



# Complement Inhibition in Kidney Transplantation: Where Are We Now?

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## Abstract

Kidney transplantation is a life-saving strategy for patients with end-stage renal disease. Although progress has been made in the field of transplantation medicine in recent decades in terms of surgical techniques and immunosuppression, long-term organ survival remains a challenge. Also, for reasons of organ shortage, there is an unmet need for new therapeutic approaches to improve the long-term survival of transplants. There is increasing evidence that the complement system plays a crucial role in various pathological events after transplantation, including ischemia/reperfusion injury as well as rejection episodes. The complement system is part of the innate immune system and plays a crucial role in the defense against pathogens but is also involved in tissue homeostasis. However, the tightly regulated complement system can become dysregulated or activated by non-infectious stimuli, then targeting the organism's own cells and leading to inflammatory tissue damage that exacerbates injury. In this review, we will highlight the role of the complement system after transplantation and discuss ongoing and potential therapeutic approaches.

## Key Points

Kidney transplantation is the best life-saving strategy for patients with end-stage renal disease. Achieving long-term graft survival is still challenging and requires new therapies.

Complement-mediated injury is central in renal transplantation and occurs early during ischemia/reperfusion injury but is also involved in transplant rejection.

The complement system was targeted in clinical studies at different levels of the complement cascade to prevent delayed graft function (DGF) and antibody-mediated rejection (ABMR).

## 1 Introduction

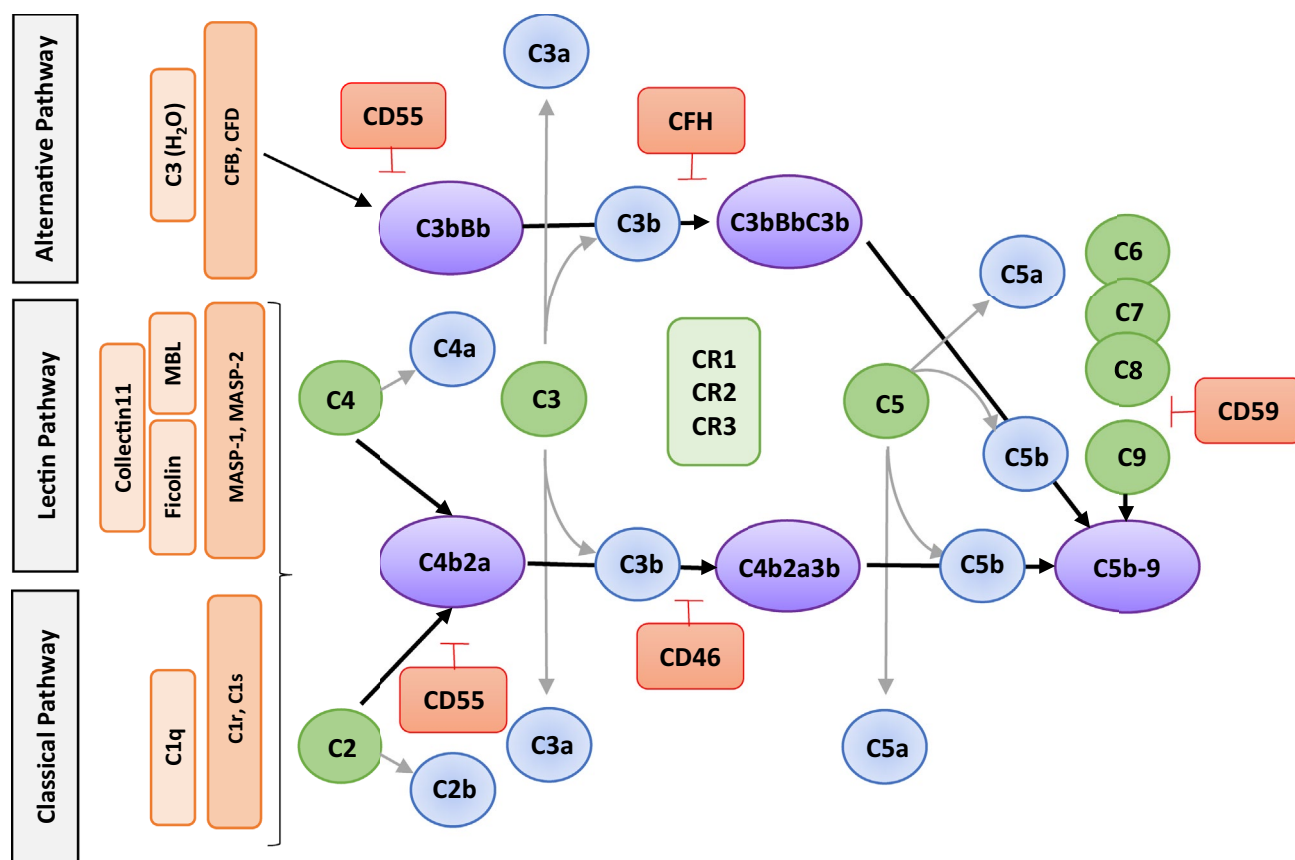
The complement system is an essential component of the innate immune system, involved in (i) opsonization, (ii) stimulation of different inflammatory pathways and (iii) osmolytic lysis of pathogens and damaged cells in numerous diseases, especially in inflammatory kidney disease [1]. This highly regulated system consists of >40 fluid-phase and surface-bound factors including activating proteases, regulating inhibitors, pore-forming proteins and complement receptors (Fig. 1). Three distinct activation pathways are known: (i) the classical pathway, activated by any structure that is recognized by C1q [2], (ii) the lectin pathway, activated when saccharide patterns are recognized by pattern recognition complexes [3] and (iii) the alternative pathway, activated through spontaneous hydrolysis of C3 [4].

For the activation of the classical pathway, the initiator molecule C1q recognizes a big variety of target molecules including immunoglobulin (Ig)G and IgM, C-reactive proteins, bacterial and viral proteins, apoptotic cells and others [2, 5]. A tetramer of the two serine proteases C1r and C1s binds to C1q and thereby forms the C1 complex [6]. The lectin pathway can be initiated by either mannose-binding-lectin (MBL), ficolin 1-3 or collectin 10 and 11, which recognize saccharides on the surface of pathogen- or danger-associated molecular patterns (PAMPs, DAMPs) [3,

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**Fig. 1** Classical, lectin and alternative complement pathways. *CF* complement factor, *MASP* mannose-binding lectin-associated serine proteases, *MBL* mannose-binding lectin

7]. Upon binding of the recognition molecules to the specific carbohydrates, serine protease MASP-2 is activated [8]. In the classical as well as in the lectin pathway, the activation of the serine proteases leads to the cleavage of C4 and C2 into C4a and b and C2a and b [6, 8]. C2a attaches to C4b, whereby the complex becomes enzymatically active and forms the C3 convertase [9]. The alternative pathway has two different functions: it amplifies the C3 level activated by the other two pathways and it induces an independent activation [8]. C3 is constantly hydrolyzed and the resulting C3b binds to target molecules like foreign cells and bacteria. Factor B binds to C3b and is thereafter cleaved by Factor D, forming the C3 convertase C3bBb [10].

At this point, the three pathways merge. Both C3 convertases, the C4b2a of the classical and the lectin pathway and the C3bBb of the alternative pathway cleave C3 and release C3a and C3b [11]. C3a is an anaphylatoxin, and as such a pro-inflammatory chemoattractant that activates and recruits inflammatory cells including neutrophils and mast cells [12]. C3b can on the one hand opsonize target cells and on the other hand bind to the C3 convertase. Upon binding of C3b, the C3 convertase switches its specificity to the binding of C5 and becomes a C5 convertase [13]. The C5 convertase

cleaves C5 and releases C5a and C5b. While C5a is an anaphylatoxin similar to C3a, C5b recruits the complement factors C6, C7, C8 and C9 to form the membrane-attack-complex (MAC) [14]. The MAC forms pores in the membrane of target cells disturbing calcium passage and thereby leading to apoptosis of these respective cells. The pore size is determined by the number of C9 molecules assembling in the MAC, which can vary from 2 up to 18 C9 molecules [15]. To prevent overactivation of the complement system, it is regulated by soluble (e.g. complement factor H [CFH]) and membrane bound (e.g. CD46) endogenous inhibitors that act at different levels of the complement cascade. Early activation of the classical and lectin-mediated pathways can be inhibited by the C1-esterase inhibitor SERPING1. CD35, also known as CR1, and CD55 can act as decoy receptors, limiting the activation of complement convertases. The formation of the C5 convertases C3bBbC3b in the alternative pathway is inhibited by soluble CFH and C4b2aC3b of the other two activation pathways by the surface molecule CD46. In the terminal complement cascade, CD59 inhibits the formation of the MAC. Of these endogenous complement inhibitors, SERPING1 is being tested therapeutically as a C1 inhibitor [16] and double transgenic pigs expressing

human CD55 and CD59 were generated to be used in the future in xenotransplantation [17].

## 2 Involvement of Complement in Adverse Outcome of Renal Transplantation

### 2.1 Ischemia/Reperfusion Injury and Delayed Graft Function

During transplantation, complement is involved at different time points. Relevant factors are the donor type (deceased or living donor), ischemia/reperfusion (cold and warm ischemia time) but also antigen mismatch, the occurrence of donor-specific antibodies and rejection events. Many components of the complement cascade are primarily formed in the liver, but can also be produced locally in response to a damaging stimulus [18]. The relevance of locally produced complement has been demonstrated in a mouse transplantation model using C3-deficient isografts showing only mild reperfusion injury compared with wildtype grafts when transplanted in a C3-positive recipient [19]. Gene expression analyses in biopsies taken before transplantation revealed significantly higher expression of various complement genes in kidneys from deceased donors compared with living donors [20]. Furthermore, complement factors are expressed at significantly higher levels in deceased donors at later time points after renal transplantation [20, 21], and correlate significantly with cold ischemia time [21].

The importance of complement activation in mediating ischemia/reperfusion (I/R)-induced tissue damage has been demonstrated in animal models using mice deficient for a particular complement factor or by using different complement inhibitors [22–26]. In this regard, complement activation does not appear to be restricted to one pathway, as both inhibition of the alternative pathway by factor B deficiency [24] or anti-factor B antibodies [27], and C1-inhibitor therapy were successful in reducing I/R damage [28]. The C1-inhibitor SERPING1 is a serine esterase inhibitor that blocks C1s and C1r proteases of the classical pathway and MASP2 of the lectin pathway [29]. There is an increasing body of evidence that the lectin pathway is of particular importance in mediating I/R injury [30–33]. The pattern recognition molecule collectin-11 recognizes hypoxia-induced fucosylated ligands, which allow the formation of a complex with MASP2 and subsequent activation of the lectin pathway. Consequently, deficiency for collectin-11 but also treatment with l-fucose prevented I/R injury in mice [34, 35]. Delayed graft function (DGF) is a major consequence of a profound kidney injury mediated by different factors resulting from unstable hemodynamics, impaired homeostasis and circulating DAMPs from injured cells due to brain death, hypoxia or related to the primary disease. Reperfusion

of the donor organ in the recipient exacerbates organ damage. Complement activation was shown to be observed in brain death donors before I/R as demonstrated by increased expression of complement factors in donor organs [36] and systemic complement activation [37]. Accordingly, sC5b-9 levels can be used as a sensitive marker to predict DGF [38] and donor treatment or ex vivo complement inhibition is thus a promising way to prevent the earliest effects of complement activation. This concept was successfully tested in a rat model of kidney transplantation [39] and was also used in the EMPIRIKAL study using the C3 inhibitor mirococept [40] (Table 1). Complement-mediated injury can be a direct consequence of the formation of the MAC or indirectly caused by enhancement of the inflammatory response by anaphylatoxins C3a and C5a. The anaphylatoxins are involved in leukocyte chemotaxis and activation as well as inducers of the production of pro-inflammatory mediators like cytokines and chemokines [41]. The C5a/C5aR1 axis has been shown to be critically involved in mediating I/R injury [42, 43]. Moreover, C5a/C5aR2 signaling in renal I/R is involved in activation of inflammatory cells but not in chemotaxis [44]. Thus, the C5a/C5aR axis represents a possible target for treatment of I/R injury.

### 2.2 Antibody-Mediated Rejection

Antibody-mediated rejection (ABMR) is the leading cause of subsequent kidney transplant failure [45], but efficient treatment options are lacking. Components of the complement system are involved in both regulation of the humoral response and ABMR-mediated allograft injury. In ABMR, HLA IgG alloantibodies produced by plasma cells bind to the donor antigens on graft microvasculature, leading to complement activation, margination and activation of inflammatory cells, and endothelial cell injury, sometimes with intimal arteritis. Donor-specific antibodies (DSA) play a key role in mediating ABMR pathology and are present at the time of transplantation in sensitized patients who have developed DSA due to a previous transplant, but may also be formed by the recipient later after transplantation. When DSAs bind to surface antigens, such as HLA antigens on endothelial cells, C1q recognize these immune complexes and can initiate the complement cascade via the classical pathway, ultimately leading to MAC formation and endothelial cell lysis [46]. This process is known as complement-dependent cytotoxicity. Additional to activation via the classic pathway, activation can also occur via the lectin pathway; in this case, sugar residues on IgM and IgG antibodies are recognized by mannose-binding lectin (MBL), for example, and initiate the activation cascade [47].

Not all antibodies have the ability to bind complement. In a study with more than 1000 transplanted patients on the presence of complement fixing antibodies it was shown that

**Table 1** Clinical trials targeting complement in ischemia reperfusion (IR) and delayed graft function (DGF)

Identifier no.	Phase	Purpose	Study group	Results	Status	References
NCT01403389	II	Prevention of DGF in deceased donors	Eculizumab 1200 mg IV prior to reperfusion ( $n = 4$ ) vs placebo ( $n = 4$ )	After interim analysis, the pilot study was terminated and modified to a larger multicenter study (NCT01919346)	Terminated	[73]
NCT01919346	II	Prevention of DGF	Eculizumab 1200 mg IV prior to reperfusion of the allograft and 900 mg 12–24 h post-transplantation ( $n = 12$ ) vs placebo ( $n = 7$ )	DGF not prevented after eculizumab treatment. Terminated (Based on results from Alexion PROTECT DGF study)	Terminated	[73]
NCT02145182 (PROTECT Study)	II/III	Prevention of DGF	Eculizumab 1200 mg IV prior to reperfusion of the allograft and 900 mg 12–24 h post-transplantation ( $n = 142$ ) vs placebo ( $n = 146$ )	Eculizumab treatment did not significantly reduce DGF in transplanted kidneys	Completed	
NCT01756508	II	Prevention and treatment of IRI in pediatric kidney transplantation	Eculizumab 1200 mg/m <sup>2</sup> IV 1 h before graft reperfusion in pediatric patients ( $n = 29$ ) vs nontreated ( $n = 28$ )	Eculizumab was associated with better early graft function and improved graft morphology; however, there was an unacceptably high number of early graft losses among the eculizumab-treated children	Completed	[74]
NCT02134314	I/II	Prevention DGF and IRI	C1 Esterase inhibitor (Berinert®): 50 U/kg bw IV on day of transplantation and 24 h post-transplantation ( $n = 35$ ) vs placebo ( $n = 35$ )	No significant difference in frequency of DGF but duration was shorter in C1-esterase inhibitor group. Treatment of patients at risk for IRI and DGF with C1 esterase inhibitor was associated with a lower incidence of graft failure	Completed	[75, 76]
NCT04696146	I/II	Prevention of DGF and IRI in deceased high-risk donors	C1 Esterase inhibitor (Berinert): 500 U into the graft renal artery prior to transplantation ( $n = 20$ ) vs placebo ( $n = 20$ )	No results available	Active, not recruiting	
NCT03791476	I	Prevention of DGF	rhC1INH Inhibitor (RUCONEST®): 100 U/kg intraoperative followed by two doses of 50 U/kg every 12 h ( $n = 10$ ) vs placebo ( $n = 10$ )	No results available	Unknown	
NCT02435732	I	Donor pretreatment strategy in kidney recipients of KDPI >60%	C1 Esterase inhibitor (CINRYZE®). Control group: standard donor management + vehicle treatment ( $n = 12$ ) vs standard donor management + C1INH at a dose of 200 U/Kg IV single dose ( $n = 12$ ) vs standard donor management + C1INH at a dose of 200 U/kg IV single dose and heparin at 20 U/kg/h IV maintenance until organ recovery ( $n = 12$ )	No results available	Not yet recruiting	

Table 1 (continued)

Identifier no.	Phase	Purpose	Study group	Results	Status	References
ISRCTN49958194	I	Prevention of IRI	CR1-analogon Mirococept®: ex vivo treatment of donor kidneys using 7 cohorts in a dose range of 5–25 mg First cohort received 10 mg ( $n = 53$ ) vs placebo control ( $n = 30$ )	The study was terminated after interim analysis of 10-mg cohort. Tissue saturating dose for Mirococept was performed in a dose-finding study in pigs	Terminated	[40, 77]

*bw* body weight, *CR-1* complement receptor 1, *DGF* delayed graft function, *IRI* ischemia reperfusion injury, *KDPI* kidney donor profile index

complement-binding donor-specific anti-HLA antibodies are helpful for the diagnosis and risk assessment of transplant rejection. The presence of C1q-fixing DSAs was associated with an increased rate of ABMR, a more severe graft injury phenotype with more extensive microvascular inflammation, and increased deposition of complement fraction C4d within graft capillaries [48]. Detection of complement-fixing DSAs allowed detection of ABMR also in C4d-negative cases [48]. Capillary C4d deposits have been established as a marker for ABMR and have been included in the Banff classification for ABMR diagnosis [49]. However, C4d deposits are not ABMR-specific and have been observed in other renal diseases [50], and the absence of C4d deposits is by no means an exclusion criterion for ABMR [51].

Complement is involved not only in antibody-mediated injury but also in the regulation of antibody production [52]. Binding of C3d-opsonized antigen from injured cells to CR2 on B cells promotes B-cell activation and antibody production by lowering the activation threshold [53]. Memory B-cell maintenance is achieved by binding of C3d-fixed antigens to CR2 on the surface of follicular dendritic cells [54]. B cells in the marginal zone can acquire intact major histocompatibility complexes from dendritic cells by complement-dependent trogocytosis for presentation to T cells [55]. Activated B cells in germinal centers receive co-stimulatory signals from T helper cells. In these stimulated germinal center B cells, the expression of complement regulators on the surface shifts, enabling activation of complement receptors on germinal center B cells, which is required for affinity maturation [56]. Positively selected B cells with high affinity can then differentiate into plasma cells that produce antibodies reactive with the donor HLA. Subsequent sublytic complement attack may stimulate endothelial cells to activate CD4+ and CD8+ T cells, promoting cellular and humoral rejection [57]. Anaphylatoxins C3a and C5a also play a role in ABMR by indirectly participating in the activation of B cells and polarization of T cells [58].

### 3 Drugable Complement Targets in Kidney Transplantation

The complement cascade can be inhibited at different levels. The activation of the different complement pathways can either be inhibited separately early in the cascades, or the common final pathway can be targeted further downstream. The inhibition of complement activation at the beginning of a pathway has the advantage that all downstream components of a specific pathway can be blocked, preventing the formation of reactive cleavage products such as anaphylatoxins. In addition, by inhibition of one selected complement pathway, patients could retain complement-mediated defense against infection, a consideration that might be important

in immunosuppressed transplant recipients, by sparing the other pathways. However, assuming at least two, maybe even three complement pathways are involved in the pathogenesis of transplant-related injury [59], complement blockade is incomplete and possibly not effective enough if only one pathway is inhibited. As all complement pathways terminate in a common pathway, other approaches use the inhibition of downstream complement factors such as C3 or C5.

In clinical trials for kidney transplantation, C1 and C5 inhibitors and a C3 inhibitor have been tested so far. The goal was to prevent early graft failure by DGF (Table 1) or early and late rejection, especially ABMR (Table 2). These studies will be discussed in detail later. In addition to these inhibitors, which have already been tested in the setting of renal transplantation, others are available that have previously been applied in other complement-mediated diseases. Complement inhibitors not previously used in the transplant setting target the initiators of the lectin pathway (MASP-2 [60]) and the alternative pathway (FD [61–63], FB [64]), the activation of C3 [65, 66], the activity of C3/C5 convertases [67, 68], the amplification of the alternative pathway [69], or prevent signaling through the C5aR1 [70, 71]. An overview of these therapeutics is given by Mastellos et al. (2019) [72]. Since these therapeutics can potentially be used in transplantation, we have summarized the targets and therapeutics in Fig. 2.

## 4 Past and Ongoing Studies on Complement Inhibition in Renal Transplantation

### 4.1 Complement Inhibition to Prevent Delayed Graft Function in Clinical Trials

In clinical trials, two main inhibitors have been tested to prevent DGF after renal transplantation: (i) eculizumab, a recombinant humanized monoclonal antibody targeting C5, a key molecule of terminal complement activation, and (ii) C1-esterase inhibitors, also known as SERPING1, either purified from plasma or recombinantly produced and provided by different companies, inhibiting early activation of the classical and lectin-mediated pathway. An overview of all clinical trials investigating complement inhibition in early I/R injury to prevent DGF after transplantation is shown in Table 1.

Studies investigating the efficacy of eculizumab in preventing DGF initially used a single dose of eculizumab 1200 mg in a pilot study (ClinicalTrials.gov identifier: NCT01403389), which was administered before reperfusion. However, this study was stopped after an interim evaluation and the treatment was changed to another pilot study that used an additional eculizumab dose 12–24 h

after transplantation (NCT0191934). Eculizumab did not significantly reduce the number of patients with DGF in either of these two pilot studies or in the PROTECT trial (NCT02145182), which included a total of 288 patients [73]. Therefore, the second pilot study was also terminated before planned enrollment. In contrast, pediatric kidney transplant patients who received eculizumab showed better early graft function, less arterial hyalinosis and chronic glomerulopathy in protocol biopsies taken at day 30, and after 1 and 3 years. However, four children in the eculizumab group lost their graft during a flu-like infection, while none of the children in the control group lost their graft (NCT01756508) [74].

Other studies have investigated the efficacy of a C1 inhibitor, which inhibits activation of the classical and lectin pathways, in preventing DGF (Table 1). At the moment, only one phase I/II study using the C1-esterase inhibitor ( $n = 35$ ) compared with placebo ( $n = 35$ ) has been completed (NCT02134314). Similar to the C5 inhibitor studies, therapy was given directly on the day of transplantation and 24 hours after surgery. Regarding the primary outcome, the occurrence of DGF, defined as the need for dialysis within the first week after transplantation, no difference was observed between the groups (C1-esterase inhibitor 44% vs placebo 60%) [75]. However, the duration of dialysis was significantly shortened in the C1-esterase inhibitor group [75]. In the follow-up of this study, 3.5 years after transplantation, a significantly better eGFR was determined in the C1-esterase inhibitor group (56 mL/min per 1.73 m<sup>2</sup> vs 35 mL/min per 1.73 m<sup>2</sup>) [76].

Further studies with C1 esterase inhibitors to reduce the incidence of DGF with higher (NCT04696146, NCT02435732) and more numerous doses (NCT03791476) of the inhibitor are planned or currently have an unclear status (Table 1). Inhibition of the complement cascade at the level of C3 was investigated in another study perfusing the grafts with the CR1 analog mirococept ex vivo instead of the standard cold perfusion fluid (Soltran<sup>®</sup>) (ISRCTN49958194) [77]. Primarily, seven study arms with different inhibitor concentrations were planned; however, the study was stopped after planned interim evaluation with the first dose of 10 mg ( $n = 53$ ) versus placebo ( $n = 30$ ), because the treatment did not prevent DGF. Instead of continuing the study, a re-dosing study was performed using pig kidneys to determine the saturation range for the inhibitor mirococept. The optimal dose for the pig kidney was determined to be 80 mg of mirococept, which is equivalent to a dose of 120 mg for the human kidney [40]. Ex vivo administration of mirococept at this dose was safe and feasible and provides the basis for future new studies on the treatment of DGF in deceased donor kidney transplants.

**Table 2** Clinical trials targeting complement in antibody-mediated rejection (ABMR)

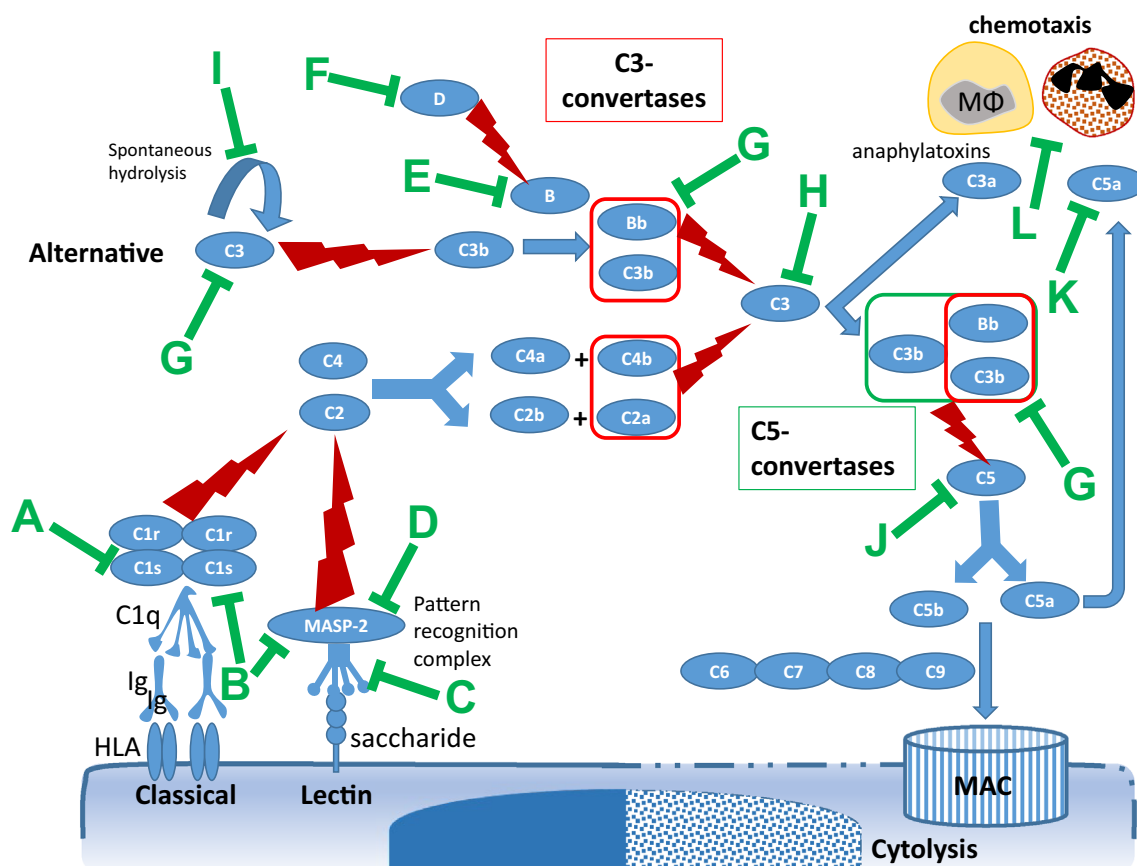
Identifier No.	Phase	Purpose	Study group	Results	Status	References
NCT00670774	I/II	Prevention of ABMR in positive crossmatch living donor kidney transplantation	Eculizumab 1200 mg IV prior to surgery and doses of 600 mg on day 1 followed by 4 weekly doses. After testing for DSAs, treatment was continued or discontinued ( $n = 26$ )	Inhibition of terminal complement activation with eculizumab decreases the incidence of early ABMR in sensitized renal transplant recipients compared with a historical control group without eculizumab treatment	Completed	[78]
NCT01106027	I/II	Prevention of active ABMR in positive crossmatch deceased donor kidney transplantation	Eculizumab 1200 mg IV prior to surgery and doses of 900 mg on day 1 followed by 4 weekly doses added to conventional treatment. After testing for DSAs, treatment was continued or discontinued ( $n = 2$ )	The study was terminated early due to difficulties in enrolling patients and competing industry-funded multi-center clinical trials	Terminated	
NCT01095887	I/II	Prevention of ABMR in ABO blood group incompatible living donor kidney transplantation	Eculizumab 1200 mg IV prior to surgery and doses of 900 mg on day 1 followed by 4 weekly doses added to conventional treatment. After testing for anti-blood group antibody levels, treatment was continued or discontinued ( $n = 6$ )	The study was terminated early due to poor enrollment	Terminated	
NCT01399593	II	Prevention of ABMR in living donor kidney transplant recipients requiring desensitization	Eculizumab 1200 mg IV prior to reperfusion of the allograft and 900 mg (days 1, 7, 14, 21, and 28), and 1200 mg (weeks 5, 7 and 9) ( $n = 51$ ) vs standard therapy ( $n = 51$ )	Terminated due to lack of differences between treatment groups in occurrence of biopsy-proven active ABMR, graft loss, patient death, or loss to follow-up at week 9 post-transplantation. Closer reassessment revealed potential benefit	Terminated	[79]
NCT01567085	I/II	Prevention of ABMR in living donor kidney transplant recipients requiring desensitization therapy	Eculizumab 1200 mg administered IV before reperfusion of the allograft (day 0) with subsequent 900-mg doses given on days 1, 7, 14, 21 and 28 and 1200 mg at post-transplant weeks 5, 7, 9 ( $n = 80$ )	Eculizumab was well tolerated and no new safety concerns were identified. Eculizumab has the potential to provide prophylaxis against injury caused by active ABMR	Completed	[80]
NCT01895127	II	Treatment of ABMR following renal transplantation	Eculizumab 1200 mg after biopsy proven ABMR followed by 4 weekly doses of 900 mg and 1200 mg at week 5 and additional treatment depending on DSAs (7) vs standard care (4)	No beneficial effect of eculizumab given after first diagnosis of ABMR compared with standard treatment	Terminated	
NCT02113891	I/II	Treatment of subclinical ABMR in kidney transplant recipients	Eculizumab 4 × 900 mg IV every 7 days, a fifth dose of 1200 mg 7 days later; eculizumab maintenance: 15 × 1200-mg doses every 14 days. No patients enrolled	No results available. (Withdrawn due to disengagement of the sponsor Alexion Pharmaceuticals)	Withdrawn	
NCT01327573	I	Therapy of chronic complement-mediated injury in kidney transplantation	Eculizumab: 4 × 600 mg IV every 7 days followed by 900 mg IV 7 days later and 900 mg IV every 14 days for total of 26 weeks ( $n = 11$ ) vs standard therapy ( $n = 5$ )	Eculizumab tended to improve eGFR 6 months after kidney transplantation. Endothelial cell injury was not reduced with complement inhibition in this chronic setting	Completed	[82]

Table 2 (continued)

Identifier No.	Phase	Purpose	Study group	Results	Status	References
NCT01134510	I/II	Prevention of complement-dependent, ABMR in highly-HLA sensitized patients	C1 esterase inhibitor Berinert® 20 U/kg twice weekly for 4 weeks added to standard therapy ( <i>n</i> = 10) vs standard therapy ( <i>n</i> = 10)	C1-INH may prove useful in prevention of ABMR	Completed	[83]
NCT01035593	II	Treatment of early ABMR in renal transplantation	100 U/kg C1-esterase inhibitor IV for 7 consecutive days added to therapy. No patients enrolled	No results available	Withdrawn	
NCT03221842	III	Treatment of refractory ABMR in adult renal transplant recipients	C1 esterase inhibitor Berinert® added to standard therapy: 60 U/kg SC 5 doses within 13 days (1st period, <i>n</i> = 63) and in blinded placebo control twice weekly ( <i>n</i> = 7) vs placebo ( <i>n</i> = 6)	Study was terminated due to lack of feasibility of the enrollment	Terminated	
NCT02547220	III	Treatment of active ABMR in kidney transplant patients	C1-esterase inhibitor Cinryze®, 5000 U on day 1 and 2500 U on days 3, 5, 7, 9, 11, and 13 ( <i>n</i> = 20) vs placebo ( <i>n</i> = 19)	Terminated (Following a pre-scheduled interim analysis performed by the data managing committee, it was determined that the study met the pre-specified criteria for futility)	Terminated	
NCT01147302	II	Treatment of active ABMR in recipients of donor-sensitized kidney transplants	C1-esterase inhibitor CINRYZE®: 7 doses over a 2-week period: an initial IV infusion of 5000 U on day 1, followed by 2500 U IV on days 3, 5, 7, 9, 11, and 13 ( <i>n</i> = 9) vs placebo ( <i>n</i> = 9)	While the study's primary endpoint, a difference between groups in day 20 pathology or graft survival, was not achieved, the C1 INH group demonstrated a trend toward sustained improvement in renal function. Six-month biopsies performed in 14 subjects (C1 INH = 7, placebo = 7) showed no transplant glomerulopathy (TG) (PTC+cg≥1b) in the C1 INH group, whereas 3 of 7 placebo subjects had TG	Completed	[84]
NCT02502903	I	Treatment of patients with complement-mediated disorders compared with healthy volunteers	C1s antibody sutimlimab: a single IV test dose of 10 mg/kg followed by 4 weekly doses of 60 mg/kg; chronic ABMR with evidence of DSA-triggered CP activation ( <i>n</i> = 10)	Sutimlimab (BIVV009) effectively blocks alloantibody-triggered CP activation, even though short-course treatment had no effect on indices of activity in late ABMR	Completed	[85]

ABMR antibody-mediated rejection, CP classical pathway, DSAs donor-specific antibodies, eGFR estimated glomerular filtration rate, HLA human leucocyte antigen, IV intravenous, TG transplant glomerulopathy, SC subcutaneous





**Fig. 2** Overview of drugable complement targets. **A** Target: C1s, Drug(s): Sutimlimab, Mode of action: Inhibition of C1s protease. **B** Target: C1s/r, MASPs, Drug(s): C1-INH, CYNRIZE, berinert, ruconest, Mode of action: CP/LP inhibition, other serine proteases. **C** Target: Collectin-11, Drug(s): l-Fucose, Mode of action: Saturation of Collectin-11 binding. **D** Target: MASP-2, Drug(s): OMS721, Mode of action: Inhibition of MASP-2. **E** Target: FB, Drug(s): LNP023, Mode of action: Inhibition of AP C3 convertase. **F** Target: FD, Drug(s): ACH-4471, lampalizumab, Mode of action: Inhibition of AP C3 convertase. **G** Target: C3/C5 convertases, Drug(s): Mirococept, Mode of action: Inhibition of all C3/C5 convertases. **H** Target: C3, Drug(s): AMY-101, APL-2, Mode of action: Inhibition of C3

activation. **I** Target: Properdin, Drug(s): CLG561, Mode of action: Inhibition of AP amplification. **J** Target: C5, Drug(s): Eculizumab, ravulizumab, SKY59/RO7112689, tesidolumab; pozelimab, ABP959, SB12, Mode of action: Blockage of C5 activation; Drug(s): Coversin, Mode of action: Inhibition of C5 activation, Drug(s): Zilucoplan, Mode of action: Allosteric inhib. of C5 activation. **K** Target: C5a, Drug(s): IFX-1, Mode of action: Blocks binding of C5a to C5aR1. **L** Target: C5aR1, Drug(s): Avacopan, Mode of action: Antagonist of C5aR1 receptor; Drug(s): IPH5, Blockade of C5aR1 signaling. *C1-INH* C1 esterase inhibitor, *MAC* membrane-attack-complex, *MASP* mannose-binding lectin-associated serine protease

#### 4.2 Complement Inhibition to Prevent Antibody-Mediated Rejection and Chronic Complement-Mediated Injury in Clinical Trials

Similar to the studies focusing on prevention of DGF, mainly eculizumab and C1-esterase inhibitors have been used in clinical trials for the prevention of ABMR, but they were administered over a longer period of time and more frequently (Table 2). In addition, these studies primarily treated patients who were at particularly high risk of developing ABMR due to an unfavorable cross match or pre-existing donor-specific antibodies. In some cases, however, the study design was so specific that the studies were terminated because patients meeting the inclusion criteria were

lacking (NCT01106027; NCT01095887; NCT03221842). A group of 26 highly sensitized recipients of living donor renal transplants, who received eculizumab post-transplant, was compared with a historical control group of 51 sensitized patients treated with a similar plasma exchange protocol without eculizumab to test the incidence of biopsy-proven ABMR in the first 3 months post-transplant. The incidence of ABMR was significantly lower in the eculizumab group compared with the control group (7.7% [2/26] vs 41.2% [21/51]; NCT00670774) [78]. In addition to decreased ABMR, chronic transplant glomerulopathy was detected after 1 year in 6.7% (1/15) of graft biopsies from eculizumab-treated recipients and in 35.7% (15/42) of control patients ( $p = 0.044$ ) [78]. However, a later phase

II, randomized, multicenter, open label, two-arm, parallel group study including 51 patients per arm at first glance showed no protective effect of eculizumab treatment for the primary endpoint of treatment failure rate and was therefore terminated. Only a reassessment of the study including grade I ABMR finally showed a slightly significant difference between the groups, indicating a potential benefit of eculizumab compared with standard operation of care to prevent active ABMR in sensitized recipients [79]. In a single-arm study of recipients who received a deceased donor transplant and had preformed donor-specific antibodies, the primary endpoint (a composite of biopsy-proven grade II/III ABMR, graft loss, death, or loss to follow-up, within 9 weeks post-transplant) was observed in 8.8% of eculizumab-treated patients and was thereby lower than expected for standard care (40%) [80].

While the studies described above have investigated whether ABMR after transplantation can be prevented by eculizumab, smaller studies have also investigated whether the course of already developed ABMR can be favorably influenced. Patients were treated with eculizumab immediately after diagnosis of biopsy-proven ABMR, followed by five additional weekly doses ( $n = 7$ ) and compared with standard therapy ( $n = 4$ ). Because eculizumab given as monotherapy did not significantly improve eGFR within 3 months, the study was terminated (NCT01895127) and a planned study of complement inhibition for treatment of subclinical ABMR was withdrawn (NCT02113891). However, in the terminated study, the timing of complement inhibitory therapy after transplantation was not determined, but may be important for success, as suggested by a retrospective observational study reporting effective treatment of ABMR in the first month after transplantation [81]. For the treatment of chronic complement-mediated renal injury after kidney transplantation, 11 patients were treated with slightly lower single doses of eculizumab (900 mg) for 6 months. Compared with the control group ( $n = 5$ ), eGFR tended to be improved, but endothelial cell damage was not reduced (NCT01327573) [82].

In contrast to the studies with the C5 inhibitor eculizumab, of which many were designed to prevent ABMR, there is only one pilot study with a C1 esterase inhibitor (C1-INH). In this study with highly HLA-sensitized patients, none of the ten study participants developed DGF or ABMR in the C1-INH group ( $n = 10$ ), while in the control group, four out of ten developed DGF and one developed ABMR. However, in further follow-up, two cases of ABMR occurred in each of the two groups [83]. The combination of standard therapy with antibody reduction and C1-INH may be useful for the prevention of ABMR, but further controlled studies are needed. Although a C1-INH trial to treat ABMR was withdrawn in 2012 due to reduced incidence of ABMR by recent improvements in clinical practice (NCT01035593),

several new trials have still been initiated. The largest study with a total of 39 participants, 19 of whom received standard therapy for ABMR and 20 of whom also received C1-INH, showed in an interim evaluation no improvement in protection against transplant glomerulopathy and met the pre-specified criteria for futility (NCT02547220). Previously, a pilot study with the same C1-INH had also shown no differences in the primary endpoint, meaning no difference at day 20 after therapy initiation with respect to pathology or graft survival. However, no transplant glomerulopathy and a trend toward improved renal function in the C1-INH group was found at the 6-month biopsy (NCT01147302) [84]. In a first study with sutimlimab, which exclusively inhibits the classical pathway, five of eight C4d-positive recipients turned C4d negative in follow-up biopsies, while another two recipients showed a substantial decrease in C4d scores. There was, however, no change in renal inflammation, gene expression patterns, donor-specific antibody levels, or kidney function [85].

### 4.3 Side Effects of Complement-Targeted Therapies and Combination With Other Drugs

In the treatment of transplant patients, complement inhibitors are only used as monotherapy when given before transplantation (e.g. to avoid DGF). As with any other immunosuppressive therapy, it is a balancing act to, on the one hand, protect the graft from detrimental allogeneic immune reactions and consequent graft loss, but, on the other hand, to avoid side effects, including primarily infections that also endanger the transplant and the patient. The complement system plays an important role in the opsonization of pathogens. One threatening side effect of eculizumab therapy is, therefore, the occurrence of meningococcal infections. As a result, appropriate vaccination is a critical prerequisite before treatment. However, vaccination of immunosuppressed patients is challenging and sometimes fails [86]. One study reported graft loss in four eculizumab-treated patients due to flu-like infection [74]. So far, drug-related serious adverse events associated with complement-inhibiting therapies have been observed only rarely, so at least the C1 and C5 inhibitors studied in several clinical trials can be considered safe. This is probably due to the fact that there are different activation pathways of the complement system and that the available complement inhibitors always block only one part of the cascade. Complement-inhibiting therapies aim to suppress the innate immune defense during transplantation and are used in addition to standard therapy including tacrolimus, mycophenolate mofetil and prednisolone, if appropriate, which suppress cell-mediated immune defense. Since complement factors also stimulate immune cells, it may be possible to lower the doses of standard therapy and thereby minimize side effects when combining them with

complement inhibitors. Monitoring of complement activation in the plasma may help to determine an optimal and individual treatment of patients, allowing a tailored immune suppression [87].

## 5 Conclusion

In the field of transplantation medicine, several studies have already been conducted assessing the blockade of the complement system. The aim of these studies was to prevent I/R and DGF in the early phase or ABMR. Eculizumab, a C5 inhibitor, and C1 esterase inhibitor were most commonly used. A number of different complement inhibitors have already been tested in clinical trials in the context of other diseases and could therefore also be a treatment option in the future, both concomitantly and after transplantation. Since only a few studies with larger numbers of cases exist, studies with well-defined study arms and larger numbers of patients are needed to investigate which patient groups can particularly benefit from complement inhibition therapy. As complement therapies are very expensive, future studies must confirm that they have a significant benefit on graft survival compared with established treatments to justify the costs. Moreover, in some settings the high expense may prevent the use of the drugs when resources are limited. Hopefully, in the future the detrimental effects of complement activation in the transplantation process can be reduced by targeted, organ or cell-specific complement therapies, without fearing loss of the desirable systemic defense mediated by the complement system.

## Declarations

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**Author contributions** EV and CD provided general information on the complement system, clinical interpretation, created figures and drafted and reviewed all versions of the manuscript. MB-H created figures and drafted and reviewed all versions of the manuscript. KA drafted and reviewed all versions of the manuscript. All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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