



# The Scientific Contributions of Bernard Cohen (1929–2019)

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Throughout Bernard Cohen's active career at Mount Sinai that lasted over a half century, he was involved in research on vestibular control of the oculomotor, body postural, and autonomic systems in animals and humans, contributing to our understanding of such maladies as motion sickness, mal de débarquement syndrome, and orthostatic syncope. This review is an attempt to trace and connect Cohen's varied research interests and his approaches to them. His influence was vast. His scientific contributions will continue to drive research directions for many years to come.

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# INTRODUCTION

After education and training through Middlebury College, New York University School of Medicine, internship, residencies in neurology, and psychiatry, 2 years in the U.S. Army, and a 2-year experimental fellowship at Columbia University under Dominick Purpura, Bernard Cohen arrived at Mount Sinai Hospital in 1962 for good. Throughout his active career at Mount Sinai, he was involved in research on vestibular control of the oculomotor, body postural, and autonomic systems in animals and humans (Figure 1), and was continuously supported by grants from the NIH, NASA, the NSF, and New York City as a principal investigator. Most notably, the NIH grant entitled "The Oculomotor System and Body Postural Mechanisms" (NB00294, NS00294, EY11812), initially spearheaded by Morris B. Bender before the arrival of Cohen at Mount Sinai, ran for 50 years through 2009, an NIH record. Despite losing his central vision in both eyes circa 2012, Cohen pursued research in a full-time capacity until his retirement at the age of 88 years in 2017, thereafter he continued to be engaged in writing, mentoring, and organizing conferences. Cohen fell ill just days before the international conference born out of the Frontiers in Neurology Research Topic, "Vestibular Contributions to Health and Disease" (3), for which he was the main driving force of organization. Following a month long hospitalization, he passed away peacefully on November 27, 2019, at the age of 90 years.

# FOUNDATION

Although only 2 years senior to Cohen, Purpura was already an established neurophysiologist by the time they crossed paths in 1960, running his own laboratory at Columbia University and having published some 40 papers by then. For 2 years with Purpura, Cohen recorded activities of thalamic and extrathalamic pathways in cats and honed his electrophysiological skills (4–6).

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# **OCULOMOTOR CONTROL**

At Mount Sinai, Cohen began by identifying the eye, head, and body movements activated by the semicircular canals with Jun-Ichi Suzuki (7-9). Bender wanted Suzuki, who had just arrived from Tokyo with Fulbright support, to electrically stimulate the labyrinths. With Suzuki and Cohen respectively taking lead in surgery and electrophysiology, and aided by thennewly-available electronic technologies, the experiments were completed in 2 years (10). They were the first to demonstrate couplings of electrical stimulation of individual vestibular nerve branches to specific motor consequences. Extending from earlier pioneering studies by Lorente de Nó (11) and Szentágothai (12), Cohen and Suzuki's experiments revealed the machinery by which movement is controlled by the sum of three orthogonal vectors represented in the geometry of the semicircular canals, and their results opened a whole field of new research ranging from basic experimental, theoretical, to applied clinical (13-17).

This effort was followed by identification of the paramedian pontine reticular formation (PPRF) as the horizontal saccade premotor center, particularly in collaboration with Atushi Komatsuzaki and Volker Henn. Over the prior three decades, Bender and his colleagues had meticulously tabulated the results of stimulation and lesioning of essentially every cubic millimeter in a total volume of some 2,500 mm<sup>3</sup> in the brainstem of macaque monkeys to identify the origins of bilaterally coordinated activation of ocular motor nuclei (18). Following this path, Cohen and Komatsuzaki, with Bender, succeeded in more precisely associating PPRF lesions with distinctive conjugate horizontal gaze palsies (19). Cohen continued to accumulate evidence that PPRF is responsible for production of the premotor commands for ipsilateral horizontal saccades, be they for conjugate gaze shifts or fast phases of nystagmus (20-22). Cohen and Henn then went on to uncover many of the building blocks of horizontal saccade generation with single unit recording in PPRF (23, 24). These findings vastly expanded the understanding of the structure of ocular premotor commands by isolating a distinct eve movement element and further elucidating its underlying mechanisms, much akin to a discovery and dissection of a chemical element.

Cohen and Komatsuzaki also showed that conjugate eye movements produced by stimulation of PPRF occurred at a constant velocity, with the amplitude and speed linearly dependent on the frequency of stimulation pulses delivered up to that moment (22). This finding significantly advanced not only oculomotor research but also the field of biomechanics by providing evidence for neural implementation of a mathematical integration predicted from control system theories (25).

# **VELOCITY STORAGE**

Cohen's next major contribution was with Theodore Raphan in quantitatively characterizing optokinetic nystagmus (OKN) and optokinetic after-nystagmus (OKAN) and formulating a mathematical model that emulated their characteristics featuring a leaky integrator (26). As noted by ter Braak as far back as in 1936 (27), OKAN, i.e., the persistence of nystagmus in darkness following a visual motion stimulus, indicates storage of motion-related signals and sustained output from this storage. Collewijn recognized the usefulness of such a storage mechanism in characterizing the slow build-up of OKN in the rabbit and conceptualized it also as a leaky integrator (28), but Cohen and Raphan put the integrator forth as the focus of the visualvestibular interaction (29, 30), as also anticipated by ter Braak (27). Cohen's interest in this area was a natural extension of his earlier effort on saccade generation because understanding how nystagmus is shaped required ideation of a shared central processor of visual and vestibular inputs (2, 27). It had been generally assumed that there existed a brainstem mechanism that extended the time over which the vestibulo-ocular reflex (VOR) could compensate for constant-velocity head movement after the fall of the eighth nerve activity, but the conceived mechanism, shared between vestibular and visual functions, would provide a ground that could efficiently attenuate the after-response to prolonged rotation even when the vision was blocked at the stop of rotation (27, 29, 30). Cohen and Raphan termed this mechanism the velocity storage integrator.

A major impetus for this formulation was derived from fresh evidence by Waespe and Henn of vestibular nuclear activity that correlated with the strengths of nystagmus of the VOR, OKN, and OKAN in alert monkeys (31, 32) as well as from earlier results of labyrinthectomy in monkeys and rabbits, which degraded OKN and abolished OKAN (33, 34). Not surprisingly, David Robinson of the Johns Hopkins University also took note of these observations and simultaneously proposed an alternate model, which behaved equivalently to the Cohen-Raphan model but utilized a positive feedback loop in the implementation (35). While Robinson proposed his model as a demonstration of the utility of a model-based approach in understanding visualvestibular interaction, Cohen, Raphan, and Matsuo elucidated nuances of velocity storage by testing their model against a well-defined experimental dataset (26, 29, 30). Both Cohen and Raphan, and Robinson postulated that velocity storage contributes to the sensation of self-motion (vection) (36).

Cohen and Raphan continued to characterize velocity storage as a mechanism that would facilitate ocular and postural compensation to turning motion—registration of not only the start and end of rotation but also whether one is continuing to rotate. They observed activation of velocity storage as, for example, prolonged nystagmus during the VOR to a constant velocity rotation in darkness, OKAN, and continuous unidirectional nystagmus during rotation about a tilted axis (off-vertical axis rotation, OVAR) or pitching/rolling about an earth-horizontal axis while rotating about an earth-vertical axis (pitching/rolling while rotating, PWR/RWR). Of note, the characterization of velocity storage expression to date still nearly exclusively relies on changes over time in the direction and magnitude of eye rotational velocity during slow phases of nystagmus. Two possible exceptions are subjective reporting of vection in human experiments (37, 38) and activity levels in specific classes of neurons in the vestibular nucleus in animal experiments (31, 39, 40).

Signature characteristics of velocity storage include: 1) stored rotational velocity can be discharged ("dumped") by visual fixation or a tilt, an indication that one is no longer turning (26, 29, 30, 41, 42); 2) the ability to store velocity is permanently abolished by labyrinthectomy or vestibular nerve section (33, 43), or by cutting the commissural fibers between the bilateral vestibular nuclei (44); 3) in contrast to 2), inactivation of the semicircular canal function by plugging the duct and interrupting the flow of the endolymph, thus keeping the vestibular nerve intact, spares the velocity storage function (43); 5) the storage mechanism can be reversibly and dose-dependently inactivated by the GABA<sub>B</sub> receptor agonist baclofen (45); 5) repeated vestibular stimulation can weaken, i.e., habituate, velocity storage without affecting the gain of VOR compensation during step velocity rotation, and once habituated, the state is retained for months or possibly longer (46, 47); and 6) the gain of VOR compensation can be changed up or down apparently without affecting the velocity storage capacity and is more malleable than habituation (47).

Note that tilt dumping of velocity storage occurs only in the absence of head rotation-more specifically, in the absence of head rotation about the axis with which the stored velocity is associated. In contrast, tilting the head while in rotation changes the alignment of the semicircular canals with the plane of rotation, which instead activates the velocity storage mechanism and, in combination with otolith input, permits reconstruction of signals related to rotation as demonstrated with PWR/RWR (41, 48-50). In further contrast, tilting the axis of rotation relative to gravity, that is OVAR (51, 52), also activates velocity storage, not through the semicircular canals but through continuous reorientation of the otoliths relative to gravity (43, 53). Incidentally, tilting the head while rotating is historically referred to as a Coriolis/cross-coupling stimulus (54), but Coriolis acceleration is not considered a significant contributor to velocity storage activation (48). On the other hand, sinusoidal translation in the plane of rotation, due to the combination of Coriolis and centripetal accelerations, tilts the gravito-inertial acceleration relative to the head without activating the semicircular canals, such that the stimulus generates a sweeping movement of linear acceleration around the head as in OVAR and similarly activates velocity storage (55).

Cohen and Raphan over time realized that velocity storage, when the head is tilted, undergoes dynamic transformation so that the axis of the output eye movement tends toward the spatial vertical as referenced by gravity (56–59). That is, the velocity storage integrator, a mechanism thought to facilitate ocular and postural compensation to rotation by representing the state of self-motion, is also equipped with orienting properties to act as a "neural gyroscope" (57, 60). This discovery led to an understanding of the three-dimensional functional configuration of velocity storage (61, 62) as well as how this configuration can contribute to the sense of relationship between self and the environment, balance, and the production of motion sickness (63, 64). The postulate that three-dimensional properties of velocity storage might be coded by vestibular-only neurons in the medial and superior vestibular nuclei was later substantiated (40).

# SPATIAL ORIENTATION AND BODY POSTURAL CONTROL

The study of velocity storage led Cohen to deeply contemplate the role of the vestibular system in spatial orientation (60, 65). The term spatial orientation describes the ability to relate the position and movement of the body and body parts to spatial cues, including sensing, establishing, and maintaining connections to space (66). Simply put, spatial orientation indicates readiness for spatial interactions. Results from other laboratories indicated that, in addition to visual or vestibular input, velocity storage could be activated by somatosensory input, such as with an extended arm passively following a rotating cylindrical wall in darkness (67) or walking on a circular treadmill (68). It thus became clear that velocity storage was a focal point of not just visual-vestibular but wider multimodal sensory interaction and played an essential role in spatial orientation by providing a working memory-like function for self-motion. Earlier, Cohen with Suzuki had established the specific nature of the reflex head and postural movements produced by semicircular canal stimulation across species as a compensatory mechanism for sudden angular displacements (9), but as Cohen began to recognize the orientation property of velocity storage, he again became interested in the vestibular control of body posture and locomotion.

The seemingly mundane act of holding a stable gaze during locomotion in fact involves precise coordination of movements of the torso, limbs, head, and eyes. To achieve this feat, information about movements of these various body parts must be coded in the brain using appropriate coordinate frames. It was from this perspective that Cohen studied various strategies used by animals and humans to generate compensatory head and eye movements during circular and linear locomotion, including both angular and linear vestibulocollic reflexes and velocity storage (69-71). Furthermore, from the studies of the VOR, it had become evident to Cohen that both compensatory and orienting eye movements could be generated by the reflex arc in relation to either angular or linear acceleration (1, 65, 72, 73). As put forward by Cohen and Raphan, while compensatory eye movements support maintenance of a fixed gaze direction or gaze point in space, orienting eye movements tend to align the eye vertical with the spatial reference vector of the gravito-inertial acceleration, with a stipulation that the outcome of the VOR depends on the temporal frequency of the head movement. It was then found that corresponding compensatory and orienting head and body movements were also generated to stabilize gaze during walking, with slower orienting mechanisms controlling the dynamics of compensatory movements (74). Thus, compensatory and orienting responses should be recognized as part of generalized vestibular functions.

This line of research was also greatly relevant to space exploration, which may take place in the environment where gravity, a fundamental parameter that defines one's relationship to the environment, is not detectable. In this context, Cohen et al. focused on characterizing potentially maladaptive changes in spatial orientation and perception due to exposure to microgravity as well as whether artificial gravity produced with centrifugation could provide an effective countermeasure against these changes (75–80).

# **AUTONOMIC SYSTEMS**

Despite the depths of knowledge in both vestibular and autonomic systems, still relatively little is known about vestibular-autonomic/cardiovascular reflexes. Cohen believed that there were a wide variety of opportunities to fill this gap. By applying OVAR to human subjects, Cohen et al. first established that signals that produce constriction of blood vessels in the legs can come from the vestibular system, namely the otolith organs, at a latency much shorter than baroreflex (81), a significant finding in the context where baroreflex is considered rapid as compared to chemoreceptor- or endocrine-mediated blood pressure regulation. They then established that trans-mastoidal electrical stimulation, presumably by activating the otoliths, can effectively activate sympathetic pathways with a similar short latency (82). Still, how blood pressure and heart rate might be affected by such galvanic vestibular stimulation was not known. When Cohen et al. applied galvanic vestibular stimulation to anesthetized rats, instead of an anticipated increase, a sudden decrease in blood pressure and heart rate, i.e., a vasovagal response, was elicited (83). As the diagnosis of vasovagal syncope is supported by a tilt-table test, which activates vestibular and body tilt senses, they hypothesized that the otoliths could provide significant input to the generation of syncope. Clearly, insights on otolith-mediated regulation of blood pressure were available to Cohen from space research as well. Cohen et al. had earlier suggested that otolith stimulation with centrifugation could be a countermeasure for post-flight orthostatic intolerance commonly seen among astronauts returning from space (80). Cohen forged ahead with studies of mechanisms of vasovagal response, which also demonstrated the usefulness of the small-animal model that he and his colleagues developed in the rat (84–88).

### **VESTIBULAR-CEREBELLAR INTERACTION**

Early on, on the heels of his work with Suzuki on semicircular canal stimulation, Cohen, in the tradition of Bender, sought to determine patterns of eye movement represented in the cerebellum by correlating ocular responses to systematic stimulation of wide regions of the cerebellum (89). This work showed that the patterns of cerebellar-evoked eye movements resembled those produced by semicircular canal stimulation, that there were topographic separations for different eye movement planes, and that eye movements could be combined when two points were stimulated simultaneously. The work also suggested the possibility that, had the head not been fixed, postural movements might have been produced in place of eye movements at many points of stimulation, as well as paved the way for the idea that the brain may facilitate sensorimotor transformations for compensatory ocular and postural reflexes by using a common coordinate frame consistent with the arrangement of the semicircular canals (90), a point revisited by many authors (1, 91-96).

The cerebellar flocculus and nodulus are collectively known as the vestibulo-cerebellum for their close interactions with the vestibular system. Between the PPRF and velocity storage works, Cohen, with Takemori, showed that the flocculus aided rapid visual adjustment of the VOR (97), making a significant contribution to the field energized by Ito's hypothesis that placed the flocculus at the center of cerebellar motor control in the context of the VOR performance optimization (98).

With the formulation of velocity storage, the cerebellar control of the vestibular system fast became an essential consideration. To this effect, Cohen, with Waespe and Raphan, showed that the flocculus and the nodulus (together with the adjacent ventral uvula) have distinctive roles in vestibular control by demonstrating independent and model-predictable effects of floccular and nodular lesions on visual-vestibular interactions during the VOR, OKN/OKAN, and OVARgenerated nystagmus (42, 47, 99, 100)-in brief, the flocculus mediates the visual pathway with which the gain of the compensatory VOR is controlled, while the nodulus controls velocity storage. Cohen et al. further studied the nodulus to show that velocity storage dumping can be mimicked by electrical stimulation in the nodulus (101) and that the spatial orientation properties of velocity storage may be supported in relation to the parasagittal organization of the nodulus (102).

The thusly obtained knowledge had great implications in the approaches and clinical applications developed by Cohen and Mingjia Dai to motion sickness and mal de débarquement syndrome (MdDS). They postulated motion sickness as originating from a sensory conflict detected in the velocity storage mechanism, it being a focal point of multimodal sensory interaction and spatial orientation through its interactions with the nodulus and uvula (103–107). They then determined that, while velocity storage may be useful in other contexts, susceptibility to motion sickness could be reduced by *weakening* the velocity storage capacity in a targeted manner reversibly by a pharmacological means with baclofen (108) or for a long-term effect by inducing a change in the VOR (109).

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MdDS is still an under-recognized chronic balance disorder, characterized by persistent perception of oscillating self-motion, typically after coming off a cruise (110, 111). The condition, with additional likely secondary cognitive and affective symptoms, is debilitating. There is, as yet, not a fully effective treatment, but the approach developed recently at Mount Sinai has resulted in long-term improvement of symptoms in the majority of the treated patients (112, 113). The treatment was developed on the postulates that MdDS was a consequence of maladapted orientation properties of velocity storage (114) and that proper orientation properties could be restored through VOR readaptation. The success of the treatment was in many ways the culmination of Cohen's career. Interestingly, despite substantial evidence from Cohen's work that a change in the nodulus may have a significant behavioral consequence, the challenge remains to establish an experimental paradigm to explore plasticity in the nodulus amenable to cellular-level explanation.

#### CONCLUSION

Cohen's scientific style was marked by enthusiasm. Besides engaging in diverse works related to and extending from the endeavors outlined above (115–118), he was ready to jump in whenever a scientific opportunity presented (119–123). At a tribute symposium held at Mount Sinai in April 2018 following Cohen's retirement from his long-held fulltime position as Morris B. Bender Professor of Neurology, Albert Fuchs of Washington University succinctly stated, "He was bitten by a science bug as a young man and never recovered from it." He enjoyed good scientific battles like a proud prizefighter and inspired many with his spirit. Even after retirement, he continued to be deeply immersed in understanding neural mechanisms of vestibulosympathetic reflex, motion sickness, and MdDS. His scientific contributions will continue to drive research directions for many years to come.

### **AUTHOR CONTRIBUTIONS**

The author confirms being the sole contributor of this work and has approved it for publication.

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**Conflict of Interest:** The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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