


# Prophylactic corticosteroid use in patients receiving axicabtagene ciloleucel for large B-cell lymphoma

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## Summary

ZUMA-1 (NCT02348216) examined the safety and efficacy of axicabtagene ciloleucel (axi-cel), an autologous CD19-directed chimaeric antigen receptor (CAR)-T cell therapy, in refractory large B-cell lymphoma. To reduce treatment-related toxicity, several exploratory safety management cohorts were added to ZUMA-1. Specifically, cohort 6 investigated management of cytokine release syndrome (CRS) and neurologic events (NEs) with prophylactic corticosteroids and earlier corticosteroid and tocilizumab intervention. CRS and NE incidence and severity were primary end-points. Following leukapheresis, patients could receive optional bridging therapy per investigator discretion. All patients received conditioning chemotherapy (days -5 through -3),  $2 \times 10^6$  CAR-T cells/kg (day 0) and once-daily oral dexamethasone [10 mg, day 0 (before axi-cel) through day 2]. Forty patients received axi-cel. CRS occurred in 80% of patients (all grade  $\leq 2$ ). Any grade and grade 3 or higher NEs occurred in 58% and 13% of patients respectively. Sixty-eight per cent of patients did not experience CRS or NEs within 72 h of axi-cel. With a median follow-up of 8-9 months, objective and complete response rates were 95% and 80% respectively. Overall, prophylactic corticosteroids and earlier corticosteroid and/or tocilizumab intervention resulted in no grade 3 or higher CRS, a low rate of grade 3 or higher NEs and high response rates in this study population.

**Keywords:** large B-cell lymphoma, axi-cel, chimaeric antigen receptor-T cell, prophylaxis, corticosteroids, cytokine release syndrome.

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## Introduction

The safety and efficacy of axicabtagene ciloleucel (axi-cel), an autologous anti-CD19 chimaeric antigen receptor (CAR)-T cell therapy approved for treating patients with relapsed/refractory (R/R) large B-cell lymphoma (LBCL) after two or more prior lines of systemic therapy,<sup>1,2</sup> was demonstrated in the pivotal phase 1/2 ZUMA-1 study (NCT02348216).<sup>3,4</sup> In the ZUMA-1 primary analysis (median follow-up, 8.7 months), the investigator-assessed objective response rate (ORR) was 82% and the complete response (CR) rate was 54%.<sup>4</sup> Grade 3 or higher cytokine release syndrome (CRS) occurred in 13 patients (13%), and grade 3 or higher neurologic events (NEs) occurred in 28 patients (28%).

CRS and NEs are the adverse events (AEs) most commonly associated with anti-CD19 CAR-T cell therapy.<sup>5,6</sup> CRS is believed to result from CAR-T cell activation upon cognate antigen recognition, causing proliferation and release of cytokines, including interferon gamma (IFN- $\gamma$ ) and tumour necrosis factor  $\alpha$ . These, in turn, activate "bystander" immune cells (e.g. myeloid lineage immune cells), which release inflammatory cytokines, including interleukin (IL) 6 — a key CRS mediator.<sup>7</sup> CAR-T cell levels<sup>8</sup> and IL-6 levels<sup>4,8,9</sup> have been shown to correlate with CRS severity following CAR-T cell infusion.

Less is known regarding aetiology of NEs.<sup>6</sup> Associations of NEs with higher serum levels of C-reactive protein and various cytokines have been reported.<sup>10</sup> In ZUMA-1, elevated levels of CAR-T cells, IL-2, granulocyte-macrophage colony-stimulating factor (GM-CSF) and ferritin were associated with grade 3 or higher NEs, while levels of other biomarkers (IL-6, IL-15, IL-2R $\alpha$ ) were associated with both grade 3 or higher CRS and grade 3 or higher NEs.<sup>4</sup>

Minimising CRS and NE incidence and severity are important goals in CAR-T therapy-related toxicity

management.<sup>11</sup> Therefore, several exploratory safety management cohorts were added to ZUMA-1. The cohorts were not designed for comparative purposes and no formal hypotheses were tested. Cohort 3 evaluated prophylactic use of the anticonvulsant levetiracetam starting on day 0 and the anti-IL-6 antibody tocilizumab on day 2.<sup>12</sup> In cohort 3 ( $n = 34$ ), relative to cohorts 1 + 2 ( $n = 101$ ), prophylactic tocilizumab appeared to decrease rates of grade 3 or higher CRS (3% versus 13%), but not rates of grade 3 or higher NEs (41% versus 28%).<sup>4,12</sup> To investigate the effects of more general inflammatory suppression on toxicities, cohort 4 ( $n = 41$ ) examined use of levetiracetam prophylaxis and earlier corticosteroid and tocilizumab intervention: corticosteroids were initiated starting at grade 1 CRS (if no improvement after 3 days) and at grade 1 NE; tocilizumab was initiated at grade 1 CRS (if no improvement after 3 days), at grade 2 or higher CRS and at grade 2 or higher NE. Rates of grade 3 or higher CRS (2%) and grade 3 or higher NEs (17%) appeared to be lower in cohort 4 versus cohorts 1 + 2. Importantly, no meaningful impact was observed on CAR-T cell pharmacokinetics or investigator-assessed disease response (73% ORR, 51% CR).<sup>13</sup> To further build on these findings, the impact of adding prophylactic corticosteroids to the cohort 4 toxicity management was assessed in cohort 6.

## Patients and methods

The study protocol for phase 2 cohorts 1 + 2 of the single-arm, multicentre, registrational ZUMA-1 study was previously described.<sup>4</sup> Cohort 6 primarily differed from cohorts 1 + 2 in that patients received levetiracetam and corticosteroid prophylaxis and earlier corticosteroids and tocilizumab for toxicity management (Fig 1). Key similarities and differences between phase 2 cohorts 1 + 2, 4 and 6 are detailed in Table S1.

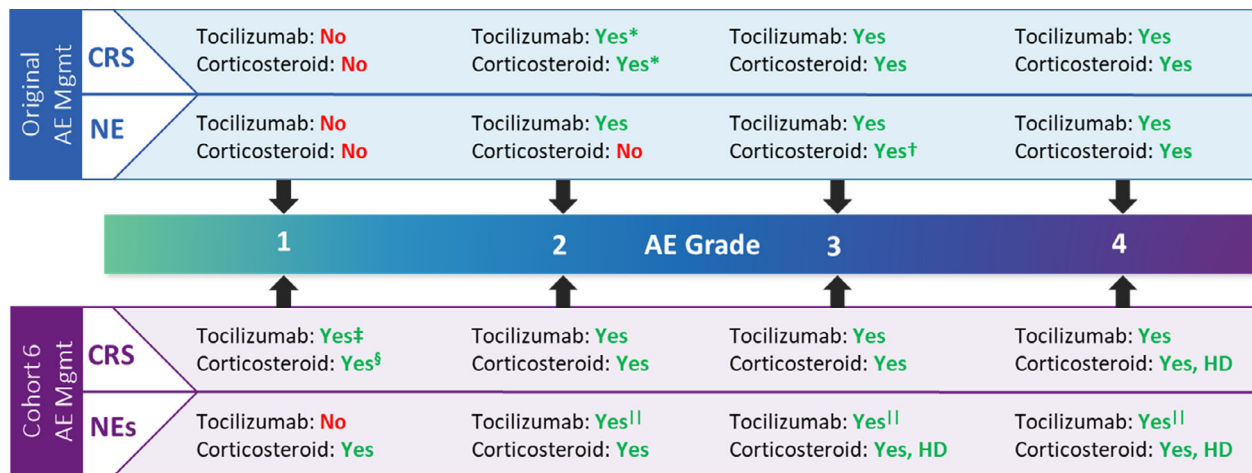


Fig 1. Toxicity management in ZUMA-1. \*Only in case of comorbidities or older age. †Only if no improvement with tocilizumab, use standard dose. ‡If no improvement after 24 h. §If no improvement after three days. ||Only for grade 2 or higher NEs with concurrent CRS. AE, adverse event; CRS, cytokine release syndrome; HD, high dose; Mgmt, management; NE, neurologic event. [Colour figure can be viewed at wileyonlinelibrary.com]

**Patients**

In cohort 6, eligible patients had histologically confirmed R/R LBCL after two or more lines of systemic therapy, were refractory to first-line therapy [defined as best response of progressive disease (PD) or stable disease (SD) to four or more cycles of first-line therapy with SD duration at most six months], or had PD or relapsed ≤12 months after autologous stem cell transplant (SCT). Additional inclusion/exclusion criteria are available in Data S1. All patients provided written informed consent. The study was approved by the institutional review board at each site and was conducted in accordance with the Good Clinical Practice guidelines of the International Conference on Harmonization.

**Treatment**

Bridging therapy was allowed per investigator discretion (e.g. patients with bulky or rapidly progressing disease at baseline) after leukapheresis (Data S1). Patients received a conditioning regimen of cyclophosphamide (500 mg/m<sup>2</sup>/d) and fludarabine (30 mg/m<sup>2</sup>/d) on days - 5 to - 3 and 1 dose of axi-cel (target dose, 2 × 10<sup>6</sup> CAR-T cells/kg; minimum dose, 1 × 10<sup>6</sup> CAR-T cells/kg; maximum flat dose for patients >100 kg, 2 × 10<sup>8</sup> CAR-T cells) on day 0. Patients received once-daily oral dexamethasone 10 mg on days 0 (before axi-cel), 1 and 2. Starting on day 0, patients received levetiracetam (750 mg orally or intravenously twice daily). If no grade 2 or higher NE occurred, the levetiracetam dose was tapered and discontinued as clinically indicated per investigator discretion. If previously discontinued, levetiracetam was reinitiated at the onset of subsequent grade 2 or higher NEs.

Corticosteroid therapy was used to manage all grade ≥1 NEs, all grade 2 or higher CRS and grade 1 CRS if there was no improvement after three days (Fig 1; Table SII).

Tocilizumab was used to manage grade 2 or higher NEs with concurrent CRS, all grade 2 or higher CRS, and grade 1 CRS if there was no improvement after 24 h.

**End-points and analyses**

No formal hypothesis was tested; all end-points were analyzed descriptively. The primary end-points were the incidence and severity of CRS and NEs. CRS was defined and graded per modified Lee 2014 criteria.<sup>11</sup> NEs were identified by specific search strategy per Topp *et al*<sup>14</sup> and graded for severity per Common Terminology Criteria for Adverse Events version 4.03.<sup>15</sup> Secondary end-points included investigator-assessed ORR (CR plus partial response) per revised International Working Group Response Criteria for Malignant Lymphoma,<sup>16</sup> duration of response (DOR), progression-free survival (PFS), overall survival (OS), incidence of AEs and levels of anti-CD19 CAR-T cells and cytokines in blood (Data S1). Confidence intervals (CIs) for ORRs were generated by the Clopper-Pearson method, and CIs and landmark estimates of DOR, PFS and OS were generated by Kaplan–Meier (KM) survival method. For patients who underwent SCT before documented progression, DOR and PFS were censored at SCT date. Exploratory end-points included biomarker assessments (Data S1).

The modified intent-to-treat (mITT) population, comprising all enrolled patients treated with axi-cel at a dose of ≥1 × 10<sup>6</sup> CAR-T cells/kg, was used for response-based end-points. All patients treated with any dose of axi-cel were included in the safety analysis set. Tumour burden was measured by sum of product diameters of target lesions.<sup>16</sup> For patients who received bridging therapy (excluding corticosteroids alone), baseline tumour burden was measured after bridging therapy, but before conditioning therapy.

### Propensity score matching (PSM) analysis

Exploratory retrospective PSM analysis<sup>17,18</sup> was performed to descriptively compare results for cohort 6 and cohorts 1 + 2 (primary analysis)<sup>4</sup> after balancing for the following key baseline disease characteristics: tumour burden, International Prognostic Index score, number of prior lines of chemotherapy, disease stage and lactate dehydrogenase level (Data S1).

## Results

### Patient disposition and baseline and product characteristics

Beginning on 1 May 2019, 62 patients were screened; 42 were enrolled and leukapheresed (Figure S1). Of 42 enrolled patients, 40 (95%) received conditioning chemotherapy and axi-cel. The manufacturing success rate was 98% ( $n = 41/42$ ). Two patients did not receive axi-cel due to product not available and sponsor decision ( $n = 1$  each). The 40 axi-cel-treated patients comprised both the mITT and safety analysis populations. Twenty-one patients (53%) received bridging therapy before axi-cel, most commonly corticosteroids (23%), bendamustine/rituximab plus corticosteroids (10%) and bendamustine/rituximab alone (8%; Table SIII). Median tumour burden was not significantly reduced with bridging therapy. One patient did not receive corticosteroid prophylaxis per protocol on days 1 and 2 because of site error. The data cut-off date was 16 June 2020; the median follow-up was 8.9 months (range, 6.0–12.1 months).

The median patient age was 64.5 years (range, 37–85 years); 65% of patients had stage III or IV disease, 38% had three or more prior therapies and 43% had PD as best response to most recent chemotherapy (Table I). Pre-infusion product characteristics (total number of T cells, per cent transduction, IFN- $\gamma$  production and T-cell phenotypes) are shown in Table SIV.

### Safety

AEs occurred in all treated patients. The most frequent any-grade AEs were pyrexia (85%), hypotension (55%) and neutropenia (50%; Table II). Grade 3 or higher AEs were reported in all treated patients — the most frequent were neutropenia (45%), neutrophil count decreased (33%), anaemia (20%) and white blood cell count decreased (20%). Three patients (8%) had fatal treatment-emergent AEs: one respiratory failure due to ongoing respiratory infection (day 91; related to axi-cel), one urosepsis (day 107; unrelated to axi-cel) and one unknown AE, identified per public records that occurred after the patient withdrew consent to undergo autologous SCT. Prolonged grade 3 or higher cytopenias present on or after day 30 post-axi-cel infusion were reported in 18 patients (45%): neutropenia (30%; 0% febrile), thrombocytopenia (20%) and anaemia (10%; Table SV). Overall,

**Table I.** Patient and disease characteristics at baseline.

Characteristic	Cohort 6 ( $n = 40$ )
Age	
Median (range), years	64.5 (37–85)
$\geq 65$ years, $n$ (%)	20 (50)
Male sex, $n$ (%)	23 (58)
ECOG performance status score of 1, $n$ (%)	22 (55)
Disease stage, $n$ (%)	
I or II	14 (35)
III or IV	26 (65)
IPI score, $n$ (%)	
0–2	22 (55)
3–4	18 (45)
Number of prior lines of chemotherapy, $n$ %	
1	2 (5)
2	23 (58)
3	12 (30)
4	2 (5)
$\geq 5$	1 (3)
Prior autologous SCT, $n$ (%)	10 (25)
PD as best response to most recent chemotherapy, $n$ (%)*	17 (43)
Median (range) tumour burden by SPD, $\dagger$ mm <sup>2</sup>	1 184 (116–17 057)
Median (range) LDH, U/l	236 (155–2 042)
Median (range) ferritin, ng/ml	364 (13–1 748)
Refractory subgroup, $n$ (%)	
Primary refractory	2 (5)
Refractory $\geq$ second-line therapy	23 (58)
Relapsed $\geq$ second-line therapy	7 (18)
Relapsed post-ASCT	8 (20)

ASCT, autologous stem cell transplant; ECOG, Eastern Cooperative Oncology Group; IPI, International Prognostic Index; LDH, lactate dehydrogenase; PD, progressive disease; SCT, stem cell transplant; SPD, sum of the products of diameters.

\*For patients who had not relapsed post-ASCT.

$\dagger$ Restaged after bridging, except for two patients without post-bridging assessment in which case the screening assessments were used for baseline.

50% of patients experienced infections, with median time to onset of 42.5 days (range, 3–219 days) post-axi-cel infusion; 20% of patients had grade 3 or higher infections.

CRS occurred in 32 patients (80%); all cases were grade 1 or 2 (Table III). The most common CRS symptoms among patients with CRS were pyrexia (97%), hypotension (53%) and hypoxia (19%). Median time to CRS onset was 5.0 days (range, 1–15 days); median duration was 4.0 days (range, 1–11 days). All CRS-related events had resolved by the data cut-off.

Twenty-three patients (58%) experienced NEs, including three patients (8%) with worst-grade 3 NEs and two patients (5%) with worst-grade 4 NEs. The most frequent any-grade NE symptoms among patients with NEs were confusional state (38%), tremor (23%) and aphasia and somnolence (each 15%). Among grade 3 or higher NEs, the most

**Table II.** Treatment-emergent adverse events of any grade occurring in  $\geq 15\%$  of patients and grade 3 or higher adverse events occurring in  $>10\%$  of patients.

<i>n</i> (%)	Any grade	Worst grade 3	Worst grade 4
Any	40 (100)	10 (25)	26 (65)
Pyrexia	34 (85)	5 (13)	0
Hypotension	22 (55)	5 (13)	0
Neutropenia	20 (50)	3 (8)	15 (38)
Fatigue	18 (45)	1 (3)	0
Confusional state	15 (38)	1 (3)	0
Constipation	15 (38)	0	0
Nausea	14 (35)	1 (3)	0
Anaemia	13 (33)	8 (20)	0
Headache	13 (33)	0	0
Neutrophil count decreased	13 (33)	4 (10)	9 (23)
Diarrhoea	11 (28)	1 (3)	0
Hypokalaemia	11 (28)	2 (5)	0
Hypophosphataemia	11 (28)	6 (15)	0
Thrombocytopenia	10 (25)	5 (13)	2 (5)
Tremor	9 (23)	1 (3)	0
Chills	8 (20)	0	0
Decreased appetite	8 (20)	0	0
Hypoxia	8 (20)	2 (5)	1 (3)
Vomiting	8 (20)	1 (3)	0
White blood cell count decreased	8 (20)	2 (5)	6 (15)
Arthralgia	7 (18)	0	0
Dyspnoea	7 (18)	1 (3)	0
Leukopenia	7 (18)	1 (3)	5 (13)
Aphasia	6 (15)	1 (3)	0
Cough	6 (15)	0	0
Dizziness	6 (15)	0	0
Hypogammaglobulinaemia	6 (15)	0	0
Hyponatraemia	6 (15)	2 (5)	0
Insomnia	6 (15)	0	0
Muscular weakness	6 (15)	1 (3)	0
Platelet count decreased	6 (15)	0	4 (10)
Somnolence	6 (15)	0	0
Tachycardia	6 (15)	1 (3)	0

common symptoms among patients were seizure (8%; two of three patients were receiving levetiracetam prophylaxis at the time) and mental status change (5%). Median time to NE onset was 6.0 days (range, 2–162 days); median duration was 18.5 days (range, 1–103 days). At data cut-off, NEs had resolved in 20 of 23 patients (Table SVI). Notably, 68% of patients ( $n = 27/40$ ) did not experience either NEs or CRS within 72 h (days 0–3) of axi-cel infusion.

Excluding prophylaxis, corticosteroids were used to treat CRS, NEs and other events in 17 patients (43%), 16 patients (40%) and 1 (3%) patient respectively. The median cumulative cortisone-equivalent corticosteroid dose required to treat CRS or NEs was 1 878 mg ( $n = 24$ ), with median time to onset of 5.0 days (range, 3–9 days) post-infusion. The median cumulative cortisone-equivalent corticosteroid dose was 1 252 mg including prophylaxis ( $n = 40$ ) and 2 504 mg

**Table III.** Incidence, severity, onset, and duration of CRS and NEs.

TEAE	Cohort 6 ( $n = 40$ )
<b>CRS</b>	
Any, $n$ (%)	32 (80)
Worst grade 1, $n$ (%)	14 (35)
Worst grade 2, $n$ (%)	18 (45)
Worst grade 3, $n$ (%)	0
Worst grade 4, $n$ (%)	0
Worst grade 5, $n$ (%)	0
Median (range) time to onset of any-grade CRS, days	5.0 (1–15)
Median (range) duration, days	4.0 (1–11)
<b>Neurologic events</b>	
Any, $n$ (%)	23 (58)
Worst grade 1, $n$ (%)	10 (25)
Worst grade 2, $n$ (%)	8 (20)
Worst grade 3, $n$ (%)	3 (8)
Worst grade 4, $n$ (%)	2 (5)
Worst grade 5, $n$ (%)	0
Median (range) time to onset of any-grade NE, days	6.0 (2–162)
Median (range) duration, days	18.5 (1–103)

CRS, cytokine release syndrome; NE, neurologic event; TEAE, treatment-emergent adverse event.

excluding prophylaxis ( $n = 25$ ; Table SVII). As 15 patients received corticosteroids only as prophylaxis and no additional corticosteroids for AE management, the median cumulative dose shifted to a smaller value when prophylactic doses were included *versus* excluded. Tocilizumab ( $\geq 1$  dose) was used to treat CRS in 23 patients (58%) and NE with concurrent grade 2 CRS in one patient (3%).

### Efficacy

The investigator-assessed ORR was 95% (95% CI, 83–99%), and the CR rate was 80% (95% CI, 64–91%; Fig 2A). ORR and CR rates were 100% and 73%, respectively, in the 15 patients who received corticosteroid prophylaxis only and were 92% and 84%, respectively, in the 25 patients who received corticosteroids for prophylaxis and toxicity management.

Median DOR [KM DOR median follow-up, 5.8 months (95% CI, 5.1–8.0 months)] was not reached; the KM estimate of the six-month DOR rate was 62.4% (95% CI, 41.6–77.6%; Fig 2B). As of the data cut-off date, 62.5% of treated patients remained in ongoing response. Median PFS and OS were not reached (Figure S2). KM estimates of the six-month PFS and OS rates were 72.2% (95% CI, 54.1–84.1%) and 87.3% (95% CI, 72.1–94.5%) respectively. One patient proceeded to SCT post-axi-cel.

### Biomarker analyses

Median peak CAR-T cell expansion was observed within 2 weeks post-axi-cel infusion (64.4 cells/ $\mu$ l blood; Fig 3A).

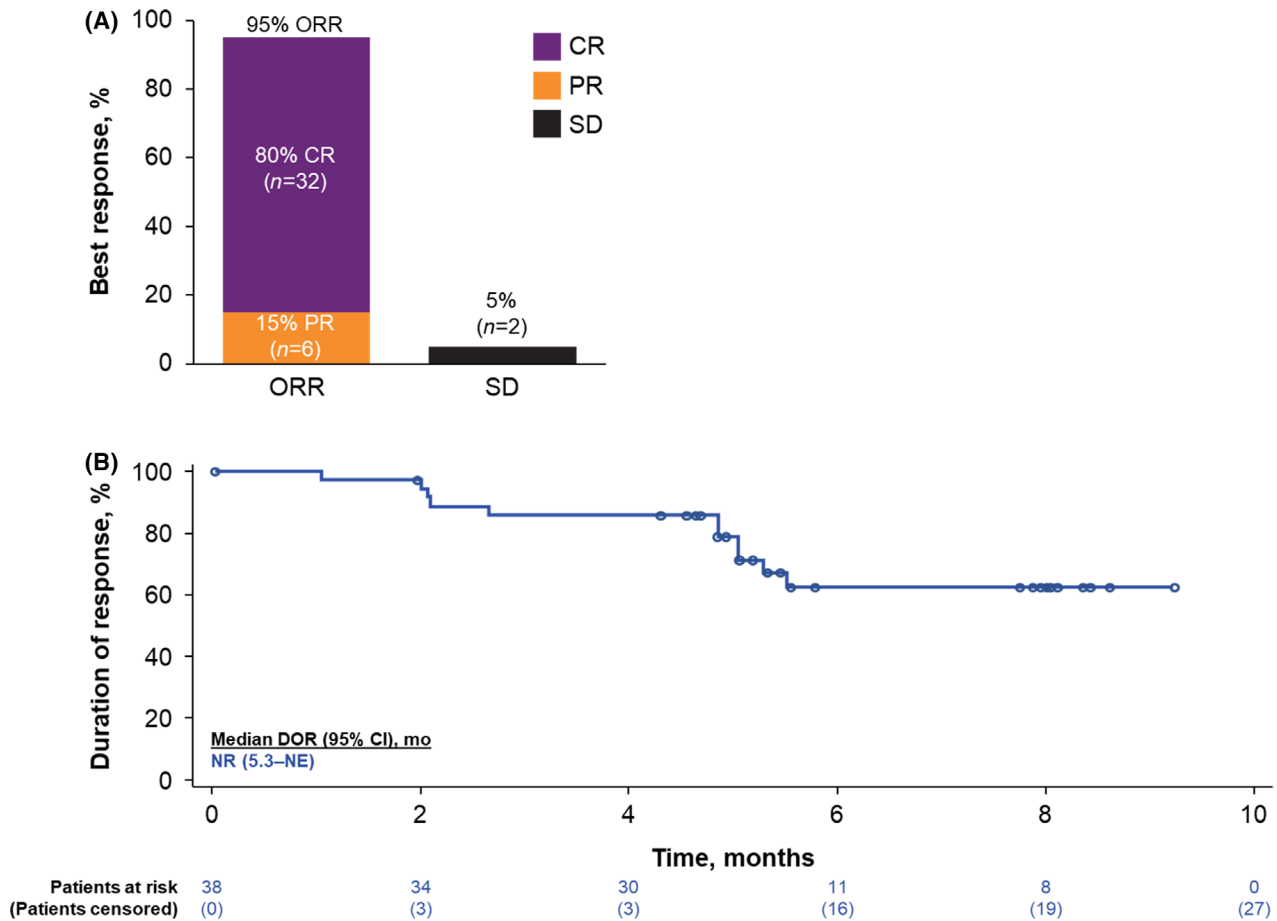


Fig 2. (A) Objective response rates (ORRs) and (B) duration of response (DOR) in patients achieving an objective response in ZUMA-1 cohort 6. For patients who underwent stem cell transplantation before documented progression, DOR was censored at the date of stem cell transplantation. CR, complete response; NE, not estimable; NR, not reported; PR, partial response; SD, stable disease. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

Median levels of inflammatory serum biomarkers previously shown to be associated with severe NEs and/or CRS<sup>4</sup> — such as IFN- $\gamma$ , IL-2, GM-CSF, and ferritin — peaked within 8 days post-axi-cel infusion (Fig 3B).

*PSM analysis*

The incidence of grade 3 or higher CRS and grade 3 or higher NEs observed in cohort 6 (0% and 13% respectively) was numerically lower compared with cohorts 1 + 2 (13% and 28% respectively).<sup>4</sup> However, cohort 6 was not designed to provide statistical comparison with cohorts 1 + 2, and the patients in these cohorts were not balanced with respect to key baseline characteristics. To verify the primary analysis findings, PSM was performed using known predictive and prognostic markers for clinical outcomes in LBCL and with CAR-T cell therapy (cohorts 1 + 2 and other studies).<sup>4,9,19–21</sup> Standardised mean difference<sup>18,22</sup> values demonstrated that covariates were appropriately balanced after PSM (Table SVIII). Baseline disease

characteristics were comparable between 32 matched patients each in cohort 6 and cohorts 1 + 2 (Table SIX). Eight patients in cohort 6 were excluded due to non-availability of matched patients in cohorts 1 + 2 using a caliper criterion during PSM. Efficacy and safety outcomes, CAR-T cell levels and soluble serum biomarkers are summarised in Table SX. Differences in incidence of grade 3 or higher CRS and time to onset of CRS observed between patients in cohorts 1 + 2 and cohort 6 before PSM were maintained after PSM. The incidence, severity and onset of NEs were generally similar between cohort 6 and cohorts 1 + 2 following PSM. Clinical efficacy (ongoing response rates) in cohort 6 remained comparable to that observed in cohorts 1 + 2 before and after PSM and was corroborated by lower levels of soluble inflammatory biomarkers and comparable peak CAR-T cell levels *versus* those in cohorts 1 + 2 before and after PSM. The median cumulative cortisone-equivalent corticosteroid dose required to manage CRS or NEs was lower in cohort 6 (1878 mg) than in matched cohorts 1 + 2 (7418 mg).

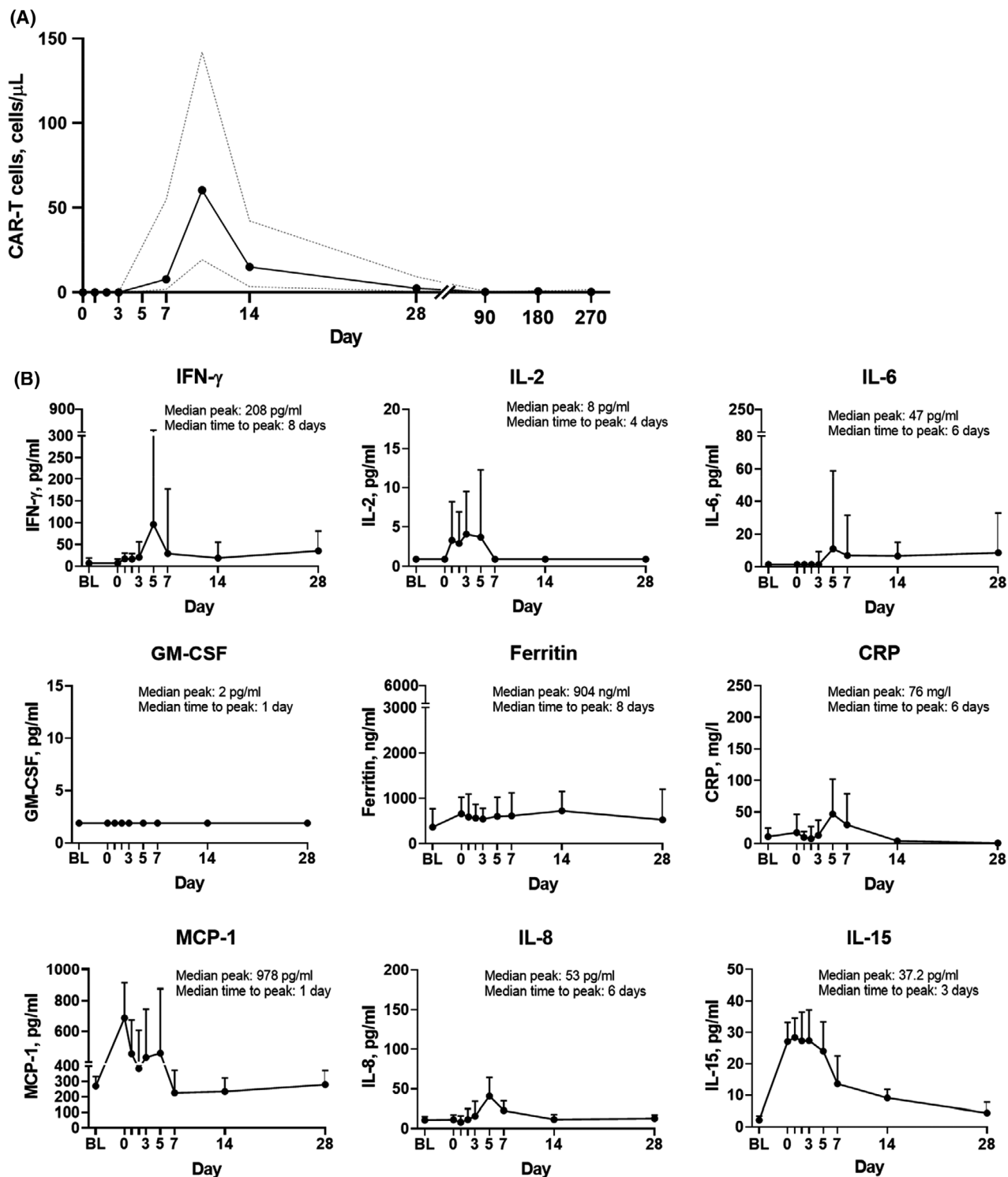


Fig 3. Levels of (A) blood chimeric antigen receptor (CAR)-T cells and (B) key soluble serum biomarkers over time. Dashed lines (A) and bars (B) represent the interquartile range. BL, baseline; CRP, C-reactive protein; GM-CSF, granulocyte-macrophage colony-stimulating factor; IFN, interferon; IL, interleukin; MCP-1, monocyte chemoattractant protein 1.

## Discussion

Optimal CRS and NE management in patients treated with CAR-T cell therapy remains a subject of ongoing

research,<sup>5,6,8,23,24</sup> and the effects of corticosteroid use on outcomes remain unclear in retrospective analyses.<sup>25,26</sup> Relative to the initial management scheme used in ZUMA-1 cohorts 1 + 2,<sup>3,4</sup> ZUMA-1 cohort 4 explored earlier corticosteroid

and/or tocilizumab intervention.<sup>13</sup> The present cohort 6 study investigated the effects of prophylactic corticosteroid use and early corticosteroid and/or tocilizumab intervention on the incidence of CRS and NEs in patients with R/R LBCL.

In the absence of randomised data, the cohort 6 results suggest that prophylactic corticosteroids and early corticosteroid and/or tocilizumab intervention may benefit axi-cel-treated patients. Foremost, incidence rates of grade 3 or higher CRS were 13%, 2% and 0% in cohorts 1 + 2, 4 and 6 respectively.<sup>4,13</sup> The fact that no cases of grade 3 or higher CRS occurred among patients treated with prophylactic corticosteroids is encouraging, as is the progressively shorter median CRS duration (8, 7 and 4 days, in cohorts 1 + 2, 4 and 6 respectively) and delayed time to CRS onset (median 2, 2 and 5 days, in cohorts 1 + 2, 4 and 6 respectively).<sup>4,13</sup> Moreover, the incidence of grade 3 or higher NEs in cohort 6 (13%) was comparable to that of cohort 4 (17%), and both rates were numerically lower than in cohorts 1 + 2 (28%). However, the median duration of NEs in cohort 6 was numerically longer than that previously reported in cohorts 4 and 1 + 2 (18.5, 8 and 13 days respectively). Of note,  $n=27/40$  patients (68%) in cohort 6 did not experience either CRS or NEs within 72 h (days 0–3) of axi-cel infusion; these results pave the way for optimisation of corticosteroid utilisation, which may increase the proportion of patients that can be managed in an outpatient setting early post-axi-cel infusion.

Corticosteroid prophylaxis and early corticosteroid and/or tocilizumab intervention did not appear to compromise axi-cel efficacy. At a median study follow-up of 8.9 months, the ORR (95%) and CR rates (80%) were higher than corresponding rates in the primary analyses of cohorts 1 + 2 (82% and 54% respectively<sup>4</sup>) or 4 (73% and 51% respectively<sup>13</sup>). With longer follow-up for cohorts 1 + 2 (median 27.1 months), the ORR was 83%, the CR rate was 58%, the median PFS was 5.9 months, and the median OS had not yet been reached.<sup>3</sup> In a subsequent follow-up (median 51.1 months) analysis, median OS was 25.8 months and the KM estimate of the four-year OS rate was 44%.<sup>27</sup> Additional follow-up of cohort 6 patients will confirm if prophylactic corticosteroids improve long-term safety without compromising durability of responses.

Notably, prolonged use of high-dose corticosteroids for NE treatment is hypothesised to affect CAR-T cell expansion and therefore clinical outcomes of treated patients.<sup>28</sup> Although glucocorticoids induce lymphocyte apoptosis,<sup>29</sup> and immunosuppressive agents may diminish CAR-T cell expansion,<sup>30</sup> no negative impact of corticosteroids on CAR-T cell pharmacokinetics was noted in this study. However, the lower levels of soluble biomarkers, including inflammatory cytokines, observed in cohort 6 *versus* cohorts 1 + 2 suggest that systemic corticosteroids may exert an immunomodulatory effect that reduces immune cell-related inflammation more than CAR-T cell expansion.<sup>31</sup> Notably, the median cumulative cortisone-equivalent corticosteroid dose required

to treat CRS or NEs was numerically lower in cohort 6 (1878 mg) *versus* cohorts 1 + 2 (7418 mg). Overall, prophylactic corticosteroids and earlier corticosteroid and/or tocilizumab intervention resulted in a lower cumulative corticosteroid dose (overall and to treat CRS or NEs) without negatively affecting CAR-T cell pharmacokinetics or efficacy (ongoing response rates).

Because the safety management cohorts were not designed or powered for statistical comparisons with each other or with the pivotal cohorts, differences in baseline characteristics and cohort sizes should be considered when making comparisons. To overcome these limitations and reduce bias in the absence of a randomised trial, PSM<sup>17,18</sup> was applied to cohorts 1 + 2 and cohort 6. This statistical method adjusts for potential imbalances in baseline disease characteristics between cohorts, thereby providing a more balanced and robust comparison.<sup>18,32</sup> The aforementioned differences in CRS toxicity outcomes observed between patients in cohort 6 and cohorts 1 + 2 before and after PSM, together with generally similar NE toxicity after PSM, suggest a benefit of early AE intervention and corticosteroid prophylaxis. Furthermore, response rates in cohort 6 were comparable to those observed in cohorts 1 + 2 before and after PSM and were corroborated by CAR-T cell pharmacokinetics.

In summary, the use of prophylactic corticosteroids and earlier corticosteroid and/or tocilizumab intervention for toxicity management resulted in no cases of grade 3 or higher CRS, delayed CRS onset, and generally similar NE toxicity without adversely affecting CAR-T cell pharmacokinetics or efficacy outcomes for axi-cel-treated patients.

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## Data sharing statement

Gilead is committed to sharing clinical trial data with external medical experts and scientific researchers in the interest of advancing public health. As such, Gilead shares anonymised individual patient data (IPD) upon request or as required by law and/or regulation. Qualified external researchers may request IPD for studies of Gilead compounds approved in the United States and the European



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### Author contributions

OOO, JJK and TvM designed the study; all authors provided study material or patients, collected or assembled data, participated in drafting and revising the manuscript, approved the final version of the manuscript, and are accountable for all aspects of this work.

### Conflict of interest

**OOO:** consultancy or advisory role for Kite, a Gilead Company, Pfizer, Spectrum Pharmaceuticals, Legend, and Bayer. **KB:** honoraria from Kite, a Gilead Company, Takeda, Roche, and Celgene; consultancy or advisory role for Kite, a Gilead Company, Takeda, Roche, and Sandoz; travel support from Roche. **JM:** honoraria from Kyowa and Seattle Genetics; consultancy or advisory role for Pharmacyclics, Bayer, Kite, a Gilead Company, Pfizer, Janssen, Juno/Celgene, Bristol-Myers Squibb, Kyowa, Alexion, Fosun Kite, Innovent, Seattle Genetics, and BeiGene; speakers' bureau participation for Kite, a Gilead Company, Kyowa, Bayer, Pharmacyclics/Janssen, Seattle Genetics, Acrotech/Aurobindo, BeiGene, Verastem, AstraZeneca, Juno/Celgene/Bristol Myers Squibb, Genentech/Roche, and AbbVie; research funding from Bayer, Kite, a Gilead Company, Juno/Celgene/Bristol-Myers Squibb, Merck, Portola, Incyte, Genentech, Pharmacyclics, Seattle Genetics, Janssen, and Millennium. **SdG:** honoraria from Gilead Sciences, AbbVie, and Janssen; consultancy or advisory role for Gilead Sciences, AbbVie, and Janssen. **JMV:** honoraria from Kite, a Gilead Company, AbbVie, Epizyme, Janssen, AstraZeneca, Verastem, Miltenyi, Loxo, Allogene, Wugen, Celgene, Roche, Genentech, and Karyopharm; consultancy or advisory role for AbbVie, Janssen, Kite, a Gilead Company, AstraZeneca, Verastem, Miltenyi, Loxo, Allogene, Wugen, Roche, Genentech, Karyopharm, and Morphosis; research funding from AstraZeneca, Bristol-Myers Squibb, Incyte, Kite, a Gilead Company, Novartis, Seattle Genetics, Loxo, and

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## Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Data S1.** Supplementary appendix.

**Table SI.** Summary of key similarities and differences between ZUMA-1 phase 2 cohorts 1 + 2, 4, and 6.

**Table SII.** Guidelines for tocilizumab and corticosteroid management of CRS and NE. Does not include prophylactic corticosteroid use, which was per protocol for all patients.

**Table SIII.** Summary of bridging regimens.

**Table SIV.** Summary of product characteristics.

**Table SV.** Summary of cytopenias present on or after day 30 after axi-cel infusion.

**Table SVI.** Unresolved neurologic events at data cut-off.

**Table SVII.** Summary of corticosteroid use, excluding prophylaxis.

**Table SVIII.** Standardised mean difference between patients in cohorts 1 + 2 and cohort 6 before and after propensity score matching.

**Table SIX.** Comparison of baseline and product characteristics between patients in cohorts 1 + 2 and cohort 6 before and after propensity score matching.

**Table SX.** Comparison of efficacy and safety outcomes and CAR-T cell and soluble serum biomarker levels between patients in cohorts 1 + 2 and cohort 6 before and after propensity score matching.

**Fig S1.** Patient disposition.

**Fig S2.** Investigator-assessed (A) progression-free survival and (B) overall survival in the modified intent-to-treat patient population ( $n = 40$ ).

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