



Article

Effect of *Lacticaseibacillus paracasei* Strain Shirota on Improvement in Depressive Symptoms, and Its Association with Abundance of Actinobacteria in Gut Microbiota

Machiko Otaka ¹, Hiroko Kikuchi-Hayakawa ², Jun Ogura ¹, Hiroshi Ishikawa ², Yukihito Yomogida ¹, Miho Ota ¹, Shinsuke Hidese ¹, Ikki Ishida ¹, Masanori Aida ², Kazunori Matsuda ², Mitsuhisa Kawai ², Sumiko Yoshida ³ and Hiroshi Kunugi ^{1,4},*

- National Centre of Neurology and Psychiatry, Department of Mental Disorder Research, National Institute of Neuroscience, 4-1-1 Ogawa-Higashi, Kodaira-shi, Tokyo 187-8502, Japan; otaka.mc@gmail.com (M.O.); Ogura-Jun@ncnp.go.jp (J.O.); yomogida@ncnp.go.jp (Y.Y.); ota@ncnp.go.jp (M.O.); shidese@ncnp.go.jp (S.H.); iishida@ncnp.go.jp (I.I.)
- Yakult Central Institute, 5-11 Izumi, Kunitachi-shi, Tokyo 186-8650, Japan; hiroko-hayakawa@yakult.co.jp (H.K.-H.); h-ishikawa@yakult.co.jp (H.I.); masanori-aida@yakult.co.jp (M.A.); kazunori-matsuda@yakult.co.jp (K.M.); mitsuhisa-kawai@yakult.co.jp (M.K.)
- National Centre of Neurology and Psychiatry, Department of Psychiatry, 4-1-1 Ogawa-Higashi, Kodaira-shi, Tokyo 187-8551, Japan; syoshida@ncnp.go.jp
- Department of Psychiatry, Teikyo University School of Medicine, 2-11-1 Kaga, Itabashi-ku, Tokyo 173-8605, Japan
- * Correspondence: hkunugi@med.teikyo-u.ac.jp

Abstract: We previously reported lower counts of lactobacilli and Bifidobacterium in the gut microbiota of patients with major depressive disorder (MDD), compared with healthy controls. This prompted us to investigate the possible efficacy of a probiotic strain, *Lacticaseibacillus paracasei* strain Shirota (LcS; basonym, *Lactobacillus casei* strain Shirota; daily intake of 8.0×10^{10} colony-forming units), in alleviating depressive symptoms. A single-arm trial was conducted on 18 eligible patients with MDD or bipolar disorder (BD) (14 females and 4 males; 15 MDD and 3 BD), assessing changes in psychiatric symptoms, the gut microbiota, and biological markers for intestinal permeability and inflammation, over a 12-week intervention period. Depression severity, evaluated by the Hamilton Depression Rating Scale, was significantly alleviated after LcS treatment. The intervention-associated reduction of depressive symptoms was associated with the gut microbiota, and more pronounced when Bifidobacterium and the Atopobium clusters of the Actinobacteria phylum were maintained at higher counts. No significant changes were observed in the intestinal permeability or inflammation markers. Although it was difficult to estimate the extent of the effect of LcS treatment alone, the results indicated that it was beneficial to alleviate depressive symptoms, partly through its association with abundance of Actinobacteria in the gut microbiota.

Keywords: probiotic; major depressive disorder; bipolar disorder; gut microbiota; Actinobacteria



Citation: Otaka, M.;
Kikuchi-Hayakawa, H.; Ogura, J.;
Ishikawa, H.; Yomogida, Y.; Ota, M.;
Hidese, S.; Ishida, I.; Aida, M.;
Matsuda, K.; et al. Effect of
Lacticaseibacillus paracasei Strain
Shirota on Improvement in
Depressive Symptoms, and Its
Association with Abundance of
Actinobacteria in Gut Microbiota.
Microorganisms 2021, 9, 1026. https://doi.org/10.3390/microorganisms905
1026

Academic Editor: Piotr B. Heczko

Received: 2 April 2021 Accepted: 6 May 2021 Published: 10 May 2021

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/licenses/by/4.0/).

1. Introduction

Mood disorders, including major depressive disorder (MDD) and bipolar disorder (BD), are a leading cause of disability worldwide, affecting over 300 million people [1]. Both disorders present with recurrent depressive episodes, while manic symptoms also manifest in BD. Although the pathophysiology of mood disorders remains elusive, increasing attention has been paid to an association with dysbiosis of the gut microbiota [2]. Accumulating observations have revealed that the gut microbiota influence the brain functions and psychological state of their host via the gut–brain axis [3]. The gut–brain axis refers to bidirectional communication between the brain and the gut. Signals from the brain modify the motility, sensory, and secretory modalities of the gastrointestinal tract and, in turn, signals

Microorganisms **2021**, 9, 1026 2 of 12

from the gut can affect emotional behavior and stress- and pain-modulation systems [4]. An early observation that, under restraint stress, germ-free (GF) mice showed enhanced secretion of stress markers (plasma adrenocorticotropic hormone and corticosterone), when compared with specific-pathogen-free mice, indicated that the gut microbiota affect host response to stress [5]. A study of fecal microbiota transplantation from depressive patients into GF mice showed that the recipient mice displayed more depression-like behavior than control mice [6]. It has been suggested that microbial cellular components and metabolites of the complex gut microbiota may influence brain functions via blood circulation, humoral pathways, and the immune system, as well as via neuronal pathways [4,7–10].

Alterations in the gut microbiota composition have been characterized in several human studies [11–16]. We previously reported lower counts of lactobacilli and Bifidobacterium in the gut microbiota of patients with MDD, compared with healthy controls [17]. In patients with BD, in contrast, we did not find such a difference; however, we observed a negative correlation between Bifidobacterium counts and plasma cortisol levels, and between lactobacilli counts and sleep disturbance, in the patients [18]. These observations were supported by a number of studies in animal models. Reduced intestinal lactobacilli levels were associated with the development of stress-induced despair behavior in mice, which was improved by supplementation of Limosilactobacillus reuteri (formerly referred to as Lactobacillus reuteri, before a taxonomic reclassification of the Lactobacillus genus [19]) [20]. Another study showed that microbiota transplantation transmitted depressive behavioral symptoms and reduced endocannabinoid (eCB) signaling (due to lower peripheral levels of fatty acid precursors of eCB ligands), and which were also alleviated by complementation with a lactobacilli strain [21]. Maternal separation caused disruption of the gut microbiota, and a significant decrease in lactobacilli, in infant rhesus monkeys [22]; and the exaggerated stress response of the hypothalamic-pituitary-adrenal axis in GF mice was suppressed by reconstitution with Bifidobacterium infantis [5]. In line with the idea of maintaining psychological homeostasis via the gut-brain axis, several human trials have been performed to evaluate the function of probiotics containing lactobacilli and bifidobacterial strains, in controlling anxiety and depression [23,24]. However, there remains limited evidence for the efficacy of probiotics in treating mood disorders.

To evaluate the possible efficacy of probiotic treatment in alleviating depressive symptoms, we conducted a single-arm trial, using *Lacticaseibacillus paracasei* strain Shirota (LcS; formerly referred to as *Lactobacillus casei* strain Shirota), in patients with MDD or BD. LcS is a widely used probiotic strain, and a number of clinical studies have shown its physiologically beneficial functions involving normalization of altered gut microbiota [25,26], immune control [27–30], and modulation of psychological homeostasis via the gut–brain axis [31,32]. We assessed changes in psychiatric symptoms with LcS treatment for 12 weeks; and also analyzed the gut microbiota composition and biological markers for intestinal permeability and inflammation, in a search for variables related to treatment outcomes. The study will provide key new findings that will help elucidate the mechanisms of the psychological effects of probiotics.

2. Materials and Methods

2.1. Participants

Participants were patients with MDD or BD aged between 20 and 65 years. Diagnosis was made by a board-certified research psychiatrist, according to the Diagnostic and Statistical Manual of Mental Disorders, 5th Edition (DSM-5) [33]. The majority of the participants were receiving psychotropic medications; however, the medications at baseline remained unchanged over the intervention period. The patients were rated for their depressive symptoms using the Hamilton Depression Rating Scale, 21-item version (HAM-D21) [34]. To see the effect of LcS in patients with a wide range of severity, those whose HAM-D21 score was 11 or more were included in the study. One individual was excluded because of strong suicidal ideation (score for the item "Suicide" in HAM-D21 was 3 or more). Information on years of education and Body Mass Index (BMI; kg/m²) was also

Microorganisms **2021**, 9, 1026 3 of 12

collected. A generalized propensity to be anxious was evaluated by a self-report survey using the trait anxiety scale of the State-Trait Anxiety Inventory (STAI) [35], and the score was interpreted and classified into five categories [36].

2.2. Study Product

Fermented milk containing at least 4.0×10^{10} colony-forming units (CFUs) of *Lacticaseibacillus paracasei* strain Shirota YIT 9029 (LcS) per bottle (80 mL) was used as the study product. LcS has a long history of safe use as a food material over 80 years, and the United States Food and Drug Administration has accredited it as Generally Recognized As Safe (GRAS) [37]. The strain was obtained from the Culture Collection Research Laboratory of the Yakult Central Institute (Kunitachi-shi, Tokyo, Japan). The study product was distributed and stored below $10\,^{\circ}\text{C}$.

2.3. Study Design

The study was conducted in Tokyo, Japan, from January 2018 to March 2020, as an interventional study with a before-and-after design. The study protocol was registered at the UMIN (University Hospital Medical Information Network) Clinical Trials Registry (ID: UMIN000032825). The study consisted of a screening period, followed by a pre-intervention period and 12-week intervention period. All the patients consumed a daily dose of two bottles of the study product (total daily dose: 160 mL containing at least 8.0×10^{10} CFUs of LcS) throughout the intervention period. During the study, psychiatric evaluations were performed on the patients at baseline 0, 6, and 12 weeks; and patients submitted stool samples for the gut microbiota analysis at the same time points.

2.4. Psychiatric Evaluation

The patients were rated for their depressive symptoms using the HAM-D21. The Beck Depression Inventory (BDI) [33,38] was used for self-report assessments of depressive symptoms. The Pittsburgh Sleep Quality Index (PSQI) [39] was used for retrospective self-assessment of sleep quality and sleep disturbance over a one-month period, and the state anxiety scale of the STAI for evaluating anxiety levels at the time of answering. The gastrointestinal (GI) symptoms were assessed using the Gastrointestinal Symptom Rating Scale (GSRS), and the presence or absence of irritable bowel syndrome (IBS) or functional bowel disorders (FBD) was assessed using the ROME IV criteria [40].

2.5. Gut Microbiota Analysis

The gut microbiota analysis was performed using the method described by Matsuda et al. [41] (known as the Yakult-Intestinal-Flora-Scan: YIF-SCAN [42]). A portion of defecated stool was collected in a plastic tube containing the RNA stabilization solution, Ambion RNA*later* (Thermo Fisher Scientific; Waltham, MA, USA). Total RNA was extracted from each stool sample and subjected to reverse transcription-quantitative PCR (RT-qPCR) targeting bacterial rRNA molecules. The specific primer sets were used for the RT-qPCR assay to measure the bacterial counts (\log_{10} cells/g of stool) of the 19 bacterial groups or species in Table S1. The bacterial count of total lactobacilli was calculated from the sum of the counts of the 8 subpopulations. The lower detection limit of the assay varied between the targets but fell within the range of 2.0 to 5.0 \log_{10} cells/g of stool. Bacterial counts of "not detected" samples were regarded as representing half the detection limit in the statistical analysis.

2.6. Biological Markers for Intestinal Permeability and Inflammation

Intestinal permeability was measured using the Intestinal Permeability Assessment (Genova Diagnostics; Asheville, NC, USA) according to the manufacturer's instructions. The patients consumed a drink containing a premeasured amount of lactulose and mannitol, and then collected their urine over the next six hours. The urinary excretion ratio of each of the ingested sugars was measured, and the lactulose-to-mannitol excretion ratio (L/M

Microorganisms **2021**, 9, 1026 4 of 12

ratio) was calculated as the degree of intestinal permeability. The level of high-sensitivity C-reactive protein (hs-CRP) in the blood was measured at SRL Inc. (Shinjuku-ku, Tokyo, Japan), using serum fractions separated after centrifugation at 3000 rpm for 10 min. The urine and blood samples were collected from each patient after 12 h of overnight fasting.

2.7. Statistical Analysis

The statistical analysis was performed using R v. 3.5.3 software (R Foundation for Statistical Computing, Vienna, Austria). The PMCMRplus package was used to assess statistical differences in the data between the three time points. The multiple comparison was performed using the Friedman test, and when significance was observed, the Nemenyi test was conducted post hoc for pairwise comparisons with the baseline data (0 weeks). McNemar's Chi-squared test was employed to compare paired proportions between the time points using the exact 2×2 package in R, where categories with no observations in all the time points were excluded from the analysis. In the responder analysis, Wilcoxon's rank sum test and Fisher's exact test for 2×3 and 2×2 contingency tables were used for the inter-group comparison of ratio and nominal data, respectively. Kendall's rank correlation coefficient (τ) was calculated to assess statistical associations between the bacterial counts and change in the HAM-D21 score during the study. The glm R package was used for multiple linear regression analysis. The linear regression analysis used the change in the HAM-D21 score over the study as a response variable; and gender, age, disease (MDD/BD), baseline score in the HAM-D21, and the data of each bacterial target as explanatory variables. The fixed effect size of the explanatory variable, and its 95% confidence interval (CI) and p-value: Pr(>|t|), were estimated. All statistical tests were performed in a two-tailed test at the 0.050 level of significance. All p-values were rounded to three decimal places and are presented as "p < 0.001" if they were below 0.001 after rounding.

3. Results

3.1. Subject Disposition

Initially, a total of 22 subjects were enrolled. However, two subjects SN6 and SN16 were later excluded due to withdrawal of the informed consent during the study. Of the 20 subjects who completed the study, two who violated the protocol (SN22 used antibiotics for *Helicobacter pylori* eradication, and SN19 did not submit stool samples) were excluded, leaving 18 subjects for the analysis. The characteristics of the 18 subjects (14 females and 4 males; 15 MDD and 3 BD) are shown in Table 1. Among them, 15 were receiving psychotropic medications, with a mean daily antipsychotic dose of 117.1 mg (chlorpromazine equivalent) and antidepressant dose of 113.3 mg (imipramine equivalent), according to the published guidelines [43]. Six received one or two mood stabilizers (valproic acid, lithium, or lamotrigine). The distribution of the subjects, based on the trait anxiety scale scores of the STAI, was as follows: very low (0), low (0), normal (0), high (4), and very high (14). No adverse events attributable to the intervention were observed during the study period.

Table 1. Demographic and clinical characteristics of the subjects at baseline.

	Mean \pm SD	95% CI	95% CI Median (min, max)	
Age, years	40.6 ± 11.4	34.9-46.3	43 (21, 55)	
Education, years	15.0 ± 2.2	13.9-16.1	15.5 (9, 18)	
BMI, kg/m^2	21.80 ± 4.22	19.70-23.90	20.6 (16.5, 34.8)	
HAM-D21 total score	17.7 ± 4.1	15.6-19.7	18 (11, 24)	
BDI score	31.5 ± 11.0	26.0-37.0	35 (0, 45)	

3.2. Changes in Rating Scales of Depressive, Sleep, and Gastrointestinal Symptoms

The HAM-D21 total score decreased significantly over the 12-week intervention period (mean \pm SD: 0 weeks 17.7 \pm 4.1, 12 weeks 10.9 \pm 7.3; p < 0.001; (Figure 1a). The BDI score

Microorganisms 2021, 9, 1026 5 of 12

tended to decrease; however, the decrease was not statistically significant (Figure 1b). The sleep quality score, assessed by the PSQI, decreased significantly over the 12-week intervention (mean \pm SD: 0 weeks 10.1 ± 3.2 , 12 weeks 8.2 ± 3.7 ; p=0.023; Figure 1c). There was no significant change in the GSRS total score over the study period (Figure 1d), and there was no significant change in any of the 5 subscales of "Reflux", "Diarrhea", "Constipation", "Abdominal pain", or "Indigestion syndrome" (data not shown). The percentage of subjects diagnosed with IBS changed from 38.9% at 0 weeks to 16.7% at 12 weeks, and the state anxiety levels, as rated by the STAI, showed a downward trend (Table 2); however, neither change was statistically significant (p=0.112 between Weeks 0–12 for both).

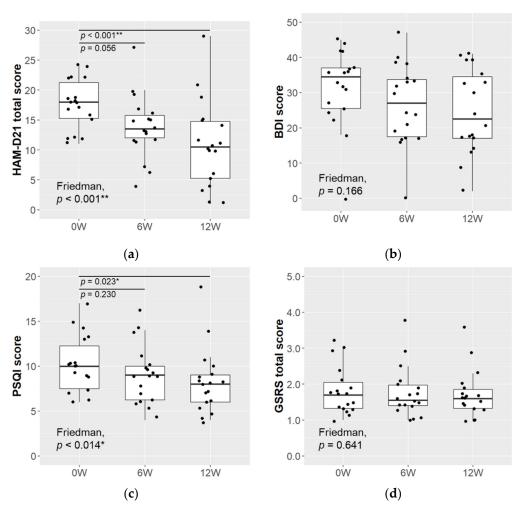


Figure 1. Changes in rating scales of depressive, sleep, and gastrointestinal symptoms. Depressive symptoms scores evaluated by (**a**) HAM-D21 and (**b**) BDI; sleep quality and sleep disturbance rated by (**c**) PSQI; GI symptoms score evaluated by (**d**) GSRS (n = 18). The Friedman test was used for the multiple comparisons between time points, and when significance was observed, the Nemenyi test was conducted post hoc for pairwise comparisons with the baseline data (* p < 0.050, ** p < 0.010).

Microorganisms **2021**, 9, 1026 6 of 12

	Number (%)			<i>p</i> -Value ^b	
	0W	6W	12W	W0-6	W0-12
IBS diagnosis					
Normal	5 (27.8)	5 (27.8)	7 (38.9)	NA	0.112
FBD	6 (33.3)	10 (55.6)	8 (44.4)		
IBS	7 (38.9)	3 (16.7)	3 (16.7)		
STAI state, level ^a					
Normal	1 (5.6)	4 (22.2)	4 (22.2)	NA	0.112
High	4 (22.2)	3 (16.7)	6 (33.3)		
Very high	13 (72.2)	11 (61.1)	8 (44.4)		

Table 2. Changes in IBS diagnosis and state anxiety levels rated by the STAI.

3.3. Changes in the Gut Microbiota

The changes in the gut microbiota are summarized in Table S1. The majority of the bacterial targets were not significantly affected by the intervention. As expected, the counts of total lactobacilli increased significantly after the intervention began (p < 0.001 between Weeks 0–6, p = 0.019 between Weeks 0–12); and its subpopulation, Lacticaseibacillus (formerly referred to as the *Lactobacillus casei* subgroup), was observed to be a main component contributing to the increase.

3.4. Relationship between the Gut Microbiota and Reduction of Depressive Symptoms

We performed the responder analysis based on the extent of reduction of depressive symptoms. The subjects whose HAM-D21 score became half the baseline or less were designated as "responder" (n = 8), and the others as "non-responder" (n = 10) (Figure 2a). There were no significant differences between the two groups in the baseline HAM-D21 score, medication status, or diagnosis (MDD/BD) (Table S2). At baseline (0 weeks), the responders showed significantly higher counts of Bifidobacterium and the Atopobium cluster than non-responders, although the differences tended to diminish over the intervention period (Figure 2b). The other bacterial targets, including total lactobacilli, did not show significant differences between the two groups at any time points (Table S3).

We then calculated the area under the curve over the 12-week study (AUC₀₋₁₂) of the gut microbiota, to evaluate the relationship between bacterial exposure over the time and reduction of depressive symptoms. The AUC₀₋₁₂ of both Bifidobacterium and the Atopobium cluster had a significantly negative correlation with the HAM-D21 change (Figure 3). In the multiple linear regression analysis, the effect size of the AUC₀₋₁₂ of the Atopobium cluster was statistically significant for the change in HAM-D21 score (estimate -0.49; 95% CI -0.82 to -0.16; p = 0.013), indicating that greater exposure to this bacterium was related to greater reduction of depressive symptoms. The AUC₀₋₁₂ of Bifidobacterium was not significant for the HAM-D21 change (estimate -0.10; 95% CI -0.28 to 0.07; p = 0.256).

^a The state anxiety level of the STAI was defined as follows: "very low" (male: 20 to 22, female: 20 to 21), "low" (23 to 31, 22 to 30), "normal" (32 to 40, 31 to 41), "high" (41 to 49, 42 to 50), and "very high" (50 to 80, 51 to 80). No subjects scored "very low" or "low". ^b McNemar Chi-squared test was used to compare paired proportions between the time points (NA, not applicable).

Microorganisms 2021, 9, 1026 7 of 12

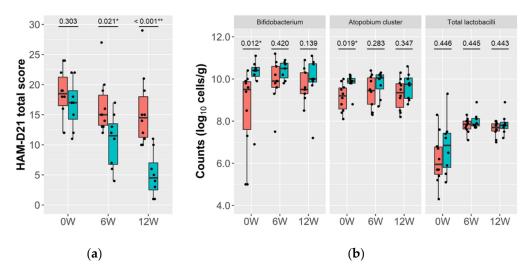


Figure 2. Responder analysis based on the change in HAM-D21 total score. The responder subgroups were designated based on the percent change in the HAM-D21 total score over the 12-week intervention period. Subjects whose percent change was -50.0% or less were designated as "responder" (n = 8; blue bars), with the remaining participants designated as "non-responder" (n = 10; red bars) (**a**). Changes in the counts of Bifidobacterium, the Atopobium cluster, and total lactobacilli, are shown for the two groups (**b**). Wilcoxon's rank sum test was used to compare the data between the two groups (*p < 0.050, **p < 0.010), and the p-value is shown for each pair.

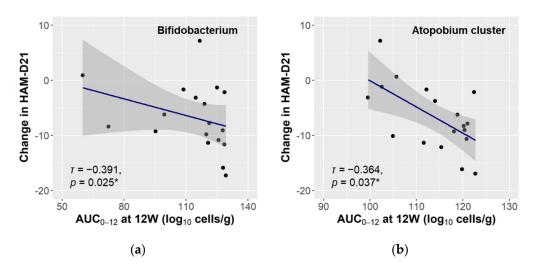


Figure 3. Correlation between the gut microbiota and change in the severity of depressive symptoms. The 12-week AUCs of the bacterial counts of Bifidobacterium (**a**) and the Atopobium cluster (**b**) were calculated, and their statistical association with the change in the HAM-D21 score over the same period was evaluated (n = 18). The linear regression curve with 95% CI is plotted (grey area), and Kendall's rank correlation coefficient (τ) and p-value (* p < 0.050) are shown.

3.5. Changes in the Biological Markers of Intestinal Permeability and Inflammation

The L/M ratio and hs-CRP level were measured to evaluate intestinal permeability and inflammation in the subjects (Figure 4). The L/M ratio was 0.052 ± 0.033 (mean \pm SD) at baseline, and remained at similar levels over the study period. No significant changes were observed in the hs-CRP level from its baseline value of 420.7 \pm 469.9 ng/mL (mean \pm SD). When these data were compared between responders and non-responders, there were no significant differences in either item at any time point (data not shown).

Microorganisms **2021**, 9, 1026 8 of 12

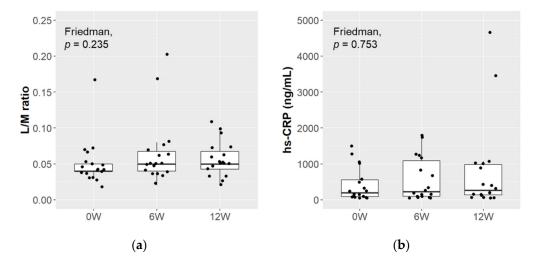


Figure 4. Changes in the markers of intestinal permeability and inflammation. The L/M ratio (\mathbf{a}) and hs-CRP level (\mathbf{b}) were measured to evaluate intestinal permeability and inflammation, respectively (n = 18). The Friedman test was used for the multiple comparisons between time points.

4. Discussion

We observed a significant reduction in depressive symptoms after the probiotic treatment, as evaluated by HAM-D21 (Figure 1a), which was accompanied by significant improvement in sleep quality and sleep disturbance, as assessed with the PSQI (Figure 1c), though the changes in the self-reported depressive symptoms, based on the BDI, were not statistically significant (Figure 1b). Insomnia is known as one of the core symptoms of depression and is estimated to occur in the majority of depressed patients [44]. The mean PSQI score of the patients in the present study was 10.1 at baseline, which exceeded the 5.5 cut-off point for insomnia [45]. The present results indicate that sleep quality improved with the reduction of depressive symptoms.

In an intervention study with a single-arm clinical trial design, several factors, including placebo effects, effects of medication and diet, and spontaneous remission, must be considered. We did not control diet, except for the administration of commercial probiotic products, and most of the patients continued taking antidepressants during the study. However, factors known to be associated with depression, specifically sleep quality and the onset of IBS [46], showed positive trends during the probiotic treatment (Figure 1c, Table 2). Although it is difficult to estimate the extent of the effect of LcS treatment alone, similar changes in the multiple measurements would indicate that the LcS treatment had positive effects on the improvement in depressive symptoms.

Several studies have evaluated the effects of LcS treatment in improving depressive mood [47], and in alleviating biological responses under stressful conditions [31,32]. Benton et al. conducted a randomized controlled trial in healthy subjects consisting mainly of aged adults, and LcS-treated subjects showed a significant improvement in depressive mood, compared to placebo-treated subjects, in a subgroup with a high depressive index at baseline [47]. In double-blind, randomized, placebo-controlled trials on healthy undergraduate medical students who were exposed to academic examination stress, LcS treatment alleviated stress-associated symptoms (elevation in salivary cortisol levels and sleep disturbance) [31,32]. It has been suggested that these actions might be mediated by a direct neural communication between the gut and brain through stimulation of the gastric branch of the vagal afferent by LcS [31,48]. The results of the present study have relevance to those studies and raise the possibility that LcS influences the brain functions to ameliorate depressive symptoms by modulating the gut-brain axis.

In the analysis of the gut microbiota, there was no significant change over the intervention period, except in the case of total lactobacilli, and the increase in total lactobacilli was attributed to its subpopulation, Lacticaseibacillus (Table S1). The probiotic LcS strain

Microorganisms 2021, 9, 1026 9 of 12

contained in the study product taxonomically belongs to Lacticaseibacillus, and hence the changes in total lactobacilli and Lacticaseibacillus were attributable to intake of the study product. On the other hand, Bifidobacterium and the Atopobium cluster were found to be related to the magnitude of the intervention-associated improvement in depressive symptoms (Figure 2b), and the improvement was more pronounced when these bacteria were maintained at a high level (Figure 3). Interestingly, both bacteria taxonomically belong to the Actinobacteria phylum, which is one of the four major phyla of the gut microbiota. Actinobacteria are known to play a pivotal role in the development and maintenance of gut homeostasis, and their ability to produce a large amount of short chain fatty acids (SCFAs) is considered to contribute to the maintenance of the gut barrier [49]. The gut barrier plays an important role in the gut-brain interaction, and dysbiosis of the gut microbiota, along with the consequent increase in intestinal permeability, is associated with an up-regulation of systemic inflammation that may also involve the central nervous system [50]. SCFAs are also considered to play a key role in signal transduction through the gut-brain axis [10]. Long-term ingestion of LcS has been reported to increase the population levels of indigenous Bifidobacterium and the concentration of SCFAs [25,26]. No significant changes were here observed in the markers of intestinal permeability and inflammation (Figure 4), but it is possible that structural or functional changes occurred in Bifidobacterium and the Atopobium cluster as a result of LcS ingestion and modulated the gut-brain interaction. Several reports have indicated an association between Actinobacteria and psychiatric diseases [51]. Some showed a high abundance of Actinobacteria in MDD and BD patients [52,53], while we previously observed that MDD patients had lower Bifidobacterium counts than healthy controls [17]. Although the perspectives on the microbial communities in depression are inconsistent, the observed results indicate that Bifidobacterium and the Atopobium cluster of Actinobacteria may have synergetic effects with the LcS treatment.

Probiotics that confer such benefits are now called psychobiotics, which was originally defined as a live organism that, when ingested in adequate amounts, produces a health benefit in patients suffering from psychiatric illness [54]. The patients of this study took 8.0×10^{10} CFUs of live LcS daily for 12 weeks. The activity of LcS to stimulate the gastric branch of the vagal afferent was observed in a dose-dependent manner in rats [31], and daily intake of LcS with the amount of 1.0×10^{11} CFUs alleviated stress-associated symptoms in a human study of medical students under academic examination stress [31,32]. These results indicated that high-dose administration of LcS was one of the factors that confer a health benefit in patients with psychiatric symptoms. It has been reported that LcS reaches the intestine alive and contributes normalization of the gut microbiota [25,26]. These characteristics of LcS might have contributed to its synergetic effects with Bifidobacterium and the Atopobium cluster observed in this study. Recent reports showed that heat-killed lactobacilli strains conferred benefits to ameliorate chronic-stress-associated responses and sleep quality [55,56], and the mechanism of action due to the formulation of psychobiotics (live or dead) is a research topic for the future.

The study had several limitations in its study design, including no placebo control and a small sample size. Further investigations, involving a double-blind placebo-controlled trial, are necessary to determine to what extent the positive outcomes may be attributed to the LcS treatment. Another limitation lies in the fact that the majority of the participants were receiving psychotropic medications, although the medication remained the same over the course of the intervention.

5. Conclusions

We observed a significant improvement in depressive symptoms after LcS treatment. The improvement was associated with the gut microbiota, and more pronounced when Bifidobacterium and the Atopobium cluster were maintained at higher counts, suggesting that this strategy leads to improvement in depressive symptoms. Thus, interventions targeting these gut bacteria are considered to be candidates for future research,

Microorganisms **2021**, 9, 1026

especially as it is possible that they may interact with LcS and exert synergetic effects on depressive symptoms.

Supplementary Materials: The following are available online at https://www.mdpi.com/article/10 .3390/microorganisms9051026/s1: Table S1: Changes in the gut microbiota; Table S2: Comparison of the demographic and clinical characteristics between the responder subgroups, based on the percent change in the HAM-D21 total score; Table S3: Characteristics of the gut microbiota in the responder subgroups, based on the percent change in the HAM-D21 total score.

Author Contributions: Conceptualization, M.K. and H.K.; Data curation, M.O. (Machiko Otaka) and J.O.; Formal analysis, M.O. (Machiko Otaka) and J.O.; Funding acquisition, H.K.; Investigation, M.O. (Machiko Otaka), H.K.-H., J.O., H.I., Y.Y., M.O. (Miho Ota), S.H., I.I., M.A., and S.Y.; Project administration, M.O. (Machiko Otaka) and J.O.; Resources, H.K.-H. and H.I.; Supervision, M.K. and H.K.; Validation, H.I. and K.M.; Visualization, M.O. (Machiko Otaka) and J.O.; Writing—original draft, M.O. (Machiko Otaka) and K.M.; Writing—review & editing, M.O. (Machiko Otaka), H.K.-H., H.I., Y.Y., K.M., and H.K. All authors have read and approved the present version of the manuscript.

Funding: This research was funded by Yakult Honsha Co., Ltd. (Minato-ku, Tokyo, Japan).

Institutional Review Board Statement: The study was conducted according to the guidelines of the Declaration of Helsinki in its most recent version and approved by the Ethics Committee of the National Center of Neurology and Psychiatry, Tokyo, Japan (protocol code A2017-094. Date of approval: 5 January 2018.

Informed Consent Statement: Written informed consent was obtained from all subjects involved in the study.

Data Availability Statement: The data are not available due to the nature of this research. Participants of this study did not agree for their data to be shared publicly.

Acknowledgments: We are grateful to Osamu Watanabe and Tomoki Igarashi, of Yakult Honsha Co., Ltd., for their work on the arrangement of the test beverage for the study. We thank Kouji Miyazaki, of the Yakult Central Institute, for his valuable advice on conducting the study.

Conflicts of Interest: The clinical trial was performed based on a collaboration between the National Centre of Neurology and Psychiatry and the Yakult Central Institute of Yakult Honsha Co., Ltd., with funding and the test beverage supplied by Yakult Honsha Co., Ltd. The funder provided support in the form of salaries for authors H.K.-H., H.I., M.A., K.M., and M.K., but had no role in the design of the study, in the collection, analyses, or interpretation of data, or in the decision to publish the results.

References

- 1. James, S.L.; Abate, D.; Abate, K.H.; Abay, S.M.; Abbafati, C.; Abbasi, N.; Abbastabar, H.; Abd-Allah, F.; Abdela, J.; Abdelalim, A.; et al. GBD 2017 Disease and Injury Incidence and Prevalence Collaborators. Global, regional, and national incidence, prevalence, and years lived with disability for 354 diseases and injuries for 195 countries and territories, 1990–2017: A systematic analy-sis for the Global Burden of Disease Study 2017. *Lancet* 2018, 392, 1789–1858. [CrossRef]
- 2. Giuffrè, M.; Campigotto, M.; Campisciano, G.; Comar, M.; Crocè, L.S. A story of liver and gut microbes: How does the intestinal flora affect liver disease? A review of the literature. *Am. J. Physiol. Liver Physiol.* **2020**, *318*, G889–G906. [CrossRef]
- 3. Cryan, J.F.; O'Mahony, S.M. The microbiome-gut-brain axis: From bowel to behavior. *Neurogastroenterol. Motil.* **2011**, 23, 187–192. [CrossRef]
- 4. Giuffrè, M.; Moretti, R.; Campisciano, G.; Da Silveira, A.B.M.; Monda, V.M.; Comar, M.; Di Bella, S.; Antonello, R.M.; Luzzati, R.; Crocè, L.S. You Talking to Me? Says the Enteric Nervous System (ENS) to the Microbe. How Intestinal Microbes Interact with the ENS. J. Clin. Med. 2020, 9, 3705. [CrossRef] [PubMed]
- 5. Sudo, N.; Chida, Y.; Aiba, Y.; Sonoda, J.; Oyama, N.; Yu, X.-N.; Kubo, C.; Koga, Y. Postnatal microbial colonization programs the hypothalamic-pituitary-adrenal system for stress response in mice. *J. Physiol.* **2004**, *558*, 263–275. [CrossRef]
- 6. Zheng, P.; Zeng, B.; Zhou, C.; Liu, M.; Fang, Z.; Xu, X.; Zeng, L.; Chen, J.; Fan, S.; Du, X.; et al. Gut microbiome remodeling induces depressive-like behaviors through a pathway mediated by the host's metabolism. *Mol. Psychiatry* **2016**, 21, 786–796. [CrossRef]
- 7. Forsythe, P.; Bienenstock, J.; Kunze, W.A. Vagal Pathways for Microbiome-Brain-Gut Axis Communication. *Adv. Exp. Med. Biol.* **2014**, *817*, 115–133. [CrossRef]
- 8. Mayer, E.A.; Tillisch, K.; Gupta, A. Gut/brain axis and the microbiota. J. Clin. Investig. 2015, 125, 926–938. [CrossRef]
- 9. Kennedy, P.; Cryan, J.; Dinan, T.; Clarke, G. Kynurenine pathway metabolism and the microbiota-gut-brain axis. *Neuropharmacology* **2017**, *112*, 399–412. [CrossRef]

Microorganisms **2021**, *9*, 1026

10. Oleskin, A.V.; Shenderov, B.A. Probiotics and Psychobiotics: The Role of Microbial Neurochemicals. *Probiotics Antimicrob. Proteins* **2019**, *11*, 1071–1085. [CrossRef] [PubMed]

- 11. Naseribafrouei, A.; Hestad, K.; Avershina, E.; Sekelja, M.; Linløkken, A.; Wilson, R.; Rudi, K. Correlation between the human fecal microbiota and depression. *Neurogastroenterol. Motil.* **2014**, *26*, 1155–1162. [CrossRef]
- 12. Jiang, H.; Ling, Z.; Zhang, Y.; Mao, H.; Ma, Z.; Yin, Y.; Wang, W.; Tang, W.; Tan, Z.; Shi, J.; et al. Altered fecal microbiota composition in patients with major depressive disorder. *Brain Behav. Immun.* **2015**, *48*, 186–194. [CrossRef]
- 13. Kelly, J.R.; Borre, Y.; Brien, C.O.; Patterson, E.; El Aidy, S.; Deane, J.; Kennedy, P.J.; Beers, S.; Scott, K.; Moloney, G.; et al. Transferring the blues: Depression-associated gut microbiota induces neurobehavioural changes in the rat. *J. Psychiatr. Res.* **2016**, 82, 109–118. [CrossRef]
- 14. Lin, P.; Ding, B.; Feng, C.; Yin, S.; Zhang, T.; Qi, X.; Lv, H.; Guo, X.; Dong, K.; Zhu, Y.; et al. Prevotella and Klebsiella proportions in fecal microbial communities are potential characteristic parameters for patients with major depressive disorder. *J. Affect. Disord.* **2017**, 207, 300–304. [CrossRef]
- 15. Chen, Z.; Li, J.; Gui, S.; Zhou, C.; Chen, J.; Yang, C.; Hu, Z.; Wang, H.; Zhong, X.; Zeng, L.; et al. Comparative metaproteomics analysis shows altered fecal microbiota signatures in patients with major depressive disorder. *NeuroReport* **2018**, 29, 417–425. [CrossRef] [PubMed]
- 16. Cheung, S.G.; Goldenthal, A.R.; Uhlemann, A.-C.; Mann, J.J.; Miller, J.M.; Sublette, M.E. Systematic Review of Gut Microbiota and Major Depression. *Front. Psychiatry* **2019**, *10*, 34. [CrossRef] [PubMed]
- 17. Aizawa, E.; Tsuji, H.; Asahara, T.; Takahashi, T.; Teraishi, T.; Yoshida, S.; Ota, M.; Koga, N.; Hattori, K.; Kunugi, H. Possible association of Bifidobacterium and Lactobacillus in the gut microbiota of patients with major depressive disorder. *J. Affect. Disord.* 2016, 202, 254–257. [CrossRef]
- 18. Aizawa, E.; Tsuji, H.; Asahara, T.; Takahashi, T.; Teraishi, T.; Yoshida, S.; Koga, N.; Hattori, K.; Ota, M.; Kunugi, H. Bifidobacterium and Lactobacillus Counts in the Gut Microbiota of Patients with Bipolar Disorder and Healthy Controls. *Front. Psychiatry* **2019**, *9*, 730. [CrossRef] [PubMed]
- 19. Zheng, J.; Wittouck, S.; Salvetti, E.; Franz, C.M.A.P.; Harris, H.M.B.; Mattarelli, P.; O'Toole, P.W.; Pot, B.; Vandamme, P.; Walter, J.; et al. A taxonomic note on the genus Lactobacillus: Description of 23 novel genera, emended description of the genus Lactobacillus Beijerinck 1901, and union of Lactobacillaceae and Leuconostocaceae. *Int. J. Syst. Evol. Microbiol.* 2020, 70, 2782–2858. [CrossRef] [PubMed]
- Marin, I.A.; Goertz, J.E.; Ren, T.; Rich, S.S.; Onengut-Gumuscu, S.; Farber, E.; Wu, M.; Overall, C.C.; Kipnis, J.; Gaultier, A. Microbiota alteration is associated with the development of stress-induced despair behavior. Sci. Rep. 2017, 7, srep43859.
 [CrossRef]
- 21. Chevalier, G.; Siopi, E.; Guenin-Macé, L.; Pascal, M.; Laval, T.; Rifflet, A.; Boneca, I.G.; Demangel, C.; Colsch, B.; Pruvost, A.; et al. Effect of gut microbiota on depressive-like behaviors in mice is mediated by the endocannabinoid system. *Nat. Commun.* **2020**, *11*, 1–15. [CrossRef]
- 22. Bailey, M.T.; Coe, C.L. Maternal separation disrupts the integrity of the intestinal microflora in infant rhesus monkeys. *Dev. Psychobiol.* **1999**, 35, 146–155. [CrossRef]
- 23. Nikolova, V.; Zaidi, S.Y.; Young, A.H.; Cleare, A.J.; Stone, J.M. Gut feeling: Randomized controlled trials of probiotics for the treatment of clinical depression: Systematic review and meta-analysis. *Ther. Adv. Psychopharmacol.* **2019**, *9*, 2045125319859963. [CrossRef]
- 24. Hori, T.; Matsuda, K.; Oishi, K. Probiotics: A Dietary Factor to Modulate the Gut Microbiome, Host Immune System, and Gut–Brain Interaction. *Microorgology* **2020**, *8*, 1401. [CrossRef]
- 25. Matsumoto, K.; Takada, T.; Shimizu, K.; Kado, Y.; Kawakami, K.; Makino, I.; Yamaoka, Y.; Hirano, K.; Nishimura, A.; Kajimoto, O.; et al. The Effects of a Probiotic Milk Product Containing *Lactobacillus casei* Strain Shirota on the Defecation Frequency and the Intestinal Microflora of Sub-optimal Health State Volunteers: A Randomized Placebo-controlled Cross-over Study. *Biosci. Microflora* 2006, 25, 39–48. [CrossRef]
- 26. Nagata, S.; Asahara, T.; Wang, C.; Suyama, Y.; Chonan, O.; Takano, K.; Daibou, M.; Takahashi, T.; Nomoto, K.; Yamashiro, Y. The Effectiveness of Lactobacillus Beverages in Controlling Infections among the Residents of an Aged Care Facility: A Randomized Placebo-Controlled Double-Blind Trial. *Ann. Nutr. Metab.* **2015**, *68*, 51–59. [CrossRef]
- 27. Nagao, F.; Nakayama, M.; Muto, T.; Okumura, K. Effects of a Fermented Milk Drink Containing *Lactobacillus casei* Strain Shirota on the Immune System in Healthy Human Subjects. *Biosci. Biotechnol. Biochem.* **2000**, *64*, 2706–2708. [CrossRef] [PubMed]
- 28. Takeda, K.; Suzuki, T.; Shimada, S.-I.; Shida, K.; Nanno, M.; Okumura, K. Interleukin-12 is involved in the enhancement of human natural killer cell activity by *Lactobacillus casei* Shirota. *Clin. Exp. Immunol.* **2006**, 146, 109–115. [CrossRef]
- 29. Reale, M.; Boscolo, P.; Bellante, V.; Tarantelli, C.; Di Nicola, M.; Forcella, L.; Li, Q.; Morimoto, K.; Muraro, R. Daily intake of *Lactobacillus casei* Shirota increases natural killer cell activity in smokers. *Br. J. Nutr.* **2011**, *108*, 308–314. [CrossRef] [PubMed]
- 30. Shida, K.; Sato, T.; Iizuka, R.; Hoshi, R.; Watanabe, O.; Igarashi, T.; Miyazaki, K.; Nanno, M.; Ishikawa, F. Daily intake of fermented milk with *Lactobacillus casei* strain Shirota reduces the incidence and duration of upper respiratory tract infections in healthy middle-aged office workers. *Eur. J. Nutr.* **2017**, *56*, 45–53. [CrossRef] [PubMed]
- 31. Takada, M.; Nishida, K.; Kataoka-Kato, A.; Gondo, Y.; Ishikawa, H.; Suda, K.; Kawai, M.; Hoshi, R.; Watanabe, O.; Igarashi, T.; et al. Probiotic *Lactobacillus casei* strain Shirota relieves stress-associated symptoms by modulating the gut–brain interaction in human and animal models. *Neurogastroenterol. Motil.* **2016**, *28*, 1027–1036. [CrossRef]

Microorganisms **2021**, 9, 1026

32. Takada, M.; Nishida, K.; Gondo, Y.; Kikuchi-Hayakawa, H.; Ishikawa, H.; Suda, K.; Kawai, M.; Hoshi, R.; Kuwano, Y.; Miyazaki, K.; et al. Beneficial effects of *Lactobacillus casei* strain Shirota on academic stress-induced sleep disturbance in healthy adults: A double-blind, randomised, placebo-controlled trial. *Benef. Microbes* 2017, 8, 153–162. [CrossRef]

- 33. American Psychiatric Association. *Diagnostic and Statistical Manual of Mental Disorders*, 4th ed.; American Psychiatric Association: Washington, DC, USA, 2006.
- 34. Hamilton, M. A Rating Scale For Depression. J. Neurol. Neurosurg. Psychiatry 1960, 23, 56–62. [CrossRef] [PubMed]
- 35. Spielberger, C.D.; Gorsuch, R.L.; Lushene, R.E. *Manual for State-Trait Anxiety Inventory (Self-Evaluation Questionnaire)*; Consulting Psychologists Press: Palo Alto, CA, USA, 1970.
- Himeno, C.; Nakazawa, H.; Hamada, S.; Ikeda, H.; Kitajiri, M.; Yamashita, T. An Investigation of State-Trait Anxiety Inventory (STAI) in Dizzy Patients. Equilib. Res. 2005, 64, 225–232. [CrossRef]
- 37. U.S. Food and Drug Administration. Agency Response Letter GRAS Notice No. GRN 000429. Available online: http://wayback.archive-it.org/7993/20171031044614/https://www.fda.gov/downloads/Food/IngredientsPackagingLabeling/GRAS/NoticeInventory/UCM309143.pdf (accessed on 8 March 2021).
- 38. Beck, A.T.; Ward, C.H.; Mendelson, M.; Mock, J.; Erbaugh, J. An Inventory for Measuring Depression. *Arch. Gen. Psychiatry* **1961**, 4,561–571. [CrossRef]
- 39. Buysse, D.J.; Reynolds, C.F.; Monk, T.H.; Berman, S.R.; Kupfer, D.J. The Pittsburgh sleep quality index: A new instrument for psychiatric practice and research. *Psychiatry Res.* **1989**, *28*, 193–213. [CrossRef]
- 40. Lacy, B.E.; Mearin, F.; Chang, L.; Chey, W.D.; Lembo, A.J.; Simren, M.; Spiller, R. Bowel Disorders. *Gastroenterology* **2016**, *150*, 1393–1407.e5. [CrossRef]
- Matsuda, K.; Tsuji, H.; Asahara, T.; Matsumoto, K.; Takada, T.; Nomoto, K. Establishment of an Analytical System for the Human Fecal Microbiota, Based on Reverse Transcription-Quantitative PCR Targeting of Multicopy rRNA Molecules. *Appl. Environ. Microbiol.* 2009, 75, 1961–1969. [CrossRef]
- 42. Tsuji, H.; Matsuda, K.; Nomoto, K. Counting the Countless: Bacterial Quantification by Targeting rRNA Molecules to Explore the Human Gut Microbiota in Health and Disease. *Front. Microbiol.* **2018**, *9*, 1417. [CrossRef] [PubMed]
- 43. Inada, T.; Inagaki, A. Psychotropic dose equivalence in Japan. Psychiatry Clin. Neurosci. 2015, 69, 440-447. [CrossRef] [PubMed]
- 44. Nutt, D.; Wilson, S.; Paterson, L. Sleep disorders as core symptoms of depression. Dialogues Clin. Neurosci. 2008, 10, 329-336.
- 45. Doi, Y.; Minowa, M.; Uchiyama, M.; Okawa, M.; Kim, K.; Shibui, K.; Kamei, Y. Psychometric assessment of subjective sleep quality using the Japanese version of the Pittsburgh Sleep Quality Index (PSQI-J) in psychiatric disordered and control subjects. *Psychiatry Res.* **2000**, *97*, 165–172. [CrossRef]
- 46. Söderquist, F.; Syk, M.; Just, D.; Novicic, Z.K.; Rasmusson, A.J.; Hellström, P.M.; Ramklint, M.; Cunningham, J.L. A cross-sectional study of gastrointestinal symptoms, depressive symptoms and trait anxiety in young adults. *BMC Psychiatry* **2020**, 20, 1–10. [CrossRef]
- 47. Benton, D.; Williams, C.S.; E Brown, A. Impact of consuming a milk drink containing a probiotic on mood and cognition. *Eur. J. Clin. Nutr.* **2006**, *61*, 355–361. [CrossRef]
- 48. Tanida, M.; Takada, M.; Kato-Kataoka, A.; Kawai, M.; Miyazaki, K.; Shibamoto, T. Intragastric injection of *Lactobacillus casei* strain Shirota suppressed spleen sympathetic activation by central corticotrophin-releasing factor or peripheral 2-deoxy-d-glucose in anesthetized rats. *Neurosci. Lett.* **2016**, *619*, 114–120. [CrossRef] [PubMed]
- 49. Binda, C.; Lopetuso, L.R.; Rizzatti, G.; Gibiino, G.; Cennamo, V.; Gasbarrini, A. Actinobacteria: A relevant minority for the maintenance of gut homeostasis. *Dig. Liver Dis.* **2018**, *50*, 421–428. [CrossRef] [PubMed]
- 50. Kelly, J.R.; Kennedy, P.J.; Cryan, J.F.; Dinan, T.G.; Clarke, G.; Hyland, N.P. Breaking down the barriers: The gut microbiome, intestinal permeability and stress-related psychiatric disorders. *Front. Cell. Neurosci.* **2015**, *9*, 392. [CrossRef]
- 51. Barandouzi, Z.A.; Starkweather, A.R.; Henderson, W.A.; Gyamfi, A.; Cong, X.S. Altered Composition of Gut Microbiota in Depression: A Systematic Review. *Front. Psychiatry* **2020**, *11*, 541. [CrossRef] [PubMed]
- 52. Huang, T.-T.; Lai, J.-B.; Du, Y.-L.; Xu, Y.; Ruan, L.-M.; Hu, S.-H. Current Understanding of Gut Microbiota in Mood Disorders: An Update of Human Studies. *Front. Genet.* **2019**, *10*, 98. [CrossRef]
- 53. Lu, Q.; Lai, J.; Lu, H.; Ng, C.; Huang, T.; Zhang, H.; Ding, K.; Wang, Z.; Jiang, J.; Hu, J.; et al. Gut Microbiota in Bipolar Depression and Its Relationship to Brain Function: An Advanced Exploration. *Front. Psychiatry* **2019**, *10*, 784. [CrossRef]
- 54. Dinan, T.G.; Stanton, C.; Cryan, J.F. Psychobiotics: A Novel Class of Psychotropic. *Biol. Psychiatry* **2013**, 74, 720–726. [CrossRef] [PubMed]
- 55. Nakakita, Y.; Tsuchimoto, N.; Takata, Y.; Nakamura, T. Effect of dietary heat-killed *Lactobacillus brevis* SBC8803 (SBL88™) on sleep: A non-randomised, double blind, placebo-controlled, and crossover pilot study. *Benef. Microbes* **2016**, *7*, 501–509. [CrossRef] [PubMed]
- Nishida, K.; Sawada, D.; Kuwano, Y.; Tanaka, H.; Rokutan, K. Health Benefits of Lactobacillus gasseri CP2305 Tablets in Young Adults Exposed to Chronic Stress: A Randomized, Double-Blind, Placebo-Controlled Study. Nutrients 2019, 11, 1859. [CrossRef] [PubMed]