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# Short Communication

# SARS-CoV-2 intra-host evolution during prolonged infection in an immunocompromised patient

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# ABSTRACT

*Objectives:* Intra-host SARS-CoV-2 evolution during chronic infection in immunocompromised hosts has been suggested as being the possible trigger of the emergence of new variants. *Methods:* Using a deep sequencing approach, we investigated the SARS-CoV-2 intra-host genetic evolution in a patient with HIV over a period of 109 days. *Results:* Sequencing of nasopharyngeal swabs at three time points demonstrated dynamic changes in the viral population, with the emergence of 26 amino acid mutations and two deletions, 57% of them in the Spike protein. Such a combination of mutations has never been observed in other SARS-CoV-2 lineages detected so far. *Conclusion:* Our data confirm that persistent infection in certain immunocompromised individuals for a long time may favor the dangerous emergence of new SARS-CoV-2 variants with immune evasion properties.

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#### Introduction

During the SARS-CoV-2 pandemic, several cases of prolonged infections were reported in immunosuppressed patients (Dolan et al., 2021). Most of these cases revealed an intra-host viral evolution, which allows the virus to accumulate mutations faster than during normal inter-host transmission (Avanzato et al., 2020; Leung et al., 2022). During these prolonged infections, SARS-CoV-2 can acquire mutations at key epitopes in the Spike (S) protein, potentially affecting virus replication, infectivity, and antigenicity, which are common to the variants of concern (VOCs) (European Centre for Disease Prevention and Control, 2022). Although the mechanisms underlying the emergence of new variants are still widely discussed, accelerated viral evolution in immunocompromised patients seems to be involved in the genesis of VOCs, as suggested for the Alpha variant (Hill et al., 2022.). This

\* Corresponding author: Erika Giorgia Quaranta, Laboratory for Viral Genomics and Trascriptomics, Istituto Zooprofilattico Sperimentale delle Venezie (IZSVe), Viale dell'Università 10, 35020 Legnaro (Padova), Italy. may also have been the case for the more recent Omicron variant, whose excess number of mutations in the S gene suggests it may have originated in a patient with immunocompromised conditions chronically infected with SARS-CoV-2 (Ma et al., 2022).

In this study, we performed an in-depth analysis of the complete genome of SARS-CoV-2 collected at three different time points from a patient with HIV over a period of 109 days.

#### Methods

#### Case description

A patient was diagnosed HIV-1 positive with AIDS and wasting syndrome in October 2006. Antiretroviral therapy (ART) was immediately started with azidothymidine (AZT) + 3TC + nevirapine when the clinical picture was characterized by a CD4 count of 52 cells/ml and an HIV-1 load (VL) of 83,157 cv/ml. In March 2007, the CD4 count was 184 cells/ml, and VL was 141 cv/ml, but after a few months, the patient interrupted the therapy, and the CD4 count dropped to 5 cells/ml, with a VL greater than 500,000 on September 12, 2007. The patient restarted and interrupted



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AZT + Abacavir + Lopinavir treatment several times from 2007 to 2020. On November 5, 2020, the patient tested positive for SARS-CoV-2 by real-time reverse transcription–PCR (rRT-PCR) (Allplex<sup>TM</sup> SARS-CoV-2 Assay, Seegene Inc., Seoul, Korea) and the nasopharyngeal swabs collected on 15, 22, and 30 November 2020 still confirmed the presence of SARS-CoV-2 infection. During this time, the patient was not under any ART therapy, and clinical data regarding this period are lacking. On June 5, 2021 (time point one), the patient went to the hospital with a mild fever and watery diarrhea that had persisted for a few weeks, with a weightloss of 20 kg. Once again, the patient tested positive by rRT-PCR for SARS-CoV-2, and blood tests demonstrated a very low CD4 count (3 cells/ml) and a very high HIV-1 load of 558,000 cv/ml. ART was immediately restarted with Dolutegravir + Abacavir + Lamivudine, and soon after, the patient was discharged on a domestic isolation regimen. The patient received two doses of SARS-CoV-2 mRNA (Pfizer) Comirnaty\* 195 FL multidose vaccine, the first on June 3, 2021, and the second on July 9, 2021. Testing for SARS-CoV-2 was performed on June 28, 2021 (time point two), and on September 22, 2021 (time point three), with the patient still resulting positive.

The patient was treated with monoclonal antibodies (Bamlanizumab 700 mg + Etesivimab 1400 mg ev) on September 30, 2021.

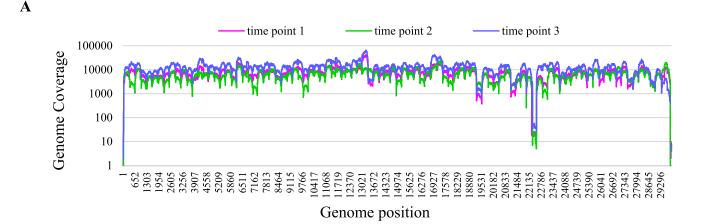
# RNA extraction, next-generation sequencing, and bioinformatics analysis

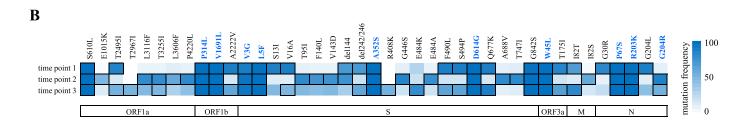
RNA purification was performed on the three nasopharyngeal swabs using the QIAamp Viral RNA kit (Qiagen) according to the manufacturer's protocol. The RNA from each sample was reverse transcribed using the LunaScript RT SuperMix (New England Biolabs), and 5  $\mu$ L of cDNA was amplified with the ARTIC primer set (ARTIC nCoV-2019 V3 Panel, Integrated DNA Technologies) and the Q5 Hot Start High Fidelity DNA Polymerase (New England Biolabs). The following conditions were used: 98°C for 30 seconds, 95°C for 15 seconds, and 63°C for 5 minutes (35-40 cycles), with a 4°C holding step. Amplicons were purified using 0.8x AMPure XP beads (Beckman Coulter) and quantified using the Qubit ds-DNA HS Assay kit (ThermoFisher Scientific). Libraries were prepared with the Illumina DNA Prep kit (Illumina) according to the manufacturer's instructions, and quality was checked using the Bioanalyzer High Sensitivity DNA kit (Agilent Technologies). Libraries were sequenced on Illumina platforms in 150 paired-end mode. Read quality was assessed using FastQC (Andrews, 2010). Cutadapt (Martin, 2011) was employed to perform guality filtering and adapter trimming. Reads were aligned against the Wuhan-Hu-1 SARS-CoV-2 genome (GenBank accession number NC\_045512.2) using BWA-MEM (Li, 2013). iVar (Grubaugh et al., 2019) was used to remove PCR primers. GATK4 (Van der Auwera et al., 2020) was used to correct potential errors, improve alignment and recalibrate base quality. Variants call was performed with LoFreq\* (Wilm et al., 2012). Consensus sequences were produced with a script developed in-house. Single nucleotide polymorphisms and indels with a frequency >50% were reported in the consensus, whereas positions with a coverage <10 were masked with the letter N, which indicates any base according to the International Union of Pure and Applied Chemistry annotation.

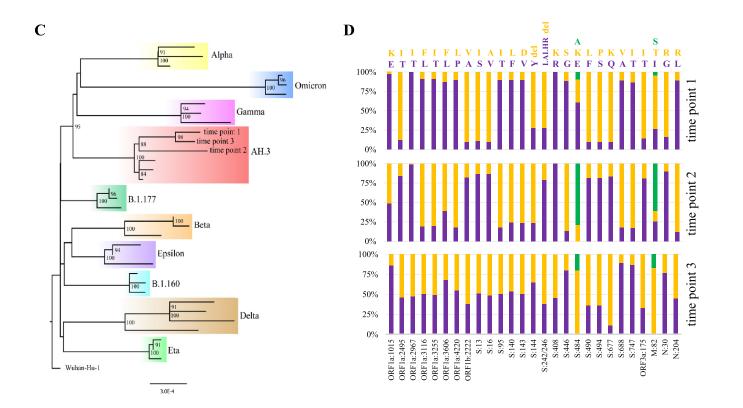
#### Results

SARS-CoV-2 sequencing was performed on nasopharyngeal swabs collected from the patient on time points one (June 5, 2021), two (June 28, 2021), and three (September 22, 2021). Unfortunately, they were the only samples available for sequencing at the

time of writing. The raw data are available on NCBI Sequence Read Archive with accession number PRINA837407 and consensus sequences were deposited in the Global Initiative on Sharing All Influenza Data under the following ID: EPI ISL 2927997 (time point one), EPI\_ISL\_3006795 (time point two) and EPI\_ISL\_4968925 (time point three). All the samples produced high-quality data, yielding the near-complete genome. Specifically, we obtained 1,784,831 SARS-CoV-2 reads for time point one, 1,608,528 for time point two, and 3,223,925 for time point three, which allowed us to produce respectively 99.73%, 99.46%, and 99.67% of the genome, with an average coverage of 7,806X for time point one, 6,599X for time point two and 14,140X for time point three (Figure 1A). These sequences formed a monophyletic group within the AH.3 lineage (Pangolin v4.0.6, pangolin-data version v1.8, O'Toole et al., 2021), a lineage that has been circulating in a few European countries since the second half of October 2020 (Figure 1C). They showed 24-26 amino acid substitutions compared with the Wuhan-Hu-1 reference sequence (GenBank accession number NC\_045512.2), 10 of which are distinctive of the AH.3 lineage (Figure 1B). Over 109 days, 35 nucleotide positions underwent mutations, 26 resulting in amino acid changes, and two different deletions appeared (Figure 2). Among protein-coding changes, eight were observed in Open Reading Frame 1ab (ORF1ab), 16 in S protein (14 mutations and two deletions), one in ORF3a, one in Membrane (M) protein, and two in Nucleocapsid (N) protein. Similarly to what is reported in other studies (Leung et al., 2022; Weigang et al., 2021), several amino acid changes arising from such intra-host viral evolution have also been observed in VOCs and/or have been associated with effects on viral fitness. The S13I mutation in the S protein, for example, is typical of the Epsilon variant and is responsible for a marked reduction in the binding of N-terminal domaindirected neutralizing antibodies in vitro (McCallum et al., 2021). Similarly, del144, peculiar to the Alpha variant, is believed to ease antibody resistance (Wang et al., 2021). Although del242/246 has neither been associated with any VOC nor with other particular biological effects, it should be highlighted that deletions in this region of the S protein have been associated with the alteration of the epitope structure and consequently a reduced immunoreactivity (Klinakis et al., 2021). Remarkably, at residue E484, we observed the appearance of E484A mutation at time point two and E484K at time point three, although E484A continued to be present at a lower frequency (20%) (Figure 1D). E484 is a crucial residue, as substitutions at this position have been noticed in many rapidly spreading VOCs (Laurini et al., 2021) and are associated with reduced sensitivity to neutralization by convalescent human sera (Liu et al., 2021). In addition, it is worth mentioning that the E484A mutation, typical of the Omicron variant, detected in the sample collected on June 28, 2021 (time point two), had never been observed in Italy. Two other mutations in the receptor-binding domain, F490L, and S494P, are respectively linked to a reduced neutralization sensitivity and an increased binding to angiotensin-converting enzyme 2, thereby increasing overall viral virulence (Chakraborty, 2021; Li et al., 2020). Focusing on the 37 nucleotide positions where the three consensus sequences differed (35 mutations and two deletions), we found that 95% of the observed mutations/deletions were present as minority variants even in samples where they were not detected at the consensus level (Figure 2). For example, mutations F490L, S494P, and Q677K in the S protein were present with a frequency of 89.70-89.79% at time point one, then dropped to a frequency of 16.43-18.51% at time point two and returned to be the prevalent mutation (with a frequency of 63.57-89.02%) at time point three (Figure 1D). This observation suggests that a mixed population was present in the patient as early as time point one. Unfortunately, the lack of sequences from previous samples makes it impossible to determine whether these multiple variants may have resulted from pre-







**Figure 1.** SARS-CoV-2 mutation accumulation during chronic infection in a patient with HIV over 109 days. (A) Genome coverage profiles for the three nasopharyngeal swabs analyzed in this study. Y-axis is in logarithmic scale. (B) Schematic representation of amino acid mutations found in patient swabs compared with the Wuhan-Hu-1 reference sequence (GenBank accession number <u>NC\_045512.2</u>). The heatmap summarizes the variant frequencies; bordered squares represent consensus mutations (frequency >50%), and the mutations written in blue are those typical of the AH.3 lineage. (C) Phylogenetic analysis of the sequences obtained from patient swabs at the three time points. The sequences were aligned to a set of representative SARS-CoV-2 genome sequences belonging to AH.3 lineage and the main lineages and VOCs identified so far. The maximum-likelihood phylogenetic tree was constructed with IQ-TREE (GTR+F+R2) and rooted on the Wuhan-Hu-1 reference genome. Ultra-fast bootstrap supports are indicated above the columns for each position. VOCs = variants of concern.

Genome position	<b>Reference</b> base	Alt base	-	time point 2	-	amino acid change		
346	С	Т	9.13%	71.97%	20.55%			
3308	G	А	2.58%	51.07%	13.81%	ORF1a:E1015K		
5144	С	Т	89.01%	14.24%	68.57%			
7531	А	G	9.15%	82.13%	41.59%			
7749	С	Т	87.14%	15.95%	54.12%	ORF1a:T2495I		
9165	С	Т	0.00%	0.00%	52.86%	ORF1a:T2967I		
9611	С	Т	8.75%	80.81%	49.57%	ORF1a:L3116F		
9857	С	Т	85.39%	17.62%	47.79%			
10029	С	Т	8.75%	80.09%	50.84%	ORF1a:T3255I		
11083	G	Т	12.30%	60.51%	32.28%	ORF1a:L3606F		
12484	С	Т	6.28%	75.20%	43.89%			
12924	С	Т	10.03%	82.31%	45.41%	ORF1a:P4220L		
14292	С	Т	9.27%	81.14%	45.20%			
16887	С	Т	91.28%	16.56%	49.88%			
20132	С	Т	90.15%	17.46%	62.16%	ORF1b:A2222V		
21600	G	Т	88.58%	13.12%	49.00%	S:S13I		
21609	Т	С	89.76%	12.96%	51.35%	S:V16A		
21846	С	Т	10.22%	81.87%	49.65%	S:T95I		100
21980	Т	С	9.67%	75.37%	46.42%	S:F140L		100
21989	GTTT	G	9.70%	76.35%	49.40%	S:V143D + S:del144		
21990	TTTA	Т	71.73%	9.34%	35.05%	S:del144		
22284	TACTTGCTTTACATAG	Т	71.72%	21.01%	61.82%	S:del242/246	cy	
22785	G	А	0.00%	0.00%	54.23%	S:R408K	variant frequency	
22898	G	А	11.01%	86.36%	20.15%	S:G446S	nb	
23012	G	А	30.15%	21.17%	79.70%	S:E484K	fre	
23013	А	С	9.10%	78.83%	20.15%	S:E484A	It 1	50
23030	Т	С	89.79%	18.51%	63.57%	S:F490L	iar	
23042	Т	С	89.77%	18.17%	63.88%	S:S494P	ar	
23591	С	А	89.70%	16.43%	89.02%	S:Q677K	>	
23625	С	Т	10.69%	82.14%	10.61%	S:A688V		
23802	С	Т	13.09%	82.39%	13.09%	S:T747I		
25916	С	Т	85.58%	18.75%	67.08%	ORF3a:T175I	_	
26767	Т	С	68.99%	13.75%	82.93%	M:I82T	1 '	0
26767	Т	G	4.23%	60.63%	16.93%	M:I82S		0
28271	А	С	17.75%	87.15%	73.16%			
28273	А	Т	77.43%	11.70%	20.89%			
28361	G	С	83.35%	10.20%	23.42%	N:G30R		
28884	G	Т	89.22%	12.07%	45.08%	N:R204L		

Figure 2. Genome variants identified in nasopharyngeal swabs collected from the patient at three time points in comparison to the Wuhan-Hu-1 reference sequence (Gen-Bank accession number NC\_045512.2) in the nucleotide positions that differed between the three consensus sequences. When present, amino acid mutations are indicated. The rectangle marks two different mutations present at the same nucleotide position (26,767; Membrane protein).

existing co-infection or intra-host virus evolution of a single virus strain.

#### Conclusion

Through the ultra-deep sequencing of the SARS-CoV-2 population at multiple time points, we demonstrated that a highly divergent variant could rapidly emerge in an HIV-positive patient with SARS-CoV-2 persistent infection. In less than four months, the virus accumulated 26 amino acid mutations and two deletions, 57% of which were located in the S protein. Many of these mutations are involved in SARS-CoV-2 ability to evade recognition by the immune system and are indeed found in the major VOCs. The depletion of CD4 unfitted the patient for clearing SARS-CoV-2. This case, added to the ones previously reported (Avanzato et al., 2020; Leung et al., 2022), highlights the crucial role of SARS-CoV-2-positive patients with HIV and low CD4 count as possible sources of new variants.

#### **Declaration of Competing Interest**

The authors have no competing interests to declare.

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#### **Ethical approval**

Informed consent was obtained from the patient.

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