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Review

# Diabetes Mellitus, Arterial Wall, and Cardiovascular Risk Assessment

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Academic Editor: Omorogieva Ojo

Received: 2 December 2015; Accepted: 1 February 2016; Published: 6 February 2016

Abstract: Diabetes mellitus is an independent risk factor for atherothrombotic cardiovascular disease. Adults with diabetes are two to four times more likely to develop heart disease or stroke than adults without diabetes. The two major features of diabetes, *i.e.*, hyperglycemia and insulin-resistance, trigger arterial stiffening and increase the susceptibility of the arterial wall to atherosclerosis at any given age. These pathological changes in the arterial wall may provide a functional and structural background for cardiovascular events. The present paper provides a critical overview of the clinical evidence linking diabetes-related metabolic abnormalities to cardiovascular risk, debates the pathophysiologic mechanisms through which insulin resistance and hyperglycemia may affect the arterial wall, and discusses the associations between vascular biomarkers, metabolic abnormalities and cardiovascular events.

**Keywords:** diabetes; atherosclerosis; arterial stiffness; cardiovascular risk; hyperglycemia; insulin resistance

### 1. Introduction

Diabetes mellitus is an independent risk factor for atherosclerosis-related cardiovascular (CV) diseases (D) [1]. In the Framingham cohort, the incidence of CVD among diabetic men and women was twice and three times that among non-diabetic men and women, respectively [2], and in a large population-based retrospective study, people with diabetes entered the high CVD risk category (a 10-year risk of 20% or more) 15 years before people without diabetes [3]. In a 25-year follow-up of middle-aged men and women, the mortality in men with diabetes and without previous coronary heart disease was equal to that of men with coronary heart disease and without diabetes (54.0 vs. 50.5 deaths per 1000 person-years), whereas in women with diabetes only, the risk of death was considerably higher than in women with coronary heart disease only (46.7 vs. 29.2 deaths per 1000 person-years) [4].

The abnormal metabolic state associated with diabetes promotes a number of alterations in the arterial tree, and subsequent vascular impairment may represent a pathophysiologic link between diabetes and CV risk. The two key metabolic abnormalities that characterize type 2 diabetes (T2DM) are hyperglycemia and insulin-resistance, and the two main pathological processes in vascular wall that can elicit CV events are atherosclerosis and arterial stiffening. From a pathologic point of view arterial stiffening, reflecting the degenerative changes of extracellular matrix (ECM) in the media layer, is distinct from atherosclerosis, a process involving the intima layer and characterized by lipid accumulation, inflammatory cells infiltration, vascular smooth muscle cells (SMCs) migration and foam cell development. Yet, the two processes often coexist in the same vascular territories, share some common risk factors and pathophysiological mechanisms and may potentiate each other in the

development of vascular changes underlying CVD. Indeed, patients with diabetes mellitus show both premature atherosclerotic changes [5] and accelerated arterial stiffening [6].

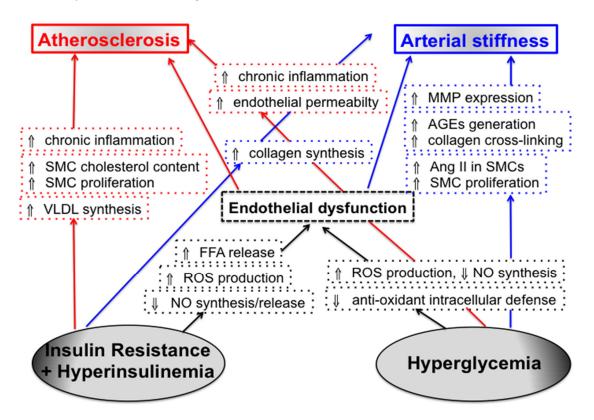
The present review summarizes the clinical evidence demonstrating the link betweendiabetes-related metabolic abnormalities and CV events, debates the putative mechanisms by which hyperglycemia and insulin resistance may induce atherosclerosis and arterial wall stiffening, and discusses the role of vascular biomarkers in CV risk assessment, as well as the associations of different vascular measures with diabetes, hyperglycemia and insulin resistance.

#### 2. Hyperglycemia

Hyperglycemia is the major risk factor for microvascular complications like diabetic nephropathy, retinopathy, and neuropathy [7], but its role in atherosclerosis and macrovascular disease is still under discussion [8,9]. A meta-analysis of 26 prospective studies has demonstrated that every 1% increase in HbA1c level among patients with T2DM is associated with a 17%, 15%, 11% and 29% increase in respective hazard of CV disease, coronary heart disease, stroke and peripheral arterial disease [10]. A recent study in 16,492 T2DM patients with a history of established CV disease or multiple risk factors has shown that HbA1c ≥7% is associated with a 35% increase in risk of macrovascular events [11]. Even though an association between chronic glycemic control and CV risk has been demonstrated, the studies evaluating the impact of strict glycemic control on CV events yielded controversial results. In the United Kingdom Prospective Diabetes Study (UKPDS), the intensive blood-glucose control in newly diagnosed T2DM patients, by either sulphonylureas or insulin, resulted in a long-term (10 years after the cessation of randomized interventions) risk reduction for myocardial infarction (15%), when compared with the conventional treatment group (diet) [12]. Yet, the Action to Control Cardiovascular Risk in Diabetes (ACCORD) study and Action in Diabetes and Vascular Disease: Preterax and Diamicron Modified Release Controlled Evaluation (ADVANCE) study [13] have failed to confirm this beneficial effect, probably due to the fact that T2DM patients of these trials were older and had longer duration of diabetes when the intensive glycemic control was initiated. In the STENO-2 Study, multifactorial intensive interventions targeting not only hyperglycemia, but also hypertension, dyslipidemia and microalbuminuria, reduced the risk of CV events in T2DM patients by 50% [14]. Altogether, the published data suggest that intensive treatment of hyperglycemia may result in CV benefit when initiated early in patients with short duration of diabetes, and when accompanied by treatment of other diabetes-related abnormalities, like hypertension, dyslipidemia and obesity. There is clear evidence that statins and the targeted lowering of blood pressure are each associated with substantial reduction of CV risk in patients with diabetes. A meta-analysis of 12 prospective randomized trials has demonstrated that a lipid-lowering treatment of diabetic patients reduces major coronary events by 21%, both in primary and secondary prevention [15]. In the UKPDS 38 study, a tight control of blood pressure in T2DM was associated with a clinically important reduction in the risk of myocardial infarction (21%), stroke (44%) and peripheral vascular disease (49%) [16], and in the Hypertension Optimal Treatment (HOT) trial, diabetic patients whose diastolic blood pressure was equal or less than 80 mm Hg had a 51% reduction in major CV events compared with diabetic patients whose diastolic blood pressure was equal or less than 90 mm Hg [17].

Although the role of chronic hyperglycemia in macrovascular disease and CV risk is not clearly established, there are no doubts that glucose may provoke structural and functional changes in the vascular wall by various mechanisms (Figure 1). Hyperglycemia has been shown to trigger endothelial dysfunction through decrease in nitric oxide (NO) synthesis, increase in free radicals levels, and deterioration of antioxidant defense mechanisms [18,19]. Chronic glycemic exposure also induces vascular SMCs proliferation and chronic inflammation [8,9], increases generation of advanced glycation end-products (AGEs) and enhances collagen cross-linking within the arterial wall [20], up-regulates matrix metalloproteinase-2 and -9 expression (enzymes degrading elastin) [21], augments the generation of angiotensin 2 in vascular tissue [22] and increases endothelial permeability [23]. Acute blood glucose fluctuations, reflecting the upward (post-prandial) and downward (inter-prandial)

circadian shift of glucose levels, may further affect arterial wall homeostasis by triggering the oxidative stress and systemic inflammation and enhancing monocyte adhesion to the endothelium [24–26]. Described alterations may induce either arterial stiffening or early atherosclerotic changes or both. For example, endothelial dysfunction is considered a key event in the initiation of atherosclerotic process [27], yet it also leads to "functional" stiffening of arteries, as a continuous NO release by endothelium contributes to the functional regulation of arterial elasticity [28], aimed to adapt peripheral conduit artery mechanics to changes in blood flow.



**Figure 1.** Pathophysiologic mechanisms through which insulin resistance and hyperglycemia may affect the arterial wall.

## 3. Insulin Resistance

Insulin resistance, a primary biochemical abnormality in T2DM, is associated with a metabolic and CV cluster of disorders (central obesity, high blood pressure, dyslipidemia, hyperinsulinemia), each of which is an independent risk factor for CVD. Several prospective studies have demonstrated that insulin resistance, as assessed by various techniques, is related to CVD in both non-diabetic and diabetic subjects, independently of established risk factors. In the population of San Antonio Heart Study followed-up for 8 years, the risk of CVD events increased across quintiles of the homeostasis model assessment of insulin resistance (HOMA-IR) [29]; the association between HOMA-IR index and CVD was demonstrated also in a general population of the Bruneck Study, followed-up for 15 years [30]. In the elderly population of Uppsala, insulin resistance, as measured by the gold-standard method of euglycaemic insulin clamp, predicted coronary heart disease over a 10-year period [31].

Insulin receptors are present in endothelial cells, vascular SMCs and macrophages, yet the question whether the vascular insulin receptors contribute directly to the vascular pathology of metabolic insulin resistance is still open [32,33]. Insulin resistance has been shown to be associated with decreased synthesis/release of NO and enhanced generation of reactive oxygen species [34–37], as well as with an excessive free fatty acids release from adipose tissue. Increased circulating levels of free fatty acids may impair endothelial function [35–37] and induce a low-grade inflammation

(through activation of nuclear factor kB) [36,37] (Figure 1). Hyperinsulinemia augments hepatic very-low-density lipoproteins synthesis, increases cholesterol transport/synthesis in cultured arterial SMCs, stimulates the proliferation of arterial SMCs, augments collagen synthesis and turns on multiple genes involved in inflammation [8,9,38–40].

#### 4. Vascular Biomarkers

Diabetes-related vascular impairment can be already detected in a preclinical phase through vascular biomarkers. A "biomarker" was defined by the National Institutes of Health as a "characteristic that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes, or pharmacologic responses to a therapeutic intervention" [41]. Therefore, biomarkers can be used to monitor the burden of subclinical disease in order to apply preventive measures, and they also enable to assess the response of subclinical disease to preventive/therapeutic interventions. Vascular biomarkers may be particularly informative, as they are capable to detect subclinical structural or functional impairment in different vascular beds [42]. Endothelial function, carotid intima-media thickness (CIMT) and arterial stiffness are the biomarkers more frequently used in the assessment of CV risk.

#### 5. Endothelial Function

The endothelium regulates vascular homeostasis through number of vasoactive molecules, and a loss of normal endothelial function is believed a key event in the initiation of the atherosclerotic process [43]. Endothelial function can be measured by different techniques, yet the most widely applied technique is brachial artery flow-mediated dilation that allows appraising the endothelial function in a noninvasive way without the use of pharmacologic stimuli [44]. Due to its technical complexity and methodological shortcomings [42], this method is predominantly a research tool used to study the role of different risk factors in atherosclerotic process and to monitor the effect of therapeutic interventions.

Number of clinical studies have demonstrated an impaired endothelium-dependent vasodilation in conduit or resistance vessels of T2DM patients [45–47]; this impairment was related to plasma glucose, glucose levels fluctuation, HbA1c and insulin resistance [48–50], low-density lipoprotein size [46], serum concentration of AGEs [51], endothelial oxidative stress [52] and chronic inflammation [53]. Endothelial dysfunction seems to precede the development of diabetes, as impaired endothelium-dependent vasodilation was observed in healthy non-diabetic subjects who have a first degree relative with T2DM [47], as well as in subjects with impaired glucose tolerance [47,48].

## 6. CIMT and Plaque Presence

CIMT is a combined measure of tunica intima and tunica media and is measured by high-resolution ultrasound in different segments of extracranial carotid tree as the distance between the intima-luminal and the medial-adventitial interfaces. CIMT and carotid plaques are considered surrogate measures of atherosclerosis, and have been shown to be associated with CV risk factors and CV outcomes [54,55]. Increased CIMT reflects very early atherosclerotic changes, whereas plaque presence indicates more advanced atherosclerotic process. Both CIMT and plaques can be measured during a single ultrasound examination and provide complementary prognostic information. Indeed, the American Society of Echocardiography consensus statement specified that carotid-artery ultrasonography for CV disease risk prediction should be based on a thorough scan of the extracranial carotid tree to detect the presence of plaques, followed by the measurement of CIMT in the common carotid artery (CCA) [56]. New advances in ultrasound, like accurate semi-automatic radiofrequency-based CIMT measurement [57] and 3-D-based plaque volume estimation [58], might further improve the accuracy, reproducibility and interpretation of carotid measures, and thus refine their predictive value [42].

T2DM patients have higher CIMT (on average by 130  $\mu$ m), higher prevalence of carotid plaques and higher plaque volume as compared to controls [59–61], and CIMT and carotid plaque prevalence

have been shown to be associated with fasting plasma glucose levels, glucose fluctuation or HbA1c, both in non-diabetic and in diabetic populations [62–66]. Within T2DM patients, a 1 SD difference in fasting glucose (3.2 mmol/L) was associated with a 26-µm thicker CIMT [64], and each 1% increase in HbA1c or each year of T2DM duration were associated with a 35% or 33% increased odds of a thicker CIMT in CCA or in bulb, respectively [65]. However, increase in plasma glucose levels is only a part of more complex metabolic impairment, and the association between CIMT and glucose exposure should be adjusted for other components of metabolic syndrome, like obesity, hypertension and dyslipidemia. Indeed, recent data from a large population-based study have shown that neither fasting glucose, nor 2-h glucose, nor HbA1c were associated with CIMT when adjusted to sex, age, hypertension and waist circumference [67]. This observation supports the premise that plasma glucose is a risk factor for atherosclerosis, but probably of minor importance than traditional risk factors or other components of metabolic syndrome [8,9].

Studies evaluating the impact of insulin resistance and fasting plasma insulin levels on carotid wall thickness are not conclusive, probably due to differences in the methods used for insulin resistance estimation, differences in population studied and different adjustment for possible confounders. In the Atherosclerosis Risk in Communities (ARIC) study, fasting insulin levels were associated to mean CIMT, however, the model was not adjusted for abdominal obesity and triglycerides [68]. In the Insulin Resistance Atherosclerosis Study (IRAS), insulin sensitivity was negatively associated with CIMT, and this effect was partly explained by traditional CV risk factors, glucose tolerance and adiposity [69]. In the Malmo study, the association between HOMA-IR index and CIMT in non-diabetic subjects was fully explained by established cardiovascular risk factors, above all by hypertension [70], and in the Salzburg Atherosclerosis Prevention program in subjects at High Individual Risk (SAPHIR) study [71], the relationship between HOMA-IR index and carotid atherosclerosis was mostly dependent on the clustered expression of the components of the metabolic syndrome. Finally, in a healthy European population of the Relationship between Insulin Sensitivity and Cardiovascular Risk (RISC) study, the association between lower insulin sensitivity as measured by euglycemic hyperinsulinemic clamp and CIMT was observed only in men, and was mediated by circulating free fatty acids and adipocytokines [72]. In the women of the RISC study, CIMT was independently associated with fasting plasma glucose levels. These results imply that insulin resistance per se has no strong influence on carotid atherosclerosis, and that its effect on carotid wall is mediated by other metabolic, cellular and hemodynamic abnormalities related to the insulin resistance syndrome and diabetes, like dyslipidemia, free fatty acids, adipocytokines, chronic inflammation and hypertension [72–75].

It should be considered that increased CIMT in diabetic patients might also reflect adaptive arterial remodeling in response to altered mechanical stimuli [76,77]. T2DM patients have increased large artery stiffness, diameter and pulsatile load [77–79], and these changes may lead to increase in circumferential wall stress and pulsatile strain. Previous works have demonstrated a mutual adjustment between carotid wall thickness and luminal diameter aimed to maintain wall stress within homeostatic targets [80,81], as well as an independent relationship between CIMT and local pulse pressure [82], confirming the contribution of chronic cyclic stretching to arterial remodeling [83].

#### 7. Arterial Stiffness

The aorta and large arteries transform the pulsatile flow generated by ventricular contraction into a continuous flow at the periphery. This cushioning function depends on the mechanical properties of the arterial wall that are mainly determined by the composition and organization of ECM. Arterial stiffening is characterized by degenerative changes of ECM (elastin fatigue fracture, collagen deposition and cross-linking), but also by alterations of vascular endothelial cells and SMCs. Diabetes may induce arterial stiffening through number of mechanisms related both to hyperglycemia and insulin resistance (Figure 1).

Multiple approaches to arterial stiffness assessment are now available for clinical use. Regional arterial stiffness estimates the propagation speed of the arterial pulse wave (pulse wave velocity,

PWV) and is measured directly, as a ratio of distance between two measurement points divided by the time required for the pressure wave to travel this path. Carotid-femoral PWV (Cf-PWV) reflects above all the aortic stiffness and represents a gold standard for arterial stiffness measurement [84]. Brachial-ankle PWV (Ba-PWV) measures a pulse wave propagation speed over a longer arterial length including also muscular segments; this method is being primarily used in Asian countries. Local arterial stiffness, as assessed by radiofrequency-based ultrasound, describes the changes in arterial diameter/volume during the cardiac cycle for the corresponding change in distending pressure (i.e., pulse pressure) [85]. In large European populations, normal and reference values according to age and blood pressure were established for Cf-PWV [86], and according to age and sex for local carotid and femoral distensibility [87,88]. These reference values are essential for the correct interpretation of stiffness measure in the individual subjects, as arterial distensibility is strongly age- and pressure-dependent, and the age-related increase in stiffness may differ between men and women [85]. Diabetes is supposed to accelerate the natural aging process of the arterial tree, i.e., to induce more pronounced and earlier stiffening than expected for a given age [89,90]. Furthermore, a greater age-related stiffening of the aorta was described in diabetic women as compared to diabetic men [91], and this finding is in agreement with the observation that diabetes negates the protective effect of female sex and confers a greater relative risk in women than in men [2,92].

A predictive value of arterial stiffness for CVD has been clearly demonstrated. In a large meta-analysis, CVD events increased by 30% per 1 SD increase in log Cf-PWV [93], and in a recent prospective study, local stiffness of carotid and femoral artery was independently associated with CV events and all-cause mortality [94]. A large body of evidence has also demonstrated the association between diabetes, arterial stiffness and CV risk [6]. In the Hoorn study, in the Asklepios study and in a number of smaller studies, T2DM patients had significantly higher Cf-PWV or local carotid PWV as compared to healthy controls [77–79,95,96]. An increase in the indices of local carotid stiffness paralleled the increase in Cf-PWV in the Hoorn study [79] but not in the Asklepios study [78], suggesting that the impact of diabetes may differ in different parts of arterial tree [97]. Some data indicate that increased large artery stiffness appears already in prediabetic conditions. In a treatment-naïve and mostly "healthy" population from the ADDITION-Leicester cohort, Cf-PWV was increased in individuals with impaired fasting glucose or impaired glucose tolerance as compared to those with normal glucose metabolism, and the increase was identical to that of individuals with newly diagnosed T2DM [98]. These data are in line with the observation that increased Cf-PWV is an independent predictor of CV and overall mortality both in patients with T2DM and in subjects with impaired glucose tolerance, and that in both groups the mortality risk doubled when compared to controls [99].

Numbers of studies have also described the association between glycemic control, insulin resistance and arterial stiffness. In the ARIC study, indices of carotid stiffness increased with fasting plasma glucose, insulin and HbA1c [100,101], and in the Cardiometabolic Risk in Chinese Study, Cf-PWV increased with HbA1c [102]. In a middle-aged population of the Malmo Diet and Cancer study, fasting glucose, HOMA-IR index and HbA1c, together with waist circumference, triglycerides and HDL cholesterol were all predictors of Cf-PWV after a follow-up of 17 years [103]. In T2DM patients, stiffness of carotid artery was independently related to insulin sensitivity, measured by euglycemic-hyperinsulinemic clamp, and to duration of diabetes [104], as well as to HbA1c [77]. Ba-PWV was independently and positively associated with fasting plasma glucose, with one-hour post-challenge glucose or with HOMA-IR index in general population, in the pre-diabetic subjects, and in non-diabetic hypertensive subjects [105–109]. Finally, a recent study in middle-aged subjects free of CVD demonstrated the relationships of Cf-PWV with HOMA-IR index (direct) and telomere length (inverse), and suggested that insulin resistance linked with chronic inflammation can enhance telomere shortening (a marker of cellular senescence), and thus induce accelerated vascular aging [110].

The association between arterial stiffness and CV events or all-cause mortality can be explained, at least partly, by the adverse hemodynamic effect of arterial stiffening. In physiologic conditions,

there is a stiffness gradient from proximal, distensible elastic arteries to distal, muscular arteries, which contributes to the wave reflection phenomenon. In a stiff arterial tree, the speed of propagation of the arterial pulse through the aorta is increased, and the increased speed of the forward traveling wave implies an earlier reflection of backward traveling wave from the periphery. Thus, the backward waves arrives to ascending aorta in systole instead of in diastole, and this shift in timing leads to an augmentation of aortic systolic blood pressure and pulse pressure and to a decrease in diastolic coronary perfusion pressure [111]. As a result, left ventricular afterload increases, together with myocardial workload, myocardial mass and oxygen demand, and diastolic coronary perfusion pressure decreases together with myocardial oxygen delivery. Moreover, the stiffness gradient between proximal elastic arteries and more distal muscular arteries decreases, and therefore, increases the transmission of pressure to the microcirculation that may be already damaged by diabetic microvascular disease.

#### 8. Conclusions

A great amount of data demonstrates that hyperglycemia and insulin resistance activate number of mechanisms triggering the structural and functional changes in the arterial wall, which are likely to contribute to accelerated vascular aging and increased CV risk in T2DM. Clinical data evaluating the association between impaired glucose metabolism and vascular biomarkers of atherosclerosis suggest that hyperglycemia and/or insulin resistance per se had only a minor impact on atherosclerotic process when compared to traditional risk factors. On the other hand, accelerated arterial stiffening seems a hallmark of impaired glucose metabolism, and aortic stiffness results an independent predictor for CV and overall mortality not only in patients with T2DM but already in subjects with impaired glucose tolerance. Moreover, T2DM is associated with other metabolic and systemic abnormalities, like atherogenic dyslipidemia, hypertension and obesity that may cause atherosclerosis, arterial stiffening or both. Vascular biomarker are valuable in the diabetes research; they may help in identifying the mechanisms through which T2DM affects the vascular wall and induces CV complications, and they can be used to test the effect of therapeutic/life-style interventions on preclinical atherosclerosis and arterial stiffening. The clinical value of vascular biomarkers in CV risk estimation of diabetic patients is still under discussion. It should be considered that not every diabetic patient has the same metabolic phenotype, i.e., carries the same spectrum of metabolic abnormalities. Therefore, the assessment of vascular age by means of vascular biomarkers might provide an integrated insight on diabetes-related vascular impairment of each patient and facilitate a personalized approach to the prevention and treatment. Finally, recent evidence suggests that childhood and adolescence are particularly vulnerable periods of life to the effects of cardiometabolic risk and later development of atherosclerosis and diabetes [112]. Screening of children and adolescents at high cardiometabolic risk (obese, off-springs of diabetic parents) and assessing the impact of lifestyle interventions on vascular biomarkers might help to mitigate CV complications in adulthood [113,114].

**Acknowledgments:** This work and publication were partly supported by a grant of Italian Ministry of University and Research (MUIR), project number 201053AK3S.

**Author Contributions:** Michaela Kozakova conceived and wrote the review. Carlo Palombo conceived and revised the review.

**Conflicts of Interest:** The authors declare no conflict of interest.

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