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The stringent response promotes biofilm dispersal in *Pseudomonas putida*

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Biofilm dispersal is a genetically programmed response enabling bacterial cells to exit the biofilm in response to particular physiological or environmental conditions. In *Pseudomonas putida* biofilms, nutrient starvation triggers c-di-GMP hydrolysis by phosphodiesterase BifA, releasing inhibition of protease LapG by the c-di-GMP effector protein LapD, and resulting in proteolysis of the adhesin LapA and the subsequent release of biofilm cells. Here we demonstrate that the stringent response, a ubiquitous bacterial stress response, is accountable for relaying the nutrient stress signal to the biofilm dispersal machinery. Mutants lacking elements of the stringent response – (p)ppGpp synthetases [RelA and SpoT] and/or DksA – were defective in biofilm dispersal. Ectopic (p)ppGpp synthesis restored biofilm dispersal in a $\Delta relA \Delta spoT$ mutant. *In vivo* gene expression analysis showed that (p)ppGpp positively regulates transcription of *bifA*, and negatively regulates transcription of *lapA* and the *lapBC*, and *lapE* operons, encoding a LapA-specific secretion system. Further *in vivo* and *in vitro* characterization revealed that the *PbifA* promoter is dependent on the flagellar σ factor FlIA, and positively regulated by ppGpp and DksA. Our results indicate that the stringent response stimulates biofilm dispersal under nutrient limitation by coordinately promoting LapA proteolysis and preventing *de novo* LapA synthesis and secretion.

Alternation between a free-swimming planktonic lifestyle and the formation of structured highly cooperative polymer-encased sessile communities, also known as biofilms, is a staple of bacterial life in the environment¹. Biofilm formation is a form of coordinated collective behaviour that has been regarded as an evolutionary precursor of developmental processes^{2,3}. The biofilm developmental cycle proceeds through distinct stages of adhesion, proliferation and maturation, and is terminated by programmed biofilm dispersal^{2–4}. Transition through these stages requires the timely production of different factors in response to environmental and physiological cues occurring during the biofilm developmental cycle, which involves a variety of signal transduction and regulatory pathways to connect such cues to the appropriate physiological responses³.

Unlike other means of biofilm escape, such as detachment or sloughing, biofilm dispersal is a genetically programmed response that enables bacterial cells to exit the biofilm in response to physiological or environmental conditions – i.e. conditions that are insufficient to provoke such effect in the absence of an active response by the cells⁵. Biofilm dispersal is generally preceded by a decrease in the intracellular concentration of cyclic diguanylate (c-di-GMP)^{6–11}, a ubiquitous bacterial second messenger that mediates the transitions between the planktonic and sessile lifestyles, and is also involved in directing other diverse cellular processes, including motility, protein secretion, cell cycle progression and virulence^{12–16}. Within a biofilm, the reduction of c-di-GMP levels stimulates the synthesis and release of hydrolytic enzymes to break down components of the extracellular polymeric substance (EPS) of the biofilm matrix¹⁷. As the hallmark of the transition from sessile to planktonic growth, decreased c-di-GMP levels lead to the cessation of the expression of biofilm-specific traits, such as synthesis of

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EPS components, and the induction of planktonic-specific traits, such as flagellar motility^{5,12,13}. While factors involved in biofilm dispersal have been recognized in a handful of organisms^{7,18–21}, the regulatory pathways connecting the environmental or physiological dispersal-inducing cues with such dispersal factors are for the most part unidentified.

Biofilms of the Gram-negative soil bacterium *Pseudomonas putida* undergo rapid dispersal in response to nutritional stress²². Studies performed in *P. putida* and the related species *P. fluorescens* have determined that dispersal is effected by proteolytic cleavage of the high molecular weight adhesin LapA by the periplasmic protease LapG. Dispersal conditions are signaled by a decrease in c-di-GMP concentration, which is sensed by the membrane-bound signal transduction protein LapD. LapD inhibits LapA proteolysis by sequestering LapG in the presence of high c-di-GMP levels, but inhibition is released when c-di-GMP levels are lowered, resulting in LapA cleavage and disassembly of cell-surface and cell-cell contacts^{4,7,15,23}. We recently showed that the phosphodiesterase (PDE) BifA is responsible for the drop in c-di-GMP levels that signals biofilm dispersal in *P. putida*²⁴. Despite the detailed knowledge of the effector system for biofilm dispersal in this organism, the mechanisms that modulate the c-di-GMP levels in response to nutrient availability remain unknown.

The hyperphosphorylated guanine nucleotides guanosine 3',5'-bis(diphosphate) (guanosine tetraphosphate or ppGpp) and guanosine 3'-diphosphate, 5'-triphosphate (guanosine pentaphosphate or pppGpp), collectively known as (p)ppGpp, are key players in the regulation of bacterial growth and metabolism²⁵. In response to different conditions of nutritional or environmental stress, increased synthesis of (p)ppGpp results in major reprogramming of transcription, inhibition of DNA replication, and multiple changes in bacterial metabolism and behavior, a phenomenon known as the stringent response (SR)^{26–28}. The SR is an adaptive physiological response aimed to increase survival under harsh conditions, and has also been shown to influence biofilm formation, antibiotic resistance, persistence and virulence^{25,29}. In *P. putida* and other γ and β proteobacteria, (p)ppGpp is synthesized by two proteins: RelA, whose activity is induced under amino acid starvation, and SpoT, which responds to other forms of nutritional stress, such as carbon, iron, oxygen or fatty acid limitation, and also bears (p)ppGpp hydrolase activity³⁰. In these organisms, the TraR-family protein DksA acts as an auxiliary factor of the SR by increasing the sensitivity of RNA polymerase (RNAP) to the cellular levels of (p)ppGpp, with the consequent synergistic inhibition or stimulation of transcription from kinetically sensitive promoters^{28,31,32}.

In a genetic screen for *P. putida* factors involved in starvation-induced dispersal, we isolated two insertion mutants in *dksA* that showed a delayed biofilm dispersal phenotype³³. Here we explore the role of the players of the stringent response, namely DksA and the (p)ppGpp synthetases RelA and SpoT, in the induction of biofilm dispersal in this organism.

Results

Stringent response mutants are defective in starvation-induced dispersal. We previously showed³³ that a *P. putida* mutant bearing a transposon insertion in *dksA* showed a delayed dispersal response in biofilms formed on microtiter plate wells. *P. putida dksA* (PP_4693) is located upstream and in the same orientation to PP_4694, encoding glutamyl-Q tRNA(Asp) synthetase. Operon prediction by the DOOR algorithm³⁴, as well as the lack of transcription start sites mapped by differential RNA sequencing upstream from PP_4694³⁵ strongly suggest that both genes are co-transcribed. Thus, to prevent polar effects on the downstream gene, we used allele replacement to construct MRB46, a derivative of the reference strain *P. putida* KT2440 bearing an unmarked in-frame deletion of *dksA*. In addition, we also generated the same $\Delta dksA$ mutation in PP1922, a KT2440 derivative unable to synthesize (p)ppGpp (henceforth designated ppGpp⁰), due to deletions of the (p)ppGpp synthetase-encoding genes *relA* and *spoT*³². To analyse the ability of this mutant set to form and disperse biofilms in a microtiter dish setting, we performed dilution series-based growth curves, a method in which a dilution series is used to recapitulate the time-course of planktonic and biofilm growth³³. To this end, the wild-type (KT2440), $\Delta dksA$ (MRB46), $\Delta relA$ (PP1437), ppGpp⁰ (PP1922) and $\Delta dksA$ ppGpp⁰ (MRB53) strains were serially diluted in LB, inoculated in microtiter plate wells and planktonic and biofilm growth was monitored after 20 hour incubation (Fig. 1).

Consistent with the behaviour of the insertion mutant, the $\Delta dksA$ strain showed somewhat slower planktonic and biofilm growth relative to the wild-type (Fig. 1a). In addition, this mutant failed to disperse the biofilm at the onset of stationary phase. Instead, biofilm biomass was retained until well into stationary phase and then a delayed dispersal response was observed. The ppGpp⁰ and $\Delta dksA$ ppGpp⁰ mutants exhibited similar behaviour: after a prolonged lag phase, planktonic and biofilm growth occurred similarly to that of the wild-type, but the biofilm was not dispersed at the onset of stationary phase (Fig. 1b,c). Rather, biofilm biomass accumulated to peak at levels 2- to 3-fold greater than those in the wild-type and, even though biofilm levels decreased somewhat during late stationary phase, a full dispersal response did not occur. Extended incubation of the $\Delta dksA$ ppGpp⁰ mutant dilution set to 26 hours resulted in a similar increase in biofilm accumulation, while dispersal was still not observed (Supplementary Fig. S1). These results strongly suggest that the lack of the components of the stringent response is associated with a permanent defect in biofilm dispersal. Interestingly, a $\Delta relA$ mutant (PP1437) showed a phenotype indistinguishable from that of the wild-type (Fig. 1d), suggesting that the (p)ppGpp synthesis activity of SpoT is sufficient to support a normal biofilm growth cycle under our experimental conditions.

A similar set of dilution-based growth curves was performed in K10T-1, a medium containing tryptone and glycerol that is commonly used for biofilm studies in *Pseudomonas fluorescens*³⁶ (Supplementary Fig. S2). The general pattern of planktonic growth and biofilm accumulation and dispersal was similar to that observed in LB, but the dispersal defect of the $\Delta dksA$ mutant was more severe, as biofilm biomass was not diminished in late stationary phase. Complementation assays confirmed that the lack of DksA is responsible for these phenotypes, as insertion of a miniTn7BB-Gm derivative expressing *dksA* from its own promoter rescued both the growth and biofilm dispersal

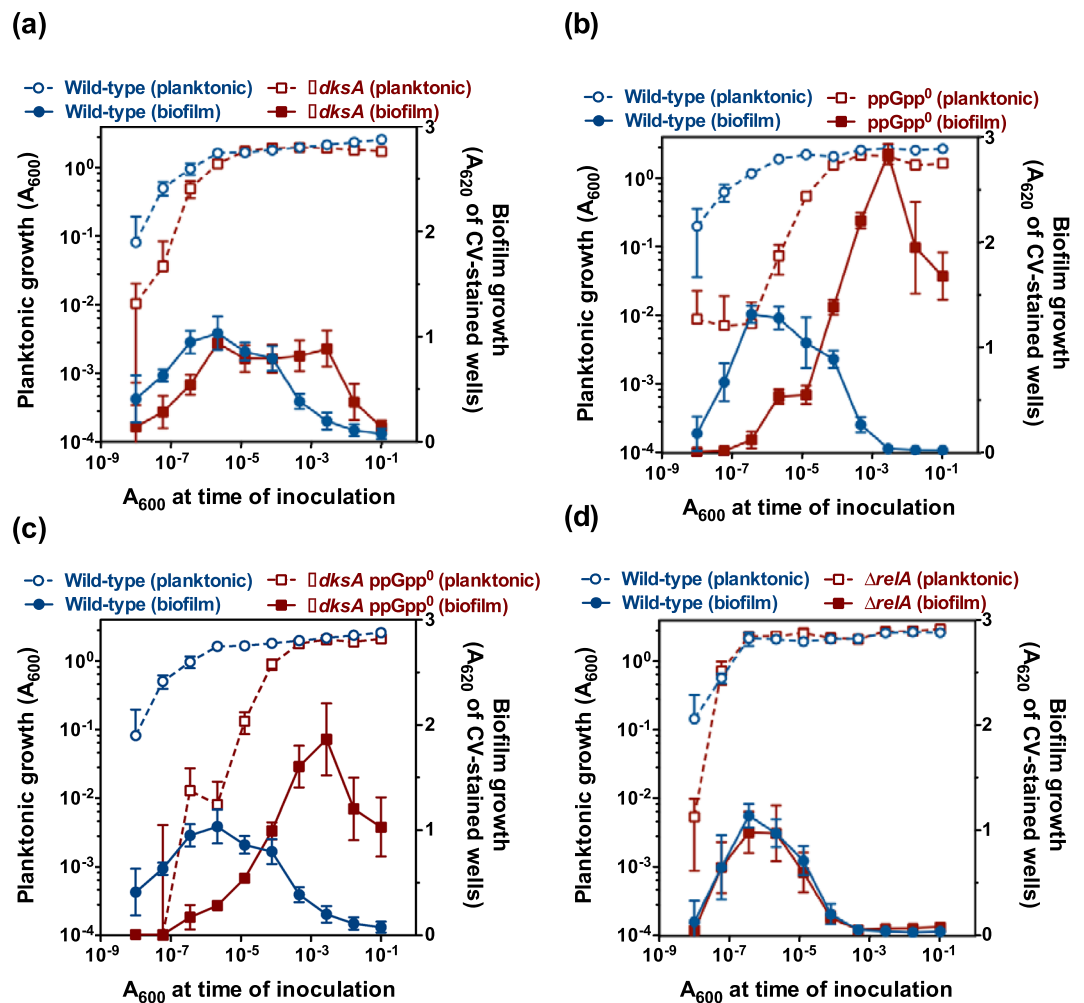


Figure 1. Dilution series-based planktonic and biofilm growth curves of stringent response mutants in LB. Planktonic (left axes, open symbols) or biofilm growth (right axes, closed symbols) is plotted against the initial A_{600} of each dilution. Blue circles represent the wild-type KT2440 strain and red squares represent the $\Delta dksA$ mutant MRB46 (a), the $ppGpp^0$ mutant PP1922 (b), the $\Delta dksA ppGpp^0$ mutant MRB53 (c), or the $\Delta relA$ mutant PP1437 (d). Plates were incubated for 20 hours prior to measurement. Plots display one representative experiment of at least three biological replicates. Error bars represent the standard deviation of the six technical replicates.

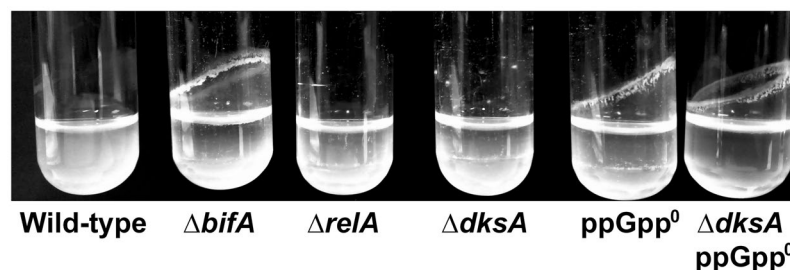


Figure 2. Pellicle formation by stringent response mutants. The picture shows a representative assay of three biological replicates.

defects of the $\Delta dksA$ strain (Supplementary Fig. S3). Taken together, our results strongly suggest that an active stringent response involving DksA and (p)ppGpp synthesis is required for starvation-induced biofilm dispersal.

The ability of our mutant set to form a biofilm at the medium-air interphase (pellicle) was also assessed using shaking culture tubes containing K10T-1 medium (Fig. 2). While the wild-type strain showed little or no pellicle biomass, the KT2442-derived $\Delta bifA$ strain MRB32 (used here as a positive control) displayed a thick pellicle, as previously described²³. The $\Delta relA$ and $\Delta dksA$ mutants displayed behaviour similar to that of the wild-type, while

the ppGpp⁰ and $\Delta dksA$ ppGpp⁰ mutants showed pellicle levels comparable to those in the $\Delta bifA$ mutant. The correlation between the inability to synthesize (p)ppGpp, high levels of biofilm and pellicle formation suggests that (p)ppGpp is a negative regulator of cell adhesion and biofilm formation.

Ectopic (p)ppGpp synthesis restores biofilm dispersal in the ppGpp⁰ mutant. To further characterize the role of (p)ppGpp in the biofilm growth cycle, we determined the effect of ectopic (p)ppGpp synthesis on planktonic and biofilm growth of the wild-type and ppGpp⁰ strains. To this end, plasmids pMRB160 and pMRB162 were constructed to produce the C-terminally truncated derivatives of the *Escherichia coli* RelA protein RelA ^{$\Delta 456-743$} , displaying constitutive (p)ppGpp synthetic activity, and RelA ^{$\Delta 332-743$} , which is inactive^{37,38}, from the *nahR-Psal* expression system³⁹. The expressing plasmids were transferred to the wild-type KT2440 and ppGpp⁰ PP1922 strains and biofilm and planktonic growth was assessed by means of serial dilution-based growth curves. Even though the *Psal* promoter is inducible by salicylate, induction of RelA ^{$\Delta 456-743$} synthesis was inhibitory to growth, and therefore assays were only performed in the absence of salicylate (Fig. 3).

The planktonic and biofilm growth curves of the wild-type strain bearing the RelA ^{$\Delta 456-743$} and RelA ^{$\Delta 332-743$} -producing plasmids (Fig. 3a) were similar to that observed in the absence of plasmid (Fig. 1a–d). When tested in the ppGpp⁰ background, the inactive RelA ^{$\Delta 332-743$} -producing strain also showed a behaviour similar to that of the plasmid-free ppGpp⁰ strain (Fig. 1b). In contrast, production of the constitutive RelA ^{$\Delta 456-743$} derivative rescued both the planktonic growth and biofilm dispersal defects associated to the lack of (p)ppGpp (Fig. 3b), suggesting that basal transcription from *Psal* provides sufficient amount of RelA ^{$\Delta 456-743$} to promote biofilm dispersal, while not being detrimental to growth. It could be argued that ectopic (p)ppGpp production may promote non-specific biofilm detachment by mechanisms unrelated to the starvation-induced biofilm dispersal signalling pathway. To test this hypothesis, the effect of RelA ^{$\Delta 456-743$} and RelA ^{$\Delta 332-743$} production was also tested in pMRB160 and pMRB162-bearing *P. putida* strain MRB1³³. This mutant lacks the periplasmic protease LapG, the effector element of starvation-induced dispersal response, required for LapA cleavage. Although production of the constitutive RelA ^{$\Delta 456-743$} derivative provoked a considerable delay in planktonic growth of MRB1 (Fig. 3c), both plasmid-bearing strains displayed high levels of biofilm that failed to reproduce the dispersal response upon entry in stationary phase, much like our previous observations with plasmid-free MRB1³³. These results are consistent with the hypothesis that (p)ppGpp induces a dispersal response functionally similar to the previously characterized starvation-induced dispersal response.

The flagellar σ factor FliA and the stringent response coordinately regulate *bifA* transcription. Our recent work revealed that BifA is the PDE responsible for c-di-GMP depletion during biofilm dispersal. On the other hand, our results above establish that presence of the elements of the SR is required for biofilm dispersal. In *P. putida*, *bifA* transcription is dependent on the flagellar σ -factor FliA⁴⁰. In addition, it has been shown that *P. putida* RNAP utilizing FliA is co-ordinately regulated by DksA and (p)ppGpp to elevate the expression of the *aer-2* gene, encoding an oxygen- and metabolism-sensor protein⁴¹. Therefore, we reasoned that, similarly to *aer-2*, *bifA* transcription may be subjected to dual regulation by FliA and the SR. To test this possibility, plasmid pMRB68, harbouring a *PbifA-gfp-lacZ* fusion was transferred to KT2440 and its SR and *fliA*⁻ mutant derivatives and expression was monitored across the growth curve by means of β -galactosidase assays (Fig. 4).

Expression of the *PbifA* promoter in the wild-type strain increased 3-fold as the culture progressed from exponential to stationary phase. A similar behaviour was observed in the $\Delta dksA$ mutant, suggesting that DksA does not greatly influence *bifA* expression in these conditions. In contrast, low, basal expression levels were observed in the *fliA*⁻, ppGpp⁰ and $\Delta dksA$ ppGpp⁰ mutants. These results confirm the FliA-dependence of *PbifA* transcription and indicate that (p)ppGpp synthesis is required for high level expression of *bifA*.

To determine whether the effect of FliA and the SR on *bifA* is exerted at the level of *PbifA* transcription initiation, single-round *in vitro* transcription assays were performed. These assays used plasmid pVI2407, containing the *PbifA* promoter, as a template, purified *P. putida* core RNA polymerase, FliA, and DksA, and ppGpp. As a control, similar reactions were performed using plasmid pVI1011, which bears the previously analysed FliA-, DksA- and ppGpp-regulated *P. putida* *Paer2* promoter⁴¹.

In the presence of FliA-RNAP but in the absence of ppGpp and DksA the levels of *PbifA* transcript were low. Transcript levels were increased in the presence of DksA, to reach a maximum 6-fold stimulation at 4 μ M DksA (Fig. 5a). While ppGpp did not substantially affect *PbifA* transcription in the absence of DksA (Figs 5c and S4a), simultaneous addition of ppGpp and DksA resulted in the synergistic stimulation of *PbifA*: while 1 μ M DksA alone provoked a 3-fold increase in transcription relative to the condition with no protein or effector added, stimulation was increased up to 5- to 9-fold when 1 μ M DksA was combined with 100, 200, 400 or 600 μ M ppGpp (Figs 5c and S4a). Conversely, 100–600 μ M ppGpp did not stimulate transcription noticeably in the absence of DksA, but provoked a 2- to 3-fold increase in the transcript levels in the presence of 1 μ M DksA relative to those obtained with 1 μ M DksA in the absence of ppGpp. Consistent with previous results⁴¹, only a comparatively modest regulation of the *Paer2* promoter, amounting to a maximum 2-fold stimulation by DksA alone (Fig. 5b) and a 3-fold combined effect of 1 μ M DksA plus 200–600 μ M ppGpp (Figs 5d and S4b), was observed. Taken together these results confirm that the *PbifA* promoter is FliA-dependent and performance of FliA-RNAP at this promoter is directly stimulated by ppGpp and DksA *in vitro*. Furthermore, the high extent of the stimulation of the *PbifA* promoter indicates that the global effectors of the SR – ppGpp and DksA – can strongly influence transcription by FliA-RNAP.

(p)ppGpp negatively regulates LapA synthesis and secretion. In addition to BifA, other elements involved in the dispersal response are LapD, a c-di-GMP sensor that regulates the proteolytic activity of the LapG, and LapA, a high molecular weight outer membrane adhesin. On the other hand, LapA localization at the outer membrane is strictly dependent on a ABC-type secretion system, comprised of LapB, LapC and LapE⁴². In

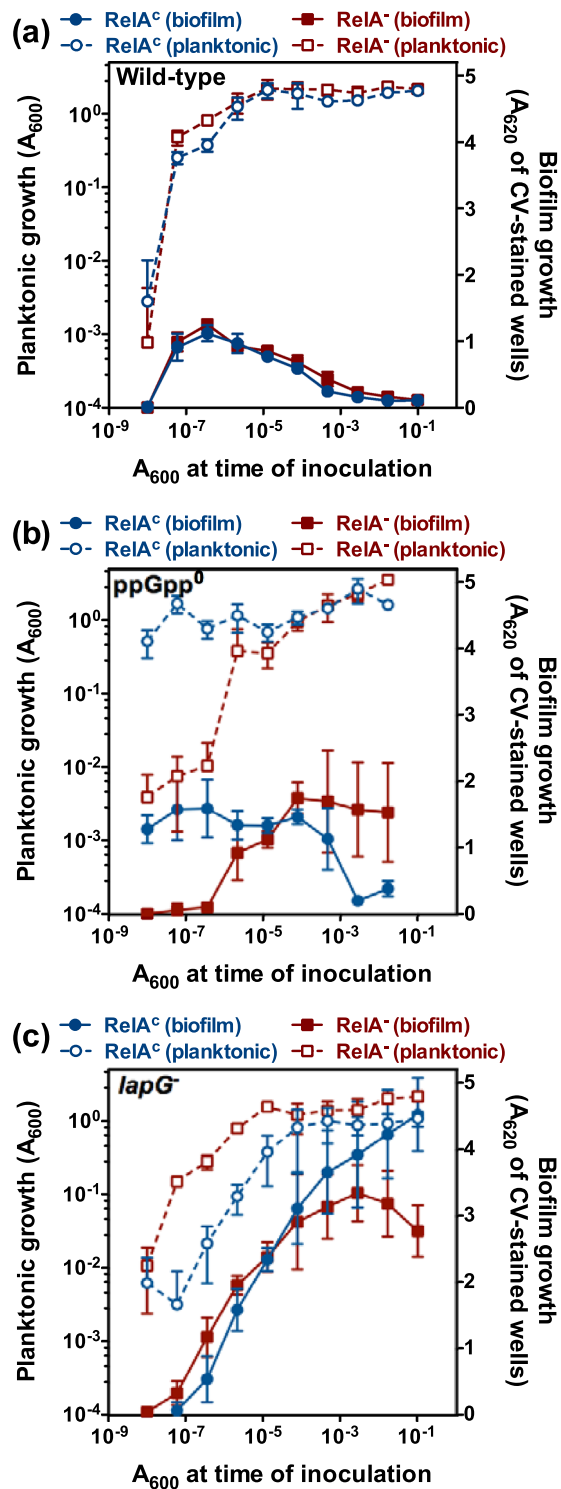


Figure 3. Dilution-based planktonic and biofilm growth curves of *P. putida* strains producing RelA derivatives. Planktonic (left axes, open symbols) or biofilm growth (right axes, closed symbols) is plotted against the initial A_{600} of each dilution. Panel (a): blue circles and red squares represent the wild-type strain KT2440 bearing the constitutive $RelA^{\Delta 456-743}$ -producing plasmid pMRB160 and the inactive $RelA^{\Delta 332-743}$ -producing plasmid pMRB162, respectively. Panel (b): blue circles and red squares represent the $ppGpp^0$ strain PP1922 bearing the constitutive $RelA^{\Delta 456-743}$ -producing plasmid pMRB160 and the inactive $RelA^{\Delta 332-743}$ -producing plasmid pMRB162, respectively. Panels (c): blue circles and red squares represent the $lapG^-$ strain MRB1 bearing the constitutive $RelA^{\Delta 456-743}$ -producing plasmid pMRB160 and the inactive $RelA^{\Delta 332-743}$ -producing plasmid pMRB162, respectively. The growth medium was LB. Plates were incubated for 20 hours prior to measurement. Plots display one representative experiment of at least three biological replicates. Error bars represent the standard deviation of the six technical replicates.

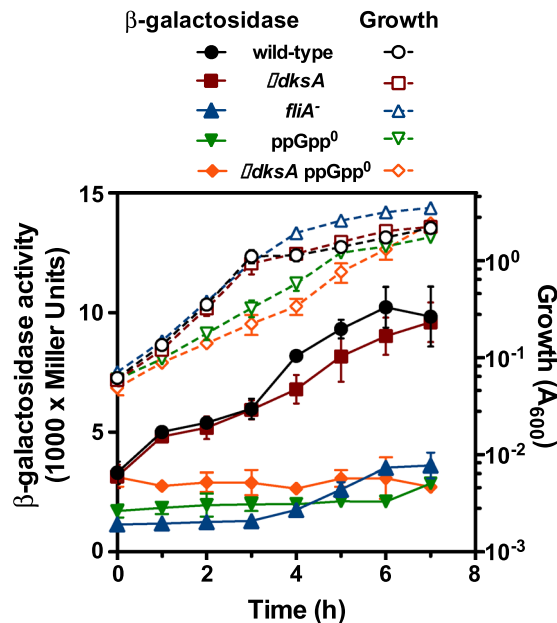


Figure 4. *In vivo* expression of the *PbfA* promoter. Growth (closed symbols, right Y axis) and β -galactosidase activity (open symbols, left Y axis) from wild-type (KT2440; black circles), $\Delta dksA$ (MRB46; red squares), *fliA* (KT2440 *fliA::aphA-3*; blue triangles), ppGpp⁰ (PP1922; green inverted triangles) and $\Delta dksA$ ppGpp⁰ (MRB53; orange diamonds) *P. putida* strains bearing the *PbfA-gfpmut3-lacZ* fusion transcriptional reporter plasmid pMRB68. The plot represents the averages and standard deviations of three independent assays performed in duplicate.

order to identify possible additional biofilm dispersal-related targets of SR response signaling, plasmids pMRB67, pMRB66, pMRB240 and pMRB241, bearing transcriptional *gfp-lacZ* fusions to the *PlapA* and *PlapBC*, *PlapE*, and *PlapGD* promoters, respectively, were introduced into the wild-type KT2440 and the ppGpp⁰ mutant PP1922, and expression was assessed by online monitoring of GFP fluorescence during the growth curve. Differential rates of GFP fluorescence accumulation during exponential phase were calculated from the slopes of the fluorescence vs. absorbance plots (Fig. 6a–d). Expression from the *PlapA*, *PlapBC* and *PlapE* promoters was increased 4-, 2- and 3-fold respectively in the ppGpp⁰ relative to the wild-type strain, while, *PlapGD* expression was not significantly altered in these conditions (Fig. 6e). These results indicate that, in addition to stimulating the synthesis of the c-di-GMP-depleting PDE BifA, (p)ppGpp acts directly or indirectly by diminishing the synthesis and secretion of the high molecular weight biofilm adhesin LapA.

Discussion

Programmed biofilm dispersal is a mechanism described in multiple bacteria that enables them to actively exit the biofilm structure and resume a planktonic lifestyle in response to environmental and/or physiological cues. Here we describe the involvement of the components of the SR, the nucleotide alarmone (p)ppGpp and the protein DksA, in the induction of biofilm dispersal in the Gram-negative soil bacterium *P. putida* KT2440. The SR and (p)ppGpp have previously shown to influence biofilm development in multiple bacterial species, including *Listeria monocytogenes*, *Streptococcus mutans*, *Enterococcus faecalis*, *Vibrio cholerae* and uropathogenic *E. coli*^{29,43–47}. In contrast to our findings, induction of the SR stimulated biofilm formation in these organisms, and this positive effect has been used as an argument for the development of SR inhibitors as therapeutic agents against biofilm infections^{48–50}. However, our results indicate that this is not always the case and, depending on the bacterial species, the result of treatment with SR antagonists may be exactly the opposite – i.e., stimulation of biofilm formation. Interestingly, (p)ppGpp is a negative regulator of biofilm growth in *Francisella novicida*^{51,52}, although the mechanisms involved have not been explored further.

Our phenotypic analysis of $\Delta dksA$, ppGpp⁰ and $\Delta dksA$ ppGpp⁰ strains revealed defects in starvation-induced biofilm dispersal. Strains unable to synthesize (p)ppGpp (ppGpp⁰ and $\Delta dksA$ ppGpp⁰) were strongly impaired in biofilm dispersal, at least within the 20–26 h time frame of our experiments (Fig. 1; Supplementary Figs S1 and S2), and displayed elevated biofilm levels, both in a microtiter plate submerged biofilm model (Fig. 1; Supplementary Figs S1 and S2), and in a medium-air interphase (Fig. 2) setting. Similarly elevated biofilm levels have also been observed in other *P. putida* mutants lacking elements involved in biofilm dispersal, such as LapG^{7,33}, or BifA^{24,33}. In contrast to the (p)ppGpp⁰ strain, a wild-type dispersal response was observed in the $\Delta relA$ mutant (Fig. 1), indicating that SpoT-dependent (p)ppGpp synthesis is sufficient to trigger dispersal under our culture conditions. An analogous situation has been reported for *Salmonella* virulence in mice, which is attenuated in a ppGpp⁰, but not in a $\Delta relA$ mutant^{53–55}. On the other hand, the defects observed in the $\Delta dksA$ strain were comparatively small relative to those observed in the ppGpp⁰ and $\Delta dksA$ ppGpp⁰ strains – biofilm dispersal was delayed but not abolished, and biofilm overgrowth was not observed (Figs 1 and 2; Supplementary Figs S1

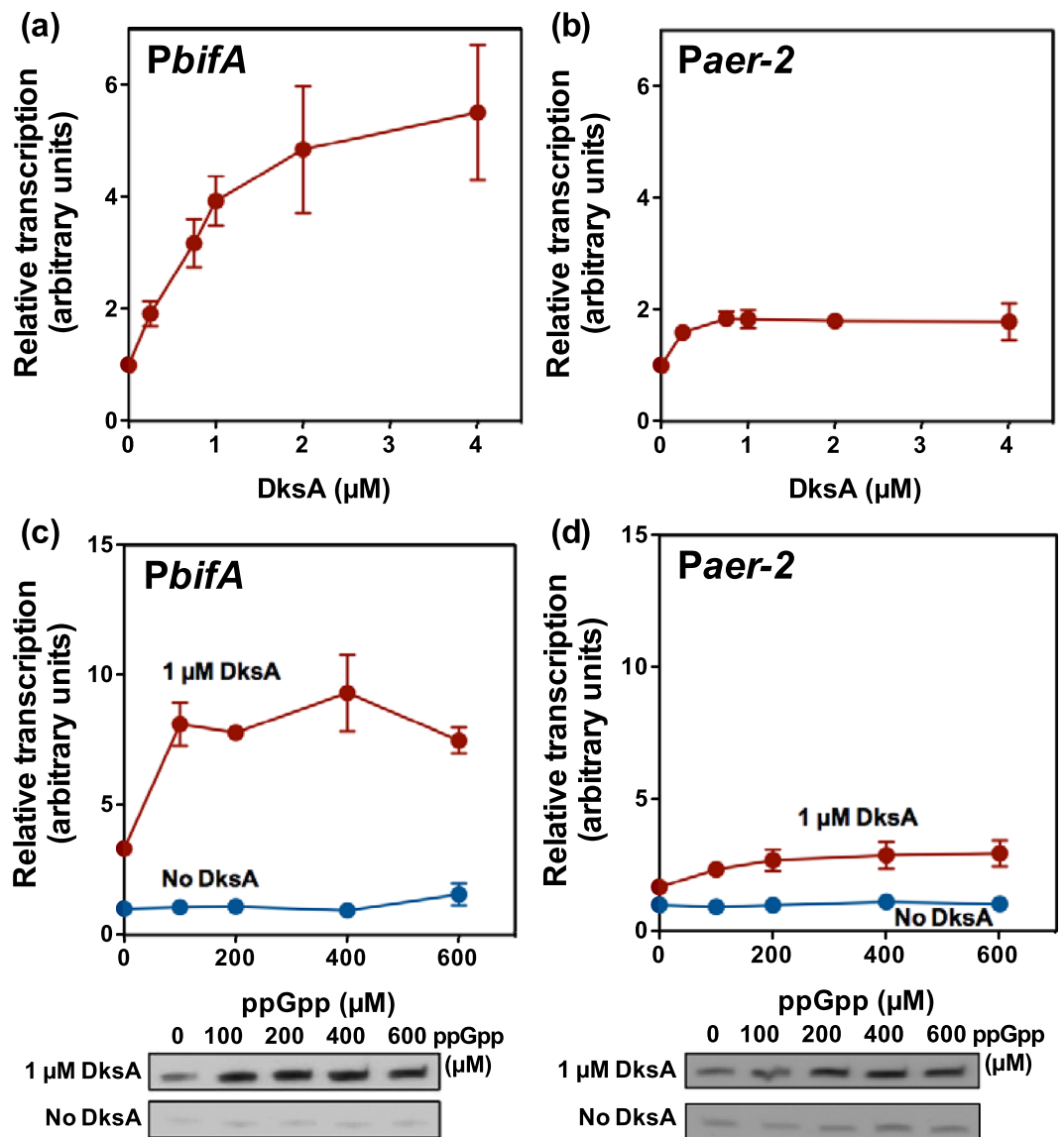


Figure 5. *In vitro* transcription of the *PbifA* and *Paer-2* promoters. Panels (a) and (b): DksA titration on the *PbifA* (a) and *Paer-2* (b) promoters. Panels (c) and (d): ppGpp titration on the *PbifA* (c) and *Paer-2* (d) promoters, in the absence (blue circles) and in the presence (red circles) of 1 μM DksA. Transcript levels are expressed relative to the amount obtained in the absence of ppGpp and DksA, which is arbitrarily set to 1. The plots represent the averages and standard deviations of three independent assays. Panels (c) and (d) include images of representative gels for each assay.

and S2). This relatively mild *in vivo* phenotype, and the fact that *dksA* inactivation did not have an additive effect in a ppGpp⁰ background may be attributed to only a partial dependence of the dispersal response on DksA, or alternatively, to the presence of redundant functions that may partially substitute for DksA in its absence (also see below).

We traced the effect of the stringent response on the starvation-induced dispersal response to the transcriptional control of *bifA*, which encodes a c-di-GMP phosphodiesterase responsible for the drop in c-di-GMP concentration that triggers biofilm dispersal in *P. putida*²⁴, and *lapA*, *lapBC* and *lapE*, encoding the high molecular weight adhesin and the LapA-specific secretion system⁴². During revision of the present manuscript, a paper showing the involvement of (p)ppGpp in the regulation of biofilm formation in *P. putida* KT2440 was published⁵⁶. These authors identified biofilm-related targets for positive (*lapA* and the exopolysaccharide synthesis clusters *peb* and *bcs*) and negative regulation (*lapF*, encoding a second adhesin and the exopolysaccharide synthesis cluster *pea*). These results are complementary to our own observations, and reinforce the notion that the stringent response is an integral component of the regulatory network of the biofilm cycle, acting directly or indirectly on multiple factors.

Further characterization of the *PbifA* promoter revealed that *bifA* transcription is subjected to dual regulation *in vivo*, by the flagellar σ factor FliA, as previously described⁴⁰, and by (p)ppGpp (Fig. 4). Furthermore,

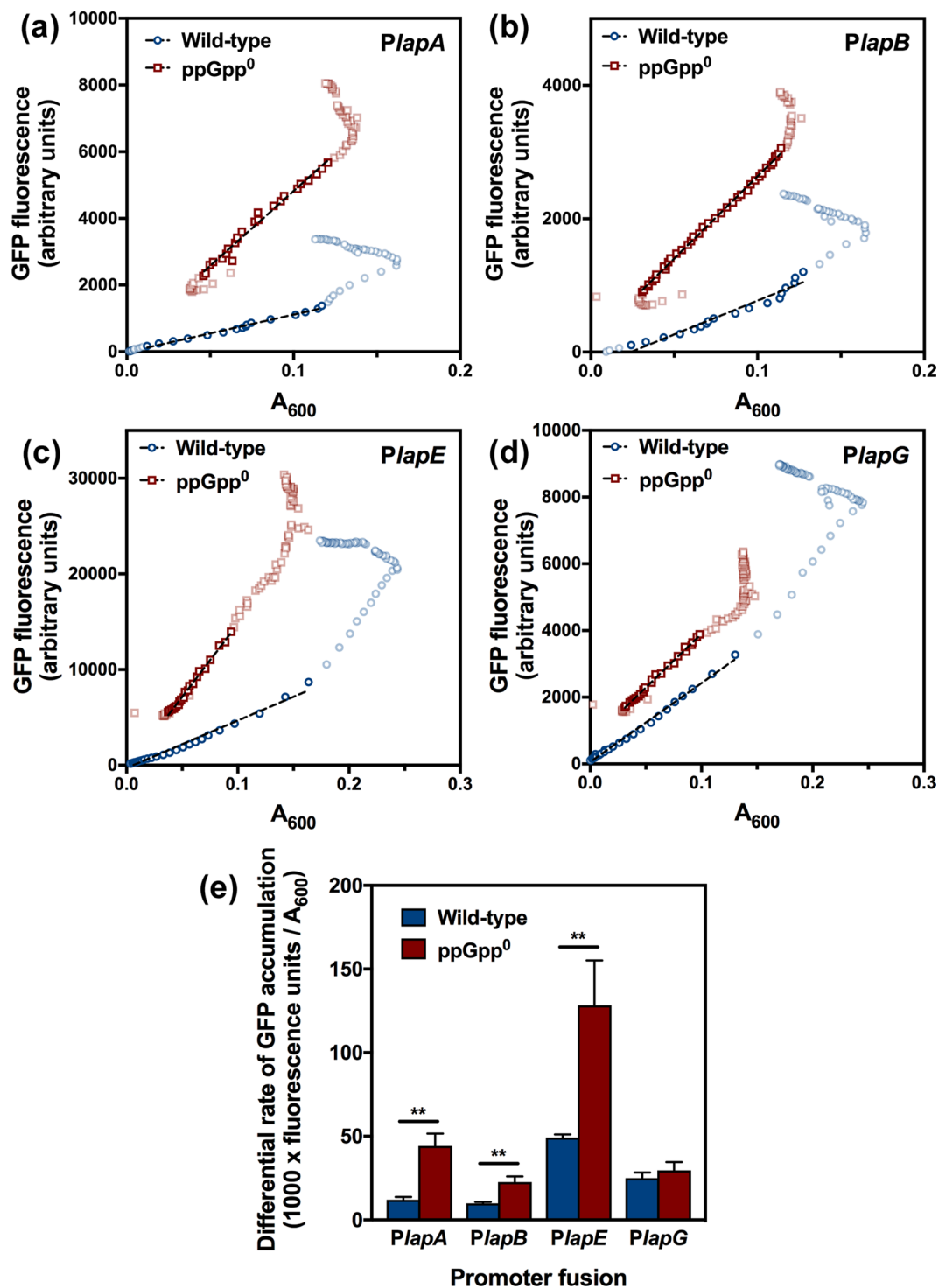


Figure 6. Effect of (p)ppGpp on the expression of biofilm dispersal-related genes. (a–d). Fluorescence vs. absorbance plots of the data collected from one representative replicate of the assays performed with the *PlapA* (a), *PlapBC* (b), *PlapE* (c) and *PlapGD* (d) promoter fusions. Blue circles denote the wild-type strain KT2440 and red squares denote the $ppGpp^0$ strain PP1922. Highlighted data points were used for linear regression, which is shown as a hatched black line. R-squared values were always greater than 0.98. (e). Differential rates of GFP accumulation. Columns and error bars represent the averages and standard deviations obtained from at least three independent biological replicates. Stars denote statistical significance assessed by two-tailed T-tests not assuming equal variance (* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$).

single-round *in vitro* transcription showed that the *PbifA* promoter is regulated synergistically by ppGpp and DksA (Fig. 5), thus substantiating the notion that *bifA* is a target for activation through the SR. Despite the clear *in vitro* evidence for the involvement of DksA in stimulation of FliA-dependent transcription from *PbifA*, the

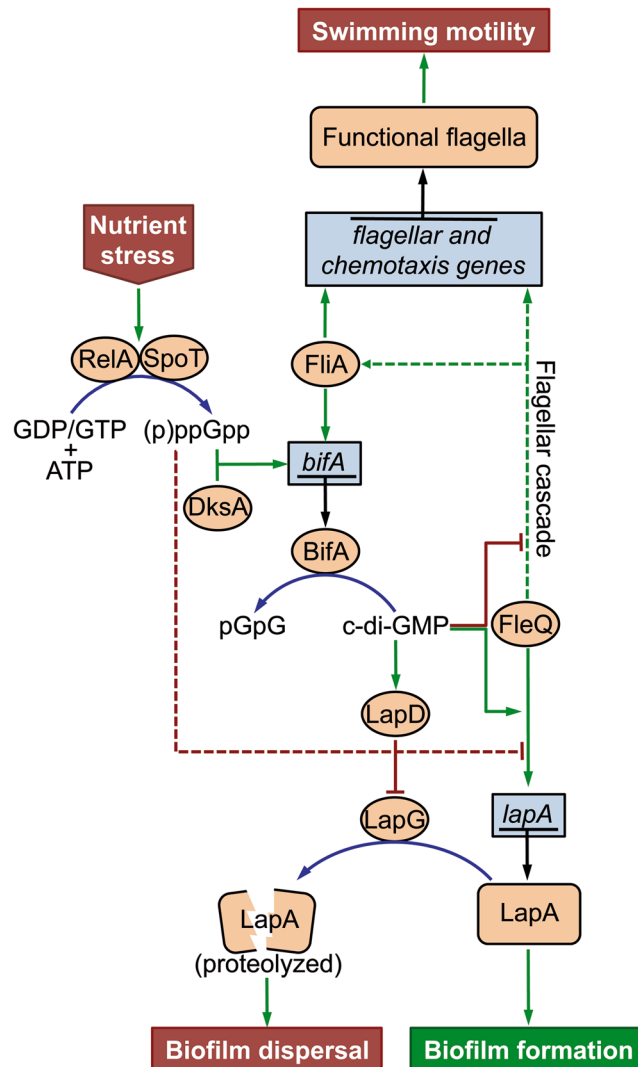


Figure 7. Working regulatory model. Schematic of a regulatory circuit for starvation-induced biofilm dispersal in *P. putida*. Genes are surrounded by blue boxes. Proteins are surrounded by orange ovals or rounded boxes. Signaling molecules and their precursors and degradation products are not boxed or encircled. Green arrows denote positive effects. Red T-shaped line ends denote negative effects. Blue lines denote the effect of enzyme catalysis and black lines denote the effect of gene expression. Hatched lines indicate indirect/complex effects. Red shapes denote the input (nutrient stress) and output (biofilm dispersal and resumption of swimming motility) of the starvation-induced dispersal circuit. A green shape denotes biofilm formation, occurring when the starvation-induced dispersal circuit is inactive.

effect of *dksA* inactivation on *PbifA* stimulation *in vivo* was negligible (Fig. 4). We can envisage two plausible explanations for this apparent contradiction between the *in vivo* and *in vitro* data, both of which involve functional replacement by another family member. Firstly, the *P. putida* KT2440 genome contains two additional *dksA* paralogs: *PP_2220*, which encodes a protein with 68% similarity and 45% identity to *P. aeruginosa* DksA2, and *PP_3037*. Given that in *P. aeruginosa*, DksA2 is able to functionally replace DksA⁵⁷, it is possible that the *P. putida* PP2220 and/or PP3037 proteins may simply take over the role of DksA. Secondly, DksA belongs to a family bacterial transcription factors that includes the GreA and GreB proteins amongst others, all of which bind and penetrate the secondary channel of RNAP to access the active site. In *E. coli*, partial redundancy and competition between GreA, GreB and DksA has been thoroughly documented and interplay between these factors can lead to discrepancies between *in vivo* and *in vitro* effects^{44,58–60}. Solving which of these alternative possibilities is the case for *PbifA* is the subject of ongoing investigations.

Based on the results presented here and previous work by others and us, we propose a regulatory circuit for starvation-induced biofilm dispersal in *P. putida* as depicted in Fig. 7. This circuit connects nutrient stress with its ultimate outcome, namely LapA proteolysis that allows the bacterium to exit the biofilm and resume a planktonic lifestyle. In this model, nutrient limitation is signaled via the stringent response RelA and/or SpoT (p)ppGpp synthetases. Although we do not currently know the identity of the signal, our results indicate that SpoT, but not RelA, is required for this response; nevertheless, RelA may also be involved under a different growth regimes. The

resulting elevated (p)ppGpp levels, along with the auxiliary protein DksA and the flagellar σ factor FliA then lead to stimulation of *bifA* transcription and hence increased levels of the phosphodiesterase BifA, which is responsible for depleting the c-di-GMP pool prior to biofilm dispersal²⁴. The subsequent decrease in c-di-GMP levels would predictably release LapD inhibition of LapG protease activity, resulting in cleavage of LapA from the cell surface and biofilm dispersal^{7,22}. We recently demonstrated that c-di-GMP inhibits FleQ-dependent activation of the flagellar cascade⁶¹, while stimulating FleQ-regulated synthesis of the biofilm matrix components LapA and cellulose^{61,62}. Given those findings, we consider it likely that BifA-dependent c-di-GMP depletion would also result in concurrent cessation of LapA and cellulose synthesis accompanied by the stimulation of flagellar synthesis. In turn, FliA activation during flagellar assembly would probably increase BifA synthesis further, thus completing a positive feedback-loop to tightly couple biofilm dispersal with the *de novo* synthesis of the flagellar apparatus in preparation for the resumption of a planktonic lifestyle.

The model outlined above places BifA as a central integrator of the nutrient stress and biofilm dispersal responses mediated through the global regulatory networks of (p)ppGpp and c-di-GMP. In addition, we have recently shown that FleQ and FliA influence the synthesis of several other enzymes involved in c-di-GMP metabolism in *P. putida*⁶¹. Hence, it appears likely that a complex sub-network of interactions could also act to additionally fine-tune c-di-GMP levels as required for the physiological regulation of the switch between the planktonic and sessile lifestyles and vice versa.

Methods

Bacterial strains and growth conditions. Bacterial strains used in this work are summarized in Supplementary Table 1. Planktonic cultures of *E. coli* and *P. putida* strains were routinely grown in Luria-Bertani (LB) broth⁶³ at 37 °C and 30 °C, respectively, with 180 rpm shaking. For online fluorescence monitoring, 1/10 strength LB was used to minimize background fluorescence. For solid media, Bacto-Agar (Difco) was added to a final concentration of 18 g l⁻¹. Antibiotics and other additions were used, when required, at the following concentrations: ampicillin (100 mg l⁻¹), carbenicillin (0.5 g l⁻¹), kanamycin (25 mg l⁻¹), rifampicin (10 mg l⁻¹), chloramphenicol (15 mg l⁻¹), gentamycin (10 mg l⁻¹), tetracycline (5 mg l⁻¹), 5-bromo-4-chloro-3-indolyl- β -D-galactopyranoside (X-gal) (25 mg l⁻¹) and sodium salicylate (2 mM). All reagents were purchased from Sigma-Aldrich, except for X-Gal, which was purchased from Fermentas.

Plasmid and strain construction. Plasmids and oligonucleotides used in this work are summarized in Supplementary Table 1. All DNA manipulations were performed following standard procedures⁶³. Restriction and modification enzymes were used according to the manufacturers instructions (Fermentas, Roche and NEB). When required, blunt ends were generated using the Klenow fragment or T4 DNA polymerase. *E. coli* DH5 α was used as a host in cloning procedures. All cloning steps involving PCR were verified by commercial sequencing (Secugen). Plasmid DNA was transferred to *E. coli* and *P. putida* strains by transformation⁶⁴, triparental mating⁶⁵ or electroporation⁶⁶. Site-specific integration of miniTn7 derivatives in *P. putida* strains was performed essentially as described⁶⁷.

To construct *P. putida* strains with an in-frame deletion of the *dksA* gene, 701 bp and 780 bp from the upstream and downstream chromosomal regions flanking *dksA* were PCR-amplified with oligonucleotide pairs *dksA*Fupstm/*dksA*Rupstm (upstream region) and *dksA*Fdwstm/*dksA*Rdwstm (downstream region). The PCR products were cleaved with EcoRI and BamHI or BamHI and HindIII, respectively, and three-way ligated into EcoRI- and HindIII-digested pEX18Tc, yielding pMRB33. A FRT-flanked kanamycin resistance cassette was generated by cloning the BamHI-excised kanamycin resistance gene from pUTminiTn5-Km into EcoRV-digested pPS854 to generate plasmid pMPO284. The BamHI-excised FRT-flanked kanamycin resistance cassette was then cloned into the BamHI site in pMRB33, yielding pMRB38. This plasmid was transferred to *P. putida* KT2440 by electroporation. Selection of integration, allelic replacement and FLP-mediated excision of the kanamycin resistance marker was performed essentially as described^{68,69}. The structure of the deleted *dksA* locus was verified by PCR and Southern blot. For construction of a Δ *dksA* derivative of the ppGpp⁰ strain PP1922, the kanamycin resistance cassette in pMRB38 was replaced by a streptomycin/spectinomycin resistance cassette from pUTminiTn5-Sm/Sp, which was first excised with BamHI and cloned at the EcoRV site between the FRT sites of pPS854 to yield pMRB97. The FRT-flanked Str^r/Spec^r cassette was then excised with BamHI and cloned into BamHI-linearized pMRB33 to produce pMRB98, which was subsequently used to generate the *dksA* deletion in PP1922 as described above for pMRB33 in KT2440. *P. putida* strains with deletions within *relA* and *spoT* were generated as previously described³² using suicide plasmids pVI681 and pVI682, and double site recombination, with a KT2440 derivative harbouring miniTn5-Tel as the recipient.

The truncated versions of *E. coli relA*, encoding RelA ^{Δ 456–743} and RelA ^{Δ 332–743} were excised from pALS13 and pALS14, respectively, by EcoRI and HindIII digestion and cloned into pBBR1MCS-4 digested with the same enzymes to yield pMRB153 and pMRB154. Subsequently, the 1075 bp *nahr*-*Psal* expression cassette was obtained from XbaI- and SpeI-digested pMRB120 and cloned into the single XbaI site in pMRB153 and pMRB154 in the same orientation as the *relA* derivatives to yield pMRB160 and pMRB162.

PCR fragments containing the *PlapE* and *PlapGD* promoter regions were inserted in the directional TOPO[®] cloning vector pENTRTM/D-TOPO[®] and subsequently transferred using the Gateway[®] recombination technology (Thermo Fisher Scientific) into the Gateway[®] *gfp*mut3-*lacZ* fusion vector pMRB3, to produce pMRB240 and pMRB241, as previously described⁶¹. A 217 bp synthetic DNA fragment (GenScript) containing the putative *PbifA* promoter region (positions -198 to +3) and flanked by EcoRI and BamHI sites was digested with these enzymes and cloned into EcoRI- and BamHI-cleaved pTE103 to yield the *PbifA* *in vitro* transcription template plasmid pVI2407.

Biofilm growth and quantification. For most procedures involving biofilm growth, overnight cultures grown in LB or K10T-1 broth³⁴ were diluted in the same medium to an A_{600} of 0.1 and 150 μ l were dispensed into wells of Costar 96 microtiter polystyrene plates (Corning). The plates were incubated at 25 °C with moderate shaking (150 rpm) for the desired period of time and processed for planktonic and biofilm growth quantification, essentially as described⁷⁰. Serial dilution-based growth curves were performed as described³³. For each experiment, at least 3 biological replicates were assayed in sextuplicate.

For biofilm growth at the medium-air interphase (pellicle), fresh colonies were inoculated in glass tubes containing 5 ml of K10T-1 broth. Cultures were incubated overnight at 30 °C with shaking, after which the tubes were placed on a rack for 10 minutes and documented by digital photography.

In vivo gene expression assays. β -galactosidase assays were used to examine the expression of the *PbifA* promoter in *P. putida* KT2440 and derivatives. Pre-inocula of bacterial strains harbouring the fusion plasmid pMRB67 were grown to the stationary phase in LB with carbenicillin. Cultures were diluted 100-fold in the same medium and incubated in the same conditions. Samples were withdrawn from the cultures at 1-hour intervals and β -galactosidase activity was determined from SDS- and chloroform-permeabilized cells as previously described⁷¹.

Alternatively, the rates of GFP accumulation during exponential growth were used to measure gene expression in cultures bearing *gfp-lacZ* fusions to different promoters. Overnight LB cultures of the fusion-bearing strains were diluted in 1/10 strength LB broth, and dispensed into the wells of a Costar 96 microtiter polystyrene plate (Corning). The plate was incubated in a Spark microtiter plate reader/incubator at 30 °C with 510 rpm shaking to mid-exponential phase. Cultures were then diluted in the same medium and incubated in the same conditions for 23 hours. A_{600} and GFP fluorescence (485 nm excitation, 535 nm emission) were monitored in 15-minute intervals during incubation. Differential rates of GFP fluorescence accumulation were calculated as the slopes of the linear regression ($R^2 > 0.98$) performed on the exponential growth fluorescence vs. absorbance plots (Fig. 6).

In vitro transcription assays. Nucleotides and [α -³²P]-UTP were purchased from Roche Molecular Biochemicals and Perkin Elmer, respectively, while ppGpp was synthesized and purified as previously described⁷². Purification of *P. putida*-derived native core RNA polymerase, N-terminally His-tagged DksA (His-DksA) and C-terminally His-tagged FliA (FliA-His) was as previously described^{41,73,74}. Protein concentration was determined using a BSA[™] Protein Assay Kit (Pierce) with bovine serum albumin (BSA) as a standard.

Transcription assays were performed at 30 °C essentially as described previously⁴⁰, using 10 nM supercoiled pTE103-based plasmids bearing the *PbifA* promoter (pVI2407) or the *Paer2* promoter (pVI1011) as the DNA template. Assays of a final volume of 20 μ l were performed in T-buffer (35 mM Tris-acetic acid, pH 7.9; 70 mM potassium acetate; 5 mM magnesium acetate; 20 mM ammonium acetate; 1 mM dithiothreitol and 0.275 mg ml⁻¹ BSA). Core RNA polymerase (10 nM) and FliA-His (40 nM) were pre-mixed and incubated for at least 5 min to allow holoenzyme formation. When required, ppGpp, His-DksA and/or His-DksA storage buffer were added to holoenzyme mixes and incubated for 5 min prior to addition of template DNA. Reactions were incubated for 20 minutes to allow open-complex formation. Single-round transcription was initiated in the presence of anti-RNase (Ambion) by the addition of NTPs (final concentration: ATP, 500 μ M; GTP and CTP, 200 μ M each; UTP, 80 μ M and [α -³²P]-UTP, 5 mCi at >3000 Ci mmol⁻¹) and heparin (0.1 mg ml⁻¹) to prevent re-initiation. After a further 10 minutes at 30 °C, the reactions were terminated by adding 5 μ l of a stop/load mix [150 mM EDTA, 1 M NaCl, 14 M urea, 3% glycerol, 0.075% (w/v) xylene cyanol, 0.075% (w/v) bromophenol blue]. Transcripts were analysed on 7 M urea/5% (w/v) polyacrylamide sequencing gels and quantified using a Molecular Dynamics Phosphorimager.

Data availability statement. All data generated or analyzed during this study are included in this published article (and its Supplementary Information files).

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Author Contributions

C.D.-S. contributed to the experimental work shown in Figs 1, 2, S1 and S2. P.C. contributed to the experimental work shown in Figs 1, S2 and S3. R.E.-P. and M.G.V. contributed to the experimental work shown in Fig. 3. A.J.-F. contributed to the experimental work shown in Fig. 4. L.W. contributed to the experimental work shown in Fig. 5. M.G.V. contributed the experimental work shown in Fig. 6. F.G. wrote the main manuscript text and A.L.-S. and V.S. thoroughly reviewed it. F.G., A.L.-S. and V.S. designed the Figures. All authors contributed to the design of the experiments and the analysis of the results obtained.

Additional Information

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