



## Enhancing the Efficacy of Tumor Vaccines Based on Immune Evasion Mechanisms

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Tumor vaccines aim to expand tumor-specific T cells and reactivate existing tumorspecific T cells that are in a dormant or unresponsive state. As such, there is growing interest in improving the durable anti-tumor activity of tumor vaccines. Failure of vaccineactivated T cells to protect against tumors is thought to be the result of the immune escape mechanisms of tumor cells and the intricate immunosuppressive tumor microenvironment. In this review, we discuss how tumor cells and the tumor microenvironment influence the effects of tumor infiltrating lymphocytes and summarize how to improve the efficacy of tumor vaccines by improving the design of current tumor vaccines and combining tumor vaccines with other therapies, such as metabolic therapy, immune checkpoint blockade immunotherapy and epigenetic therapy.

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#### Edited by:

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#### Specialty section:

This article was submitted to Cancer Immunity and Immunotherapy, a section of the journal Frontiers in Oncology

Received: 17 July 2020 Accepted: 22 December 2020 Published: 03 February 2021

#### Citation:

Chen J, Zhang H, Zhou L, Hu Y, Li M, He Y and Li Y (2021) Enhancing the Efficacy of Tumor Vaccines Based on Immune Evasion Mechanisms. Front. Oncol. 10:584367. doi: 10.3389/fonc.2020.584367 Keywords: tumor vaccines, immune evasion, tumor microenvironment, combination therapies, immunotherapy

## INTRODUCTION

Immunotherapy aims to initiate or reinitiate the self-sustaining cycle of tumor immunity, and there is great expectation that this approach will cure various tumor types. Many tumor-targeting approaches exist, but few tumor therapies induce as durable activity as immunotherapy. Safe and robust tumor vaccines have held great promise for tumor immunotherapy.

Tumors are known for their accumulation of genetic alterations and loss of normal cellular regulatory processes (1). These events can lead to the expression of tumor antigens, resulting in peptides that bind to major histocompatibility class I (MHC-I) molecules on the surface of tumor cells (2). These tumor specific peptide-MHC-I complexes can be recognized by  $CD8^+$  T cells (3). The aim of tumor vaccines is to expand the tumor-specific T cell pool from the naïve pool and reactivate existing tumor-specific T cells in dormant or unresponsive states. Despite tremendous potential of tumor vaccines for tumor immunotherapy, the clinical outcomes in some patients remain suboptimal. In the past, this may be attributed to the selection of tumor antigen, as traditional tumor cells and normal cells (4, 5). Currently, the development of sequencing technologies and different bioinformatics algorithms have accelerated the identification of neoantigens and the construction of neoantigen tumor vaccines (6, 7). Neoantigens are highly immunogenic because they are only expressed on tumor cells and do not present in normal cells, hence bypassing central thymic tolerance (4). Clinical trials have shown that neoantigen vaccine strategies successfully

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increase the frequency and activity of tumor-specific cytotoxic T lymphocytes (CTLs) (8, 9). However, tumor development is a dynamic progression. Owing to the immune suppression and escape mechanisms of tumor tissues, neoantigen vaccines alone may not be able to apply expected anti-tumor protection, even if host immune systems have been activated, for the reason that the vaccine alone fails to ensure that the activated T cells home to the tumor bed and exert anti-tumor effects within the tumor.

In fact, the immune response in tumors is precisely regulated in a cancer-immunity cycle. First, the tumor antigens should be released and presented by antigen presenting cells (APCs) to T cells through the T cell receptor (TCR) in order to prime and activate the tumor-specific effector T cells. Second, activated effector T cells must be trafficked to tumor bed and infiltrate into the tumors to specifically recognize the tumor cells. Last, the effector T cells kill the tumor cells (10). Tumor vaccines should go through the above immunity cycle to produce a tumor killing effect. Unfortunately, many tumor specific T cells have become victims of immune suppression and immune escape mechanisms, which means that the vaccine-activated T cells become exhausted or dysfunctional before they exert tumorkilling effects. This is one of the most important reasons for tumorigenesis, recurrence and metastasis in relation to both tumor cell-intrinsic factors and the tumor microenvironment (TME). In this review, we mainly focus on the key aspects of how tumor specific T cells are controlled by the tumor cells and tumor microenvironment and are manipulated to enhance the antitumor immunity of tumor vaccines to implement new clinical strategies.

### MODULATION OF T CELL FUNCTION BY TUMORS

Tumor evasion immunity comprises both tumor cell-intrinsic alterations and TME modification. These components form a complex immunosuppressive network in tumors, which together limits the activation of and induces the dysfunction of T cells. **Figure 1** shows how tumors influence the function of effector T cells.

# Inhibition of Recognition, Priming, and Activation

Tumorigenesis is the process of continuous tumor cell evolution. A series of changes may occur in tumor cells to evade the recognition of immune cells. MHC-I molecules presented on the surface of tumor cells are key proteins for CD8<sup>+</sup> T cell recognition. However, MHC-I molecule abnormalities have been found to occur at a relatively high frequency in tumor cells, including the loss or downregulation of MHC-I molecules (11, 12). This leads to the conclusion that alterations of MHC-I molecules stand for a common immune-escape mechanism of tumor cells (13). Actually, the expression of MHC-I molecules is the result of a proper antigen processing machinery (APM) and any alterations in the APM may lead to the deficiency of antigen processing and cause immune escape. For example, transporter

associated with antigen processing (TAP) plays an important role in the transportation of proteins and the alteration of TAP can induce a sharp decrease of the MHC-I/b2m-peptide complexes expressed on tumor cells (14, 15). Indeed, the deficiency of TAP can be found in a variety of tumors such as head and neck carcinoma, gastric cancer and cervical carcinoma (14–17). Apart from the above gene regulatory mechanisms, the presentation of tumor antigens can be affected by other tumor cell biological processes. For example, the expression of TAP, latent membrane protein (LMP), Tapasin and MHC-I molecules are recovered after histone deacetylase inhibitor (HDACi) treatment, suggesting that epigenetic repression is also involved in the mechanism of tumor MHC-I molecules loss (18).

In addition to the intrinsic tumor cell factors, a series of factors in the TME, such as regulatory T cells (Tregs), disrupt the antigen presentation process, leading to insufficient T cell activation. Cross-presentation of tumor antigens by dendritic cells (DCs) is considered important in the early stage of tumor immune recognition because DCs acquire, process, and present tumor antigens to TCR and provide co-stimulatory factors to prime and boost the CD8<sup>+</sup> T cells. However, CTLA-4 expressed on Tregs can suppress such immune response through the interaction with co-stimulation factors CD80 and CD86 expressed on DCs. When combined, the expression of CD80 and CD86 on DCs will be downregulated, thus impairing DC function and inhibiting T cell stimulation (19, 20). Moreover, a novel study uncovered that the antigen-specific Tregs activated by DCs can form a strong interaction with DCs. Such strong binding can remove the peptide-major histocompatibility complex class II (pMHCII) complexes from the surface of DCs and thereby decrease the antigen presentation efficiency of DCs (21). The upregulation of lymphocyte activation gene-3 (LAG-3) expression on activated Tregs is also a factor that acts as an immune regulatory protein (22).

Other components in TME may also influence the activation of effector T cells. Metabolic stress, including hypoxia and glucose deficiency, can cause downregulation of MHC-I molecules on tumor cells. Such alteration is accompanied by the loss of sensitivity of tumor cells to the upregulation of MHC molecules mediated by interferon (IFN)- $\gamma$  (23). Programmed cell death 1 ligand (PD-L1) and Programmed cell death 2 ligand (PD-L2) expressed on tumor cells and other cells are also indispensable factors that inhibit proliferation and cytokine production of programmed cell death (PD)-1 expressing T cells (24).

# Inhibition of Trafficking and Infiltration to Tumor Bed

Chemokines and cytokines regulate the trafficking and infiltration of immune cells into the TME. Researchers have found that in tumors lacking in the infiltration of CD8<sup>+</sup> T cells, the expression of chemokines involved in the recruitment of effector T cells was significantly reduced (25), implying their critical roles in tumor progression. On the one hand, chemokine expression is regulated by environmental cues in the TME. In transplanted melanoma mouse model, Barreira da Silva et al. showed that the dipeptidylpeptidase



**FIGURE 1** | Regulation of T cells by tumors. The key aspects of how tumors influence the function of effector T cells are illustrated. 1) Abnormal alterations of MHC-I molecules in tumor cells can help evade the recognition of T cells. 2) A series of factors in TME can inhibit the maturation of APCs, thus defecting the priming and activating of effector T cells. 3) Tumor blood vessels act as physical barriers affecting the infiltration of T cells into the tumor bed. The vascular endothelial growth factors generated by tumor derived blood vessels can result in multiple changes within the vessels and thereby drive T cells depletion. 4) Abnormal cytokines and chemokines in TME can influence the infiltration of T cells. In tumors lacking in the infiltration of effector T cells, the expression of chemokines involved in the recruitment of T cells are significantly reduced. 5) Negative cellular components are key cellular mediators reshaping the immunosuppressive TME. 6) Dysregulated metabolism pathways in tumor cells can lead to insufficient nutrients in TME. What's more, the specialized metabolism of tumor cells establishes an unfriendly TME to effector T cells, which further increases the living stress of effector T cells. 7) Effector T cells infiltrating in TME are frequently in exhaustion state and accumulating evidence implies such reprogramming to be the consequence of aberrant epigenomes such as methylated. TCR, T cell receptor; MHC-I, major histocompatibility class I molecules; APCs, antigen presenting cells; MDSCs, myeloid-derived suppressor cells; Tregs, regulatory T cells.

(DPP4) produced by stromal cells within the tumor inactivated chemokine CXCL10, leading to the reduction of T cell infiltration (26). On the other hand, chemokine expression can be regulated by tumor cell-intrinsic epigenetic and genetic mechanisms. Tumor epigenetic silencing often includes zeste homologue2 (EZH2)mediated histone modifications and DNA methyltransferase 1 (DNMT1)-mediated DNA methylation. Using the ovarian cancer mouse models, researchers found repression of T helper 1 (Th1)type chemokines CXCL9 and CXCL10 produced by tumor cells caused by the above epigenetic silencing. The finding indicates that there exists a negative association between tumor-infiltrating CD8<sup>+</sup> T cells and epigenetic silencing (27). A similar change was found in C-C motif chemokine ligand (CCL)5, where DNA methylation reduced the expression of CCL5 and caused tumor-infiltration lymphocyte desertification (28). Moreover, using a genetically engineered mouse melanoma model, researchers found that oncogenic pathway is another approach displayed by tumor cells to control chemokine expression. In the melanoma model, the activation of  $\beta$ -catenin resulted in poor expression of CCL4, which is important for the migration of CD103<sup>+</sup> DCs, and subsequently limited the activation and infiltration of effector T cells (29).

Another reason accounting for the defective T cell infiltration to the tumor sites could be the presence of physical barriers. Like normal tissues, tumors need to obtain nutrients and oxygen and excrete metabolic wastes to maintain their survival, and the generation of tumor-associated neo-vasculature can meet these needs. However, such blood vessels are produced under the condition of an unbalanced mix of proangiogenic signals (1) and can act as physical barriers to the transportation of T cells into the tumor bed. When T cells enter the tumor vessels, a series of changes in the tumor vasculature repress T cell activity and induce T cell exhaustion. First, intercellular adhesion molecule-1 (ICAM-1) and vascular cell adhesion molecule-1 (VCAM-1) are needed for the extravasation of T cells and upregulation of ICAM-1 has been associated with good prognosis of cancer patients (30-33). However, tumor-derived blood vessels can generate vascular endothelial growth factors (VEGF) to inhibit the expression of adhesion molecules and thus prevent T cells from infiltrating into the tumor (34). Second, as within the tumor, inhibitory regulatory molecules such as PD-L1, PD-L2, galectin-9, indoleamine 2,3-dioxygenase 1 (IDO-1), and human B7 homolog 3 (B7-H3) (35-39) can be upregulated on vascular endothelial cells to directly inhibit T cell activity. VEGF- $\alpha$  can also induce the expression of thymocyte selection-associated high mobility group box (TOX) protein in T cells, thereby driving T cell depletion (40). Third, Fas-L expressed on tumor endothelial cells can directly cause T cell apoptosis (41). Finally, tumor blood vessels are known for their unique characteristics, such as slow and irregular blood flow, microvascular disorders, lack of basement membranes and abnormally thick membranes in blood vessels (42), which together result in insufficient oxygen supply in blood vessels and the accumulation of metabolic waste, leading to the impairment of T cells. What's more, these factors would trigger the release and activation of pro-angiogenic growth factors (43) and further deepen the damage to T cells, as demonstrated above.

# Impairment of T Cell Function and the Induction of Dysfunctional T Cells

Tumor cells rely on metabolic networks to maintain their proliferation, and various metabolism pathways are dysregulated in tumor cells due to their irregulated genetic landscape. Like tumor cells, having enough nutrients is essential for normal metabolic activity and anti-tumor immune response of T cells. However, the competition for nutrients between tumor cells and T cells can affect the immune response, and depletion of nutrients in the TME can also lead to insufficient immune response and tumor progression. Glucose is a major energy source, and it plays an important role in maintaining normal cellular functions and supporting cellular bioenergy. Even when oxygen is sufficient, tumor cells utilize glucose via glycolysis, which is called the Warburg effect (44). The glycolytic enzyme hexokinase 2 (HK2) overexpressed in tumor cells ensures the high efficiency of glycolysis in tumor cells and at the same time inhibits the glucose uptake of T cells (45, 46). Long-term glucose deficiency results in low T cell response and impairs the production of cytokines, allowing tumors to acquire immune escape properties. In addition to glucose, amino acids and lipids are also metabolic sources competed for by tumor cells and T cells. For example, IDO is expressed in many tumors and can catabolize tryptophan (47). Lower concentrations of tryptophan in extracellular environment can inhibit the proliferation of CD8<sup>+</sup> T cells and promote the differentiation of Tregs by activating general control nonderepressible 2 (GCN2) kinase (48). Lipid rafts in the cell membrane of T cells are required to form immune synaptic tissues (49), while the growing tumor cells also need fatty acids to synthesize cell membranes or other molecules (50). The disturbance of lipid homeostasis may therefore result in a reduction of effector T cells.

In addition to nutrient depletion, the specialized metabolism of tumor cells also establishes a hypoxic, acidic TME (44) that is unfriendly to the anti-tumor immune response. In other words, besides promoting the growth of tumor cells, the unique metabolic programs can also prevent the development of an effective antitumor response. For example, the reduced blood flow and Warburg effect can result in a hypoxia state in the TME. Earlier studies have indicated that hypoxia can lead to the deficiency of mTOR signaling in T cells which can drive the anergy of effector T cells (51, 52) while promoting the development of Tregs (53). The aberrant Warburg effect of tumor cells produces lactic acid to be exported into the extracellular space, which can result in an acidic TME. The resultant acidification of TME can induce the apoptosis of T cells and suppress T cell function by the inhibition of nuclear factor of activated T cells (NFAT) upregulation and the inhibition of p38 and JNK/c-Jun activation (54, 55). Lactic acid has also been shown to interfere with the maturation of DCs (56) and increase the frequency of forkhead box P3 (FoxP3)<sup>+</sup> Tregs (57). Research has shown an increased expression of PD-L1 on tumor cells by the accumulation of lactic acid (58).

In addition to tumor cells, MDSCs and Tregs are two key cellular mediators in the immunosuppressive TME. The function of Tregs has been described above. Here, we discuss how MDSCs shape the intra-tumoral immune landscape to impair the function of T cells.

MDSCs deplete amino acids in the TME that are essential for T cell function. MDSCs are characterized by the expression of enzyme arginase 1 (Arg1) (59). L-arginine is the substrate of Arg1, and excessive Arg1 leads to the depletion of L-arginine in the TME, which is of significant importance for the maturation of T cell receptor  $\zeta$ -chain (TCR $\zeta$ ) and can therefore result in impaired T cell growth and differentiation (59). Moreover, MDSCs can deplete the extracellular cysteine pool to limit the activation of T cells (60). Inducible nitric oxide synthase-2 (iNOS2) produced by MDSCs can release high level of nitrogen monoxide (NO), which can interrupt T cell function by interfering with T cell JAK/STAT signaling proteins and can induce T cell apoptosis (61). MDSCs can also induce the proliferation of Tregs by secreting soluble factor IL-10 to further downregulate the activation and expansion of T cells (62).

Tumor vaccines can potentially induce efficient antitumor immunity by recruiting and activating immune cells. However, the mechanisms demonstrated above can be utilized by tumors to turn effector T cells into exhausted ones, which can be characterized by the deficiency of response to TCR stimulation, the production of cytokines and the upregulation of inhibitory factors. This condition can be associated with the loss of tumor growth control. In the following section, we consider how different strategies can modulate tumor vaccine function to better boost antitumor immunity.

# STRATEGIES TO IMPROVE TUMOR VACCINE EFFICACY

Currently, strategies have been used in pre-clinical experiments and clinical trials to improve the anti-tumor efficacy of tumor vaccines. Here, we discuss how these strategies influence the responsiveness of tumor vaccines against tumors. **Table 1** shows the related strategies.

# IMPROVE THE DESIGN OF CURRENT TUMOR VACCINES

### Vaccines Based on Both MHC-I–Specific Tumor Antigens and MHC-II Specific Tumor Antigens

At present, most tumor immunotherapy is aimed at activating  $CD8^+$  T cells. With improved understanding of the immunology, recent studies have highlighted the role of  $CD4^+$  T cells in tumor clearance. The activation of an effective anti-tumor immune response not only needs helper T cells to recruit the killer T cells but also needs them induce killer T cells into the activation state in which they are capable of killing tumor cells (63, 64). In

TABLE 1 | Strategies enhancing the anti-tumor efficacy of tumor vaccines.

Strategies	Target steps <sup>a</sup>	Effect on tumor vaccines	Challenges
1. Improve the design of tumor vaccines.			
Vaccines based on both MHC-I specific tumor antigens and MHC-II specific tumor antigens	Inhibition of T cell priming and activation	CD4 <sup>+</sup> T cells help activate CD8 <sup>+</sup> T cells and help them mature into CTLs; more efficient uptake and presentation; cytokines secreted by CD4 <sup>+</sup> T cells dictate the quality of CTLs.	Loss of MHC-II molecules expression on tumor cells; few of found MHC-II restricted tumor antigens due to current prediction algorithms
Re-assembling tumor vaccines with nanoparticles	Inhibition of T cell priming and activation; inhibition of trafficking and infiltration to tumor bed; impairment of T cell function and the induction of dysfunctional T cells	Vectors for different molecules that synergize with tumor vaccines; stabilize the loaded adjuvants; reshape immunosuppressive TME; promote the production of CTLs.	The safety of chosen material compositions; optimize the physical properties of nanoparticles.
2. Combine tumor vacci	ines with other therapies.		
Tumor vaccines plus metabolic therapy	Impairment of T cell function and the induction of dysfunctional T cells	Switch to metabolisms beneficial to T cell function; magnify the metabolic plasticity defect of tumor cells.	Side effects caused by the dysfunctional normal cell metabolisms; intrinsic toxicity.
Tumor vaccines plus CAR-T therapy	inhibition of tumor antigen recognition	Recognize antigen on any HLA background; target tumor cells that downregulate MHC-I molecules.	Increased toxicity.
Tumor vaccines plus ICBs therapy	Inhibition of T cell priming and activation; impairment of T cell function and induction of dysfunctional T cells	Reinvigorate exhausted T cells; evoke tumor immunogenicity.	Induction of dysfunctional cell subsets; injection sequence.
Tumor vaccines plus OVs therapy	Inhibition of tumor antigen recognition; inhibition of T cell priming and activation; inhibition of trafficking and infiltration to tumor bed	Help reverse the down-regulation of MHC-I molecules; induce the maturation and function of DCs; reshape the immunosuppressive TME.	Balancing the antitumor immune response and antiviral immune response; more appropriate clinical indicators.
Tumor vaccines plus epigenetic therapy	Inhibition of tumor antigen recognition; inhibition of T cell priming and activation; inhibition of trafficking and infiltration to tumor bed; impairment of T cell function and the induction of dysfunctional T cells	Help restore APM expression; increase immune infiltration; directly reinvigorate exhausted T cells.	Intrinsic toxicity; balancing the pro- immunogenic and immunosuppressive functions.

<sup>a</sup>According to Modulation of T Cell Function by Tumors section, how tumors influence the function of T cells can be briefly concluded into the following steps: inhibition of tumor antigen recognition, inhibition of T cell priming and activation, inhibition of trafficking and infiltration to tumor bed, impairment of T cell function and the induction of dysfunctional T cells. This list mainly focuses on which steps the strategy targets to improve the function of tumor vaccines. MHC, major histocompatibility class; CTLs, cytotoxic T cells; TME, tumor microenvironment; ICBs, immune checkpoint blockage; APM, antigen processing machinery.

other words, killer T cells should synergize with the helper T cells to activate a robust and durable immune protection.

Studying mouse models of human tumors, researchers found that vaccines act more effectively when both helper and killer T cells are activated. Using the KP9025 sarcoma mice model, researchers indicated that the administration of vaccines with a mixture of KP.mLAMA4 (MHC-I specific tumor antigen) and KP.mITGB1 (MHC-II specific tumor antigen) provided more effective protection against tumor challenge than administration of each the antigen alone. What's more, spleen from mice vaccinated with the mixture antigens contained more functional CD8<sup>+</sup> T cells than the mice receiving only MHC-I specific tumor antigen. These results demonstrated the crucial role of CD4<sup>+</sup> T cell in activating CD8<sup>+</sup> T cells and helping them mature into CTLs (65), which may be the results of more efficient uptake and presentation by APCs (66, 67). In another study using the B16F10 tumor-bearing mice models, MHC class IIrestricted neo-epitope-encoding RNA vaccines were injected into the mice. Compared with the control group, MDSCs and FoxP3<sup>+</sup> Tregs were markedly reduced in the experimental group, indicating that the induction of CD4<sup>+</sup> T cells could help overcome tumor-associated immune suppression, which in turn resulted in a more efficacious tumor control (68).

In fact, CD4<sup>+</sup> T cells can indirectly promote anti-tumor responses, as the cytokines and co-stimulatory factors secreted by CD4<sup>+</sup> T cells largely dictate the quality of CTLs. For example, IL-2 secreted by Th1 is essential for maintaining the growth and proliferation of CD8<sup>+</sup> T cells (69). Moreover, Th1 can help promote the recruitment and infiltration of CD8<sup>+</sup> T cells through the secretion of IFN- $\gamma$  (70). Furthermore, tumorspecific CD4<sup>+</sup> T cells can also secrete IL-4 to recruit macrophages as well as establish long-term memory immune responses to tumors (71, 72). In fact, immunotherapy based on mutation-specific CD4<sup>+</sup> T cells has been proven effective in clinical trials. In patient 3737 experiencing widely metastatic cholangiocarcinoma, tumor infiltrating lymphocytes (TILs) containing approximately 25% mutation-specific Th1 cells were transferred, and the patient received tumor regression and experienced disease stabilization (NCT01174121) (73). Patrick A. Ott enrolled ten patients with previously untreated high-risk melanoma in a phase I study. In the study, they used long peptides leading to the activation of both CD8<sup>+</sup> and CD4<sup>+</sup> T cells. Of six patients treated with the vaccines, four had no recurrence at the follow up of 25 months after vaccination, while the two patients experiencing recurrent disease subsequently received anti-PD-1 therapy and achieved complete tumor regression (NCT 01970358) (8).

In conclusion,  $CD4^+$  T cells can help promote the effector function of CTLs and reshape the TME to overcome negative regulation, both of which can amplify the anti-tumor response of T cells. The reasons why tumor vaccines targeting  $CD4^+$  T cells are difficult to synthesize can be summarized into the following aspects. First, most tumors lack the expression of MHC-II molecules (68). Another factor may be the specificity of neoantigens in tumor cells. At present, most tumor neoantigens capable of stimulating effector T cells belong to MHC-I restricted molecules, and they can only be recognized by effector T cells. In contrast, few MHC-II restricted tumor neoantigens have been found. One of the obstacles is that the existing MHC-II antigen prediction algorithms find it difficult to identify MHC-II restricted tumor-specific antigens that function as neoantigens for CD4<sup>+</sup> T cells (74).

Thinking of the importance of CD4<sup>+</sup> T cells in antitumor immunity, it is urgent for us to deepen the understanding of the underlying principles of immunology and overcome technical difficulties before applying such vaccines into clinical practice.

### **Re-Assembling Tumor Vaccines** With Nanoparticles to Enhance the Immunogenicity

Due to the intricate immunosuppressive TME, the therapeutic effects of a majority of tumor vaccines are quite limited. The immunosuppressive TME inhibits not only the antigen uptake and presentation but also the activation and infiltration of lymphocytes *in vivo*. Therefore, new methods are expected to improve the effectiveness of current immunotherapies, and thus, nanoparticles have been in extensive use in recent years. Compared to traditional tumor vaccines, re-assembled tumor vaccines are armed with the following advantages brought by nano-materials.

First, nanoparticles can be loaded with different adjuvants and molecules, making them novel vectors for different types of tumor vaccines, such as peptide vaccines, RNA vaccines (75, 76). Molecules such as chemical drugs and immune checkpoint inhibitors can be loaded into the vectors with the vaccines to achieve synergistic antitumor effects. Liu X. et al. constructed a tumor nano-vaccine composed of antigenic peptide, CpG-ODN, and cationic polymer nanoparticle. Using breast carcinoma 4T1 models, they found that the injection of the nano-vaccine significantly promoted the infiltration of CTLs in the tumor and could help prevent tumor recurrence and pulmonary metastasis (77). Xie X. et al. developed a novel therapeutic vaccine co-encapsulating epitope peptide and PD-1 antibody with self-healing microcapsule. With the synergism of epitope peptide, PD-1 antibody, and the unique self-healing feature of the microcapsule, a single dose of the vaccine led to the recruitment of activated APCs and significantly improved the infiltration of tumor-specific CTLs. The results indicated that such new vaccine platform could serve as a promising immunotherapy modality for anti-tumor treatment (78).

Second, nanoparticles serve as safeguards to protect the adjuvants from being degraded in the biological environment and precisely deliver the therapeutic ingredients to a particular place to improve the immune response (79). Some particles may also release the coated ingredients according to the conditions of the environment, such as pH and oxygen content, to better adapt to the harsh TME. *Keman Cheng* developed a therapeutic peptide assembling nanoparticle that can sequentially respond to the tumor microenvironment. The basic of the nano-vaccine was a short D-peptide antagonist of programmed cell death-ligand 1 (<sup>D</sup>PPA-1), an inhibitor of idoleamine 2,3-dioxygenase (NLG919). When exposed to the acidic tumor microenvironment, the

material became swollen. The material would soon collapse due to the cleavage of the peptide substrate by matrix metalloproteinase 2 (MMP-2), which is upregulated in tumor stroma. Such a subtle design guarantees the controllable release of the ingredient and provides a favorable circumstance for CTLs to survival and become activated (80).

Third, nano-materials can serve as an immunotherapeutic platform that can reshape immunosuppressive TME (81, 82). The low response rate of current tumor immunotherapy can partly account for the immunosuppressive factors in TME. To better overcome this, *Chanyoung Song* developed an injectable immunotherapeutic nanogel. The nanogel was injected in the dissected empty space after removing approximately 90% of the primary tumor by surgery in 4T1 triple-negative breast cancer and TC1 cervical cancer mice models. Compared with the groups without injected the nanogel, the original immunosuppressive TME of the experimental group was reverted into the immunogenic one, including increased levels of infiltrating CTLs and upregulated expression of cytokines. Moreover, the percentage of immunosuppressive cells such as MDSCs and M2 macrophages decreased after treatment (83).

Lastly, because the size of the nanoparticles is similar to that of the pathogens, the injection of the nanoparticles can promote the presentation of antigens, which can in turn induce the production of more effective, long-lasting tumor specific CTLs (84). Altogether, the modified tumor vaccines can effectively activate the host immune response and induce the death of tumor cells. The re-assembled tumor vaccines distributed in tumors reprogram the immunosuppressive networks and increase immune cells infiltration.

In spite of the fact that nanoparticles have enhanced the antitumor immune response in preclinical models, there remains difficulties before fully applying them to clinical use. One of the most important factors may be the selection of material compositions. The safety of the materials should achieve the certifications of the FDA. The materials should be biodegradable and their degradants must also be non-toxic to humans without leading to biological immune rejection. In addition to safety, the physical properties of these materials such as cation density and surface activity, need to be further optimized to better enhance the antitumor effect of the immune system.

### THE COMBINATION OF TUMOR VACCINES AND OTHER THERAPIES

# The Combination of Tumor Vaccines and Metabolic Therapy

Both tumor cells and T cells are in desperate need of anabolic and energetic to maintain their survival and growth. Tumor cells are known to have unique metabolisms, and differences in cellular metabolism between tumor cells and immune cells can serve as a basis to better improve the antitumor effect.

The unique Warburg effect of tumor cells causes hypoglycemia and hypoxia in the TME (44). TILs must adapt to this environment to survive and exert anti-tumor function. By analyzing the  $CD8^+$  T cell metabolism 30 days after vaccine treatment in melanomabearing mice, Zhang et al. found that the intensity of glycolysis metabolites declined in CD8<sup>+</sup> TILs while the peroxisome proliferator-activated receptor (PPAR)-a signaling and fatty acid (FA) catabolism metabolites increased, implying that CD8<sup>+</sup> TILs switched to these modes of metabolism to preserve their effector functions under the pressure of hypoglycemia and hypoxia in TME. They further confirmed this conclusion by adding fenofibrate, a PPAR-a agonist, to increase FA catabolism. Indeed, the addition of fenofibrate largely improved the antitumor function of vaccines compared to the vaccinated, tumor-bearing group (85). Glutamine has long been used for metabolism therapy due to its crucial role in cell metabolism (86, 87). 6-diazo-5-oxo-L-norleucine (DON) is the most widely studied broad-spectrum glutamine antagonist and has been used in clinical trials (88, 89). However, DON was then abandoned as an antitumor agent due to its toxicity, especially gastrointestinal symptoms (88, 90). Robert D. Leone then developed a prodrug of DON, JHU083, which could only be activated in the TME. Blocking of glutamine metabolism led to the inhibition of wide-ranging metabolism and the disruption of NADP(H) homeostasis while robustly enhancing the function and effectiveness of TILs and acquiring a long-lived, highly activated phenotype. This difference may be due to the lack of plasticity in tumor metabolism (91).

In conclusion, metabolism is integrated in cellular processes and determines the fate of both tumor cells or immune cells. Deeper insights into the mechanisms of the differences between tumor cell and immune cell metabolism will help yield new targets for therapy.

### The Combination of Tumor Vaccines and Chemeric Antigen Receptor T Cells Therapy

CAR-T therapy is another successful attempt at immunotherapy. At present, dramatic clinical responses have been achieved in non-Hodgkin's lymphoma (NHL), adult and pediatric patients with relapsed and refractory (R/R), B-cell acute lymphoblastic leukemia (B-ALL), and chronic lymphocytic leukemia (CLL) (92-94). However, relapse has become one of the obstacles that hinders the development of such therapy. It is well known that engraftment and persistence of CAR-T in vivo are the keys to successful tumor eradication. However, the frequency of CAR-T cells declined in vivo after transfer (95). The combination of tumor vaccine and CAR-T therapy will be a leap forward for tumor immunotherapy, as the combination can complement the shortcomings of each therapy alone and synergistically enhance anti-tumor ability. For example, unlike effector T cells activated by tumor vaccines which engage HLA-peptide complexes, CAR-T cells have the ability to recognize antigen on any HLA background and are therefore more broadly applicable to patient populations with diverse HLA background (96). They can also target tumor cells that downregulate MHC-I molecules, which is a major mechanism that contributes to tumor escape from vaccine therapy (97). Likewise, the administration of tumor vaccine improves the engraftment and persistence of CAR-T cells to partly overcome CAR-T cell exhaustion in the TME. Actually, a large number of studies have proved that this combination strategy is feasible.

Enhancing Tumor Vaccines' Efficacy

K. Reinhard recently reported claudin (CLDN) 6 as a target for CAR-T cell therapy. In the study, the researchers designed 2<sup>nd</sup> generation CLDN6-CAR engineered human T cells as well as a liposomal CLDN6-encoding RNA vaccine. Their data established that the addition of tumor vaccine induced a profound expansion of circulation CAR-T cells and showed complete rejection of tumors with higher median survival. The administration of tumor vaccine enabled tumor control at lower CAR-T cell doses (98).Similar results have been shown in other studies using WT1-specific CAR-T cells combined with DC vaccine pulsed with WT1236Y peptide (99) and CMV/CD19 bispecific T cells combined with CMV peptide vaccine (100). In each study, the post-transfer of vaccine enhanced the therapeutic efficacy of CAR-T cells targeting tumor antigens via CAR-T cell expansion and activation. An important goal of immunotherapy is to establish immune memory to protect against tumor recurrence. In addition to the enhancement in anti-tumor ability, vaccines have been shown to increase the numbers of memory T cells and decrease the number of terminally differentiated T cells, implying that vaccines can promote immunologic memory in CAR-T cells (101). Clare rechallenged the long-term surviving mice with tumor cells in the contralateral site 100 days after the initial treatment, and they found that the mice were completely resistant to rechallenge with the same tumor cells, suggesting that the administration of vaccine did generate a memory response in the surviving mice (102).

The concept of the combination of tumor vaccine and CAR-T cell therapy to specifically eradicate malignant cells has been repeatedly demonstrated in animal models but was sometimes questioned due to the results of trials in humans. In a phase 1/2 study of WT1236Y peptide vaccine involving 26 patients, a patient suffered from an immune-related, but manageable, adverse event (103). Therefore, there remains the possibility that the synergism of tumor vaccine and CAR-T therapy may be associated with increased toxicity. The combination of vaccine and CAR-T therapy has been applied in a relapsed pediatric acute lymphoblastic leukemia clinical trial (NCT01195480). In the CD19CAR CTL therapy with irradiated EBV transformed lymphoblastoid cell lines (LCL) vaccination cohort, four of six patients had detectable CAR-T cells in the blood until 1-3 months after infusion and showed a significantly improved persistence compared with the cohort without vaccination. However, while the usage of vaccine improved the persistence of CAR-T cells somewhat, it was inadequate to induce the proliferation of CAR19 CTLs needed for an effective antitumor response (104). Such results require us to take how to mitigate such risks into more careful consideration and to refine the combination therapeutic strategies, including the doses of tumor vaccine and CAR-T cells in combination therapy, the injection time and the injection sequence.

### The Combination of Tumor Vaccines and Immune Checkpoint Blockade Immunotherapy

Growing preclinical and clinical trials have combined tumor vaccines with ICBs in the attempt to reinvigorate exhausted T cells, and many support the assumption that the combination of ICBs and tumor vaccines have the ability to synergistically improve the clinical outcome (105–108). The immune checkpoint pathway plays an important role in maintaining immune tolerance and deciding the fate and function of T cells (109). Herein is discussed the optimal timing and sequencing of the combination of ICBs and tumor vaccines to obtain the maximum therapeutic benefits.

Because the preparation of tumor vaccines will take a long period of time, ICBs have always been the priority selection in the clinic, followed by tumor vaccines. However, studies have shown that the initial state of T cells is one of the main reasons affecting the effect of combination treatment. Vivek Verma et al. (110) uncovered the phenomenon that when PD-1 was blocked first, the anti-tumor effect of the tumor vaccines was abrogated due to the decrease rate of CD8<sup>+</sup> T cells and defective T cell activation. The blockade of PD-1 under sub-optimally activated CD8<sup>+</sup> T cell conditions would lead to the presence of dysfunctional PD-1<sup>+</sup>CD38<sup>hi</sup>CD8<sup>+</sup> T cells, resulting in the failure of antigenic stimulation response and defective effector functions (111). Researchers have found that such populations of T cells are associated with resistance to anti-PD-1 therapy. If treated appropriately with tumor vaccines before PD-1 inhibitors, the resistance to the PD-1 inhibitors will be resolved. This finding implies that proper sequencing of immunomodulatory agents is necessary for ideal clinical outcomes. In a therapeutic strategy for the clinical use of PD-1 inhibitors combined with tumor vaccines, it may be better to use tumor vaccines before PD-1 inhibitors, or at least use them at the same time. However, we come to a totally opposite conclusion in another experiment using ARF-Fc anti-CTLA-4 mAb where if anti-CTLA-4 mAb was given with the peptide vaccines simultaneously, not only the CTLA-4<sup>+</sup> Tregs but also the CTLA-4<sup>+</sup>CD8<sup>+</sup> T cells were depleted. Giving the anti-CTLA-4 mAb treatment several days before the vaccine stimulation resulted in the depletion of Tregs and the expansion of antigen-specific CD8<sup>+</sup> T cells in vitro and led to an enhanced anti-tumor effect in vivo (112). Whether all the ICBs would undergo the above changes when combined with the tumor vaccines needs to be further confirmed. However, these reports do provide unique insights into the normalization of the combined immunomodulatory agents.

In conclusion, correct timing and sequence of ICBs treatment and tumor antigen vaccines are important factors influencing the effect of tumor immunotherapy. Such normalization should be based on the kinetics of immune checkpoints and effector T cell activation.

# The Combination of Tumor Vaccines and Oncolytic Viruses

To better improve the antitumor efficacy of tumor vaccines, the ability to alter the immunosuppressive TME is the most attractive feature. When compared with other immunotherapies, OVs seem to be more ideal antitumor immunity inducers. OVs have been shown to overcome the issues regarding immunosuppressive TME, which often encountered by T cell therapies.

OVs can promote antitumor T cell responses through multiple mechanisms. First, OVs can promote T cell priming. Due to the defective antiviral responses, tumor cells are susceptible to virus infection. The enzymes and factors required for rapid cell division are often highly expressed in tumor cells, providing viruses with a replicative advantage in the tumor cells (1). Once the infection is established, continuous replication of viruses will at last lead to oncolysis. When the tumor cells are broken, the damage associated molecular patterns and tumor antigens will be released into the TME, which can contribute to the maturation and function of DCs, leading to the priming of tumor-specific T cell response (113, 114). Second, OVs induce a pro-inflammatory TME that can increase T cell trafficking and infiltration. Chemokines and cytokines regulate the trafficking and infiltration of immune cells into the TME. Studies have shown that the infection of OVs elicits potent type I interferon responses (115, 116), which can stimulate the production of T cell recruiting chemokines. Inflammatory factors such as tumor necrosis factor (TNF) and interleukin-1 $\beta$  (IL-1 $\beta$ ) can also be induced by OVs, which in turn upregulate the expression of selectin on endothelial cells and provide an important signal for T cell infiltration (117–119). The presence of physical barriers is another critical reason accounting for the defective T cell infiltration. However, Ilkow et al. found that the vesicular stomatitis virus (VSV) can selectively destroy cancer-associated fibroblasts (CAFs), which are key components of immunosuppressive tumor stroma (120). Their depletion can represent yet another means by which OVs increase the infiltration of T cells. Last, OVs improve the recognition of tumor cells. To avoid T cell recognition, tumor cells can downregulate MHC class I expression and other components involved in the antigen processing and presentation pathway. OVs have the ability to reverse these effects, likely by inducing type I interferon production (121, 122).

Bringing together the above concepts, combined treatment with OVs can be a promising strategy for reverting immunosuppressive TME and enhancing the antitumor capabilities of tumor vaccines. In B16-OVA melanoma mouse models, combination treatment of VSV-GP, a chimeric vesicular stomatitis virus (VSV) pseudotyped with the glycoprotein (GP) of the lymphocytic choriomeningitis virus, with an ovalbumin (OVA) peptide-loaded dendritic cell (DC) vaccine (DCVacc) significantly increased the numbers of tumorinfiltrating, highly activated T cells while relatively reducing the number of Tregs. Researchers observed that several proinflammatory cytokines increased in the VSV-GP-treated group (123). Using a pre-clinical ovarian cancer mouse model, the combination of vaccine and antigen-armed oncolytic Maraba virus elicited robust tumor-specific CD8<sup>+</sup> T cell responses and led to unique immunological changes that correlated with improved clinical outcome of ovarian cancer patients (124). These findings pointed to a key role of OVs to help exert systemic immunologic effects and improve survival. OVs can also serve as adjuvants for tumor vaccines. Erkko Ylösmäki et al. physically attached tumorspecific peptides onto the viral envelope of the virus and found that by coating the viral envelope with therapeutic peptides, the antitumor immunity in the tumor microenvironment can be significantly enhanced (125).

In conclusion, the synergism of tumor vaccine and OVs can combine the advantages of both approaches. The viruses activate

the immune response and reshape the TME, and the therapeutic antitumor vaccine direct the immune response towards the neoantigens. Currently, numerous oncolytic viruses have been applied in early phase clinical trials (126-128). Unlike standard drugs, such live viruses have unique challenges. They are live viruses and can proliferate during clinical administration, making it difficult to establish safe and effective dosing guidelines. Moreover, the immune response induced by OVs can be further divided into antitumor immune response and antiviral immune response. On the one hand, viruses help induce immune response against tumor cells. On the other hand, the response to neutralize virus toxicity may block virus replication and the ongoing infection of tumor cells (129). Hence, more careful attention should be taken in the establishment of this combination therapeutic regimen to balance the immune responses and maximize the advantages of the combination therapy. Moreover, compared to agents that directly kill tumor cells, immune-mediated antitumor responses will be much slower. Therefore, more appropriate clinical indicators are needed to capture therapeutic responses of the combination therapy.

# The Combination of Tumor Vaccines and Epigenetic Therapy

Immune cells infiltrating in the TME are frequently in an exhaustion state and accumulating evidence indicates that such reprogramming can partly be the consequence of aberrant epigenomes. As a result, epigenetic therapy has the potential to reverse the exhausted immune T cells caused by aberrant epigenomes. At present, histone deacetylase inhibitors (HDACi) and hypomethylating agents have been approved by the FDA and have brought epigenetic therapy to the front of tumor therapies. Collectively, the synergistic effect of epigenetic drugs on tumor vaccines can be concluded in the following points.

First, epigenetic therapy helps restore the HLA class-I antigen processing machinery expression on tumors. For example, hypomethylating agents have been shown to have the ability to upregulate tumor antigens as well as antigen processing and presentation genes (130, 131). Similarly, in the glioma implantation mouse model, Ting Sun et al. found upregulated expression of antigen processing and presenting associated molecules on the surface of the glioma tumor cells in the HDACi-treated group, such as TAP1, TAP2, and MHC-I, thereby enhancing the specific lysing efficacy of the immune cells and in turn potentiating the immune response (132). Interestingly, Ailsa et al. (133) uncovered that HDACi mediated tumor cells apoptosis could stimulate the uptake by APCs and that the combination of HDACi and immune-activating antibodies to promote the function of APCs could enhance the proliferation and survival of cytotoxic T cells. Second, epigenetic therapy can modulate the immunosuppressive TME. Both hypomethylating agents and HDACi have been shown to increase the number of natural killer (NK) cells in the tumor bed while reducing the percentage of tumorinfiltrating MDSCs and Tregs (134-136). Tumor cells can epigenetically silence the expression of chemokines to impair the

infiltration activity of immune cells. However, pharmacological inhibition of histone deacetylase in tumor cells was shown to increase the expression of chemokines, thus attracting peripheral infiltrating T cells to the tumor bed (27). Last, apart from regulating T cell extrinsic factors, epigenetic therapy can act on TILs directly by re-invigorating exhausted T cells (137) and decreasing the activation induced cell death in TILs (138). However, it is worth mentioning that epigenetic therapy can also suppress the immune response. For example, the administration of hypomethylating agents prior to allogeneic transplantation can relieve graft versus-host disease (GVHD) through inducing the production of Tregs (139), which implies that it is necessary to balance the pro-immunogenic and immunosuppressive functions in clinical use.

Generally, clinical agents that can be used in conjunction with tumor vaccines should have the characteristics below. First, they act directly upon the T cells to enhance the adaptive anti-tumor immune response. Second, they relieve the tumor-induced immunosuppression, which in turn provides a suitable immune microenvironment for anti-tumor immune cells to help them work properly. Considering the fact that some drugs may also produce cytotoxic effect on immune cells, it is critical to ensure that appropriate dosages are used in the combination therapy.

### DISCUSSION

In the TME, T cells are key cellular components that extensively crosstalk with tumor cells. Recent successes have fueled interest in improving the durable anti-tumor ability of tumor vaccines. Advances in the understanding of immune regulation mechanisms provide solid foundation for the development of novel tumor vaccine combination therapy strategies. However, the occurrence and development of tumors is a dynamic evolution process characterized by genetic instability (1). Due to the immune escape mechanism of tumor cells, tumor vaccines alone may not exert expected tumor killing effects, which is one of the factors that hinders the application of tumor vaccines. In the upcoming era, the application of tumor vaccines should be combined with other therapies such as chemotherapy, radiation therapy, molecular targeted drugs and other immunotherapies to produce a more durable anti-tumor immune response and improve the prognosis of cancer patients.

Although combined treatments have achieved anti-tumor effects and prolonged survival time in pre-clinical animal models, questions exist in clinical translation. The most important point is that the immune background of the animal models is quite different from that of humans. Although patientderived tumor xenograft (PDX) models have been widely applied in tumor immunology research, the dosage and usage in animal models still require a long time to verify their feasibility in human beings, which greatly extends the time for clinical translation. Second, due to the complexity of tumor immunotherapy and the heterogeneity of patients in the clinic, more precise predictive biomarkers still need to be explored to better identify the standard to combine for different treatments. They can also help to determine which treatments are suitable for patients in early clinical diagnosis to develop personal treatment options for different patients. The occurrence and development of tumors is a complex process. Under the pressure of immune survival, a series of changes will happen in tumor cells to avoid immune responses. These lead to challenges in clinical work of how to adjust the combined treatment regimen in time according to different biological changes in tumor cells to maximize the effect of combined treatment. Third, like predictive biomarkers, appropriate indicators should also be developed in the clinic to assess the effect of combination therapy. Finally, a perfect combination treatment regimen needs to be developed based on the cancer immunity cycle to decide which treatment should be included. In other words, such regimens should include activating the endogenous immune response, promoting immune cell infiltration, increasing tumor sensitivity to therapy, reducing tumor burden and maintaining long-term immunity. This requires clinicians to have an accurate grasp of the combination treatment regimen. Continuous studies on tumor immune mechanism and clinical translation are needed to overcome the questions of how to combine different treatments; whether each of the treatment should be added sequentially or concurrently; and whether each of the treatments should be added continuously of intermittently. In general, the biological toxicity of each treatment should be minimized while the synergistic effects of each treatment should be maximized.

### **AUTHOR CONTRIBUTIONS**

JC, HZ, LZ, and YHu reviewed relevant literatures and drafted the manuscript. ML, YHe, and YL analyzed and revised the manuscript. All authors contributed to the article and approved the submitted version.

### FUNDING

This work was funded by the Frontier Research Program of Bioland Laboratory (Guangzhou Regenerative Medicine and Health Guangdong Laboratory) [grant number 2018GZR110105014], the Natural Science Foundation of Guangdong Province, China [grant number 2018B030311042], Science and Technology Program of Guangzhou, China [grant number 201704020216], Clinical Research Startup Program of Southern Medical University by High-level University Construction Funding of Guangdong Provincial Department of Education [grant number LC2016ZD027], and President Foundation of Zhujiang Hospital, Southern Medical University [grant number yjjj2019qn07].

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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