ORIGINAL RESEARCH

Long-Term Risk of Stroke and Poststroke Outcomes in Patients with Heart Failure: Two Nationwide Studies

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Objective: To evaluate the long-term risk of stroke and poststroke adverse outcomes in patients with heart failure (HF).

Methods: We used research data from Taiwan's National Health Insurance Program from 2000 to 2005 and identified 20,072 adults aged \geq 30 years who were newly diagnosed with HF. Frequency matching based on age and sex was used to select a comparison cohort consisting of 80,288 adults without HF. Events of incident stroke were identified from medical claims during the 2000–2013 follow-up period. The adjusted hazard ratios (HRs) and 95% confidence intervals (CIs) of the association of stroke with HF were calculated with a multiple Cox proportional hazard model. Another nested stroke cohort study of 480,604 hospitalized stroke patients determined the adjusted odds ratios (ORs) and 95% CIs for adverse events after stroke in patients with and without HF between 2000 and 2009.

Results: Compared with the non-HF cohort, HF patients had an increased risk of stroke (HR 2.32, 95% CI 2.21–2.43), including ischemic stroke and hemorrhagic stroke. The association between HF and stroke was significant in both sexes and in patients in all age groups and with various medical conditions. Previous HF was associated with poststroke mortality (OR 1.40, 95% CI 1.31–1.50), pneumonia (OR 1.33, 95% CI 1.28–1.38), and septicemia (OR 1.30, 95% CI 1.23–1.37).

Conclusion: HF was associated with a higher risk of stroke, and patients with HF had more complications and greater mortality after stroke.

Keywords: heart failure, adverse outcome, risk, stroke

Introduction

The latest global estimate shows that stroke remains a leading cause of death and disability and substantially contributes to the economic costs of healthcare systems worldwide.¹ To reduce the burden of stroke, the management of common modifiable risk factors is of the utmost importance. Established modifiable risk factors of stroke include the consumption of alcohol and cigarettes, physical inactivity, hypertension, diabetes, dyslipidemia, and atrial fibrillation.² To improve the management of stroke and its sequelae, it is essential to discover other potential risk factors and control them adequately.

Heart failure (HF) is also an important cause of a current global epidemic, affecting approximately 25 million individuals worldwide and imposing a huge economic burden that has been estimated to be greater than \$100 billion per annum.^{3,4} HF causes thromboembolism, hypoperfusion, and atherosclerosis and

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contributes to the pathological mechanisms of stroke.⁵ According to epidemiologic data, 10% to 24% of stroke patients suffer from comorbid chronic HF.⁵

The risk of stroke in patients with HF has been evaluated in previous studies. $^{6-17}$ However, the relationship between HF and stroke risk is not completely understood because of controversial findings of previous studies.^{7,11,12,14} Some studies indicated the higher risk of stroke persisted over time in HF patients,^{7,12,14} but another study reported the stroke risk was normalized 6 months after HF diagnosis.¹¹ Moreover, there were two studies showed an increased risk of hemorrhagic stroke in HF patients,^{12,14} while another one study failed to investigate the association between HF and risk of hemorrhagic stroke.¹¹ There were some study limitations in the previous studies, such as small sample size of patients with HF (<10,000 HF subjects),^{6-13,15-17} inadequate follow-up period (<10 years),^{6-11,13,16,17} lack of consideration of atrial fibrillation,^{10–12} HF severity,^{11,12,14,15} and type of stroke.^{6-10,13,15-17} In addition, most studies do not examine the impact of HF on hospitalized stroke patients in terms of important clinical outcomes.^{6–11,13–17} Accordingly, we conducted two nationwide studies to evaluate the long-term risk of stroke in patients with HF and the effects of a history of HF on the outcomes of admitted stroke patients.

Methods

Source of Data

We conducted this study by utilizing reimbursement claims submitted to Taiwan's National Health Insurance Program, which was implemented in March 1995. This universal insurance program covers more than 99% of Taiwanese and non-Taiwanese working or studying in Taiwan. A detailed description of the research database was given in our previous studies.^{18,19} Informed consent was not required because the analysis used preexisting deidentified data. This study was approved by the institutional review board of Taipei Medical University (TMU-JIRB-202010041; TMU-JIRB-201705065; TMU-JIRB-201705063).

Study Design

In a longitudinal cohort of one million insured individuals (Study I), we identified a HF cohort of 20,072 patients aged more than 30 years with HF that was diagnosed between 2000 and 2005 who did not have a previous record of HF diagnosis or treatment in the database, which was established in 1996, or a history of stroke

before the index date. To confirm that the patients had HF with more certainly, this study required that at least two visits for outpatient medical services (due to a physician's principal diagnosis of HF) or at least one visit for inpatient care due to HF had occurred. Using frequency matching (case-control ratio 1:4) by age and sex during the same index period, we identified a non-HF cohort of 80.288 patients who had no history of HF or stroke before the index date. To ensure that both cohorts were free of stroke at the start of the study, patients with any diagnosis of stroke within previous four years before the first diagnosis of HF were excluded from this analysis. The occurrence of stroke was identified during the followup period from 1 January 2000 to 31 December 2013. Our purpose was to evaluate the risk of stroke in patients with HF in this retrospective cohort study. Because ageing and incident comorbidities for the association between HF and stroke risk during the follow-up period, we considered the delta change of CHA2DS2-VASc score as one of covariates in this study.

In Study II, we conducted a nested cohort study including 480,604 patients aged \geq 30 years who were hospitalized because of stroke between 2002 and 2009, and 30,532 of these patients had a history of HF. We compared the 30-day poststroke adverse outcomes, including pneumonia, septicemia, urinary tract infection, intensive care and mortality, in hospitalized stroke patients with and without a history of HF. The utilization of medical resources during stroke hospitalization was also compared between patients with and without HF, including the length of the hospital stay and medical expenditures.

Measures and Definitions

We defined the income status of each study subject according to the definition of the Ministry of Health and Welfare, Taiwan. Physicians diagnostic codes from the International Classification of Diseases, Ninth Revision, Clinical Modification were used to identify diseases and morbidities, including hypertension (ICD-9-CM 401–405), chronic obstructive pulmonary disease (ICD-9-CM 491, 492, 496), mental disorders (ICD-9-CM 290–319), diabetes (ICD-9-CM 250), atherosclerosis (ICD-9-CM 440), hyperlipidemia (272.0, 272.1, 272.2), liver cirrhosis (ICD-9-CM 571), atrial fibrillation (ICD-9-CM 427.31), and Parkinson's disease (ICD-9-CM 332). Hemodialysis (D8) and peritoneal dialysis (D9) were also recorded according to the administrative code of Taiwan's National Health Insurance Program. Medications (statins and metformin) and previous medical visits to emergency care and inpatient care were identified from the records of medical facilities. Thirty-day in-hospital mortality and infectious complications during or after the index stroke admission were the outcomes of the study. The infectious complications included pneumonia (ICD-9-CM 480–486), septicemia (ICD-9-CM 038 and 998.5), and urinary tract infection (ICD-9-CM 599.0).

In Taiwan, physicians considered medical history and findings of physical examination, chest radiography and echocardiography to identify patients with HF in the clinical settings.²⁰ Framingham clinical criteria was also applied for the diagnosis of HF that is the concurrent presence of either two major criteria or one major criterion and two minor criteria based on clinical manifestations.²¹ Echocardiography is used to differentiate HF with reduced or preserved ejection fraction.²⁰ B-type natriuretic peptide or N-terminal proB-type natriuretic peptide are measured to assist in confirming or excluding the diagnosis of heart failure.²⁰ In this study, we considered patients with HF who had at least two visits for outpatient medical services (due to a physician's principal diagnosis of HF) or at least one visit for inpatient care due to HF had occurred.

In Study II, we considered the use of antibiotics included penicillins (such as amoxicillin, amoxicillinclavulanate, and ampicillin-sulbactam), first-generation cephalosporins (such as cephalexin, cefadroxil, cefazolin, and cephradine), second-generation cephalosporins (such as cefuroxime), macrolides (such as azithromycin and clarithromycin), quinolones (such as ciprofloxacin and levofloxacin), and sulfonamides (such as trimethoprim and trimethoprim-sulfamethoxazole) within 3 months before stroke admission.

Statistical Analysis

In Study I, we used chi-square tests to examine the distributions of the categorical data, including age, sex, income status, history of diseases, and visits to emergency care and inpatient care, in the HF cohort and the non-HF cohort. The adjusted hazard ratios (HRs) with 95% confidence intervals (CIs) of stroke associated with HF were calculated using multiple Cox proportional hazards models. Subgroup analysis was also performed to determine the association between HF and stroke risk using multiple Cox proportional hazards models. We also determined the joint effects of HF and coexisting medical conditions on the risk of stroke, which are described in the supplementary files. The association between stroke and component of CHA2DS2-VASc score during the follow-up period was also analyzed by using multiple Cox proportional hazards models.

In Study II, we used chi-square tests to examine the distributions of the categorical data (including age, sex, income status, stroke subtype, history of diseases, and visits to emergency care and inpatient care) among hospitalized stroke patients with and without a history of HF. The adjusted odds ratios (ORs) and 95% CIs of poststroke pneumonia, septicemia, urinary tract infection, and mortality associated with a history of HF were calculated with multiple logistic regressions. The length of the hospital stay and medical expenditures during stroke admission were also compared between patients with and without HF by using multiple linear regressions and *t*-tests.

Results

In Study I (the retrospective cohort study), the proportions of patients with low income (p < 0.0001), hypertension (*p*<0.0001), obstructive pulmonary chronic disease (p < 0.0001), mental disorders (p < 0.0001), diabetes (p < 0.0001)0.0001), atherosclerosis (*p*<0.0001), hyperlipidemia (p < 0.0001), liver cirrhosis (p < 0.0001), atrial fibrillation (p < 0.0001)0.0001), renal dialysis (p<0.0001), and Parkinson's disease $(p \le 0.0001)$ were higher in the HF cohort than in the non-HF cohort (Table 1). Compared with non-HF subjects, patients with HF were more likely to have experienced hospitalization $(p \le 0.0001)$ and emergency visits $(p \le 0.0001)$. The baseline characteristics of people with heart failure by sex were shown in Table S1.

Compared with the non-HF cohort (Table 2), the HF cohort had a higher risk of developing stroke (HR 2.32, 95% CI 2.21-2.43). The HR for stroke associated with HF was 2.38 (95% CI 2.22-2.54) in women and 2.26 (95% CI 2.12-2.41) in men. The association between HF and the risk of stroke was significant in all age groups and in subjects with 0, 1, 2, and ≥ 3 medical conditions. The sensitivity test showed that HF remained significantly associated with stroke risk during the follow-up period when patients who developed stroke in the first 6 months (HR 1.92, 95% CI 1.83-2.02) and 12 months (HR 1.72, 95% CI 1.63-1.81) after the onset of HF were excluded (Table 3). The HRs of ischemic stroke, hemorrhagic stroke, and other stroke subtypes associated with HF were 2.69 (95% CI 2.46-2.94), 2.21 (95% CI 1.85-2.65), and 2.24 (95% CI 2.11-2.38), respectively. Lower risks of stroke were found in HF patients using statins (HR 0.84, 95% CI 0.77-0.91) and metformin (HR 0.59, 95% CI

	No HF (N=73,492)		HF (N=18	3,373)	Þ
Sex	n	(%)	n	(%)	1.0000
Female	36,672	(49.9)	9168	(49.9)	
Male	36,820	(50.1)	9205	(50.1)	
Age, years					1.0000
30–39	1684	(2.3)	421	(2.3)	
4049	4480	(6.1)	1120	(6.1)	
50–59	8156	(11.1)	2039	(11.1)	
60–69	15,080	(20.5)	3770	(20.5)	
70–79	24,880	(33.9)	6220	(33.9)	
≥ 80	19,212	(26.1)	4803	(26.1)	
Low income	2753	(3.8)	1254	(6.8)	<0.0001
Medical conditions					
Hypertension	17,392	(23.7)	8759	(47.7)	<0.0001
COPD	4995	(6.8)	4128	(22.5)	<0.0001
Mental disorders	7438	(10.1)	3439	(18.7)	<0.0001
Diabetes	7322	(10.0)	4268	(23.2)	<0.0001
Atherosclerosis	1751	(2.4)	1867	(10.2)	<0.0001
Hyperlipidemia	2804	(3.8)	903	(4.9)	0.0017
Liver cirrhosis	1243	(1.7)	621	(3.4)	<0.0001
Renal dialysis	898	(1.2)	533	(2.9)	<0.0001
Parkinson's disease					<0.0001
Number of	42,634	(58.0)	1820	(9.9)	<0.0001
hospitalizations					
0	11,197	(15.2)	3755	(20.4)	
I	6510	(8.9)	2853	(15.5)	
2	13,151	(17.9)	9945	(54.1)	
≥ 3					
Number of	36,314	(49.4)	3462	(18.8)	<0.0001
emergency visits					
0	11,706	(15.9)	3418	(18.6)	
I.	7716	(10.5)	2427	(13.2)	
2	17,756	(24.2)	9066	(49.3)	
≥ 3	17,756	(24.2)	9066	(49.3)	
Use of anticoagulants	4235	(5.8)	5052	(27.5)	<0.0001
CHA2DS2-VASc					<0.0001
score*					
0	12,482	(17.0)	0	(0.0)	
1	15,830	(21.5)	1512	(8.2)	
2	30,187	(41.1)	3236	(17.6)	
3	12,364	(16.8)	6142	(33.4)	
4	2609	(3.6)	5975	(32.5)	
≥5	20	(0.0)	1508	(8.2)	

 Table I Baseline Characteristics of People with and without

 Heart Failure Before Follow-Up

Note: *Time-varying assessments of CHA2DS2-VASc that was calculated at the end of follow-up period.

Abbreviations: COPD, chronic obstructive pulmonary disease; HF, heart failure.

0.51–0.67). <u>Table S2</u> showed the long-term risks of stroke and all-cause mortality between men and women in people with heart failure. In <u>Table S3</u>, the risk of stroke was associated with CHA2DS2-VASc score in all study subjects. The joint effects of medical conditions on the longterm risk of stroke in patients with and without heart failure are shown in <u>Table S4</u>. HF patients with hypertension had the highest stroke risk compared with non-HF patients without hypertension (HR 5.03, 95% CI 4.71–5.37).

In Study II (the nested cohort study) of 480,604 patients with stroke hospitalization (Table 4), the group of patients with HF had a higher proportion of females (p < 0.0001), people aged ≥ 80 years (p < 0.0001), people with low income (p=0.0247), and people with ischemic stroke (p < 0.0001) and were more likely to suffer from comorbid hypertension (p < 0.0001), diabetes (p < 0.0001), chronic obstructive pulmonary disease (p < 0.0001), mental disorders (p < 0.0001), atrial fibrillation (p < 0.0001), atherosclerosis (p < 0.0001), renal dialysis (p < 0.0001), liver cirrhosis (p < 0.0001), and Parkinson's disease (p < 0.0001) compared with those without HF. Higher proportions of patients with previous hospitalizations (p < 0.0001) and emergency visits (p<0.0001) were also found in the HF patients than in the non-HF patients. Table S5 showed the characteristics of hospitalized stroke patients with and without heart failure after matching by propensity score.

Compared with non-HF patients (Table 5), HF patients had higher risks of 30-day poststroke pneumonia (OR 1.31, 95% CI 1.24–1.38), septicemia (OR 1.25, 95% CI 1.17–1.35), intensive care (OR 1.47, 95% CI 1.41–1.54), and in-hospital mortality (OR 1.44, 95% CI 1.31–1.59) during or after the index stroke admission. HF patients with stroke admission were also more likely to have increased medical expenditures (beta=329, p<0.0001) and prolonged hospital stays (beta=0.78, p<0.0001). <u>Table S6</u> showed the outcomes of stroke patients with and without history of heart failure after matching by propensity score.

Discussion

Study I demonstrated that HF independently increased the long-term risk of stroke, and the association was significant in all age groups, both sexes, and in patients with various medical conditions. Study II showed that a history of HF was associated with various outcomes during stroke hospitalization, including poststroke mortality, infections,

Table 2	Long-Term	Risk of Stroke for	People with an	nd without Heart	Failure in the Overa	II and Stratified Analysis
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	N	Events	Person-Years	Incidence*	HR	(95% CI)†
No heart failure Heart failure	73,492 18,373	6336 3211	596,129 137,217	10.6 23.4	1.00 1.82	(reference) (1.71–1.93)
Female No heart failure Heart failure	36,672 9168	3008 1598	301,688 71,072	9.97 22.5	1.00 1.91	(reference) (1.75–2.08)
Male No heart failure Heart failure	36,820 9205	3328 1613	294,441 66,145	11.3 24.4	1.00 1.75	(reference) (1.61–1.90)
Age, 30–49 years No heart failure Heart failure	6164 1541	117 162	57,078 13,282	2.05 12.2	1.00 2.84	(reference) (1.95–4.14)
Age, 50–59 years No heart failure Heart failure	8156 2039	382 302	70,689 16,335	5.40 18.5	1.00 2.70	(reference) (2.14–3.41)
Age, 60–69 years No heart failure Heart failure	15,080 3770	1351 709	130,046 30,919	10.4 22.9	1.00 2.05	(reference) (1.81–2.33)
Age, 70–79 years No heart failure Heart failure	24,880 6220	3051 1285	197,202 45,153	15.5 28.5	1.00 1.92	(reference) (1.75–2.10)
Age, ≥ 80 years No heart failure Heart failure	19,212 4803	1435 753	141,115 31,528	10.2 23.9	1.00 1.13	(reference) (0.98–1.30)
0 medical condition No heart failure Heart failure	43,364 4151	2202 589	361,932 34,035	6.08 17.3	1.00 2.05	(reference) (1.81–2.31)
l medical condition No heart failure Heart failure	19,414 6904	2413 1208	155,028 52,523	15.6 23.0	1.00 1.73	(reference) (1.59–1.90)
2 medical conditions No heart failure Heart failure	8012 4714	1235 874	60,179 33,841	20.5 25.8	1.00 1.48	(reference) (1.32–1.66)
≥ 3 medical conditions No heart failure Heart failure	2702 2604	486 540	18,990 16,817	25.6 32.1	1.00 1.62	(reference) (1.37–1.91)

Notes: *Per 1000 person-years. †Adjusted for all covariates listed in Tables I and <u>SI</u>. Abbreviations: CI, confidence interval; HR, hazard ratio.

admission to intensive care, a prolonged length of stay, and increased medical expenditures.

Compared with previous studies,^{6–17} the present study benefitted from a large sample size, nationwide coverage and complete follow-up for 14 years. The association between HF and long-term stroke risk was consistently significant among patients with or without atrial fibrillation

or atherosclerosis. In addition, the stroke risk in HF patients was attenuated but persisted over time (6 and 12 months after the onset of HF), which is in agreement with the results of some previous studies.^{7,12,14} However, another study showed that the risk of ischemic stroke was normalized 6 months after the diagnosis of HF.¹¹ Furthermore, our analysis showed that HF increased the risk of both ischemic and

Table 3	Sensitivity	Analyses t	for the <i>i</i>	Association	Between	Heart	Failure a	nd Stroke Ris	sk
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	N	Events	PYs	Incidence*	HR	(95% CI)†
Excluding stroke cases in 6 months						
No HF	72,984	5828	596,009	9.78	1.00	(reference)
HF	17,807	2645	137,105	19.3	1.50	(1.40–1.60)
Excluding stroke cases in 12 months						
No HF	72,520	5364	595,666	9.01	1.00	(reference)
HF	17,439	2277	136,835	16.6	1.31	(1.22–1.40)
Risk of ischemic stroke						
No HF	68,873	1717	575,942	2.98	1.00	(reference)
HF	16,102	940	129,835	7.24	1.95	(1.74–2.18)
Risk of hemorrhagic stroke						
No HF	67,629	473	570,560	0.83	1.00	(reference)
HF	15,383	221	127,458	1.73	1.91	(1.52–2.40)
Risk of other stroke subtypes						
No HF	71,302	4146	586,824	7.07	1.00	(reference)
HF	17,212	2050	133,663	15.3	1.77	(1.64–1.91)
Non-HF cohort	73,492	6336	596,129	10.6	1.00	(reference)
HF cohort						
injected diuretics	12,570	1788	93,662	19.1	1.47	(1.37–1.58)
injected cardiac stimulant	8202	649	60,892	10.7	0.80	(0.73–0.88)
HF patients without use of statins	12,995	2333	93,600	24.9	1.00	(reference)
HF patients with use of statins	5378	878	43,617	20.1	0.85	(0.78–0.93)
HF patients without use of metformin	16,426	2953	120,675	24.5	1.00	(reference)
HF patients with use of metformin	1947	258	16,542	15.6	0.64	(0.56–0.73)

Notes: Sensitivity test: HF was significantly associated with stroke risk after excluding cases developing stroke in the first 6 and 12 months after the onset of HF. *Per 1000 person-years. †Adjusted for all covariates listed in Tables 1 and S1.

hemorrhagic stroke. Two studies have also reported an elevated risk of hemorrhagic stroke in HF patients,^{12,14} while the Rotterdam Study found a reduced risk of hemorrhagic stroke in HF patients compared to that in the general population.¹¹ The lower risk of stroke in HF patients treated with intravenous diuretics and cardiac stimulants during admission is also of interest. The conditions that necessitated intravenous diuretics and chronotropic or inotropic agents were most likely acute decompensated HF or advanced HF.²⁰ HF-related comorbid diseases may override the effect of HF itself on stroke risk in these patients. Substudies of large multicenter randomized trials have reported that the left ventricular ejection fraction was not an independent predictor for stroke in HF patients without atrial fibrillation.^{13,17} Additionally, our results showed that HF patients using statins and metformin had a reduced risk of stroke, which has important implications for stroke prevention in HF. A meta-analysis of randomized trials showed that each 1 mmol \cdot L⁻¹ decrease in LDL cholesterol lowers stroke risk by 21.1%,²¹ which is in line with our results. Additionally, metformin has been shown to attenuate diabetes-accelerated atherosclerosis by inhibiting mitochondrial fission in endothelial cells and protecting against coronary atherosclerosis in prediabetes and early diabetes.^{22,23} Our epidemiologic analysis warrants further clinical trials to prove the effectiveness of metformin in the treatment of vascular atherosclerosis.

Several possible mechanisms may be helpful for explaining the increased risk of stroke in patients with HF. First, with respect to ischemic stroke, the stasis of blood flow in the dilated and hypokinetic ventricle may facilitate thrombus formation.²⁴ Therefore, to examine whether HF itself causes ischemic stroke, it is necessary to evaluate the risk of ischemic stroke in HF patients without atrial fibrillation or systolic abnormalities. Second, HF patients are in a hypercoagulable state, which is characterized by platelet hyperactivity, impaired fibrinolysis, and greater thrombin generation.²⁵ The

	No HF (N=450,072)		HF (N=30,	р	
Sex	n	(%)	n	(%)	<0.0001
Female	184,411	(41.0)	15,406	(50.5)	
Male	265,661	(59.0)	15,126	(49.5)	
Age, years					<0.0001
30–39	10,885	(2.4)	196	(0.6)	
40-49	39,164	(8.7)	963	(3.2)	
50–59	77,096	(17.1)	2388	(7.8)	
60–69	110,684	(24.6)	5503	(18.0)	
70–79	138,016	(30.7)	11,565	(37.9)	
≥80	74,227	(16.5)	9917	(32.5)	
Low income	27,059	(6.0)	2241	(7.3)	<0.0001
Medical conditions					
Hypertension	210,270	(46.7)	17,770	(58.2)	<0.0001
Diabetes	104,825	(23.3)	9131	(29.9)	<0.0001
Hyperlipidemia	19,074	(4.2)	1273	(4.2)	0.5646
Atherosclerosis	9800	(2.2)	2160	(7.1)	<0.0001
Atrial fibrillation	5438	(1.2)	2620	(8.6)	<0.0001
COPD	43,329	(9.6)	8509	(27.9)	<0.0001
Liver cirrhosis	11,011	(2.5)	979	(3.2)	<0.0001
Renal dialysis	7466	(1.7)	1830	(6.0)	<0.0001
Parkinson's disease	8345	(1.9)	806	(2.6)	<0.0001
Mental disorders	74,150	(16.5)	6933	(22.7)	<0.0001
Type of stroke					<0.0001
Hemorrhagic	111,603	(24.8)	4798	(15.7)	
stroke					
lschemic stroke	281,676	(62.6)	21,235	(69.6)	
Other stroke	56,793	(12.6)	4499	(14.7)	
Number of					<0.0001
hospitalizations					
0	343,022	(76.2)	10,479	(34.3)	
1	67,635	(15.0)	8521	(27.9)	
2	21,862	(4.9)	5057	(16.6)	
≥3	17,553	(3.9)	6475	(21.2)	
Number of emergency					<0.0001
visits					
0	266,457	(59.2)	10,752	(35.2)	
1	109,655	(24.4)	7640	(25.0)	
2	41,143	(9.1)	4794	(15.7)	
≥3	32,817	(7.3)	7346	(24.1)	
Use of antibiotics*	76,480	(17.0)	8860	(29.0)	<0.0001

Table 4 Characteristics of Hospitalized Stroke Patients with and without Heart Failure

Note: *Use of antibiotics within 3 months before stroke admission.

Abbreviations: COPD, chronic obstructive pulmonary disease; HF, heart failure.

activation of the renin-angiotensin-aldosterone system and the sympathetic nervous system in HF further aggravates the prothrombotic state.²⁶ Third, the decreased cerebral blood flow in HF patients results from low cardiac output and impaired cerebral autoregulation.²⁷ Diminished cerebral perfusion may increase the risk of cognitive impairment among both decompensated and stable HF patients.²⁷ Finally, HF patients are prone to have largeartery atherosclerosis and small-vessel occlusion, which also contributes to the development of ischemic stroke.²⁸ In HF patients with sinus rhythm, the mechanism of ischemic stroke was shown to be predominantly influenced by the etiology of HF, and HF due to coronary artery disease was associated with the atherosclerotic and lacunar subtypes of stroke.²⁸ Regarding hemorrhagic stroke, antiplatelet or anticoagulant agents administered in HF patients may increase the risk of hemorrhage and cause intracranial bleeding.²⁹

Our analysis showed that the impact of HF on the occurrence of stroke was augmented in younger patients compared with older patients. Studies have shown that idiopathic dilated cardiomyopathy accounts for a substantial part of the etiology of early-onset HF.^{30,31} The atrial and ventricular dilation in cardiomyopathy and lower adherence to medication may underlie the greater influence of HF on stroke risk in young adults compared with older individuals.³⁰ However, it is still controversial whether anticoagulation has a favorable risk-benefit profile when used for primary thromboembolism prophylaxis in patients with HF secondary to dilated cardiomyopathy with sinus rhythm.³² Currently, nonvitamin K oral anticoagulants have been broadly used for stroke prophylaxis in atrial fibrillation patients.³³ The determination of the benefits and risks of nonvitamin K oral anticoagulants for stroke prevention in HF patients with sinus rhythm awaits further investigation.

Compared with patients without HF, patients with HF had worse poststroke outcomes according to our results, which is in accordance with the results of prior studies.^{12,34,35} In addition to the mortality rate, the risk of pneumonia, septicemia, and urinary tract infection was also increased in patients with HF. Studies have indicated that stroke induces systemic immunosuppression and increases the risk of infection.³⁶ Infections commonly occur after stroke with a reported incidence of approximately 30% and cause significant mortality and morbidity in stroke patients.³⁷ Similarly, impaired immune responses occur in HF, including elevated levels of serum tumor necrosis factor, interleukin-1, interleukin-6 and interleukin-10.38,39 Recently, the impairment of cellmediated immune functioning was reported to predict longterm mortality in patients with advanced HF.40 It is still controversial whether the immune and inflammation response is a cause or a consequence of myocardial failure.

Poststroke Outcomes	No HF (N=450,072)		HF (N=30,532)		Risk of Outcomes	
	Events	(%)	Events	(%)	OR	(95% CI)†
Mortality	13,064	2.9	1267	4.2	1.40	(1.31–1.50)
Pneumonia	38,408	8.5	3951	12.9	1.33	(1.28–1.38)
Septicemia	17,212	3.8	2119	6.9	1.31	(1.24–1.38)
UTI	50,222	11.3	4249	13.9	1.02	(0.98–1.05)
ICU stay	92,062	20.5	7360	24.1	1.52	(1.47–1.57)
Medical expenditure, USD* Length of hospital stay, day*	2232 ± 3470 11.6 ± 13.4		2569 ± 3585 13.2 ± 13.7		p<0.0001 p<0.0001	

 Table 5 Outcomes of Stroke Patients with and without History of Heart Failure

Notes: *Mean ± SD; HF was associated with medical expenditure (beta=331, p<0.0001) and length of hospital stay (beta=0.79, p<0.0001) in the multiple linear regressions. †Adjusted for all covariates listed in Table 4.

Abbreviations: CI, confidence interval; HF, heart failure; ICU, intensive care unit; OR, odds ratio; UTI, urinary tract infection.

Furthermore, the interaction between HF and stroke and the combined effect on the immune system remain to be observed.

Our findings highlight the need to develop strategies of reducing stroke risk and poststroke complications for HF population. Apart from warfarin, nonvitamin K oral anticoagulants have been broadly used for primary thromboembolism prophylaxis in patients with atrial fibrillation.³³ For HF patients with sinus rhythm, anticoagulation using warfarin reduces the risk of non-fatal stroke but increases the risk of major hemorrhage.³² Recent trial showed that an addition of rivaroxaban to antiplatelet therapy significantly reduces the rate of first stroke with similar hemorrhage risk among HF patients with sinus rhythm.⁴¹ The benefits and risks of nonvitamin K oral anticoagulants for stroke prophylaxis in HF awaits further evaluations. Regarding the prevention of poststroke infection (e.g. pneumonia), both pharmacological and non-pharmacological approaches have been studied extensively. Meta-analysis showed that prophylactic antibiotics initiated within 48 hours after acute stroke reduce the risk of poststroke pneumonia and all infections, but functional outcomes and mortality are not decreased.⁴² There is still insufficient evidence supporting routine uses of prophylactic antibiotics for prevention of poststroke infection; the benefits and harms remain undetermined.^{42,43} In addition to antibiotics. angiotensin-converting enzyme inhibitors appear effective in reducing the risk of poststroke pneumonia compared with other antihypertensive agents, especially in Asian populations.⁴⁴ Non-pharmacological prevention of poststroke pneumonia includes swallowing rehabilitation and oral care.⁴⁵

Some limitations need to be acknowledged when interpreting the results. First, our data lacks information about socioeconomics, lifestyle, biochemical laboratory measures, and clinical risk scores of HF and stroke (such as those based on the New York Heart Association functional class symptoms and the NIH Stroke Scale). Second, although the administration of intravenous diuretics and cardiac stimulants could reflect the severity of HF in part, our analysis did not include measurement of left ventricular ejection fraction due to data unavailability, precluding a stratified analysis of stroke risk in HF with reduced, mid-range or preserved left ventricular ejection fraction. In addition, our study did not include people with subclinical HF because they may not seek conventional medical care. Finally, the residual confounding bias is possible, although our analysis has been adjusted for various potential confounders.

In conclusion, patients with HF had increased stroke risk and poststroke adverse outcomes. We propose that there is an urgent need for intervention to prevent stroke events and poststroke complications in this susceptible population.

Abbreviations

ICD-9-CM, International Classification of Diseases, 9th Revision, Clinical Modification; CI, confidence interval; HF, heart failure; HR, hazard ratio; OR, odds ratio.

Author Contributions

YHT, CCL: conception and design, analysis and interpretation of the data, drafting the article, agree on the journal to which the article will be submitted, take responsibility and be accountable for the contents of the article, critical revision of the manuscript for important intellectual content and final approval of the version to be published. CCC, CCY, LCS, CJH, YGC, TLC: conception and design, interpretation of the data, agree on the journal to which the article will be submitted, take responsibility and be accountable for the contents of the article, critical revision of the manuscript for important intellectual content and final approval of the version to be published. All authors have read and approved the submitted manuscript.

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Disclosure

Yih-Giun Cherng and Chien-Chang Liao are corresponding author for this study. Ying-Hsuan Tai and Ta-Liang Chen are co-first authors for this study. The authors report no conflicts of interest in this work.

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