rather than MSCs themselves [44]. Exosomes, also referred to as small extracellular vesicles, are secreted from MSCs, range from 30 to 150 nm in size and are formed in multivesicular bodies within MSCs [62]. Studies have demonstrated that the therapeutic mechanisms of MSCs are largely mediated through the release of paracrine factors such as MSC-EVs [63]. MSC-EVs may also be amenable to a broader range of delivery methods, such as aerosol inhalation, which may be particularly relevant in the context of COVID-19 [64]. Although we were unable to perform subgroup analysis to compare the efficacy of MSC-EVs to their parent MSCs in this first edition of our living systematic review, we anticipate that an analysis of this nature will be possible in future updates.

All the studies in our review used third-party allogeneic MSCs. One of the reasons that allogeneic MSC therapy is favored over autologous MSC therapy is that third-party allogeneic MSCs can be used in an off-the-shelf manner when needed [65]. In contrast, autologous MSC therapy may introduce marked delays in treatment given the time and resources required to manufacture small batches of personalized autologous MSC products [66,67]. Furthermore, autologous MSCs from patients with advanced age or underlying health conditions have diminished therapeutic efficacy compared with allogeneic MSCs isolated from healthy donors [68,69,70].

The importance of tissue source for expanding MSCs has been addressed in previous reports of MSC treatment [71]. Most studies comparing the immunomodulatory properties of MSCs from different tissue sources have been performed in vitro. One study demonstrated that MSCs derived from adipose tissue (AT-MSCs) displayed superior inhibitory effects toward Th1 CD4⁺ T cells, CD8⁺ T cells and NK cells compared with UC-MSCs and BM-MSCs [72]. Moreover, UC-MSCs showed no inhibitory effects on B cells. Another study demonstrated that AT-MSCs have more potent immunomodulatory properties and exhibit greater IDO production compared with BM-MSCs [73]. For the treatment of COVID-19, it may be important to select tissue sources that yield MSCs with reduced expression of or lack the ACE2 receptor [74,75]. This could allow MSCs to persist longer after administration in patients with COVID-19. In our review, there were an insufficient number of studies identified to perform subgroup analysis based on MSC tissue source. As more studies reach completion, future subgroup analyses of this nature may be possible.

Our study has limitations worthy of mention. The number of studies and patients included in this first iteration of our review remains small. This modest number of studies and patients limits the confidence in the observed effects. Although this initial number of published studies identified in our search is relatively small, many registered clinical trials dealing with the use of MSC-based products as a therapeutic intervention for COVID-19 were identified in a scoping review performed by our group [31]. Additionally, only two studies reported sufficient information that allowed us to confirm that MSC products met the minimal ISCT [35] or MISEV [36] criteria for characterization. Use of MSCs that vary in terms of product characterization may influence the observed effects and limit the ability to pool results from multiple studies. Significant heterogeneity was observed between studies in terms of outcome reporting. Mortality and levels of pro-inflammatory markers were the only outcomes reported by all nine studies included in our review. Inconsistent outcome reporting reduces the number of outcomes that can be combined in meta-analysis and limits interpretation of results. None of the studies in our review examined the use of MSCs along with other COVID-19 therapeutics. Administering MSCs along with other COVID-19 therapeutics may augment their beneficial effects. Potential reporting bias was also observed in all studies included in our review. Indeed, a framework for inclusion of studies that meet robust guality criteria could facilitate earlier regulatory review of MSC-derived products based on data from meta-analysis. Regulatory review for emergency use of new treatments retains more flexibility for approvals in many jurisdictions, and this mechanism of approval could be appropriate for MSCs given the challenges of conducting large studies. A framework for inclusion of high-quality studies is provided that could accelerate future regulatory reviews (see Table 6).

Our systematic review and meta-analysis suggest that MSCs are a promising treatment for COVID-19, although the certainty of this effect is limited by the small number of studies and modest numbers of patients enrolled, as well as substantial heterogeneity between studies in terms of study design, characterization of MSC products and outcome reporting. Future studies should consider our proposed framework for the inclusion of high-quality studies in future iterations of this meta-analysis to improve the consistency of outcome reporting and reduce heterogeneity, to refine our estimates of potential benefits and safety of MSCs to treat COVID-19. Demonstrating the benefit of MSCs to treat COVID-19 using our proposed framework for identifying the highest-quality evidence should accelerate regulatory approval of MSC-based therapies. With continued reporting of

Box 1

DOX I	
Cellular mechanisms implicated in mesenchymal	stromal cell (MSC)-based immune modulation.

Cell process or target	Description	Reference
Migration	MSCs migrate in response to inflammatory mediators (cytokines and chemokines) and chemotactic gradients (growth factors) produced by infection and/or tissue damage. MSC effects are mediated by release of soluble factors or via direct cell–cell contact.	[18]
Macrophage repolarization	MSCs induce polarization of M1 macrophages (pro-inflammatory) to M2 macrophages (anti-inflammatory) through secretion of IDO and PGE2.	[19]
Dendritic cell (DC) inhibition	MSCs reduce pro-inflammatory cytokine release, decrease antigen presentation capabilities and suppress differ- entiation and maturation of DCs through secretion of PGE2 and IL-10.	[19]
Natural killer (NK) cell regulation	MSCs inhibit IFN- γ secretion and cytotoxic capabilities of NK cells through secretion of TGF- β , PGE2, IDO, IL-10 and HGF. MSCs may promote the development of CD73 ⁺ regulatory NK cells.	[19]
Neutrophil recruitment	MSCs suppress NO secretion, inhibit respiratory bursts and decrease recruitment and infiltration of neutrophils through secretion of IL-2, IL-4, IL-10, CXCL2 and CXCR2.	[18]
B cell proliferation and regulation	MSCs in hibit B cell proliferation by blocking the G0 and G1 phases of the cell cycle. MSCs also increase frequency and activity of regulatory B cells through secretion of IL-10, TGF- β and IDO.	[19]
T cell regulation	MSCs induce polarization of Th1 CD4 ⁺ T cells (pro-inflammatory) to Th2 (anti-inflammatory). MSCs may also reduce activation, proliferation and differentiation of CD4 ⁺ pro-inflammatory Th1, Th17 and CD8 ⁺ T cells through secretion of TGF- β 1 and HGF. MSCs also reduce infiltration of CD3 ⁺ T cells into the injured tissues by up-regulating Foxp3 ⁺ regulatory T cells. MSCs may also induce T cell apoptosis.	[18,19]
Cell signaling	MSCs may down-regulate the STAT3 signaling pathway through secretion of IL-17A. MSCs may suppress NF- <i>k</i> B activation through secretion of NRF and IGFBP-3.	[21,22]

CXCL2, C-X-C chemokine ligand 2; CXCR2, C-X-C receptor 2; HGF, hepatocyte growth factor; IDO, indolamine 2,3-dioxygenase; IFN-*γ*, interferon-*γ*; ; IGFBP-3, insulinlike growth factor binding protein 3IL, interleukin; NF-κB, nuclear factor-κB; NO, nitric oxide; NRF, nuclear receptor factor; PGE2, prostaglandin E2; STAT3, signal transducer and activator of transcription 3; TGF-*β*, transforming growth factor *β*; Th, T helper type.

Table 6

Recommended criteria for performing meta-analysis for purposes of potential regulatory approval of mesenchymal stromal cell (MSC)-based therapy for COVID-19.

Number of studies	Sufficient number and similar enough to perform meta-analysis that achieves the required power for
	determining efficacy (see sample size).
Study characteristics	Controlled with contemporary and similar control groups. Randomized is preferable. Concomitant
Comple size	To reduce montality from 10% to 5% a total comple of
Sample size	size of 686 in the intervention group is needed (24).
Study populations	Severe or critical COVID-19 in hospitalized patients is most commonly reported.
Outcome measurement	Mortality at day 28 is most commonly reported.
	WHO response criteria recommended but not com- monly reported.
	Secondary: IL6 levels, functional status, hospitaliza- tion, ICU admission, pulmonary function at 1, 6, 12
	months.
	Safety and adverse event reporting in accordance with best practices.
Product characterization	MSCs produced and characterized according to GMP practices and ISCT criteria.
	MSC-EVs characterized in accordance with MISEV criteria.
Risk of Bias	Studies with high risk of potential bias should not be included in meta-analysis.

modest-sized studies, we expect meta-analysis will remain critical for a timely understanding of the potential benefit of MSCs to treat COVID-19.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Availability of data and materials

Not applicable at this stage. Datasets available upon request from the corresponding author.

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Authors' contributions

AMK and DSA conceived the study design. AMK and MM performed study selection, data extraction and data analysis. AMK and DSA were responsible for the initial drafting of the manuscript. DSA, MML and DAF, provided important revisions for the protocol development as well as data extraction questions and reviewed data analysis and synthesis. All authors were involved in manuscript revisions before final approval.

Competing interests

DA is a paid medical consultant with Canadian Blood Services. The authors have no other competing interests to declare.

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Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:10.1016/j.jcyt.2021.12.001.

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