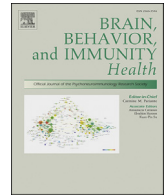


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# Maternal immune activation as a risk factor for psychiatric illness in the context of the SARS-CoV-2 pandemic

Brittney Lins<sup>\*</sup>

Curtin Health Innovation Research Institute, Curtin University, Bentley, WA, Australia  
The Perron Institute for Neurological and Translational Science, Sarich Neuroscience Research Institute Building, Nedlands, WA, Australia

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## ABSTRACT

Inflammation, due to infectious pathogens or other non-infectious stimuli, during pregnancy is associated with elevated risk for neurodevelopmental disorders such as schizophrenia and autism in the offspring. Although historically identified through retrospective epidemiologic studies, the relationship between maternal immune activation and offspring neurodevelopmental disease risk is now well established because of clinical studies which utilized prospective birth cohorts, serologically confirmed infection records, and subsequent long-term offspring follow-up. These efforts have been corroborated by preclinical research which demonstrates anatomical, biochemical, and behavioural alterations that resemble the clinical features of psychiatric illnesses. Intervention studies further demonstrate causal roles of inflammatory mediators, such as cytokines, in these long-lasting changes in behaviour and brain. This review summarizes a selection of maternal immune activation literature that explores the relationship between these inflammatory mediators and the neuropsychiatric-like effects later observed in the offspring. This literature is presented alongside emerging information regarding SARS-CoV-2 infection in pregnancy, with discussion of how these data may inform future research regarding the effects of the present coronavirus pandemic on emerging birth cohorts.

## 1. Introduction

Psychiatric illnesses such as schizophrenia and autism are complex neurological conditions. Both are common developmental disorders that affect approximately 1% of the population and cause significant societal burden (Knapp et al., 2004; Lai et al., 2014). The symptoms that accompany them are diverse and often debilitating, including hallucinations, delusions, emotional flattening, avolition, social impairments, and cognitive dysfunction (Tandon et al., 2013). Both schizophrenia and autism are associated with prenatal risk factors, including maternal immune activation. The ongoing Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) pandemic has resulted in millions of infections worldwide with a novel viral pathogen. Infected individuals include pregnant women, and the impact this will have on psychiatric disease risk for the offspring is not yet known. Consideration of the known literature on maternal immune activation (MIA) and psychiatric illness risk in the context of the novel virus may help inform future public health initiatives. There is need for continued research of the factors that influence psychiatric illnesses risk to improve disease diagnosis, treatment and prognosis, and exposure to SARS-CoV-2 in utero, or its

associated maternal immune response, may contribute to increased risk for the development of psychiatric illnesses for future generations.

The aetiology of schizophrenia and autism are believed to involve a complex interplay of genetic and environmental factors (Michel et al., 2012). Schizophrenia is polygenic, and genome-wide association studies (GWAS) have characterized associations among many genes (Ripke et al., 2014). Notably, schizophrenia gene associations were enriched in immune tissues, including major histocompatibility complex (MHC) and factors in acquired immunity such as B-lymphocyte lineages (Dhindsa and Goldstein, 2016; Ripke et al., 2014; Sekar et al., 2016). The evidence for genetic involvement in autism points to risk associated with rare, large effect variants; however, evidence for autism-specific risk factors has not been substantiated (Myers et al., 2020). While the role for genetics in autism continue to emerge, genetic links to the immune system are increasingly well established for schizophrenia.

Prior to the identification of a genetic link to the immune system, the role of inflammation as an environmental risk factor for schizophrenia was realized through epidemiological studies. Specifically, inflammation due to infection in pregnant women was linked to increased risk of psychiatric illness in their offspring as they reached adulthood (Brown,

<sup>\*</sup> Curtin Health Innovation Research Institute, Curtin University, Bentley, WA, Australia.  
E-mail address: [brittney.lins@curtin.edu.au](mailto:brittney.lins@curtin.edu.au).

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2006, 2012; Brown et al., 2004; Brown and Meyer, 2018). Early epidemiological studies produced conflicting results, but later studies focussed on serologically confirmed influenza cases using serum antibodies or other markers of inflammation and have provided additional evidence for maternal inflammation during development as a risk factor for schizophrenia (Brown et al., 2004; Ellman and Susser, 2009; Scola and Duong, 2017). Similar associations have been described for autism risk (Atladóttir et al., 2010; Jiang et al., 2016; Lee et al., 2015; Ornoy et al., 2015; Patterson, 2011; Ravaccia and Ghafourian, 2020; Zerbo et al., 2015). Whether a similar increase in neurodevelopmental diseases will occur in the years following SARS-CoV-2 emergence remains to be seen. This short review seeks to summarize pre-existing knowledge regarding maternal inflammation due to infectious disease and the elevated risk for neuropsychiatric outcomes in the offspring, with discussion of the potential impact for the future of the field in the years following SARS-CoV-2 emergence.

## 2. Clinical evidence for adverse outcomes in MIA-exposed offspring

Large prospective birth cohorts with maternal health data and serum samples, as well as long-term offspring follow-up, have been a valuable resource in revealing links between disease outbreaks and psychiatric illness. Serologically confirmed exposure to influenza B, but not influenza A, in pregnancy was associated with significantly lower cognitive scores in offspring at age 7 who would later develop schizophrenia (Ellman et al., 2009). This association was not found in control offspring also exposed to Influenza B, suggesting the influence of other factors in offspring cognitive performance and eventual diagnoses (Ellman et al., 2009).

The impact of maternal inflammation on offspring brain development has been further demonstrated by associating offspring cognitive performance and behaviour phenotypes to inflammatory markers in maternal sera. Foetal exposure to elevated levels of interleukin-8 (IL-8) was associated with significant anatomical deviations among offspring diagnosed with schizophrenia as adults, including abnormalities in brain regions implicated in psychiatric illnesses; however, control participants did not show any relationship between neuroanatomy and IL-8 levels in maternal sera (Ellman et al., 2010). Levels of maternal IL-6 and C-reactive protein correlated with altered functional connectivity in the salience network in neonates, as well as behaviour and cognitive performance in the offspring as neonates and toddlers (Spann et al., 2018). Maternal inflammation also affects offspring externalizing and internalizing symptoms associated with depressive, impulsive, and withdrawn phenotypes, but the timing of exposure and the sex of the offspring influence these outcomes (Mac Giollabhui et al., 2019). These data reveal that maternal inflammation influences offspring neurodevelopmental trajectory, affecting cognition, emotion, behaviour, and risk for psychiatric and mood disorders. It should be considered that maternal inflammation induced by SARS-CoV-2 infection may also influence offspring neurodevelopment. While prospective clinical studies have uncovered vital associations between inflammation and offspring outcomes in human cohorts, they have limited ability to probe underlying mechanisms (Brown and Meyer, 2018). Preclinical models have enabled fundamental research to investigate these physiological and molecular mechanisms of inflammation and developmental alterations.

## 3. Preclinical evidence for offspring neurodevelopmental abnormalities in MIA models

Basic science has supported the findings from prospective clinical studies and further demonstrated a role of inflammation in the emergence of neurodevelopmental psychiatric disease using animal models. Maternal immune activation (MIA) models are the most frequently seen neurodevelopmental models and involve induction of an immune challenge to rodents during pregnancy. Various inflammatory agents have

been examined in pregnant rats or mice, with experimental endpoints ranging from acute maternal or embryonic samples to longer-term assessment of adult offspring. The agents most commonly administered are not infectious pathogens, but immune stimulants such as lipopolysaccharide (LPS) or polyinosinic:polycytidylic acid (polyI:C, a synthetic double stranded RNA molecule) which mimic bacterial and viral stimulation of the innate immune system, respectively (Boksa, 2010; Brown and Meyer, 2018; Chlodzinska et al., 2011; Soumiya et al., 2011; Winship et al., 2019). These models helped establish that physiological challenges in utero result in psychiatric illness-like abnormalities in experimental settings.

Behaviour tasks in rodent models can reveal the specific nature of the abnormalities present in MIA offspring. Behaviour tests are a means of demonstrating face validity and relating functional deficits to underlying anatomic or biochemical abnormalities which cannot be achieved in patient populations. In rodents, the positive symptoms are frequently assessed using locomotor activity in a novel environment, or following a pharmacological challenge (amphetamine, apomorphine, or MK-801). Negative symptoms can be assessed through altered social interaction tasks, or sucrose-preference tests (Jones et al., 2011; Moore et al., 2006; Winship et al., 2019). Many rodent tests have been validated to assess cognition, and those with relevance to schizophrenia have been extensively reviewed (Young et al., 2009). Briefly, cognitive flexibility (set-shifting and reversal learning) and object-in-place memory are typically disturbed in schizophrenia models (Ballendine et al., 2015; Howland et al., 2012; Zhang et al., 2012). In a pair of recent publications, my colleagues and I conducted a battery of behaviour tasks to assess the three main symptom domains of schizophrenia in polyI:C-exposed rat offspring (Lins et al., 2018, 2019). We found significantly increased locomotor activity following MK-801 administration compared to controls in males (Lins et al., 2018), but not females (Lins et al., 2019). While altered locomotor activity is a well-established consequence of MIA in rodents, other studies report hypo-locomotion following systemic MK-801, proposed to indicate NMDA receptor hypofunction (Van den Eynde, 2014). Further, MIA offspring of both sexes displayed reduced social interaction in a three-chambered sociability task (Lins et al., 2018, 2019). Cognitive deficits in polyI:C-exposed offspring were found in multiple tasks, including reduced oddity preference, impaired visual, but not tactile, recognition memory; impaired late-phase reversal learning; and facilitated set-shifting performance (Lins et al., 2018, 2019). While we did not observe altered sensorimotor gating in MIA offspring, other studies report polyI:C-exposure in utero impairs prepulse inhibition (Ballendine et al., 2015; Basta-Kaim et al., 2015; Howland et al., 2012; Wolff and Bilkey, 2008, 2010).

Behaviour studies also reveal autism-like behaviours in offspring following MIA (al-Haddad et al., 2019; Estes and McAllister, 2015; Hsiao and Patterson, 2011; Wang et al., 2017). While schizophrenia-focussed studies test offspring in adulthood, autism-focussed research assess behaviours in young animals and report reduced sociability and play, altered ultrasonic vocalizations, and repetitive behaviours such as increased marble-burying (al-Haddad et al., 2019; Choi et al., 2016; Shin Yim et al., 2017).

Overall, behaviour abnormalities in MIA offspring have been widely reported (Ballendine et al., 2015; Missault et al., 2014; Piontkewitz et al., 2011; Van den Eynde et al., 2014; Zuckerman and Weiner, 2005) with inconsistencies occasionally seen (Mueller et al., 2021). These differences may be driven by several factors, including variations in the response to immune stimulant polyI:C, or additional stressors present during gestation (Bronson and Bale, 2014; Giovanoli et al., 2013, 2016; Monte et al., 2017; Moriyama et al., 2013; Mueller et al., 2019). A recent paper described factors that underlie differential outcomes in MIA with the goal of improving reproducibility, while illustrating that factors that contribute to neurodevelopment are diverse (Kentner et al., 2019).

Like the prospective birth cohorts that followed human pregnancies with long-term follow-up of the offspring, preclinical research has sought to link inflammatory molecules in maternal sera to offspring outcomes,

and to modulate developmental outcomes through interventions. IL-6 has been repeatedly implicated in MIA studies (Smith et al., 2007; Wu et al., 2017). In a mouse model, maternal treatment with IL-6 alone was sufficient to produce impaired PPI and latent inhibition in the offspring. Further, neurodevelopmental abnormalities were absent in IL-6 knockout mice, or mice administered anti-IL-6 antibody to block IL-6 receptors (Smith et al., 2007). IL-6 signalling in the placenta is also required for the development of abnormal phenotypes, as shown in a study that assessed autism-like behaviours of reduced sociability and increased marble burying. Inhibition of IL-6 signalling through knockout of placental IL-6 receptors prevented behaviour deficits, prevented increased IL-6 gene expression in the foetal brain, and prevented cerebellar neurodevelopmental abnormalities (Wu et al., 2017). In similar fashion, polyI:C-exposed mouse offspring displayed autism-like behaviours of altered ultrasonic vocalization, increased marble burying, and reduced sociability alongside elevated foetal brain IL-17Ra mRNA, and abnormal gene expression patterns, resulting in irregular cortical lamination. These aberrations were prevented by modulation of IL-17a signalling through anti-IL-17a antibody administration, or by knockout of retinoic acid receptor-related orphan nuclear receptor gamma t-dependent effector T lymphocytes (Choi et al., 2016). Other inflammatory molecules such as TNF $\alpha$  were associated with cerebellar abnormalities such as a loss in Purkinje cells, and altered synapse formation in MIA-exposed offspring (Pendyala et al., 2017). Another approach to ameliorate the effects of MIA used a chemokine receptor antagonist G31P to block CXCL1, the rat chemokine receptor analogous to the human IL-8 receptor. With this intervention, crossmodal memory deficits in polyI:C-exposed offspring were restored to control levels, although deficits in PPI, associative memory, and cognitive flexibility were not affected (Ballendine et al., 2015). These data reveal that stimulation of the maternal immune response in pregnancy has potential for profound effects on offspring development, cognition, and behaviour; however, modulation of the immune response through some targets can ameliorate deficits and rescue the trajectory of neurodevelopment.

#### 4. Considerations for the future: from historical epidemiology to a present-day pandemic

The SARS-CoV-2 pandemic has impacted healthcare and economies on a global scale (Chakraborty and Maity, 2020). Vaccine distribution, initiated in December 2020, ushers in a potential turning point with a promise of 'return to normalcy' (Oliver et al., 2020), but historical understanding of viral epidemics suggest that the full impact of the SARS-CoV-2 pandemic may not be fully appreciated for several decades. As outlined above, a long-term consequence of infectious disease outbreaks is increased psychiatric diagnoses as the offspring of infection-exposed pregnancies reach adulthood (Scola and Duong, 2017; Watson et al., 2021; Zimmer et al., 2021).

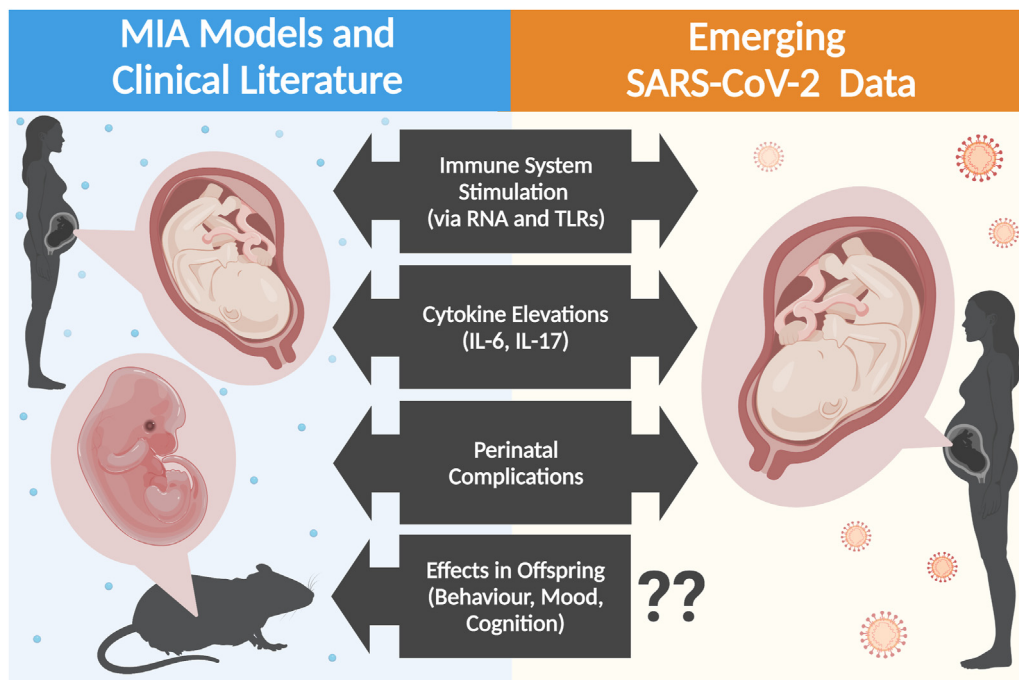
SARS-CoV-2 is an RNA virus and the immune response it initiates bears some similarities to that of polyI:C, the synthetic RNA virus mimetic commonly used in preclinical MIA research. Detailed characterization of the current knowledge of the SARS-CoV-2 immune response is beyond the scope of this short review, but notably includes serum elevations of cytokines implicated in psychiatric illness-like outcomes by preclinical MIA research, such as IL-6 and IL-17 (Azkur et al., 2020). Much like viral mimetic polyI:C, SARS-CoV-2 is recognized by toll-like receptors (TLR) and initiates NF- $\kappa$ B/MAPK signalling pathways, resulting in cytokine release (Alexopoulou et al., 2001; Azkur et al., 2020; Reisinger et al., 2015). Notably, polyI:C is largely recognized by TLR3, while SARS-CoV-2 recognition involves TLR7, which result in distinct offspring behaviour abnormalities (Meyer, 2019; Missig et al., 2020; Moreno-Eutimio et al., 2020). MIA with TLR3 activation often results in heightened anxiety-like behaviour in offspring, but MIA with TLR7 activation produced the opposite effect (Missig et al., 2020). While the behaviour domains altered in offspring following TLR3- and TLR4-mediated MIA are similar, including ultrasonic vocalizations,

sociability, and repetitive behaviours, they are qualitatively different (Missig et al., 2020). While preclinical research should not be presumed to translate directly to clinical events, consideration of the similarities and differences between immune stimuli and the maternal immune response in well-characterized models and SARS-CoV-2 may inform expectations about long-term effects in the years following the pandemic (Fig. 1).

It is increasingly recognized that the degree of risk conferred by MIA is not uniform (Meyer, 2019; Mueller et al., 2021). A recent review from Meyer (2019) proposed factors that may contribute to differential offspring susceptibility, highlighting heterogeneity of inflammatory stimuli as an important element. Regarding MIA due to infectious pathogens, the most severe risk for adverse neurodevelopmental outcomes appears to follow infections where vertical transmission occurs (rubella virus; herpes simplex virus; cytomegalovirus; Zika virus; Toxoplasma gondii parasite) (Meyer, 2019). In addition to a greater latent psychiatric illness risk (schizophrenia, autism, bipolar disorder, major depressive disorder) than non-teratogenic agents, these pathogens can result in congenital consequences not limited to microcephaly, cerebral palsy, and blindness/deafness (Coyné and Lazear, 2016; Meyer, 2019). The potential for vertical transmission in SARS-CoV-2 infected pregnancies is a concern, as well as teratogenicity and other perinatal complications, due to their associations with offspring risk of neurodevelopmental disorders and adverse events (al-Haddad et al., 2019; Alzamora et al., 2020; Brown, 2006, 2012; H. Chen et al., 2020; Y. Chen et al., 2020; Deverman and Patterson, 2009; Hayakawa et al., 2020; Meyer, 2019; Ornoy et al., 2015; Zimmer et al., 2021). Early data suggested that vertical transmission of SARS-CoV-2 does not occur or is incredibly rare, does not appear to be teratogenic, nor to increase risk of stillbirth, preterm labour, or miscarriage (Alzamora et al., 2020; H. Chen et al., 2020; Y. Chen et al., 2020; Dashraath et al., 2020; Hayakawa et al., 2020; Marim et al., 2020; Zimmer et al., 2021). More recent data challenge this with reports of associations between SARS-CoV-2 in pregnancy and perinatal complications such as preterm birth, low birth weight, intrauterine growth restriction, and placental abnormalities including inflammation and malperfusion (Cavalcante et al., 2021). Continued study of these emerging data regarding SARS-CoV-2 in pregnancy is of critical importance now and into the future.

Increased risk of neurodevelopmental disease is also associated with pathogens that typically do not cross the placenta (influenza, upper respiratory infections) (al-Haddad et al., 2019). This suggests that the maternal immune response influences neurodevelopmental trajectory, altering offspring susceptibility to psychiatric illness (al-Haddad et al., 2019). As suggested by the name, SARS-CoV-2 is largely defined by respiratory symptoms, lending face similarities to infections known to be associated with psychiatric illness risk (Bordallo et al., 2020; Shuid et al., 2021). MIA via infection likely intersects with maternal stress and in the context of MIA due to SARS-CoV-2, these interacting factors are especially pertinent. Elevated stress has been documented in pregnant women during the pandemic, including clinically relevant anxiety and depression (Barišić, 2020; Kotlar et al., 2021; Meyer, 2019; Preis et al., 2020).

In addition to stress, other maternal and perinatal factors are believed to contribute to offspring risk. This was recently reviewed (Meyer, 2019), and such factors should be considered regarding SARS-CoV-2 as they may assist in identifying at-risk populations in the coming years. The presence of gestational diabetes, micronutrient deficiencies, and microbiome alterations may all interact with pathogen-induced maternal inflammation to confer increased risk, as well as postnatal events such as trauma, stress, or  $\Delta$ -9-tetrahydrocannabinol exposure (Brown, 2011; Debost et al., 2017; Giovanoli et al., 2013, 2016; Hsiao et al., 2013; Li et al., 2018; Mattei and Pietrobello, 2019; Money et al., 2018; Morais et al., 2018; Moretti et al., 2015; Zamberletti et al., 2015). Alongside interactions that may exacerbate offspring susceptibility, resilience to the neurodevelopmental consequences of MIA may also be conferred by several factors, including robust maternal nutrition and microbiome (Hsiao et al., 2013; Luan et al., 2018). In addition, a recent mouse study found transcriptional



**Fig. 1.** Summary of preclinical and clinical events associated with maternal immune activation and neurodevelopmental effects in the offspring. Factors which may be present in, or induced by, SARS-CoV-2 infection, similar to those reported in prior MIA literature. The effects of SARS-CoV-2 infection during pregnancy and birth is a rapidly evolving area and data continues to emerge. Created with [BioRender.com](https://www.biorender.com).

differences in cortical and subcortical brain tissue, and distinct plasma cytokine profiles among offspring that were susceptible or resilient (see [Mueller et al., 2021](#) for further reading).

While the impact of the present pandemic is undoubtedly unmatched, recent history has seen the emergence of similar viruses ([Watson et al., 2021](#); [Zimmer et al., 2021](#)). Within the past 20 years, two coronaviruses have emerged and spread to pandemic proportions, namely severe acute respiratory syndrome coronavirus (SARS, now also known as SARS-CoV-1) in 2003 and Middle East respiratory syndrome coronavirus (MERS, or MERS-CoV) in 2012 ([Singh, 2016](#); [Zimmer et al., 2021](#)). Although their reach and impact were not seen at the scale of SARS-CoV-2, these events may provide valuable insight into the anticipated effects of the current pandemic. The MERS-CoV outbreak was too recent for data on schizophrenia emergence, and offspring born during the SARS-CoV-1 pandemic are only now reaching the age associated with diagnosis ([Watson et al., 2021](#); [Zimmer et al., 2021](#)). Reports of neurodevelopmental outcomes in these birth cohorts are still forthcoming. Given the age of onset for autism is earlier than schizophrenia, it is unclear why reports are not yet available, but the characterization of SARS-CoV-1 and MERS-CoV birth cohorts regarding autism incidence and presentation should be prioritized due to potential to inform the response to SARS-CoV-2.

Several experts have called for priority investigation of MIA in light of SARS-CoV-2 emergence ([Holmes et al., 2020](#); [López-Díaz et al., 2021](#); [McAlonan et al., 2020](#)). Rapid translation of existing knowledge into clinical practice and the development of pathogen-specific knowledge will benefit public health for future generations ([McAlonan et al., 2020](#)). The scale of the pandemic presents the opportunity to improve upon past studies, and the collection of prospective data now (maternal sera, perinatal complication data, offspring follow-up, and neuroimaging) will form a vital resource for future research ([Ricardo Martins-Filho et al., 2020](#); [Zimmer et al., 2021](#)).

Special consideration must be given to sex effects when considering the risk for offspring of exposed pregnancies, and this should continue be prioritized in MIA research moving forward. While long-term data following the offspring of SARS-CoV-2 pregnancies have yet to be realized, prior work in the field of MIA have demonstrated that sex as a

biological variable influences outcomes, and sex-based bias is present in the field with a preferential inclusion of male animals in preclinical studies ([Coiro and Pollak, 2019](#); [Goldstein et al., 2021](#); [Mac Giollabhui et al., 2019](#); [Will et al., 2017](#)). The relative lack of evidence of how MIA manifests in female offspring may present a challenge in the years post-pandemic. This illustrates how continued emphasis reducing sex bias in biological studies is paramount to improving translational capacity ([Clayton, 2018](#); [Wainer et al., 2020](#)).

## 5. Conclusion

Associations between inflammation in pregnancy and offspring psychiatric illness risk has been demonstrated through GWAS, epidemiological, clinical, and preclinical research. Maternal inflammation impacts offspring neurodevelopment, and the elevated immune response associated with infectious disease is associated with increased risk of neurodevelopmental disorders. The long-term neuropsychiatric sequelae of SARS-CoV-2 infection during pregnancy on offspring is unknown, yet the data reviewed here suggest that elevated risk for offspring neurodevelopmental disorders is a distinct possibility. As a result, the SARS-CoV-2 pandemic will shape the field of psychoneuroimmunology for years to come.

## Declaration of competing interest

None.

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**Brittney Lins.** Dr. Brittney Lins is a Canadian early career researcher trained in neuroscience and interested in cognition, behaviour, inflammation, and pharmacology. She is currently undertaking postdoctoral research at Curtin University (2019-present) within the Curtin Health Innovation Research Institute in Perth, Australia.

Dr. Lins completed her Ph.D. at the University of Saskatchewan, Canada (conferred 2020), as well as prior M.Sc. (2014) and B.Sc.Hons. (2013) degrees. Her graduate research was conducted under the supervision of Prof. John Howland, where she investigated the relationships between maternal inflammatory mediators during pregnancy and the development of cognitive and behavioural abnormalities in the offspring. Behaviours of interest included both spontaneous and rewarded cognitive tests, as well as sociability, locomotion, and prepulse inhibition. Previously, she applied acute glutamatergic and dopaminergic interventions to both disrupt and rescue cognitive performance in operant conditioning tasks.

In her current postdoctoral role, Dr. Lins is mentored by Professors Lindy Fitzgerald and John Mamo at Curtin University, where she is applying her knowledge of inflammation, cognition, and behaviour to investigate damage mechanisms in models of traumatic brain injury and neurodegeneration. Various imaging techniques complement her prior expertise to study the influence of glial cells on degeneration and functional losses.