

Effect of the *RNF213* p.R4810K Variant on the Progression of Intracranial Artery Stenosis

A 15-Year Follow-up Study

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Neurol Genet 2022;8:e200029. doi:10.1212/NXG.000000000200029

Abstract

Background and Objectives

Intracranial artery stenosis is the predominant etiology of ischemic stroke in the Asian population. Furthermore, the presence of the *RNF213* p.R4810K variant, which is a susceptibility gene for moyamoya disease, increases the risk of ischemic stroke attributable to large-artery atherosclerosis. Accordingly, we hypothesized that this genetic variant may affect the long-term outcome of intracranial artery stenosis in the East Asian population. We thus aimed to examine the effect of this variant on the long-term progression and prognosis of intracranial artery stenosis.

Methods

Using a prospective database, we identified adult patients with intracranial artery stenosis who underwent periodic MRI examinations for >5 years. We evaluated stenosis progression using a validated visual grading system. We excluded patients diagnosed with moyamoya disease at the time of initial MRI. Genotyping of *RNF213* p.R4810K was performed at the end of the follow-up period.

Results

Among 52 eligible patients, 22 (42%) were carriers of the *RNF213* p.R4810K variant. The median follow-up duration was 10.3 years. During the follow-up period, progression of intracranial artery stenosis was observed in 64% variant carriers and 27% noncarriers. There was a significant association of the variant with time to progression of intracranial artery stenosis (hazard ratio [HR] 3.31, 95% CI 1.38–7.90, $p = 0.007$), and time to the composite endpoint of symptomatic stroke and transient ischemic attack (HR 3.70, 95% CI 1.15–11.86, $p = 0.028$), but not to symptomatic stroke alone (HR 2.18, 95% CI 0.62–7.74, $p = 0.23$). Two variant carriers with progression were newly diagnosed with moyamoya disease.

Discussion

Our findings indicated that the *RNF213* p.R4810K variant increases the risk of intracranial artery stenosis progression.

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Go to [Neurology.org/N](https://www.neurology.org/N) for full disclosures. Funding information and disclosures deemed relevant by the authors, if any, are provided at the end of the article.

The Article Processing Charge was funded by the authors.

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Glossary

IQR = interquartile range; **OR** = odds ratio; **TIA** = transient ischemic attack.

Intracranial artery stenosis is the predominant etiology of ischemic stroke worldwide, especially in Asia.¹ There are ethnic differences in the prevalence of intracranial artery stenosis, with Asians showing a higher prevalence than Whites,² which is suggestive of environmental and genetic susceptibility. A recent study detected the p.R4810K variant of the *RNF213* gene, which is a susceptibility gene for moyamoya disease,^{3,4} in 20%–50% of patients with intracranial artery stenosis in East Asia.^{5,6} Moreover, this rare variant was detected in 1%–2% of the general population in East Asia.³ The presence of this variant increases the risk of ischemic stroke attributable to large-artery atherosclerosis.⁷ Accordingly, we hypothesized that this genetic variant may affect the long-term outcome of intracranial artery stenosis in the East Asian population. This study aimed to examine the association of the *RNF213* p.R4810K variant with long-term progression and prognosis in patients with intracranial artery stenosis using a 15-year follow-up survey.

Methods

Standard Protocol Approvals, Registrations, and Patient Consents

This longitudinal observational study was conducted at 2 comprehensive stroke centers in Osaka, Japan: Osaka University Hospital, and the National Cerebral and Cardiovascular Center (NCVC). This study was approved by the Ethics Committee for Clinical Research of Osaka University and the Ethics Committee of the NCVC. All the participants provided written informed consent.

Participants

We identified patients with asymptomatic or symptomatic intracranial artery stenosis at >1 month after the stroke onset who were enrolled in 2 prospectively collected registries (NCVC Genome Registry and Osaka Clinical Research Network for Stroke [OCR-STROKE] registry) between September 1, 2006, and October 31, 2021. We included adult patients aged older than 20 years who consented to *RNF213* genetic testing and underwent periodic follow-up MRI examinations at our outpatient clinics for >5 years. We excluded patients with contraindications to MRI, patients who participated in other clinical trials, patients with dementia or intellectual disability, and patients diagnosed with moyamoya disease at the time of the initial MRI evaluation. Figure 1 shows a flow diagram of patient selection.

MRI Evaluation

MRI examinations were performed using 1.5 or 3.0 T scanners at each site. Since different MRI scanners with different field strengths were used in this study, the stenosis degree was

evaluated through visual inspection for 9 predefined arterial segments on MR angiography (the basilar artery, right and left intracranial internal carotid artery, right and left A1-A2 segment of the anterior cerebral artery, right and left M1-M2 segment of the middle cerebral artery, and right and left P1-P2 segment of the posterior cerebral artery) based on the Warfarin and Aspirin for Symptomatic Intracranial Arterial Stenosis method.⁸ Each segment was classified based on the validated grading scale⁹ as follows: grade 1, normal or mild stenosis (0%–29% diameter stenosis); grade 2, moderate stenosis (30%–69% diameter stenosis); grade 3, severe stenosis (70%–99% diameter stenosis); and grade 4, occlusion (100% diameter stenosis). The eligibility criterion for the inclusion of stenoses was a diameter of 30%–99% (grades 2–3). Progression was defined by an increase in the stenosis degree by at least 1 grade; furthermore, marked progression was indicated by an increase in the stenosis degree by more than 1 grade or by more than 1 arterial segment. Multiple intracranial stenoses were indicated by the presence of stenoses in more than 1 arterial segment. All images were independently evaluated by 2 blinded observers (S.O. and M.O.). Interobserver reliability for the presence of progression was calculated using kappa statistics ($\kappa = 0.75$, 95% CI 0.53–0.98). Between-observer disagreements were resolved through consensus.

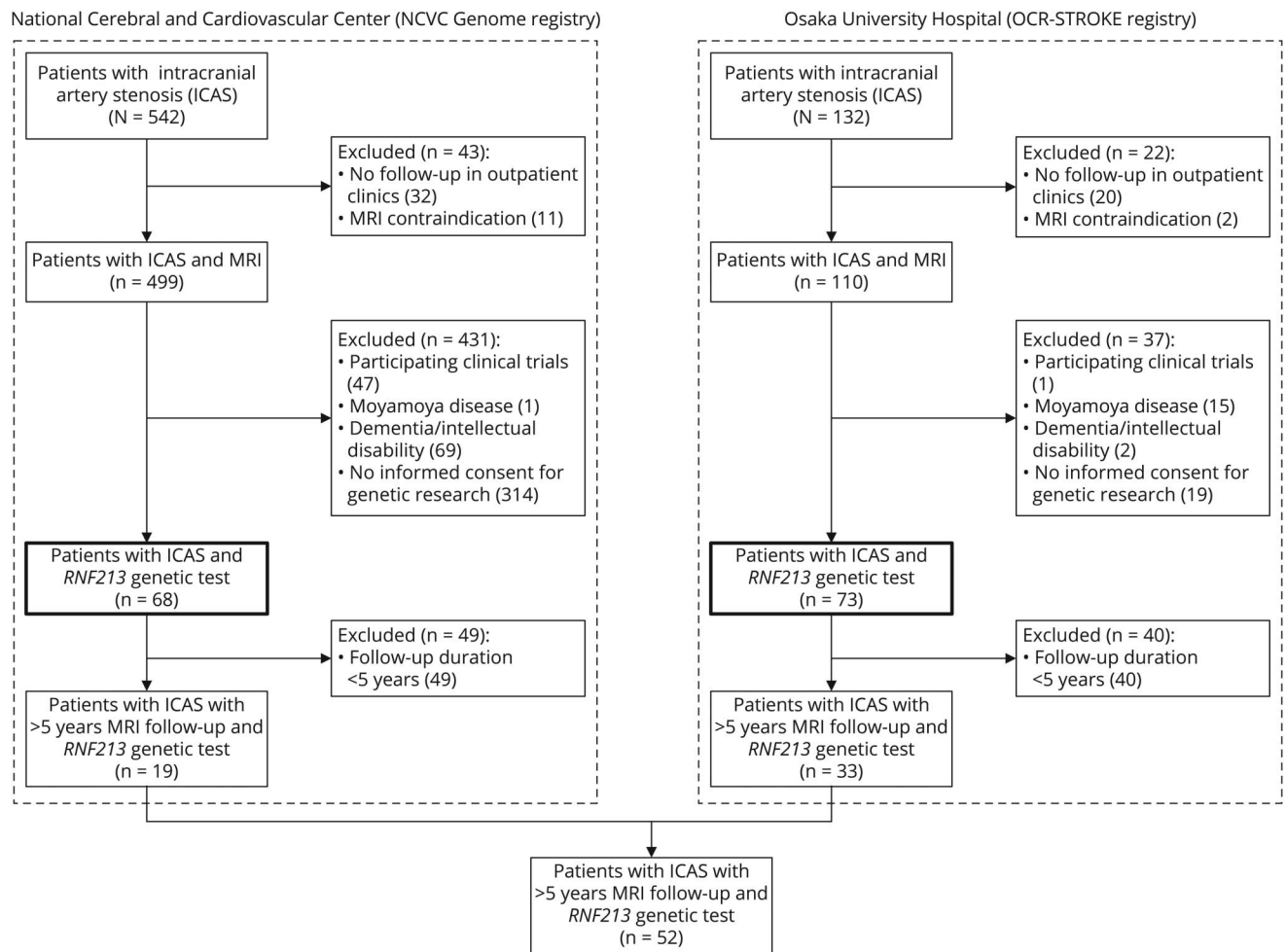
RNF213 Genotyping

Peripheral blood samples were obtained from all the patients. *RNF213* p.R4810K genotyping was performed at the end of follow-up between 2018 and 2021 using a GTS-7000 system (Shimadzu, Kyoto, Japan) as previously described.¹⁰ Based on the results, patients with the homozygous (AA genotype) and heterozygous (GA genotype) variants for p.R4810K were defined as variant carriers, while those with the wild-type homozygous (GG genotype) variant were defined as noncarriers.

Statistical Analysis

Categorical variables are presented as numbers and percentages, with comparisons using the Fisher exact test. Continuous variables are presented as the median and interquartile range, with comparisons using the Mann-Whitney *U* test. The start point for the follow-up period was the initial MRI examination after registry enrollment. In the survival analysis for progression, patients were censored at the time of MRI examination, on detection of new progression, or at the last follow-up MRI examination. In the survival analysis for transient ischemic attack (TIA) and symptomatic stroke, TIA was defined as a brief episode of neurologic dysfunction resulting from focal ischemia without evidence of infarction on MRI, while symptomatic stroke was defined as a neurologic deficit with acute infarction on CT or MRI. Patients were censored at

Figure 1 Flow Diagram of Patient Selection



the time of TIA, symptomatic stroke event, or last follow-up MRI examination. Notably, we only included patients with a >5-year MRI follow-up period and those who underwent genetic testing at the end of follow-up. Therefore, patients who died or dropped out because of severe cerebrovascular events during the follow-up period were excluded from the study. We used the Kaplan-Meier method to generate survival curves; furthermore, the log-rank test was used to compare the curves between variant carriers and noncarriers. Univariate and multivariate Cox regression models were used to calculate the hazard ratio (HR) of the variables on survival. In the multivariate Cox regression model, since most patients with dyslipidemia were treated using statins, we set up 2 different models to examine the effect of the *RNF213* p.R4810K variant on the progression of intracranial stenosis. Model 1 included age, sex, presence of dyslipidemia, and presence of the *RNF213* p.R4810K variant, while model 2 included age, sex, statin use, and presence of the *RNF213* p.R4810K variant. Statistical significance was set at $p < 0.05$. All statistical analyses were performed using JMP Pro version 16.1.0 (SAS Institute Inc., Cary, NC).

Data Availability

Anonymized data not published in this article will be made available on request from any qualified investigator.

Results

Patient Characteristics

Among 52 eligible patients, 22 (42%) were carriers of the *RNF213* p.R4810K variant. None of the patients were homozygous for the AA genotype. The median follow-up duration was 10.3 (interquartile range [IQR] 7.4–12.5) years, which ranged from 5.3 to 14.8 years. During the follow-up period, a median of 10 MRI scans was performed per patient (IQR: 7–13). Table 1 summarizes the baseline patient characteristics. There were no between-group differences in the age at initial MRI examination, sex, and prevalence of conventional risk factors. Two variant carriers had a family history of moyamoya disease. Most patients (98%) had anterior circulation stenosis; in addition, compared with noncarriers, variant carriers had more frequent posterior circulation

Table 1 Baseline Patient Characteristics

Variable	<i>RNF213</i> p.R4810K variant carriers N = 22	Noncarriers N = 30	p Value
Age at initial MRI, y	51 (39–60)	50 (43–66)	0.40
Female	12 (55%)	13 (43%)	0.58
Hypertension	15 (68%)	18 (60%)	0.58
Diabetes mellitus	4 (18%)	7 (23%)	0.74
Dyslipidemia	12 (55%)	21 (70%)	0.38
Smoking habit	4 (18%)	2 (7%)	0.38
Previous stroke or TIA	18 (82%)	19 (63%)	0.22
Family history of moyamoya disease	2 (9%)	0 (0%)	0.17
Anterior circulation stenosis	21 (95%)	30 (100%)	0.42
Posterior circulation stenosis	7 (32%)	1 (3%)	0.007
Multiple intracranial stenoses	19 (86%)	14 (47%)	0.004
Antiplatelet use	17 (77%)	25 (83%)	0.73
Statin use	14 (64%)	20 (67%)	1.00
Follow-up duration, y	10.8 (7.2–12.8)	10.1 (7.4–12.4)	0.47

Abbreviation: TIA = transient ischemic attack.

stenoses. Multiple intracranial stenoses were more common among variant carriers than among noncarriers. There was no between-group difference in the follow-up duration.

Progression of Intracranial Artery Stenosis

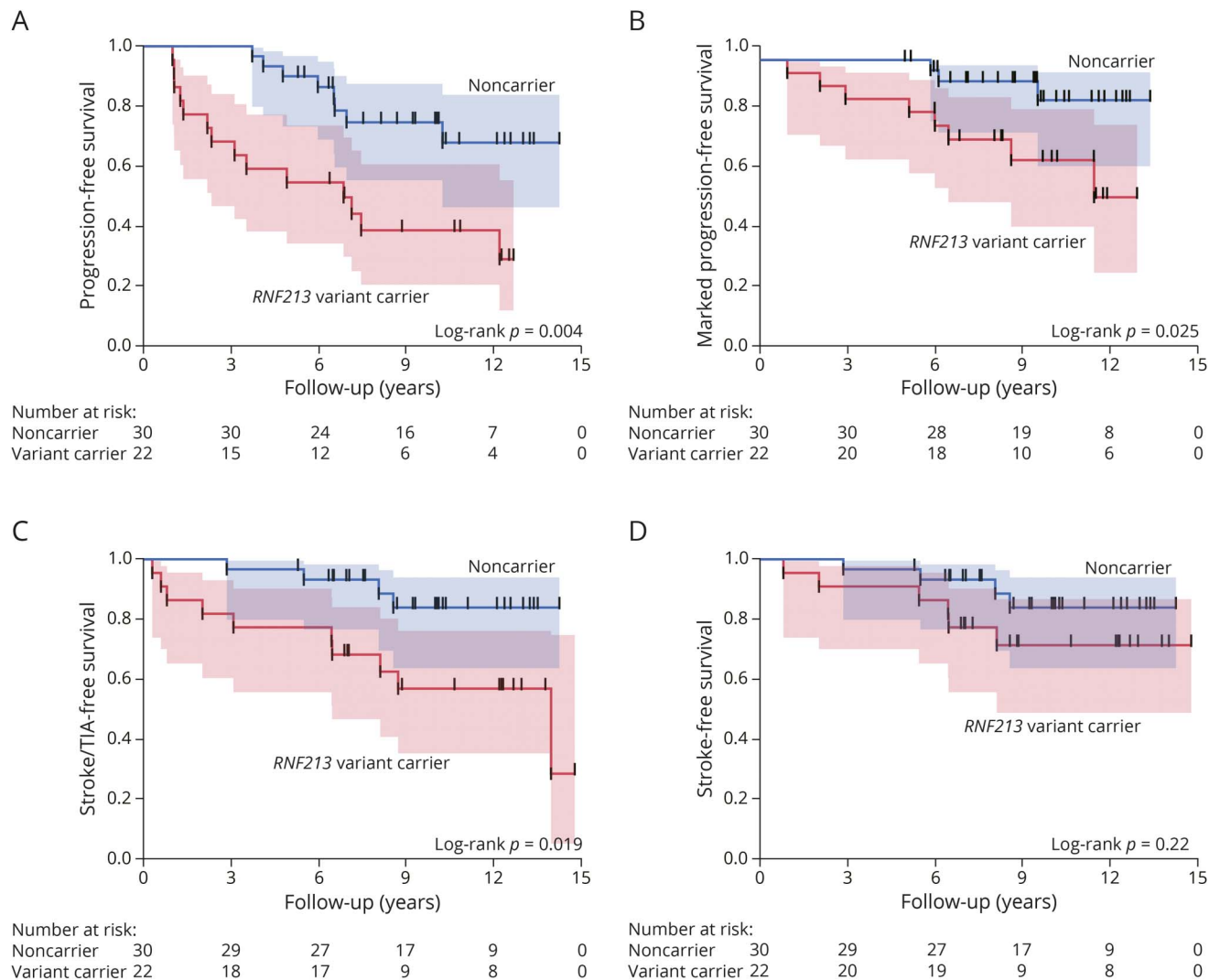
During the follow-up period, progression of intracranial artery stenosis was observed in 14 (64%) variant carriers and 8 (27%) noncarriers (odds ratio [OR] 4.81, 95% CI 1.47–15.77, $p = 0.011$). Marked progression was observed in 8 (36%) variant carriers and 3 (10%) noncarriers (OR 5.14, 95% CI 1.18–22.49, $p = 0.037$). During the follow-up period, 2 variant carriers with marked progression were newly diagnosed with moyamoya disease. Figure 2A shows the Kaplan-Meier estimates of event-free survival for the progression of intracranial artery stenosis, while Figure 2B shows those for marked progression. There was a significant association of the *RNF213* p.R4810K variant with time to progression of intracranial artery stenosis (log-rank $p = 0.004$) and time to marked progression (log-rank $p = 0.025$). Univariate Cox regression analyses showed that the presence of dyslipidemia (HR 0.41, 95% CI 0.18–0.94, $p = 0.035$), statin use (HR 0.43, 95% CI 0.18–0.99, $p = 0.047$), and *RNF213* p.R4810K variant carriers (HR 3.31, 95% CI 1.38–7.90, $p = 0.007$) were significantly associated with the progression of intracranial artery stenosis (Table 2). Statins were administered to 28 of the 33 (85%) patients with dyslipidemia. In a multivariate Cox regression model adjusted for age and sex, statin use (HR 0.25, 95% CI 0.10–0.63, $p = 0.004$) and the *RNF213* p.R4810K variant carrier status (HR 5.11, 95% CI 1.96–13.32, $p = 0.0009$) were independent predictors

of the progression of intracranial artery stenosis (Table 2). Figure 3A shows the Kaplan-Meier estimates of progression-free survival in patients with and without statin treatment. Progression of intracranial stenosis was significantly less frequent among patients who received statins than among patients who did not. Stratification according to the *RNF213* p.R4810K variant revealed a significant risk reduction by statin treatment in variant carriers (Figure 3B; HR 0.20, 95% CI 0.06–0.63, $p = 0.006$) but not in noncarriers (Figure 3C; HR 0.65, 95% CI 0.16–2.63, $p = 0.55$). We observed a similar interaction of the *RNF213* p.R4810K variant with statin treatment for the marked progression of intracranial artery stenosis (Figure 4).

Cerebrovascular Events

The composite endpoint of symptomatic stroke and TIA was observed in 45% and 13% of the variant carriers and noncarriers, respectively (OR 5.52, 95% CI 1.41–20.82, $p = 0.013$), while symptomatic stroke was observed in 27% and 13% of variant carriers and noncarriers, respectively (OR 2.44, 95% CI 0.60–9.99, $p = 0.29$). The *RNF213* p.R4810K variant increased the risk of the composite endpoint of stroke and TIA (Figure 2C, log-rank $p = 0.019$), but not symptomatic stroke alone (Figure 2D, log-rank $p = 0.215$). Univariate Cox regression analyses revealed that the *RNF213* variant carrier was associated with the time to the composite endpoint of symptomatic stroke and TIA (HR 3.70, 95% CI 1.15–11.86, $p = 0.028$), but not to symptomatic stroke alone (HR 2.18, 95% CI 0.62–7.74, $p = 0.23$).

Figure 2 Kaplan-Meier Curves for Events in Patients With and Without the *RNF213* p.R4810K Variant



Figures represent the Kaplan-Meier estimates for the progression of intracranial artery stenosis (A), marked progression of intracranial artery stenosis (B), composite endpoint of symptomatic stroke and TIA (C), and symptomatic stroke (D) based on the presence of *RNF213* p.R4810K variant. TIA = transient ischemic attack.

Discussion

Our findings demonstrated that the *RNF213* p.R4810K variant significantly increased the risk of intracranial artery stenosis progression; furthermore, this variant increased the composite risk of symptomatic stroke and TIA, but not symptomatic stroke alone.

A study on patients with moyamoya disease reported an association between the *RNF213* p.R4810K variant and the progression of intracranial artery stenosis. The *RNF213* p.R4810K variant was associated with contralateral progression in patients with unilateral moyamoya disease.¹¹ Furthermore, bilateral involvement was observed in 83% and 52% of p.R4810K-positive and p.R4810K-negative patients with moyamoya disease, respectively.¹² Moreover, the p.R4810K variant was associated with the recurrence of stroke or TIA in

patients with symptomatic intracranial artery stenosis, including moyamoya disease.¹³ Taken together, these findings indicated continuity between moyamoya disease and intracranial artery stenosis, which is termed *RNF213*-related vasculopathy.^{7,14} Although the biological function of *RNF213* protein remains unclear, it is a known key regulator of lipid metabolism, inflammation, and vascular stability.¹⁵ Vascular vulnerability caused by the *RNF213* variant may promote the progression of intracranial artery stenosis.

Notably, we observed that the presence of dyslipidemia significantly prevented the progression of intracranial artery stenosis. Since 85% of our patients with dyslipidemia received statins, this negative correlation might be suggestive of the beneficial effects of statin therapy for preventing the progression of intracranial artery stenosis. There have been consistent reports regarding the protective effects of statins

Table 2 Univariate and Multivariate Cox Regression Analyses for Progression-Free Survival

Variables	Univariate		Model 1		Model 2	
	Unadjusted HR (95% CI)	p Value	Adjusted HR (95% CI)	p Value	Adjusted HR (95% CI)	p Value
Age, y	1.01 (0.97–1.04)	0.68	1.02 (0.99–1.07)	0.20	1.01 (0.98–1.05)	0.43
Female	2.15 (0.90–5.13)	0.085	2.28 (0.93–5.58)	0.072	2.04 (0.83–5.00)	0.12
Hypertension	0.72 (0.31–1.68)	0.45	—	—	—	—
Diabetes	2.04 (0.78–5.31)	0.15	—	—	—	—
Dyslipidemia	0.41 (0.18–0.94)	0.035	0.25 (0.09–0.67)	0.006	—	—
Smoking habit	2.03 (0.68–6.05)	0.20	—	—	—	—
Previous stroke or TIA	1.43 (0.53–3.88)	0.48	—	—	—	—
Multiple stenosis	1.17 (0.49–2.80)	0.72	—	—	—	—
Antiplatelet use	0.67 (0.25–1.83)	0.43	—	—	—	—
Statin use	0.43 (0.18–0.99)	0.047	—	—	0.25 (0.10–0.63)	0.004
<i>RNF213</i> p.R4810K variant	3.31 (1.38–7.90)	0.007	3.83 (1.55–9.46)	0.004	5.11 (1.96–13.32)	0.0009

Abbreviations: HR = hazard ratio; TIA = transient ischemic attack.

Model 1 was adjusted for age, sex, dyslipidemia, and the *RNF213* p.R4810K variant. Model 2 was adjusted for age, sex, statin use, and the *RNF213* p.R4810K variant.

against intracranial artery stenosis^{2,16,17} and moyamoya disease¹⁸ in East Asian countries. Our findings demonstrated a significant risk reduction by statin treatment mainly in variant carriers. A recent experimental study reported a relationship between *RNF213* protein and lipid metabolism mediated by the regulation of cytoplasmic lipid droplets.¹⁹ Based on these results, aggressive lipid lowering treatment is a possible target for future research in *RNF213* variant carriers with intracranial artery stenosis.

The strengths of this study include its long follow-up period and the high ratio of completed periodic MRI examinations. However, this study has some limitations, including its small sample size and population stratification bias due to the study design. According to our results, the *RNF213* p.R4810K variant carriers developed cerebrovascular events more often than noncarriers. It is possible that the excluded patients, who died or dropped out during the follow-up period, included a large number of variant carriers, which may result in an underestimation of the effect of this genetic variant. In addition, we excluded patients diagnosed with moyamoya disease, 80%–90% of whom have this genetic variant.³ Patients with moyamoya disease develop cerebrovascular events with an annual incidence of 2.4%–5.7%.²⁰ This exclusion criterion may also lead to an underestimation of the risk of the *RNF213* p.R4810K variant. Moreover, due to advances in MRI scanners, we could not standardize the MRI protocol and field strength. Accordingly, this resulted in relatively low interobserver reliability regarding the presence of progression. Further validation studies using high-resolution MRI with vessel wall imaging are warranted to confirm these findings. Finally, the *RNF213* p.R4810K variant was found only in East

Asians, but it was extremely rare in Whites (maximum allele frequency: 0.0006) and other ethnic groups.^{7,21} Therefore, our findings cannot be generalized to ethnic groups outside East Asian countries.

In conclusion, our findings demonstrated that the *RNF213* p.R4810K variant is a strong predictor of the progression of intracranial artery stenosis. Our results indicate the importance of genetic testing for *RNF213* in patients with intracranial stenosis for predicting prognosis. Targeted prevention and management strategies, including aggressive lipid lowering therapy, are warranted for *RNF213* p.R4810K variant carriers with intracranial artery stenosis.

Acknowledgment

We are grateful to Dr. Yumi Yamamoto and Ms. Natsuki Hanada for their technical assistance with *RNF213* genotyping. We thank the OCR-STROKE investigators and NCVC Genome Registry investigators for their participation in data collection. A full list of investigators is provided in Appendix 2.

Study Funding

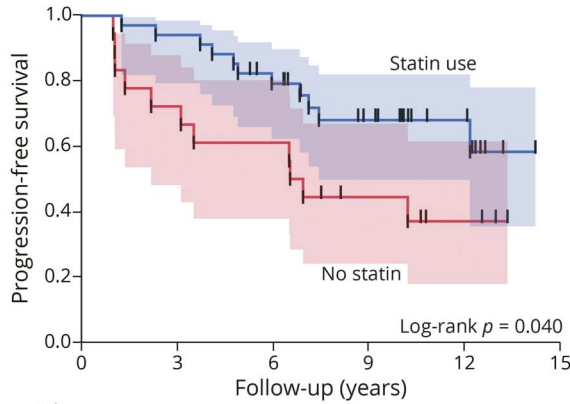
This work was supported by JSPS KAKENHI (grant number JP19K06922) and the Japan Agency for Medical Research and Development (grant number JP21ek0210120).

Disclosure

S. Okazaki was funded by JSPS KAKENHI (grant number JP19K06922). T. Yoshimoto, M. Ohara, M. Takagaki, H. Nakamura, K. Watanabe, Y. Gon, K. Todo, T. Sasaki, H. Araki, T. Yamada, S. Manabe, and H. Kishima report no disclosures. M. Ihara was funded by the Japan Agency for Medical

Figure 3 Kaplan-Meier Curves for the Progression of Intracranial Artery Stenosis in Patients With and Without Statin Use

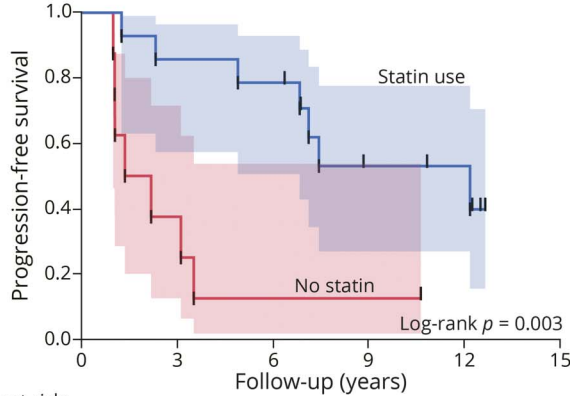
A. All participants



Number at risk:

No statin	18	13	11	6	3	0
Statin use	34	32	25	16	8	0

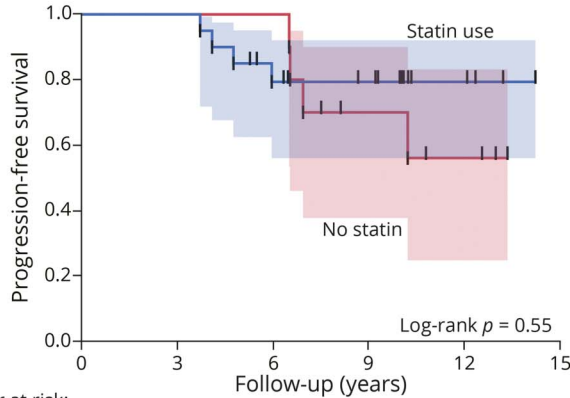
B. *RNF213* p.R4810K variant carriers



Number at risk:

No statin	8	3	1	1	0	0
Statin use	14	12	11	5	4	0

C. Noncarriers



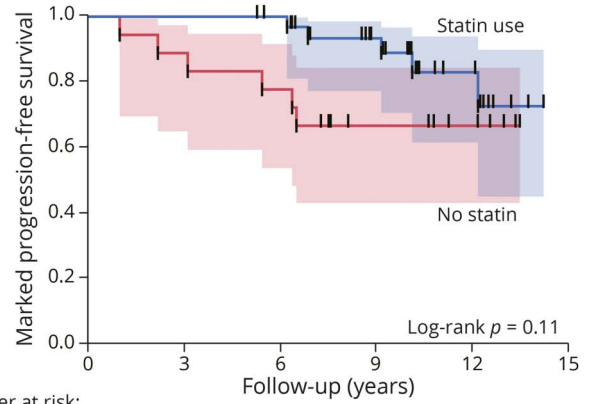
Number at risk:

No statin	10	10	10	5	3	0
Statin use	20	20	14	11	4	0

Kaplan-Meier estimates for the progression of intracranial artery stenosis according to the use of statins (A). Subgroup analyses of *RNF213* p.R4810K variant carriers (B) and noncarriers (C).

Figure 4 Kaplan-Meier Curves for the Marked Progression of Intracranial Artery Stenosis in Patients With and Without Statin Use

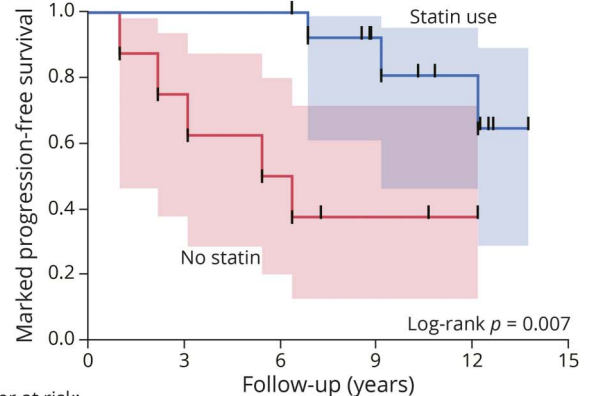
A. All participants



Number at risk:

No statin	18	16	14	8	5	0
Statin use	34	34	32	21	9	0

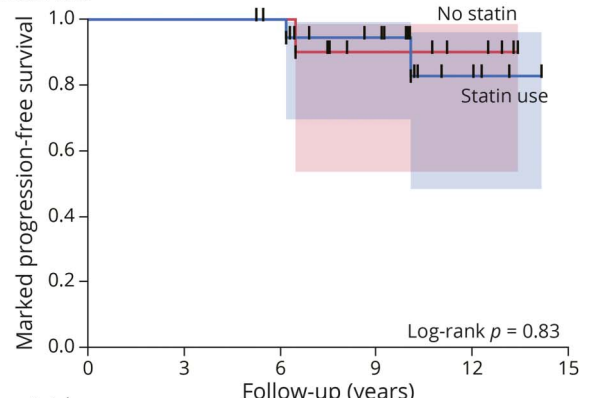
B. *RNF213* p.R4810K variant carriers



Number at risk:

No statin	8	6	4	2	1	0
Statin use	14	14	14	8	5	0

C. Noncarriers



Number at risk:

No statin	10	10	10	6	4	0
Statin use	20	20	18	13	4	0

Kaplan-Meier estimates for the progression of intracranial artery stenosis according to the use of statins (A). Subgroup analyses of *RNF213* p.R4810K variant carriers (B) and noncarriers (C).

Research and Development (grant number JP21ek0210120). H. Mochizuki reports no disclosures. Go to [Neurology.org/N](https://www.neurology.org/N) for full disclosures.

Publication History

Received by *Neurology: Genetics* April 4, 2022. Accepted in final form July 25, 2022. Submitted and externally peer reviewed. The handling editor was Margherita Milone, MD, PhD.

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Kotaro Watanabe, MD	Department of Neurology, Osaka University Graduate School of Medicine, Osaka, Japan	Major role in the acquisition of data
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Kenichi Todo, MD, PhD	Department of Neurology, Osaka University Graduate School of Medicine, Osaka, Japan	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data
Tsutomu Sasaki, MD, PhD	Department of Neurology, Osaka University Graduate School of Medicine, Osaka, Japan	Drafting/revision of the manuscript for content, including medical writing for content
Hiroyuki Araki	Department of Biostatistics and Data Science, Osaka University Graduate School of Medicine, Osaka, Japan	Drafting/revision of the manuscript for content, including medical writing for content; analysis or interpretation of data
Tomomi Yamada, PhD	Department of Medical Innovation, Osaka University Hospital, Suita, Japan	Drafting/revision of the manuscript for content, including medical writing for content; analysis or interpretation of data

Appendix 1 (continued)

Name	Location	Contribution
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Haruhiko Kishima, MD, PhD	Department of Neurosurgery, Osaka University Graduate School of Medicine, Osaka, Japan	Drafting/revision of the manuscript for content, including medical writing for content
Masafumi Ihara, MD, PhD	Department of Neurology, National Cerebral and Cardiovascular Center, Osaka, Japan	Drafting/revision of the manuscript for content, including medical writing for content; study concept or design
Hideki Mochizuki, MD, PhD	Department of Neurology, Osaka University Graduate School of Medicine, Osaka, Japan	Drafting/revision of the manuscript for content, including medical writing for content

Appendix 2 Coinvestigators

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