

# Association between Chronic Obstructive Pulmonary Disease and Lung Cancer: A Case-Control Study in Southern Chinese and a Meta-Analysis

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#### **Abstract**

**Background:** Lung cancer and chronic obstructive pulmonary disease (COPD) share a common risk factor in cigarette smoking and a large portion of patients with lung cancer suffer from COPD synchronously. We therefore hypothesized that COPD is an independent risk factor for lung cancer. Our aim was to investigate the intrinsic linkage of COPD (or emphysema, chronic bronchitis and asthma) and lung cancer.

*Methods:* The present hospital-based case-control study included 1,069 patients with newly diagnosed lung cancer and 1,132 age frequency matched cancer-free controls. The odds ratios (ORs) for the associations between each previous pulmonary disease and lung cancer were estimated with logistic regression models, adjusting for age, sex, family history of cancer, BMI and pack year smoking. In meta-analysis, the pooled effects of previous pulmonary diseases were analyzed with random effects models; and stratification analyses were conducted on smoking status and ethnicity.

Results: In the case-control study, previous COPD was associated with the odds for increased risk of lung cancer (OR = 1.29, 95% confidence interval [CI] =  $1.00 \sim 1.68$ ); so were emphysema (OR = 1.55, 95%CI =  $1.03 \sim 2.32$ ) and chronic bronchitis (OR = 1.22, 95%CI =  $0.99 \sim 1.67$ ); while asthma was associated with odds for decreased risk of lung cancer (OR = 0.29, 95%CI =  $0.16 \sim 0.53$ ). These associations were more pronounced in smokers (P < .05 for all strata), but not in non-smokers. In meta-analysis, 35 studies (22,010 cases and 44,438 controls) were identified. COPD was significantly associated with the odds for increased risk of lung cancer (pooled OR = 2.76; 95% CI = 1.85 - 4.11), so were emphysema (OR = 3.02; 95% CI = 2.41 - 3.79) and chronic bronchitis (OR = 1.88; 95% CI = 1.49 - 2.36); and these associations were more pronounced in smokers than in non-smokers (P < .001 respectively). No significant association was observed for asthma.

Conclusion: Previous COPD could increase the risk of lung cancer, especially in smokers.

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# Introduction

Lung cancer and chronic obstructive pulmonary disease (COPD) are the leading causes of morbidity and mortality worldwide [1]. Lung cancer is the most frequent cancer and the leading cause of cancer related deaths [2]; its five-year survival rate is next to the lowest of all cancers [3]. The chronic obstructive pulmonary disease (COPD) has been another major health problem all over the world with increasing incidence. In China, its prevalence was 8.2% among adults >40 years old; and in Chinese rural areas, COPD has ever been ranked the third leading

cause of death [4]. Because COPD is a group of pulmonary diseases characterized by non-reversible airflow limitation and developing over time [5], it has usually been referred to be other common pulmonary diseases with respiratory limitation such as emphysema, chronic bronchitis, asthma or bronchiectasis in clinic.

It is well recognized that smoke exposure causes both lung cancer and COPD [6–7]. Some epidemiologic studies found that smokers with COPD are up to five-fold more susceptible to lung cancer than smokers with normal lung function [8]. Furthermore, reduced lung function is an important feature in the development of lung cancer, and forced expiratory in one second (FEV1) has

been proven a biomarker of wider respiratory risk from smoking [9], suggesting that there might be an association between COPD and lung cancer. Recent studies have found that tobacco smoke stimulates both local and systemic inflammation, which may play a causal role in both lung cancer and COPD [10]. As COPD and other chronic pulmonary diseases such as emphysema, chronic bronchitis and asthma could be caused by inflammation in lung tissues, these conditions may act as intermediates or catalysts in the development of lung neoplasms or be related with lung cancer development through common etiologies and/or exposures [11,12]. However, the causal relationship between COPD and lung tumorigenesis is not yet fully understood. Based on information we obtained, we hypothesized that COPD might be an independent risk factor for lung cancer.

In order to investigate the association between COPD and the risk of lung cancer, we conducted a case-control study including patients with newly diagnosed lung cancer and age frequency matched cancer-free controls in Southern Chinese during March 2007 to April 2010, and a meta-analysis including 35 studies. The effects of other pulmonary diseases and tobacco smoking on lung cancer were also analyzed in the stratifications. Our study will provide new evidence that COPD is a risk factor in lung cancer development.

#### Methods

## Ethics statement

Informed consent was obtained from each subject before study participation. The study was approved by the institutional review boards of Guangzhou Medical University.

# Case-control study

Study subjects. We conducted a hospital-based case-control study of total 1069 patients with newly diagnosed lung cancer and 1132 age frequency matched cancer-free controls. As described previously [13-16], all subjects were genetically unrelated ethnic Han Chinese from Guangzhou City and surrounding regions in Southern China. Patients with histopathologically confirmed diagnosis of lung cancer were consecutively recruited between March 2007 and March 2010 at the urban hospitals (i.e. the First, the Second and the Tumor Hospitals affiliated to Guangzhou Medical Hospital and the Guangzhou Chest Hospital) and at the suburb of Guangzhou city (i.e. Panyu People's Hospital), with a response rate of  $\sim 95\%$ . The cancer-free controls were from a subject pool of more than 10,000 individuals who participated in healthy checkup programs in the community health stations in Guangzhou City during the same time period when the cases were recruited. Among them, controls with frequency matched to the cases by age (±5 years) were randomly selected with a response rate of  $\sim 85\%$  [13–16].

**Data collection.** In-person interviews were conducted for all cases and controls by blinding-trained interviewers using a structured questionnaire. Detailed questions were asked about smoking status, pack-year smoking, alcohol use and other factors including family history of cancer, menstrual history, sex, age and BMI (See **Protocol S1** for more details). Cases and controls were also asked whether a physician had ever informed that they had specific lung diseases (i.e., COPD, emphysema, chronic bronchitis and asthma), and if they had, they were asked to provide detailed medical diagnosis evidences for these diseases. All the subjects with COPD were diagnosed with spirometry. For other diseases like emphysema, chronic bronchitis or asthma, their diagnoses were mainly based on self-report. Only individuals with COPD (emphysema, chronic bronchitis or asthma) diagnosed more than

1 year before lung cancer diagnosis for cases or enrollment for controls were considered to have pre-existing lung diseases, otherwise those who provided a date of previous COPD (or chronic bronchitis, emphysema, and asthma) less than one year or lacked medical evidences were excluded. In addition, emphysema, chronic bronchitis and asthma are closely related with COPD; those emphysema, chronic bronchitis or asthma patients who have an irreversible limitation of the flow of air are COPD patients, but not vice versa. The detailed diagnosis standard for these lung diseases were described in Appendix (**Protocol S1**).

## Meta analysis

Literature search strategy. We conducted a literature search using Cochrane library, Pub med and China National Knowledge Infrastructure (CNKI) databases as well as traced citations from January 1980 to December 2010 to obtain a plenty of published or on-line articles about the association between previous COPD (or emphysema, chronic bronchitis, and asthma) and lung cancer. We utilized the Medical Subject Headings "COPD" or "chronic obstructive pulmonary disease" or "emphysema" or "chronic bronchitis" or "respiratory tract diseases" or "asthma" or "lung diseases" and "lung neoplasm" or the key word terms "previous lung disease" or "risk" and "lung cancer". Language was limited to English and Chinese. Titles and abstracts were reviewed for article relevance.

**Study selection and data extraction.** We complied with the principle of Moose [17] to evaluate quality of studies. When the same population was examined in multiple publications, we included only the estimate with the largest number of cases reported. The studies about occupational subjects were excluded in order to avoid the selection bias. **Figure 1** shows the process of selection of studies [18].

Effect estimates of all identified studies should comprise odds ratio (OR), relative risk (RR) and their corresponding 95% confidence intervals. Extracted information contained publication date, age of subjects, sex, race, smoking status (current smokers, former smokers and non-smokers), diagnostic methods of COPD and lung cancer, histological type of lung cancer and exposure rate or incidence rate of two groups. Information above were collected and evaluated for quality by two researchers respectively and then cross-checked.

# Statistical methods

For the case-control study, comparisons of dichotomous risk factors between cases and controls were conducted using  $\chi^2$  tests; comparisons of means were conducted using t tests. The association between each previous pulmonary disease (COPD, emphysema, chronic bronchitis, and asthma) and lung cancer, measured by the odds ratio (OR) and its corresponding 95% confidence interval (CI), was estimated using unconditional logistic regression, without or with adjustment for age, sex, family history of cancer, BMI and pack year smoking. The data were further stratified by smoking status to evaluate the stratum variable-related ORs. The difference between associations in smokers and those in overall individuals were tested as Douglas G Altman et al. suggested [19]. Homogeneity between strata variable-related ORs was tested. Two-sided tests were used with  $P \le .05$  considered statistically significant. All statistical analyses were performed using SPSS version 13.0.

For the meta-analysis, the data were stratified by smoking status (smokers, non-smokers and not-identified) and ethnicity (Caucasian and Asian) to evaluate the stratum variable-related ORs for the associations between each previous pulmonary disease (COPD, emphysema, chronic bronchitis, and asthma) and the

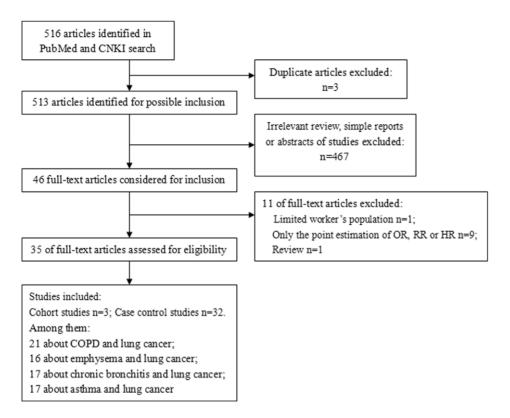


Figure 1. Flowchart of selection of studies for inclusion in the meta-analysis. doi:10.1371/journal.pone.0046144.q001

risk of lung cancer. Pooled ORs and 95%CIs were used as the measure of effect estimates for all studies. The test statistic Q for heterogeneity was calculated and the null hypothesis that all studies in each stratum are homogeneous is rejected. Thus, the random effects model was adopted. Sensitivity analysis was utilized to test stability of the results. Publication bias was determined by funnel plots. Analysis was conducted through DerSimonian-Laird method using Rev Man 5.0 software provided by the Cochrane Collaboration.

# Results

# Case-control study

There were 1069 patients with newly diagnosed lung cancer and 1132 age frequency matched cancer-free controls. The distributions of demographic characteristics of study population are shown in **Table 1**. The average ages were  $60.3\pm12.3$  and  $60.5\pm12.3$  years old respectively in cases and controls, and there was no significant difference in age, family history of cancer and drinking (P=.90, .42 and .64, respectively) in the two groups. However, the cases had lower education level, lower BMI, were more likely to be current and ex-smokers, and had more prevalence of COPD, emphysema, chronic bronchitis and asthma than controls.

**Table 2** shows the associations between previous pulmonary diseases, tobacco smoking or alcohol exposure and the risk of lung cancer. Previous COPD, emphysema, and chronic bronchitis were significantly associated with the odds for increased risk of lung cancer in univariate analysis. However, there was inversely association between asthma and lung cancer. After adjusted by age, sex, family history of cancer, BMI and pack year smoking, the significantly associations with lung cancer were still seen for

**Table 1.** Characteristics of lung cancer cases and controls in Southern Chinese.

Characteristic	Cases n (%)	Controls n (%)	P Value
Total no.	1069	1132	
Age(years)			.901
≤60	537(50.2)	572(50.5)	
>60	532(49.8)	560(49.5)	
Age (means±SD)	60.3±12.3	$60.5 \pm 12.3$	.797
Sex (%)			.012
Male	758(70.9)	746(65.9)	
Female	311(29.1)	386(34.1)	
Education level (%)			<.001
≤ primary school	410(42.6)	378(35.6)	
Junior middle school	318(33.0)	303(28.5)	
Senior middle school	137(14.2)	292(27.5)	
≥ college	98(10.2)	90(8.5)	
Family history of cancer (%)			.416
Yes	104(9.7)	98(8.7)	
No	965(90.3)	1033(91.3)	
BMI (kg/m²) (means±SD) <sup>a</sup>	21.3±3.3	23.3±3.6	<.001
BMI≤23.9	898(84.0)	693(61.7)	<.001
24.0~27.9	146(13.7)	325(28.9)	
≥28.0	25(2.3)	105(9.3)	

<sup>&</sup>lt;sup>a</sup>: BMI, body mass index.

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**Table 2.** Association between previous pulmonary diseases, tobacco smoking or alcohol exposure and the risk of lung cancer in Southern Chinese.

Characteristic	Cases (n = 1069) n (%)	Controls (n = 1132) n (%)	Crude OR (95% CI)	Adjusted OR <sup>a</sup> (95% CI)	
COPD					
Yes	187(17.5)	136(12.1)	1.54 (1.21~1.96)	1.29(1.00~1.68)	
No	882(82.5)	996(87.9)	1.00	1.00	
Emphysema					
Yes	77(7.2)	44(3.9)	1.92(1.31~2.81)	1.55(1.03~2.32)	
No	992(92.8)	1087(96.1)	1.00	1.00	
Chronic bronchitis					
Yes	118(11.0)	89(7.9)	1.45(1.09~1.94)	1.22(0.99~1.67)	
No	951(89.0)	1042(92.1)	1.00	1.00	
Asthma					
Yes	15(1.4)	45(4.0)	0.34(0.19~0.62)	0.29(0.16~0.53)	
No	1054(98.6)	1085(96.0)	1.00	1.00	
Smoking status					
Current and ex-smokers	609(57.0)	542(47.9)	1.44 (1.22~1.71)	1.39(1.11~1.73)	
Non-smokers	460(43.0)	590(52.1)	1.00	1.00	
Pack year smoking					
≥20	465(43.7)	314(30.2)	1.66(1.37~2.00)	1.79(1.40~2.30)	
<20	139(13.1)	211(20.3)	0.74(0.57~0.94)	0.83(0.62~1.11)	
0	460(43.2)	514(49.5)	1.00	1.00	
Drinking status					
Current and ex-drinkers	230(21.5)	234(20.7)	1.05(0.86~1.29)	1.01(0.81~1.26)	
Non-drinkers	839(78.5)	898(79.3)	1.0	1.0	

<sup>a</sup>adjusted by age, sex, family history of cancer,, BMI and pack year smoking in the multivariate logistic regression analysis. doi:10.1371/journal.pone.0046144.t002

previous COPD (OR: 1.29, 95%CI: 1.00–1.68), emphysema (OR: 1.55, 95% CI: 1.03–2.32), chronic bronchitis (OR: 1.22, 95%CI: 0.99–1.67, boundary statistical significance) and asthma (OR: 0.29, 95%CI: 0.16–0.53).

**Table 3** presented stratified results on smoking status for the associations between four previous pulmonary diseases and lung cancer. Among current and ex-smokers, previous COPD and emphysema was significantly associated with the odds for increased risk of lung cancer (OR: 1.48, 95% CI: 1.08–2.05 for COPD; OR: 1.74, 95% CI: 1.06–2.87 for emphysema). Such significant association was not seen for chronic bronchitis (OR: 1.32, 95% CI: 0.91–1.92, P= .14). However, previous asthma was significantly associated with the odds for decreased risk of lung cancer (OR: 0.19, 95% CI: 0.08–0.44). Among non-smokers, no significant associations were seen between all the four previous pulmonary diseases and lung cancer.

## Meta analysis

The process of literature selection was showed in **Figure 1**. We finally identified 35 eligible studies to be reviewed quantitatively [20–54]. Among these 35 studies, there were 29 case-control studies and 6 cohort studies [21,27,32,33,35,37]. There were 22,010 cases and 44,438 controls totally. Twenty three studies were conducted in Caucasian population, 12 were conducted in Asians (one for Japanese, the others for Chinese), and 1 in Africans (not involved in the stratified analysis on ethnicity due to only one study). Characteristics of 35 studies were showed in Table S1. The diagnosis of lung cancer was based on pathology (dominating), computerized tomography (CT) and medical records. The

diagnosis of COPD was based on spirometry, questionnaire survey or physician report (**Table S1**). Significant heterogeneity was observed among estimates across all 35 studies as well as among the subgroups when stratified by smoking status and ethnicity.

Among 35 studies, there were 21 studies about COPD and lung cancer, 16 studies about emphysema and lung cancer, 17 studies about chronic bronchitis and lung cancer, and 17 studies about asthma and lung cancer. In terms of disease-specific estimates, the overall ORs for COPD, emphysema, chronic bronchitis and asthma were 2.76 (95% CI: 1.85–4.11), 3.02 (95% CI: 2.41–3.79), 1.88 (95% CI: 1.49–2.36) and 1.02 (95% CI: 0.50–2.10), respectively.

When restricted to smokers, all the associations between COPD, emphysema or chronic bronchitis and lung cancer were stronger than the overall ones when we only saw point estimate of ORs. However, the difference were not statistically significant (*P*>0.05 for all). The ORs for these three previous pulmonary diseases were 3.13 (95% CI: 2.02–4.86), 3.27 (95% CI: 2.72–3.92) and 2.38 (95% CI: 1.45–3.92). Furthermore, the ORs in smokers for these four diseases were higher than those in non-smokers (*P*<.001). Among non-smokers, there were significant associations between emphysema and chronic bronchitis and lung cancer (OR: 1.69, 95% CI: 1.15–2.46 for emphysema; OR: 1.54, 95% CI: 1.24–1.93 for chronic bronchitis), while the association for COPD was not significant (OR: 1.40, 95% CI: 0.83–2.35). For asthma, we did not see a significant association with lung cancer for all subgroups after stratified by smoker status. (**Table 4 and Figure 2**).

Table 3. Associations of lung cancer with previous pulmonary diseases, stratified by smoking status.

Characteristic	Smokers		OR(95% CI) <sup>b</sup>	Non-smokers	Non-smokers	
	Cases <sup>a</sup> (n = 609)	Controls <sup>a</sup> (n = 542)		Cases <sup>a</sup> (n = 460)	Controls <sup>a</sup> (n = 590)	
COPD						
No	463(76.0)	455(83.9)	1.00	419(91.1)	534(91.6)	1.00
Yes	146(24.0)	87(16.1)	1.48(1.08~2.05)	41(8.9)	49(8.4)	1.00(0.63~1.59)
Emphysema						
No	548(90.0)	515(95.0)	1.00	444(96.5)	572(97.1)	1.00
Yes	61(10.0)	27(5.0)	1.76 (1.07~2.90)	16(3.5)	17(2.9)	1.07(0.51~2.25)
Chronic bronchitis						
No	516(84.7)	481(88.7)	1.00	435(94.6)	561(95.2)	1.00
Yes	93(15.3)	61(11.3)	1.32(0.91~1.92)	25(5.4)	28(4.8)	1.18(0.66~2.12)
Asthma						
No	601(98.7)	515(95.0)	1.00	453(98.5)	570(96.9)	1.00
Yes	8(1.3)	27(5.0)	0.19(0.08~0.44)	7(1.5)	18(3.1)	0.50(0.20~1.27)

a: Data are presented by n (%);

When stratified by ethnicity, the strong association was still observed in both Asian and Caucasian for COPD, emphysema, and chronic bronchitis, but not for asthma. The relevant data can be seen in **Table 4 and Figure S1**.

There was no evidence suggestive of publication bias for all associations and separated associations for each previous disease (COPD, emphysema, chronic bronchitis and asthma). All of the *P* values of Begg's tests for different combinations were greater than .05. (The funnel plots were not shown.) We also performed a sensitivity analysis by stratifying the total studies based on the methods of COPD diagnosis and the result was shown in **Figure S2**. The OR in spirometry subgroup were higher than that in questionnaire or physician subgroups (**Figure S2**).

# Discussion

In our large hospital-based case-control study, we found that previous COPD, emphysema and chronic bronchitis were significantly associated with the odds for increased risk of lung cancer, while previous asthma was associated with the odds for decreased risk of lung cancer. The effect estimates ORs of the associations between these diseases and lung cancer in the subgroup of current and ex-smokers were similar to or slightly stronger than those in the overall subjects. However, we did not observe significant association between each studied disease and lung cancer for never smokers.

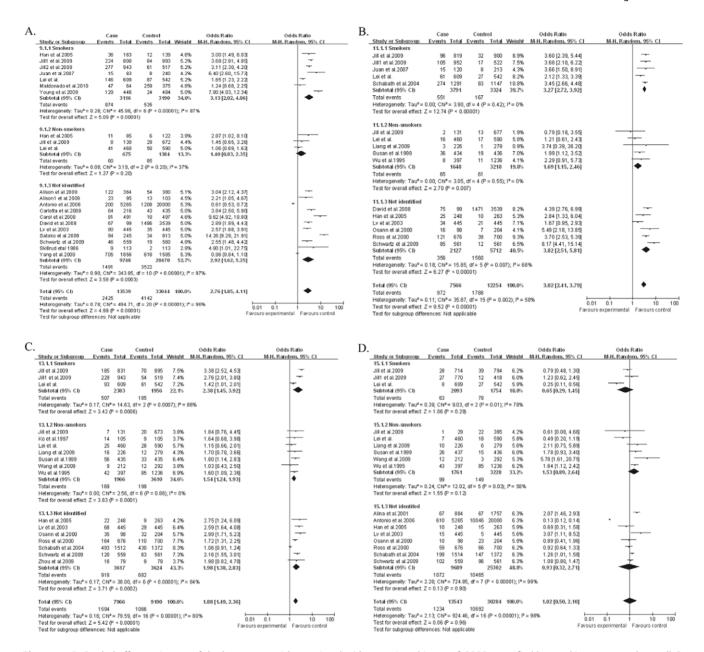
In our meta-analysis, significantly odds for increased risk of lung cancer were seen for COPD, emphysema and chronic bronchitis among all the examined smoker status subgroups and all the examined ethnic subgroups except for the non-smoker subgroup for COPD (no significant association was seen in this subgroup). The results were consistent with a previous similar systematic review [55]. However, no significant associations between every previous pulmonary disease and lung cancer were seen among non-smokers in our case-control study. This difference might be because of the insufficient sample size of our case-control study and the existence of some residual bias.

Our results of case-control study were consistent with those of a number of prior studies [31,37,46,54] and reconfirmed the strong association of COPD with lung cancer as well as our metaanalysis. Given the significant association existing only in the smokers, these two diseases may share a common environmental risk factor of cigarette smoke exposure and similar pathogenic mechanisms. In addition, recent studies have suggested a central role of chronic inflammation in the pathogenesis of both diseases [10,56,57]. Therefore, immune dysfunction, abnormal activation of NF-kB, epithelial-to-mesenchymal transition (EMT), altered adhesion signaling pathways, and extracellular matrix degradation/altered signaling might be the key underlying mechanisms in both COPD and lung cancer[12].

We speculated that there may be some genetic predisposition to these diseases as the incidence of these diseases was only observed in a fraction of smokers [1]. Genetic variants with a reduced risk of COPD in genome-wide association studies (protective variants on chromosomes 4q31 and 4q22) are independently associated with reduced risk of lung cancer [58]. A number of candidate single gene polymorphisms have been associated with susceptibility to lung cancer and COPD [59–62]. However, uncoupling shared risk factors from causal pathways will be difficult and further exploring in molecular and genetic mechanisms of links between COPD and lung cancer is necessary.

Both chronic bronchitis and emphysema can lead to chronic airway obstruction and then develop into COPD, which was often considered as a complex containing chronic bronchitis and emphysema. Our finding also showed that emphysema and chronic bronchitis were risk factors for the development of lung cancer. A positive association of emphysema and chronic bronchitis with lung cancer has been reported in several case-control and cohort studies [32,36,63–65]. This association was reported mainly among smokers or more pronounced among smokers than non-smokers [20,31,32,46,63], which are also confirmed by the results of our meta-analysis, suggesting the effect of confounding by smoking. Because the pulmonary impairments caused by these two diseases may reduce the clearance of carcinogens and damaged pulmonary tissue is more susceptible to the effects of carcinogens [66], patients may develop inherent

b: adjusted by gender, age, family history of cancer, and BMI in the multivariate logistic regression analysis. doi:10.1371/journal.pone.0046144.t003



**Figure 2. A.** Pooled effect estimates of the lung cancer risk associated with a previous history of COPD, stratified by smoking status and overall. B. Pooled effect estimates of the lung cancer risk associated with a previous history of emphysema, stratified by smoking status and overall. **C.** Pooled effect estimates of the lung cancer risk associated with a previous history of chronic bronchitis, stratified by smoking status and overall. **D.** Pooled effect estimates of the lung cancer risk associated with a previous history of asthma, stratified by smoking status and overall. doi:10.1371/journal.pone.0046144.g002

**Table 4.** Estimated pooled ORs (95% CI) for the association of four previous pulmonary diseases with lung cancer in meta-analysis, stratified by ethnicity and smoking status.

Characteristic	Smoking status			P <sup>a</sup> Value	Ethnicity		P <sup>b</sup> Value	Total
	Smokers	Non-smokers	Not identified		Caucasian	Asian		
COPD	3.13(2.02,4.86)	1.40(0.83,2.35)	2.92(1.62,5.25)	<.001	2.42(1.46,4.00)	2.98(1.52,5.84)	<.001	2.76(1.85,4.11)
Emphysema	3.27(2.72,3.92)	1.69(1.15,2.46)	3.82(2.51,5.81)	<.001	3.62(2.91,4.50)	1.90(1.42,2.54)	<.001	3.02(2.41,3.79)
Chronic bronchitis	2.38(1.45,3.92)	1.54(1.24,1.93)	1.98(1.38,2.83)	<.001	2.01(1.45,2.79)	1.68(1.31,2.15)	.114	1.88(1.49,2.36)
Asthma	0.65(0.29,1.45)	1.53(0.89,2.64)	0.93(0.32,2.71)	<.001	0.88(0.35,2.21)	1.25(0.59,2.67)	<.001	1.02(0.50,2.10)

<sup>&</sup>lt;sup>a</sup>: The comparison of ORs between smokers and non-smokers.

b: The comparison of ORs between Caucasian and Asian.

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susceptibility to both lung cancer and obstructive lung diseases [67].

Our case-control study also found a significant inverse association between previous asthma and lung cancer, but this association was lost in non-smokers. Controversial findings have been reported on the association between asthma and lung cancer, and a previous meta-analysis study which included seven cohort studies found that asthma was associated with a modest increased risk of lung cancer [68], which was contrary to our finding. However, here we also performed a meta-analysis on this topic, we found that asthma is not significantly associated with lung cancer after combined eight studies, and we also showed asthma may exert inverse effect on modulating lung cancer risk in smokers and never-smokes. A previous study had hypothesized several potential explanations for an inverse association between asthma and lung cancer [69]. Asthmatics might avoid smoking and other deleterious exposures that could trigger their asthma symptoms, which may subsequently reduce their risk of lung cancer. They are also administered allergy medications for a long period of time due to the chronic nature of asthma. Although the potential impact of these medications on lung carcinogenesis is unclear, some of them, for example, antibiotics, might eliminate pathogens related to the development of lung cancer [70].

The present study has a number of strengths. It combined an original observational study (case-control study) and a quantitative review (meta-analysis). The sample size of the case-control study was large, and the questionnaires were administered by interviewers who underwent centralized training, ensuring that important demographic and risk factor information (e.g. age, smoking) was obtained as accurately and completely as possible. In the metaanalysis, we evaluated the associations between four previous pulmonary diseases (COPD, emphysema, chronic bronchitis and asthma) and lung cancer respectively. It is worth mentioned that for each disease, we not only calculated combined estimate of the overall subjects, but also calculated separate pooled estimates of the subgroups stratified by smoking status and ethnicity, while the previous meta-analyses about this topic did no use stratification based on smoking status or ethnicity. In addition, publication bias was not evident in our study, which indicated a good representation of the extracted studies.

There are several design and methodological limitations of this study that must be considered in the analysis and interpretation of the results. First, as an inherent limitation for case-control study. information bias was inevitable. The definitions of emphysema, chronic bronchitis and asthma through self-report would lead to misclassification bias. The cases might have higher report rates of the events of previous pulmonary diseases than the controls due to their caring more about their physical conditions (recall bias). To minimize information bias, subjects were asked only about a specific list of lung diseases, which had to be diagnosed by a physician or have resulted in treatment and/or hospitalization. In the meta-analysis, misclassification bias also existed due to the different definitions of chronic pulmonary diseases in different studies (e.g., self report or spirometry test, etc). Then we performed a sensitivity analysis by stratifying the total studies based on the methods of COPD diagnosis and found that the value of OR in spirometry subgroup were higher than that in questionnaire or physician subgroups, which indicated that recall bias about COPD could underestimate its effect on the risk for lung cancer. Next, the latency analyses lacked in our study. Examining the time of onset

of previous lung diseases prior to cancer diagnosis could identify the reverse causality and obtain more accurate estimates of association. The third limitation is that residual confounding existed in our study because some confounding factors were not considered (e.g. biofuel smoke and passive smoke). Further investigations should supplement the variables about biofuel smoke and passive smoke to assess the magnitude of residual confounding.

In conclusion, our study verified the associations of COPD, emphysema, and chronic bronchitis with the increased risk of lung cancer. The association between asthma and lung cancer was still uncertain. Our extensive analyses of these associations by smoking status suggested that smoking is a shared risk factor of both COPD and lung cancer. There may be a central role of chronic inflammation in their pathogenesis in addition to some shared genetic predisposition to the two diseases. Further investigations into the mechanisms for relevance of COPD with the development of lung cancer are warranted. Moreover, because smoking habit varies largely between different genders and estrogen and autoimmunity were possibly associated with lung diseases [71], further investigations in studies powered to evaluate men and women separately might help to identify more details in the pathogenesis of lung cancer.

# **Supporting Information**

Protocol S1 Additional details of methods. (DOC)

Table S1 Characteristics of 35 studies on the association between COPD and lung cancer.  $(\mathrm{DOC})$ 

**Figure S1 A.**Pooled effect estimates of the lung cancer risk associated with a previous history of COPD, separated by ethnicity and overall. **B.** Pooled effect estimates of the lung cancer risk associated with a previous history of emphysema, separated by ethnicity and overall. **C.** Pooled effect estimates of the lung cancer risk associated with a previous history of chronic bronchitis, separated by ethnicity and overall. **D.** Pooled effect estimates of the lung cancer risk associated with a previous history of asthma, separated by ethnicity and overall.

Figure S2 Pooled effect estimates of the lung cancer risk associated with a previous history of COPD, stratified by method of COPD diagnosis and overall (sensitivity analysis).

(TIF)

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## **Author Contributions**

Conceived and designed the experiments: NZ JL. Performed the experiments: HW LY LZ DH YG MP YT HZ WJ PR. Analyzed the data: HW LY LZ. Contributed reagents/materials/analysis tools: HW LY LZ. Wrote the paper: HW LZ JL.

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