Revised: 29 April 2022

### ORIGINAL RESEARCH

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# Incidence and factors associated with post-anesthesia care unit complications in resource-limited settings: An observational study

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### Abstract

**Background and aims:** Postoperative complications are frequent encounters in the patients admitted to postanesthesia care units (PACU). The main aim of this study was to assess the incidence of complications and associated factors among surgical patients admitted in limited-resource settings of the PACU.

**Methods:** This is an observational study of 396 surgical patients admitted to PACU. This study was conducted from February 1 to March 30, 2021, in Ethiopia. Study participants' demographics, anesthesia, and surgery-related parameters, PACU complications, and length of stay in PACU were documented. Multivariate and bivariate logistic regression analyses, the odds ratio (OR), and 95% confidence interval (CI) were calculated. *p*-value < 0.05 was considered as statistically significant.

**Results:** The incidence of complications among surgical patients admitted to PACU was 54.8%. Of these, respiratory-related complications and postoperative nausea/vomiting were the most common types of PACU complications. Being a female (adjusted odds ratio [AOR] = 2.928; 95% CI: 1.899–4.512) was significantly associated with an increased risk of developing PACU complications. Duration of anesthesia >4 h (AOR = 5.406; 95% CI: 2.418–12.088) revealed an increased risk of association with PACU complications. The occurrences of intraoperative complications (AOR = 2.238; 95% CI: 0.991–5.056) during surgery were also associated with PACU complications. Patients who develop PACU complications were strongly associated with length of PACU stay for >4 h (AOR = 2.177; 95% CI: 0.741-6.401).

**Conclusion:** The identified risk factors for complications in surgical patients admitted to PACU are female sex, longer duration of anesthesia, and intraoperative complications occurrences. Patients who developed complications had a long time of stay in PACU. Based on our findings, we recommend the PACU team needs to develop area-specific institutional guidelines and protocols to improve the patients' quality of care and outcomes in PACU.

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#### KEYWORDS

anesthesia, postanesthesia care unit, postoperative complications

# 1 | INTRODUCTION

Postoperative complications in patients admitted to postanesthesia care units (PACU) are frequent encounters and approximately account for 4.25%–37.3%, with severity ranging from trivial to critical incidents.<sup>1–5</sup>

Complications types may differ in literature for various reasons: however, the most frequently encountered PACU complications were respiratory, cardiovascular, hypothermia, pain, postoperative nausea and vomiting (PONV), and central nervous system-related adverse events.<sup>6-13</sup>

According to the review reports of the Anesthesia Closed Claims Project (CCP) database, the leading cause of anesthesia-related malpractice claims is the breakdown in communication.<sup>14</sup>

The operating room (OR), the PACU, and the intensive care unit (ICU) are particularly vulnerable to communication failures between clinicians.

Ineffective communication in the PACU affects health-care expenses, length of hospital stays, unplanned ICU admission, mortality, and morbidity.<sup>15-17</sup>

The dearth of interventional studies revealed that the implementation of a checklist decreased the overall medical errors and rate of preventable adverse events in PACU.<sup>18–20</sup> Another study also showed that using the postanesthetic care tool (PACT) improves early detection of patients at risk of deterioration, handover to surgical ward nurses, and reduces health care expenses.<sup>21</sup>

Therefore, prevention and management strategies based on implementing standardized handover protocols, proper staffing of well-trained experts, monitoring devices, and infrastructures to improve the quality of patient care should be a crucial part of safe anesthesia in PACU.<sup>22,23</sup>

In previously published studies, patient, anesthesia, and surgeryrelated risk factors have been identified for PACU complications. Further explorations into the etiology of these complications should help for developing strategies to prevent and manage those critical incidents.

The recommendation and guidelines proposed vary considerably between clinical setups in a diverse health context; hence resourceoriented local solutions to each health system, particularly in resource-limited settings should be considered.<sup>24,25</sup>

In a four-centered study done in Canada, american society of anesthesiology (ASA) physical status, length of anesthesia duration, the occurrence of intraoperative complications, and use of pure spinal or narcotic techniques have been identified as independent single risk factors for PACU complications.<sup>10</sup>

On the other hand, the study done in the Philippines revealed that duration of surgery, the occurrence of intraoperative complications, and postoperative complications were identified as significant predictors for the length of stay at PACU.<sup>26</sup> Despite the magnitude of the problem in daily clinical activity, there has been very little or no research examining the incidence and factors associated with PACU complications in sub-Saharan countries including Ethiopia. The main objective of this study is to evaluate the incidence of complications and associated factors among surgical patients admitted in limited-resource settings of the PACU.

### 2 | METHODS AND MATERIALS

### 2.1 | Study design, settings, and patients

A hospital-based observational study was employed from February 01 to May 30, 2021, in St. Paul's millennium medical college and teaching hospital, Ethiopia.

This study was reported in line with STROCSS criteria and registered at www.researchregistry.com with research registry UIN: research registry 7482.

The study was approved by the St. Paul's hospital ethical clearance committee and informed written consent was obtained from each study participant and/or legal guardians of underage study participants. Confidentiality was assured throughout the research.

### 2.2 | Inclusion criteria

During the study period, we included all surgical patients who were admitted to PACU for monitoring and stabilization into this study.

## 2.3 | Exclusion criteria

Patients transferred directly from the operation theater to an ICU, ward, or outpatient department were excluded.

### 2.4 Postoperative and postanesthesia care

On the arrival of patients from the OR to the PACU, the responsible nurses applied standard monitoring. Cardiovascular variables (HR, DBP, and SBP) were measured using noninvasive monitoring devices. Respiratory-related variables (oxygen saturation, breathing pattern, and respiratory rate) were observed using pulse oximetry and clinical observation. Other adverse events (pain, PONV, hypothermia, consciousness level, bleeding from the incision site, and unplanned ICU admission) were monitored.

General anesthesia was induced using either intravenous or inhalational anesthetics agents with muscle relaxants to facilitate tracheal intubation. Inhalational agents and opioids were used for the

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maintenance of anesthesia and analgesia respectively. After the surgery was completed, reversal agents were administered before extubation and transferred to PACU depending on the patients' physiologic status and the clinical judgment of the responsible senior anesthetist.

In our setup, the overall activities including staffing and infrastructures provided in PACU are suboptimal compared to the standard of care recommended by the American Society of Anesthesiology.<sup>27</sup>

The timing of monitoring and documentation depends on the patients' physiologic status and varied among care providers of the unit. Moreover, there are no standardized pain management protocols and discharge criteria. The unit provides minimal to intermediate care for surgical patients who may require close observation of vital signs, temporary noninvasive ventilation, and hemodynamic support. This single unit is equipped with six beds to provide services for all patients regardless of the age group and type of surgery Nurses are available at all times, and anesthetists/anesthesiologists supervise the overall activities based on patients' conditions. However, the nurses working in our setup didn't receive any kinds of training in PACU. In the institution, there is a lack of a uniform and standardized checklist used for discharging the patients from one department to another; however, each patient admitted to PACU was monitored for a minimum of 1–4 h, and discharged to the respective wards/units.

The primary outcome of our study was to estimate the incidence of any complications in patients admitted to PACU. Complications were categorized into respiratory-related complications (including desaturation, stridor, and wheezing), cardiovascular (hypotension, hypertension, bradycardia, tachycardia, and shock), central nervous system (agitation, deep sedation, seizure, and confusion), and Other complications (excessive pain, hypothermia, bleeding from the incision site, reintubation, and unplanned ICU admission). Operational terms of complications are presented in Table 1.

		Management
Desaturation	Oxygen saturation <94% checked by pulse oximetry	Noninvasive ventilation
		Airway maneuvers
Stridor	High-pitched sound during inspiration	CPAP
Wheezing	High-pitched sound during expiration	
Hypertension:	A systolic BP > 160 mmHg for longer than 5 min and/or	Fluid restriction
	increased by 20% from baseline	vasodilators
Hypotension:	A diastolic BP < 90 mmHg for longer than 5 min and/or	Fluid administration
	decreased by 20% from baseline	Vasopressors
Tachycardia	Heart rate >100 for adults, different in different pediatric age groups	Observation
Bradycardia	Heart rate <60 for adults and less than 80 for children	Oxygen
		Atropine
Excessive pain	Moaning or screaming, writhing in pain at any time in PACU or	NSAIDs
	initial care dominated by pain control or requiring more analgesic than ordered.	Acetaminophen
	-	Weak opioids
ICU admission	Unplanned requirement of ICU admission before discharge from PACU	ICU care
Re-intubation	Unplanned intubation before discharge from PACU	Treating the underlying causes
Hypothermia	A temperature <36.5°C	Cooling measures
PONV	Nausea and/vomiting during PACU stay	Fluid
		Metoclopramide
Intraoperative complications	A patient who developed any cardiovascular, and/or respiratory adverse events (laryngospasm and/or bronchospasm and/or aspiration) and/or significant blood loss.	Optimizing and treating the underlying cause
Significant blood loss	A total blood loss >30% of an estimated blood volume during the intraoperative period	Blood transfusion

### TABLE 1 Operational definitions of terms

Abbreviations: CPAP, continuous positive airway pressure; ICU, intensive care unit; PACU, postanesthesia care unit; PONV, postoperative nausea and vomiting.

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### 2.5 | Sample size and sampling techniques

We calculated the sample size from the primary outcome variable by using single population formula, which is the incidence of PACU complications. Since there is no preliminary data in the study setting p = 0.5 (prevalence of PACU complications 50%) was taken for the calculation to get the largest sample size, 95% confidence interval, and 5% margin of error giving us 384 study subjects. Since the studied population in a year is less than 10,000, the corrected sample size formula was used, and the final sample size becomes 396 by adding a 10% attrition rate. A convenient sampling technique was used to select the study participants.

### 2.6 | Data collection techniques

We collected our data using a pretested questionnaire by trained 4 PACU nurses and anesthetists data collectors. Demographics and preexisting co-morbidity variables were documented from the patient medical chart. The occurrences of complications and length of PACU stay were recorded from bedside observation, monitoring devices, and documentation of attending nurses until discharging patients to the respective department. The data were cross-checked by the principal investigator to ensure accuracy and completeness.

### 2.7 | Data analysis

We entered and analyzed data using Statistical Package for Social Sciences (SPSS version 26). We used descriptive statistics to summarize the frequency table, and the standardized residual tests to test the outlier data. Multicollinearity was checked by VIF, tolerance, and confidence index. All independent variables were analyzed using bivariate analysis, and the variables that had an association at a *p*-value less than or equal to 25 were entered into a multivariable logistic regression model, and *p*-value < 0.05 was considered to be a risk factor for PACU complications during the postoperative period in this study. The results of associated variables were presented as a frequency table, crude, and adjusted odds ratio with a 95% confidence interval. Hosmer Lemeshow test was used to check the goodness of the model, and the model was the best fit with a *p*-value of 689.

### 3 | RESULTS

# 3.1 | Demographics and pre-existing comorbidity characteristics of the study participants

A total of 396 patients admitted to PACU during the study period were enrolled for final analysis. Of these, 204 (51.51%) were males and females accounted for 192 (48.49%). The mean (SD) of the study participants was 38.99 (19.47) with a range of 4 months to 96 years. Regarding the ASA physical status, the majority 305 (77.02%) of patients were ASA class I followed by ASA class II 69 (17.42%) and  $\geq$ ASA class III 22 (5.56%). Assessment of preoperative comorbidity revealed that only 94 (23.74%) of patients had preexisting comorbidity as shown in Table 2.

# 3.2 | Anesthesia-related characteristics of study participants

Of all study participants, 296 (74.75%) had received general anesthesia. With regard to the level of anesthesia care providers, 246(62.12%) of the procedures have been performed by residents and anesthetists 150 (37.88%). Cases with intraoperative complications were observed only in 35 (8.34%) patients. The mean (SD) duration of anesthesia and duration of stay in the PACU was 157.88 (86.87) and 170.74 (38.49), respectively (Table 3).

# 3.3 | Surgery-related characteristics of study participants

The majority of 298 (75.25%) types of surgery were elective, and the rest 98 (24.75) were emergency. Regards to surgical indication by specialty, most of them were general surgery 147 (37.12%), gynecology 44 (11.12%), orthopedics 43 (10.86%), and variety of

#### TABLE 2 Demographic characteristics of study participants

Variables	Category	Number	Percent
Sex	Male	204	51.51
	Female	196	48.49
Age group	≤5	36	9.1
	6-15	15	3.8
	16-29	101	25.5
	30-45	79	19.95
	46-60	127	32.05
	>60	38	9.6
ASA classification	1	305	77.02
	2	69	17.42
	≥3	22	5.56
Pre-existing comorbidity	None	302	76.26
	Respiratory	9	2.27
	cardio-vascular system	22	5.55
	Neurological	3	0.76
	Endocrine (DM)	17	4.3
	reto-viral infection	24	6.06
	>1 Comorbidity	19	4.8

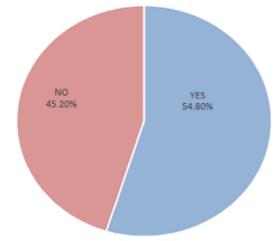
Types of anesthesia	General	296	74.75
	Regional	60	15.15
	Combined	24	6.06
	monitored anesthesia care	16	4.04
Level of anesthetist	Anesthetist	150	37.88
	Resident	246	62.12
Anesthesia duration (hours)	0-2 h	172	43.43
	2-3 h	106	26.77
	3-4 h	77	19.45
	>4 h	41	10.35
Intraoperative complication presence	Yes	35	8.84
	No	361	91.16
Duration of stay in the	60-120 min	172	43.43
postanesthesia care unit	120-180 min	106	26.77
	180-240 min	77	19.45
	>240 min	41	10.35

TABLE 4	Surgery-related	characteristics	of the study
participants			

Variables	Category	Frequency	Percent
Types of surgery	Elective	298	75.25
	Emergency	98	24.75
Surgical indication by	General surgery	147	37.12
specialty	Gynecology	44	11.12
	Orthopedics	43	10.86
	Pediatric surgery	41	10.35
	Cardiothoracic	15	3.78
	Hepato-biliary	17	4.3
	Uro-surgery	32	8.08
	Neurosurgery	29	7.32
	ENT	28	7.07
Position during surgery	Supine	359	90.66
	Prone	13	3.28
	Lateral	11	2.78
	Lithotomy	13	3.28
Surgical time (hours)	0-2 h	144	36.36
	2-3 h	160	40.4
	3-4 h	60	15.15
	>4 h	32	8.09

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Incidence of PACU complications



**FIGURE 1** Incidence of complications among surgical patients admitted to postanesthesia care units.

**TABLE 5** Types of postanesthesia care unit complications of study participants

Types of complications	Frequency	Percent
Airway and respiratory	94	43.32
cardio-vascular system	41	18.9
Postoperative nausea and vomiting	48	22.12
central nervous system	19	8.76
Other complications	15	6.9

pediatrics surgery 41 (10.35%). The majority of the surgical procedures have been done in supine position 359 (90.66%). More than two-thirds of 304 (76.76%) surgical duration were between 0 and 3 h (Table 4).

## 3.4 | Incidence of PACU complications

Of the total study participants admitted to PACU, the total incidence of PACU complications was 217 (54.8%) as shown in Figure 1. Among those, 58 (14.64%) patients had developed more than one complication and only 14 (3.53%) of them had required unplanned reintubation and ICU admission.

# 3.5 | Types of PACU complications

With regard to the types of PACU complications, the majority of patients were developed respiratory and airway related adverse events 94 (43.32%) followed by PONV 48 (22.12%), and cardiovascular related adverse events 41 (18.9%) as shown in Table 5.

# 3.6 | Patient, anesthesia, and surgery-related factors associated with PACU complications among study participants

The results from multiple logistic regressions showed that female sex, prolonged duration of anesthesia, intraoperative complications presence, and length of stay in PACU were found to be statistically significant with PACU complications.

Female sex (AOR = 2.570; 95% CI: 1.621–4.075), duration of anesthesia greater than 4 h (AOR = 5.406; 95% CI: 2.418–12.088), intraoperative complications occurrences (AOR = 2.238; 95% CI: 0.991–5.056) and duration of PACU stay > hours 4.538 (2.089–9.857) had shown an association with postoperative complications in PACU (Table 6).

# 4 | DISCUSSION

The infrastructure and staffing of PACU in low-income countries are often substandard; with less equipped monitoring, a limited number of beds, a lack of locally adopted protocols, and trained health care providers. These limitations significantly impact the clinical outcomes of the early postoperative period. With such resource-constrained environments, standardizing the service became even more crucial to improve the quality of care.<sup>28,29</sup>

Certainly, it is desirable to adopt prediction tools in surgical patients, when the risk of postoperative complications is high, but, staffing and medical resources of a particular clinical setup should be considered. Developing risk prediction tools is not enough, though. It can be used as a baseline source to develop evidence-based clinical pathways. Implementing and evaluating the adopted clinical pathway to improve the quality of post-operative care is the key.<sup>15</sup>

This study aimed to evaluate the incidence of complications and associated factors among surgical patients admitted in limitedresource settings of the PACU.

In our resource-constrained setup, there is an inconsistently predefined protocol for management and discharge criteria of patients, that is, no or substandard clinical pathways. Thus, this study can serve to identify problems and find solutions for countries with limited setup.

Despite most of the patients being ASA class I without comorbidity, our study has revealed that the overall incidence of postoperative complications in PACU among patients undergoing surgery is 54.6%. In contradiction to our finding, previous studies conducted in different countries using a varied standard of care had reported that only (4.25%–37.3%) of surgical patients had developed PACU complications.<sup>1–4</sup>

This significant discrepancy could be explained by the fact that in our study area there are traditional and inconsistent handover trends,<sup>16</sup> limited nursing staff compared to workload intensity,<sup>30</sup> and medical resources constraints to provide standardized care. By implementing cost-effective clinical pathways in routine practice, the early identification of structural problems may significantly improve patient care and postoperative outcome.

Of all PACU complications, the majorities (17.7%) were respiratory and airway-related complications. These findings are consistent with previous studies.<sup>5–7</sup> The possible explanation for the high rate of respiratory complications is due to hypoventilation caused by hypo-

TABLE 6 Multivariate logistic regression analysis showing factors associated with PACU complications

Variables	Category	PACU com No	nplication Yes	COR (95% CI)	AOR (95% CI)	p-value
Sex	Male	117	87	1	1	<0.001
	Female	62	130	2.820 (1.870-4.251)	2.570 (1.621-4.075)***	
Duration of anesthesia hours	0-2	101	69	1	1	
	2-3	42	66	2.3 (1.404-3.767)	2.226 (1.330-3.725)**	0.002
	3-4	26	51	2.871 (1.635-5.041)	3.050 (1.690-5.505)***	<0.001
	>4	10	31	4.508 (2.089-9.857)	5.406 (2.418-12.088)***	<0.001
Intraoperative complication	No	9	26	1	1	0.025
	Yes	170	191	2.571 (1.172-5.641)	2.238 (0.991-5.056)**	
Duration of PACU stay hours	1-2	12	6	1	1	
	2-3	80	74	2.300 (1.404-3.767)	1.226 (0.426-3.527)	0.242
	3-4	44	62	2.871 (1.635-5.041)	1.898 (0.645-5.584)	0.054
	>4	43	75	4.538 (2.089-9.857)	2.177 (0.741-6.401)**	0.020

Note: Statistically significant

Abbreviations: AOR, adjusted odds ratio; COR, crude odd ratio; PACU, postanesthesia care unit.

 $^{**}p < 0.05; \ ^{***}p < 0.001.$ 

active emergence and residual effects of muscle relaxant agents, as most of the participants had undergone surgery with general anesthesia.<sup>4,31</sup> In disagreement with our finding, other studies<sup>8,9</sup> reported that the majority of PACU complications were cardiovascular-related. In another study, PONV,<sup>10,31</sup> central nervous system,<sup>2,11</sup> and pain<sup>12,13</sup> were reported as the most common PACU complications.

Depending upon the severity of complications, poorly managed events in the early postoperative period can diversely impact the clinical outcome; which may increase the length of hospital stays, unplanned ICU admission, reintubation, and even death.<sup>15-17</sup> Therefore, prevention of critical incidents and provision of evidence-based care should be an integral role of standard patient care in the PACU.

Our study found that female sex, duration of anesthesia, presence of intraoperative complications, and duration of stay in PACU were factors associated with PACU complications.

Female patients were more at risk of developing complications than their male counterparts (AOR = 2.570; 95% CI: 1.621–4.075). Similarly, other studies<sup>12,32–34</sup> also found that being a female is a risk factor to develop PACU complications. This discrepancy could be explained by the fact that: the higher incidence of PONV<sup>35</sup> and postoperative pain<sup>36</sup> in female patients attributed to the high rate of PACU complications. Provisions of preemptive analgesia and PONV prophylaxis for female patients are crucial to improving postoperative outcomes.<sup>37,38</sup>

Another factor associated with PACU complications in the present study was the duration of anesthesia. Duration of anesthesia >4 h (AOR = 5.406; 95% CI: 2.418–12.088) and 2–3 h (AOR = 3.050 95% CI: 1.690–5.505) had five- and three-fold risk for developing PACU complications compared to the duration of anesthesia less than or equal to 2 h, respectively. This result is consistent with other studies<sup>6,10,32,39</sup> that reported the risk of developing PACU complications is higher in patients with prolonged duration of anesthesia.

The intraoperative complications presence (AOR = 2.238; 95% CI: 0.991–5.056) was a risk factor to predict PACU complications, as revealed by the present study.

Different risk factors identified as challenging preoperatively might be strongly associated with intraoperative complications. The occurrences of intraoperative complications increased the likelihood of postoperative morbidity and prolonged hospital stay (6, 7, and 10). Therefore, the identification of risk factors for perioperative complications and adequate optimization should be an integral part of anesthetic management.<sup>40</sup>

Inconsistent with our findings, other studies revealed that types of anesthesia, the urgency of surgery, ASA class, preexisting disease, and other factors are associated with PACU complications. The standard of clinical setup, types of surgery performed, level of expertise, available medications, sustainable training, and attention given to the health sector might contribute to the dissimilarity of the findings.

The length of stay in PACU greater than 4 h (AOR = 4.538; 95% CI: 2.089–9.857) were strongly correlated with the incidence of PACU complication, our study also observed that patients who

encountered PACU complications significantly required a prolonged duration of stay than initially planned compared to patients without complications.<sup>9,26</sup>

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### 4.1 | The limitation of the study

Our study had some limitations. First, we conducted our study in resource-limited settings of a single-center hospital which is difficult to conclude the overall features of the country. Second, this study identified complications that exclusively occurred in PACU and failed to detect any types of postoperative complications experienced by patients after being discharged from PACU. Furthermore, we included mixed population and diversified age groups which might affect the confounding factors.

### 4.2 | Strength of the study

This study is prospective and observational used as a primary source of data.

## 5 | CONCLUSION

The incidence of PACU complications is 54.6% in the present study which is higher than in prior studies done in different countries. Female sex, intraoperative complications occurrence, and duration of anesthesia are found to be independent risk factors for developing PACU complications. Based on the present study's findings, we recommend the PACU team needs to develop area-specific institutional guidelines and protocols to improve the patient outcomes in PACU. We also recommend the researcher conduct a multi-centered study on a larger group of patients.

### AUTHOR CONTRIBUTIONS

Bisrat Abebe: Conceptualization; data curation; formal analysis; funding acquisition; investigation; methodology; project administration; resources; software; supervision; validation; visualization; writing-original draft; writing-review and editing. Natnael Kifle: Conceptualization; data curation; formal analysis; funding acquisition; investigation; methodology; project administration; resources; software; supervision; validation; visualization; writing-original draft; writing-review and editing. Muluken Gunta: Conceptualization; data curation; formal analysis; funding acquisition; investigation; methodology; project administration; resources; software; supervision; validation; visualization; writing-original draft; writing-review and editing. Temesgen Tantu: Conceptualization; data curation; formal analysis; funding acquisition; investigation; methodology; project administration; resources; software; supervision; validation; visualization; writing-original draft; writing-review and editing. Mekete Wondwosen: Conceptualization; data curation; formal analysis; funding acquisition; investigation; methodology; project

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administration; resources; software; supervision; validation; visualization; writing—original draft; writing—review and editing. **Dereje Zewdu**: Conceptualization; data curation; formal analysis; funding acquisition; investigation; methodology; project administration; resources; software; supervision; validation; visualization; writing original draft; writing—review and editing.

### ACKNOWLEDGMENTS

The authors acknowledge St. Paul's hospital millennium medical college for giving us ethical clearance. Our thanks also go to data collectors and study participants for their invaluable support.

### CONFLICTS OF INTEREST

The authors declare no conflicts of interest.

### DATA AVAILABILITY STATEMENT

Data are available from the corresponding author upon reasonable request.

### TRANSPARENCY STATEMENT

Dereje Zewdu affirms that this manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

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How to cite this article: Abebe B, Kifle N, Gunta M, Tantu T, Wondwosen M, Zewdu D. Incidence and factors associated with post-anesthesia care unit complications in resourcelimited settings: an observational study. *Health Sci. Rep.* 2022;5: e649. doi:10.1002/hsr2.649