# A comprehensive analysis of reassortment in influenza A virus

# U. Chandimal de Silva<sup>1,2,\*</sup>, Hokuto Tanaka<sup>1,‡</sup>, Shota Nakamura<sup>1,3</sup>, Naohisa Goto<sup>1,3</sup> and Teruo Yasunaga<sup>1,3</sup>

<sup>1</sup>Department of Genome Informatics and <sup>3</sup>Department of Infection Metagenomics, Research Institute for Microbial Diseases, Osaka University, 3-1 Yamada-oka, Suita City, Osaka 565-0871, Japan

<sup>2</sup>World Premier International Immunology Frontier Research Centre, Osaka University, Osaka 565-0871, Japan

\*Author for correspondence (chandi@biken.osaka-u.ac.jp) \*Present address: Kansai Division, Mitsubishi Space Software Co., Ltd, Hyogo 661-0001, Japan

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# Summary

Genetic reassortment plays a vital role in the evolution of the influenza virus and has historically been linked with the emergence of pandemic strains. Reassortment is believed to occur when a single host - typically swine - is simultaneously infected with multiple influenza strains. The reassorted viral strains with novel gene combinations tend to easily evade the immune system in other host species, satisfying the basic requirements of a virus with pandemic potential. Therefore, it is vital to continuously monitor the genetic content of circulating influenza strains and keep an eye out for new reassortants. We present a new approach to identify reassortants from large data sets of influenza whole genome nucleotide sequences and report the results of the first ever comprehensive search for reassortants of all published influenza A genomic data. 35 of the 52 well supported candidate reassortants we found are reported here for the first time while our analysis method offers

# Introduction

The influenza A virus has caused substantial morbidity and mortality in humans as well as livestock. Many severe influenza epidemics have been documented in the past 300 years of world history, while four pandemics and numerous other epidemics have occurred in the past century (Kilbourne, 2006; Potter, 2001; Zimmer and Burke, 2009). Furthermore, each year seasonal influenza results in a considerable death toll worldwide. Spanish Flu, the first pandemic of the past century, killed around 50 million people and earned the honorary title "Mother of all pandemics" (Taubenberger and Morens, 2006). The evolutionary origin of the virus responsible for this calamity has always been subject to controversy and is still not fully resolved (Antonovics et al., 2006; Reid and Taubenberger, 2003; Taubenberger, 2006).

It has been long established that genetic reassortment of the eight RNA segments that constitute the influenza A virus may produce novel viruses in nature (Desselberger et al., 1978). This happens when two or more viruses coinfect the same cell and plays a very important role in the long term evolution of the virus as well as in the making of global influenza pandemics. In particular, reassortment events in pigs may give birth to novel viruses with pandemic potential in humans (Castrucci et al., 1993; Ma et al., 2008; Ma et al., 2009). In fact, all known pandemics in recent human history have been attributed as results of reassortment of genes between two or more distinct viruses,

new insight that enables us to draw a more detailed picture of the origin of some of the previously reported reassortants. A disproportionately high number (13/52) of the candidate reassortants found were the result of the introduction of novel hemagglutinin and/or neuraminidase genes into a previously circulating virus. The method described in this paper may contribute towards automating the task of routinely searching for reassortants among newly sequenced strains.

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with concrete evidence in support of this fact for all except the 1918 Spanish Flu pandemic (Kawaoka et al., 1989; Lindstrom et al., 2004; Scholtissek et al., 1978; Smith et al., 2009a). This was only possible due to the availability of genetic information of contemporary and precursor viral isolates. Furthermore, there is independent multiple evidence that the pandemic H1N1/2009 virus has reassorted again in swine, possibly giving it a chance to escape herd immunity in humans (Ducatez et al., 2011; Vijaykrishna et al., 2010). Isolated human infections of such viruses have also been reported recently (Centers for Disease Control and Prevention (CDC), 2011).

There is little argument about the necessity of continuously monitoring circulating influenza viruses for the possible emergence of new reassortant influenza A viruses. With the number of full genome influenza sequences in publicly available databases growing exponentially in recent years (http://www. ncbi.nlm.nih.gov/genomes/FLU/growth.html), there is little concern about the availability of data as well. Furthermore, with astronomic advances in sequencing technology within the last few years (Schuster, 2008), it will not be long before it becomes not only possible, but also the most reasonable thing to do, to sequence each individual viral genome we would encounter or have access to. Computing infrastructure development would be the need of the hour when such a situation unfolds, as a lot of data would be of no use without ways and means to interpret them efficiently and accurately. However, very few attempts have been made to automate the identification of reassortment in the influenza virus. A recent paper (Nagarajan and Kingsford, 2011) described an interesting method to identify reassortments which employs a graph mining technique to find topological incongruities between collections of Markov chain Monte Carlo-sampled trees from different segments. It seemed to be technically sound and may, by itself, even prove to be sufficiently robust in handling very large data sets. However, the computational cost of phylogeny reconstruction (MCMC-sampling in this case) is a formidable obstacle towards using phylogeny dependent methods for identifying reassortments from very large data sets. We aim to overcome this problem by formulating a phylogeny independent method which uses only the nucleotide distance matrices as input.

Virologists would usually check for reassortment in their full genome sequences by doing a homology search on a public influenza sequence database or by reconstructing the phylogenies with reference sequences for each of the segments (Holmes et al., 2005; Karasin et al., 2000; Lindstrom et al., 2004). If the most homologous strains do not match across all segments, the possibility of the query sequence belonging to a reassorted virus is considered high. Our algorithm is based on the same principle, but we focus on the 'neighbours' on the phylogenetic tree of each segment rather than doing a homology search. The neighbourhood is defined as a fixed number of closest neighbours of a given strain on a given segment phylogeny. The number of common elements in the neighbourhoods of the same taxa on two different segments denotes whether the two segments originated from a single parent or not. A very low common neighborhood size is very often a sign of reassortment.

# **Materials and Methods**

#### Definition of reassortment

While genetic reassortment is a subject of wide interest in influenza research, it has not been clearly defined so far to our knowledge. We do not plan to give a rigourous mathematical definition here, but a solid image of what amounts to reassortment is imperative before setting out to detect them. Any influenza virus that has at least one pair of segments (out of all possible combinations of two out of eight) such that each segment is clearly derived from one of two distinct parents is what we call a *reassortant* - the direct product of a reassortment event - in this paper. It must be noted that, for all practical purposes, a direct progeny of such a reassortant may not be distinguishable from the original strain under this definition, particularly if the original strain has not been sampled. Thus, it is practically impossible to fully ascertain when or where the reassortment event took place, unless there is sufficient clinical data to establish the viral transmission pathways surrounding the event. Here, we base our results solely on nucleotide sequence data, and refrain from making any distinction between strains with near identical sequences or reassortment patterns.

#### Overview of the algorithm

For any given flu genome sequence, the only straightforward practical test for reassortment is to find for each segment the 'closest' strains out of a reference set and compare the results across segments. For each segment, the set of r closest strains (including self) is called the *r-neighbourhood* for that segment (Fig. 1). When the *r*-neighbourhoods of two segments are compared, the common elements in the two sets form the *common neighborhood* and its number of elements is referred to as the *common neighborhood size*. If this size is one, the two segments are deemed to have different ancestry; if it is more than one but comparatively smaller than r, there is still a high chance of different ancestry. We test all combinations of segment pairs and determine if a given strain is a reassortant or not. Highest sensitivity would be achieved by our method when all known complete genomic sequences are included in the reference set. We shall make a data set of all available complete genome sequences, and sequentially test each genome sequence using the rest of the set as the reference.

# Preparation of nucleotide sequence data

All available full genome influenza A nucleotide sequences (as at 24th June 2011) were downloaded from the FTP servers of Influenza Virus Resource at NCBI

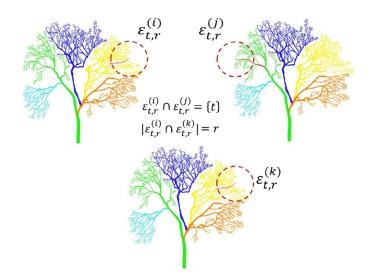


Fig. 1. Neighbourhoods of strain t in segments i, j and k. t falls on different lineages in segments i and j and have no common elements in their neighbourhoods except for strain t itself. Conversely, t has identical roots in segments i and k, giving rise to identical r-neighbourhoods.

(http://www.ncbi.nlm.nih.gov/genomes/FLU/FLU.html). Duplicate sequences were removed within each segment, and incomplete genomes were further removed to have 9284 sequences for each segment (see supplementary material Table S1 for a complete list). The coding regions for M1, M2, NS1 and NS2 proteins were treated as four individual segments (segment 7–10 respectively) for ease of enumeration. Both coding regions were used for these two segments for added confidence. The coding region of PB1-F2 was left out due to its relatively short length and lack of annotation or presence in some lineages. For each of the 10 segments, multiple alignment was performed by MAFFT and the results were further enhanced by manual editing. Phylogenetic analysis of each major coding region of the eight RNA segments (M2 and NS2 are not included) was performed as a separate study (de Silva et al., 2012).

In order to minimize sampling bias, highly similar genome sequences (>97% in HA and NA; >98% in all other segments simultaneously) were removed, while retaining one genome to represent each class of such genome sequences. This resulted in a final dataset of 1670 representative sequences, on which all further analysis was performed.

#### Algorithm

The algorithm is described in detail along with definitions of the concepts involved. It is implemented by a Ruby script using Bioruby (Goto et al., 2010), which is available from the authors upon request. All calculations were performed on a Debian Linux server powered by 2 quad-core Intel Xeon X7560 / 2.27 GHz Processors with 264 GB RAM. The run time was approximately 16 hrs for the current data.

#### Step 0. Calculation of genetic distances

The genetic distances between all pairs of nucleotide sequences are calculated by Phylip dnadist version 3.67 using Jukes Cantor method and the respective distance matrices for each of the segments are consequently used in the analysis as described below.

# Step 1. Determination of the common neighbourhoods

For strain t, let its r-neighbourhood in segment i = (1,..,10) be defined as

 $\varepsilon_{t,r}^{(i)} = \left\{ s \in \Omega | d^{(i)}(t,s) \le d^{(i)}(t,s_{t,r}) \right\}$  where  $\Omega$  denotes the complete set of viral strains and  $d^{(i)}$  is the distance measure on segment *i*, and

 $d^{(i)}(t,s_{t,1}) \leq d^{(i)}(t,s_{t,2}) \leq \cdots \leq d^{(i)}(t,s_{t,n})$  where  $n = |\Omega|$  and all the  $s_{t,*}$  are distinct. In other words, it is the set of r nearest strains to strain t with respect to the genetic distance calculated using the nucleotide sequence data from the *i*'th segment.

Moreover, for strain t, let  $M_t = \left(m_{ij}^t\right)$  be its common neighborhood size matrix defined by

$$m_{ij}^t = \left| \varepsilon_{t,r}^{(i)} \cap \varepsilon_{t,r}^{(j)} \right| \text{ for } i, j = 1, \dots, 10.$$

#### Parameter tweaking

The smaller the values of the  $m_{ij}^t (1 \le i, j \le 10)$ , the stronger the argument for being a reassortant, but where to draw the line is not trivial. We experimented with different numbers and arrived at two separate search criteria, biased more towards sensitivity than specificity. The neighbourhood size r is 80 (approximately 5% of the number of taxa) in what follows.

#### Step 2. Reassortment search

Let  $min_{ti} = \frac{min}{1 \le j \le 10} \left\{ m_{ij}^t \right\}$ , and  $max_{ti} = \frac{1 \le j \le 10}{j \ne i} \left\{ m_{ij}^t \right\}$ ,

For each strain *t*, each row of its common neighbourhood matrix is inspected to see if it satisfies one or more of the following conditions.

If  $min_{ti} \leq 0.1r$ , and

1) if  $max_{ti} \le 0.1r$ , t is a product of single segment reassortment.(S)

2) if  $max_{ti} > min_{ti} + 0.8r$ , t is a product of multiple segment reassortment.(M)

Here, "single segment reassortment" refers to the event where one segment is uniquely derived from a parent virus different from those of the other segments, while "multiple segment reassortment" refers to the event where more than one segment are derived from a common parent different from those of the other segments. A typical reassortment event may be a mixture of one or several reassortments of these two types.

#### **Results and Discussion**

Our common neighbourhood approach easily picked up reassortants whose parent sequences were sufficiently distant and where the reassortment had not become fixed in the population. The common neighbourhood matrix of A/Swine/Italy/1521/98(H1N2) is a good example (Table 1). In segment 4(HA), it has only two elements in common between its segment 4 neighbourhood and most of the other neighbourhoods, which is a good example of a clean reassortment event. Segment 6(NA) is very similar in its relationship with the other segments, with their biggest common neighbourhoods having eleven elements. The rest of the segments have a fairly high number of common 'neighbours' amongst themselves. Altogether, this virus has three parents: two of them contributing one segment each and the last contributing the remaining segments.

A comprehensive search of all available full genome sequence data (9284 strains represented by 1670 genome sequences) resulted in 280 hits (see supplementary material Table S2 for a complete list). These represented a total of 3086 influenza A strains in the original set, with the pandemic H1N1/2009 virus responsible for 2636 of them. Due to space constraints, we chose to show just 52 of them with the highest confidence by limiting to hits with 12 or more very small elements (size  $\leq 2$ ) in their respective common neighbourhood size matrices (Table 2). Some of the reassortants that we found have already been reported, in which case we have shown the reference or commented about the annotation. In some other cases, the sequences have been published in a journal, but the reassortment has not been explicitly declared. Altogether, 35 of the total of 52 reassortants are reported here for the first time, to the best of our knowledge.

A/California/04/09, the reference strain for the pandemic H1N1/2009 virus, was easily picked up by the algorithm notwithstanding the huge sampling bias, while its reassortment pattern (Smith et al., 2009a) was subsequently determined correctly (Table 3).

Of particular interest was an individual segment's propensity to reassort and acquire genetic information from a parent unique to itself or at most common to one more segment. The 7-1(eg:aaabaaaa) and 6-2(eg:aaababaa) reassortment patterns are the most typical of this kind. Segment 4 and 6, which code the HA and NA genes, tend to reassort in this way very often (13/52 instances).

Using our algorithm, we were able to identify further breakdowns in the ancestry of known reassortants. In A/Swine/ Ontario/53518/03 (Karasin et al., 2006), for example, we found that PB2 - as well as the previously reported PB1 - was derived from a unique parent of its own. In the 2005 triple reassortant H3N2 viruses from Canada (Olsen et al., 2006), we found that the PB1 gene was of a lineage distinct from that of the other polymerase genes and close to that of HA. Moreover, as a by-product of our analysis, we found that these swine viral sequences were very similar to A/turkey/Ontario/31232/2005(H3N2), a contemporary avian virus from the same region, strongly suggesting cross species transmission. This finding was only possible owing to our comprehensive dataset spanning all hosts.

Similarly, it is quite trivial to find influenza sequences "frozen" in time. A/USSR/90/1977(H1N1), one of the first H1N1 isolates after its re-emergence in 1977 (Zimmer and Burke, 2009) after a 20 year lapse, happened to possess a genomic sequence very similar to that of A/Roma/1949(H1N1).

Furthermore, it proved to be powerful enough to analyse complex reassortment patterns within closely related sequences, when an appropriate data set is used. For example, the predicted

 Table 1. Common neighbourhood size matrix of A/Swine/Italy/1521/98(H1N2).

					-				•		,		
	PB2	PB1	PA	HA	NP	NA	M1	M2	NS1	NS2	min	max	sum
PB2	80	56	55	2	51	10	52	46	42	40	2	56	354
PB1	56	80	57	2	61	10	55	49	50	41	2	61	381
PA	55	57	80	2	48	10	53	49	40	40	2	57	354
HA	2	2	2	80	2	8	8	5	2	2	2	8	33
NP	51	61	48	2	80	7	52	46	45	38	2	61	350
NA	10	10	10	8	7	80	11	11	6	6	6	11	79
M1	52	55	53	8	52	11	80	58	43	41	8	58	373
M2	46	49	49	5	46	11	58	80	38	42	5	58	344
NS1	42	50	40	2	45	6	43	38	80	43	2	50	309
NS2	40	41	40	2	38	6	41	42	43	80	2	43	293

The first ten columns make up the common neighbourhood matrix, where each entry gives the number of common elements in the two neighbourhoods of the given strain from the respective segments. The last three columns give the minimum, maximum, and sum of each row excluding the diagonal entry.

	our knowledge.											
1966         Avequine1_excington/1/1966         HTN7         12         M         Genutype:13.5,0N1           1968         Attrkey/Wisconsin/1/1968         HSN9         12         S           1976         Aptinuit duck A1.865/1976         HSN2         16         M         (Obenauer et al., 2006)         Reasortment not mentioned           1978         Aptinuit duck A1.865/1976         HSN2         16         M         (Obenauer et al., 2006)         Reasortment not mentioned           1978         Abtacwarged 1930/1987         HON         18         S         (Obenauer et al., 2006)         Reasortment not mentioned           1988         Aradiand duck/A1.B/321/1988         HON         12         S         (Obenauer et al., 2006)         Reasortment not mentioned           1992         Aduck/Shanchang/1046         HSN1         -         M         (1 at al., 2004; Mukhar         Reasortment not mentioned           1994         Adouck/Snichang/1046         HSN1         -         M         (1 at al., 2004; Mukhar         Reasortment not mentioned           1994         Adouck/Snichang/1046         HSN1         -         M         (1 at al., 2004; Mukhar         Reasortment not mentioned           1994         Adouck/Snichang/182000         HINN         4         S	Year	Strain	Subtype	#nbds	Туре	Ref	Comments					
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		A/equine/Lexington/1/1966	H7N7	12	М							
	1966		mixed	14	М		Genotype:H3,5,6N1					
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	1976	A/mallard duck/ALB/57/1976	H5N2	16	М	(Obenauer et al., 2006)	Reassortment not mentioned					
			H6N8	18	S		Reassortment not mentioned					
				16			Reassortment not mentioned					
1988         Armaliard duck/ALB/321/1988         H9N2         12         S         (Hatchette et al., 2004); Obenauer et al., 2006)         Suggests reassortment (Obenauer et al., 2006)           1994         Adduck/Nints15:13/1994         H1N1         16         S           1996         Adduck/Nints15:13/1994         H1N1         16         S           1999         Adduck/Nints15:13/1994         H1N1         34         S           2000         Aduck/Shimanc/188/1999         H1N1         34         S           2001         Aduck/Shimanc/188/1999         H1N1         34         S           2001         Aduck/Shimanc/188/1999         H1N1         34         S           2001         Aduck/Shimanc/188/1999         H1N1         38         Masce et al., 2007)         Reassortment not mentioned           2002         Aranilard/Netral/192002         H2N4         14         S         Hatchette et al., 2009)         Reassortment not mentioned           2003         Adomestic green-winget teal/Hunan79/2005         H5N1         H8         M         Chen et al., 2009)         Reassortment not mentioned           2004         Achecken/Hanau/10204         Hunan79/2005         H5N1         H8         M         Chen et al., 2009)         Reassortment not mentioned	1987		H1N1	18	S							
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2000         A/mallard/Netherlands/02/2000         H10N7         18         S           2001         A/duck/Hokkaid/20/2001         H6N2         18         M         Reasortment not mentioned           2001         A/duck/Hokkaid/149/2002         H2N4         H         S         M         (Mase et al., 2007)         Reasortment not mentioned           2002         A/mallard/Metra/149/2002         H2N4         H         S         (Hatchette et al., 2004)         Reasortment not mentioned           2004         A/duckern/Haan/19/2005         H5N1         16         S         S           2005         A/domestic green-winged teal/Hunan/79/2005         H5N2         16         M         Gasextrant et al., 2009)         Reassortment not mentioned           2005         A/domestic green-winged teal/Hunan/79/2005         H1N3         20         M         (Spackman et al., 2009)         Reassortment not mentioned           2006         A/mallard/Netherlands/12006         H1N4         2         M         M           2006         A/mallard/Netherlands/12006         H9N2         16         S         Boltz et al., 2019)         Annotation implies reassort           2006         A/shorekir/duckaruz/Ps/2006         H9N2         16         M         GaserotymetHana/Sa/2006						et al., 2007; Xu et al.,	Progenitor of HPAI					
2000         A/mallard/Netherlands/02/2001         H6N2         18         M           2001         A/duk/Hokkado/120/2001         H6N2         18         M           2001         A/duk/Hokkado/120/2001         H6N2         16         M         (Mase et al., 2007)         Reasortment not mentioned           2002         A/mallard/Metra/149/2002         H2N4         H         S         (Hatchette et al., 2004)         Reasortment not mentioned           2004         A/chicken/Hanz/19/2005         H5N1         16         S         S           2005         A/domestic green-wingd teal/Hunan/79/2005         H5N1         18         M         (Chen et al., 2009)         Reassortment not mentioned           2005         A/domestic green-wingd teal/Hunan/79/2005         H5N1         18         M         (Chen et al., 2009)         Reassortment not mentioned           2005         A/domestic green-wingd teal/Hunan/79/2005         H1N4         2         M         Gaeckman et al., 2009)         Reassortment not mentioned           2005         A/whooper swan/Mongolia 232/2005         H1N4         2         M         Gaeckman et al., 2009         Reassortment not mentioned           2006         A/shorthird/Delaware/449/2006         H9N2         16         S         Gaeckman et al., 2009	1999	A/duck/Shimane/188/1999	H1N1	34	S	*						
2001         A/chicken/Kobc/aq26/2001         H9N2         16         M         (Mace al., 2007)         Reasortment not mentioned           2002         A/environment/Hong Kong/674.15/2002         H5N1         16         S         (Hatchette et al., 2004)         Reasortment not mentioned           2004         A/chicken/Henan/01/2004         H5N1         14         S         (Chen et al., 2004)         Reasortment not mentioned           2005         A/common murce/rogon/1947-004/2005         H5N1         14         S         (Chen et al., 2009)         Reasortment not mentioned           2005         A/common murce/rogon/1947-004/2005         H1N3         20         M         (Spackman et al., 2009)         Reasortment not mentioned           2006         A/mallard/Pennsybvaina/540606         H1N4         2         M         (Gpackman et al., 2009)         Reasortment not mentioned           2006         A/orhickan/Dakitsan/UDL-01/2006         H1N4         2         M         (Ipal et al., 2009)         Reasortment not mentioned           2006         A/orhickan/Dakitsan/UDL-01/2006         H1N2         2         M         (Ipal et al., 2009)         Annotation implies reasort           2006         A/schickan/Dakitsan/UDL-01/2006         H1N2         12         M         Annotation implies reasort	2000		H10N7	18								
2002       A/mallard/Alberta/149/2002       H2N4       14       S       (Hatchette et al., 2004)       Reassortment not mentioned         2004       A/chicken/Henan/01/2004       H5N1       16       S         2005       A/commostic green-winged teal/Hunan/79/2005       H5N1       18       M       (Chen et al., 2009)         2005       A/common murre/Oregon/19407-004/2005       H5N1       18       M       (Chen et al., 2009)       Reassortment not mentioned         2006       A/common murre/Oregon/19407-004/2005       H5N1       18       M       (Chen et al., 2009)       Reassortment not mentioned         2006       A/cohicken/Honder/Sal2/2005       H1N3       20       M       (Spackman et al., 2009)       Reassortment not mentioned         2006       A/nother shoveler/Netherlands/12006       H9N2       16       M       (Igbal et al., 2009)       Reassortment not mentioned         2006       A/shorebird/Delaware/349/2006       H9N2       16       S       S       Reassortment not mentioned         2007       A/chicken/Dakare/349/2006       H9N2       16       S       M       Igbal et al., 2009)       Reassortment not mentioned         2007       A/chicken/Dakare/34/2007       H5N1       16       M       Gonther shoveler/Netherlanks/12006       <	2001	A/duck/Hokkaido/120/2001	H6N2	18	М							
2002         A/environment/Hong Kong/674.15/2002         H5N1         16         S           2004         A/chicken/Hennul/2004         H5N1         14         S           2005         A/domestic green-winged teal/Hunan/79/2005         H5N1         18         M         (Chen et al., 2009)           2005         A/common nurre/Oregon/19497-004/2005         H1N2         16         S           2006         A/mallard/Netherlands/30/2006         H1N4         12         M           2006         A/mallard/Netherlands/30/2006         H1N4         12         M           2006         A/mallard/Netherlands/1/2006         H5N4         14         M           2006         A/mallard/Pennsytani/45406/21/2006         H9N2         16         M         (Iqbal et al., 2009)         Reassortment not mentioned           2006         A/sanderling/Delaware/449/2006         H9N2         16         S         G         M         Idpal et al., 2009)         Annotation implies reassort           2007         A/chicken/Las/ParkitAn/IDL-01/2007         H5N1         16         M         Gont/yarganey/Alai/1213/2007         H5N1         26         M         Annotation implies reassort           2007         A/chicken/Las/ParkitAl/2007         H5N1         16         M <td>2001</td> <td>A/chicken/Kobe/aq26/2001</td> <td>H9N2</td> <td>16</td> <td>М</td> <td>(Mase et al., 2007)</td> <td>Reassortment not mentioned</td>	2001	A/chicken/Kobe/aq26/2001	H9N2	16	М	(Mase et al., 2007)	Reassortment not mentioned					
2002       A/environment/Hong Kong/674.15/2002       H5N1       16       S         2004       A/chicken/Hean/01/2004       H5N1       14       S         2005       A/teal/Ital/3931-38/2005       H5N1       18       M       (Chen et al., 2009)         2005       A/teal/Ital/3931-38/2005       H5N2       16       M         2006       A/mallard/Netherlands/30/2006       H1N4       12       M         2006       A/mallard/Netherlands/30/2006       H1N4       12       M         2006       A/mallard/Netherlands/10/2006       H5N4       14       M         2006       A/mallard/Pennsyvaini/454069-12/2006       H9N2       16       M       (lqbal et al., 2009)       Reassortment not mentioned         2006       A/sincher/Baytani/454069-12/2006       H9N2       16       M       (lqbal et al., 2009)       Annotation implies reassort         2006       A/shorebird/Delaware/249/2006       H9N2       16       M       Boltz et al., 2010)       Annotation implies reassort         2007       A/chicken/LasoPol30/2007       H5N1       16       M       Genotype:H9.1       Genotype:H9.1         2007       A/chicken/LasoPol30/2007       H5N1       16       M       Genotype:H9.1       Genotype:H9.1	2002	A/mallard/Alberta/149/2002		14	S		Reassortment not mentioned					
2004       A/chicken/Henar/01/2004 <sup>-</sup> H5N1       14       S         2005       A/domestic green-winged teal/Huan/79/2005       H5N2       16       S         2005       A/common murc/Oregon/19497-004/2005       H9N5       16       M         2005       A/common murc/Oregon/19497-004/2005       H9N5       16       M         2005       A/whooper swan/Mongolia/23/2005       H12N3       20       M       (Spackman et al., 2009)       Reassortment not mentioned         2006       A/mallard/Pennsylvania/454069-12/2006       H5N4       14       M       M         2006       A/northern shoveler/Netherlands/1/2006       H5N4       14       M         2006       A/chicken/Pakistan/UDL-01/2006       H5N4       14       M         2006       A/sanderling/Delawarc/449/2006       H9N2       16       S         2007       A/chicken/Pakistan/UDL-01/2006       H9N2       16       S         2007       A/sanderling/Delawarc/449/2006       H9N2       16       S         2007       A/sincken/Laos/P0130/2007       H5N1       16       M       (Boltz et al., 2010)         7//agranes/Altal/als/1213/2007       H5N1       18       M       (Smith et al., 2008)         2007	2002	A/environment/Hong Kong/674.15/2002	H5N1	16								
2005       A/domestic green-winged teal/Human/79/2005       H5N1       18       M       (Chen et al., 2009)         2005       A/centraltaly/39/31-38/2005       H5N2       16       S         2005       A/centraltaly/39/32006       H1N3       20       M       (Spackman et al., 2009)       Reassortment not mentioned         2006       A/mallard/Netherlands/0/2006       H1N4       12       M       (Spackman et al., 2009)       Reassortment not mentioned         2006       A/mallard/Netherlands/0/2006       H5N4       14       M       M         2006       A/andterling/Delawarc/449/2006       H5N2       16       S       Annotation implies reassortment not mentioned         2007       A/chicken/Laos/Pakistan/UDL-01/2006       H5N2       16       S       Annotation implies reassortment not mentioned         2007       A/chicken/Laos/Pakistan/UDL-01/2006       H5N2       16       S       Annotation implies reassortment not mentioned         2007       A/chicken/Laos/Pal/2006       H5N2       16       M       (Boltz et al., 2009)       Annotation implies reassortment not mentioned         2007       A/astoregree/Altai/1213/2007       H5N1       16       M       Genotype: H9N1;       Genotype: H9N1;         2007       A/garganey/Altai/1213/2007 <td< td=""><td>2004</td><td></td><td>H5N1</td><td>14</td><td>S</td><td></td><td></td></td<>	2004		H5N1	14	S							
2005       A/teal/Taly/3931-38/2005       H5N2       16       S         2005       A/common murre/Oregon/19497-004/2005       H9N5       16       M         2006       A/whooper swan/Mongolia/232/2005       H12N3       20       M       (Spackman et al., 2009)       Reassortment not mentioned         2006       A/mallard/Netherlands/30/2006       H1N4       12       M       M         2006       A/mallard/Pennsylvania/454069-12/2006       H5N4       14       M         2006       A/chicken/Pakistan/UDL-01/2006       H5N4       14       M         2006       A/schicken/Pakistan/UDL-01/2006       H5N4       14       M         2006       A/shorebird/Delaware/249/2006       H9N2       16       S         2007       A/chicken/Pakistan/UDL-01/2006       H5N1       16       M       (Iqbal et al., 2009)         2006       A/shorebird/Delaware/249/2006       H9N2       12       S       M         2007       A/clittle gret/Hong Kong/8550/2007       H5N1       16       M       Serotype: H9N1;       Genotype:H31/213/2007         2007       A/clittle gret/Hong Kong/254/2007       H9N1       14       M       M       Genotype:H31/5, 5/N1         2007       A/clarasin wigeon/Netherland			H5N1	18		(Chen et al., 2009)						
2005       A/common murre/Oregon/1947-004/2005       H1N3       16       M         2005       A/whooper swan/Mongola/32/2005       H12N3       20       M       (Spackman et al., 2009)       Reassortment not mentioned         2006       A/mallard/Netherlands/30/2006       H1N4       12       M         2006       A/mallard/Pentylvain/454060       H4N2       22       S         2006       A/northern shoveler/Netherlands/1/2006       H5N4       14       M         2006       A/sinderling/Delaware/449/2006       H5N2       16       S         2007       A/chicken/Lakistan/UDL-01/2006       H5N2       16       S         2007       A/chicken/Lakistan/UDL-01/2006       H5N1       16       M       (Iqbal et al., 2009)         2007       A/chicken/Laos/P130/2007       H5N1       16       M       (Smith et al., 2009)         2007       A/chicken/Laos/P130/2007       H5N1       18       M       (Smith et al., 2009)         2007       A/chicken/Laware/S50/2007       H5N1       18       M       (Genotype:H3,5,5N1         2007       A/chicken/Hubei/C1/2007       H9N1       14       M       M       (Wu et al., 2008)       Serotype: H9N1; Genotype:H3,5,5N1         2007       A/chick						(,						
2005         A/whooper swan/Mongolia/232/2005         H12N3         200         M         (Spackman et al., 2009)         Reassortment not mentioned           2006         A/mallard/Netherlands/30/2006         H1N4         12         M         (Spackman et al., 2009)         Reassortment not mentioned           2006         A/mallard/Netherlands/12006         H3N4         14         M         M           2006         A/chicken/Pakistan/UDL-01/2006         H3N4         14         M         M           2006         A/shorebir/Delaware/249/2006         H9N2         16         S         S           2007         A/chicken/Laos/P0130/2007         H5N1         16         M         (Boltz et al., 2010)         Annotation implies reassort           2007         A/chicken/Laos/P0130/2007         H5N1         16         M         (Boltz et al., 2009)         Annotation implies reassort           2007         A/bittle egret/Hong Kong/8550/2007         H5N1         18         M         (Smith et al., 2009)         Serotype: H9N1; Genotype:H3N1/213/2007           2007         A/bittle egret/Hong Kong/8520/2007         H5N1         18         S         Serotype: H9N1; Genotype:H3,5,9N1           2007         A/barbitr/Delaware/54/2007         H10N1         16         M         Annotation												
2006         A/mallard/Netherlands/20/2006         H1N4         12         M         M           2006         A/pekin duck/California/P30/2006         H4N2         22         S           2006         A/mallard/Pennsylvania/454069-12/2006         H5N4         14         M           2006         A/northern shoveler/Netherlands//2006         H5N4         14         M           2006         A/chicken/Pakistan/UDL-01/2006         H9N2         16         S           2006         A/schicken/Laos/P0130/2007         H5N1         16         M         (Boltz et al., 2010)           2007         A/chicken/Laos/P0130/2007         H5N1         16         M         (Boltz et al., 2009)           2007         A/chicken/Laos/P0130/2007         H5N1         18         M         (Smith et al., 2009)           2007         A/chicken/Hubei/Cl/2007         H5N1         18         M         (Smith et al., 2008)           2007         A/shorebir/Delaware/54/2007         H9N2         14         M         (Wu et al., 2008)           2007         A/chicken/Hubei/Cl/2007         H1N1         16         M         Genotype:H3/5,9N1           2007         A/mallard/Maryland/798/2007         H1N1         16         M         Annotation implies r						(Spackman et al., 2009)	Reassortment not mentioned					
2006         A/pekin duck/California/P30/2006         H4N2         22         S           2006         A/mallard/Pennsylvania/454069-12/2006         H5N4         14         M           2006         A/chicken/Pakistan/UDL-01/2006         H5N4         12         M           2006         A/shorebird/Delaware/49/2006         H9N2         16         M         (Iqbal et al., 2009)           2006         A/shorebird/Delaware/249/2006         H9N2         12         S           2007         A/chicken/Laos/P0130/2007         H5N1         26         M         Annotation implies reasort           2007         A/chicken/Laos/P0130/2007         H5N1         26         M         Annotation implies reasort           2007         A/chicken/Laos/P0130/2007         H5N1         8         S         Control           2007         A/garganey/Alrai/213/2007         H5N1         18         M         Serotype: H9N1; Genotype:H3,5,9N1           2007         A/mallard/Maryland/98/2007         H9N2         14         M         Annotation implies reasort           2007         A/mallard/Maryland/98/2007         H1N1         14         M         Annotation implies reasort           2007         A/mallard/Maryland/98/2007         H1N1         14 <t< td=""><td></td><td>1 0</td><td></td><td></td><td></td><td>(-F</td><td></td></t<>		1 0				(-F						
2006       A/mallard/Pensylvania/454069-12/2006       HSN4       14       M         2006       A/northern shoveler/Netherlands/1/2006       HSN4       12       M         2006       A/schicken/Pakistan/UDL-01/2006       H9N2       16       M       (Iqbal et al., 2009)         2006       A/schicken/Pakistan/UDL-01/2006       H9N2       16       M       (Iqbal et al., 2010)         2007       A/chicken/Laos/P0130/2007       HSN1       16       M       (Boltz et al., 2010)         2007       A/chicken/Laos/P0130/2007       HSN1       26       M       Annotation implies reassort         2007       A/chicken/Laos/P0130/2007       HSN1       18       M       (Smith et al., 2009)       Annotation implies reassort         2007       A/chicken/Lhong Kong/S50/2007       HSN1       14       M       (Smith et al., 2009)       Serotype: H9N1;         2007       A/chicken/Lhong Kong/S50/2007       HSN2       18       S       Serotype: H9N1;       Genotype:H3/5,9N1         2007       A/chicken/Lhong/Mayland/798/2007       mixed       22       S       Serotype: H9N1;       Genotype:H3/5,9N1         2008       A/norther shoveler/Ihma/Ge/9208       HSN1       26       M       Serotype: H9N1;       Genotype:H3/5,9N1												
2006       A/northern shoveler/Netherlands/1/2006       HSN4       12       M         2006       A/shaderling/Palvatar/419/2006       H9N2       16       S         2006       A/shaderling/Palvatar/419/2006       H9N2       12       S         2007       A/chicken/Laos/Pol130/2007       H5N1       16       M       (Boltz et al., 2010)         2007       A/chicken/Laos/Pol130/2007       H5N1       26       M       Annotation implies reassort         2007       A/chicken/Luos/Pol130/2007       H5N1       26       M       Annotation implies reassort         2007       A/chicken/Luos/Pol30/2007       H5N1       26       M       Annotation implies reassort         2007       A/shorebird/Delaware/S54/2007       H9N1       14       S       S         2007       A/chicken/Hubei/C1/2007       H9N2       14       M       (Wu et al., 2008)         2007       A/mallard/Maryland/798/2007       mixed       22       S       Serotype: H9N1;         2007       A/mallard/Metherlands/1/2007       H10N1       16       M       Annotation implies reassort         2007       A/mallard/Netherlands/H2007       H10N1       16       M       Serotype: H9N1;       Genotype:H3,5,9N1         2008												
2006         A/chicken/Pakistan/UDL-01/2006         H9N2         16         M         (Iqbal et al., 2009)           2006         A/shorebit/Delaware/449/2006         H9N2         16         S           2007         A/shorebit/Delaware/449/2006         H9N2         12         S           2007         A/chicken/Laos/P0130/2007         H5N1         16         M         (Boltz et al., 2010)         Annotation implies reassort           2007         A/chicken/Laos/P0130/2007         H5N1         26         M         (Snith et al., 2009)         Annotation implies reassort           2007         A/shorebit/Delaware/554/2007         H5N1         18         M         (Snith et al., 2008)         Serotype: H9N1;           2007         A/shorebit/Delaware/554/2007         H9N1         14         S         Serotype: H9N1;         Genotype: H3,5,9N1           2007         A/mallard/Maryland/798/2007         mixed         22         S         Serotype: H9N1;         Genotype: H3,5,9N1           2007         A/clurasian wigeon/Netherlands/4/2007         H10N1         16         M         Annotation implies reassort           2008         A/convironment/Hunan/6-69/2008         H5N1         26         M         (Smith et al., 2009b)         Sugests reassortment												
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$						(Iabal et al. 2009)						
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$						(iqua et al., 2003)						
2007       A/chicken/Laos/P0130/2007       H5N1       16       M       (Boltz et al., 2010)         2007       A/environment/Hunan/5-32/2007       H5N1       18       M       (Smith et al., 2009b)         2007       A/garganey/Altai/1213/2007       H5N1       18       M       (Smith et al., 2009b)         2007       A/garganey/Altai/1213/2007       H5N1       14       M       (Smith et al., 2008b)         2007       A/chicken/Hubei/C1/2007       H9N1       14       S         2007       A/chicken/Hubei/C1/2007       H9N1       14       S         2007       A/chicken/Hubei/C1/2007       H1N1       16       M       (Wu et al., 2008)         2007       A/environment/Hubar/D2007       H1N1       16       M       Genotype:H9N1; Genotype:H3,5,9N1         2007       A/environment/Hunan/6-69/2008       H5N1       24       M       Annotation implies reassort         2008       A/environment/Hunan/6-69/2008       H5N1       26       M       Serotype: H9N1; Genotype:H3,5,9N1         2008       A/northern shoveler/Interior Alaska/8BM3470/2008       H5N1       32       M       (Yamamoto et al., 2009b)       Suggests reassortment         2009       A/gose/Czech Republic/1848-K9/2009       H5N1       32												
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$						(Boltz et al. 2010)						
2007       A/little egret/Hong Kong/8550/2007       H5N1       18       M       (Smith et al., 2009b)         2007       A/garganey/Altai/1213/2007       H5N2       18       S         2007       A/shorebird/Delaware/554/2007       H9N1       14       S         2007       A/shorebird/Delaware/554/2007       H9N1       14       S         2007       A/chicken/Hubei/C1/2007       H9N2       14       M       (Wu et al., 2008)         2007       A/mallard/Maryland/798/2007       mixed       22       S       Serotype: H9N1; Genotype:H3,5,9N1         2007       A/Eurasian wigeon/Netherlands/1/2007       H10N1       16       M       Annotation implies reassorth         2008       A/environment/Hunan/6-69/2008       H5N1       24       M       Annotation implies reassorth         2008       A/northern shoveler/Interior Alaska/BM3470/2008       H5N1       26       M       (Smith et al., 2009b)       Suggests reassortment         2009       A/mallard/Hokkaido/24/2009       H5N1       32       M       (Yamamoto et al., 2011)       Suggests reassortment         2009       A/swine/Italy/1521/98       H1N2       12       S       M       Marzoin et al., 2002)       Reassortment not mentioned         2004       A/s						(Boltz et al., 2010)	Annotation implies reassortment					
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$						(Smith et al. 2009b)	Autotation implies reassortment					
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$						(Sintil et al., 20090)						
2007       A/chicken/Hubei/C1/2007       H9N2       14       M       (Wu et al., 2008)         2007       A/mallard/Maryland/798/2007       mixed       22       S       Serotype: H9N1; Genotype:H3,5,9N1         2007       A/Eurasian wigeon/Netherlands/4/2007       H10N1       16       M       Genotype:H3,5,9N1         2007       A/mallard/Netherlands/17/2007       H11N8       14       M       M         2008       A/environment/Hunan/6-69/2008       H5N1       24       M       Annotation implies reassort         2008       A/environment/Hunan/6-69/2008       H5N1       26       M       (Smith et al., 2009b)       Suggests reassortment         2008       A/northern shoveler/Interior Alaska/8BM3470/2008       H9N2       12       S       Yamamoto et al., 2011)       Suggests reassortment         2009       A/mallard/Hokkaido/24/2009       H7N9       12       S       Yamamoto et al., 2011)       Suggests reassortment         2002       A/swine/Italy/1521/98       H1N2       12       S       Marozin et al., 2002)       Reassortment not mentioned         2004       A/swine/Guangdong/102/2002       H3N2       20       M       M       (Lu et al., 2010)       M         2008       A/swine/Shandong/3/2005       H3N2												
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# Table 2. List of predicted reassortant strains with strong confidence. All strains with a reference have been reported previously unless otherwise noted. Strains that do not have a reference or a specific remark have not been reported to date, to the best of our knowledge.

	Strain	Subtype	PB2	PB1	PA	HA	NP	NA	Μ	NS
Swine										
	A/swine/Italy/1521/98	H1N2	а	а	а	b	а	с	а	а
	A/swine/Guangdong/102/2002	H3N2	a	а	а	а	а	а	b	а
	A/swine/Guangxi/wz/2004	H5N1	а	а	а	а	b	а	а	а
	A/swine/Guangdong/wxl/2004	H9N2	а	а	b	а	а	а	с	а
	A/swine/Shandong/3/2005	H3N2	а	b	b	b	b	b	b	c
	A/swine/Shandong/1123/2008	H1N1	а	а	а	а	а	а	а	b
Human										
	A/New Jersey/1976	H1N1	а	b	а	b	а	b	а	а
	A/California/04/2009	H1N1	а	а	а	а	а	b	b	а

Table 3. Reassortment patterns of selected swine and human strains.

reassortment patterns for Clade B (A/New York/32/2003, A/New York/198/2003 and A/New York/199/2003), Clade C (A/New York/52/2004 and A/New York/59/2004) and A/New York/11/2003 from a comprehensive phylogenetic study of 156 complete genomes of H3N2 influenza A collected between 1999 and 2004 from New York (Karasin et al., 2000) are a perfect match with the patterns that were previously inferred by examining their phylogeneis (data not shown).

Sample bias is a major confounding factor in molecular evolutionary analyses, particularly so in our reassortment search. The number of isolates available from the first half of the 20th century is very scarce, making it difficult to determine evolutionary lineages. This is exacerbated by our fixed neighbourhood size of 80, which is too big for sparsely sampled lineages. We actually did not have any hits from that era.

In a preliminary analysis with a smaller data set, the oldest influenza A strain in the database, A/Brevig Mission/1/1918, was picked up by our algorithm, in spite of the fact that, by definition, its ancestry and reassortment history could not be directly determined by the available data. This is a result of our neighbourhoods consisting of both ancestors and descendants, when only ancestors define a given strain's reassortment history. This would potentially pose problems in highly reassortment driven lineages. For example, A/Goose/Guangdong/1/96 (Gs/Gd/ 1/96), the precursor of the recent HPAI H5N1 lineage in Asia (Li et al., 2004) has passed various combinations of its gene segments to a few generations of multiple reassortants, which did adversely affect our grouping of its own segments by ancestry. Direct descendants could also negatively affect the output when the reassorted genes get fixed in the population. Conversely, such direct descendants of reassortant strains may be wrongly selected as reassortants themselves.

The ideal solution for this problem is to have only ancestors in the neighbourhoods. However, it is not possible to distinguish ancestors from descendants from our distance matrices alone. It would require the construction of all the phylogenies with additional assumptions about the relative rate of evolution on each branch.

Minor topological and distance inconsistencies may occur across segments in phylogenies even without a reassortment event, due to stochastic errors and limitations in distance estimation methods. We need to allow for such minor inconsistencies so that our algorithm does not wrongly pick up strains that are in fact not reassortants. To this end, we must avoid too small a neighbourhood size, thereby allowing movements upto a certain degree to occur without being considered as results of reassortment. Too large a neighbourhood size would, on the other hand, not detect small movements that are actually reassortment driven and may give distorted results when the immediate surroundings are sparsely sampled. After much deliberation, we decided to use a neighbourhood size of approximately 5% of the data set, which seemed to work reasonably well. Perhaps, a neighbourhood size that varies across lineages by sampling density would be a potential improvement to our algorithm.

The property of common ancestry should be transitive over all segments in order to group the segments by ancestry without confusion. (ie. if i and j have common ancestry, and j and k have common ancestry). Nevertheless, many of our results do not satisfy this criteria, which is no wonder given the fact that we use a common cutoff value for all segment combinations and all lineages. Hence, we have had to assume transitivity in some cases before assigning the ancestry of each segment. (ie. we assume i and k have common ancestry even if the common neighbourhood size falls below the cutoff, provided there exists a segment j that has common ancestry with both of them).

We have tried to reconstruct the phylogenies for our data using MrBayes (Huelsenbeck and Ronquist, 2001) as described in the GiRaF paper (Nagarajan and Kingsford, 2011) and found the computation time till convergence with sufficient mixing to be at least in the order of months per segment on a single processor machine (data not shown). It seems inevitable that we would have to settle for phylogeny independent methods at current processor speeds, when doing comprehensive analyses of influenza genomic data. One such earlier method (Rabadan et al., 2008) seemed to perform well in detecting reassortants within lineages, but no comprehensive study has been undertaken to date using this method.

In this paper, we demonstrate our algorithm using a comprehensive complete genome data set, and strive to find the reassortants within that data set while using the same data for reference. The same algorithm may be used to check any given new influenza A strain with a complete genome sequence for reassortment. If this algorithm is to be used for that purpose, it is imperative that the reference data set is always maintained up to date. We believe this method could be efficiently utilized for rapidly testing high throughput sequence data if the need arises.

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# **Competing Interests**

The authors declare no competing interests.

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