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Mononuclear ruthenium(II) theranostic complexes that function as broad-spectrum antimicrobials in therapeutically resistant pathogens through interaction with DNA†

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Six luminescent, mononuclear ruthenium(II) complexes based on the tetrapyridophenazine (tpphz) and dipyridophenazine (dppz) ligands are reported. The therapeutic activities of the complexes against Gramnegative bacteria (*E. coli, A. baumannii, P. aeruginosa*) and Gram-positive bacteria (*E. faecalis* and *S. aureus*) including pathogenic multi- and pan-drug resistant strains were assessed. Estimated minimum inhibitory and bactericidal concentrations show the activity of the lead compound is comparable to ampicillin and oxacillin in therapeutically sensitive strains and this activity was retained in resistant strains. Unlike related dinuclear analogues the lead compound does not damage bacterial membranes but is still rapidly taken up by both Gram-positive and Gram-negative bacteria in a glucose independent manner. Direct imaging of the complexes through super-resolution nanoscopy and transmission electron microscopy reveals that once internalized the complexes' intracellular target for both Gram-negative and Gram-positive strains is bacterial DNA. Model toxicity screens showed the compound is non-toxic to *Galleria mellonella* even at exposure concentrations that are orders of magnitude higher than the bacterial MIC.

Introduction

Most medicinal research involving Ru^{II} polypyridyl complexes is focused on their potential as anti-cancer therapeutics or – due to their luminescent properties – imaging probes. $^{1-7}$ Yet the first studies to investigate the medical potential of such species, conducted in the 1950s by the Dwyer group, focused on their antimicrobial effects. 8,9 This work centered around mononuclear, tris(bidentate), inert derivatives of the $[Ru(phen)_3]^{2+-}$ cation (phen = 1,10-phenanthroline) in which the effect of lipophilicity on activity against bacteria was explored. The parent compound investigated was shown to be inactive against all bacteria strains investigated; however, the addition of methyl groups improved this activity. This led to the identification of a derivative that displayed promising activity against Gram-

positive bacteria strains. Yet, as this lead showed lower activities than commercial antibiotics of the time, no further development in this area occurred for decades.

In the ensuing years, antimicrobial resistant (AMR) has emerged as a growing global threat to public health.^{10–12} Infections with pathogens that display extensive and even pan-drug resistance can lead to high mortality rates.^{13–15} Although certain Gram-positive pathogens such as methicillin resistant *Staphylococcus aureus* (MRSA),^{10,11} are much publicized as AMR pathogens, in general, Gram-negative bacteria are a higher priority problem.^{12,13} For example, other members of the ESKAPE group of serious hospital acquired infections, such as *Pseudomonas Aeruginosa*, *Acinetobacter baumannii* and members of the *Enterobacteriaceae*, are Gram-negative and these latter three bacteria have been identified as Priority 1 pathogens in the recent WHO list for research and development.¹⁴

As treatment with last-line drugs, such as carbapenems, increasingly fail^{15–18} the search for new antimicrobials has been reinvigorated. In the context of this developing health crisis, the potential of metal complexes,^{19–21} and in particular Ru^{II} systems, as antimicrobials has recently been revisited.²² A common strategy to enhance the activity of such entities is the construction of oligonuclear structures related to the original lead identified by Dwyer. For example, Collins and Keene, have developed a series of oligonuclear analogues, in which the

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original $[Ru(phen)_3]^{2+}$ d^6 -metal centers are tethered together by flexible methylene-based linkers of varying lengths. This approach produced a set of complexes with considerably lowered MICs compared to mononuclear analogues.²³ Although the activity and imaging properties of these compounds have been explored, their mechanism of action is still being investigated. A recent report indicated that the ability of these compounds to span the membrane may account for their antimicrobial activity;24 however, another mode of action has also been hypothesized. Intracellular polar accumulation of the complexes has been attributed to binding to ribosomes causing subsequent condensation of polysomes.25 Apart from two mononuclear derivatives that are more active against Gramnegative species, but are also cytotoxic toward eukaryotes, 26,27 all these systems show their highest activity against Grampositive strains.

In ongoing studies, we have developed oligonuclear Ru^{II} polypyridyl complexes as eukaryotic cell probes, as well as therapeutics and theranostics for cancer cell lines.^{3,7,28,29} This work led to the identification of a dinuclear system $[\{Ru(phen)_2\}_2(tpphz)]^{4+}$, (phen = 1,10-phenanthroline, tpphz = 1,10-phenanthroline)tetrapyrido[3,2-a:2',3'-c:3",2"-h:2"",3"'-j]phenazine) that can be used as a DNA imaging probe for super-resolution STED nanoscopy.30 More recently we have extended these studies to produce more lipophilic derivatives of the STED probe that are therapeutically active against bacterial pathogens. By incorporating ancillary ligands such as 3,4,7,8-tetramethyl-1,10phenanthroline, TMP, we synthesized complexes such as [{Ru(TMP)₂}₂(tpphz)]⁴⁺ that retained their low toxicity to eukaryotic cells. Yet, in contrast to the complexes reported by Collins and Keene and others these complexes display high active against Gram-negative bacteria but are less active against Gram-positive S. aureus. Studies revealed that these complexes bind to and consequently damage Escherichia coli bacterial membranes,³¹ although the therapeutic effect is less pronounced in S. aureus due to binding to specific cationic components of the Gram-positive cell wall.32

Herein, we report on the activity of mononuclear derivatives of the dinuclear antimicrobial – Fig. 1. Surprisingly, we find these systems are highly active in both Gram-positive and Gram-

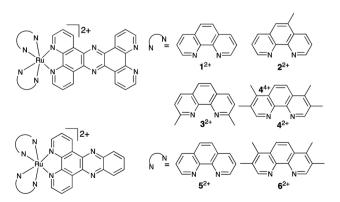


Fig. 1 $\,$ Structures of the mononuclear $\mathrm{Ru}^{\mathrm{II}}$ complexes relevant to this report.

negative bacteria, we think this is due to the lower cationic charge in comparison to the dinuclear derivative resulting in increased compound uptake in Gram-positive strains. The most promising lead is a broad band antimicrobial that, displays high-activity even against multi-drug resistant pathogens but is nontoxic toward the commonly employed insect model, *Galleria mellonella*. By exploiting its intrinsic multimodal imaging capabilities, we have confirmed that once internalized the compound is active through binding to cellular DNA.

Results and discussion

Cell free studies

In previous studies, involving related complexes and eukaryotic cells aimed at creating anticancer leads, it was found the $Ru^{II}(tpphz)$ fragment was vital for bioactivity, as changing the ligand to dppz resulted in complete loss of activity toward cancer cells.^{33,34} To investigate the importance of the tpphz ligand on the potential antimicrobial activity of complexes 1^{2+} – 4^{2+} , dppz-based analogues, 5^{2+} and 6^{2+} were also synthesized.

Previously reported complexes 1^{2^+} and 5^{2^+} were synthesized through an established procedure,³⁵ complexes 2^{2^+} , 3^{2^+} , 4^{2^+} and 6^{2^+} were synthesized and characterized through related methods³⁶ – see ESI† for details. All these complexes were synthesized as hexafluorophosphate salts and converted to chloride salts via anion metathesis for cell-free and in-cell studies. In MeCN, the hexafluorophosphate salts all display the expected intense RuII \rightarrow tpphz 3MLCT emission centered at \approx 670 nm and, as expected, the corresponding emission from the chloride salts is greatly diminished in water.

Many previous studies have demonstrated the relationship between lipophilicity and hydrophobicity as a factor in live-cell uptake of bioactive substrates. Log P for all four tpphz complexes – determined from octanol–water partition using the shake flask procedure (Table 1) – reveals that none of the compounds are truly lipophilic. However, a comparison of Log P for $\mathbf{1}^{2+}$ – $\mathbf{6}^{2+}$ indicates that relative lipophilicity increases with the number of methyl groups attached to the ancillary ligand. In addition, the tpphz ligand increases lipophilicity of the complexes relative to dppz.

As previous studies have reported $\mathbf{1}^{2+}$ binds to duplex DNA with a high affinity³³ – we investigated the interaction of $\mathbf{4}^{2+}$ and DNA in cell-free conditions. On addition of CT-DNA, buffered aqueous solutions of $\mathbf{4}^{2+}$ showed a distinctive increase in

Table 1	Log	P data	a 1 ²⁺ –4 ²⁺
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Complex	$\operatorname{Log} P^a$
1^{2+}	-1.16
2^{2+}	-0.68
	-0.62
4 ²⁺	-0.24
4 ²⁺ 5 ²⁺ 6 ²⁺	-1.43
6 ²⁺	-1.13

 $[^]a$ Log octanol/water partition coefficients for each complex was determined after 20 h at 37 $^\circ\mathrm{C}.$

emission that is typical of a DNA light-switch effect which was used to construct saturation binding curves – see ESI.† Complexes that exhibit DNA light-switch properties have an increase in emission intensity in solvent inaccessible environments due to vibrational/isomerization pathways being blocked when the dyes bind to DNA. Fits of the data to the McGhee–von-Hippel model for non-cooperative binding³⁷ produced a binding constant K_b : $2.2 \times 10^7 \text{ M}^{-1}$ and site size of 2.5 base pairs. Although this affinity is higher than the parent complex³³ – probably due to the enhanced hydrophobicity and extended aromatic surfaces of 4^{2+} – it is entirely consistent with values obtained for other related metallo-intercalators such as $[\{\text{Ru}(\text{bpy})_2\}_2(\text{tpphz})]^{4+}$ (K_b : $5 \times 10^7 \text{ M}^{-1}$). 34,38 Perhaps more significantly, its DNA binding affinity is an order of magnitude higher than that of groove-binding $[\{\text{Ru}(\text{TMP})_2\}_2(\text{tpphz})]^{4+}$. 31

Antimicrobial activity

In previous studies by the Thomas group, the cell uptake properties of the dinuclear derivative $[{Ru(TMP)_2}_2(tpphz)]^{4+}$ were studied.³¹ It was found that it was readily internalized by both Gram-positive (*Staphylococcus aureus*) and Gram-negative (*Escherichia coli*) bacteria. In the present studies, all the complexes were screened against a panel of Gram-positive and Gram-negative bacteria, this specific panel was chosen as the strains are all listed in The WHO Priority Pathogen list.

Gram-positive members of the initial panel comprised of a gastrointestinal, vancomycin-resistant, strain of *Enterococcus faecalis* (V583) and a non-pathogenic wild-type strain of *S. aureus*, (SH1000). The Gram-negative species included a wild-type *E. coli* (MG1655), and pathogenic ST131 *E coli* strain (EC958), a multi-drug resistant strain of *A. baumannii* (AB184) and a pan-drug resistant, clinical isolate strain of *P. aeruginosa*, (PA2017) taken from a patient at the University of Surrey. EC958 was chosen as it is an emerging pathogen of concern which causes urinary tract infections and exhibits high levels of multi-

drug resistance through production of CTX-M extended spectrum beta lactamase. V583 was selected for comparison as it also causes urinary tract infections and exhibits resistance to the antibiotic vancomycin.³⁹⁻⁴¹

Both, A. baumannii and P. aeruginosa were selected as they belong to WHO's Priority 1: critical class for developing new antibiotics,14 and the PA2017 clinical isolate was found to be pan-drug resistant. In addition, AB184 is the most prevalent clonal group of A. baumannii in the United Kingdom. The Minimum Inhibitory Concentration, MIC), of the six complexes were obtained in both nutrient-limited glucose defined or chemically defined minimal media (MM) and nutrient rich Mueller-Hinton II (MH-II) - Table 2. This is the lowest compound concentration required to inhibit bacterial cell proliferation. Although nutrient-rich growth media, like MH-II, are used as a standard in clinical assessments of antimicrobials, nutrient limited environments represent relevant biological conditions during different stages of infection in vivo. Apart from V583 and SH1000, glucose defined MM was used but, as Gram-positive bacteria cannot grow in these conditions, chemically defined MM was used for these bacteria. As observed in previous studies, all the complexes show increased activity in MM. This observation can be attributed to the complexes interacting with components within the more chemically complex MH-II media. Consistent with this hypothesis, at highest concentrations (256-512 µg mL⁻¹) 2²⁺ was seen to precipitate out of MH-II.

Through the series of Ru^{II}(tpphz)-based complexes, an increase in lipophilicity correlates with increased activity. This trend – which is seen across all strains and is consistent with studies on dinuclear analogues³¹ – results in the most lipophilic compound, 4²⁺, showing the highest activity. Interestingly, the two dppz-based complexes, which exhibit a lower lipophilicity than their tpphz analogues, display much higher MICs, even

Table 2 MIC (μ M) results for *E. coli* (MG1655, EC958), *E. faecalis* (V583), *P. aeruginosa* (PA2017), *A. baumannii* (AB184) and *S. aureus* (SH1000) in GDMM, CDM and MH-II, n=3

	MG1655	EC958	V583	SH1000	PA2017	AB184
Defined mediun	n values					
1 ²⁺	17.5	34.9	69.8	69.8	34.9	17.5
2 ²⁺	33.9	33.9	135.6	33.9	16.9	16.4
32+	8.2	16.5	65.8	32.9	16.4	8.5
42+	3.9	3.9	31.1	7.8	7.8	7.8
5 ²⁺	344.2	344.2	688.4	688.4	688.4	344.2
62+	303.4	303.4	606.8	303.4	606.8	606.8
Control ^a	5.0	>512	7.5	5.0	>512	>512
MH-II values						
1 ²⁺	139.7	279.5	279.5	139.7	279.5	69.9
2 ²⁺	271.1	271.1	542.3	271.1	271.1	135.6
32+	65.8	263.3	263.3	97.5	195.0	65.8
4^{2+}	31.1	62.2	62.2	38.9	62.2	15.6
5 ²⁺	344.2	344.2	688.4	688.4	688.4	688.4
6^{2+}	303.4	303.4	606.8	606.8	606.8	606.8
$\mathrm{Control}^a$	10.0	>512	5.0	5.0	>512	>512

^a Control = ampicillin except SH1000, where oxacillin was used.

Table 3 MBC (μ M) results for *E. coli* (MG1655, EC958), *E. faecalis* (V583), *P. aeruginosa* (PA2017), *A. baumannii* (AB184) and *S. aureus* (SH1000) in GDMM. CDM and MH-II. n=3

	MG1655	EC958	V583	SH1000	PA2017	AB184
Defined mediun	n values					
1 ²⁺	34.9	17.5	279.5	69.8	34.9	34.9
2 ²⁺	33.9	17.0	271.2	33.9	16.9	16.9
3 ²⁺	8.2	16.5	131.7	32.9	32.9	8.2
4 ²⁺	3.9	3.9	31.1	7.8	7.8	7.8
5 ²⁺	344.2	688.4	688.4	688.4	688.4	344.2
62+	303.4	606.8	606.8	606.8	606.8	303.4
Control ^a	10.0	>512	7.5	10.0	>512	>512
MH-II values						
1 ²⁺	139.7	297.5	558.9	297.5	297.5	69.9
2 ²⁺	542.3	271.2	542.3	542.3	271.1	135.6
3 ²⁺	131.7	131.7	263.4	131.7	195.0	65.8
4 ²⁺	62.2	124.5	124.5	62.2	62.2	38.9
5 ²⁺	344.2	688.4	688.4	688.4	688.4	344.2
62+	303.4	606.8	606.8	606.8	606.8	303.4
Control ^a	10.0	>512	7.5	10.0	>512	>512

^a Control = ampicillin except SH1000 where oxacillin was used.

when more lipophilic ancillary ligands are employed, illustrating that the Ru^{II}(tpphz) moiety is required for high activity.

Estimates of minimum bactericidal concentrations, MBC, for $1^{2+}-6^{2+}$ were also obtained, and this data is likewise summarized in Table 3. This is lowest compound concentration required to cause bacterial cell death. Trends are similar to the MICs, in that the most lipophilic compounds have the lowest MBC, resulting in 4²⁺ showing a lower MBC against MG1655 than ampicillin in MM. An increase in MBC between MM and MH-II is again observed. In defined media the MBC of all six compounds lies within a 4-fold magnitude of the MIC and therefore they can be classified as bactericidal. Again, as expected from the MIC data, 52+ and 62+ are not active. One notable observation that emerged from these data is that, apart from the vancomycin resistant E. faecalis strain, in which MIC/ MBC concentration are around $\times 4$ higher, complex 4^{2+} shows similar activity across the panel of bacteria, whether they are Gram-positive or Gram-negative, therapeutically sensitive or resistant. Indeed, the complex retains its high active against the pan-drug resistant PA2017-strain of P. aeruginosa, which - even in its wild-type form - is notoriously difficult to treat due to its multiple resistance mechanisms. 42 The activity against S. aureus was particularly striking.

Our previous studies on the dinuclear analogue of 4^{2+} showed that while it is very active against all Gram-negative bacteria it has been tested against, including resistant pathogens, its activity against even the wild-type S1000 strain of *S. aureus* is comparatively low. Furthermore, subsequent screening against BH1CC, a *mecA* positive methicillin resistant strain of *S. aureus*, revealed a further large decrease in bactericidal activity, indicating that *S. aureus* possesses innate and/or acquired resistance to the complex.³²

Time-kill assays were conducted to determine the rate of cell death from CFU ${
m mL}^{-1}$ – Fig. 2 – ${
m OD}_{600}$ time-kill assays given in

the ESI.†4²⁺ caused a significant cell death in EC958, MG1655 and SH1000 within 30 minutes of incubation. At concentrations above the MIC, cell death is observed in all strains, with exponential cell death occurring at the highest concentrations. Concentration discrepancies between the MBC and the time-kill assays can be accounted for by the different conditions of the experiments, the MBC is conducted stationary in a 96-well plate over 24 hours, whereas the time-kill is conducted with 90% aeration and rotation over six hours.

Given that the MBC concentration of 4^{2^+} in SH1000 is entirely comparable to those obtained with the tested Gramnegative bacteria, we went on to investigate its activity in a clinical isolate displaying AMR as well as the BH1CC MRSA strain. These experiments led to MBC values of 7.8 μ M and 11.7 μ M respectively, indicating that, in stark contrast to its dinuclear analogue, even resistant strains of *S. aureus* display little or no increased tolerance toward 4^{2^+} . These observations further indicate that the complex has a different action mechanism to the dinuclear complexes. To further investigate this issue, we used ICP-AES to explore internalization of 4^{2^+} by Gram-positive and Gram-negative bacteria.

Cell uptake studies

First, experiments on the uptake of 4^{2+} by pathogenic EC958 cells were conducted over an hour, as time-kill assay showed bactericidal effects within this Gram-negative species over this exposure time. Accumulation of ruthenium was measured by ICP-AES in the absence and presence of glucose, Fig. 3, and iron content of the cells (a trace element in all cells) was quantified as a control. ICP-AES detects both intracellular accumulation and strongly membrane–bound complexes.

Although negligible changes in CFU mL⁻¹ indicated that the compound did not cause the cells to lyse during the experiment, in the presence of glucose, an initial large accumulation of the

complex was observed at both the 5- and 10 minute time-points, followed by a drop in Ru content at 20 minutes. Interestingly, in the absence of glucose, this efflux does not occur, suggesting an energy-dependent transport mechanism is active in transporting 4^{2+} out of cells. Although significant differences in Ru content is initially observed in the presence or absence of glucose, after 60 minutes intracellular Ru content is virtually undifferentiated. Similar to the time-kill assay, these observations suggest that cell function is affected by 4^{2+} within an hour of exposure.

Conversely, similar experiments with Gram-positive *S. aureus* cells shows no evidence of efflux with rapid initial uptake being observed in all conditions (see ESI†), so that peak intracellular concentration of the complex is reached within 5 minutes.

More interestingly, a comparison on the uptake of 4^{2+} with its dinuclear complex, $[\{Ru(TMP)_2\}_2(tpphz)]^{4+}$, revealed that – in the absence or presence of glucose – complex 4^{2+} is taken up at an approximately three times higher concentrations than its dinuclear analogue (see ESI†). Again, this observation suggests

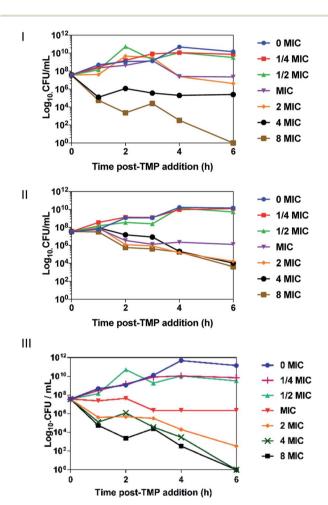


Fig. 2 Time-kill kinetic assays. Complex 4^{2+} induces dose-dependent killing of *E. coli* EC958 (I), MG1655 (II) and SH1000 (III) planktonic cultures *in vitro*. The complex was added at various concentrations below and exceeding the MIC of 4^{2+} in defined media (GDMM and CDM). Killing was determined by monitoring the number of colony forming units (CFU) per mL at time intervals up to 6 h post treatment. Error bars represent three independent biological repeats \pm standard deviation (SD).

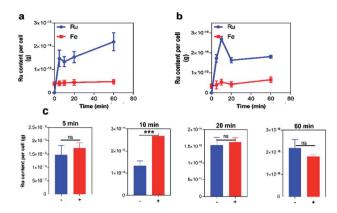


Fig. 3 Accumulation experiments. ICP-AES data for the uptake of ruthenium by *E. coli* EC958 in the absence (a) and presence (b) of glucose after exposure to 4^{2+} . Comparison between uptake in the absence and presence of glucose at each time-point (c). Ru (blue) and Fe (red) levels per cell are expressed as metal (g) per cell. Fe levels were calculated as a control. Condition: concentration of $4^{2+}=3.9~\mu\text{M}$. Cells were washed with 0.5%(v/v) nitric acid to remove unbound complex. After washing the whole cell is dissolved for metal content measurement. Error bars represent three independent biological repeats \pm SD.

that the two complexes are internalized by bacteria through different mechanisms. To investigate this issue further, tests on membrane function in treated cells were carried out.

As an ATP release assay using the dinuclear compound had previously revealed that it causes membrane damage within both Gram-positive (S.~aureus) and Gram-negative (E.~coli) bacteria, 31,32 similar experiments were carried out with 4^{2+} and the EC958 strain of E~coli – Fig. 4. Significantly, when compared with a compound-free control (0 MIC) and a positive control using polymyxin ($4~\mu g~mL^{-1}$), this membrane damage assay revealed no significant ATP release indicating that, unlike the dinuclear parent compound, the mechanism of action of 4^{2+}

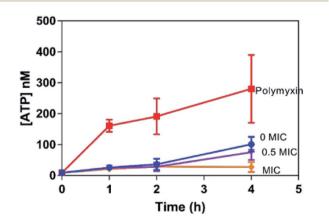


Fig. 4 ATP release membrane damage assay. Determination of 4^{2+} -induced ATP released from *E. coli* EC958 cells. Extracellular [ATP] (nM) quantified with recombinant luciferase and D-luciferin, with ATP released measured on a luminometer for samples exposed to 0 (control), 1.95, and 3.9 μ M (MIC) of 4^{2+} over a period of two hours. A 3 star significant difference is observed between 0 and 1 MIC. Error bars represent three biological repeats \pm SD.

does not involve membrane disruption. Interestingly, the amount of extracellular ATP in the 4^{2^+} treated system is lower than the control at all time points, *vide infra*. Retention of intracellular ATP has been linked to DNA damage as cells require more ATP when they are repairing their DNA. Further support for this hypothesis came from studies on membrane polarization.

The probe $DiOC_2(3)$ was used to determine whether 4^{2^+} has an effect on membrane potential as its emission shifts from green to red on any increase in potential and the extent of the shift is proportional to the membrane potential change – see ESI.† Although bacteria treated with 4^{2^+} do produce some detectable color change in the emission of $DiOC_2(3)$ it is far less pronounced than observed for $[\{Ru(TMP)_2\}_2(tpphz)]^{4^+}$, providing evidence that the mononuclear complex has a considerably less disruptive effect on bacterial membranes. To probe the interaction of 4^{2^+} with bacteria in more detail a range of imaging techniques were then employed.

Imaging studies

In a previous report, we demonstrated that this class of compounds display excellent compatibility with super resolution microscopy techniques. Luminescence at specific intracellular targets indicates localization of the complex as, thanks to the DNA light-switch effect, emission from 4^{2+} only occurs when the compound is bound to solvent inaccessible environments. Hence, structured illumination microscopy (SIM) – which provides sub-diffraction limited resolutions of ~ 100 nm (ref. 43) – was first used to identify intracellular targets of 4^{2+} within EC958 cells.

As observed in Fig. 5, these images confirm that 4^{2+} is readily taken up by the EC958 strain of *E. coli*, with the compound producing a distinctive localization pattern which is quite different to that observed by $[{Ru(TMP)_2}_2(tpphz)]^{4+}$. Whereas the dinuclear complex eventually localizes at the poles of *E. coli* cells after 20–30 minutes exposure, within 10 minutes of incubation with 4^{2+} a pattern of emission that is highly characteristic of bacterial DNA staining is observed.

This hypothesis was confirmed by co-staining with the wellestablished DNA-targeting, fluorescent probe DAPI, which resulted in a Pearson's coefficient of 0.69 for co-localization with 42+ - see ESI.† Pearson's correlation coefficient is measured from -1 to +1, values of 0.7 and above are considered a strong correlation, indicating an appreciable correlation between DAPI and 42+. Using Alexa Fluor NHS-ester 405 a probe that is impermeable to non-compromised bacterial membranes – the possibility that 4^{2+} displays membrane localization was also explored. These experiments showed no correlation between the staining pattern of 42+ and NHS-ester (see ESI†). This observation is consistent with the membrane studies described above, and confirms that 4²⁺ does not bind to, or strongly interact with, the cell wall and membrane of bacteria. The cellular localization properties of 42+ are strikingly different from [{Ru(TMP)₂}₂(tpphz)]⁴⁺ which is externally bound in both Gram-negative and Gram-positive bacteria and only internalizes after inducing membrane damage.31

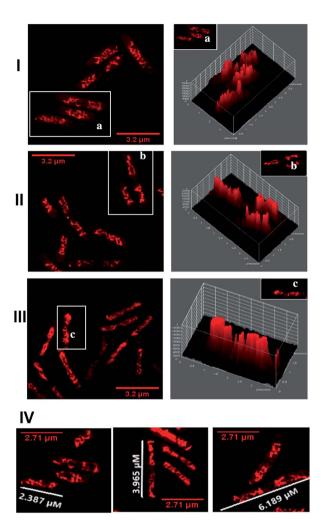


Fig. 5 Super resolution microscopy – SIM – imaging, Z-stack 3D projections. Localization of 4^{2+} in *E. coli* EC958 cells visualized through SIM at 10 min (I), 20 min (II), and 60 min (III). 3D luminescent intensity surface plots are given for selected cells (a–c) showing peak emission within the centre of the cell where DNA is localized. (IV) Cell filamentation studies using the Image J measurement tool with cell measurements given in μ m. Cells imaged using the emission of 4^{2+} on excitation at 450 nm. After treatment with 3.6 μ M 4^{2+} , cells were washed with PBS before fixing with paraformaldehyde (4%).

Taken together, the imaging studies and membrane assays imply that complex ${\bf 4}^{2^+}$ is intrinsically cell permeant in both Gram-positive and Gram-negative bacteria and, once internalized, it preferentially binds to DNA.

The SIM images also provide further evidence that 4^{2^+} binds to DNA within bacterial cells, as treated EC958 cells display characteristic filamentous growth – Fig. 5. Whilst healthy *E. coli* cells are typically 2.0 μ m in length, after an hour of exposure treated cells display a $\times 3$ increase in cell length. Moreover, cells exhibit multi-nucleation, these morphologies are commonly observed on exposure to antibiotics, like ampicillin, that disrupt DNA replication.⁴⁴

As with E coli, treated Gram-positive bacteria reveal that DNA is targeted by 4^{2+} – Fig. 6. For example, 3D-surface plots of treated S. aureus (SH1000) reveal maximum luminescent

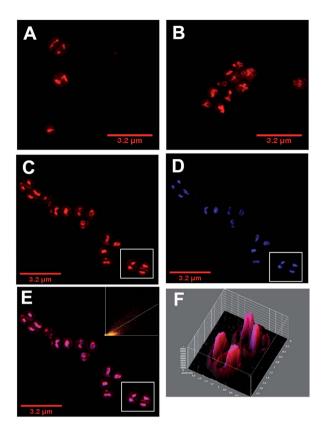


Fig. 6 Super resolution microscopy – SIM – imaging. Localization of 4^{2^+} in *S. aureus* SH1000 cells visualized through SIM at (A) 20 min and (B) 60 min. (C–E) Co-staining localization of 4^{2^+} after 60 minutes incubation. Following fixation with PFA (4%) cells were stained for 5 minutes with; (C) 4^{2^+} ; (D) DAPI (300 nm), (E), overlay of 4^{2^+} and DAPI with colocalization scatter plot (F) 3D surface plot intensity for the costain overlay for selected cells in white box shown in C–E. Cells imaged using the emission of 4^{2^+} on excitation at 488 nm using A568 filter and the emission of DAPI on excitation at 405 nm using the DAPI filter. After treatment with 3.6 μ M 4^{2^+} , cells were washed with PBS before fixing with paraformaldehyde (4%).

intensity at the centre of the bacterial cells where DNA is localized. Colocalization with DAPI is again observed – Fig. 6 C– E. In fact, a Pearson's coefficient of 0.895 provides even stronger evidence of colocalization between 4^{2+} and DAPI in *S. aureus*. Although cell division and DNA replication are evident in these images, they were taken at sub-MIC concentrations.

To probe DNA binding at higher resolutions 3D-STED nanoscopy was employed – Fig. 7. Higher resolution techniques were employed to see the DNA structure more clearly. From the resultant images, intracellular DNA content at each stage of cellular mitosis could be clearly visualized in dividing cells, again confirming $\mathbf{4}^{2+}$ targets bacterial DNA. The difference in localization between internalized $\mathbf{4}^{2+}$ and $[\{Ru(TMP)_2\}_2(-tpphz)]^{4+}$ can be attributed to the considerably higher DNA binding affinity of the mononuclear complex compared to the dinuclear complex.

Given that the complex binds to bacterial DNA, it was postulated that this interaction is responsible for its therapeutic action. Indeed, as noted in the previously described ATP release

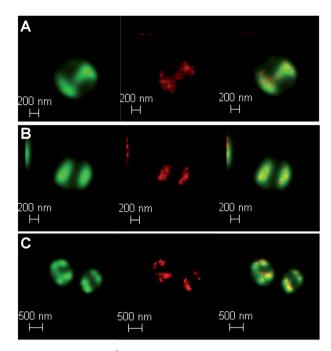


Fig. 7 Localization of 4^{2+} in *S. aureus* SH1000 cells visualized through laser scanning confocal microscopy, d-LCSM, (green, left) and stimulated emission depletion nanoscopy, STED, (red, middle) and overlay image (right) at; (A) 20 min, (B) 60 min and (C)120 min. Cells imaged using the emission of 4^{2+} on excitation at 470 nm with a white light laser and a 470 nm notch filter. STED effect was obtained by employing a 770 nm depletion laser, and a 780 nm vortex phase plate. Both d-LCSM and d-STED images were processed using Hyugens software (SVI). Cells were treated with the same conditions as Fig. 6.

assay (Fig. 4), bacteria treated with 4²⁺ retained ATP even more than the control. This is a known indicator of bacterial DNA damage, as cells have a high demand for ATP as they repair such damage.⁴⁵

To assess whether exposure of EC958 cells to 4^{2+} causes DNA damage and mutagenesis, an Ames fluctuation test was employed – Fig. 8. The results were compared to natural

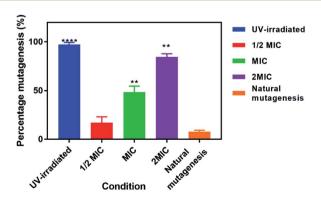


Fig. 8 Ames test the fluctuation method. Percentage mutagenesis was measured by treated cells with 4^{2+} (0-2MIC) and incubating with histidine. Bromocresol purple indicator was added, and the percentage color change from purple to yellow measured after incubation for 48 hours. A positive control with cells irradiated with UV-light is added for comparison.

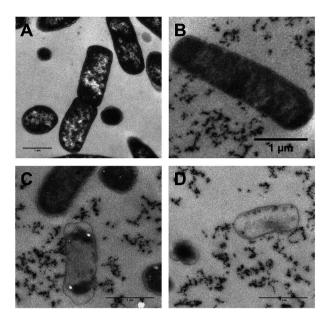


Fig. 9 Transmission electron microscopy -TEM – imaging. Localization of 4^{2+} in *E. coli* EC958 cells following treatment with MIC concentrations of 4^{2+} (A) 0 min control (B) 10 min after exposure (C), 60 min (D) 120 min. Cell leakage/debris is clearly revealed in B and C, although the images in C and D reveal membranes that are still intact. Cells were fixed with glutaraldehyde and the 0 min control was stained with osmium tetroxide, uranyl and led acetate. Bar $= 1 \, \mu \text{m}$ in all images.

mutagenic rates as a negative control and exposure to UV light for 15 minutes as a positive control. Although treatment with 4^{2^+} does not produce effects as pronounced as those of UV-light, the complex clearly induces increased DNA mutagenesis at its MIC concentration and above, denoting that DNA damage plays a role in its mechanism of action.

As transmission electron microscopy (TEM) provides yet higher resolutions, this technique was also used to probe the interaction of 4²⁺ and bacterial DNA in both *E. coli* – Fig. 9 – and *S. aureus* (ESI†). As 4²⁺ incorporates an electron-dense Ru^{II} center it functions as an EM contrast stain in itself; therefore, conventional stains such as osmium tetroxide, or uranyl acetate were not required in these studies. Hence, any intracellular contrast staining in the figure is solely due to 4²⁺, allowing its localization to be directly visualized.

Whilst, these TEM images confirm the compound binds cellular DNA, staining is also evident in the cytoplasm and at the polar regions of the cell – Fig. 9. This full pattern of localization is not observed in optically-based images as – thanks to the DNA light-switch effect – emission from $\mathbf{4}^{2+}$ only occurs when it is bound in the solvent inaccessible environment provided by DNA.

Interestingly after 10 minutes of exposure, cell debris begins to be observed – Fig. 9B–D. As the studies discussed above indicate that $\mathbf{4}^{2+}$ is not active through membrane disruption, this observation suggests that treated cells begin to die very soon after exposure. After 60 minutes many cells display shrinkage. However, in contrast to its dinuclear equivalent exposure to $\mathbf{4}^{2+}$ does not appear to cause cell lysis; although

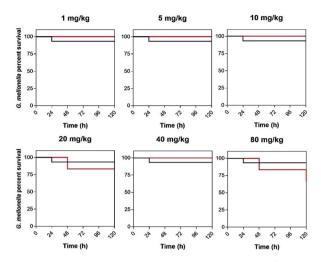


Fig. 10 Kaplan–Meier survival curves. Determination of 4^{2+} toxicity in the insect model *Galleria mellonella*. Larvae were injected with $10~\mu L$ of water (control), or 4^{2+} (0–80 mg kg $^{-1}$, 0–120 μg mL $^{-1}$) The larvae were incubated at 37.5 °C and live/dead scores were conducted at 120 hours

contents have leaked from the dead cell – see Fig. 9C and D – they still possess intact membranes, suggesting osmotic effects cause the cytoplasmic shrinkage. Treated *S. aureus* cells also exhibit similar morphology with cytoplasmic shrinkage and loss of shape being observed – see ESI.†

Given these mononuclear complexes show high activity against bacteria cell lines, their toxicity toward eukaryotes was then explored. In this study the compounds were tested against *Galleria mellonella* larvae, Fig. 10. As its physiology and immune system is surprisingly similar to mammals this insect model is increasingly employed as an *in vivo* model, especially in toxicity screening where it produces results that are comparable to more commonly used mammalian models. ^{46,47} A toxicity screen conducted with 4^{2+} used concentrations above the MIC in complex media and at all concentrations the larvae survived for the entire study (120 hours). Given an average larvae weight of 300 mg a concentration of 80 mg kg⁻¹ is 120 µg mL⁻¹.

In addition to live/dead scoring, activity tests and melanisation scores were determined – see ESI.† From these graphs it is clear there is no difference in melanisation and activity in the treated conditions relative to the controls. Melanisation only began to occur at 72 hours, in a small percentage of larvae, and they still remained active for the entire experiment. Like its dinuclear parent complex, 4^{2+} accumulates in the larval hemolymph and this could even be confirmed by eye as the hemolymph became distinctly dyed red at higher concentrations. These experiments confirm that 4^{2+} can be administered to *Galleria* larvae at concentrations well above the required dose to treat bacterial infections without any detectable deleterious effects in this model organism.

Conclusions

In a medical context, the emergence of therapeutically resistant pathogens is developing into a perfect storm. 48-50 Aided by

overuse of antibiotics and more frequent international travel, AMR has evolved and become globally disseminated, so that multidrug and even pan-drug resistant pathogens are becoming increasingly common. And yet, at the same time, the antibiotic development pipeline has failed to identify new lead molecular architectures. This situation is particularly acute for Gramnegative infections as no new class of antibiotic has been approved for these pathogens in over fifty years.⁵¹

It has been noted that while natural product-based treatments for Gram-positive bacteria are relatively common, three out of the four classes of broad-spectrum antibacterials effective against Gram negative pathogens are derived from synthetic structures. It has been suggested that this is because the structural requirements for broad spectrum activity are relatively incompatible with the biosynthesis of natural products and, due to this, unnatural synthetic systems should be investigated more closely.^{13,52} Metal complexes like 4²⁺ containing ligands with extended aromatic systems meet many of the criteria determined to enhance uptake into Gram-negative bacteria, in that they are polar, amphiphilic, rigid, possess low globularity, and incorporate amine sites. 33,34 In fact, most of these criteria are also met by the previously reported dinuclear analogues of 42+; yet, the activity of these complexes are greatly lowered against Gram-positive pathogens such as therapeutically resistant S. aureus, whilst complex 42+ maintains its activity even in an MRSA strain. This divergence is down to the different uptake mechanisms of [{Ru(TMP)₂}₂(tpphz)]⁴⁺ and 4²⁺ by Gram-positive bacteria. In wild type S. aureus the dinuclear complex initially binds to the bacterial cell surface and only internalizes 20 minutes after exposure as a consequence of cell membrane damage, but its uptake and therapeutic activity in resistant MRSA strains is hampered by irreversible binding to anionic teichoic and lipoteichoic acid residues which make up a higher content of the cell wall in these strains.32 In contrast, it seems the lower charged, more lipophilic, complex $\mathbf{4}^{2+}$ is membrane permeant because it does not strongly interact with such residues, but once internalized binds with high affinity to bacterial DNA. As 42+ binds DNA and its closely related analogues has shown phototoxic effects in eukaryotic cells it is expected the compound may exhibit phototoxic antimicrobial activity. Future work will look at studying the phototoxic antimicrobial effects across a library of bacteria strains. In addition, we will use the Galleria model to develop an in vivo antimicrobial efficacy profile.

It has been suggested that DNA is a promising but underexploited target for antimicrobials.^{53,54} Although a number of organic and inorganic leads have been reported, only two DNA binding antimicrobials, metronidazole⁵⁵ and nitrofurantoin,⁵⁶ are in current clinical use. In this context, the lead compound described herein is particularly notable as it displays potent broad-spectrum activity yet exhibits low toxicity to a eukaryotic model.

An advantage of this relatively simple structure is its synthetic accessibility. Recently reported derivatives of natural products showing activities that are entirely comparable to 4^{2+} are only available through intensive multi-step syntheses ^{12,51,57} requiring specialized reagents that may be challenging to scale up. Conversely, the modular synthesis of complex 4^{2+} can be accomplished from readily available starting materials in very

few steps, allowing facile scale-up. Using this approach, a variety of derivatives of this structure, aimed at optimizing activity, can easily be envisioned. Cell-based studies to investigate the therapeutic action mechanism of $\mathbf{4}^{2+}$ and its derivatives in more detail are underway and will form the basis of future reports.

Conflicts of interest

There are no conflicts to declare.

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