#### **RESEARCH PAPER**

Reports of Practical Oncology and Radiotherapy 2024, Volume 29, Number 6, pages: 764–775 DOI: 10.5603/rpor.104019

Submitted: 12.12.2023 Accepted: 11.12.2024

## A study to compare the efficacy of neoadjuvant chemotherapy in locally advanced human epidermal growth factor receptor 2 overexpressing breast cancer

Dharmendra Singh 1, Pritanjali Singh2, Amiy Arnav 1, Nishit Ranjan4, Ashis Ranjan5

<sup>1</sup>Department of Radiotherapy, All India Institute of Medical Sciences, Deoghar, India <sup>2</sup> Department of Radiotherapy, All India Institute of Medical Sciences, Patna, India <sup>3</sup>Department of Surgical Oncology, All India Institute of Medical Sciences, Deoghar, India <sup>4</sup>Department of General Surgery, All India Institute of Medical Sciences, Deoghar, India <sup>5</sup>Department of Cardiology, All India Institute of Medical Sciences, Deoghar, India

#### **ABSTRACT**

**Background:** This prospective single institutional study was conducted to compare the efficacy of the two different neoadjuvant chemotherapy (NACT) regimens in human epidermal growth factor receptor 2 (Her2neu) overexpressing non metastatic breast cancer.

**Materials and methods:** Patients randomly assigned into two arms in a 1:1 ratio. Arm A received NACT containing docetaxel, doxorubicin, and cyclophosphamide (TAC) regimen. Arm B received NACT containing docetaxel, carboplatin, and trastuzumab (TCH) regimen. Patients underwent surgical intervention following completion of 6 cycles of NACT. Postoperative histopathological reports were compared in terms of pathological response.

Results: 122 patients (Arm A = 61; Arm B = 61) analysed. The mean breast tumor size was 7.724 cm and 7.896 cm in Arm A and Arm B, respectively, at diagnosis and clinical staging. After 6 cycles of NACT, the mean breast tumor size in Arm A and Arm B was 3.495 cm and 3.711 cm, respectively. The Arm A and Arm B exhibited 22.9% and 40.9% of pathological complete response (pCR), respectively, with statistically significant difference (p = 0.033). All patients experienced varying degrees of bone marrow suppression. Grade 2 or 3 chemotherapy induced nausea and vomiting was 37.7% and 23% in Arm A and Arm B, respectively, without statistically significant difference (p = 0.076). 14.8% and 4.9% of patients exhibited febrile neutropenia in Arm A and Arm B, respectively, without statistically significant differences (p = 0.067).

**Conclusion:** TCH exhibited greater pCR with tolerable adverse reactions in Her2neu overexpressing breast cancer compared to TAC regimen as NACT. Therefore, TCH regimen should be considered for node positive Her2neu overexpressing breast cancer.

**Keywords:** Her2neu overexpression; TCH; TAC; trastuzumab; neoadjuvant chemotherapy; breast cancer *Rep Pract Oncol Radiother 2024;29(6):764–775* 

#### Introduction

Breast cancer is the most common cancer affecting females worldwide. It is one of the leading causes

of cancer related death among females [1]. The incidence of breast cancer has increased but the death rate has decreased over the last several decades [2]. In India, breast cancer is the most frequently diag-

Address for correspondence: Dr Dharmendra Singh, Assistant Professor, Department of Radiotherapy, All India Institute of Medical Sciences, Deoghar, Jharkhand – 814152, India; e-mail: babu.dsingh.singh35@gmail.com

This article is available in open access under Creative Common Attribution-Non-Commercial-No Derivatives 4.0 International (CC BY-NC-ND 4.0) license, allowing to download articles and share them with others as long as they credit the authors and the publisher, but without permission to change them in any way or use them commercially



nosed cancer and leading cause of cancer death [3]. Breast cancer is one of the most heterogenous diseases in terms of origin, pathology, tumor biology, molecular subtypes, therapeutic response, disease progression, and clinical outcome [4]. Previous studies have demonstrated that 20-25% of breast cancer patients exhibit human epidermal growth factor receptor-2 (Her2neu) overexpression [5, 6]. Her2neu is a transmembrane tyrosine kinase receptor related to cell differentiation, growth, and survival [7]. The overexpression of Her2neu gives rise to a malignant phenotype. Consequently, there is cell proliferation and more aggressive tumor phenotype [8]. Breast cancer overexpressing Her2neu predicts poor prognosis compared to Her2neu negative disease [9]. Her2neu overexpression has been reported to be an independent risk factor for the relapse of the disease [10]. Trastuzumab, a humanized monoclonal antibody which targets the extracellular domain of the Her2neu receptor, and its addition to adjuvant chemotherapy has been shown to improve disease-free survival (DFS) and overall survival (OS) [11-14]. Preoperative neoadjuvant chemotherapy (NACT) is an important treatment strategy for locally advanced breast carcinoma [15]. NACT is now an imperative part of the preoperative systemic treatment for breast cancer following the results of well-known large scale clinical trials National Surgical Adjuvant Breast and Bowel Project (NSABP) B18 and B27 [16]. The combination of chemotherapeutic drugs and trastuzumab may be used to enhance the pathological complete response (pCR). The pCR may be used to differentiate between patients with favourable and unfavourable outcomes in response to NACT [17]. pCR may be a suitable surrogate endpoint for patients with Her2neu overexpression (positive) [18]. In the present study, patients with Her2neu overexpressing breast carcinoma with axillary lymph node metastasis were administered trastuzumab containing NACT or non trastuzumab containing NACT regimens prior to surgical intervention. The outcomes were analysed in terms of pathological response in each treatment regimen.

## Materials and methods

A prospective, single institutional randomized study was conducted at the All India Institute of Medical Sciences (AIIMS), Patna.

The Institutional Ethics Committee of AIIMS, Patna (AIIMS/Pat/IEC/2020/435 dated 23<sup>rd</sup> March 2020) approved the study. This study included patients with biopsy proven locally advanced breast cancer overexpressing human epidermal growth factor receptor 2 (Her2neu) on immunohistochemistry (IHC) attending the Radiotherapy department at AIIMS, Patna from March 2020 to February 2022.

## Primary objective

To compare the efficacy of two different neoadjuvant chemotherapy regimens in terms of pathological and radiological response.

## Secondary objective

To compare the neoadjuvant chemotherapy related toxicity of both the chemotherapy regimens.

## Randomization and sample size

Patients were randomly assigned to Arm A and Arm B with a 1:1 ratio by computer-generated random number. The differences in the rate of pCR ranged from 15-37% following neoadjuvant chemotherapy, assuming the difference of pCR between Arm A and Arm B of 20-25% with a type I error of 0.05 and power of 80%. Under these assumptions, the sample size amounts to 116. As the individual results for the primary objective are available within 2 weeks of surgery, the drop-out rate is expected to be small. This can be compensated by an additional 5% of patients being randomized, and therefore the total sample size required amounts to 116+6=122 patients (61 patients in each treatment arm).

Inclusion criteria: females aged between 20–60 years, Eastern Cooperative Oncology Group Performance Status (ECOG PS) 0–2 [19], histopathologically confirmed breast carcinoma (core needle biopsy from breast tumor), tumors overexpressing Her2neu on IHC (core needle biopsy sample from breast tumor), cytopathologically confirmed metastasis to axillary lymph nodes (fine needle aspiration cytology from axillary lymph nodes).

**Exclusion criteria:** patients with axillary lymph node negative for metastasis, distant metastasis, history of congestive cardiac failure, left ventricular ejection fraction (LVEF) < 50%, and previous history of chemotherapy and chest wall radiotherapy.

Histopathologically confirmed cases of breast carcinoma were subjected to a complete staging workup using mammogram, computed tomogra-

765

phy (CT) scan thorax and abdomen, Tc99m whole body bone scan. Tumors with IHC of estrogen receptor (ER) and progesterone receptor (PR) having expression  $\geq 1\%$  were considered ER and PR positive, respectively. IHC for Her2neu was done on formalin fixed paraffin embedded sections by polymer horseradish peroxide technique. A score of +3 for Her2neu is considered positive or over expressed, a score of 0 or +1 was considered Her2neu negative. Her2neu score of +2 was considered as equivocal and samples were subjected to fluorescence in situ hybridization (FISH) study.

Biochemical parameters including complete blood count, liver function test, renal function test and echocardiography were done as diagnostic workup investigations in all patients. All the patients were staged according to the American Joint Committee on Cancer (AJCC) tumor node metastasis 8<sup>th</sup> edition [20]. All patients were considered for ultra-sound guided metallic markers placement at the primary tumor site to facilitate localization during surgery. All patients in this study were subjected to neoadjuvant chemotherapy (NACT) with two different chemotherapy regimens. NACT regimens consist of intravenous injection of docetaxel 75 mg/m<sup>2</sup>, injection of doxorubicin 50 mg/m<sup>2</sup> and injection of cyclophosphamide 500 mg/m<sup>2</sup> on day 1 (TAC) [21]. Intravenous injection of docetaxel 75 mg/m<sup>2</sup>, injection carboplatin AUC 6, and trastuzumab 8 mg/kg loading dose followed by 6 mg/kg on subsequent cycles on day 1 (TCH) [22]. Both the NACT regimens were repeated every 21 days for 6 cycles. NACT of treatment Arm A and Arm B were TAC and TCH regimens, respectively. Prophylactic granulocyte colony stimulating factor (GCSF) was administered in both treatment arms. Patients underwent clinical examination and biochemical investigations before each NACT cycle to assess the clinical response and NACT related toxicities.

Cardiac function was monitored using echocardiography at baseline and every 3 months in both treatment arms. Two weeks after completion of NACT, a repeat CT scan thorax was done to evaluate radiological response using Response Evaluation Criteria in Solid Tumours (RECIST 1.1). Three weeks after completion of NACT, modified radical mastectomy (MRM) or breast conservative surgery (BCS) is performed. Adjuvant radiation, trastuzumab or hormonal therapy was considered in both treatment arms.

Treatment efficacy was assessed pathologically and radiologically in both treatment arms. Post-operative histopathological reports were reviewed and analysed in both treatment arms regarding pathological response of primary breast tumor and lymph nodes. The CT scan thorax was done prior to the start of NACT as a diagnostic workup and following the completion of NACT to determine breast primary tumor size and axillary lymph node size and to evaluate the efficacy of each chemotherapy regimen using RECIST 1.1.

## Definition of outcome

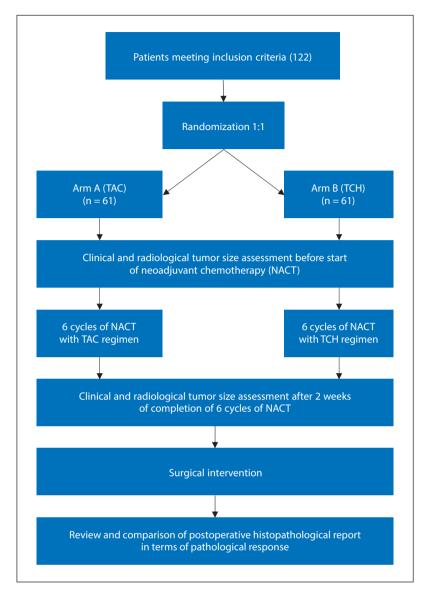
pCR defined by the absence of invasive carcinoma in the breast and lymph nodes following neoadjuvant chemotherapy. The presence of in situ component after NACT in the absence of invasive disease, constitutes pCR. The presence of tumor within lymphatics or/and vascular spaces in the breast with or without other residual invasive cancer excludes pCR. Pathological partial response (pPR), a decrease in either or both T or N category compared to the pre-neoadjuvant chemotherapy or clinical assignment, and no increase in either T or N [23].

Radiological response assessment using RECIST 1.1 [24]: Complete response (CR), disappearance of all target lesions. Any pathological lymph nodes (target or non-target) must have a reduction in short axis to < 10 mm. Partial response (PR), at least a 30% decrease in the sum of diameters of target lesions, taking as reference the baseline sum diameters. Progressive disease (PD), at least 20% increase in the sum of diameters of target lesions, taking as reference the smallest sum on study. The appearance of one or more new lesions is also considered PD. Stable disease (SD), neither sufficient shrinkage to qualify for PR nor sufficient increase to qualify for PD, taking as reference the smallest sum diameters while on study.

NACT related toxicities was compared in both treatment arms using the National Cancer Institute Common Terminology Criteria for Adverse Events (NCI CTCAE) version 5.0 [25]. Treatment related to adverse events like nausea, vomiting, myelosuppression was managed accordingly. The consort flow diagram is given in Figure 1.

#### Statistical analysis

The Statistical Package for Social Sciences (IBM SPSS for Windows, version 25.0) is used for statisti-



**Figure 1.** Consort flow chart. TAC — docetaxel, doxorubicin, and cyclophosphamide; TCH — trastuzumab; NACT — neoadjuvant chemotherapy

cal analysis. Descriptive statistics were used to characterize the study population using frequencies, mean, and median. The differences in the proportion regarding response to different neoadjuvant chemotherapy were assessed using the Chi-square test. Continuous variables regarding toxicity related to chemotherapy were compared using a student's t-test. A p-value < 0.05 was considered statistically significant in all performed analyses.

#### Results

## Clinicopathological characteristics

A total of 122 patients (Arm A = 61; Arm B = 61) meeting inclusion criteria were includ-

ed in the study and analysed. All patients of this study completed proposed 6 cycles of neoadjuvant chemotherapy followed by surgical intervention. The median age at diagnosis in the Arm A and Arm B was 46 years (20–60) and 47 years (25–60), respectively. 50.8% and 54.1% of patients were postmenopausal in Arm A and Arm B, respectively. The use of oral contraceptive pills was seen in 14.8% and 4.9% of patients in Arm A and Arm B, respectively. 6.6% of patients in Arm A showed first degree relative to breast cancer while 13.1% of patients in Arm B showed first degree relative to breast cancer arm A included 44.3% of patients in stage IIIA, while 47.5% of patients were in stage IIIB of Arm B. The medi-

an baseline LVEF was 60 in both treatment arms. ER were seen positive at 54.1% and 55.7% in Arm A and Arm B, respectively. PR was seen positive at 36.1% and 50.8 % in Arm A and Arm B, respectively. Other epidemiological characteristics are depicted in Table 1.

## Efficacy of chemotherapy regimens

The mean breast tumor size was 7.724 cm and 7.896 cm in Arm A and Arm B, respectively, at diagnosis and clinical staging. After 6 cycles of neo-

adjuvant chemotherapy, the mean breast tumor size in Arm A and Arm B was 3.495 cm and 3.711 cm, respectively. There was no statistically significant reduction in tumor size difference while comparing the two treatment arms after neoadjuvant chemotherapy [95% confidence interval (CI): 0.456-0.889; p=0.176], details given in Table 2.

## Radiological response

There was no CR following the neoadjuvant chemotherapy in both the treatment arms compar-

**Table 1.** Clinicopathological characteristics

		Arm A	(TAC)	Arm B	(TCH)	p-value	
		Count (n = 61)	N %	Count (n = 61)	N %		
Side	Right	31	50.8%	25	41.0%	0.276	
side	Left	30	49.2%	36	59.0%	0.276	
Destruction	Yes	31	50.8%	33	54.1%	0.717	
Postmenopausal	No	30	49.2%	28	45.9%	0.717	
LIDT	Yes	0	0.0%	0	0.0%		
HRT	No	61	100.0%	61	100.0%		
F 9 10 .	Yes	4	6.6%	8	13.1%	0.224	
Family History	No	57	93.4%	53	86.9%	0.224	
	Yes	9	14.8%	3	4.9%	0.060	
Use of OCP	No	52	85.2%	58	95.1%	0.068	
сТ	cT2	6	9.8%	9	14.8%		
	cT3	27	44.3%	21	34.4%		
	cT4A	7	11.5%	5	8.2%	0.347	
	cT4B	19	31.1%	19	31.1%		
	cT4C	2	3.3%	7	11.5%		
	cN1	40	65.6%	36	59.0%		
cN	cN2	19	31.1%	23	37.7%	0.744	
	cN3	2	3.3%	2	3.3%		
	Positive	33	54.1%	34	55.7%		
ER	Negative	28	45.9%	27	44.3%	0.856	
DD.	Positive	22	36.1%	31	50.8%		
PR	Negative	39	63.9%	30	49.2%	0.100	
	IIB	6	9.8%	9	14.8%		
D	IIIA	27	44.3%	21	34.4%	0.5=5	
Pre operative stage	IIIB	26	42.6%	29	47.5%	0.679	
	IIIC	2	3.3%	2	3.3%		
- (	MRM	51	83.6%	45	73.8%		
Type of surgery	BCS	10	16.4%	16	26.2%	0.185	
	Positive	2	3.3%	3	4.9%		
Margin status	Negative	59	96.7%	58	95.1%	0.648	

**Table 1.** Clinicopathological characteristics

		Arm A	(TAC)	Arm B	Arm B (TCH)		
		Count (n = 61)	N %	Count (n = 61)	N %		
	урТ0	14	23.0%	25	41.0%		
	ypT1	12	19.7%	6	9.8%		
Pathological T	ypT2	21	34.4%	18	29.5%	0.233	
	урТ3	11	18.0%	10	16.4%		
	ypT4	3	4.9%	2	3.3%		
	ypN0	26	42.6%	27	44.3%		
Dath alasisal N	ypN1	20	32.8%	16	26.2%	0.248	
Pathological N	ypN2	7	11.5%	14	23.0%	0.248	
	ypN3	8	13.1%	4	6.6%		
	Stage 0	14	23.0%	25	41.0%		
	Stage IA	4	6.6%	1	1.6%		
	Stage IIA	11	18.0%	3	4.9%		
Post operative stage	Stage IIB	13	21.3%	10	16.4%	0.041	
	Stage IIIA	9	14.8%	16	26.2%		
	Stage IIIB	2	3.3%	2	3.3%		
	Stage IIIC	8	13.1%	4	6.6%		

TAC — docetaxel, doxorubicin, and cyclophosphamide; TCH — trastuzumab; HRT — hormone replacement therapy; OCP — oral contraceptive pills; cT — clinical tumor stage; cN — clinical nodal stage; ER — estrogen receptor; PR — progesterone receptor; MRM — modified radical mastectomy; BCS — breast conservative surgery

Table 2. Tumor size and axillary lymph node size pre and post chemotherapy

	Arm A (TAC) (n = 61)		Arm B (TCH) (n = 61)		Mean	Std error	050/ CI	
	Mean	SD	Mean	SD	difference	difference	95% CI	p-value
Tumor size at diagnosis	7.724	2.238	7.896	2.613	0.172	0.440	0.700-1.044	0.206
Tumor size after 6 cycles of NACT	3.495	2.059	3.711	1.676	0.216	0.340	0.456-0.889	0.176
Lymph node size at diagnosis	2.491	0.708	2.363	0.749	0.127	0.132	0.133-0.389	0.411
Lymph node size after 6 cycles of NACT	0.950	0.437	0.904	0.380	0.045	0.074	0.101-0.192	0.468

TAC — docetaxel, doxorubicin, and cyclophosphamide; TCH — trastuzumab; SD — standard deviation; NACT — neoadjuvant chemotherapy; CI — confidence interval

ing the images before the start of NACT and after completion of 6 cycles of NACT using RECIST 1.1. Arm A showed 90.1% of PR and 9.9% of SD for breast primary. Arm B showed 91.8% of PR and 8.2% of SD for breast primary. The rate of PR of the breast primary was higher in Arm B but statistically this difference was not significant (p = 0.752). The RECIST 1.1 evaluation of the axillary lymph nodes revealed that there was 100% PR for axillary lymph nodes in Arm A, while 98.3% of PR with one patient having SD in axillary lymph nodes in Arm

B. The rate of PR of the axillary lymph nodes was more in Arm A but statistically this difference was not significant (p = 0.315).

## Pathological response

The Arm A and Arm B exhibited 22.9% and 40.9% of pCR, respectively. The difference in the rate of pCR was statistically significant in both treatment arms (p = 0.033). This indicates that the Arm B (TCH) chemotherapy regimen was more efficacious than Arm A (TAC) for Her2neu

Table 3. Comparison of pathological and radiological response

		Arm A (TAC)		Arm B	(TCH)	Pearson	p-value
		Count (n = 61)	N %	Count (n = 61)	N %	Chi-square	p-value
	pCR	14	22.9%	25	40.9%	4.500	0.033
Pathological response	pPR	47	77.1% 36	36	59.1%	4.560	
RECIST primary	CR	0	0.0%	0	0.0%		0.752
	PR	55	90.1%	56	91.8%	0.100	
	SD	6	9.9%	5	8.2%		
RECIST axillary LNs	CR	0	0.0%	0	0.0%		
	PR	61	100.0%	60	98.3%	1.008	0.315
	SD	0	0.0%	1	1.7%		

TAC — docetaxel, doxorubicin, and cyclophosphamide; TCH — trastuzumab; RECIST — Response Evaluation Criteria in Solid Tumours; LN — lymph node; pCR — pathological complete response; pPR — pathological partial response; CR — complete response; PR — partial response; SD — stable disease

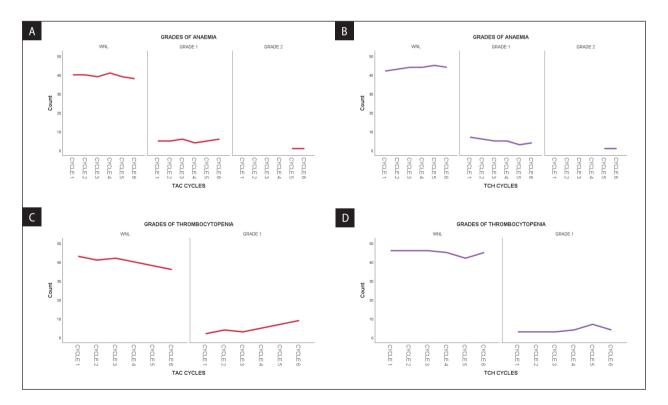
positive breast cancer in a neoadjuvant chemotherapy setting in achieving a pathological complete response as depicted in Table 3.

# Neoadjuvant chemotherapy related adverse events

There was no treatment related mortality noted in this study. All patients experienced varying degrees of bone marrow suppression. Arm A exhibited 13.1% and 0.8% of patients with grade 1

and grade 2 anaemia, respectively. Similarly, Arm B exhibited 11.5% and 0.8% of patients with grade 1 and grade 2 anaemia, respectively, without any statistically significant difference in chemotherapy induced anaemia between the treatment arms. Arm A and Arm B showed 12.8% and 9.8% grade 1 thrombocytopenia, respectively, depicted in Figure 2.

All patients showed varying degrees of chemotherapy induced nausea and vomiting (CINV).



**Figure 2.** Grades of anaemia in docetaxel, doxorubicin, and cyclophosphamide (TAC) (**A**) and trastuzumab (TCH) (**B**) neoadjuvant chemotherapy; grades of thrombocytopenia in TAC (**C**) and TCH (**D**) neoadjuvant chemotherapy

Table 4. Comparison of chemotherapy related side-effects

		Arm A	(TAC)	Arm B (TCH)		Pearson	n value
		Count (N = 61)	N %	Count (n = 61)	N %	Chi-square	p-value
Fabrila manduanania	Yes	9	14.8%	3	4.9%	2 227	0.068
Febrile neutropenia	No	52	85.2%	58	95.1%	3.327	
Alamasia (grada 3)	Yes	53	86.9%	45	73.8%	3.320	0.068
Alopecia (grade 2)	No	8	13.1%	16	26.2%	3.320	
Chemo-induced nausea	Yes	23	37.7%	14	23.0%	3.142	0.076
vomiting (grade 2 or 3)	No	38	62.3%	47	77.0%	3.142	
Diambasa (anada 2 an 2)	Yes	19	31.1%	11	18.0%	2 020	0.093
Diarrhoea (grade 2 or 3)	No	42	68.9%	50	82.0%	2.829	
Ct titi - ( 1 - 2 2)	Yes	20	32.8%	13	21.3%	2.025	0.154
Stomatitis (grade 2 or 3)	No	41	67.2%	48	78.7%	2.035	

TAC — docetaxel, doxorubicin, and cyclophosphamide; TCH — trastuzumab

Table 5. Comparison of pre and post chemotherapy left ventricular ejection fraction (LVEF)

	Arm A (TAC) N = 61		Arm B (TCH) N = 61		Mean	Std error	95% CI	a color
	Mean	SD	Mean	SD	difference differen	difference	95% CI	p-value
Baseline LVEF%	60.23	3.041	59.31	3.369	0.918	0.581	0.232-2.069	0.181
LVEF% after 6 cycles of NACT	59.95	3.232	55.31	3.744	1.639	0.633	0.385-2.893	0.846

TAC -- docetaxel, doxorubicin, and cyclophosphamide; TCH -- trastuzumab; CI -- confidence interval; NACT -- neoadjuvant chemotherapy and the confidence interval in the confidence in

Grade 2 or 3 CINV was 37.7% and 23% in Arm A and Arm B, respectively. There was no statistically significant difference in CINV in treatment arms (p = 0.076). Chemotherapy induced alopecia (grade 2) was 86.9% and 73.8% in Arm A and Arm B, respectively, without statistically significant difference (p = 0.068). Arm A and Arm B exhibited 31.1% and 18% diarrhoea (grade 2 or 3), respectively. There was no statistically significant difference in incidence of chemotherapy induced diarrhoea in both treatment arms (p = 0.093). The rate of chemotherapy induced stomatitis (grade 2 or 3) was 32.8% and 21.3% in Arm A and Arm B, respectively. There was no statistically significant difference in the rate of chemotherapy induced stomatitis (p = 0.154) between Arm A and Arm B. There was increased incidence of febrile neutropenia in Arm A. 14.8% and 4.9% of patients exhibited febrile neutropenia in Arm A and Arm B, respectively, without statistically significant differences of febrile neutropenia between the treatment arms (p=0.068), given in Table 4. There was no chemotherapy related cardiac insufficiency and there was no statistically significant reduction in LVEF in both treatment arms (95% CI: 0.385-2.893; p = 0.846) as shown in Table 5.

## Discussion

This study aims to assess the difference in the rate of pCR following NACT with two different chemotherapy regimens in node positive Her2neu overexpressing non metastatic breast cancer. Previous studies have demonstrated that breast carcinoma patients having Her2neu overexpression demonstrate a poor prognosis with malignant biological behaviour [26]. Trastuzumab, a humanised monoclonal antibody, was first used in Her2neu overexpressing metastatic breast cancer, but is presently combined with conventional chemotherapy in adjuvant or neoadjuvant settings. Many studies have shown that trastuzumab improves survival of Her2neu overexpressing breast cancer [27].

Trastuzumab has significantly improved disease-free survival and overall survival, but mostly in situations where trastuzumab is employed in adjuvant settings. Trastuzumab containing a chemotherapeutic regimen in neoadjuvant regimen,

may enhance the rate of pCR in breast carcinoma patients with Her2neu overexpression [28]. Untch M et al. reported that the efficacy of NACT regimen containing trastuzumab was significantly greater than chemotherapy without trastuzumab containing NACT regimen in terms of pCR [29]. Salmon D et al. reported from the BCIRG006 trial that the efficacy of TCH regimen was similar to the regimen of doxorubicin and cyclophosphamide with sequential docetaxel and trastuzumab, but with fewer side effects [30]. Many studies have shown that trastuzumab enhances the anti-tumor activity of paclitaxel or docetaxel [31, 32]. Therefore, TCH regimen was compared to TAC regimen as neoadjuvant settings in this study. In this study, the median age was 46 and 47 years in Arm A and Arm B, respectively. Similar findings have also been reported by other Indian studies [33, 34]. The cumulative stage distribution of patients in this study was stage IIB, IIIA, IIIB, and IIIC 12.2%, 39.34%, 45.09%, and 3.37%, respectively. Doval et al. reported an almost similar distribution of patients in a study from India [35].

In our study, the cumulative rate of pCR was 31.96%. Arm A and Arm B showed pCR of 22.9% and 40.9%, respectively. Various studies reported the rate of pCR ranging from 25% to 69.2% in patients who received trastuzumab containing a chemotherapy regimen as NACT [36, 37, 38, 39, 40]. The pCR following NACT shows wide variations among the different studies. A study by Chen et al. reported 69.2% of pCR, which is more than any other study [41]. The result of the present study indicated that the efficacy of TCH regimen was more favourable than TAC regimen (40.9% *vs.* 22.9%; p = 0.033). Similarly, the superiority of the trastuzumab containing regimen in achieving pCR was demonstrated by many studies [42–44].

The adverse reactions caused by chemotherapy were mainly myelosuppression indicated by neutropenia. Other adverse reactions including diarrhoea, mucositis, alopecia, cardiac insufficiency are reported in many studies [45–47]. In the present study, 14.8% and 4.9% of the patients of Arm A and Arm B, respectively, experienced febrile neutropenia and none of the patients reported cardiac insufficiency. Other studies reported 24.7–33.87% of febrile neutropenia and 1.9–4.5% of febrile neutropenia in TAC and TCH chemotherapy regimens, respectively [48–51]. The un-

derlying explanation may be the prophylactic use of granulocyte colony stimulation factor (GCSF) and patients with LVEF < 50% were not included in our study.

Limitations of the study include the fact of its being a single institutional study, and the fact that magnetic resonance imaging (MRI) of breast and axilla was not used to compare the radiological response, and survival outcome is not yet analysed. One of the important aspects, namely the correlation between pCR and survival outcome, is not analysed in this study. Future trials with a large number of samples need to answer these issues.

## Conclusion

The neoadjuvant chemotherapy regimen containing docetaxel, carboplatin, and trastuzumab exhibited greater pCR with tolerable adverse reactions in Her2neu overexpressing breast cancer compared to TAC. The more effective TCH regimen is superior in eliminating detectable cancer cells, which is crucial for improving prognosis of breast cancer patients with Her2neu overexpression. Therefore, TCH regimen should be considered for node positive Her2neu overexpressing breast cancer to enhance clinical outcomes and increase the likelihood of achieving a pathological complete response.

#### **Authors contribution**

D.S.: Conceptualization, methodology, software, and original draft preparation; P.S.: Data curation; Amiy Arnav: Visualization, supervision; N.R.: Supervision, validation, reviewing and editing; A.R.: Writing reviewing and editing.

#### Funding

The authors declare that no funds, grants, or other support were received during the preparation of this manuscript.

## Data availability

The datasets generated during current study are available from the corresponding author on reasonable request.

#### Conflict of interest

The authors have no relevant financial or non-financial interests to disclose.

## Ethical approval

The study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by Ethics Committee of All India Institute of Medical Sciences, Patna (AIIMS/Pat/IEC/2020/435 dated 23<sup>rd</sup> March 2020).

## References

- Torre LA, Bray F, Siegel RL, et al. Global cancer statistics, 2012. CA Cancer J Clin. 2015; 65(2): 87–108, doi: 10.3322/ caac.21262, indexed in Pubmed: 25651787.
- Botha JL, Bray F, Sankila R, et al. Breast cancer incidence and mortality trends in 16 European countries. Eur J Cancer. 2003; 39(12): 1718–1729, doi: 10.1016/s0959-8049(03)00118-7, indexed in Pubmed: 12888367.
- Globocan India (2018) Population fact sheets. pp 1–2. www.gco.iarc.fr/today/data/factsheets/populations/356-india-factsheets.pdf (18.02.2020).
- Cetin I, Topcul M. Triple negative breast cancer. Asian Pac J Cancer Prev. 2014; 15(6): 2427–2431, doi: 10.7314/ apjcp.2014.15.6.2427, indexed in Pubmed: 24761842.
- 5. Demonty G, Bernard-Marty C, Puglisi F, et al. Progress and new standards of care in the management of HER-2 positive breast cancer. Eur J Cancer. 2007; 43(3): 497–509, doi: 10.1016/j.ejca.2006.10.020, indexed in Pubmed: 17223541.
- Whenham N, D'Hondt V, Piccart MJ. HER2-positive breast cancer: from trastuzumab to innovatory anti-HER2 strategies. Clin Breast Cancer. 2008; 8(1): 38–49, doi: 10.3816/ CBC.2008.n.002, indexed in Pubmed: 18501058.
- 7. Ullrich A, Coussens L, Hayflick JS, et al. Human epidermal growth factor receptor cDNA sequence and aberrant expression of the amplified gene in A431 epidermoid carcinoma cells. Nature. 1984; 309(5967): 418–425, doi: 10.1038/309418a0, indexed in Pubmed: 6328312.
- Zhang ZJu, Ma SL. miRNAs in breast cancer tumorigenesis (Review). Oncol Rep. 2012; 27(4): 903–910, doi: 10.3892/ or.2011.1611, indexed in Pubmed: 22200848.
- 9. Onitilo AA, Engel JM, Greenlee RT, et al. Breast cancer subtypes based on ER/PR and Her2 expression: comparison of clinicopathologic features and survival. Clin Med Res. 2009; 7(1-2): 4–13, doi: 10.3121/cmr.2009.825, indexed in Pubmed: 19574486.
- Chia S, Norris B, Speers C, et al. Human epidermal growth factor receptor 2 overexpression as a prognostic factor in a large tissue microarray series of node-negative breast cancers. J Clin Oncol. 2008; 26(35): 5697–5704, doi: 10.1200/JCO.2007.15.8659, indexed in Pubmed: 19001334.
- Goldhirsch A, Gelber RD, Piccart-Gebhart MJ, et al. Herceptin Adjuvant (HERA) Trial Study Team, HERA study team. 2-year follow-up of trastuzumab after adjuvant chemotherapy in HER2-positive breast cancer: a randomised controlled trial. Lancet. 2007; 369(9555): 29–36, doi: 10.1016/S0140-6736(07)60028-2, indexed in Pubmed: 17208639.
- Romond EH, Perez EA, Bryant J, et al. Trastuzumab plus adjuvant chemotherapy for operable HER2-positive breast cancer. N Engl J Med. 2005; 353(16):

- 1673–1684, doi: 10.1056/NEJMoa052122, indexed in Pubmed: 16236738.
- Joensuu H, Fraser J, Wildiers H, et al. FinXX Study Investigators, Finnish Breast Cancer Group, FinHer Study Investigators. Adjuvant docetaxel or vinorelbine with or without trastuzumab for breast cancer. N Engl J Med. 2006; 354(8): 809–820, doi: 10.1056/NEJMoa053028, indexed in Pubmed: 16495393.
- Slamon D, Eiermann W, Robert N, et al. Breast Cancer International Research Group. Adjuvant trastuzumab in HER2-positive breast cancer. N Engl J Med. 2011; 365(14): 1273–1283, doi: 10.1056/NEJMoa0910383, indexed in Pubmed: 21991949.
- Kaufmann M, von Minckwitz G, Bear HD, et al. Recommendations from an international expert panel on the use of neoadjuvant (primary) systemic treatment of operable breast cancer: new perspectives 2006. Ann Oncol. 2007; 18(12): 1927–1934, doi: 10.1093/annonc/mdm201, indexed in Pubmed: 17998286.
- Rastogi P, Anderson SJ, Bear HD, et al. Preoperative chemotherapy: updates of National Surgical Adjuvant Breast and Bowel Project Protocols B-18 and B-27. J Clin Oncol. 2008; 26(5): 778–785, doi: 10.1200/JCO.2007.15.0235, indexed in Pubmed: 18258986.
- 17. Semiglazov VF, Semiglazov VV. Neoadjuvant (Preoperative) Therapy in Breast Cancer. In: Markman MM. ed. Neoadjuvant Chemotherapy Increasing Relevance in Cancer Management. Intechopen 2013.
- 18. von Minckwitz G, Untch M, Blohmer JU, et al. Definition and impact of pathologic complete response on prognosis after neoadjuvant chemotherapy in various intrinsic breast cancer subtypes. J Clin Oncol. 2012; 30(15): 1796–1804, doi: 10.1200/JCO.2011.38.8595, indexed in Pubmed: 22508812.
- 19. ECOG Performance Status Scale. https://ecog-acrin.org/resources/ecog-performance-status/ (22.03.2020).
- 20. Amin MB, Edge SB, Greene FL. AJCC Cancer Staging Manual, 8th edn. Springer, New York 2017: 624–625.
- 21. Costa A, Von Minckwitz G, Jackisch C, et al. TAC as neoadjuvant chemotherapy in patients with primary breast cancer interim progress report on 907 cases of the randomized prospective Gepartrio-trial. J Clin Oncol. 2004 22:14\_suppl; 22(14\_Suppl): 825–825, doi: 10.1200/jco.2004.22.90140.82.
- 22. Xie Y, Wu S, Zhang Y, et al. Optimal Duration of Neoadjuvant Taxane and Carboplatin Combined With Anti-HER2 Targeted Therapy for HER2-Positive Breast Cancer. Front Oncol. 2021; 11: 686591, doi: 10.3389/fonc.2021.686591, indexed in Pubmed: 34168999.
- 23. Amin MB, Edge SB, Greene FL. AJCC Cancer Staging Manual, 8th edn. Springer, New York 2017: 613.
- 24. Eisenhauer EA, Therasse P, Bogaerts J, et al. New response evaluation criteria in solid tumours: revised RECIST guideline (version 1.1). Eur J Cancer. 2009; 45(2): 228–247, doi: 10.1016/j.ejca.2008.10.026, indexed in Pubmed: 19097774.
- Common Terminology Criteria for Adverse Events (CTCAE) Version 5.0. https://ctep.cancer.gov/protocoldevelop-ment/electronic\_applications/docs/ctcae\_v5\_quick\_reference\_5x7.pdf (05.02.2020).
- 26. Guo L, Hou X, Dilimina Y, et al. Expression of ERβ, ERα and Her-2 and distribution of molecular subtypes in Uygur and Han patients with breast cancer. Exp Ther Med. 2014;

- 7(5): 1077–1082, doi: 10.3892/etm.2014.1596, indexed in Pubmed: 24940390.
- 27. Baselga J, Perez EA, Pienkowski T, et al. Adjuvant trastuzumab: a milestone in the treatment of HER-2-positive early breast cancer. Oncologist. 2006; 11 Suppl 1: 4–12, doi: 10.1634/theoncologist.11-90001-4, indexed in Pubmed: 16971734.
- 28. Lazaridis G, Pentheroudakis G, Pavlidis N. Integrating trastuzumab in the neoadjuvant treatment of primary breast cancer: accumulating evidence of efficacy, synergy and safety. Crit Rev Oncol Hematol. 2008; 66(1): 31–41, doi: 10.1016/j.critrevonc.2007.07.002, indexed in Pubmed: 17766143.
- 29. Untch M, Fasching PA, Konecny GE, et al. Pathologic complete response after neoadjuvant chemotherapy plus trastuzumab predicts favorable survival in human epidermal growth factor receptor 2-overexpressing breast cancer: results from the TECHNO trial of the AGO and GBG study groups. J Clin Oncol. 2011; 29(25): 3351–3357, doi: 10.1200/JCO.2010.31.4930, indexed in Pubmed: 21788566.
- 30. Slamon DJ, Eiermann W, Robert NJ, et al. Abstract S5-04: Ten year follow-up of BCIRG-006 comparing doxorubicin plus cyclophosphamide followed by docetaxel (AC T) with doxorubicin plus cyclophosphamide followed by docetaxel and trastuzumab (AC TH) with docetaxel, carboplatin and trastuzumab (TCH) in HER2+ early breast cancer. Cancer Res. 2016; 76(4\_Suppl): S5-04, doi: 10.1158/1538-7445.sabcs15-s5-04.
- 31. Baselga J, Norton L, Albanell J, et al. Recombinant humanized anti-HER2 antibody (Herceptin) enhances the antitumor activity of paclitaxel and doxorubicin against HER2/neu overexpressing human breast cancer xenografts. Cancer Res. 1998; 58(13): 2825–2831, indexed in Pubmed: 9661897.
- 32. Gajria D, Chandarlapaty S. HER2-amplified breast cancer: mechanisms of trastuzumab resistance and novel targeted therapies. Expert Rev Anticancer Ther. 2011; 11(2): 263–275, doi: 10.1586/era.10.226, indexed in Pubmed: 21342044.
- Dhanushkodi M, Sridevi V, Shanta V, et al. Locally Advanced Breast Cancer (LABC): Real-World Outcome of Patients From Cancer Institute, Chennai. JCO Glob Oncol. 2021; 7: 767–781, doi: 10.1200/GO.21.00001, indexed in Pubmed: 34043414.
- 34. Rathod V, Jha CK, Sinha U, et al. First Comprehensive Report of Clinicopathological Profile of Breast Cancer from Bihar, India. Indian J Surg Oncol. 2021; 12(3): 598–602, doi: 10.1007/s13193-021-01404-7, indexed in Pubmed: 34658590.
- 35. Doval DC, Radhakrishna S, Tripathi R, et al. A multi-institutional real world data study from India of 3453 non-metastatic breast cancer patients undergoing upfront surgery. Sci Rep. 2020; 10(1): 5886, doi: 10.1038/s41598-020-62618-3, indexed in Pubmed: 32246015.
- 36. Li Si, Wei W, Jiang Yi, et al. Comparison of the efficacy and survival analysis of neoadjuvant chemotherapy for Her-2-positive breast cancer. Drug Des Devel Ther. 2018; 12: 3085–3093, doi: 10.2147/DDDT.S171534, indexed in Pubmed: 30275685.
- 37. Phung HT, Nguyen HT, Nguyen TV, et al. Pathological Complete Response with Neoadjuvant Trastuzumab Combined with Chemotherapy in HER2 Positive Breast

- Cancer: A Single Institution Retrospective Analysis from Vietnam. Breast Cancer (Dove Med Press). 2020; 12: 117–122, doi: 10.2147/BCTT.S268369, indexed in Pubmed: 33116813.
- Choudhary P, Gogia A, Deo S, et al. Correlation of pathological complete response with outcomes in locally advanced breast cancer treated with neoadjuvant chemotherapy. Cancer Res Stat Treat. 2021; 4(4): 611–620, doi: 10.4103/crst.crst\_197\_21.
- 39. Davey MG, Kerin E, O'Flaherty C, et al. Clinicopathological response to neoadjuvant therapies and pathological complete response as a biomarker of survival in human epidermal growth factor receptor-2 enriched breast cancer A retrospective cohort study. Breast. 2021; 59: 67–75, doi: 10.1016/j.breast.2021.06.005, indexed in Pubmed: 34171619.
- 40. Kim MM, Allen P, Gonzalez-Angulo AM, et al. Pathologic complete response to neoadjuvant chemotherapy with trastuzumab predicts for improved survival in women with HER2-overexpressing breast cancer. Ann Oncol. 2013; 24(8): 1999–2004, doi: 10.1093/annonc/mdt131, indexed in Pubmed: 23562929.
- 41. Chen W, He J, Song S, et al. Efficacy of TCH/TEC neoadjuvant chemotherapy for the treatment of HER-2-overex-pressing breast cancer. Oncol Lett. 2015; 9(4): 1922–1926, doi: 10.3892/ol.2015.2912, indexed in Pubmed: 25789069.
- 42. Untch M, Rezai M, Loibl S, et al. Neoadjuvant treatment with trastuzumab in HER2-positive breast cancer: results from the GeparQuattro study. J Clin Oncol. 2010; 28(12): 2024–2031, doi: 10.1200/JCO.2009.23.8451, indexed in Pubmed: 20308670.
- 43. Chen W, He J, Song S, et al. Efficacy of TCH/TEC neoadjuvant chemotherapy for the treatment of HER-2-overex-pressing breast cancer. Oncol Lett. 2015; 9(4): 1922–1926, doi: 10.3892/ol.2015.2912, indexed in Pubmed: 25789069.
- 44. Davey MG, Kerin E, O'Flaherty C, et al. Clinicopathological response to neoadjuvant therapies and pathological complete response as a biomarker of survival in human epidermal growth factor receptor-2 enriched breast cancer A retrospective cohort study. Breast. 2021; 59: 67–75, doi: 10.1016/j.breast.2021.06.005, indexed in Pubmed: 34171619.
- 45. Partridge AH, Burstein HJ, Winer EP. Side effects of chemotherapy and combined chemohormonal therapy in women with early-stage breast cancer. J Natl Cancer Inst Monogr. 2001(30): 135–142, doi: 10.1093/oxfordjournals. jncimonographs.a003451, indexed in Pubmed: 11773307.
- 46. Mackey JR, Pieńkowski T, Crown J, et al. TRIO/BCIRG 001 investigators, Breast Cancer International Research Group 001 Investigators. Adjuvant docetaxel for node-positive breast cancer. N Engl J Med. 2005; 352(22): 2302–2313, doi: 10.1056/NEJMoa043681, indexed in Pubmed: 15930421.
- 47. Narain S. Study to Compare TAC versus FAC Regimen as Neoadjuvant Chemotherapy in Locally Advanced Breast Cancers. J Med Sci Clin Res. 2018; 6(2), doi: 10.18535/jmscr/v6i2.60.
- 48. Mackey JR, Pieńkowski T, Crown J, et al. TRIO/BCIRG 001 investigators, Breast Cancer International Research Group 001 Investigators. Adjuvant docetaxel for node-positive breast cancer. N Engl J Med. 2005; 352(22): 2302–2313, doi: 10.1056/NEJMoa043681, indexed in Pubmed: 15930421.

- 49. Narain S. Study to Compare TAC versus FAC Regimen as Neoadjuvant Chemotherapy in Locally Advanced Breast Cancers. J Med Sci Clin Res. 2018; 6(2), doi: 10.18535/jmscr/v6i2.60.
- 50. Gupta A, Gogia A, Sagiraju H, et al. A real-world experience of TCH (docetaxel/carboplatin/trastuzumab) regimen in early, locally advanced and oligometastatic HER2 positive breast cancer from tertiary care center in North India. J Clin
- Oncol. 2022; 40(16\_suppl): e12505-e12505, doi: 10.1200/jco.2022.40.16\_suppl.e12505.
- 51. Hussain N, Said ASA, Khan Z. Safety Assessment of Neoadjuvant Pertuzumab Combined with Trastuzumab in Nonmetastatic HER2-Positive Breast Cancer in Postmenopausal Elderly Women of South Asia. Int J Breast Cancer. 2018; 2018: 6106041, doi: 10.1155/2018/6106041, indexed in Pubmed: 29850259.