The Chromosome-Level Genome of *Triplophysa dalaica* (Cypriniformes: Cobitidae) Provides Insights into Its Survival in Extremely Alkaline Environment

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Abstract

Lake Dali Nur, located in Inner Mongolia, North China, is alkaline, with *Triplophysa dalaica* one of the three fish species that not only survive, but thrive, in the lake. To investigate the presence of molecular mutations potentially responsible for this adaptation, the whole-genome sequence of the species was sequenced.

A total of 126.5 and 106 Gb data, covering nearly 200× of the estimated genome, were generated using long-read sequencing and Hi-C technology, respectively. De novo assembly generated a genome totalled 607.91 Mb, with a contig N50 of 9.27 Mb. Nearly all whole-genome sequences were anchored and oriented onto 25 chromosomes, with telomeres for most chromosomes also being recovered. Repeats comprised approximately 35.01% of the whole genome. A total of 23,925 protein-coding genes were predicted, within which, 98.62% could be functionally annotated. Through comparisons of *T. dalaica*, *T. tibetana*, and *T. siluroides* gene models, a total of 898 genes were identified as likely being subjected to positive selection, with several of them potentially associated with alkaline adaptation, such as sodium bicarbonate cotransporter, *SLC4A4*. Demographic analyses suggested that the Dali population might have diverged from endemic freshwater Hai River populations, approximately 1 Ma.

The high-quality *T. dalaica* genome, created in this study, not only aids in the analyses of alkaline adaptation, but may also assist in revealing the mysteries of the highly divergent genus *Triplophysa* in the future.

Key words: *Triplophysa dalaica*, gemome, alkaline adaptation, extremely alkaline environment, positive selection.

Significance

Limited genetic and genomic information hindered further understanding of diversity pattern, adaptive evolution research, and resources conservation of genus *Triplophysa*. In this study, we obtained a chromosome-level genome assembly of *Triplophysa dalaica*, which will contribute to uncover the reasons of alkaline adaptation of this species and further research on the genomics, evolution, and conservation biology of this species and genus *Triplophysa*.

Introduction

Triplophysa dalaica is a member of the family Cobitidae: Cypriniformes. It is widely distributed in northern China, predominantly in the trunk and tributaries of the Yellow River, and Inner Mongolia, in artesian waters, such as Lake Dali Nur. This lake is located in an endorheic basin on the eastern Inner Mongolian Plateau, North China, where its alkalinity can rise to 53.57 mmol/l (pH 9.6). It is believed to have expanded to 1,600 km² during the early Holocene (11,500–7,600 calibrated years BP), due to a mass influx of glacial meltwater from the Greater Khingan Range. Its water level fluctuated dramatically during the middle Holocene and constantly

shrank during the late Holocene (3,450 calibrated years BP to present) (Xiao et al. 2008). Due to both the perennial sand-storms and dry weather of Inner Mongolia, water of the Dali Lake has been gradually concentrated and salinized. This has resulted in the long-term directional selection of fish in this habitat, with only a small number of special species, such as *Carassius auratus* Linnaeus, *Leuciscus waleckii*, and *T. dalaica*, able to adapt to the highly salinized environment (Xu et al. 2013). As *T. dalaica* can thrive in the highly alkaline Lake Dali Nur, thus demonstrating their ability to adapt to high basicity tolerance and stress resistance, they are optimal candidates for investigating alkaline resistance mechanisms in fish.

In recent years, salt-alkali water development has intensi-fied significantly in China. Due to their economic value, *C. auratus* and *L. waleckii* have always attracted greater atten-tion than *T. dalaica*. In *L. waleckii*, a set of genomic regions, under selective sweeps harboring genes involved in ion homoeostasis, acid-base regulation, unfolded protein re-sponse, reactive oxygen species elimination, and urea excre-tion were detected (Xu et al. 2017).

Compared with other saline-tolerant or high-altitude accli-matized fish, *T. dalaica* reach sexual maturity at an early age, generally at 2 years of age. Therefore, for alkali-resistant gene inheritance and screening, the research cycle can be signifi-cantly shortened. Thirteen candidate genes, including *hif-1αB* and *hif-2αA*, have been reported as likely involved in the hyp-oxia response of *T. dalaica*, suggesting that genetic mecha-nisms of adaptation to high-altitude hypoxia could be resolved using RNA sequencing technology (Wang et al. 2015). *Triplophysa* fish are a strongly diverged group of fish encompassing 137 valid species, as recorded in FishBase (Froese and Pauly 2014). These fish not only inhabit freshwa-ter environments, but can also survive in saline and alkaline lakes, or at high altitudes, which is ideal for investigating local adaptation and ecological speciation. In recent years, the evo-lution of high-throughput sequencing techniques has pro-vided new opportunities for elucidating the genetic basis of adaptation and speciation in *Triplophysa* fish. For example, the whole-genome sequence of *T. tibetana* laid a solid foun-dation for further investigation into the environmental adap-tation mechanisms of endemic fishes in the Tibetan Plateau (Yang et al. 2019a). The genome assembly of *T. siluroides* provided genomic resources to better understand Tibetan loach biology, and set a stage for comparative analysis of classification, diversification, and adaptation of fishes in Cobitoidea (Yang et al. 2019b). However, the molecular mechanisms underlying adaptation to high salt environments in populations of *T. dalaica* remain relatively poorly understood.

In this study, we present the chromosome-level genome of *T. dalaica*, which inhabits the extremely alkaline waters of Lake Dali Nur, using both PacBio long-read sequencing and Hi-C technology. The size of the resultant genome was ap-proximately 607.91 Mb, with a contig N50 size of 9.27 Mb,

and a final gene set consisting of 23,925 genes. To detect possible genes related to alkaline adaptation, the final gene set was compared with publicly available gene sets of *T. silur-oides* and *T. tibetana*. Effective population size (EPS) dynamics between the alkaline Lake Dali Nur and the freshwater region were also discussed.

Results and Discussion

De Novo Assembly of the *T. dalaica* Genome

All Illumina and PacBio sequencing data generated in this re-port are summarized in supplementary table S1, Supplementary Material online. To estimate sample genome size and heterozygosity, a total of 37.5 Gb short reads, cov-ering approximately 50-fold of the estimated genome, were selected from the generated NGS genome data. These reads were then subject to 17-mer analysis. As the main peak was located at a depth of 53 (supplementary table S2, Supplementary Material online), the genome size was esti-mated to be 631 Mb, which was similar to the previously reported genome sizes of other *Triplophysa* species, such as *T. siluroides* and *T. tibetana*, which were estimated to be 638.07 Mb (Yang et al. 2019b) and 652 Mb (Yang et al. 2019a), respectively. Moreover, the estimated species hetero-zygosity rate was approximately 0.375%.

Data used for the de novo assembly of the *T. dalaica* genome were generated using PacBio Sequel II. Following adaptor and low-quality read removal, nearly 200×, totaling 126.5 Gb, sub-reads remained, with an average read length and N50 length of 19.7 and 32.4 kb, respectively. Preliminary genome assembly was performed in FALCON v0.3, with ultimate genome assem-bly, approximately 607.91 Mb with a contig N50 size of 9.27 Mb, obtained after several polishing rounds using Arrow and Pilon. Contig N50 lengths of the formerly reported *T. silur-oides* and *T. tibetana* were 2.87 and 3.1 Mb, respectively (Yang et al. 2019a, 2019b). Thus, the contig N50 length of *T. dalaica* was much longer than that formerly reported. This may be be-cause we used the newest sequel II technology available; thus, throughput and subread N50 length were significantly im-proved from previous platforms.

The quality of the assembled genome was thoroughly scru-tinized. GC analysis was conducted to assess potential con-tamination before sequencing. As a result, a unimodal distribution of GC content was detected, with an average GC content of 38.77% for the assembly; suggesting no bac-terial contamination. To evaluate coverage of the assembly, all RNA-seq reads were mapped to the *T. dalaica* genome using HISAT2 (Kim et al. 2019), with default parameters applied. The percentage of aligned reads ranged from 88.43% in Spleen to 92.60% in Fins (supplementary table S3, Supplementary Material online). Moreover, Benchmarking Universal Single-Copy Orthologs (BUSCO) (Simão et al. 2015) were used to estimate the coverage of the 4,584

single-copy genes conserved among all Actinopterygii, with approximately 93.7% of the complete BUSCOs found in the assembly (supplementary table S4, Supplementary Material online). Taken together, these results suggest that the genome assembly was robust and nearly complete.

Scaffolding of the *T. dalaica* Genome

It is thought that interactions occur more frequently between closer locations on the chromosome, than farther. Based on next-generation sequencing technology, a total of 106 Gb of data, covering nearly 200× of the estimated genome size, were generated for the Hi-C library. Based on interaction relationships detected using Hi-C technology, 141 contigs, covering approximately 96.3% of the whole-genome length, were anchored and orientated onto 25 chromosomes, resulting in a scaffold N50 length of 23.6 Mb. The interaction relationships along each chromosome are shown in figure 1. Notably, each chromosome had less than ten introduced gaps, except chromosome 5. Moreover, our assembly captured long stretches of telomeric sequence (TAACCC/ TTAGGG)n at all ends of 14 chromosomes, and at one single end for 8 chromosomes (table 1). To assess the accuracy of the scaffolding results, based on Hi-C technology, gene collinearity between *T. dalaica* and its two relative species, *D. rerio* and *T. tibetana*, were compared, with results indicating nearly perfect collinearity (fig. 2). Thus taken together, the high anchoring rate, the minimal gap numbers, the presence of telomeric sequences for most chromosomes, and the nearly perfect collinearity, all indicate the high level of quality of the present *T. dalaica* genome assembly.

Genome Annotations

Generally, annotations of a newly assembled genome include repeat, gene model, and gene function annotations. For repeat annotations, a total of 197,396 SSRs were obtained using MISA. Combined homology and de novo-based results showed that repeat sequences accounted for 35.01% of the *T. dalaica* genome assembly (table 2), of which, DNA transposons made up the greatest proportion (16.01%), followed by LRT (8.9%) and LINEs (4.24%).

The final set of protein-coding genes was obtained by integrating the results of ab initio, homolog based, RNA-seq-based predictions. This set consisted of 23,925 genes, with average gene length, average CDS length, and number of exons, per gene, 12,128.58, 1,715.77, and 9.9 bp, respectively. Distribution of these parameters was similar among *T. dalaica* and the species used for annotation (supplementary fig. S1, Supplementary Material online), suggesting both gene conservation and annotation robustness. On the contrary, the homology-based ncRNA annotation showed a total of 1,664 miRNAs, 11,504 tRNAs, 684 rRNAs, and 1,207 snRNAs residing in the genome.

Functional annotations, including function descriptions, KEGG pathways, and GO term assignments, as well as database summaries, are shown in supplementary table S5, Supplementary Material online. In total, 23,594, 98.62% of the total 23,925 genes, could be annotated as having potential functions.

Phylogenetic Analysis and Divergence Time Estimation

The phylogenetic position of *T. dalaica*, within the order Cypriniformes, and its estimated time of divergence were deduced based on single-copy ortholog genes from related species. Gene family clustering showed that a total of 23,925 genes in *T. dalaica* could be divided into 19,271 gene families, of which 116 were unique to *T. dalaica* and 4,126 were single-copy orthologs across all related species. Based on both the supermatrix constructed using these single-copy genes and the maximum-likelihood method, a phylogenetic tree of these species was reconstructed with high confidence (fig. 3). It showed that *T. dalaica* is closer to *T. tibetana*, with *T. siluroides* identified as the basal species within the *Triplophysa* species. Divergence time estimation showed that *T. dalaica* and *T. tibetana* diverged approximately 8.31 million years ago (Ma), with the history of *Triplophysa* fish likely to be at least 14.6 Ma (fig. 3).

Gene Family Dynamics in the Genome

Significant expansion and contraction of gene families may denote environmental adaptation of the species. Based on both divergence time estimation and OrthoMCL gene family clustering, CAFE was used to detect dynamics of gene families residing in the genome. The analysis detected 431 expanded gene families, as well as 491 contracted gene families, in the *T. dalaica* genome. The top three enriched pathways for expanded family genes were "Gap junction," "Relaxin signaling pathway," and "Bile secretion," whereas for contracted family genes, the top three were "Estrogen signaling pathway," "Dopaminergic synapse," and "Parathyroid hormone synthesis, secretion, and action" (supplementary table S6, Supplementary Material online).

Positively Selected Genes in the Genome

In addition to significant gene family dynamics, genes subject to positive selection also denote environmental adaptation. When comparing with the other two *Triplophysa* species, genes with evidences of positive selection were identified. As a result, a set of 898 candidate genes, likely subject to positive selection, were identified (supplementary table S7, Supplementary Material online). The fact that most of the changes happened in the N- and C-termini may be that they are predominantly exposed to the solvent and interact with other molecules included in the matrix (https://academic. oup.com/bioinformatics/article/23/2/e225/203575).
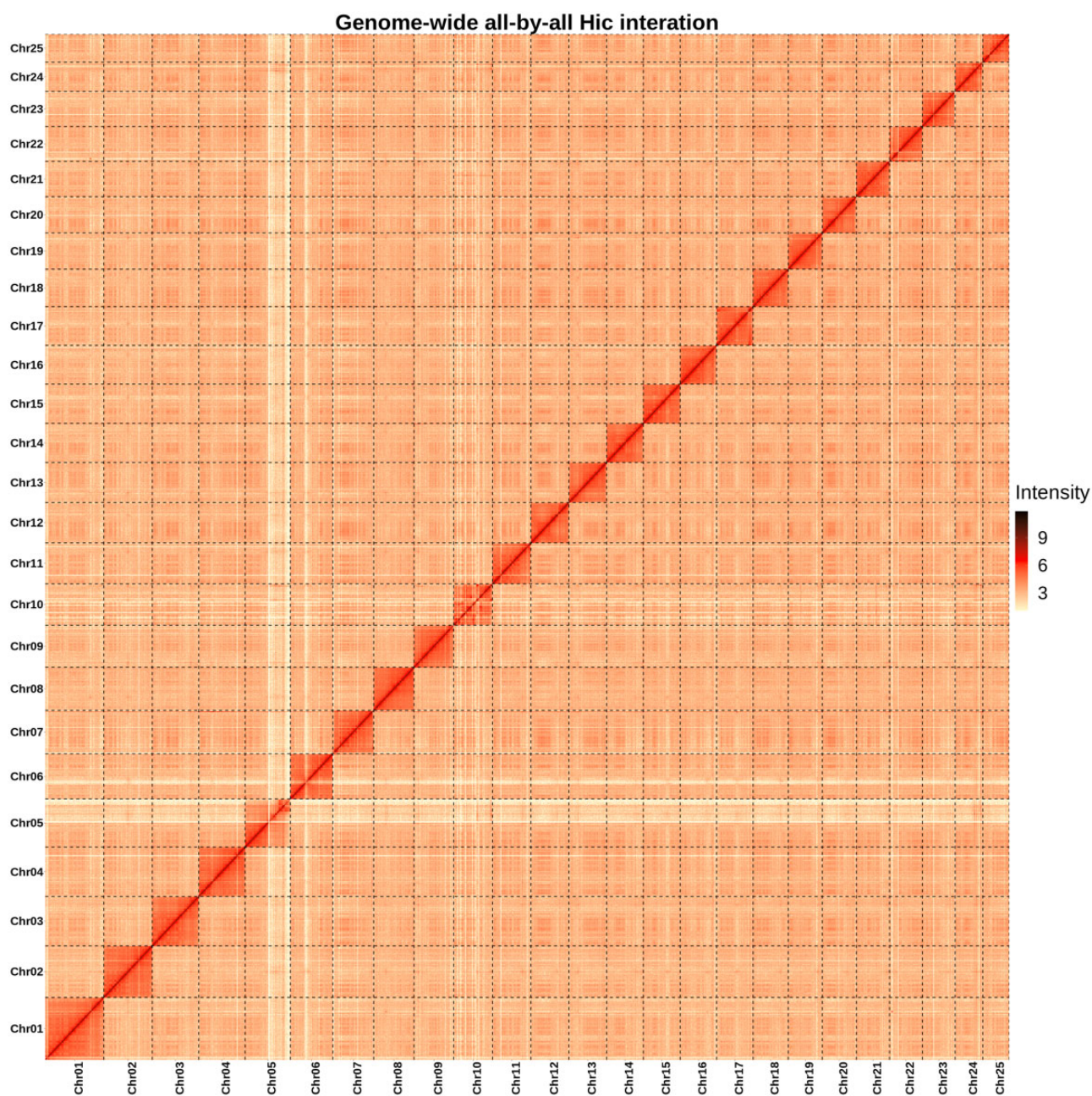
Fig. 1.—Interaction relationships between chromosome regions across the genome. The color bar illuminates contact density from red (high) to white (low).

Salinity change is a key driving force for the adaptation of the fishes endemic to Lake Dali Nur, with ion channels and aquaporins thought to be pivotal players in salinity stress conditions. Two piezo-type mechanosensitive genes (FAM38: Tdalaica.Chr01.107; Tdalaica.Chr08.21) associated with ion channels, and the enriched calcium signaling pathway (KEGG: map04020, supplementary table S8, Supplementary Material online), associated with positively selected genes, may partially explain the adaptation of *T. dalaica* to extreme alkaline environments. Paracellular transportation is another mechanism key to

the maintenance of both ion homeostasis and acid-base balance. KEGG analyses suggest that positively selected genes participate in a number of ways to paracellular permeability pathways, including ECM-receptor (KEGG: map04512), cell adhesion molecules (KEGG: map04514), vascular endothelial growth factor (KEGG: map04370), and focal adhesion (KEGG: map04510), each of which was significantly enriched (supplementary table S8, Supplementary Material online).

Previous studies have reported that both carbonic anhydrase (CA) and sodium bicarbonate cotransport carriers

Table 1

Summary of the *Triplophysa dalaica* Genome Assembly

Chromosome	Length (bp)	No. of Gaps	Telomere
Chr01	36,521,567	4	Both
Chr02	30,310,199	2	Both
Chr03	28,993,233	6	Both
Chr04	28,938,999	3	Both
Chr05	28,276,215	34	Single
Chr06	26,562,059	6	Both
Chr07	25,427,966	4	Single
Chr08	25,320,011	1	Both
Chr09	24,681,035	5	—
Chr10	24,312,322	9	Single
Chr11	23,836,536	4	Single
Chr12	23,627,495	3	Both
Chr13	23,332,616	3	Both
Chr14	23,030,841	3	Single
Chr15	22,910,396	2	Both
Chr16	22,755,625	3	—
Chr17	22,742,303	2	Single
Chr18	22,063,233	2	Both
Chr19	21,103,047	3	Both
Chr20	21,097,118	2	Both
Chr21	20,718,487	2	Both
Chr22	20,510,605	2	—
Chr23	20,345,738	5	Single
Chr24	17,133,254	4	Both
Chr25	16,497,229	2	Single
Unanchored	6,875,478	—	—

(Na^+/HCO_3^- cotransporter, Solute Carrier4A4, *SLC4A4*) can mediate HCO_3^- transport; an important mechanism through which fish tolerate high alkali environments and export HCO_3^- from the body (Romero et al. 2004; Purkerson and Schwartz 2007; Xu et al. 2017). CA is a zinc-containing metal enzyme predominantly involved in various biological osmotic processes, including permeability regulation, ion regulation, and acid-base regulation, such as CO_2 rehydration: $CO_2 + H_2O$ H_2CO_3 $H^+ + HCO_3^-$. A previous study conducted a similar investigation in *Oncorhynchus mykiss*, finding that expression levels of the CA gene were upregulated under saline-alkali stress; indicating an important relationship between the CA gene and ion regulation (Goss et al. 1994). We found that two CA genes (Tdalaica.Chr17.484: *CAV*, Tdalaica.Chr24.506: *CAXVI*), and one *SLC4A4* gene (Tdalaica.Chr04.806: *SLC4A4*) were in a positive selection cluster (supplementary table S7, Supplementary Material online). The natriuretic peptide (NP) system is a key endocrine system involved in osmoregulation and ion homoeostasis in vertebrates (Xu et al. 2017), whereas atrial natriuretic peptide (ANP) has been confirmed as the primary sodium regulating hormone in eels (Cao et al. 2009). Two ANP-related genes, the Tdalaica.Chr18.955 (supplementary fig. S2, Supplementary Material online) and Tdalaica.Chr02.422,

which were annotated as Atrial natriuretic peptide receptor 1 (npr1), are in a positive selection cluster (supplementary table S7, Supplementary Material online), which may play a pivotal role in response to regulate sodium in *T. dalaica*, because the ANP has been confirmed to be the primary sodium-regulating hormone in eels (Tsukada et al. 2005) and the physiological role of the ANP/NPRA system in plasma sodium-proton (Na^+/H^+) exchange and excretion has been proven in various vertebrates (John et al. 1995; Shi et al. 2003; Tsukada et al. 2005).

In a previous study, growth hormone and insulin-like growth factor I (IGFI) were involved in the regulation of ion homeostasis and salinity acclimation (Cao et al. 2009), with fibroblast growth factors (FGFs) behaving as important modulators of paracellular permeability regulation. We also found one IGFI gene, and three FGFs genes, subject to positive selection, providing further evidence of the involvement of these hormones in the regulation of ion homeostasis and salinity acclimation (supplementary table S7, Supplementary Material online).

Demographic History

Demographic history deduced from the genomic data is shown in figure 4. It shows that the two EPSs are similar by more than 1 Ma. The EPS of the Hai River population, endemic to freshwater, is almost constant until 20,000 years ago. Becausse then, the EPS has expanded in a short time. This corresponds to the onset of Northern Hemisphere deglaciation, approximately 19,000–20,000 years ago (Clark et al. 2009). However, the EPS of the population has been slowly expanding because approximately 1 Ma, reaching nearly 400,000 at approximately 0.4 Ma. Because then, the EPS has gradually declined to an extremely low level. According to the geological research of Gao (1988), Lake Dali Nur may have begun developing approximately 1 Ma, with the whole area providing plenty of shallow water for the *T. dalaica*; thus, its EPS gradually expanded. However, along with lake enlargement, *T. dalaica* survival areas were gradually drowned by deep waters, possibly resulting in decreased EPS. This process of lake expansion may have concluded approximately 10,000 years ago, at which point the Lake begun to shrink, and EPS increased proportionally.

Conclusion

Through application of both long-read sequencing and Hi-C technology, we obtained a whole-genome sequence assembly of an individual *T. dalaica* endemic to the alkaline Lake Dali Nur, located in Inner Mongolia, northeast China. The contig N50 length of the assembly exceeded 9 Mb, with nearly all contigs anchored to chromosomes; thus, the assembly was at the chromosome level. Importantly,
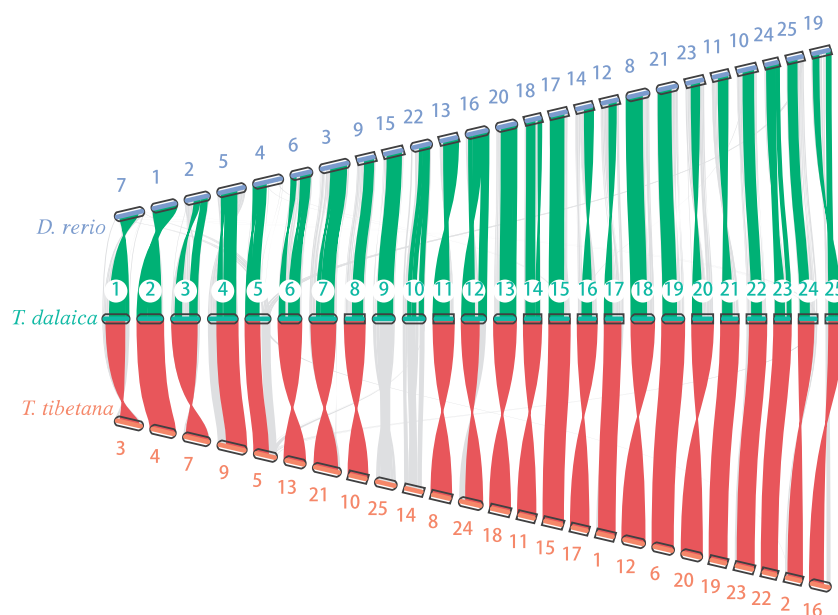
FIG. 2.—Gene model collinearity between *T. dalaica* and the other two relative fishes, *D. rerio* and *T. tibetana.* Green link lines denote the block socres between the dali (*Triplophysa dalaica*) and zebra (*Danio rerio*) anchorsed exceed 100, red link line when the block socres between the dali (*Triplophysa dalaica*) and tibet (*Triplophysa tibetana*) anchorsed exceed 500, because *Triplophysa dalaica* and *Triplophysa tibetana* belong to the seam genus (*Triplophysa*, Cobitidae), but zebra (*Danio rerio*) belongs to genus *Danio*, Cyprinidae.

Table 2

Summary of Repeat Annotations Based on Different Strategies

Type	Repbase TEs		TE Proteins		RepeatModeler		Combined TEs	
	Length (Mb)	% In Genome	Length (Mb)	% In Genome	Length (Mb)	% In Genome	Length (Mb)	% In Genome
DNA	58,796,950	9.67	8,072,635	1.33	76,363,923	12.56	97,356,414	16.01
LINE	20,181,038	3.32	18,219,289	3	17,583,651	2.89	25,750,967	4.24
LTR	16,338,875	2.69	15,724,918	2.59	19,509,939	3.21	54,097,709	8.9
SINE	3,424,519	0.56	0	0	3,215,560	0.53	3,661,444	0.6
Other	3,264,975	0.54	0	0	815,543	0.14	9,860,167	1.62
Unknown	542,164	0.09	0	0	28,108,518	4.62	22,104,911	3.64
Total	102,548,521	16.87	42,016,488	6.91	145,597,134	23.95	212,831,612	35.01

telomeres were obtained for majority of the chromosomes. A number of assessments, including mapping of the RNA-Seq short reads, BUSCO appraisal, and collinearity with *D. rerio* and *T. tibetana*, all suggest high assembly accuracy. Through gene model comparisons of *T. dalaica* with other fish belonging to Cypriniformes, we found that *T. dalaica* was closer to *T. tibetana* than *T. siluroides*, with divergence between *T. dalaica* and *T. tibetana* occurring more than 8 Ma. Positive selection analyses identified a number of pivotal genes likely related to alkaline adaptation of the species. Demographic analyses suggested that the population of *T. dalaica*, endemic to Lake Dali Nur, might have diverged approximately 1 Ma from the Hai River population, with different EPS fluctuations likely resulting from different environmental factors.

Materials and Methods

Ethics Statement

All experiments in the present study were approved by the Animal Care and Use Committee of Henan Normal University, Henan, China.

Sample Collection and Sequencing

A female *T. dalaica* (fig. 5A) was collected from Lake Dali Nur, in Inner Mongolia (43°22′43″N, 116°39′24″E, sampling site 1 of fig. 5B), and subjected to DNA sequencing. The fish was immediately dissected following treatment with the anesthetic, tricaine MS-222. Total genomic DNA was extracted from muscle tissue using the standard phenol/chloroform
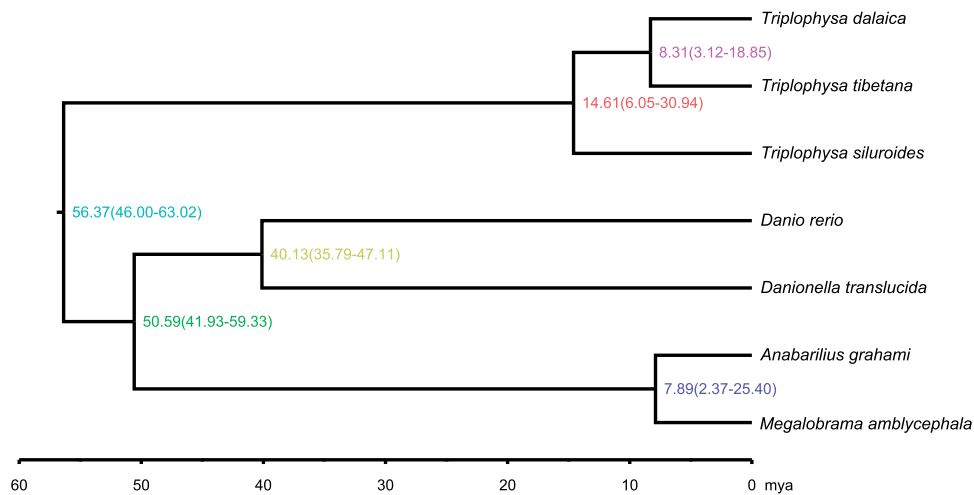
Fig. 3.—Phylogenetic relations and divergence times among *T. dalaica* and relative species. The numbers on the branches indicate the estimated divergence times in millions of years ago. The phylogeny was recovered using the maximum-likelihood method using 4,126 single-copy orthologous genes across all related species, and all nodes were fully supported using 100 bootstrap replicates.
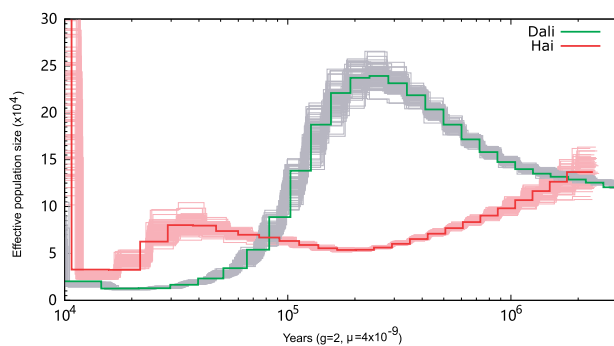

Fig. 4.—PSMC plot depicting the demographic history of the *T. dalaica* individuals collected from Lake Dali Nur (Dali, alkaline water) and the Hai River (Hai, freshwater), using genomic data.

extraction method. A paired-end library, with an insert size of 400 bp, was constructed according to Illumina standard procedures (Illumina, San Diego, California, USA). The library was sequenced on a HiSeq 2500 system, using the 150-bp PE mode. Extracted DNA was also used to construct two 20-kb libraries, according to PacBio manufacturing protocols (Pacific Biosciences, California, USA). Libraries were then sequenced using one cell of the PacBio Sequel II sequencing platform. For comparison, another freshwater *T. dalaica*, from the Hai River in Henan province (35°54′28.0″N, 113°51′26.0″E, sampling site 2 of fig. 5*B*), was also subject to both Illumina library construction and sequencing using the HiSeq 2500 platform; resulting in the generation of millions of 150-bp paired-end reads.

To obtain as much expression evidence as possible for gene prediction, total RNA from eight tissues, including liver, brain, spleen, gill, kidney, gonad, heart and fins, of the aforementioned individual used for de novo sequencing, were extracted

using a total RNA purification kit (Takara Bio). For each tissue, one RNA-sequencing library, with an insert size of 350 bp, was constructed and sequenced on the Illumina HiSeq 2500 platform, using the 150-bp PE mode.

K-Mer Analysis and Evaluation of Genome Size

All paired-end reads generated in this study were cleaned using fastp (Chen et al. 2018), under default settings. About 50× of the estimated genome, totaling 37.5 Gb clean data were randomly selected from the whole-genome sequencing data to estimate *T. dalaica* genome size, using k-mer analysis. The depth distribution of effective 17-mers was estimated using Jellyfish version 2.2.10 (Marcais and Kingsford 2011), with depth of the main peak selected as Kdepth, and genome size estimated using the following formula $Genome_{Size} = Knum/Kdept$. Genome heterozygosity was estimated using GenomeScope 2.0 (Vurture et al. 2017).

Assembly and Correction of the Genome

De novo assembly was performed on the basis of PacBio long reads using FALCON v0.3.0 (https://github.com/PacificBiosciences/falcon). Error correction and preassembly were initially performed following the FALCON pipeline, with a length_cutoff of 28 kb and a length_cutoff_pr of 27 kb chosen for the assembly step. The draft assembly was corrected using Arrow, based on the mapping results of the PacBio reads to the assembled genome when following the BLASR pipeline (Chaisson and Tesler 2012). Next, all filtered Illumina reads were mapped to the corrected contigs using BWA-mem (Li and Durbin 2009), to polish the genome for 3-rounds of Pilon iteratively (Walker et al. 2014).
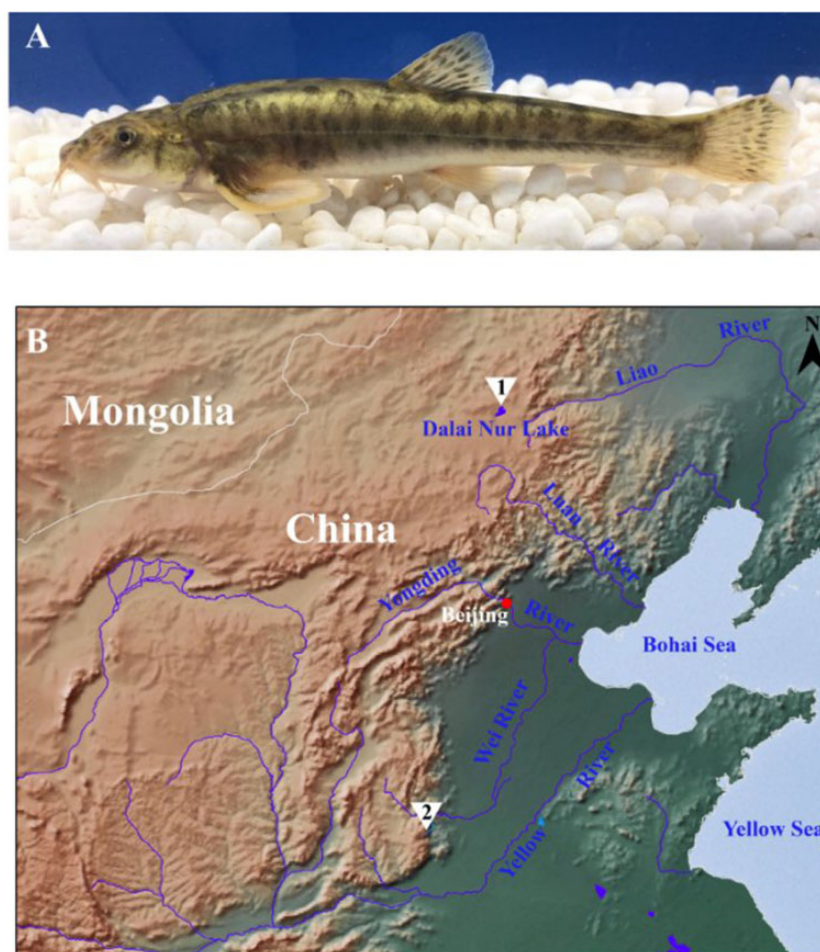
Fɪɢ. 5.—Sample information of *T. dalaica* individuals used in this study. (*A*) Image of the *T. dalaica* specimen, (*B*) sampling sites of the two individuals.

Scaffolding of the Genome Using Hi-C Technology

The Hi-C technique has been widely applied to construct chromosome-level genome assemblies. By capturing all DNA interaction patterns in chromatin, high-throughput sequencing technology, combined with bioinformatic analyses, allows whole chromosome DNA relationships, in spatial position within the entire genome, to be studied (Lieberman-Aiden et al. 2009). Muscle tissue, from the aforementioned *T. dalaica*, was used for Hi-C library construction, with the library sequenced on the Illumina HiSeq X Ten platform, using the 150-bp PE mode. Raw reads were cleaned using fastp (Chen et al. 2018) and then mapped to the polished genome using Bowtie 2 (version 2.2.5) (Langmead and Salzberg 2012). After removing low-quality alignments and duplicate reads, an inter/intra chromosomal contact map was constructed using Hic-Pro v2.11 and was used to anchor and orientate contigs to the chromosomes (Servant et al. 2015), using an agglomerative hierarchical clustering method implemented in Lachesis (Burton et al. 2013). Gaps between adjacent contigs were filled using 100 Ns.

Annotations of the Genome

Repeat sequences in the genome comprised simple sequence repeats (SSRs), moderately repetitive sequences, and highly repetitive sequences. The MISA tool (Thiel et al. 2003) was used to search for SSRs in the *T. dalaica* genome, with default parameters applied. Tandem repeats were identified using Tandem Repeats Finder 4.07b (Benson 1999). RepeatMasker (Chen 2009) was used to identify known transposable elements (TEs) present in the *T. dalaica* genome, with Repbase (v. 22.11) as the query (Bao et al. 2015). RepeatModeler v.1.0.11 and LTR_finder were also used to identify possible TEs, de novo, with default settings applied (Chen 2009; Xu and Wang 2007). Next, all the TEs were identified using RepeatMasker (Chen 2009).

Homology-based ncRNA annotation was performed by scanning the covariance models of rRNA, miRNA, and snRNA genes deposited in the Rfam database (release 13.0) (Kalvari et al. 2018), with candidate regions residing in the *T. dalaica* genome, preliminary detected using BLASTN (*E*-value $\leq 1e - 5$) (Camacho et al. 2009). The tRNAscan-SE (v1.3.1)

search server (Lowe and Eddy 1997) and the RNAmmer v1.2 server (Lagesen et al. 2007) were also used to predict tRNAs and rRNAs, respectively, with default settings applied.

De novo, together with homology- and transcriptome-based strategies were used to predict possible protein coding genes. For de novo prediction, a variety of software, including Augustus v3.3 (Mario et al. 2004), GeneID v1.4.4 (Blanco et al. 2007), GlimmerHMM (Majoros et al. 2004), and SNAP (Korf 2004), was used. For homology-based prediction, the proteome of each of the six species, including *Astyanax mexicanus*, *Danio rerio*, *Ictalurus punctatus*, *Takifugu rubripes*, *Triplophysa siluroides*, and *Xiphophorus maculatus*, was mapped onto the *T. dalaica* genome, and each of the protein sequences compared against the best aligned regions; with possible coding regions predicted using GeneWise v2.2.0 (Birney and Durbin 2000). For transcriptome-based prediction, RNA-seq reads were mapped to the genome using HISAT2 (Kim et al. 2019), and subsequently assembled into gene models using StringTie (Pertea et al. 2015). The StringTie result was then fed into PASA (Haas et al. 2003) to identify the donor and acceptor sites of possible exon regions; with resultant coding regions predicted using TransDecoder (https://github.com/TransDecoder/TransDecoder/wiki). Finally, to generate a consensus gene set, EVidenceModeler (EVM) v1.1.1 (Haas et al. 2008) was used to integrate all predicted gene models using de novo, homology-, and transcriptome-based strategies. Low-quality genes, fewer than 50 encoded amino acids and/or harboring premature termination or frameshifts, were also removed from the gene set.

Functional annotations of *T. dalaica* predicted genes were performed by searching the nr, KOG, Uniprot (release 2018_10), and KEGG (release 84.0) databases, using BLAST with an *E*-value of 1e − 5 (Camacho et al. 2009). Descriptions and KEGG pathways were then extracted from the best hit sequence. Next, InterProScan (Quevillon et al. 2005) was used to annotate predicted genes based on the InterPro database (5.21–60.0), with GO terms assigned according to the best hits.

Phylogenetic Analysis and Divergence Time Estimation

To ascertain the evolutionary position of *T. dalaica*, gene models of its genome were compared with that of six other relative fish in Cypriniformes. These included two in Cobitidae (*T. siluroides* and *T. tibetana*), and four in Cyprinidae (*Anabarilius grahami*, *D. rerio*, *Danionella translucida*, and *Megalobrama amblycephala*). Gene models of these species were downloaded from the NCBI Database and then were used to identify potential orthologous gene families following the OrthoMCL v2.0.9 pipeline (Li et al. 2003) under default settings.

Single-copy orthologous genes were used to perform both molecular phylogenetic analysis and subsequent divergence time estimations. Briefly, deduced protein sequences were aligned using MUSCLE (Edgar 2004), where they were transformed back to nucleotide sequences; with highly variable regions filtered using Gblocks v0.91b (Talavera and Castresana 2007). Alignments were then concatenated and fed into RAxML v8.2.11 (Stamatakis 2014), to perform phylogenetic analysis using the GTRGAMMA model. To assess topological robustness, 100 bootstrap replicates were performed. Divergence time estimations, for *T. dalaica* and relative fishes, were implemented using MCMCTree, included in PAML package v4.9e (Yang 2007) with known divergence times downloaded from Timetree (http://www.timetree.org/) set as calibration points. The MCMCTree parameters were set as follows: clock = 2, RootAge ≤ 1.73, model = 7, BDparas = 110, kappa_gamma = 62, alpha_gamma = 11, rgene_gamma = 23.18, and sigma2_gamma = 14.5.

Gene Family Dynamics and Positively Selected *T. dalaica* Candidate Genes

Based on divergence times estimated for *T. dalaica* and relative species, as well as gene families identified using OrthoMCL, the possible expansion and contraction of gene families residing in these genomes were detected using Computational Analysis of gene Family Evolution, v4.0.1 (CAFE) (De Bie et al. 2006).

To precisely detect positively selected genes, specifically those likely to be related to alkaline acclimation, a new set of orthologous gene groups were identified. A reciprocal best hits strategy, based on gene model comparison between three public *Triplophysa* species generated using BLAST v2.2.30, with an *E*-value cutoff ≤1e − 05, was used. Multiple sequence alignments were performed using GUIDANCE2 (Sela et al. 2015) for all ortholog groups, with parameters set as follows: seqType = codon, seqCutoff = 0.3, and msaProgram = muscle. Next, codeml, included in PAML v4.9e (Yang 2007), was used to estimate d*N*/d*S* ratios (ω), to deduce selection pressures leading to the current evolution of *T. dalaica*. To achieve this, branch-site models (model = 2 and NSsite = 2) were used. For the null hypothesis, parameters "fix_omega" and "omega" were each set to 1, whereas for the alternative hypothesis, "fix_omega" and "omega" were set to 0 and 1.5, respectively. To check convergence, analyses were performed twice for each ortholog group, with final *P* values obtained through comparison of both the chi2 distribution and twice the LRT values, between the two models. The *P* values for multiple tests were adjusted using the BH method (Benjamini and Hochberg 1995). Three-direction structural modeling of the positively selected gene was implemented with the swiss-model online service (https://swissmodel.expasy.org/) and was viewed and edited using Swiss-Pdb Viewer4.1.0 (Guex et al. 2009).

Demographic History

Based on short reads generated for whole-genome sequencing, using next-generation technology, demographic histories for

two *T. dalaica* were deduced following the PSMC pipeline (Li and Durbin 2011), and subsequently compared. Briefly, after cleaning and filtering using fastp (Chen et al. 2018), all short reads were mapped to the de novo assembly using BWA-mem, with default settings applied (Li and Durbin 2009). Based on both mapping results and the assembly, SAMtools (Li et al. 2009), followed by BCFtools (Danecek and Mccarthy 2017), were used to generate a consensus sequence of the heterozygous genome. This was then used to deduce the demographic history using PSMC, with parameters set as follows: -N25 -t15 -r5 -p "$4 + 25*2 + 4 + 6$." A previously reported cyprinid mutation rate of $4.13e - 9$ (Fu et al. 2010), and a 2-year generation time, were used to infer the EPS of *T. dalaica*.

Supplementary Material

Supplementary data are available at *Genome Biology and Evolution* online.

Acknowledgments

Author Contributions

Z.C.J. and N.G.X. conceived and designed the research. L.R.Y., Y.X.M., D.J., H.B., W.X., and M.W.W. performed the experiments. Z.C.J., T.Y.T., Y.C.X., and W.X.F analyzed and interpreted the assembly and annotations. Z.C.J., H.B., and N.G.X. wrote the manuscript with input from all the authors.

Data Availability

The DNA sequencing data have been deposited into the NCBI Sequence Read Archive under BioProject: PRJNA624716.

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