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# Population impact and cost-effectiveness of artificial intelligence-based diabetic retinopathy screening in people living with diabetes in Australia: a cost effectiveness analysis

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### Summary

Background We aimed to evaluate the cost-effectiveness of an artificial intelligence-(AI) based diabetic retinopathy (DR) screening system in the primary care setting for both non-Indigenous and Indigenous people living with diabetes in Australia.

Methods We performed a cost-effectiveness analysis between January 01, 2022 and August 01, 2023. A decisionanalytic Markov model was constructed to simulate DR progression in a population of 1,197,818 non-Indigenous and 65,160 Indigenous Australians living with diabetes aged  $\geq$ 20 years over 40 years. From a healthcare provider's perspective, we compared current practice to three primary care AI-based screening scenarios—(A) substitution of current manual grading, (B) scaling up to patient acceptance level, and (C) achieving universal screening. Study results were presented as incremental cost-effectiveness ratio (ICER), benefit-cost ratio (BCR), and net monetary benefits (NMB). A Willingness-to-pay (WTP) threshold of AU\$50,000 per quality-adjusted life year (QALY) and a discount rate of 3.5% were adopted in this study.

Findings With the status quo, the non-Indigenous diabetic population was projected to develop 96,269 blindness cases, resulting in AU\$13,039.6 m spending on DR screening and treatment during 2020–2060. In comparison, all three intervention scenarios were effective and cost-saving. In particular, if a universal screening program was to be implemented (Scenario C), it would prevent 38,347 blindness cases, gain 172,090 QALYs and save AU\$595.8 m, leading to a BCR of 3.96 and NMB of AU\$9,200 m. Similar findings were also reported in the Indigenous population. With the status quo, 3,396 Indigenous individuals would develop blindness, which would cost the health system AU\$796.0 m during 2020–2060. All three intervention scenarios were cost-saving for the Indigenous population. Notably, universal AI-based DR screening (Scenario C) would prevent 1,211 blindness cases and gain 9,800 QALYs in the Indigenous population, leading to a saving of AU\$19.2 m with a BCR of 1.62 and NMB of AU\$509 m.

Interpretation Our findings suggest that implementing AI-based DR screening in primary care is highly effective and cost-saving in both Indigenous and non-Indigenous populations.

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Keywords: Cost-effectiveness; Artificial intelligence; Diabetic retinopathy; Screening

### Research in context

#### Evidence before this study

We searched PubMed using the keywords "cost-effectiveness", "cost-utility", "health economics", "artificial intelligence", "diabetic retinopathy" and "Australia" until April 01, 2023. We found no publications pertaining to the cost-effectiveness analysis of applying AI for DR screening in Australia.

#### Added value of this study

We modelled different scenarios of AI-based DR screening in Australian primary care settings for Indigenous population and non-Indigenous population respectively, including (A) replacing clinicians with AI-based DR screening, (B) and scaling up the coverage to the level of patient acceptance and (C) ultimately universal screening. This study found that in Australian settings, implementing AI-based DR screening would be cost-saving compared to the current practice in all scenarios. For the Australian health care system, the screening program will generate total net savings consisting of screening, link-to-care, direct medical costs and blindness care costs, as well as health benefits of timely detection of additional cases, reduction in blindness cases and gain of quality-adjusted life years.

#### Implications of all the available evidence

Our cost-effectiveness study provides economic evidence for making an informed decision to implement AI-based DR screening. It showed to be highly cost-saving for Indigenous and non-Indigenous Australians. AI-based DR screening could provide a novel point-of-care approach in the primary care setting facilitating large-scale DR screening. This approach would bridge the skill gap of GPs in performing DR screening and potentiate the efficiency of the primary care system in Australia. Furthermore, in rural and remote areas where people are more vulnerable to DR, AI-based DR screening would improve equity in both access to care and health outcomes. Lastly, the evidence of the cost-effectiveness of AIbased DR screening may inform the potential value of implementing other AI-based diagnostic tools.

# Introduction

Diabetic retinopathy (DR), a visually debilitating condition resulting from hyperglycaemia, continues to be a leading cause of low vision and blindness in the workingage population in Australia.<sup>1,2</sup> This condition imposes a significant burden in terms of the affected individuals' well-being, healthcare expenditure and social productivity.3 Over the past three decades, the prevalence of diabetes in Australia increased tremendously, accompanied by a two-fold increase in mortality rate.<sup>4</sup> In Australia, more than one million people are living with diabetes and up to one-third of these patients are affected by DR.5,6 In 2015, the economic cost associated with diabetic macular edema alone was estimated to be AU\$2.07 billion and disability-adjusted life years (DALYs) exceeded 7720 years.3 Urgent and proactive measures are needed to mitigate the detrimental impact of DR on the Australian population and healthcare system.

The World Health Organization (WHO) recommends regular screening for DR as an effective intervention to prevent vision impairment and blindness in people with diabetes.<sup>7</sup> Regular screening enables early identification, prompt management and slowing down disease progression.<sup>8</sup> The English National Programme for DR demonstrated the effectiveness of universal DR screening by removing DR as the leading cause of blindness in the UK.<sup>9</sup> These findings highlight the importance of implementing similar screening programs to combat DR in other global regions.

Retinal photography has gained popularity as a DR screening technique and presents a valuable opportunity to enhance DR screening in Australia. Nevertheless, the availability of active screening programs remains limited in Australia, particularly in rural and remote areas where access to eye care services is constrained.<sup>10,11</sup> Primary healthcare providers, such as general practitioners (GPs), are ideally positioned to bridge this gap, given that 85% of the Australian population visits a GP at least once a year.<sup>12</sup> To support this approach, the Australian Government has introduced new Medicare Benefits Schedule (MBS) item numbers to promote the use of retinal cameras by GPs for DR screening.<sup>13</sup> Despite the ready availability of retinal

cameras, their utilisation remains suboptimal, evident from low rates of DR screening MBS item number claims, with only 1506 claims during November 2016–December 2017.<sup>14</sup> Previous studies have identified several barriers, including a lack of awareness regarding the DR screening services, cost of retinal cameras, time constraints, and insufficient training in the interpretation of retinal images among GPs,<sup>15</sup> hindering the success of the initiative. Therefore, it is essential to address these challenges to facilitate greater uptake of DR screening in Australia.

Recent years have witnessed significant advancements in AI-based screening for DR, which offer an efficient, cost-effective, and labour-saving approach to improving DR screening on a large scale. Multiple prospective studies conducted in real-world settings have demonstrated that AI-based tools achieve comparable performance to human experts,16-18 highlighting their potential for enhancing DR screening efforts. In Australia, pilot studies have validated the real-world accuracy, feasibility and patient acceptability of automated real-time AI-based DR screening systems.<sup>19,20</sup> The largescale implementation of this technology requires careful consideration of health economics. While previous research has investigated the cost-effectiveness of implementing AI-assisted DR screening in Brazil, China, Singapore, the United Kingdom and the United States,<sup>21-26</sup> the results remain inconsistent, partially due to variations in healthcare systems among these countries. Furthermore, in previous studies, AI was considered as an alternative strategy to be compared with the current practice on the same scale. The real-world and lifetime impact of implementing AI-based screening in increasing the accessibility of service to diabetic patients under different levels of care has not been investigated.

The unique population structure in Australia encompasses both Indigenous and non-Indigenous populations. The risk of developing diabetes in Indigenous people doubled compared to the non-Indigenous population as a result of health inequities such as less access to health resources, higher prevalences of health risk factors, and socioeconomic disadvantage.27 Therefore, Indigenous people have demonstrated a significantly higher disease burden of diabetes and DR.5 In addition, Australia has its universal public insurance scheme that provides access to free and quality care to everyone,<sup>28</sup> which would be a crucial factor that drives the implementation and execution of a universal screening program for conditions such as DR. Therefore, our study aims to investigate the cost-effectiveness of implementing AI-based DR screening for Indigenous and non-Indigenous Australians in primary care.

# Methods

# Model construction

We performed a cost-effectiveness analysis between January 01, 2022 and August 01, 2023. We constructed a

decision-analytical Markov model to investigate the costeffectiveness of implementing AI-based DR screening in Australian primary care settings from the health provider's perspective.<sup>29-34</sup> The model was constructed using TreeAge Pro 2022 (TreeAge Software, Williamstown, MA, USA). The findings were reported according to the Consolidated Health Economic Evaluation Reporting Standards statement.<sup>35</sup>

The workflow of DR screening in Australia is demonstrated in Fig. 1. In the status quo, diabetic individuals were screened for DR by optometrists, ophthalmologists or GPs.<sup>36</sup> As per the National Health and Medical Research Council's (NHMRC's) Guidelines, non-Indigenous diabetic participants having no DR need to be screened biennially and Indigenous diabetic individuals should be screened annually.<sup>37</sup> All participants graded as mild DR would be suggested to be screened annually and those with moderate DR and worse and/or macular edema, would require referral to an ophthalmologist for further treatment.<sup>37,38</sup> In the intervention scenarios, participants will be screened by an automated AI-based DR screening system and provided with a realtime report with referral recommendations.<sup>19</sup>

The Markov model was constructed to simulate the progression of DR in 1,197,818 non-Indigenous and 65,160 Indigenous diabetic individuals in Australia aged 20 years and older in 2020.5,39 The cohort was followed up for 40 years because it can model the lifetime of this cohort with a baseline mean age of 65 years old. DR states were determined by the International Clinical Disease Severity Scale for DR40 and the clinically relevant classification for diabetic macular edema (DME),41 including mild non-proliferative diabetic retinopathy (NPDR), moderate NPDR, severe NPDR, proliferative diabetic retinopathy (PDR), non-central-involved diabetic macular edema (NCIDME), and central-involved diabetic macular edema (CIDME), along with blindness and death. Each DR state was divided into undetected and detected states (Supplementary Figure S1). The blindness state included unilateral and bilateral blindness. The proportions of unilateral and bilateral blindness in the Indigenous and non-Indigenous populations were derived from the Blue Mountains Eye Study and the National Eye Health Survey (Supplementary Figure S1).42,43 Blindness was defined as the best-corrected visual acuity of less than 3/60 in both eyes and/or a corresponding visual field of less than 10° or no light perception.44 As this is a static model, it did not include the newly onset diabetic individuals after 2020. Details of the management plan of each DR state were described in Supplementary Methods. The compliance rate to follow-up treatment after screening was estimated from the Australian Institute of Health and Welfare data<sup>45</sup> and prevalence of DR,<sup>6,46</sup> resulting in 20.9% and 67.1% for Indigenous and non-Indigenous people, respectively. Assumptions of no regression to previous disease states were applied.



Fig. 1: Flowchart of diabetic retinopathy screening and follow-up management.



**Fig. 2: Scenarios of different diabetic retinopathy screening rates among the diabetic population.** Figure captions: Status quo demonstrated the proportion of undiagnosed diabetic patients, diagnosed but not followed-up diabetic patients, regularly followed-up without eye screening patients, and the professions that conveyed DR screening in patients who are currently under DR screening. Scenario A modelled the replacement of manual screening of DR by the automated AI system. Scenario B modelled the replacement and the increased screening rate in the patients who are not undertaking DR screening. 62.0% of the patients who are regularly followed up for diabetes are assumed to undertake DR screening by the AI. 85% of the patients with undiagnosed or unfollowed-up diabetes will have access to healthcare and 62.0% of those will undertake DR screening using the AI system. In Scenario C, a universal screening scenario was modelled where 80% of the patients who are unscreened for DR currently will be screened by the AI.

### Definition of intervention scenarios

We defined four scenarios including the status quo and three intervention scenarios (Fig. 2). For the status quo, 75% of the Australian population living with diabetes has been diagnosed.<sup>47</sup> 50% of the individuals with diagnosed diabetes (37.5% of the diabetic patients) undertake regular follow-up<sup>48</sup> and of these, 42% of non-Indigenous people and 34% of Indigenous people undertake diabetic eye checks,<sup>36</sup> resulting in an estimated 15.75% of the total non-Indigenous diabetic population and 12.75% of the Indigenous diabetic population undertaking DR screening. For individuals currently under DR screening, 89.77% were screened by optometrists, 7.05% by ophthalmologists and 3.17% by GPs.<sup>36</sup>

As shown in Fig. 2, Scenario A modelled the replacement of clinician screening with AI in the subpopulation that is currently under screening. As automated AI-based screening negates the need for healthcare professionals and when implemented in general practice clinics, the first key point that links all patients to the healthcare system, this approach holds the potential to increase the screening rate and formulate a screening program. Therefore, we modelled the increased screening rate of AI-based DR screening to the patient acceptance level in Scenario B and to universal screening in Scenario C. The increased screening rate was determined by the patient satisfaction rate of 62.0% from our pilot study (unpublished) and the fact that 85% of Australians visited their GPs at least once a year.49 We assumed in Scenario B that 62.0% of the diabetic patients with regular follow-up would be screened; 85% of the diagnosed diabetic patients without follow-up would visit a GP in one year for a general health issue (link to care) and 62.0% of them would be screened; 85% of the undiagnosed diabetic patients would visit GP and complete diagnostic tests for diabetes (link to care) and 62.0% of them would be screened. This resulted in a total screening rate of 62.17% and 61.03% in the non-Indigenous and Indigenous population, respectively. Scenario C modelled a universal AI-based DR screening scenario that would cover 100% of the patients who were under DR screening and 80% of the rest of the unscreened population,<sup>50</sup> resulting in a screening rate of 83.15% in the non-Indigenous population and 82.55% in the Indigenous population.

#### Disease burden of diabetic retinopathy

The prevalence of any DR, PDR and DME in the Indigenous and non-Indigenous population was derived from a meta-analysis of the Australian population and further breakdown of the prevalence of mild NPDR, moderate NPDR, severe NPDR, NCIDME and CIDME was calculated based on the prevalence reported in the National Eye Health Survey (Supplementary Table S1). As DME can occur at any stage of DR, the initial probability of mild, moderate, and severe NPDR and PDR in the Markov model has excluded DME and any DR with

	Base case value	References	Range	Distribution
Sensitivity				
AI for any DR	0.870	51	±25% (0.653-1)	Triangular
AI for VTDR	0.970	52	±25% (0.728-1)	Triangular
AI for DME	0.950	52	±25% (0.713-1)	Triangular
Optometrists for any DR	0.750	53	±10% (0.675-0.825)	Triangular
Optometrists for referable DR	0.730	53	±10% (0.657-0.803)	Triangular
GP for any DR	0.433	54,55	±10% (0.390-0.476)	Triangular
GP for referable DR	0.560	56	±10% (0.504-0.616)	Triangular
Ophthalmologists for any DR	0.848	16	95% CI (0.794-0.933)	Triangular
Ophthalmologists for VTDR	0.848	16	95% CI (0.794-0.933)	Triangular
Specificity				
AI for any DR	0.907	51	±25% (0.680-1)	Triangular
AI for VTDR	0.914	52	±25% (0.686-1)	Triangular
AI for DME	0.929	<sup>52</sup> ±25% (0.697-1)		Triangular
Optometrists for any DR	0.940	56	±10% (0.846-1)	Triangular
Optometrists for referable DR	0.930	56	±10% (0.837-1)	Triangular
GP for any DR	0.940	56	±10% (0.846-1)	Triangular
GP for referable DR	0.980	56	±10% (0.882-1)	Triangular
Ophthalmologists for any DR	0.955	16	95% CI (0.941-0.967)	Triangular
Ophthalmologists for VTDR	0.955	16	95% CI (0.941-0.967)	Triangular

Table 1: Variable ranges and distributions for the sensitivity and specificity of AI, general practitioners, optometrists and ophthalmologists.

DME was considered as a separate DME state in the Markov model (Supplementary Figure S1).

#### Screening sensitivity and specificity

The sensitivity and specificity of DR screening by the AI system, optometrists, ophthalmologists and GPs were shown in Table 1. Consistent with previous studies, referable DR includes moderate DR or worse and/or DME, and vision-threatening diabetic retinopathy (VTDR) includes severe DR and worse and/or DME.<sup>57,58</sup>

# Transition probabilities

All transitions between different DR disease states were based on a 1-year cycle (Supplementary Table S2). If transition probability was not available, it was translated from the incident rate using the formula  $p(t) = 1 - e^{-rt}$ , where *r* represents the incident rate over a period time of *t*. Age-standardised mortality was calculated from the Australian Bureau of Statistics (ABS) in 2021.<sup>59</sup> The age-specific increased risk of mortality in the diabetic population was derived from the study by Tancredi et al.<sup>60</sup> The Indigenous people had a 1.8 increased risk of mortality compared to the non-Indigenous people.<sup>61</sup> In addition, the risk of mortality in the blindness population was 1.08 times higher compared to non-blindness people.<sup>62</sup>

### Utility values

Utility values were obtained from previous studies (Supplementary Table S3). Patients with no DR were assigned the utility value of diabetes. The annual discount rate of utility was 3.5% according to the recommendations from the National Institute for Health and Clinical Excellence (NICE).<sup>63</sup>

#### Screening, intervention and healthcare costs

The costs of screening, consultation and treatment were obtained from the MBS and Pharmaceutical Benefits Scheme (PBS) in July 2022.<sup>13,64</sup> The costs for blindness care were derived from published studies.65 As data was limited for unilateral blindness, we adopted an assumption that the costs associated with rehabilitation, equipment, education, training, and daily life support for unilateral blindness was 30% of the corresponding costs for bilateral blindness.66 Supplementary Table S4 shows the detailed cost breakdown. The cost of DR screening using the AI system was derived from our empirical study (unpublished; Supplementary Table S5). In detail, the patent cost was suggested by Eyetelligence Pty Ltd., which charges AU\$5 per patient, covering patent licensing, software development and maintenance, and organization overhead. The hardware cost and depreciation included the automated fundus cameras, personal computer and maintenance fee, resulting in a unit cost of AU\$2.2 per person under the conservative assumption that 1000 patients per year would be screened by the system. The labour cost included the one-time training and wages for the operators' assistance with image acquisition. This resulted in an estimated unit cost of AU\$12.2 for the AI-based screening. All The costs were discounted at an annual rate of 3.5%.63

### Cost-effectiveness analysis

The effectiveness measures included the incremental detected VTDR cases, decremental blindness cases (i.e., blindness cases prevented), and the incremental qualityadjusted life years (QALYs) in the intervention scenarios relative to the status quo. We estimated the costeffectiveness of implementing AI-based DR screening compared to the status quo using several measurements. The incremental cost-effectiveness ratio (ICER) indicates the additional cost of a unit increase in the QALY. A Willingness-to-pay (WTP) threshold of AU\$50,000 per QALY was adopted in this study.67 We also evaluated the cost-effectiveness by quantifying the cost per blindness case prevented, benefit-cost ratio (BCR) and net monetary benefit (NMB). In this study, BCR was calculated as the total cost savings divided by the total investment in screening costs and link-to-care costs related to launching the AI system for DR screening.

# Sensitivity analysis

To assess the uncertainties of the main outcomes, we performed one-way sensitivity analysis, two-way sensitivity analysis (on sensitivity and specificity of the AI) and probabilistic sensitivity analysis (PSA). The ranges for the variables were shown in Table 1 and Supplementary Tables S1-S4. A range of ±25% was used for the sensitivity and specificity of the AI system<sup>68</sup> and a range of  $\pm 50\%$  was applied to the cost of AI, with the aim of providing a conservative estimation for the uncertainties associated with AI performance and cost in the real world. When available, the upper and lower limits of the 95% CI were used for the other variables. Lower and upper limits of ±10% from the base case values were used for the rest of the variables.26 Tornado diagrams were plotted to demonstrate the factors that exert the most significant influence on the ICER. In PSA, triangular distributions were assigned to all the variables. 10,000 Monte Carlo simulations were conducted where each random sampling of the input variables from the probability distribution generated an ICER.

#### Ethics

Ethics approval is waived for this cost-effectiveness analysis as it does not involve human subjects. The analysis solely relies on aggregated and unidentifiable data obtained from published literature or open-source data sources.

# Role of the funding source

All authors confirmed that they had full access to all data involved in this study and accepted responsibility for the decision to submit for publication. The sponsor or funding organisation had no role in the design or conduct of this research.

#### Results

# Population impact and DR-related costs with the status quo

The results of the cost-effectiveness analyses in non-Indigenous and Indigenous populations can be found in Table 2 and 3. With the status quo, the cohort of 1,197,818 non-Indigenous diabetic individuals projected a total of 15,102,882 quality-adjusted life years (QALYs) over a 40-year period. Within this timeframe, 304,865 cases of VTDR would be detected by screening and 96,269 cases of blindness would occur. The estimated cost for the non-Indigenous cohort over 40 years would be \$13,039.6 m, including \$139.8 m for screening costs, \$2,875.0 m for direct medical costs, and \$10,024.8 m for blindness care. In particular, the direct medical costs included \$330.5 m consultation fees, \$92.4 m angiogram fees, \$81.0 m OCT fees, \$639.0 m photocoagulation fees, \$1,687.6 m anti-vascular endothelial growth factor (anti-VEGF) injection fees, and \$44.7 m vitrectomy surgery fees.

The cohort of 65,150 Indigenous diabetic individuals is projected to gain 647,551 QALYs. 5,010 VTDR cases would be detected and 3,396 cases of blindness would develop over 40 years. The total cost would be \$796.0 m, including \$6.7 m screening costs, \$101.7 m direct medical costs, and \$687.6 m for care for blindness. The details of the direct medical costs breakdown can be found in Table 3.

# Population impact and cost-effectiveness of replacing manual DR screening with AI-based DR screening

Replacing manual DR screening with AI-based DR screening (Scenario A) in the non-Indigenous people was projected to increase the number of detected VTDR cases to 348,186, resulting in 43,321 more detected VTDR cases than the status quo. The number of blindness cases would reduce to 89,645, preventing 6,624 cases of blindness compared with the status quo, leading to an additional 16,477 QALYs gained by the cohort over 40 years. The projected cost for the nonindigenous people over 40 years was \$12,860.0 m, including \$24.3 m for screening, \$3,344.8 m for direct medical costs, and \$9,490.8 for blindness care. The direct medical costs included \$428.5 m for consultation. \$107.9 m for angiogram, \$94.5 m for OCT, \$746.3 m for photocoagulation, \$1,967.7 m for anti-VEGF injection, and \$52.1 m for vitrectomy surgeries. Although this scenario required an additional \$469.8 m for direct medical care, it would save \$115.4 m on screening and \$533.9 m on blindness care, resulting in net savings of \$179.6 m. The net savings and health benefits resulted in a BCR of 7.37 and NMB of \$1,003 m.

For the Indigenous people, the total QALYs gained would increase by 669 compared to the status quo. An additional 922 VTDR cases would be detected and 136

	Status quo	Scenario A	Scenario B	Scenario C	Incremental cost or effectiveness (Scenario A)	Incremental cost or effectiveness (Scenario B)	Incremental cost or effectiveness (Scenario C)
QALY	15,102,882	15,119,359	15,250,035	15,274,972	16,477	147,154	172,090
Total cost (AU\$, million)	13,039.6	12,860.0	12,510.6	12,443.8	-179.6	-529.0	-595.8
Screening cost	139.8	24.3	58.5	67.0	-115.4	-81.3	-72.8
Link to care cost	0.0	0.0	62.9	83.5	0.0	62.9	83.5
Direct medical cost	2,875.0	3,344.8	5,861.0	6,220.1	469.8	2985.9	3345.0
Consultation cost	330.5	428.5	783.0	836.9	98.0	452.6	506.5
Fluorescein angiography cost	92.4	107.9	188.9	200.1	15.5	96.6	107.7
OCT cost	81.0	94.5	165.6	175.4	13.6	84.6	94.4
Photocoagulation cost	639.0	746.3	1,308.4	1,384.3	107.3	669.4	745.4
Anti-VEGF injection cost	1,687.6	1,967.7	3,415.0	3,623.3	280.1	1,727.5	1,935.7
Vitrectomy cost	44.7	52.1	90.4	95.9	7.4	45.7	51.2
Cost for blindness care	10,024.8	9,490.8	6,528.2	6,073.2	-533.9	-3,496.6	-3,951.6
VTDR detected (cases)	304,865	348,186	509,209	521,692	43,321	204,344	216,827
Blindness (cases)	96,269	89,645	60,884	57,922	-6,624	-35,385	-38,347
ICER	-	-	-	-	–10,897 (Dominating)	-3,595 (Dominating)	-3,462 (Dominating)
Incremental cost/blindness averted (AU\$)	-	-	-	-	-27,108 (Dominating)	-14,950 (Dominjating)	-15,537 (Dominating)
Benefit-cost ratio <sup>a</sup>	-	-	-	-	7.37	4.36	3.96
NMB (AU\$, million) <sup>b</sup>	-	-	-	-	1,003	7,887	9,200

<sup>a</sup>Benefit-cost ratio is calculated as the total cost savings divided by the sum of screening costs and link-to-care costs. <sup>b</sup>NMB, net monetary benefit is calculated as the QALYs gained multiplied by willingness-to-pay minus total incremental costs.

Table 2: Cost-effectiveness analysis of applying AI in DR screening in primary care settings in non-Indigenous diabetic patients over a time horizon of 40 years.

	Status quo	Scenario A	Scenario B	Scenario C	Incremental cost or effectiveness (Scenario A)	Incremental cost or effectiveness (Scenario B)	Incremental cost or effectiveness (Scenario C)
QALY	647,551	648,219	655,751	657,350	669	8,200	9,800
Total cost (AU\$, million)	796.0	787.7	778.4	776.7	-8.3	-17.6	-19.2
Screening cost	6.7	1.1	3.5	4.2	-5.6	-3.2	-2.5
Link to care cost	0.0	0.0	5.7	7.7	0.0	5.7	7.7
Direct medical cost	101.7	116.8	251.2	276.1	15.1	149.5	174.4
Consultation cost	10.4	13.1	30.5	33.8	2.7	20.1	23.4
Fluorescein angiography cost	2.9	3.4	7.4	8.1	0.5	4.5	5.2
OCT cost	2.6	3.0	6.5	7.1	0.4	3.9	4.5
Photocoagulation cost	18.5	21.6	47.6	52.3	3.1	29.1	33.8
Anti-VEGF injection cost	65.6	75.7	159.2	174.8	10.1	93.6	109.2
Vitrectomy cost	1.7	2.0	4.2	4.6	0.3	2.5	2.9
Cost for blindness care	687.6	669.9	518.1	488.8	-17.7	-169.5	-198.8
VTDR detected (cases)	5,010	5,932	11,846	12,522	922	6,836	7,512
Blindness (cases)	3,396	3,260	2,313	2,185	-136	-1,083	-1,211
ICER	-	-	-	-	-12,360 (Dominating)	-2,143 (Dominating)	–1,964 (Dominating)
Incremental cost/blindness averted (AU\$)	_	-	-	-	-60,837 (Dominating)	-16,232 (Dominating)	–15,890 (Dominating)
Benefit-cost ratio <sup>a</sup>	-	-	-	-	7.70	1.91	1.62
NMB (AU\$, million) <sup>b</sup>	-	-	-	-	42	428	509

<sup>a</sup>Benefit-cost ratio is calculated as the total cost savings divided by the sum of screening costs and link-to-care costs. <sup>b</sup>NMB, net monetary benefit is calculated as the QALYs gained multiplied by willingness-to-pay minus total incremental costs.

Table 3: Cost-effectiveness analysis of applying AI in DR screening in primary care settings in Indigenous diabetic patients over a time horizon of 40 years.

people would be prevented from blindness. The projected total cost for Indigenous people would be \$787.7 m, saving \$8.3 m in total. It is projected to increase the direct medical cost by \$15.1 m compared to status quo, but would save \$5.6 m in screening and \$17.7 m in care for blindness, resulting in a BCR of 7.70 and NMB of \$42 m.

# Population impact and cost-effectiveness of scaling-up AI-based DR screening to potential population acceptance level

Increasing AI-based DR screening coverage to the potential patient's acceptance level (62.17% for non-Indigenous, Scenario B) was projected to detect a total of 509,209 VTDR cases over the 40 years in the non-Indigenous cohort, which was 204,344 more than the status quo. A total of 60,884 blindness cases would develop, preventing 35,385 blindness cases and increasing the total QALYs gained by 147,154. This scenario would have cost the healthcare system a total of \$12,510.6 m for the non-Indigenous people, including \$58.5 m in screening costs, \$62.9 m that linked the unscreened population to primary healthcare, \$5.861.0 m in direct medical costs, and \$6.528.2 m blindness care cost. The direct medical costs would consist of a \$783.0 m consultation fee, \$188.9 m angiogram fee, \$165.6 m OCT fee, \$1,308.4 m photocoagulation fee, \$3,415.0 m anti-VEGF injection fee, and \$90.4 m vitrectomy surgery fee. While this scenario would lead to an increase of \$2,985.9 m in direct medical care, it could save \$81.3 m in DR screening and \$3,496.6 m in blindness care, resulting in a net saving of \$529.0 m compared to the status quo, resulting in a BCR of 4.36 and NMB of \$7,887 m.

For the Indigenous cohort, when the screening coverage increased to 61.03% in Scenario B, it was projected to detect 11,846 VTDR cases, resulting in 6,836 more cases detected compared to the status quo. In addition, a total of 2,313 blindness cases would develop, which is 1,083 less than the status quo. This scenario is projected to cost the health system \$778.4 m, including \$3.5 m screening costs, \$5.7 m link-to-care costs, \$251.2 m direct medical costs and \$518.1 m cost for blindness care. The net saving would be \$17.6 m, resulting in a BCR of 1.91 and NMB of \$428 m.

# Population impact and cost-effectiveness of achieving universal AI-based DR screening

Scaling up AI-based DR screening to universal coverage (83.15% for non-Indigenous people, Scenario C), would identify 521,692 cases of VTDR over 40 years, 216,827 cases more compared to the status quo. A total of 57,922 blindness cases would develop and an additional 38,347 cases of blindness would be prevented compared with the status quo, leading to an additional gain of 172,090 QALYs. This universal screening scenario was estimated

to cost the healthcare system \$12,443.8 m for non-Indigenous people, including \$67.0 m for screening, \$83.5 m for link-to-care costs, \$6,220.1 m for direct medical costs, and \$6,073.2 m for blindness care costs. It was projected to save the healthcare system \$595.8 m over 40 years. Despite an increase in direct medical costs by \$3,345.0, this scenario would reduce screening costs by \$72.8 m and blindness care costs by \$3,951.6 m, resulting in a BCR of 3.96 and NMB of \$9,200 m.

When the AI-based DR screening covers 82.55% of the Indigenous population, it is projected to detect 12,522 cases of VTDR over 40 years, which would be 7,512 more cases compared to the status quo. 2,185 cases of blindness would develop, which would be 1,211 less compared to the status quo. The universal screening program for the Indigenous people would cost \$776.7 m, including \$4.2 m screening costs, \$7.7 m link-to-care costs, \$276.1 m direct medical costs, and \$488.8 m costs for blindness care. This scenario would save \$19.2 m in total relative to the status quo, and would result in a BCR of 1.62 and NMB of \$509 m.

# Sensitivity analysis

Fig. 3a and b shows the results from the one-way sensitivity analysis for non-Indigenous and Indigenous people respectively as Tornado diagrams. In Scenario A, the most important parameters influencing the ICER included the sensitivity of the AI, sensitivity of optometrists, cost of blindness, utility of blindness, and transition probability from DME to blindness without treatment in both Indigenous and non-Indigenous populations. In Scenario B and C, the cost of blindness, the transition probability from DME to blindness with treatment, and without treatment, the cost of anti-VEGF injection, and the transition probability from severe NPDR to PDR without treatment were the five most impactful parameters that affected the ICER in the non-Indigenous population. The Indigenous population shared four of those parameters, except for the transition probability from severe NPDR to PDR without treatment. Instead, the transition probability from PDR to blindness without treatment was among the most impactful parameters.

Results from two-way sensitivity analysis on the sensitivity and specificity of the AI system showed that if manual screening would be replaced by the AI system at the same screening rate, the AI system should have a sensitivity of at least 80.1% for the non-indigenous population, and 79.5% for the indigenous population to become a cost-effective measure compared to the status quo. The specificity of the AI did not show an apparent influence on cost-effectiveness.

The scatter plot depicted the PSA results (Fig. 4a and b). For Scenario A, all 10,000 simulations demonstrated cost-saving results in both non-Indigenous and Indigenous people. Among the non-Indigenous population, Scenario B exhibited cost-saving outcomes in 99.7% of



**Fig. 3: The tornado diagram from one-way sensitivity analysis in the non-Indigenous population (A) and the Indigenous population (B).** Figure captions: The Tornado diagram demonstrated the ten parameters from one-way sensitivity analysis that have the most significant influence on ICER. The analysis compared scenarios A, B and C with different screening rates with status quo. The bars on the plot represent the potential effect of each parameter on the ICER, with the width of the bar indicating the range of the parameter. The red part of the bar represents high input values of the variables, while the blue part represents low values.



Fig. 3: (continued)

# Articles



Fig. 4: Probabilistic sensitivity analysis in the non-Indigenous population (A) and Indigenous population (B). Scatter plot from the probabilistic sensitivity analysis represented the incremental cost and incremental effectiveness of Scenario A, B and C compared to the status quo under 10,000 simulations.

all iterations, with the remaining 0.3% being costeffective. Similarly, in Scenario C, 99.7% of all iterations resulted in cost-saving results, while 0.3% were categorised as cost-effective. For the Indigenous population, 94.7% of all iterations in Scenario B were identified as cost-saving, with 5.3% deemed cost-effective. In Scenario C, 93.3% were cost-saving iterations and the rest 6.7% were cost-effective.

#### Discussion

Our study has demonstrated that implementing an AIbased DR screening system in primary care settings was a highly cost-saving strategy in Australia. This would be attributed to the lower DR screening cost and higher accessibility and sensitivity of the AI system allowing for increased early detection, prompt treatment and slowing down the disease progression. Despite the increase in direct medical costs, the overall health benefits and monetary savings for the health system are significant.

The use of AI-based DR screening is a new model of care that is gaining popularity. Investigating the health economics of this technology would facilitate its further clinical application. To the best of our knowledge, this is the first study to investigate the cost-effectiveness of implementing AI-based DR screening in Australia, where optometrists and GPs play key roles in providing primary eye care under the national publicly-funded health insurance scheme.

Several studies have previously assessed the health economics of using AI for DR screening in various settings.<sup>21-26</sup> For example, Xie et al. conducted a costminimisation analysis to demonstrate that the annual unit cost of AI-assisted DR screening in fully-automated or semi-automated approaches is less expensive than human graders in Singapore.<sup>21</sup> In terms of short-term cost-effectiveness, the UK National Health Services Diabetic Eye Screening Program indicated that AIassisted DR grading saves costs in terms of screening, however, the diagnostic accuracy of the AI systems will largely affect the effectiveness compared to human graders.<sup>25</sup> A one-year cost-effectiveness analysis was conducted in pediatric diabetes population in the United States, and the findings suggested the cost-effectiveness of AI would be largely associated with adherence to DR screening.<sup>23</sup> As DR is a chronic condition that requires lifelong management, long-term cost-effectiveness analysis found that AI-based DR screening would be cost-saving compared to clinician screening over the lifetime horizon in hypothetical cohorts in China and Singapore.<sup>22</sup> Our study demonstrated similar cost-saving findings in both real-world non-Indigenous and Indigenous cohorts. Moreover, we measured the effectiveness not only in terms of QALYs but also VTDR detected and blindness prevented, which provided more clinical relevance. However, inconsistent findings were reported in Brazil, where the AI-based DR screening cost more than the standard care model, with QALYs remaining similar.24 The potential reason is that the costs of specialist consultations and DR management were inexpensive.

Our findings suggest that using AI-based DR screening in primary care could be an effective way to increase efficiency and reduce barriers to DR screening. According to the National Eye Health Survey, a significant proportion of Australians are not adhering to DR screening guidelines.<sup>69</sup> A plethora of potential barriers to performing DR screening may explain the low adherence, such as lack of time in routine practice, lack of awareness, and inadequate training of primary care providers in DR screening.<sup>15</sup> The assistance of AI could help to bridge the skill gaps of GPs, thus providing the point-of-care screening service to the large number of eligible patients visiting the GP clinics who were not

previously screened. Furthermore, in rural and remote areas, there is a higher proportion of indigenous Australians who have poorer overall health and greater disease burdens. The high cost of retinal cameras and limited access to eye care may exacerbate the problem in these areas.<sup>15,69</sup> Introducing AI-based automated screening facilities to rural and remote areas helps to increase the accessibility of healthcare to these residents who are more vulnerable but are underserved, therefore improving the health of this population. Although no AI-based DR screening program has been launched in Australia, the technology has been proven through pragmatic trials to be a feasible and accurate approach in Australian healthcare settings and participants demonstrated reasonably good satisfaction.<sup>19,20</sup> These findings shed light on the potential of AI-based DR screening to be translated into a routine screening practice and integrated into the full-cycle management of DR.

Nonetheless, the real-world implementation and scaling up of AI-based screening is challenging. Firstly, it is crucial to ensure the technology is well-validated before its application, as the algorithms may perform differently in distinct populations and devices used in practice. Secondly, the acceptability of the technology by end-users should be considered. Measures such as training, workshops, and informative materials could help shift the mindset of clinicians, patients, and policymakers and familiarise them with the technology. Thirdly, further research on health policy is necessary to establish a model of care that integrates AI-based DR screening into existing practices while upholding ethical standards, affordability and quality of care. Lastly, infrastructure updates are essential to the implementation of large-scale screening, such as setting up screening camps or point-of-care screening sites in primary care clinics.

Several limitations of the study need to be acknowledged. Firstly, when limited data is available on some parameters, such transition probabilities between DR states and utility values for the Australian population, we used data from other populations but have prioritised data from countries with similar socioeconomic status and ethnicity background. Nonetheless, we performed sensitivity analyses to confirm the robustness of our results. Secondly, as data was limited for a finer breakdown of disease states (i.e., unilateral and bilateral blindness) and population demographics (i.e., remoteness index), it has prevented us from performing more detailed analyses for these characteristics. Thirdly, further validation of the AI parameters is needed, as the cost data were obtained from our primary data in the empirical study and the sensitivity/specificity was based on published data from experimental settings.52 The real-world performance of the AI system may be affected by various factors, necessitating additional validation in prospective studies. Fourthly, the workflow of DR screening in our model was constructed based on

the best available guideline in Australia in 2008. Although we have incorporated the updated treatment strategies according to the literature and guidelines in other countries, we did not take into consideration the impact of policy updates on diagnosis and treatment in this study. Lastly, as a modelling study, we simulated the ideal scenarios of scaling up the AI-based DR screening using primary care attendance and patient satisfaction rate from our pilot study, but the real-world feasibility was not considered, which requires further research into the end-user acceptability, health policies and availability of infrastructure.

In conclusion, our study showed that introducing an AI-based DR screening system in Australian primary care settings would be both effective and cost-effective. This novel approach has the potential to overcome existing barriers to DR screening, such as high staffing costs, shortage of trained personnel, and difficulties accessing adequate eye care in remote regions. Further input and feedback from stakeholders and decisionmakers are necessary to fully evaluate and implement this innovative screening method.

#### Contributors

LZ, MH, ZZ, WH, JS, and EW were responsible for the study concept and design. WH and RL were responsible for data acquisition, analysis, or interpretation. LZ, MH, and ZZ were responsible for verifying the underlying data. WH and SJ were responsible for drafting the manuscript. All authors were responsible for critical revision of the manuscript for important intellectual content. MH and ZZ were responsible for obtaining funding. LZ, MH, and ZZ were responsible for administrative, technical, or material support, and study supervision. All authors confirmed that they had full access to all data involved in this study and accepted responsibility for the decision to submit for publication.

#### Data sharing statement

All data is provided in the Supplementary Materials.

#### Declaration of interests

We declare no competing interests.

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#### Appendix A. Supplementary data

Supplementary data related to this article can be found at https://doi. org/10.1016/j.eclinm.2023.102387.

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