



OPEN Multi-strain modeling of influenza vaccine effectiveness in older adults and its dependence on antigenic distance

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Influenza vaccine effectiveness (VE) varies seasonally due to host, virus and vaccine characteristics. To investigate how antigenic matching and dosage impact VE, we developed a mechanistic knowledge-based mathematical model. Immunization with a split vaccine is modeled for exposure to A/H1N1 or A/H3N2 virus strains. The model accounts for cross-reactivity of immune cells elicited during previous immunizations with new antigens. We simulated vaccine effectiveness (sVE) of high dose (HD) versus standard dose (SD) vaccines in the older population, from 2011 to 2022. We find that sVE is highly dependent on antigenic matching and that higher dosage improves immunogenicity, activation and memory formation of immune cells. In alignment with clinical observations, the HD vaccine performs better than the SD vaccine in all simulations, supporting the use of the HD vaccine in the older population. This model could be adapted to predict the impact of alternative virus strain selection on clinical outcomes in future influenza seasons.

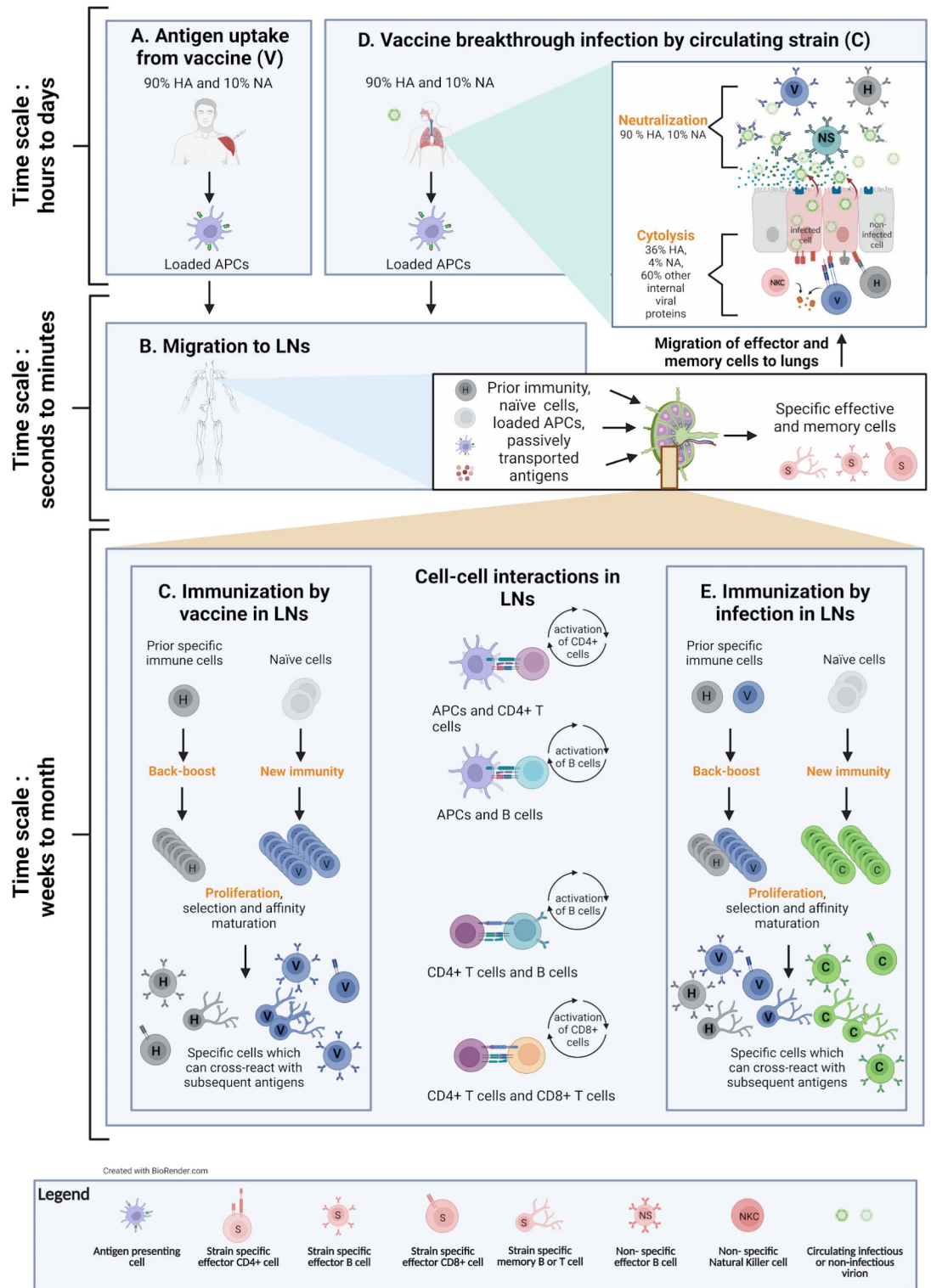
Measuring the full impact of influenza is difficult due to its varied clinical manifestations¹. In the U.S., influenza is estimated to have caused 9–41 million illnesses, 140,000–710,000 hospitalizations, and 12,000–52,000 deaths annually from 2010 to 2020². Seasonal disease burden and severity vary, influenced by population immunity and how well vaccine strains match circulating viruses^{3,4}.

The immune system is split into an innate component, responding quickly but non-specifically to pathogens, and an adaptive component, responding slowly but specifically⁵. The adaptive immune system includes B and T cells (mediating respectively humoral and cellular responses), targeting short peptide fragments (epitopes of antigens) presented by infected cells and antigen-presenting cells (APCs). The antibodies produced by B cells neutralize viruses while T cells destroy infected cells via cytolysis. Only specific B and T cells are stimulated, clonally expanded and maintained long-term.

Influenza vaccines aim to elicit antibodies, mainly against hemagglutinin (HA), but also neuraminidase (NA)⁵. Antigenic drift arises from mutations in these immunodominant epitopes creating new strains which can evade previously established immunity^{3,6}. Seasonal vaccine effectiveness (VE) can vary widely due to antigenic mismatches between vaccine and circulating strains^{3,5,7–9}, as defined qualitatively by the Centers of Disease Control and Prevention (CDC), by comparing the antigenic similarity of circulating and reference viruses from end of season influenza activity in the US. Predicting which strains will dominate in the next season remains a challenge, complicating decisions on vaccine strain selection⁴. Additionally, interpreting serological data is difficult due to unknown patient exposure histories to antigenically related cross-reactive strains⁴.

We focus on the widely-used inactivated split vaccines, whose antigens are produced by viruses multiplied on eggs. This process can lead to egg adaptation where the vaccine strain acquires key mutations that improve proliferation in eggs, but can decrease the match to the circulating viral strain^{9–12}. Systematic reviews and meta-analyses on efficacy and effectiveness of split vaccines in preventing influenza-associated clinical outcomes found that the high-dose (HD) split vaccine performs consistently better than the standard dose (SD) vaccine in adults aged 65+⁸. However, the relative vaccine effectiveness (RVE) was found to depend on the dominant circulating viral subtype (A/H3N2 or A/H1N1), as well as on the antigenic match of the vaccine to the predominant circulating strains within one subtype (antigenic and egg adaptation)⁹.

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Quantitative modeling is essential to understand the fluctuating VE due to the complex interaction between viral subtype, antigenic drift and patient immunity. Within-host models, especially for influenza A, have been crucial for simulating immune responses to viral infections^{13–20}. Few models address vaccine immunogenicity^{20–23}, particularly for influenza^{24,25}. To our knowledge, this multi-strain model is unique in predicting population-level VE against two influenza A subtypes, from within-host models for virtual patients with varied immune backgrounds. After describing the literature-derived assumptions, the model is calibrated on a particular season where both subtypes circulated, using a virtual population of patients older than 50 years old (control versus SD). Then, by varying seasonal antigenic matches of predominant subtypes, simulations over a decade demonstrate our model capacity to reproduce observed VE (pooled vaccines). Finally, predictions comparing the VE of HD relative to SD in the 65+ population, support an improved immunogenicity with the higher dose, regardless of antigenic match.

◀ **Fig. 1.** Overview of multi-strain model. **(A)** Vaccine antigen uptake by antigen-presenting cells (APCs). **(B)** Once loaded, APCs migrate from the injection site to the lymph nodes. Antigens (HA, NA) can also reach the lymph nodes by passive lymphatic drainage. **(C)** Immunization in the lymph nodes results in a back-boost of prior immunity (historical strains, H) as well as the formation of new immunity specific to the vaccine strain (V). In the lymph nodes, several cell-cell interactions amplify the proliferation of specific immune cells, notably, the interaction between APCs and naïve B and CD4+ cells. Activated CD4+ cells also interact with B and CD8+ cells which differentiate into effector cells, with neutralizing and cytolytic functions respectively. **(D)** Upon exposure to a seasonal circulating strain (C), specific immune cells migrate from the lymph nodes to the lungs. The different populations of immune cells interact with the new antigen C according to a cross-reactivity curve relating binding avidity constants to AgD between the new antigens and the old ones that elicited each strain-specific population. The rates of neutralization of specific antibodies elicited against H and V respectively depend on the AgD in HA and NA between H and C and V and C, weighted by the relative abundance of HA (90%) and NA (10%). 60% of pre-existing CD8+ cells have a rate of cytolysis which is independent of the AgD in HA and NA between previously encountered antigens (H, V) and C. If the specific immunity raised against H and V strains is sufficient to suppress the replication of the circulating strain or to control it without symptoms, the infection is respectively considered prevented or sub-clinical. **(E)** In case of viral replication and appearance of symptoms corresponding to a vaccine breakthrough infection, there is an immunization against strain C, with a back-boost of the specific immunity against V and H. In case of severe infection lasting more than a few weeks, this new immunity against strain C can help resolve the infection.

Results

Our model is built from literature-derived knowledge

The main model assumptions about viral dynamics, vaccine pharmacokinetics and interactions between antigens and cellular behaviors are illustrated in Fig. 1. One key mechanism is that specific memory cells and antibodies elicited against one viral strain during previous infection or vaccination cross-react with a newly encountered strain, as long as the two strains are antigenically similar^{7,26–28}. Immunization leads to the formation, maturation and maintenance of strain-specific antibodies and immune cells in response to antigen exposure. The immunization process resulting from infection or vaccination starts when APCs are exposed to antigen in tissues (muscle, lung or lymph nodes) and present phagocytosed antigens to other cells (Fig. 1A, D). APCs then migrate to secondary lymphoid tissues, where they prime and activate naïve B and T cells²⁹. CD4+ T cells further enhance the activation of primed B cells, which differentiate into B cells producing non-specific antibodies, and later differentiate into memory B cells secreting strain-specific antibodies (Fig. 1C, E). In parallel, APCs and CD4+ cells also activate naïve CD8+ T cells which can migrate to the infection site and induce cytolysis of infected cells (Fig. 1D). Later, both CD4+ and CD8+ cells differentiate into memory T cells (Fig. 1C, E). Affinity maturation serological data is difficult duration for B and T cells takes several weeks^{30,31}, meaning that a typical primary infection is mostly resolved by the innate immune system (non-strain-specific cells and antibodies). However, any subsequent exposures to the same or antigenically similar antigens will result in a recall and boost of strain-specific memory cells leading to faster and better adaptive immune response^{4,32}.

Cross-reactivity in adaptive immune response was previously described by a model based on statistical mechanics²⁶, where the antigenic drift in the main epitopes of the viral surface proteins HA and NA resulted in a non-linear antibody response. A new measure of antigenic distance, defined as the number of epitope mutations between the vaccine and the circulating strain, correlated well with VE against A/H3N2 from 1971 to 2004²⁷. It was estimated that the antibody affinity constant decreases non-linearly with this measure of antigenic distance^{26–28} and we assumed a similar relationship in our model. However, rather than using the number of epitope mutations, we define the antigenic distance (AgD) as the output of the antigenic advance model described in Neher et al. (2016)³³, normalized over the last 10 seasons (see Methods). This model, based on the relationship between strain genetic differences and their antigenicity, quantified by hemagglutination inhibition assays (HI titers), interprets antigenic data in a phylogenetic context³³. Importantly, this model predicts the antigenic properties of strain pairs that have not been characterized experimentally³³. Moreover, the AgD given by this model are well correlated to the number of epitope mutations³³, as in²⁷.

To account for strains encountered by a virtual patient before or during a simulation, we consider strain-specific antibodies and strain-specific adaptive effector and memory cell populations co-existing in a patient (Fig. 1, Supplementary Fig. S1, Supplementary Table S1). The neutralization rate of cross-reactive specific antibodies and the proliferation of memory B cells depends strongly on AgD, whereas most of the cytolysis and proliferation of CD8+ cells does not, since a little more than half of the total CD8+ cells are targeted against internal viral proteins^{34,35}.

We simulate the pharmacokinetics of intramuscular injection of split vaccines by partitioning the initial HA and NA dose into direct lymphatic drainage and APC cell uptake³⁶. The HD vaccine contains four times the antigens of the SD vaccine³⁷. Given lack of data, our null hypothesis is that the number of primed APCs is linearly dependent on the dose.

To model infection severity, we consider the upper respiratory tract (URT) and the lower respiratory tract (LRT), the latter involving the airways below the larynx. We use a target cell limited within-host model^{13–15,17,19}, where the virus enters the body through the URT, infects lung epithelial cells, replicates inside them and can spread to the LRT. After activation, the immune system clears infected cells and viral particles located in the extracellular space (Fig. 1D). Outcomes at the patient level include symptoms and severity of infection^{38,39}, and seroprotection⁴⁰, and are further defined in Table 1.

	Definition of outcomes	Reference
Infection	Patients are exposed at a variable time during the season to a single strain corresponding to the dominating strain of the main circulating clade of the season. However, each patient is exposed at the same time in the control and the treated (vaccinated) arm. The patient exposure dose is defined as the number of total viral particles per lung epithelial cells, also called multiplicity of infection. The dose to which a patient is exposed contains a variable percentage of infectious virions relative to non-infectious particles to mimic community transmission from individuals who are at variable stages of disease. If the maximum of total viral load exceeds the patient exposure dose, a patient is considered (subclinically or clinically) infected, as the viral replication exceeds its degradation by the immune system.	Jones et al. (2020) ³⁸
Seroprotection	If the hemagglutinin inhibition (HI) titer is superior to 40 ($\log_2(\text{HI titer}) > 4$), the patient is considered seroprotected. This threshold has been historically considered as the 50% decreased risk in influenza infection.	Hobson et al. (1972) ⁴⁰
Presence/absence of symptoms	As the range of nasal pro-inflammatory cytokines in symptomatic patients was reported as 2–130 pg/mL, in mild symptomatic young patients, we define the occurrence of symptoms as a simple threshold. If the pro-inflammatory cytokines in the URT remain below 2 pg/mL, the patient has no symptoms and the infection is considered asymptomatic (subclinical). Above this threshold, the infection is considered symptomatic (clinical).	Kaiser et al. (2001) ³⁹
URT/LRT infection	If the viral concentration in the URT exceeds a calibrated threshold, the virus can spread to the LRT. The same threshold is used in infections by H1N1 and H3N2 for all patients.	Calibrated threshold
Disease severity	Symptomatic infections can be mild or severe. If the cumulative cellular damage exceeds a calibrated threshold in LRT infections, the infection is considered severe (requiring hospitalization), otherwise it is considered mild (only requiring a doctor visit).	Calibrated threshold
Time to viral clearance	Number of days necessary for the total infectious and non-infectious viruses to drop below a calibrated threshold in the URT and LRT. Used as a proxy for infection duration.	Calibrated threshold

Table 1. Patient level outcome definitions used in the model.

Our model calibration process

Iterative calibration—We estimated the values of the parameters that could not be derived from literature via calibration with constraints defined by relevant data, based on *in vitro* and *in vivo* studies (Supplementary Methods on calibration). Using a covariance matrix adaptation evolution strategy⁴¹, this step-by-step approach allowed the calibration of a reference vaccine (split SD), two reference A viral subtypes (A/(H1N1) pdm09 and A/H3N2/Perth) and 5 reference patients exhibiting various degrees of disease severity and response to vaccination (Fig. 2, Supplementary Methods on calibration). By joining the parameter distributions of these reference patients (Fig. 2), we refined the distributions of patient descriptors and generated new sets of plausible patients. Using published methods^{42,43}, we iteratively selected patients that allowed for the best reproduction of the distribution of population outcomes (Table 2) in the control and vaccine arms in 50+ patients against both viral subtypes (Supplementary Fig. S2, vaccine arm against control arm without vaccine, both with independent exposure to A/H1N1 and A/H3N2). Below, we describe how we calibrated the immune system dynamics in response to primary infection (control arm, reference patients 1 to 3, Fig. 2) and then vaccine immunogenicity (vaccine arm, Fig. 2, reference patients 4 to 5) at the population level.

Control arm—To calibrate pathogenesis in a population of non-naïve unvaccinated humans, we defined three reference patients exhibiting respectively an asymptomatic, a mild symptomatic and a severe symptomatic infection when exposed to A/H1N1 or A/H3N2 (Fig. 2). These reference patients, with typical duration of symptoms according to viral subtype⁴⁴, were used to define the initial distributions of patient descriptors from which we simulated a large set of plausible patients (Supplementary Fig. S2, blue). Rare or implausible patients who failed to clear the virus in the lungs within one month after infection in the control arm were not sampled. To calibrate immunosenescence, we aimed at generating a positive correlation between age and epithelial lung damage induced by infection in the virtual population (Supplementary Fig. S2, blue) aiming at reproducing the higher rate of hospitalization in older adults reported by CDC. Mechanistically, we assumed a decrease in the number of naïve cells, an increase in pro-inflammatory cytokine autocatalysis (i.e. interleukin 6) and a decrease in antiviral cytokine autocatalysis (i.e. interferon type III) with age^{45–47}. The neutralization rate of antibodies was also assumed to decrease with age⁴⁸.

Vaccine arm—To calibrate the clinical effect of the SD vaccine at population level (Supplementary Fig. S2, orange), we simulated two additional reference patients - one succeeding and one failing to reach seroprotection levels post-vaccination (Fig. 2, reference patients 4 to 5) and extended further the distribution of parameters to simulate new plausible patients. At the population level, we defined two primary clinical endpoints to allow comparison with randomized clinical trials (RCT) and real-world data (RWD, Table 2): the seroprotection rate⁴⁰ and the simulated vaccine effectiveness (sVE, defined in Table 2), defined as the percentage of patients avoiding a symptomatic infection in the vaccine arm relative to the same patients exhibiting a symptomatic infection in the control arm (Table 2). This definition of sVE differs from the VE quantified by surveillance centers in test-negative design studies using PCR-confirmed laboratory influenza virus infection: in our virtual clinical trial, each patient is his own control, while in real data, control patients are tested negative to influenza A but seek care at the same facilities as those who are tested positive. Nevertheless, although not perfect, the comparison between simulated VE and observed VE should be indicative.

This calibration was performed in the season 2010–2011 in the US because both A/H1N1 and A/H3N2 circulated this season, allowing good VE estimates⁴⁹. The strains used in the 2010 vaccine were also well matched to the circulating viruses⁵⁰ and the AgD between these and the circulating strains reported on Nextstrain⁵¹ were small for HA and NA in both subtypes (Table 3, H1N1 norm. AgD (HA:0,NA:0); H3N2 norm. AgD (HA:0.008,NA:0.002)).

We aimed at reproducing simultaneously a reported VE of 47% (95% CI, 24–63%) in 50+ adults⁴⁹ and at least 70% of patients being seroprotected against A/H1N1 and A/H3N2 at 28 days post-vaccination in the SD vaccine arm^{52,53} (Table 2, Supplementary Fig. S2). **Calibration result** - In the final calibrated virtual population (VP), sVE is higher in the 50–64 group than in the 65+ group against both subtypes (Table 2). The estimated

geometric mean titers (GMT) against the vaccine strains pre-vaccination ($t=0$) and post-vaccination (at 28 days) are within the range reported in RCTs (Table 2). The average seroconversion rate is 44% against both subtypes, which is in concordance with RCTs. The percentage of severe infections relative to symptomatic cases in the 65+ population is consistent with the data reported before⁵⁴. The proportion of LRT infections which are severe and require hospitalization in 65+ are within the ranges reported worldwide⁵⁵.

Simulations over consecutive seasons confirm the importance of vaccine match

Inputs

As the calibration used US estimations of the VE in 2010–2011 on 50+ adults, we performed seasonal simulations using the main circulating A subtype in the US between 2011 and 2021 reported by the CDC and the predominant clade that circulated mid-season in the USA as reported on Nextstrain⁵¹ (Table 3). The input of each seasonal simulation is a set of normalized AgD in HA and NA between a sampled strain from the predominant clade and the corresponding seasonal vaccine strain.

Using the calibrated VP, we investigate how sVE depends on seasonal variation in AgD between the vaccine strain and the main circulating strain. Despite variable patient history, the VP is assumed to exhibit a constant level of prior immunity to the seasonal vaccine strain in every simulated season (See Methods). The model is also used to estimate how much RVE between the two doses depends on AgD in HA and NA when virtual populations from season to season are perfectly comparable in all their immune characteristics, including prior immunity.

Outputs

Our primary model outcome is simulated VE (sVE, defined in Table 2) against symptomatic infections. Each patient, represented by a unique set of 60 descriptors, is simultaneously included in 3 different arms: control, splitSD and splitHD. This outcome is not perfectly comparable to observed VE based on RWD (Table 4) because in test-negative design studies on laboratory confirmed influenza cases (CDC Vaccine Effectiveness Studies), VE is stratified by viral subtype and by age, but not by vaccine type. However, among US Medicare beneficiaries aged 65+, the proportion of individuals receiving HD increased considerably over the last decade⁹. Moreover, an increasing proportion of vaccinees received other vaccines (cell-based, recombinant or egg-based adjuvanted) in recent years⁵⁶. Based on the market share of the vaccine manufacturer of split HD vaccine (Sanofi), we can estimate the percentage of vaccinees aged 65+ who received SD relative to HD⁵⁷ and derive a weighted sVE (wsVE, Table 4 with equation) accounting for the percentage of vaccinees receiving SD each season. This improves the comparison with VE based on RWD but still does not account for all vaccine types. In addition, other factors could limit the perfect comparison between simulated and adjusted VE as the controls are not the same patients in RWD and thus VE needs to be adjusted statistically for confounding factors like study site, age, sex or underlying medical conditions.

Sources of variation in vaccine effectiveness

VE for A/H3N2 is usually lower than that of A/H1N1⁵⁸. This is also reproduced in our predictions, with A/H3N2 dominated seasons exhibiting the lowest sVE (Fig. 3A). This difference is mainly due to the more frequent vaccine mismatch in A/H3N2 dominated seasons used as inputs.

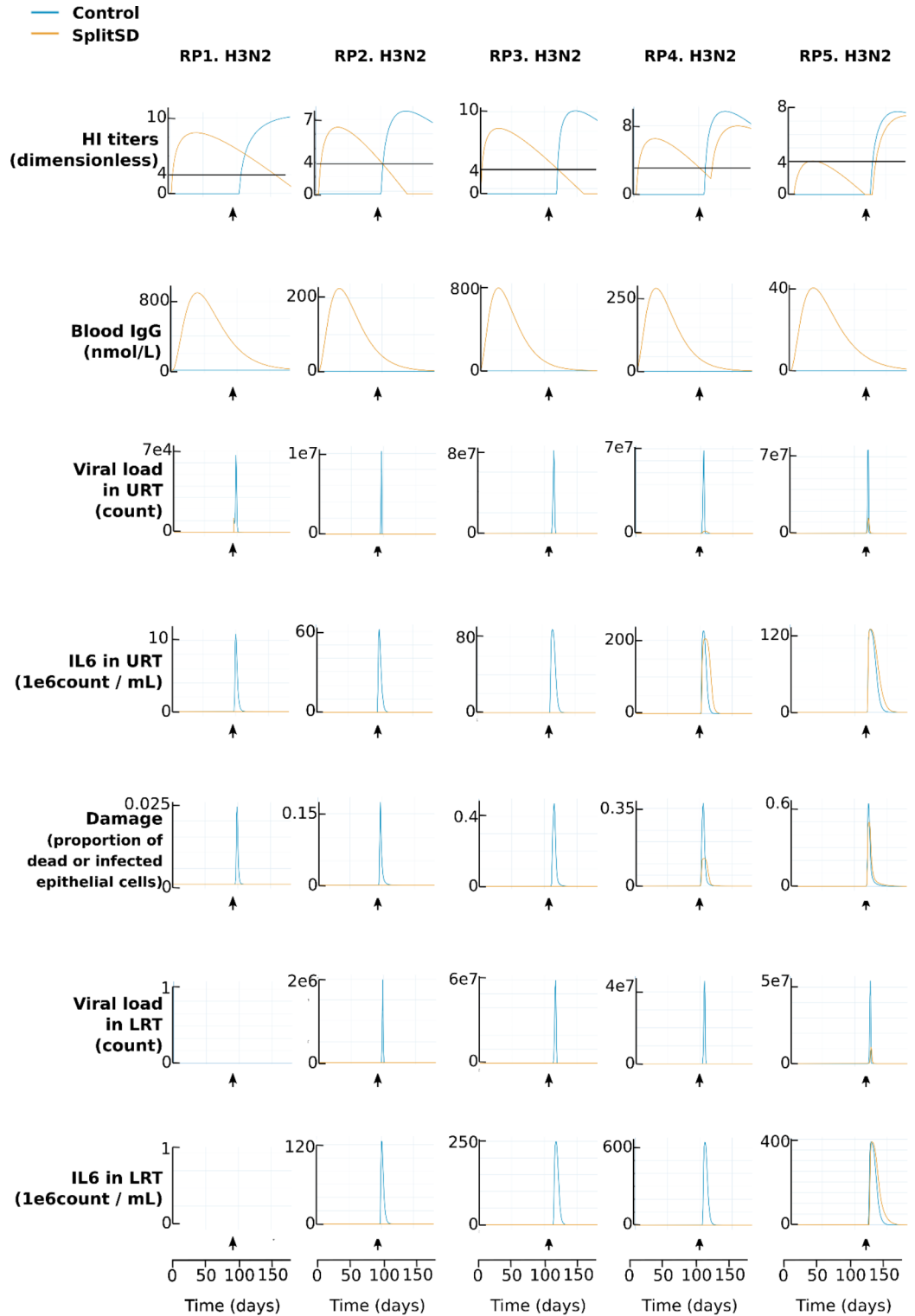
Figure 3B shows that the sVE against symptomatic infections, disregarding vaccine types, is lower in the 65+ than in the 50–64 group, illustrating the simulated immunosenescence. Our wsVE against symptomatic infections, considering only split vaccines, falls within CDC confidence intervals in all seasons in 65+. Most of the predicted wsVE in the 50–64 group also falls within these confidence intervals, except in seasons where VE is estimated to be equal or lower in this age group than in the 65+ group (2014–2015, 2015–2016, 2018–2019, Table 4). Indeed, 65+ patients most often exhibit the lowest VE⁵⁸, but not always, plausibly due to confounding factors of prior immunity. As we used exactly the same populations in all simulated seasons and calibrated our model to simulate a decreased sVE with age (Fig. 3B), failure is expected when the relationships between age and VE are inconsistent across seasons.

There is evidence suggesting that the level of protection of HD would be similar to that seen with SD in younger adults⁵⁹, which is also observed with our model (Table 4; Fig. 3C). Of note, sVE in HD arm has not been calibrated, and is the result of the assumed linear dose-response. Figure 3D1 compares the simulated wsVE from 2011 to 2021 to the adjusted VE estimated from CDC.

To compare seasons, we use the classification between match, mismatch or egg-adaptation reported by CDC (Fig. 3D1–3D2). Across seasons, our model predicts a smaller range of variation in sVE compared to adjusted VE (Fig. 3D1). This is expected since our model assumes a constant level of prior immunity against each seasonal vaccine strain while prior immunity is an important confounding factor⁴ hardly controlled for in RWD. As we disregarded egg-adaptation, the sVE for those seasons is overestimated (Fig. 3D1–3D2). Disregarding these extra-sources of variation in VE, one can still see that both the adjusted VE and the sVE decrease with increasing vaccine mismatch as described by CDC^{9,58}, although the trend of sVE looks less noisy than that of adjusted VE (Fig. 3D2). The sVE over the whole combination of observed AgD in HA and NA follows this trend with a strong negative dependence of sVE on the input AgD in HA and a slighter dependence in NA (Fig. 3E).

Dependence of RVE on AgD

Importantly, the simulated relative vaccine effectiveness (sRVE) follows a similar decrease with AgD (Fig. 3E), ranging from 42 to 2.5% depending on season and age class. A double-blind RCT⁵⁹ reported a RVE against symptomatic infections of 24.2% (95% confidence interval [CI], 9.7 to 36.5) in 65+. It was noted also that the RVE estimates were higher in analyses restricted to cases caused by vaccine-similar strains, suggesting that RVE



depends on AgD⁵⁹. The sRVE quantified against hospitalization are listed for each season in Supplementary Table S3.

Improved immunogenicity with HD vaccine

The contribution analysis illustrates the patient descriptors correlated with a difference between the two vaccine arms, quantified with 'markers' of response to vaccination (Fig. 4A-E). This sensitivity analysis is global, using the distributions and correlations of patient descriptors rather than varying each parameter independently⁶⁰. Unsurprisingly, the dose-induced difference in seroprotection duration and blood IgGs is increased when antibody production rate is increased or antibody decay rate is decreased (Fig. 4A-B). Unexpectedly, these differences are decreased when increasing age and the rate of B cell priming by APCs (Fig. 4C). Similarly, dose-induced differences in cellular immunity are increased when the production rate of T cells is increased and

◀ **Fig. 2.** Calibration of reference patients. Time-courses of 7 main variables (rows) in 5 reference patients (RP) (columns) in control arm (blue) and split vaccine arm (orange, vaccination at day 1) with exposure to H3N2 at the indicated time points (arrows, between 80 and 120 days after vaccination) in both clinical arms over 180 days. All patients are aged between 70 and 80. First row: log₂ HI titers raised against the vaccine strain. Second row: concentration of IgG specific to the vaccine strain in blood in nanomol/L. Third row: total viral load in the URT in mRNA/mL. Fourth row: concentration of pro-inflammatory cytokines (IL6) in the URT in nanomol/mL. Fifth row: instantaneous damage expressed as the fraction of infected lung epithelial cells compared to healthy lungs. Sixth row: total viral load in the LRT in mRNA/mL. Seventh row: concentration of pro-inflammatory cytokines (IL6) in the LRT in nanomol/mL. RP1: control asymptomatic infection and no vaccine breakthrough infection. RP2: control mild symptomatic infection and no vaccine breakthrough infection. RP3: control severe symptomatic infection and no vaccine breakthrough infection. RP4: control mild symptomatic infection and mild symptomatic vaccine breakthrough infection. RP5: control mild symptomatic infection and mild symptomatic vaccine breakthrough infection. All patients except RP5 reach seroprotective levels less than one month after vaccination (comparison of orange curve and black line in first row corresponding to log₂ HI titers equals to 4). Vaccine breakthrough infections are identified as rebound of the HI titers after exposure in the vaccine arm (orange curve in RP4-5).

	Definition	References	Calibration output (2010–2011 season)	
			95% confidence interval *	Mean calibration output
Seroprotection rate (%)	Percentage of patients in the virtual population exhibiting a HI titer superior to the 50% protection threshold (1:40) against the vaccine strain 28 days after vaccination.	Cox et al., 2008 (RCT: PSC03; NCT00395174, 65 + yo; PSC06 : NCT00539864, 50–64 yo) ⁵² , Falsey et al., 2009 (RCT, 65 + yo) ⁵³	70–98	68 [§]
Pre-vaccination HI titers	Geometric mean of HI titers (GMT) in the virtual population against the vaccine strain 0 days before vaccination.		16–82	20
Post-vaccination HI titers	Geometric mean of HI titers (GMT) in the virtual population against the vaccine strain 28 days after vaccination.		53–356	160
Seroconversion rate (%)	Percentage of patients in the virtual population exhibiting a fourfold increase in HI titers against the vaccine strain 28 days after vaccination compared to pre-vaccination.		20–72	44
Simulated vaccine effectiveness (sVE, %)	Percentage of prevented symptomatic infections, quantified as relative to the percentage of the same patients who did not develop a symptomatic infection in the vaccine arm but did in the control arm during the season	Treanor et al. 2012 (RWD, 50 + yo, inactivated vaccines) ⁴⁹	24–63	39 overall, 50 in 50–64 yo, 35 in 65–96 yo
Weighted simulated vaccine effectiveness (wsVE, %)	The simulated vaccine effectiveness obtained in two independently simulated vaccine arms is pooled and weighted according to the proportion of vaccinees receiving split standard dose (SD) rather than split high dose (HD) over consecutive seasons. Equation in legend of Table 4	CDC MMWR		
Symptomatic disease severity (%)	Percentage of severe infections relative to symptomatic infections requiring medical visits in 65 + in the control arm.	Reed et al., 2009 (RWD) ⁵³ , Centers for Disease Control and Prevention ²	10–25 [#]	18
LRT disease severity (%)	Percentage of LRT infections which are severe in 65 + in the control arm.	Troeger et al. 2018 (RWD) ⁵⁴	20–80	44

Table 2. Population-level outcome definitions used in the model, reference data 95% confidence intervals reported in the literature and mean calibration output of the 2010–2011 season (normalized AgD: H1N1 (0/0), H3N2 (HA:0.008/NA:0.002), control arm versus split SD vaccination. *: min - max of 95% confidence interval. # i.e. in 2010–2011, 359.2– 540.8 hospitalized per 100 '000 inhabitants for 2'114.9–3'436.3 medical visits per 100 '000 inhabitants. § : Seroprotection calibrated value falls outside of reference range. Because of the large number of constraints, a perfect match could not be found and the difference between the simulation and reference was deemed acceptable.

decreased with age (Fig. 4D–E). For antibody production rate, the tornado plot is asymmetrical, meaning that the relationship is non-linear: low antibody production rates result in 50% less dose-induced differences in seroprotection duration, while high rates result in 15% higher differences. Seroprotection duration is on average increased by 30% with HD vaccine compared to SD vaccine (Fig. 4F). This is attributable to the better priming of B and CD4 cells by APCs (Fig. 4G–J) which results in HI titers remaining above the 50% protection threshold for a longer time. As we assumed that the adaptive response partially depends on presentation of viral antigens by APCs to B and T cells, changes in parameters affecting APCs have a strong influence on seroprotection duration, which is consistent with a previous model⁶¹.

Discussion

The multi-strain influenza disease model presented here captures the complexities of the human immune response to influenza virus and vaccination such as innate, adaptive and inflammatory immune responses, cross-reactivity, immunosenescence and infection severity. A step by step calibration process was done to generate a population with variable patient profiles (e.g. level of seroprotection, immunosenescence) in the correct

Season	Age class	Size of VP	Main circulating subtype # ¹	Clade of main circulating subtype # ²	Normalized AgD between vaccine and main circulating strain # ²	
					HA	NA
2010–2011 (calibration)	50–90	1031	H1N1	NA	0	0
	50–90	1031	H3N2	NA	0.008	0.002
2011–2012	50–64	409	H3N2	3B	0.077	0
	65–96	622				
2012–2013	50–64	409	H3N2	3 C	0.00458	0.00702
	65–96	622				
2013–2014	50–64	409	H1N1	6 C	0	0.0123
	65–96	622				
2014–2015	50–64	409	H3N2	3 C.3	0.116	0
	65–96	622				
2015–2016	50–64	409	H1N1	6B	0	0.0141
	65–96	622				
2016–2017	50–64	409	H3N2	3 C.2a	0	0.109
	65–96	622				
2017–2018	50–64	409	H3N2	3 C.2a1	0.0466	0.223
	65–96	622				
2018–2019	50–64	409	H1N1	6B.1 A.1	0.0127	0
	65–96	622				
	50–64	409	H3N2	3 C.2a2	0.15	0.0573
	65–96	622				
2019–2020	50–64	409	H1N1	6B.1 A.5b	0.0892	0
	65–96	622				
2021–2022	65–96	1031	H3N2	3 C.2a.1a	0.461	0.113

Table 3. Model inputs used to calibrate the model in 2010 and to simulate seasons, from 2011 to 2021, USA. #¹: CDC MMWR reports: <https://www.cdc.gov/flu/season/past-flu-seasons.htm>. #²: Nextstrain webapp⁴⁹. Consulted on the 2022/11/08; <https://nextstrain.org/flu/seasonal/h3n2/ha/12y@2022-11-08>. Normalization with A/Darwin/6/2021, 3 C. 2a1b.2a.2 HA: 13.1.

proportion to reproduce RWD observations of vaccine effectiveness in 2010. Model performance was evaluated through the comparison of simulated and observed vaccine effectiveness from 2011 to 2021. It should be noted that this model is based on the scientific community's still incomplete understanding of immune correlates of protection against influenza which could explain some discrepancies between simulation and observations.

Our model is highly sensitive to humoral and cellular immunity levels against historical strains, aligning with empirical and theoretical studies^{4,24,32,62}. In our seasonal simulations, we kept prior immunity constant, focusing on variations in the main circulating subtype and its AgD from the vaccine strain. This approach isolates the AgD's impact on seasonal vaccine effectiveness (sVE). The sVE range may seem narrower than in real-world data (RWD) because we used the existing prediction of antibody cross-reactivity against an antigenic distance which is based on mutation counts and disregards phylogenetic relationships among strains^{7,26–28}. To refine our model, new data with recent strains (post-2010) is necessary for better calibration of cross-reactivity and newer definitions of AgD.

On one hand, our sRVE against hospitalization averages 43% for A/H1N1 and 46% for A/H3N2 (Supplementary Table S3), higher than RWD estimates of 5–30% depending on studies and seasons^{56,63–66}. On the other hand, our sRVE against symptomatic infections aligns with the lower range of randomized control trials (RCTs)⁵⁹. Our method potentially deflates RVE estimates: using identical patients in different simulation arms avoids biases present in real-world clinical settings, like the at-risk vaccinee bias where HD vaccines are given to frailer adults^{64,65}. Additionally, RVE against hospitalization can increase from negligible to 30% by precisely matching patients receiving SD and HD vaccines by age and residence⁶⁴. Our model's predictions are in line with existing knowledge, validating it qualitatively as it accurately reflects immunosenescence, viral subtype, vaccine dose, and match effects.

Our model, however, does not account for egg-adaptation during vaccine production. In seasons where egg-adaptation was significant (2012, 2016, 2017^{9–11}), our model expectedly overestimates sVE based solely on AgD (Fig. 3D1). Future iterations could differentiate the impact of strain selection and egg-adaptation on VE reduction.

Reducing seasonal influenza severity and preventing infection hinges on immune recognition of both HA and NA⁷. However, vaccination induces fewer anti-NA antibodies⁶⁷, and the quantity of NA in current vaccines is not standardized⁶⁸, with neuraminidase inhibition titers rarely measured. HA facilitates viral entry, while NA aids in

Season	Age class	sVE of SD arm	sVE of HD arm	% of SD/HD #1	Weighted sVE	Adjusted VE CDC all vaccine types (95% CI)#2	Season category #2
2011–2012	50–64	38	51	100	38	39 (-13, 67)	Match
	65–96	32	37	87	33	42 (-37, 76)	Match
2012–2013	50–64	45	61	100	45	52 (33, 65)	Egg
	65–96	34	44	78	36	11 (-41, 43)	Egg
2013–2014	50–64	51	71	100	51	64 (48, 74)	Match
	65–96	37	49	75	40	59 (25, 77)	Match
2014–2015	50–64	35	46	100	35	12 (-19, 34)	Mismatch
	65–96	29	34	63	31	12 (-29, 40)	Mismatch
2015–2016	50–64	50	71	100	50	10 (-26, 36)	Match
	65–96	37	49	47	43	66 (36, 81)	Match
2016–2017	50–64	45	61	100	45	40 (24, 53)	Egg
	65–96	35	44	37	41	21 (-15, 45)	Egg
2017–2018	50–64	37	52	100	37	21 (-5, 41)	Egg
	65–96	31	37	30	35	10 (-32, 39)	Egg
2018–2019 H1N1	50–64	51	68	100	51	30 (6, 48)	Match
	65–96	35	48	26	45	16 (-41, 51)	Match
2018–2019 H2N3	50–64	36	43	100	36	-20 (-74, 18)	Mismatch
	65–96	28	31	26	30	13 (-46, 48)	Mismatch
2019–2020	50–64	46	56	100	46	40 (20, 56)	Match
	65–96	28	38	20	36	42 (9, 64)	Match
2021–2022	65–96	19	21	15	21	32 (-79, 74)	Mismatch

Table 4. Outputs of simulated vaccine effectiveness (sVE) against symptomatic infections in vaccine arms and weighted sVE using the proportion of vaccinees receiving split standard dose (SD) rather than split high dose (HD) over consecutive seasons. #1: Net et al., 2021⁵⁸. #2: CDC MMWR reports, with estimates typically adjusted for study site, age, sex, underlying medical conditions, and days from illness onset to enrollment. $Weighted sVE = sVE_{SDarm} \cdot \%splitSD + sVE_{HDarm} \cdot (100 - \%splitSD)$.

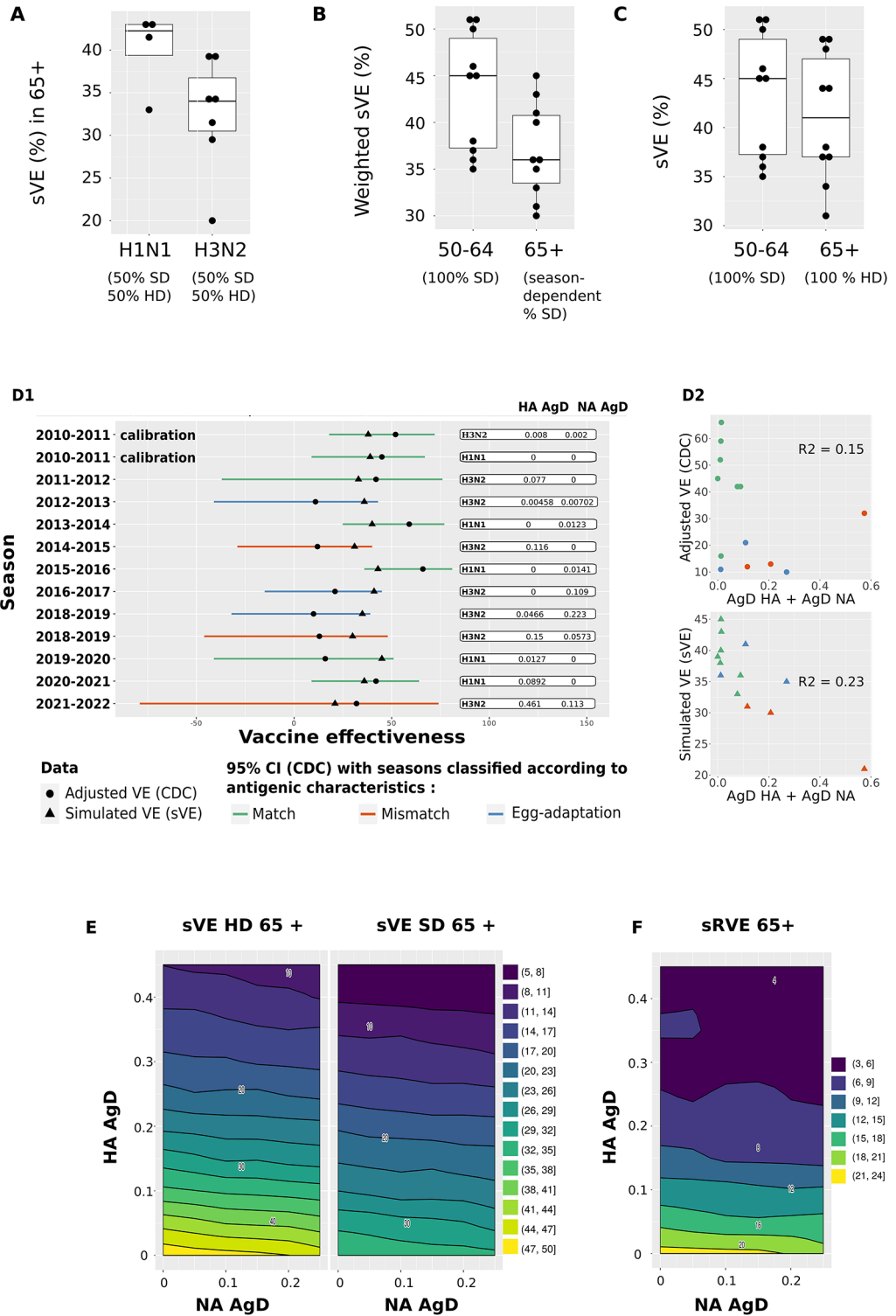
viral release from cells⁵. Infection and vaccination result in varying ratios of anti-HA and anti-NA antibodies⁶⁹, but the underlying mechanisms of immunodominance are unclear. Therefore, our model assumes that the adaptive humoral response targets HA and NA based on their relative presence in infection and vaccination^{69,70}, overlooking other potential factors like immune cell hypermutation, selection, clonal expansion, or a difference in roles, not explicitly modeled here.

Our model does not consider cross-reactivity among subtypes, specifically the rare broadly neutralizing antibodies against the HA stalk^{24,67}. While childhood imprinting with a subtype reduces susceptibility to that subtype later, our model does not account for age-based prior immunity. Consequently, it predicts lower VE against A/H3N2 than A/H1N1 (Fig. 3A) due to A/H3N2's faster antigenic drift. Without considering anti-HA-stalk antibodies, the model is not equipped to predict age-based differential incidence by subtypes. Its application is confined to seasonal fluctuations over short time spans, not over a lifetime.

Most evidence suggests that antibodies play a crucial role in the sterilizing immunity induced by vaccination, but T cell responses are also commonly stimulated⁷¹. Unlike humoral immunity, the cellular adaptive immune response primarily targets immunodominant epitopes in internal viral proteins, which are more conserved across A subtypes³⁵. Therefore, long-term T cell immunity, especially from memory CD8+ cells, is likely to guard against reinfection by strains with different surface but identical internal proteins. In our model, we used the proportion of total T cell response attributable to internal versus surface viral proteins^{34,35}. Consequently, AgD mainly impacts the humoral response, particularly to antigenic drift in HA, the primary antibody target (Fig. 3). However, the role of T cells in protection is poorly characterized, apart from CD4+ helper cells⁷¹.

Our model predicts that high-dose (HD) vaccines enhance seroprotection duration by more effectively priming APCs and activating CD4+ cells because of the linear dose-response relationship we assumed for APC priming (Fig. 4C). Only one study, an exploratory model in mice, examined APC priming's dose-dependence²⁵. It predicted a quasi-monotonic increase in seroprotection with higher influenza A inactivated vaccine doses²⁵. However, protection can also decrease as vaccine dose exceeds a certain threshold, leading to rapid antigen clearance by the innate immune system, preventing an effective adaptive response²⁵. While our model's predictions align with reported RVE over a decade using a linear dose-effect curve, further research is needed to clarify how different dosages impact APCs and T cells on a wider dose range.

The relationship between antibody titers and AgD has been investigated more thoroughly. For instance, it was demonstrated that the increase in antibody titers is greatest to the most recently encountered strain (as opposed to historical strains) but that antibody titers still spread over multiple antigenic clusters⁴. This broad subtype-specific back-boost and its relation to antigenic differences among strains was quantified in the form of antigenic landscapes⁴. Although the mechanism behind this back-boost is currently unknown, it appears more consistent with memory cell stimulation and antibody recall than a result of the production of novel antibodies with extensive cross-reactivity⁴. In our model, the back-boost is qualitatively consistent with this and other



antibody landscape data⁶². By increasing the number of strains considered in this multi-strain model, it is now feasible to derive virtual quantitative antibody landscapes directly comparable to real patient-level antibody landscapes. Antibody landscapes thus appear as the most convenient high level descriptor of intra-population variation in humoral immunity both theoretically and in RCT/RWD. Despite substantial heterogeneity among the antibody landscapes of different individuals and highly variable individual response to vaccination, it was observed that each landscape shape was typically stable from one year to the next and had distinctive individual features^{4,62}. These observations suggest that most of the inter-patient variation in HI titers is due to variation in immune system and immunization history of patients. Our model further suggests that priming of APCs is important to account for inter-patient variability in HI titers. Moreover, it is often presumed that response to infection is broader or stronger than response to vaccination. Although a fair comparison of the antibody response to infection and vaccination is challenging⁶², it seemed that the strength and breadth of the back-boost in response to infection and to vaccination were similar. Our model is compatible with such observations and

◀ **Fig. 3.** Model results. **(A)** Comparison of sVE in 65+ in seasons dominated by A/H1N1 (4 seasons) and A/H3N2 (7 seasons) using pooled vaccine arms. Most seasons dominated by A/H3N2 exhibit a lower sVE than in A/H1N1 dominated seasons. **(B)** Comparison of wsVE in younger and older age classes. 100% of patients aged 50–64 yo are vaccinated with splitSD as splitHD is not recommended in patients younger than 65 yo while the percentage of splitSD vaccinees relative to splitHD vaccinees in 65+ varies across seasons (Table 4). In those realistic conditions, the sVE in the 65+ is almost 10% lower than in the younger adults. **(C)** Comparison of sVE in younger and older age classes if 100% of 65+ received the HD vaccine. The effect of immunosenescence would be almost canceled with respect to the younger adults receiving exclusively the SD vaccine. **D1.** Timeline of wsVE against symptomatic infection (triangles) plotted over adjusted VE from CDC (dots) in 65+ between 2011 and 2022. The confidence intervals of adjusted VE are colored according to the antigenic characterization of main circulating seasonal strains with regards to the seasonal vaccine strains reported by the CDC as matched (green), mismatched (orange) and egg-adapted (blue) seasons. **D2.** Adjusted VE (top) and simulated VE (bottom) vs. the sum and HA and NA antigenic distance with regards to the seasonal vaccine strains reported by the CDC as matched (green), mismatched (orange) and egg-adapted (blue) seasons. **E.** Heatmaps of predicted sVE against symptomatic infections as a function of AgD in HA and NA between the seasonal vaccine strain and the main seasonal vaccine strain, in 65+. The surface corresponds to theoretical seasons where combinations of AgD in HA and NA have been simulated to evenly sample the theoretical space of variation in antigenic distances observed over the last decade. The sVE of splitSD decreases with AgD in HA and NA, but the decrease is much faster with antigenic drift in HA than in NA. Although the sVE of splitHD is much higher than that of the splitSD, it decreases faster with antigenic drift, in particular in HA. **F.** Heatmaps of predicted sRVE against symptomatic infections as a function of AgD in HA. The effectiveness of splitHD relative to splitSD (sRVE) decreases strongly with antigenic drift in HA and marginally in NA, but is nevertheless consistently different from 0, even at very large (and exceptional) combinations of AgD in HA and NA. All figures are generated with R version 4.3.2 (2023-10-31) - <https://www.r-project.org/>.

could be pivotal in comparing further the mechanistic causes underlying the differential humoral responses to vaccination and infection in the future.

High-dose (HD) vaccines are licensed for people over 65 years to overcome pre-existing antibodies and immunosenescence. This enhanced vaccine has shown an increased capability of driving seroconversion and protection from influenza⁷². One hypothesis is that the increased antigen amount in HD vaccines prevents pre-existing antibodies from sequestering all antigens, enabling free antigens to activate memory B cells and thus promoting seroconversion against protective HA epitopes^{59,67}.

In our model, a similar dose-effect mechanism is underlying the superiority of the HD vaccine in increasing seroprotective titers. However, this superiority depends on antigenic distance. If vaccine-induced antibodies poorly cross-react with the circulating strain due to a mismatch, the low cross-reacting antibodies might delay the production of better-matched antibodies. Particularly in seasons with significant antigenic mismatches in H3N2 dominant strains (2014, 2018, 2021), a small percentage (2–5%) of the virtual patients (VP) show a slightly longer viral clearance time than what is observed without vaccination. This aligns with the above hypothesis that binding of antigens by preexisting cross-reactive antibodies and memory cells sequesters antigens available for prime naïve B cells. While vaccine effectiveness is improved by increasing the match between vaccine and circulating strains, vaccine effectiveness is also boosted by reducing the match between vaccine strains and a patient's pre-existing antibodies. In the context of SARS-CoV-2, it has been suggested that vaccine boosters using the beta-variant spike protein could provide better cross-neutralization against omicron variants than boosters based on recent omicron variant spike proteins, which built up herd immunity⁷³.

Our model confirms that HD consistently performs better than SD, against both subtypes, regardless of vaccine match, supporting the use of the HD vaccine in the older population. Research on antigen design concentrates on shifting natural immunodominance towards more broadly cross-reactive epitopes (i.e. headless antigens, HA-stalk) or prediction of the likely circulating strains based on pressure of selection. Besides the selection of vaccine strains, other active areas of research to improve effectiveness concentrate on vaccine designs which avoid egg-adaptation (i.e. cell-based and recombinant vaccines), but also glycosylation patterns (mRNA vaccines). Modeling and simulation is helping research in all these aspects, as well as supporting the choice of the optimal antigen dosage, especially in the susceptible populations.

Methods

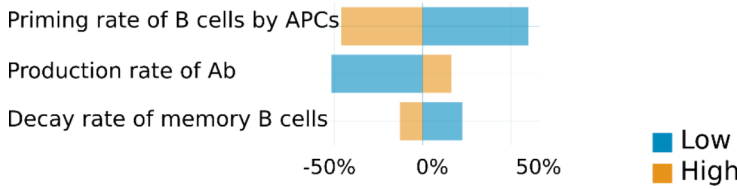
Multi-strain model description

The multi-strain model is described by a system of ordinary differential equations (ODEs) and uses a virtual population approach where parameters are described by statistical distributions rather than scalar values, in order to represent different sources of variability⁷⁴. Each virtual patient corresponds to a vector of parameter values drawn from the corresponding statistical distribution.

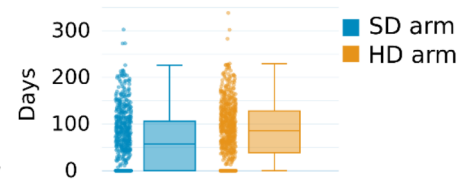
The multi-strain model is based on 4 independent submodels, which can run independently or in combination (Supplementary Fig. S1; Supplementary Methods):

1. The Immunization submodel describes building of a fast innate response and a slow adaptive response in lymph nodes and blood after antigen encounter with a time scale of days and weeks respectively (Fig. 1C and E),
2. The Vaccine Immunogenicity submodel describes the vaccine antigen-uptake by APCs at injection site (muscle) with a time-scale of hours to days (Fig. 1A),

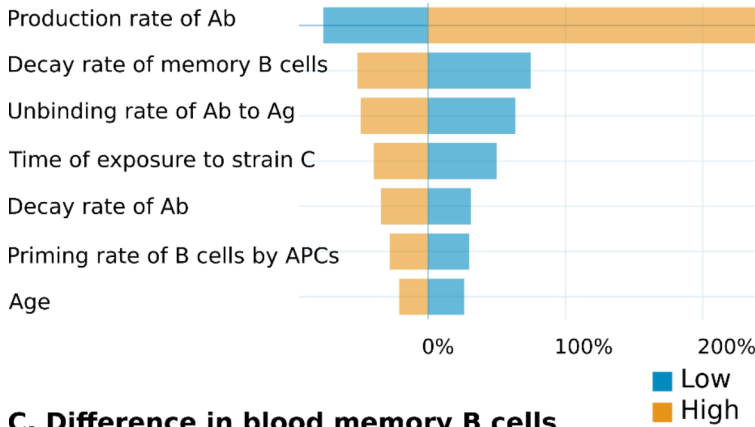
A. Difference in seroprotection duration



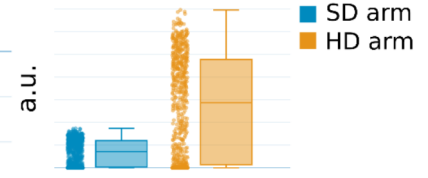
F. Seroprotection duration



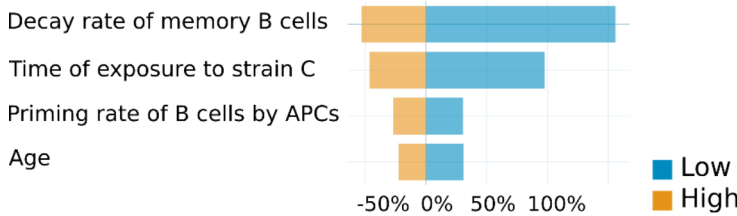
B. Difference in blood IgG



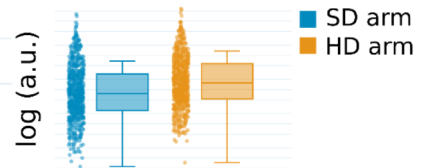
G. APCs in LN



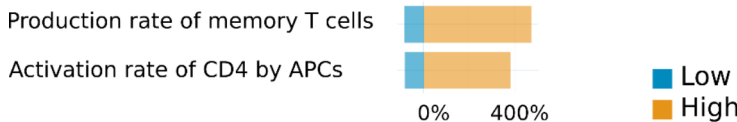
C. Difference in blood memory B cells



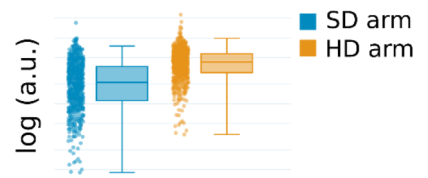
H. IgG in blood



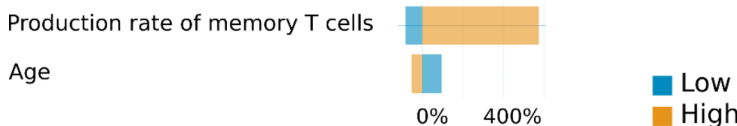
D. Difference in LN central memory CD4 cells



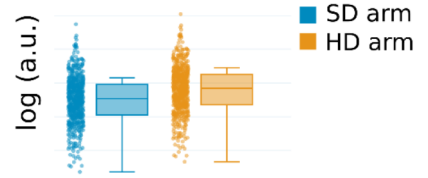
I. Memory B cells in blood



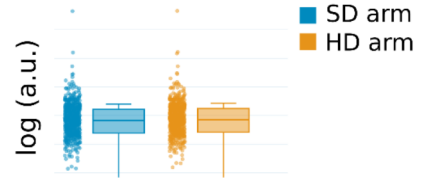
E. Difference in LN central memory CD8 cells



J. Central memory CD4 in LN



K. Central memory CD8 in LN



3. The Viral Life Cycle describes the within-host infection and replication in lung epithelial cells with a time scale of hours to days (Fig. 1D) and.
4. The Pathogenesis model describes the effector response to viral exposure (neutralization, cytolysis, inflammation) in the URT and LRT with a time scale of hours to days (Fig. 1D).

Once connected, these submodels allow the simulation of a variety of scenarios and conditions (Supplementary Fig. S1). The assumptions of these submodels are presented in Supplementary Methods.

In the multi-strain model, the different populations of strain-specific immune cells, antibodies and antigens have been multiplied according to the number of strains considered in a simulation (Fig. 1). Here, we consider 3 strains: (1) one historical strain (H) corresponding to a former circulating strain that a patient encountered 5 to 10 years before the start of the simulation (with remaining specific memory cells); (2) one vaccine strain (V)

◀ **Fig. 4.** Predicted improvements with increased vaccine dose. **A-E.** Contribution analysis comparing, for each virtual patient, the difference between HD and SD arms, in seroprotection duration and vaccine-specific immunity at 28 days post-vaccination in 65+. A positive correlation between a marker of response to vaccination (i.e. dose-induced difference in seroprotection duration) is signified by low (blue) to high (orange) values from left to right, while a negative correlation goes from high to low values. Values are expressed in % change of the subpopulation's median compared to the whole population's median. For instance, in **A**, the subpopulation with the 50% highest values for the priming rate of B cells by APCs ("high" subpopulation) has a median for seroprotection duration 50% lower than the median of the overall population. For humoral immunity (**A-C**), the most sensitive parameters are related to the priming rate of B cells by APCs and to their antibody production and decay rates. While increasing the production rate of antibodies increases the differences between doses, increasing B cell priming and memory B cell decay rates decrease these differences. Increasing age (and thus immunosenescence) also decreases the difference between doses. Decreasing the time of exposure to viral antigens increases the differences between doses, due to back-boost of immunity against the vaccine strain, contributing to decreasing the difference between doses. For cellular immunity (**D-E**), the most sensitive parameters are related to the production rate of T cells which increases the difference between doses, while age decreases this difference. **F-K.** Quantified vaccine-specific markers tend to increase with vaccine dose, except central memory CD8 cells which show no change with vaccine dose. Distribution of vaccine-specific immunity in SD (blue) and HD (orange) arms in arbitrary units (a.u.), 28 days after vaccination. **F.** Seroprotection duration quantified as the number of days elapsed since vaccination where the HI titers remain superior to 1:40. **G.** APCs in lymph nodes. **H.** Antibodies specific to the vaccine strain in blood. **I.** Memory B cells in blood. **J.** Central memory CD4 cells in lymph nodes. **K.** Central memory CD8 cells in lymph nodes.

corresponding to a vaccine administered at the start of the simulation ; (3) one seasonal circulating strain (C) which can be encountered at a different time for each patient. This strain is used to test the vaccine effectiveness at the population level at the end of the season.

Cross-reactions

The intensity of cross-reactions between a strain and adaptive immunity elicited against another strain depends on the antigenic distance among strains. AgD between two strains is defined in this model according to the Nextstrain platform^{33,51} - a web browser-based application - that visualizes antigenic data on a continuously updated phylogeny, allowing to make their model outputs readily available. We used the antigenic distances between our reference virus of 2009 (A/H1N1/California/2009 and A/H3N2/Perth/2009) and the vaccine strains used in a particular season (after 2009), using the so-called antigenic advance submodel. We normalized all antigenic distances for HA and NA in A/H3N2 and A/H1N1 using the antigenic distance between A/H3N2/Wisconsin/67/2005 and A/H3N2/Darwin/6/2021 (clade 3 C. 2a1b.2a.2).

Cross-reactivity is related to the avidity constant between the antigens of a viral strain and the adaptive immune response produced against the antigens of another strain encountered previously. The exponential decrease of the avidity constant with the antigenic distance of those two strains is qualitatively derived from Deem and Lee (2003)²⁶. For simplicity, we simulate only the cross-reactivity at the main epitope of HA and NA proteins, as other authors did previously^{7,26-28}. However, the equation of²⁶ was meant for antibodies only, but we generalize it to also simulate cross-reactivity in CD8+ cells and in particular to take into account the fact that CD8+ majoritarily target internal antigens. In order to simulate cross-reactivity of antibodies and CD8+ cells simultaneously, we further assume that the exponential decrease in avidity constant with normalized antigenic distance between strain pairs is ponderated by the proportion of immune response targeted at surface antigens (HA and NA) relative to internal antigens (propAntigenHA with $\text{propAntigenNA} = 1 - \text{propAntigenHA}$).

In our model, the neutralization rate of cross-reactive specific antibodies as well as the proliferation of memory B cells depend strongly on antigenic distance in accordance with the relative abundance of HA and NA (where propAntigenHA equals 0.9) in a virion or split vaccine^{69,70}. However, the cytolysis rate of CD8+ cells and their proliferation depend less strongly on antigenic distance, since only 40% of the total CD8+ cells are targeted against viral surface protein epitope^{34,35}. We thus assume that 36% of CD8+ cells response is targeted against HA ($\text{propAntigenHA} = 0.9 \cdot 0.4 = 0.36$), while 4% is targeted against NA. Similarly to²⁶, we assume a threshold of antigenic distance at which the avidity constant is that of non-specific immune response. If the antigenic distance in HA main epitope is below 0.6²⁶, the antibodies/CD8+ cells can cross-react with those antigens. Above this threshold, the antibodies/CD8+ cells do not cross-react with antigens and are assumed to have the same avidity as non-specific antibodies/CD8+ cells ($k\text{AvidityHAnonspec}$, $k\text{AvidityNAnonspec}$). Given that the definition of antigenic distance of²⁶ is not strictly similar to the AgD used in the model (extracted from Nextstrain^{33,51}), this threshold is arbitrary and it has not been calibrated.

To qualitatively reproduce the exponential decrease of cross-avidity between two strains (i.e. V: vaccine strain, C: circulating strain) with their AgDv-c, we thus use the following equation:

$$x\text{AvidityHAspec}_{V-C} = 10.0^{-((3.3 \cdot (H\text{AgD}_{V-C} \cdot \text{propAntigenHA} + N\text{AgD}_{V-C} \cdot (1.0 - \text{propAntigenHA})) + \log_{10.0}(k\text{AvidityHAspec}))}$$

else.

$$x\text{AvidityHAspec} = k\text{AvidityHAnonspec} \cdot \text{propAntigenHA} + k\text{AvidityNAnonspec} \cdot (1.0 - \text{propAntigenHA})$$

with propAntigenHA being either 0.9 for antibodies or 0.36 for CD8+ cells. When $\text{AgD}_{V,C}$ is null, the cross-avidity of adaptive immune response against C is then equal to $k\text{AvidityHAspec}$, which is the avidity between the first encountered strain (V) and the immune response elicited against it.

The multi-strain model disregards non-neutralizing antibodies as well as antibodies against HA-stalk (Supplementary Methods). It thus considers only the antibodies raised against the main epitopes of HA-head and NA, using the non-linear relationship between the cross-avidity constants and normalized antigenic distance (the cross-reactivity equation for NA is the same as for HA because specific and non-specific avidities are assumed to be the same regardless of the antigen, see Supplementary Table S2). This model is phenomenological in aspects that relate to the relationship between the affinity of T cell receptor (TCR) and the effector functions of T cells (cytolysis, helper function). Despite extensive experimental work on TCR affinity, we were not able to establish a clear correlation between affinity and T-cell response because the available data are far from conclusive and even contradictory⁷⁵ (Supplementary Methods).

Simulation of hemagglutination inhibition (HI) assay

In clinical trials, HI assays are usually performed 28 days post-vaccination, as a correlate of protection. This assay quantifies a combination of quantity (concentration) and quality (avidity) of neutralizing antibodies developed in response to vaccination. HI titer refers to the highest serum dilution that fully inhibits hemagglutination due to antibody binding⁷⁶. We used the theoretical model of Linnik et al. (2022)⁷⁶ to predict the log₂ HI titers from values of the concentration of specific neutralizing antibodies in serum (Blood.ig) and their avidity for HA ($k\text{AvidityHAspec}$) by fitting their phase diagram⁷⁶:

$$\log_2 \text{HI titer} = 3.27 \cdot \log_{10}(\text{propAntigenHA} \cdot \text{Blood.ig}) + 2.78 \cdot \log_{10}(k\text{AvidityHAspec}) - 1.1$$

where propAntigenHA represents the relative proportion of HA antigens with respect to NA antigens in natural infection or in vaccination^{60–70} (0.9). This equation returns continuous non-integer values of log₂ HI titers to be compared to integer values returned by real HI assays. This equation is valid when the patient serum contains only one population of specific antibodies which were generated upon one antigen encounter, like in naïve patients.

To derive the log₂ HI titers in patients who had successive immunizations, we compute a cross-reactive log₂ HI titer. Each population of specific antibodies and new antigens are tested together using cross-reactivity and antigenic distances among past and new antigens. The cross-reactive HI titers against the historical (H), vaccine (V) and circulating strains (C) are simulated as the maximum of the HI titers simulated against each strain individually. We use the same equation as the HI titers described above, except that the cross-reactive equation $x\text{Log}_2\text{HI titer}$ uses the pairwise cross-avidity of antibodies for HA antigens rather than their avidity for HA.

$$x\log_2 \text{HI titer} = \max_{i,j \text{ in } \{H,V,C\}} (3.27 \cdot \log_{10}(\text{propAntigenHA} \cdot \text{Blood.ig}) + 2.78 \cdot \log_{10}(x\text{AvidityHAspec}_{\text{Strain}_i, \text{Strain}_j}) - 1.1)$$

with $\text{propAntigenHA} = 0.9$.

Initialization of a prior immunity with the historical strain

An individual's previous antigen exposure through vaccination or infection may lead to a baseline level of immunity against influenza which may be highly heterogeneous across a population⁷⁷. The initialized baseline level is assumed to be specific to a generic historical (H) strain that represents remaining immunity against both H1N1 and H3N2 viral subtypes (possibly from several strains within these subtypes). Strain H specific variables which are non-null at the beginning of the simulation are: specific antibodies in blood, memory B cells in lymph nodes and blood, memory T cells in lymph nodes and tissue-resident memory T cells in the upper/lower respiratory tract. Because we calibrated the Influenza Viral Life Cycle Submodel using experimental data on the circulating A/H1N1/7/California/2009 and A/H3N2/Perth/2009 (Supplementary Methods), these strains are the oldest ones that can be encountered by a patient in our simulations. So here, we define prior immunity as immunization generated by strains encountered before 2009.

Multi-strain model calibration

Calibration constrains the dynamic behavior of the model by finding a set of parameter values that allows the model to represent biological behaviors consistent with literature. All raw data used in the study was extracted from scientific publications and public CDC reports, no administrative permission was required for the access. Our calibration process combines the use of two tools integrated in Jinko.ai, Novadiscovery's proprietary platform: a covariance matrix adaptation evolution strategy (CMAE-ES) algorithm⁴¹ to reproduce various reference patients from which an initial virtual population is derived and a "Select and Sample" method^{42,43} to refine the model behavior at the population level. We first calibrated each submodel independently using data described in Supplementary Methods (Supplementary Methods steps 1 to 4). The integrated multi-strain model is calibrated to derive 3 reference patients exhibiting a spectrum of disease severity without treatment⁴⁴ and 2 reference patients exhibiting vaccine breakthrough infections with and without achieving seroprotective titers 28 days after splitSD vaccination (Fig. 2, Supplementary Methods, step 5). These 5 reference patients are then used to define plausible distributions of patient descriptors (Supplementary Fig. S2). Following the "Select and Sample method"^{42,43}, the virtual population is refined in an iterative process to match the immunosenescence,

seroprotection rate, and proportions of prevented symptomatic infections of splitSD described in Supplementary Methods (Supplementary Methods steps 6 to 8) and Supplementary Fig S2.

Multi-strain model simulations over consecutive seasons

In all seasons, we use the same VP in control and two vaccine arms. Thus, patients have the same prior immunity to the vaccine strain at the beginning of each season. From season to season, solely the encountered viral subtype and its antigenic distance with the vaccine strain changes, as reported by the CDC MMWR reports and antigenic characterization of viruses which circulated each season (Table 3).

We output the estimated HI titers against the historical and vaccine strains at the beginning of simulation (pre-vaccination, $t=0$) and post-vaccination (at 28 days after the beginning of simulation). Our primary clinical outcome is the proportion of prevented symptomatic infections (mild and severe infections are pooled, Table 2). Our secondary clinical outcome is the proportion of prevented severe symptomatic infections only. In our model, severe symptomatic infections, mostly involving the LRT, are used as a proxy for hospitalization⁵⁴. The proportion of prevented severe symptomatic infections is quantified as the percentage of severe symptomatic infections in vaccine arms, relative to the percentage of patients with severe symptomatic infections in the control arm.

The sRVE is defined⁷⁹ as:

$$sRVE = \left(1 - \frac{sVE_{HD}}{sVE_{SD}}\right) \times 100$$

where sVE is calculated against all symptomatic infections or severe symptomatic infections only, using the number of prevented events in treated arms relative to the control arm within the same time window.

Multi-strain model in Jinko

Resolution of ordinary Differential equations (ODE)

The simulations are performed in Jinko.ai, Novartis's proprietary platform that integrates the Sundials library⁷⁸ implemented in Haskell. Our platform employs the 'llvm-hs' bindings for the Low Level Virtual Machine (LLVM) API to optimize the evaluator's performance and the Backward Differentiation Formula (BDF) solver for numerical integration. These tools handle the system of ODEs as a function of time with strict relative and absolute tolerances set at 0.000001. All subsequent computations and visualizations are also carried out on Jinko.ai. Submodels are available in SBML format in Supplementary Material and the system of ODEs for the multi-strain model is available from the authors upon reasonable request.

Analysis

The visualization of time-series, boxplots and histograms comparing trials arms as well as the contribution analyses are done on Jinko.ai. Time-series show the evolution of the selected clinical output(s) during the trial duration selected during the trial's configuration (Fig. 2).

Contour plots of sVE and sRVE

The contour plots of Fig. 3E and F correspond to theoretical seasons where combinations of AgD in HA and NA have been simulated to evenly sample (every 0.025 increment) the theoretical space of variation in antigenic distances observed over the last decade. These plots were generated with R from the data downloaded from Jinko.ai.

Boxplots and histograms (Fig. 4) give a statistical representation of the clinical outcomes in the population (i.e. value/minimum/maximum of the outcome at a given time point, area under the curve or average of the outcome over the simulation period).

Contribution analysis

Contribution analysis (Fig. 4) is based on the comparison of statistical properties of subgroups of the VP versus properties of the whole VP using a quantity of interest (QOI). To compute the contribution analysis of a model parameter or descriptor for a given QOI, the following process is applied:

We first compute the median of the QOI among the patients for each input descriptor.

$$medianValue = median(QOI)$$

Then patients are sorted by increasing order of input parameter, and the population is split into two groups for which we compute the *lowMedianValue* and *highMedianValue* of the QOI. The relative contribution of the parameter in the two groups is defined as :

In group 1, we have:

$$lowContribution = (lowMedianValue - medianValue) \div (medianValue)$$

In group 2, we have:

$$highContribution = (highMedianValue - medianValue) \div (medianValue)$$

We center the Tornado graph on *medianValue* and the bars around corresponds to *lowContribution* and *highContribution*.

Data availability

SBML files for each of the submodels can be found in the supplementary information (Immunization, Vaccine Immunogenicity, Virus and Pathogenesis). The dataset generated and analyzed during the current study, the system of ODEs for the multi-strain model are available from the corresponding author upon reasonable request.

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Author contributions

L.B., S.U., L.C., J-P.B., and E.C. supervised the study. S.U., M.H., A.I.T., N.R., E.J., E.P., J-B.G., E. C. & L.B. developed the model, performed simulations, and analyzed the results. S.U. and L.B. wrote the manuscript. S.S.C., L.C., E.T. and J-P.B. critically revised the manuscript for important intellectual content. All authors contributed to the discussion of the results, reviewed the manuscript and gave final approval of the version to be published.

Declarations

Competing interests

S.U., M.H., A.I.T., N.R., E.J., E.P., J-B.G., J-P.B., E.C. and L. B. are employees of Novadiscovery. S.S.C., L.C. and E.T. are employees of Sanofi and may hold shares and/or stock options in the company. Novadiscovery and Sanofi founded the study.

Additional information

Supplementary Information The online version contains supplementary material available at <https://doi.org/10.1038/s41598-024-72716-1>.

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