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## Impaired Delivery of Cholesterol Effluxed From Macrophages to Hepatocytes by Serum From CKD Patients May Underlie Increased Cardiovascular Disease Risk

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**Introduction**: Although chronic kidney disease (CKD) is associated with increased risk for coronary artery disease (CAD), the underlying mechanisms are not completely defined. In the present study, we tested the hypothesis that flux of cholesterol from macrophage foam cells to liver is impaired in subjects with CKD.

**Methods**: Consecutive healthy patients, patients with at least 1 CAD risk factor, patients with established CAD, and patients with CKD stages G3 to G5 ( $n \ge 15$ /group) were recruited prospectively. The ability of total patient serum without any modifications to (i) facilitate efflux of cholesterol from human THP1macrophage foam cells under physiological conditions (cholesterol efflux capacity [CEC]) and (ii) to deliver this effluxed cholesterol to primary hepatocytes with physiological expression of high-density lipoprotein (HDL) receptor SR-BI (capacity to deliver cholesterol to hepatocytes [CDCH]) was evaluated.

**Results:** Although healthy patients, patients with at least 1 CAD risk factor, and patients with established CAD all showed similar CEC, patients with CKD showed significantly higher CEC. CDCH was significantly lower in all groups compared with the healthy patients; however, when corrected for higher CEC, CDCH in patients with CKD was significantly lower than in patients with CAD. CDCH correlated with age, body mass index, metabolic parameters, inflammatory markers, and kidney function markers (estimated glomerular filtration rate [eGFR], serum creatinine, and serum cystatin C).

**Conclusions:** These results suggest that aberrations in delivery of cholesterol effluxed from macrophage foam cells to liver for final elimination or the last step of reverse cholesterol transport, may underlie the increased risk of CAD in patients with CKD.

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KEYWORDS: cardiovascular disease risk; chronic kidney disease; delivery of cholesterol to the liver; reverse cholesterol transport; serum cholesterol efflux capacity

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**C** KD is associated with high risk for CAD, myocardial infarction, and stroke, and collectively cardiovascular disease is the primary cause of morbidity and mortality in patients with chronic, including endstage, kidney disease.<sup>1,2</sup> Several cross-sectional studies have also identified higher prevalence of CAD risk factors in patients with CKD compared with the population with normal kidney function.<sup>3,4</sup> Specifically,

CAD risk is 1.5- to 3.0-fold greater for patients with CKD compared with patients without CKD even before dialysis.<sup>5</sup> Clinical as well as experimental studies have demonstrated that renal injury potentiates hyperlipidemia and subsequent atherosclerosis.<sup>6,7</sup> Dyslipidemia in advanced CKD, the most important risk factor for CAD, is associated with increased plasma concentration of very low density lipoprotein and chylomicrons secondary to reduced lipoprotein lipase<sup>8</sup> and hepatic lipase9 and underexpression of very low density lipoprotein receptor.<sup>10</sup> In addition, reduced hepatic apoAl secretion and impaired HDL maturation<sup>11</sup> diminish the role of the anti-atherogenic HDL. Furthermore, increased oxidation of HDL is also observed in patients with end-stage kidney disease.<sup>12</sup> However, the mechanisms underlying the observed dyslipidemia

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and increased risk for CAD in patients with CKD are not completely defined.

Cellular mechanisms underlying the development of atherosclerotic plaques include unregulated uptake of modified low-density lipoprotein (LDL) by macrophages, formation of macrophage foam cells, and accumulation of these lipid-laden foam cells within the arterial intima. Reduction in plasma LDL-cholesterol, or correction of dyslipidemia, is the most widely used therapeutic strategy to reduce atherosclerotic plaque formation; however, significant residual CAD risk remains despite reaching the target goals of LDLcholesterol levels.<sup>13,14</sup> A paradigm shift has occurred from the focus on "absolute" plasma lipoprotein cholesterol levels to "flux" of cholesterol between relevant tissues.<sup>15</sup> In this new paradigm, the ability of plasma lipoproteins to facilitate the flux of cholesterol between tissues becomes more important than the cholesterol content at any given time. Although efflux of unesterified or free cholesterol (FC) to FC acceptors in the plasma, namely, apoA1, HDL, or albumin, is the first step in removal of cholesterol from peripheral tissues, delivery of cholesterol to the liver is the final step of this process of reverse cholesterol transport (RCT). Final removal of cholesterol in feces is the only mechanism for eliminating cholesterol from the body. Therefore, for a more complete assessment of RCT, it is critical to not only assess the ability of plasma components to facilitate efflux of FC from macrophages but also the ability to deliver this effluxed cholesterol to the liver for final elimination.

Several studies have assessed the CEC using various methodologies, some of which compromise physiological relevance and with varying results in patients with CAD.<sup>16–20</sup> However, limited information is currently available on the last step of RCT, namely, delivery of effluxed cholesterol to the liver for final elimination. Although hepatic scavenger receptor BI (SR-BI) facilitates selective uptake of HDL cholesterol (HDL-C), the pathways involved in the delivery of albumin- or phospholipid-associated FC to hepatocytes are not completely defined. Combined hepatocyte uptake of cholesterol effluxed to the total plasma acceptors represents a quantifiable parameter of the last step in RCT.

The present study aimed to evaluate the ability of human serum to not only facilitate macrophage foam cell cholesterol efflux (CEC) but also to deliver the cholesterol so-effluxed to hepatocytes (CDCH). We describe a method using a human THP1 macrophage foam cell system in which intracellular cholesterol is esterified and total (unmodified) serum is the extracellular cholesterol acceptor, all with the goal of recapitulating human *in vivo* physiological conditions. Our data show that despite significantly higher CEC, CDCH was significantly reduced in patients with CKD. Similarly, lower CDCH also was noted in patients with CAD without any change in CEC, indicating defective delivery of cholesterol to liver by serum from patients with CAD. Taken together, these data suggest that increased CAD-associated morbidity and mortality in patients with CKD may be related to reduced CDCH, identifying aberrant hepatic delivery of cholesterol as a novel, nontraditional CAD risk factor.

### METHODS

#### **Cholesterol Efflux Assays**

PMA-differentiated human THP1 macrophages, a physiological relevant human cell line in which cholesterol efflux is mediated by all known pathways relevant to macrophages, were used to determine CEC as described before.<sup>21</sup> In brief, THP1 macrophages were loaded with cholesterol using acetylated human LDL (25  $\mu$ g/ml) and labeled with [<sup>3</sup>H]-cholesterol (1  $\mu$ Ci/ml) for 48 hours. To maintain relevance to the physiological conditions (i.e., storage of cholesterol in macrophage foam cells as cholesteryl esters or CE and not FC), no acyl-CoA:cholesterol acyltransferase (ACAT) inhibitor was included. After a 24-hour equilibration in serumfree medium, FC efflux was initiated by the addition of 1% serum or cholesterol acceptors at physiological concentrations present in 1% serum (albumin 0.5 mg/ml or HDL 150 µg/ml). Conditioned medium was collected after 4 hours and percent FC efflux was calculated as described.<sup>21</sup> When assessing CEC of serum samples from human subjects, a control pooled serum sample was included while performing these cholesterol efflux assays and CEC of serum samples from human subjects was normalized to the percent efflux obtained using this control serum sample and expressed as a percentage.

# Uptake of FC Effluxed From Macrophages by Hepatocytes

To choose the most appropriate cell model for assessing uptake of FC effluxed from macrophages, 4 different cell types were initially compared; 2 of these namely Fu5AH rat hepatoma cells and ldl-SRBI cells express high levels of HDL receptor SR-BI; the other two (human hepatocyte cell line HepG2 and mouse primary hepatocytes) express the physiological levels of SR-BI. Primary mouse hepatocytes were isolated as described before.<sup>22</sup> Confluent cell monolayers in 12-well tissue culture dishes were incubated with respective growth media containing 20% of efflux medium obtained after

FC efflux assays. After 4 hours, cells were washed twice with phosphate-buffered saline, dried, and total lipids extracted in isopropanol. Lipid extracts were dried under nitrogen and radioactivity associated with cellular lipids determined. The delipidated cells were lysed in 1N NaOH and protein concentration determined by BioRad dye binding assay. Total uptake of effluxed FC by hepatocytes was expressed as disintegrations per minute (dpm)/mg cellular protein. Primary mouse hepatocytes were chosen to be the cell model system to evaluate CDCH of serum from human subjects. Total uptake of effluxed FC by primary hepatocytes (dpm/mg protein) was determined and normalized to uptake observed with 20% efflux medium where control pooled serum was used as the acceptor.

### **Patient Enrollment**

The study was approved by the VCU and Hunter Holmes McGuire VAMC institutional review boards. Seventy-seven consecutive patients were enrolled in the following groups: 1, healthy controls; 2, at CAD risk based on traditional CAD risk factors no but no established CAD and no CKD; 3, angiographically confirmed CAD but "normal" kidney function; 4, mild CKD (stage G3); and 5, advanced CKD (stages G4-5). Precise definitions used for patient classification are found in Table 1.<sup>23</sup> Although subjects in groups 1, 2, 4, and 5 were enrolled at VCU, patients in group 3 were enrolled at the Hunter Holmes McGuire VA Medical Center. Body weight and height were measured at baseline to calculate body mass index (BMI) at the time of enrollment. Serum/plasma samples were collected after a minimum of 8 hours of fasting and then analyzed by external reference laboratories (True Health Diagnostics, Frisco, TX).

### **Statistical Analysis**

CEC and CDCH for each cohort were summarized with medians and interquartile ranges. Overall test for difference between group distributions was achieved with the Kruskal-Wallis *H* test (nominal significance:  $\alpha = 0.05$ ). *Post hoc* pairwise comparisons were done with the joint-rank Dunn method, which incorporates a Bonferroni correction (overall significance:  $\alpha = 0.05$ ). Exploration of correlations between CEC, CDCH, and a select set of covariates was accomplished using Spearman rank correlation coefficient–based analysis. Ordinary least-squares simple linear regression prediction models also were constructed. All analyses were done with JMP Pro 14.0.0 (SAS Institute, Cary, NC) and all figures were produced with GraphPad Prism 8 (GraphPad Software, San Diego, CA).

**Table 1.** Definitions of healthy control, coronary artery disease risk factors, coronary artery disease, "normal" kidney function, and chronic kidney disease

#### Definition of healthy control

Any patient *without* major systemic illness, including CAD, CKD, or diabetes mellitus; and no risk factors for CAD

Definition of coronary artery disease risk factors

- Age >45 y (male) or >55 y (female)
- Systemic hypertension: average systolic blood pressure >140 mm Hg or use of antihypertensive medications
- Diabetes mellitus: hemoglobin A1c >6.5%, or fasting blood glucose ≥126 mg/dl, or nonfasting blood glucose ≥200 mg/dl, or blood glucose ≥200 mg/dl after oral glucose tolerance test (75-g glucose), or use of antidiabetic medications
- Dyslipidemia (at least 1 of the following): total cholesterol ≥200 mg/dl, LDL-C ≥130 mg/dl, HDL-C <40 mg/dl (male) or <50 mg/dl (female)</li>
- Cigarette smoking
- History of premature coronary heart disease in a first-degree relative of age <55 y (male) or <65 y (female).</li>

Definition of coronary artery disease

Presence of luminal stenosis >50% in 1 or more major coronary arteries. Definition of "normal" kidney function<sup>a</sup>

- eGFR  $\geq$ 60 ml/min per 1.73 m<sup>2</sup>; and
- No markers of kidney damage (albuminuria [albumin excretion rate ≥30 mg/24 h or albumin-creatinine ratio ≥30 mg/g], urine sediment abnormalities, electrolyte or other abnormalities due to tubular disorders, abnormalities detected by histology, structural abnormalities detected by imaging); and
- No history of kidney transplantation
- Definition of chronic kidney disease<sup>a</sup>
- CKD stage G3: eGFR 30-60 ml/min per 1.73 m<sup>2</sup>
- CKD stage G4: eGFR 15-30 ml/min per 1.73  $m^2$
- CKD stage G5: eGFR <15 ml/min per 1.73 m<sup>2</sup> but not requiring kidney replacement therapy

CAD, coronary artery disease; CKD, chronic kidney disease; eGFR, estimated glomerular filtration rate; HDL-C, high-density lipoprotein-associated cholesterol; LDL, low-density lipoprotein cholesterol.

<sup>a</sup>See Kidney Disease: Improving Global Outcomes CKD Work Group.<sup>23</sup>

#### RESULTS

#### **Clinical Characteristics**

Table 2 summarizes the baseline characteristics of the enrolled subjects, including demographics and biochemical parameters.

Characterization of a Human THP1 Macrophage System for Determination of FC Efflux Capacity Under physiological conditions, macrophage ACAT is not inhibited and foam cells store CE and not FC. Therefore, in the present study, no ACAT inhibitor was included to mimic physiological macrophage foam cells. Furthermore, for direct human relevance, human THP1 macrophages with complete repertoire of FC efflux pathways were used to assess FC efflux to physiological concentrations of albumin and HDL in 1% serum. Consistent with the efflux capacity, significantly higher FC efflux was observed when HDL was used as FC acceptor compared with albumin (Figure 1). In addition, significantly higher FC efflux was obtained with 1% serum as the cholesterol acceptor and this was quantitatively additive of FC efflux to albumin and HDL. These data are consistent

Table 2.	Summary of	patient	characteristics	by disease	classification
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Characteristic	Healthy (control)	At CAD risk	CAD	CKD G3	CKD G4-G5	Р
n	15	15	15	15	17	
Sex						0.7240
Male	9 (60)	7 (47)	10 (67)	7 (47)	8 (47)	
Female	6 (40)	8 (53)	5 (33)	8 (53)	9 (53)	
Race						< 0.0001
White	10 (76)	9 (60)	10 (67)	1 (7)	3 (18)	
Black	1 (8)	6 (40)	5 (33)	14 (93)	14 (82)	
Asian	1 (8)	0 (0)	0 (0)	0 (0)	0 (0)	
Indian	1 (8)	0 (0)	0 (0)	0 (0)	0 (0)	
Age, y	29 (6)	51 (32)	64 (13)	56 (20)	63 (16)	<0.0001
BMI, kg/m <sup>2</sup>	24.1 (4.7)	29.0 (15.3)	33.7 (8.1)	32.9 (8.6)	34.2 (9.9)	0.0056
HbAlc, %	5.2 (0.3)	5.9 (0.7)	6.5 (2.2)	6.4 (2.5)	5.7 (1.7)	<0.0001
Total cholesterol, mg/dl	179 (43)	184 (58)	146 (62)	202 (38)	154 (34)	0.0006
LDL-C, mg/dl	104 (39)	114 (55)	85 (33)	108 (59)	72 (31)	0.0033
HDL-C, mg/dl	63 (19)	49 (25)	38 (19)	62 (32)	47 (30)	0.0006
TAG, mg/dl	86 (36)	136 (102)	108 (90)	112 (73)	99 (48)	0.0331
Non–HDL-C, mg/dl	112 (45)	131 (56)	109 (48)	140 (50)	95 (40)	0.0047
Apo B, mg/dl	81 (27)	86 (42)	90 (28)	100 (25)	64 (35)	0.0138
LDL-P, nmol/l	1240 (494)	1486 (908)	1524 (526)	1654 (819)	1219 (732)	0.0183
Small LDL-P, nmol/I	487 (543)	795 (831)	809 (433)	767 (654)	672 (705)	0.0777
Apo A-I, mg/dl	151 (39)	138 (30)	125 (45)	156 (42)	140 (49)	0.0020
HDL-P, µmol/l	36.6 (4.7)	36.4 (7.5)	33 (12.3)	36.9 (9.8)	30.8 (10.6)	0.0216
Apo B:apo A-I Ratio	0.54 (0.29)	0.64 (0.36)	0.74 (0.28)	0.62 (0.38)	0.50 (0.36)	0.0101
Lp(a)-P, nmol/l	50 (28)	50 (122)	82 (202)	221 (211)	102 (270)	0.0192
Total protein, g/dl	7.3 (0.4)	7.3 (0.6)	6.6 (1.0)	7.3 (0.8)	7.0 (0.9)	0.0011
Albumin, g/dl	4.7 (0.4)	4.3 (0.8)	4.0 (0.8)	4.1 (0.6)	4.1 (0.7)	0.0001
WBC, ×1000/µl	5.9 (2.1)	6.1 (3.2)	7.2 (3.4)	7.4 (3.8)	7.3 (1.9)	0.1011
hs-CRP, mg/l	0.6 (0.4)	1.4 (5.2)	4.8 (9.7)	5.0 (8.2)	2.4 (5.7)	0.0012
eGFR, ml/min per 1.73 m <sup>2</sup>	150 (14)	125 (48)	85 (51)	38 (16)	19 (16)	< 0.0001
SCr, mg/dl	0.9 (0.2)	0.9 (0.2)	1.0 (0.2)	1.8 (0.3)	3.4 (2.3)	< 0.0001
Cystatin C, mg/l	0.70 (0.14)	0.79 (0.21)	0.99 (0.29)	1.60 (0.41)	2.45 (1.36)	< 0.0001

apo A-I, apolipoprotein A-I; apo B, apolipoprotein B; apo B:apo A-I, apoB-to-apo A-I ratio; BMI, body mass index; CAD, coronary artery disease; CKD, chronic kidney disease; eGFR, estimated glomerular filtration rate (computed by 4-variable Modification of Diet in Renal Disease [MDRD] equation); HbA1c, hemoglobin A1c; HDL-C, high-density lipoprotein-associated cholesterol; HDL-P, high-density lipoprotein particle; hs-CRP, high-sensitivity C-reactive protein; LDL-C, low-density lipoprotein-associated cholesterol; LDL-P, low-density lipoprotein particle; Lp(a)-P, lipoprotein(a) particle; SCr, serum creatinine; TAG, triacylglycerol (triglyceride); WBC, white blood cell count.

All characteristics are described using median (interquartile range) except for sex and race, which are described using frequency (percentage). For continuous data, Kruskal-Wallis H test (overall significance level  $\alpha = 0.05$ ) was used, followed by the Dunn test with joint ranking (overall significance level  $\alpha = 0.05$  with Bonferroni adjustment for multiple comparisons). For categorical data, Fisher-Freeman-Halton exact test was used (overall significance level  $\alpha = 0.05$ ), followed by pairwise Bonferroni-adjusted 2-sample  $\chi^2$  tests (pairwise significance level  $\alpha = 0.0042$ ).

with the reported association of effluxed FC with albumin and HDL.<sup>19</sup>

#### Assessment of CDCH

Hepatic uptake of cholesterol returning from the periphery, including from arterial atherosclerotic plaque-associated macrophage foam cells, represents the final step in RCT. Aberration in the final delivery to the hepatocytes despite normal efflux from the macrophages will lead to retention of cholesterol within the body. To establish a suitable cell system to monitor delivery of effluxed FC, we assessed the ability of FC effluxed to albumin, HDL, and serum to deliver to hepatocytes (human hepatocellular carcinoma cell line HepG2 or primary mouse hepatocytes) or cells expressing high levels of HDL receptor SR-BI (namely, rat hepatoma cell line Fu5AH and ldl-SR-BI cells).

Compared with HepG2 and mouse primary hepatocytes, physiologically relevant cells with normal expression of HDL receptor SR-BI, significantly higher uptake was seen in Fu5AH rat hepatoma cells as well as ldl-SRBI cells from FC effluxed to HDL (Figure 2). More importantly, increased uptake of FC effluxed to albumin or serum was also observed, indicating that use of these cell lines apparently overestimates cellular uptake of FC from macrophage efflux medium. Individual P values obtained are given in Table 3. The differences in uptake were significant between cell types or cholesterol acceptors used, and the interactions between cell type and FC acceptor also were significant (P < 0.0001for all). Comparing the uptake from the 3 different efflux media by a given cell type, significantly higher uptake was seen in all cell types used from FC effluxed to HDL (Table 4). These data not only confirm the role of HDL in delivery of cholesterol from peripheral



**Figure 1.** Efflux of cholesterol from lipid-loaded human THP1 macrophages to extracellular acceptors. Free cholesterol (FC) efflux to indicted extracellular acceptors was determined as described in the Methods section and data (% FC efflux) are presented as mean  $\pm$  SEM, n = 12. Dissimilar letters indicate P < 0.05. HDL, high-density lipoprotein.

tissues to the liver, but also establish a novel mechanism for the assessment of HDL functionality in accomplishing this final step in the process of RCT and final cholesterol elimination from the body.

Based on the observed lack of differences in FC uptake by human HepG2 cells and primary mouse hepatocytes and the reported defects in bile acid synthesis and conjugation associated with HepG2 cells,<sup>24</sup> primary mouse hepatocytes were used for all subsequent studies.

### CAD Risk Factors Alone or Established CAD Do Not Affect CEC, but Higher CEC Is Associated With Serum From Subjects With CKD



Human THP1 macrophage foam cell system as optimized above was used to evaluate the CEC of serum

**Figure 2.** Uptake of cholesterol effluxed from THP1 macrophages by different cell types. Confluent monolayers of indicated cell types were exposed to effluxed medium (final concentration 20%) and cellular uptake was determined as described in the Methods section. Data (mean  $\pm$  SEM, n = 6) are expressed as disintegrations per minute (dpm)/mg cellular protein. Significance of observed differences were evaluated by analysis of variance and individual *P* values of multiple comparisons are shown in Table 1 and 2. HDL, high-density lipoprotein.

**Table 3.** Comparison of the uptake of cholesterol effluxed from macrophages by different cell types

		Cholesterol acceptor used				
Comparisons	Albumin	HDL	Serum			
Fu5AH vs. IdI-SR-BI	0.9944	0.0079	0.0009			
Fu5AH vs. HepG2	< 0.0001	< 0.0001	< 0.0001			
Fu5AH vs. Hepatocytes	< 0.0001	< 0.0001	< 0.0001			
IdI-SR-BI vs. HepG2	< 0.0001	< 0.0001	< 0.0001			
IdI-SR-BI vs. hepatocytes	< 0.0001	< 0.0001	< 0.0001			
HepG2 vs. hepatocytes	0.8039	0.9972	0.0826			

HDL, high-density lipoprotein.

Significance of observed differences was evaluated by analysis of variance and individual P values of multiple comparisons are shown.

obtained from human subjects. Final concentration of serum used as the extracellular cholesterol acceptor was 1% and it should be noted that no additional manipulations were performed (e.g., polyethylene glycol precipitation of ApoB containing lipoproteins) to retain physiological relevance. No significant difference in CEC was noted between serum from healthy subjects or patients with CAD risk factors or established CAD (Figure 3). In contrast, significantly higher CEC was associated with serum from all subjects with CKD, suggesting that the increased CAD risk associated with CKD may not be related to the CEC. This is consistent with increased CEC noted in 12 adults with CKD stages G3 to G5 observed by Meier *et al.*<sup>25</sup>

### Reduced CDCH by Serum From Subjects With Presence of CAD Risk Factors, Established CAD, or CKD

Using mouse primary hepatocytes with physiological levels of SR-BI expression and complete repertoire of pathways involved in cholesterol metabolism, CDCH was assessed as described under Methods. A significant reduction in CDCH was noted in subjects with CAD risk factors, or established CAD (Figure 4). These data suggest that despite similar CEC, serum from subjects with 1 risk factor or CAD was not as effective in delivering the effluxed FC to hepatocytes for the final step of RCT. Similar to CAD subjects, delivery of effluxed FC to hepatocytes was also reduced in subjects with CKD (Figure 4).

 
 Table 4. Comparison of the delivery of cholesterol effluxed to indicated extracellular acceptors from macrophages to different cell types

	Cell type used				
Comparisons	Fu5AH	ldI-SR-BI	HepG2	Hepatocytes	
Albumin vs. HDL	< 0.0001	< 0.0001	< 0.0001	< 0.0001	
Albumin vs. serum	0.4033	< 0.0001	0.0078	0.9944	
HDL vs. serum	<0.0001	< 0.0001	0.0011	< 0.0001	

HDL, high-density lipoprotein.

Significance of observed differences was evaluated by analysis of variance and individual *P* values of multiple comparisons are shown. Nonsignificant differences are indicated in bold.



**Figure 3.** Serum from patients with chronic kidney disease (CKD) has significantly higher cholesterol efflux capacity (CEC) compared with healthy controls and patients with coronary artery disease (CAD). THP1 macrophages were loaded with acetylated human low-density lipoprotein (AcLDL) and radiolabeled with [<sup>3</sup>H]-cholesterol as described in the Methods section. Serum, at a final concentration of 1%, from subjects in indicated cohorts was used as the extracellular cholesterol acceptor and observed CEC was normalized to a pooled control serum sample and expressed as percentage. Data are presented as Tukey box-and-whisker plots (box divider: median; box ends: interquartile range [IQR]; whiskers:  $\pm 1.5 \times IQR$ ). Statistical analysis for overall difference between groups was performed using the Kruskal-Wallis *H* test (overall significance level  $\alpha = 0.05$ ), followed by the Dunn test with joint ranking (overall significance level  $\alpha = 0.05$  with Bonferroni adjustment for multiple comparisons). Dissimilar letters indicate statistically significant differences.

Because higher CEC was observed with serum from subjects with CKD compared with subjects with established CAD (Figure 3), and during evaluation of CDCH a fixed volume (20%) of effluxed medium was used, hepatocytes were consequently exposed to higher levels of radioactivity. Therefore, to evaluate differences, if any, between CDCH obtained for CAD and subjects with CKD, CDCH was normalized to observed CEC for each group and the results are shown in Figure 5. These data indicate that CDCH of



**Figure 4.** Serum from patients with chronic kidney disease (CKD) as well as patients with coronary artery disease (CAD) has significantly lower capacity to deliver cholesterol to hepatocytes (CDCH). CDCH was evaluated by incubating primary hepatocytes with conditioned medium (20%) containing the [<sup>3</sup>H]-cholesterol effluxed from THP1 macrophages to 1% serum from subjects in indicted cohorts. After 4-hour incubation to facilitate uptake by hepatocytes, the medium was removed, cells washed with phosphate-buffered saline and total radioactivity associated with cells determined. The observed CDCH was normalized to CDCH obtained when hepatocytes were incubated with macrophage conditioned medium when pooled control serum sample was used as an acceptor. Data are represented here as Tukey box-and-whisker plots (box divider: median; box ends: interquartile range [IQR]; whiskers:  $\pm 1.5 \times IQR$ ). Statistical analysis for overall difference between groups was done using the Kruskal-Wallis *H* test (overall significance level  $\alpha = 0.05$ ), followed by the Dunn test with joint ranking (overall significance level  $\alpha = 0.05$  with Bonferroni adjustment for multiple comparisons). Dissimilar letters indicate statistically significant differences.



**Figure 5.** Median cholesterol efflux capacity (CEC)–normalized capacity to deliver cholesterol to hepatocytes (CDCH) is significantly lower for the chronic kidney disease (CKD) group than for the coronary artery disease (CAD) group. Because higher CEC is seen with patients with CKD compared with patients with CAD, observed CDCH was normalized to CEC. Data for all patients with CKD was combined and compared with patients with CAD. Data are represented here as Tukey box-and-whisker plots (box divider: median; box ends: interquartile range [IQR]; whiskers:  $\pm 1.5 \times IQR$ ). Statistical analysis for difference between groups was done using the Wilcoxon rank-sum test with nominal significance level  $\alpha = 0.05$ .

serum from subjects with CKD is significantly lower than that seen with subjects with CAD. Taken together with the observed CEC, these data indicate that CDCH merits further exploration as one possible factor contributing to development of CAD in subjects with CKD.

# Correlation of CEC and CDCH With Markers of Kidney Function

Declining kidney function is associated with increasing CAD risk and therefore we evaluated the relationship between CEC or CDCH and markers of kidney function in subjects with CKD. The observed CEC and CDCH in healthy individuals as well as subjects with CKD (stage G3 and stages G4-G5) were correlated to eGFR, serum creatinine, and serum cystatin C. Consistent with higher CEC observed in subjects with CKD (Figure 3), CEC was negatively correlated to eGFR (Figure 6a) and positively correlated with serum creatinine (Figure 6b) as well as serum cystatin C (Figure 6c). In contrast, CDCH was positively correlated with eGFR (Figure 6d) and negatively correlated with serum creatinine (Figure 6e) and serum cystatin C (Figure 6f). These analyses indicate that declining kidney function correspondingly modulates CDCH and further supports

the concept that impaired CDCH is likely to attenuate RCT in subjects with CKD.

#### Correlation Between CEC and CDCH With Age, BMI, and Components of Metabolic Profile

Age and increased BMI are major risk factors for CAD, but no significant correlation was noted between CEC and age or BMI in healthy individuals, those at CAD risk, or patients with CAD (Table 5). In contrast, CDCH was negatively correlated to both age (r = -0.47, P = 0.001) and BMI (r = -0.41, P =0.0139). In patients with CKD, although CEC was positively correlated with age (r = 0.41, P = 0.004) and BMI (r = 0.35, P = 0.0266), CDCH was negatively correlated with age (r = -0.51, P = 0.0002)and BMI (r = -0.47, P = 0.002). CEC was not significantly correlated with total cholesterol, LDLcholesterol, or HDL-C; plasma triacylglycerol (triglyceride) levels were positively correlated to CEC albeit in patients with CKD only. In contrast, CDCH was positively correlated to HDL-C in patients with CAD (r = 0.61, P < 0.0001), but this relationship was not statistically significant in patients with CKD. These data indicate that underlying factors that determine HDL-C and CDCH are likely different in patients with CAD and patients with CKD. It is noteworthy that plasma triacylglycerol (triglyceride) levels were negatively correlated to CDCH in patients with CAD (r = -0.35, P = 0.0190), suggesting that the detrimental effects of high plasma triglyceride in increasing CAD risk may be related to its effects on reducing the return of cholesterol to the liver for final elimination.

# Correlation Between CEC and CDCH With Inflammation Profile

In addition to dyslipidemia, systemic inflammation and abnormal glycemic control are risk factors for CAD and, therefore, we examined the relationship between CEC or CDCH with relevant plasma markers (namely, high-sensitivity C-reactive protein and HbA1c). Although CEC and CDCH were negatively correlated with HbA1c in patients with CAD as well as patients with CKD (Table 6), only CDCH in subjects with CAD was negatively correlated with highsensitivity C-reactive protein (r = -0.25, P =0.0065) and this correlation did not reach statistical significance in patients with CKD (r = 0.23, P = 0.12). Although plasma HDL as well as lipid-free apoA1 are well recognized circulating cholesterol acceptors, Li et al.<sup>19</sup> showed that cholesterol effluxed from J774 murine macrophages to patient serum was also associated with plasma albumin that is a low affinity but high capacity cholesterol acceptor in serum.



**Figure 6.** Correlation of cholesterol efflux capacity (CEC) and capacity to deliver cholesterol to hepatocytes (CDCH) with markers of kidney function. Serum creatinine (SCr) levels were determined and estimated glomerular filtration rate (eGFR) was computed using the 4-variable modification of diet in renal disease equation. Nonparametric correlation analyses between eGFR or SCr or cystatin C and CEC (a–c) or CDCH (d–f) were performed using Spearman rank-based correlation coefficients *r* (significance level  $\alpha = 0.05$ ). Dashed line is from ordinary least-squares simple linear regression with acceptable diagnostics. Pearson and Spearman correlation coefficients were comparable.

Interestingly, although plasma albumin levels were not correlated to CEC (r = 0.24, P = 0.10) in patients with CAD, significant negative correlation was noted in patients with CKD (r = -0.49, P = 0.0006). Conversely, albumin levels were positively associated with hepatocyte uptake in CAD (r = 0.40, P = 0.007) as well as in patients with CKD (r = 0.50, P = 0.0005). These data suggest that higher albumin levels may facilitate delivery of effluxed cholesterol to hepatocytes.

#### DISCUSSION

The validity of merely increasing plasma HDL-C has been questioned in recent years, and the emphasis has accordingly shifted to the understanding of HDL

	Healthy + at CAD risk + CAD ( $n = 45$ )				Healthy + CKD G3-G5 ( $n = 47$ )			
	CEC		CDCH		CEC		CDCH	
Characteristics	r	Р	r	Р	r	Р	r	Р
Age, y	-0.22	0.1558	-0.47	0.0010	0.41	0.004	-0.51	0.0002
BMI, kg/m <sup>2</sup>	-0.18	0.3121	-0.41	0.0139	0.35	0.0266	-0.47	0.0020
Metabolism								
Total cholesterol, mg/dl	0.25	0.1028	-0.01	0.9558	0.09	0.5442	-0.16	0.2814
LDL-C, mg/dl	0.06	0.7092	-0.18	0.2244	-0.13	0.4030	-0.20	0.1963
HDL-C, mg/dl	0.10	0.5122	0.61	<0.0001	0.02	0.9056	0.26	0.0854
Non-HDL-C, mg/dl	0.20	0.1870	-0.31	0.0409	0.08	0.5850	-0.29	0.0506
TAG, mg/dl	0.15	0.3299	-0.35	0.0190	0.38	0.0101	-0.26	0.0826

Table 5. Correlation analyses for CEC and CDCH with age, BMI, and "metabolism profile" components

BMI, body mass index; CAD, coronary artery disease; CDCH, capacity to deliver cholesterol to hepatocytes; CED, cholesterol efflux capacity; CKD, chronic kidney disease; HDL-C, highdensity lipoprotein–associated cholesterol; LDL-C, low-density lipoprotein–associated cholesterol; TAG, triacylglycerol (triglyceride). Correlation analysis is presented in terms of Spearman rank-based correlation coefficients r (significance level  $\alpha = 0.05$ ).

functions that likely determine its athero-protective effects. Although earlier studies have solely focused on correlating disease status with CEC of HDL or apoBdepleted patient serum (by polyethylene glycol precipitation), the data presented here, for the first time, also examined the ability of whole serum to deliver cholesterol effluxed from macrophages to hepatocytes for final elimination from the body. Using this integrated and comprehensive approach in which the first as well as the final step in the process of RCT is evaluated, our data show a significant decrease in the delivery of cholesterol effluxed from macrophages to hepatocyte by serum (CDCH) from subjects with CKD as well as subjects with CAD risk factors or established CAD. Taken together with no significant difference in the ability of serum to facilitate efflux of cholesterol from human THP1 macrophage foam cells (CEC) in patients with CAD and an increase in CEC in patients with CKD, these data clearly indicate that the potential aberration in the process of RCT is cholesterol delivery to hepatocyte or the final step.

Initial studies to measure CEC used rat hepatoma cell line Fu5AH<sup>26</sup> and using this in vitro system, Pajunen *et al.*<sup>27</sup> reported an inverse association between CEC and severity of CAD. Using mouse macrophage cell line J774 loaded with FC (and not CEs that are present *in vivo*) and apolipoprotein B–depleted plasma, Hafiane et al.<sup>16</sup> reported reduced CEC in patients with acute coronary syndrome independent of plasma HDL-C. Khera et al.<sup>17</sup> also used FC-labeled (inclusion of ACAT1 inhibitor during the study) and cAMP-treated (to activate expression of ABCA1) mouse J774 macrophage cell line as well as polyethylene glycolprecipitated ApoB-depleted serum to measure CEC as a metric of HDL function and reported a strong inverse association with both carotid intima-media thickness and the likelihood of angiographic CAD. Similarly, Rohatgi et al.<sup>18</sup> found that CEC was inversely associated with CAD events in a population-based cohort. In contrast, Li et al.<sup>19</sup> found that increased CEC was associated with increased prospective risk for myocardial infarction, stroke, and death. Detailed characterization of efflux medium from FC-loaded J774 mouse macrophages used in this study showed association of FC with albumin in the apolipoprotein-Bdepleted serum (and not complete serum) used as the extracellular cholesterol acceptor in this study. In the present study, we used a human THP1 macrophage cell system instead of the murine cell line J774, omitted the use of ACAT1 inhibitor to mimic physiological storage of CE in macrophage foam cells, and monitored efflux of FC to total serum instead of polyethylene glycolprecipitated ApoB-depleted serum. Using this model system, data presented here also show either no change

Table 6. Correlation analyses for CEC and CDCH with "inflammation profile" components

		Healthy + at CAD risk + CAD ( $n = 45$ )				Healthy + CKD G3-G5 ( $n = 47$ )			
	C	EC	CDCH		c	CEC		CDCH	
Characteristics	r	Р	r	Р	r	P	r	Р	
Inflammation									
hs-CRP, mg/l	-0.25	0.0913	-0.40	0.0065	0.20	0.1793	-0.23	0.1252	
Albumin, mg/dl	0.24	0.1050	0.40	0.0070	-0.49	0.0006	0.50	0.0005	
HbAlc, %	-0.34	0.0221	-0.56	< 0.0001	0.42	0.0041	-0.63	< 0.0001	

CAD, coronary artery disease; CEC, cholesterol efflux capacity; CDCH, capacity to deliver cholesterol to hepatocytes; CKD, chronic kidney disease; hs-CRP, high-sensitivity C-reactive protein; HbA1c, hemoglobin A1c.

Correlation analysis is presented in terms of Spearman rank-based correlation coefficients r (significance level  $\alpha = 0.05$ ).

in CEC with serum from subjects with CAD or an increase in this parameter in subjects with CKD. These data are consistent with reported high CEC in patients with impaired renal function paradoxically associated with increased CAD risk,<sup>25</sup> suggesting that CEC may not be a reliable predictor of CAD risk in CKD. By evaluating CEC of HDL from patients with CKD, Bauer *et al.*<sup>28</sup> similarly concluded that CEC is not a prognostic CAD risk marker in patients with CKD.

Delivery of FC effluxed from macrophages to liver for final elimination is the final step in RCT, and CDCH of serum has not been evaluated in earlier studies. Hepatic SR-BI facilitates specific uptake of HDL-C as well as positively regulates macrophage to feces RCT in mouse models<sup>29</sup> and consistently liver-specific transgenic overexpression of SR-BI reduces atherosclerosis.<sup>30</sup> Zanoni *et al.*<sup>31</sup> recently described a rare loss-of-function variant of SR-BI (P376L) in humans and heterozygous carriers of this variant have significantly increased plasma HDL-C as well as CAD. The fact that CEC between control and P376L heterozygotes was similar underscores the importance of evaluating the appropriate delivery of cholesterol to the liver in the development of CAD. In the present study, a standard mouse primary hepatocyte system was used to evaluate the uptake of FC effluxed from macrophages and, therefore, the observed differences in hepatocyte uptake cannot be due to changes in SR-BI expression or other hepatocyte characteristics. It is noteworthy that other cell lines tested, namely, Fu5AH and ldl-SR-BI, showed significantly high uptake of FC effluxed to both albumin as well as HDL, demonstrating the unsuitability of these cell lines with high SR-BI expression for measurement of CDCH. Based on the observed differences in the hepatic delivery of cholesterol associated with albumin or HDL to primary mouse hepatocytes, it is likely that hepatic uptake will be affected by the type of cholesterol acceptor to which FC is effluxed by macrophages. Despite the lack of evidence for the presence of a specific albumin receptor, highaffinity binding domains for albumin exist on hepatocyte membrane that can facilitate rapid transfer of albumin-bound hydrophobic ligands such as cholesterol.<sup>32,33</sup> It remains to be seen whether cholesterol delivered via albumin or HDL is equally available for further hepatic metabolism (e.g., conversion to bile acids for fecal elimination).

The presence of CKD profoundly affects HDL composition and functionality,<sup>34</sup> but whether abnormal HDL independently contributes to cardiovascular events in patients with CKD remains undefined. Untersteller *et al.*<sup>35</sup> monitored HDL-C, HDL-associated serum amyloid A, paraoxonase, and Lp-PLA2 in patients with CKD and were unable to predict CAD

outcomes based on these parameters. Although clear disturbances have been observed for the "functionality" of HDL particles in patients with CKD, this did not necessarily translate into clear-cut associations with outcomes.<sup>36</sup> Reduction in LDL/very low density lipoprotein cholesterol with accompanying increase in HDL-C by normalization of chronic renal insufficiency– induced hepatic ACAT<sup>37,38</sup> indicates a likely modulation of hepatic lipoprotein metabolism in kidney disease. It needs to be emphasized that although hepatic ACAT or ACAT2 regulates CE incorporation in secreted very low-density lipoprotein, macrophage ACAT or ACAT1 is responsible for CE accumulation in foam cells<sup>39</sup> with potential to affect CEC as determined herein.

Uremia associated with CKD leads to modulation of multiple solutes: while indoxyl sulfate, carboxymethyl lysine, N-methyl-4-pyridone-3-carboxamide are significantly increased, bilirubin, reduced glutathione,  $\alpha$ 1-antitrypsin, arginine, and homoarginine are significantly decreased. How these changes affect cholesterol flux through the body is currently not known. Binder et al.<sup>40</sup> observed significant increase in 3-chlorotyrosine, carbamyl lysine, and carboxymethyl lysine content of albumin from hemodialysis patients that acts as an antagonist for HDL uptake receptor, SR-BI. It is therefore likely that serum from patients with CKD decreases SR-BI-mediated HDL uptake by liver (the final step of RCT), resulting in significantly reduced CDCH reported here (Figure 2). Factors other than RCT that likely contribute to CAD risk were not evaluated in the present study, and because bilirubin, reduced glutathione,  $\alpha$ 1-antitrypsin, arginine, and homoarginine are associated with antioxidant, antiinflammatory, and vasodilating properties,<sup>41</sup> their reduced concentrations in CKD could be involved with adverse effects of uremia on other processes relevant to atherogenesis.

In addition to uremia, the other routine measures of kidney functions, namely, eGFR, serum creatinine, and cystatin C, were also found to be strongly correlated to CDCH and not CEC (Figures 3–5). It is noteworthy that in a recent meta-analysis from the CKD Prognosis Consortium, eGFR and albuminuria improve cardiovascular disease risk prediction beyond traditional cardiovascular disease risk factors.<sup>42</sup> Following up the patients with CKD in the Chronic Renal Insufficiency Cohort (CRIC) study, Bundy et al.43 reported negative correlation between coronary artery calcification and eGFR as well as cystatin C, and Bahrmann et al.<sup>44</sup> demonstrated that assessing renal function by cystatin C is useful for predicting cardiovascular disease mortality in patients with CKD admitted to the emergency department with acute coronary syndrome.

Lower eGFR and high albumin-to-creatinine ratios were also independently associated with measures of arterial stiffness, an important pathophysiologic phenotype of vascular disease in CKD.45 Taken together, the published evidence for eGFR, creatinine, and cystatin C as valuable predictors of CAD in CKD and the strong correlation between these parameters with CDCH underscore the importance of hepatic delivery of returning cholesterol to liver in patients with CKD. Although currently very limited knowledge is available on biliary and fecal excretion of bile acids and cholesterol (the only routes for cholesterol elimination from the body) in CKD, it is intriguing that uremic solutes modulate hepatic bile acid transporters<sup>46</sup> and serum levels of circulating bile acids are high and related to coronary artery calcification in patients with CKD.<sup>47</sup>

In conclusion, analyses of the plasma compartment or "liquid biopsy" is currently the only available option for assessing whole-body cholesterol homeostasis. The present pilot study extends the scope of these investigations by assessing the ability of serum components to not only facilitate FC efflux from human THP1 macrophages under physiological conditions, but also to quantify the ability to deliver the effluxed cholesterol to hepatocytes for final elimination. Although technically challenging and limited by the small sample size, this study documents the predictive value of measuring CDCH and recognizes impaired delivery and/or handing of cholesterol by the liver as a step likely to be affected in CKD and also CAD. It needs to be emphasized that this a small pilot study and future studies involving a larger cohort of patients with CKD and healthy controls with matched race and age will establish the relative role of CDCH in mediating the development of CAD in patients with CKD.

### DISCLOSURE

All the authors declared no competing interests.

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#### **TRANSLATIONAL STATEMENT**

Mechanisms underlying increased CAD risk associated with CKD remain undefined. The present study identifies aberrations in the final delivery of cholesterol to the liver in CAD and patients with CKD. Measurement of CDCH, therefore, represents a quantifiable parameter to assess CAD risk in patients with CKD.

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#### **TRANSLATIONAL RESEARCH** -

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