

# Enhanced Stimulus-Induced Gamma Activity in Humans during Propofol-Induced Sedation

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## Abstract

Stimulus-induced gamma oscillations in the 30–80 Hz range have been implicated in a wide number of functions including visual processing, memory and attention. While occipital gamma-band oscillations can be pharmacologically modified in animal preparations, pharmacological modulation of stimulus-induced visual gamma oscillations has yet to be demonstrated in non-invasive human recordings. Here, in fifteen healthy humans volunteers, we probed the effects of the GABA<sub>A</sub> agonist and sedative propofol on stimulus-related gamma activity recorded with magnetoencephalography, using a simple visual grating stimulus designed to elicit gamma oscillations in the primary visual cortex. During propofol sedation as compared to the normal awake state, a significant 60% increase in stimulus-induced gamma amplitude was seen together with a 94% enhancement of stimulus-induced alpha suppression and a simultaneous reduction in the amplitude of the pattern-onset evoked response. These data demonstrate, that propofol-induced sedation is accompanied by increased stimulus-induced gamma activity providing a potential window into mechanisms of gamma-oscillation generation in humans.

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## Introduction

Gamma oscillations in the 30–80 Hz range have been implicated in a wide number of functions including, memory [1], attention [2] and consciousness [3], and are thought to be disturbed in schizophrenia [4]. Both neurophysiological data and modelling studies provide convergent evidence that the most plausible mechanism for the generation of temporally-organised gamma activity is in reciprocally connected neuronal networks containing an interconnected mixture of pyramidal cells, stellate cells and GABAergic inhibitory interneurons [5,6]. Consistent with this, gamma oscillations recorded from primary visual cortex slices *in vitro* have been shown to be modulated by drugs that target GABA<sub>A</sub> receptors as well as drugs that target glutamatergic AMPA and NMDA receptors [7], and acetylcholine receptors [8]. However, the neurochemical basis and pharmacological modifiability of the spatially-summated, population-level, gamma-band responses that can be recorded from primary visual cortex non-invasively in humans with magnetoencephalography (MEG) and electroencephalography (EEG) are largely unknown.

In this experiment we attempted to modulate stimulus-induced gamma oscillations using the GABA<sub>A</sub> agonist propofol. Most of the information about propofol's *in vivo* modulation of neurophysiologic gamma oscillatory activity is based on investigating spontaneous EEG activity after loss of consciousness.

Loss of spatiotemporal organisation of gamma oscillations and information integration capacity has been shown at anaesthetic doses of propofol [9]. However, Murphy et al [10] showed a persistently increased gamma activity with increased connectivity between the regions of the default-mode network (DMN) during propofol anaesthesia challenging the role of gamma oscillations in predicting consciousness. The relationship between spontaneous gamma activity, stimulus-induced activity and potential muscle artefacts in the spontaneous EEG is unclear [11,12].

We investigated the modifiability of stimulus-induced gamma activity, in fifteen healthy humans during an intermediate state of consciousness, that is, sedation without loss of consciousness. MEG was used to measure oscillatory responses to a simple grating stimulus during propofol sedation and during normal wakefulness. Importantly, the stimulation paradigm and data processing techniques that we used have previously been shown to be highly reproducible, stable to repetition effects, and hence suitable for crossover neuropharmacology studies [13]. Further, MEG is robust to the muscle artefact contamination that has affected EEG studies of gamma oscillations [11,14]. Our results demonstrate that, compared to the normal awake state, propofol-induced sedation is accompanied by an increase in visual stimulus-induced gamma-band activity as well as increased alpha desynchronisation and decreased visual evoked responses.

## Materials and Methods

### Volunteers

Fifteen right-handed, healthy, male volunteers (mean age 26 years; range 20–41 years) were recruited following a detailed screening procedure. The study was approved by Cardiff University's Research Ethics Committee and all volunteers gave informed written consent. Medical screening was performed to ensure that all participants were in good physical and mental health and not on any regular medication (American Society of Anesthesiologists physical status 1). Any volunteer with complaints of regular heartburn or hiatus hernia, known or suspected allergies to propofol (or its constituents), regular smokers, those who snored frequently or excessively, or who had a potentially difficult-to-manage airway were excluded.

### Monitoring, Drug Administration and Sedation Assessment

Throughout the experiments, all participants were monitored in accordance with guidelines from the Association of Anaesthetists of Great Britain by two anaesthetists. Heart rate (HR), non-invasive blood pressure (BP), oxygen saturation (SpO<sub>2</sub>) and concentrations of expired carbon-dioxide (EtCO<sub>2</sub>) were continuously monitored using Veris<sup>®</sup> MR Vital Signs monitoring system (Medrad) and recorded every 5 minutes. The monitoring system was located outside the magnetically shielded room. The connecting cables passed through waveguides into the magnetically shielded room. This monitoring setup was tested and found to add no noise to the MEG signals. The monitoring anaesthetists observed the participants through a video monitor and maintained verbal contact, as required, through an intercom system.

Volunteers were instructed to follow standard pre-anaesthetic fasting guidelines. They avoided food for six hours and any fluids for two hours before the experiments. Of the two anaesthetists supervising the sessions, one was solely responsible for participant monitoring and was not actively involved in the experiment. Intravenous access (20 gauge) was obtained on the dorsum of the right hand and physiological monitoring (HR, BP, SpO<sub>2</sub> and EtCO<sub>2</sub>) was instituted. Nasal cannulae were used for sampling of expired and inspired gases and the administration of oxygen, as required. Propofol (Propofol-Lipuro 1%, Braun Ltd., Germany) was administered using an Asena<sup>®</sup> PK infusion pump (Alaris Medical, UK) using a target controlled infusion based on the Marsh-pharmacokinetic model [15]. While participants lay supine in the magnetically shielded room, infusion was started targeting an effect-site concentration of 0.6 mcg/ml. Once the target was reached, two minutes were allowed to ensure reliable equilibration. Drug infusion was then increased in 0.2 mcg/ml increments until the desired level of sedation was achieved. Sedation level was assessed by an anaesthetist, blinded to the level of propofol being administered, using the modified Observer's assessment of alertness/sedation scale (OAA/S) [16]. Sedation endpoint was an OAA/S level of 4 (slurred speech with lethargic response to verbal commands). The same anaesthetist (NS) assessed this endpoint on every occasion to ensure consistency of the depth of sedation achieved. Reaction times in response to auditory and visual stimuli were also recorded during the awake and sedated states both before and after completion of the stimulation paradigm. As expected, reaction times were significantly lower during sedation compared to waking but not significantly different before and after the stimulation session, further indicating that a steady state had been achieved.

### Stimulation Paradigm

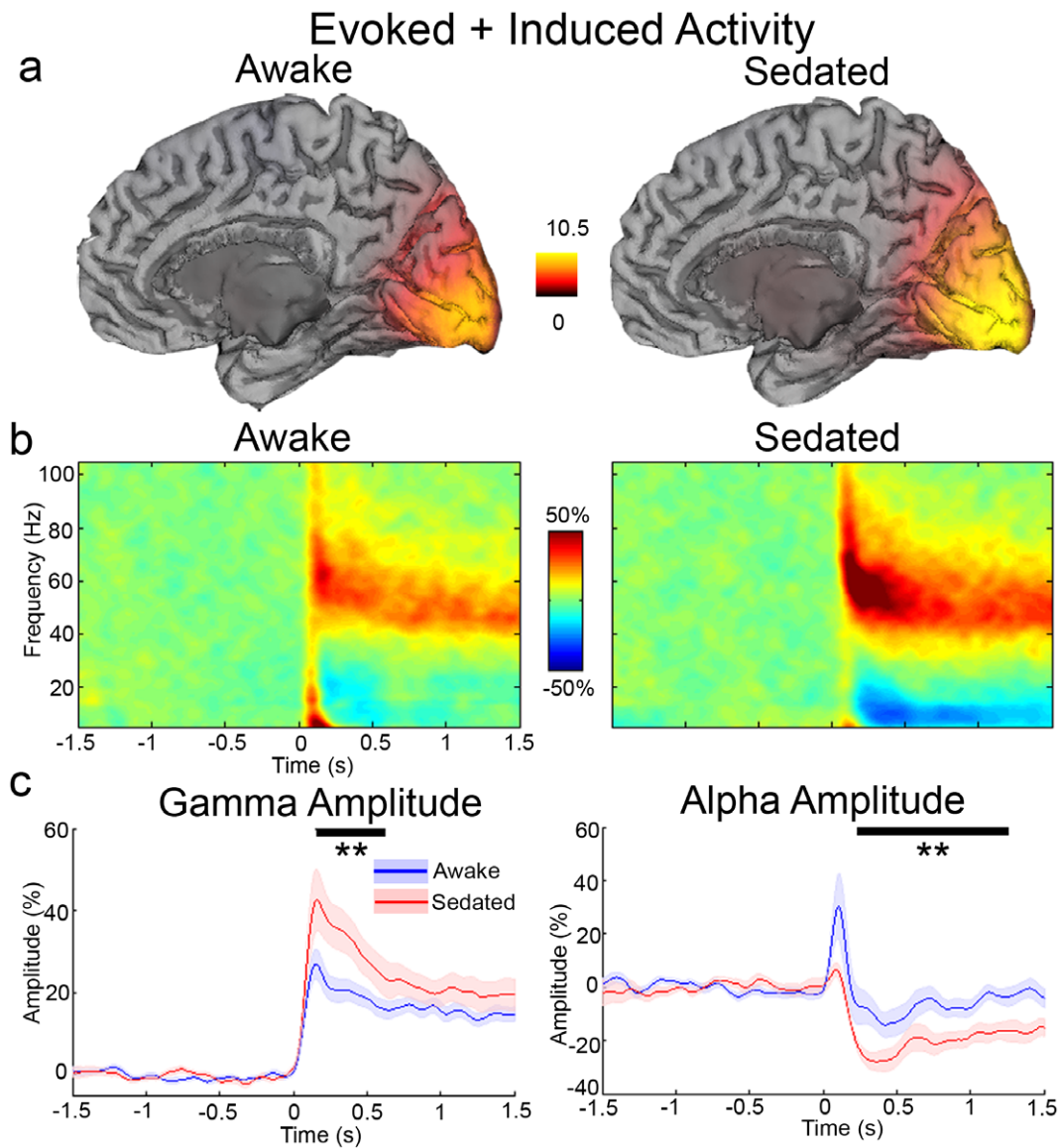
Once steady state sedation was achieved, participants were presented with a visual stimulus consisting of a vertical, stationary, maximum contrast, three cycles per degree, square-wave grating presented on a mean luminance background. The stimulus was presented in the lower left visual field and subtended 4° both horizontally and vertically. A small red fixation square was located at the top right hand edge of the stimulus, which remained on for the entire stimulation protocol [17,18]. The stimulus was presented on a projection screen controlled by Presentation<sup>®</sup>. The duration of each stimulus was 1.5–2 s followed by 2 s of fixation only. Participants were instructed to fixate for the entire experiment and in order to maintain attention were instructed to press a response key at the termination of each stimulation period. Responses slower than 750 ms triggered a brief visual warning for participants. 100 stimuli were presented in a recording session and participants responded with their right hand. Each recording session took approximately 10 min and was carried out before sedation and then repeated during sedation. The awake recording was always carried out before the sedation session on the same day. We have previously demonstrated the robustness of this paradigm to temporal order effects [13].

### MEG Acquisition and Analysis

Whole head MEG recordings were made using a CTF 275-channel radial gradiometer system sampled at 1200 Hz (0–300 Hz bandpass). An additional 29 reference channels were recorded for noise cancellation purposes and the primary sensors were analysed as synthetic third-order gradiometers [19]. Three of the 275 channels were turned off due to excessive sensor noise. At the onset of each stimulus presentation a TTL pulse was sent to the MEG system. Participants were fitted with three electromagnetic head coils (nasion and pre-auriculars), which were localised relative to the MEG system immediately before and after the recording session. Each participant had a 1 mm isotropic T<sub>1</sub> weighted MRI scan available for source localisation analysis. To achieve MRI/MEG co-registration, the fiducial markers were placed at fixed distances from anatomical landmarks identifiable in participants' anatomical MRIs (tragus, eye centre). Fiducial locations were verified afterwards using digital photographs.

Offline, data were first epoched from –1.5 to 1.5 s around stimulus onset and each trial visually inspected for data quality. Data with gross artifacts, such as head movements and muscle contractions were excluded from further analysis. Two source localisations were performed on each dataset using synthetic aperture magnetometry, one for induced responses (SAM), and one for evoked responses (SAMerf). Correspondingly, two global covariance matrices were calculated for each dataset, one for SAM (40–80 Hz) and one for SAMerf (0–100 Hz). Based on these covariance matrices, using the beamformer algorithm [20], two sets of beamformer weights were computed for the entire brain at 4 mm isotropic voxel resolution. A multiple local-spheres [21] volume conductor model was derived by fitting spheres to the brain surface extracted by FSL's Brain Extraction Tool [22].

For gamma-band SAM imaging, virtual sensors were constructed for each beamformer voxel and student *t* images of source power changes computed using a baseline period of –1.5 to 0 s and an active period of 0 to 1.5 s. Within these images, the voxel with the strongest power increase (in the contralateral occipital lobe) was located. To reveal the time–frequency response at this peak location, the virtual sensor was repeatedly band-pass filtered between 1 and 150 Hz at 0.5 Hz frequency step intervals using an 8 Hz bandpass, 3rd order Butterworth filter [13,23]. The Hilbert transform was used to obtain the amplitude envelope and spectra

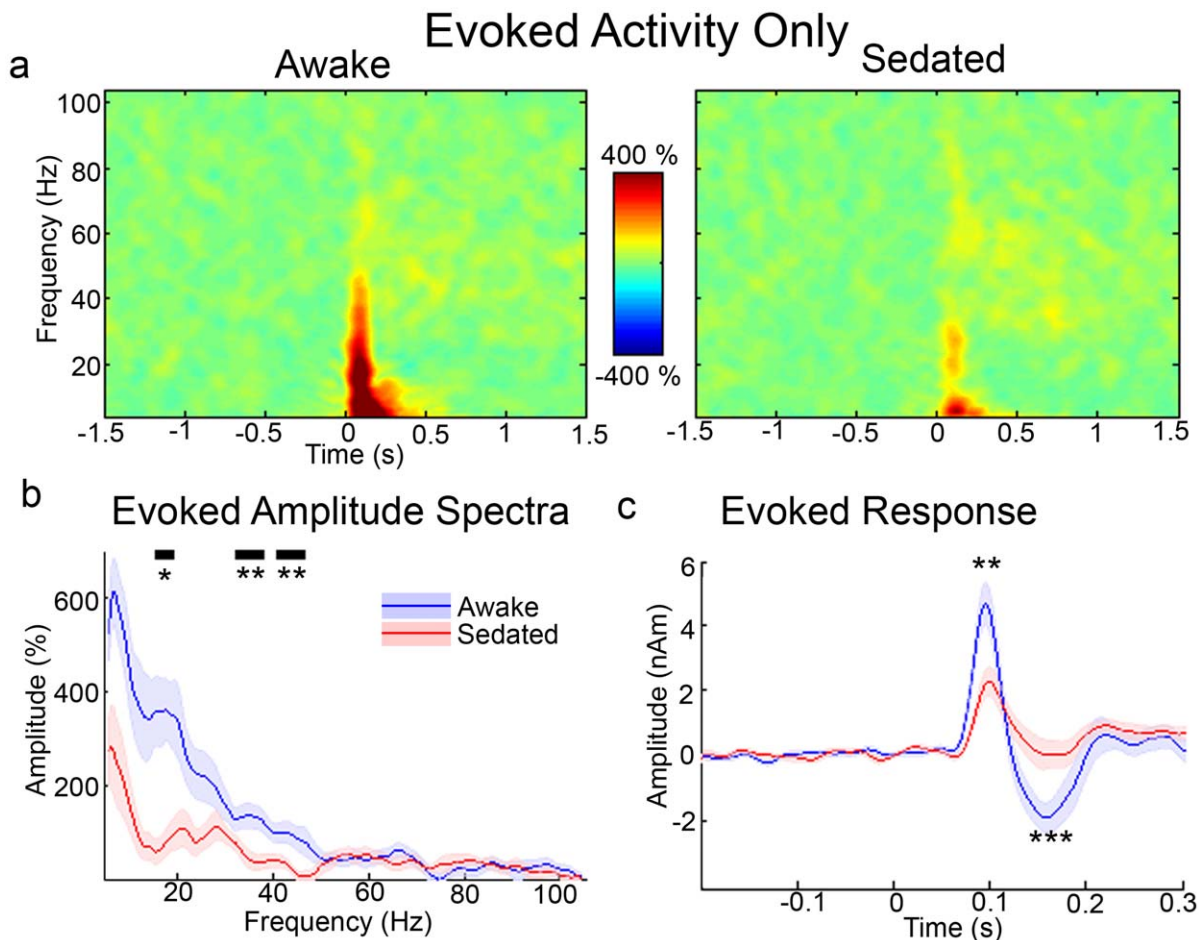


**Figure 1. Summary of total (evoked plus induced) amplitude differences in the experiment.** a) Grand-averaged source localisation of gamma oscillations (40–80 Hz) for awake and sedated states respectively. Units are  $t$  statistics. The peak source location for the gamma band was at MNI co-ordinate [15–95 7] for awake and [17 97 1] for sedated (adjacent SAM voxels). b) Grand-averaged time-frequency spectrograms showing source-level oscillatory amplitude (evoked+induced) changes following visual stimulation with a grating patch (stimulus onset at time=0) during awake and sedated states. Spectrograms are displayed as percentage change from the pre-stimulus baseline and were computed for frequencies from 5 up to 150 Hz but truncated here to 100 Hz for visualisation purposes. c) Envelopes of oscillatory amplitude for the gamma (40–80 Hz) and alpha (8–15 Hz) bands respectively. Time-periods with significant differences between the awake and sedated states are indicated with a black bar (\* $p$ <.05, \*\* $p$ <.01, \*\*\* $p$ <.001, shaded areas represent SEM). doi:10.1371/journal.pone.0057685.g001

were computed as a percentage change from the mean pre-stimulus amplitude (–1.5 to 0 s) for each frequency band. This relative-change baseline provides a control for between-recording and between-participant effects (for example, different head positions in the MEG), as well as correcting for the 1/ $f$  nature of non-baseline corrected MEG source estimates [24]. From these spectra, the time courses of alpha (8–15 Hz) and gamma (40–80 Hz) were extracted and submitted to non-parametric permutation tests using 5000 permutations [25,26]. Permuted  $t$  statistics were corrected for multiple comparisons using cluster-based techniques with an initial cluster forming threshold of  $t=2.3$ . This approach allowed us to examine the temporal profile of

oscillatory spectral modulations as well as controlling for potential contamination of early-evoked response components into the alpha band. To examine pre-stimulus amplitudes the time-frequency spectra were recomputed with no baseline correction and the average amplitudes of alpha (8–15 Hz), beta (15–40 Hz) and gamma (40–80 Hz) in the pre-stimulus period (–1.5 to 0 s) were calculated.

For SAMerf, the computed evoked response was passed through the 0–100 Hz beamformer weights and SAMerf images [27] were generated at 0.01 s intervals from 0.05 to 0.15 s. The image (usually 0.08 to 0.09 s or 0.09 to 0.1 s) with the maximal response in visual cortex was identified and the maximal voxel selected as



**Figure 2. Summary of evoked amplitude differences in the experiment.** a) Grand-averaged time-frequency spectrograms showing source-level oscillatory amplitude changes for the evoked response. b) Evoked amplitude spectra for the 0–0.2 s time period. c) Source-level time-averaged evoked responses for awake and sedated states. Significant differences were seen in the amplitude of the M100 and M150 responses ( $*p < .05$ ,  $**p < .01$ ,  $***p < .001$ , shaded areas represent SEM). doi:10.1371/journal.pone.0057685.g002

the peak location for virtual sensor analysis. For time-domain analysis, the evoked field was computed for this virtual sensor (–0.2 to 0 s baseline, 40 Hz low-pass filter) and the peak amplitude and latency of the M100 and M150 responses were quantified. We also performed a spectral analysis of the evoked field using the same time-frequency techniques as above. The evoked frequency response in the 0 to 0.2 s period was obtained for each condition and analysed using the same statistical methodology.

## Results

Participants showed significantly ( $t = 6.15$ ,  $p = .001$ ) slower key presses to stimulus offset during propofol sedation (mean 355 (s.d. 42) ms) compared to the awake state (mean 277 (33) ms). They also missed significantly more ( $t = 3.86$ ,  $p = .002$ ) key presses during sedation (6.1 (4.7)) compared to the awake state (1.3 (1.0)). Figure 1A shows grand-averaged source reconstructions for gamma band (40–80 Hz) responses to presentation of the grating stimulus during awake and sedated states respectively. As expected, both reconstructions locate the sources in the medial visual cortex in the quadrant opposite to the side of visual stimulation. The grand-averaged peak locations of the responses were located in adjacent source reconstruction voxels (4 mm voxel

size). From the peak locations identified in individual source localisation images, source level activity was reconstructed and time-frequency spectra computed. The grand-average of these time-frequency spectra are displayed in Figure 1B. These show the typical morphology following this type of visual stimulus: there is an initial transient broadband (50 to 100 ms) amplitude increase in the gamma frequency (>40 Hz) range, followed by a longer-lasting elevation of gamma frequency amplitude in a narrower frequency range [13,28]. In the lower frequencies, there exists a sustained alpha amplitude decrease that commences around 200 ms, and a low frequency onset response, which is indicative of the evoked response [29]. Co-localisation of alpha and gamma responses has been previously demonstrated [30]. In Figure 1C the extracted gamma (40–80 Hz) and alpha (8–15 Hz) amplitude time-courses are plotted. During propofol sedation there was significantly elevated ( $p = .01$ , corrected) gamma band activity between 0.15 to 0.61 s corresponding to a 59.8% increase in amplitude. Similarly, during propofol sedation there was significantly ( $p < .01$ , corrected) more alpha amplitude decrease between 0.230 to 1.25 s corresponding to a 94.0% increase in stimulus-induced alpha suppression.

In Figure 2A, the time-frequency response of the source-level evoked response is presented for both awake and sedated states and in Figure 2B the frequency spectra of these are presented for

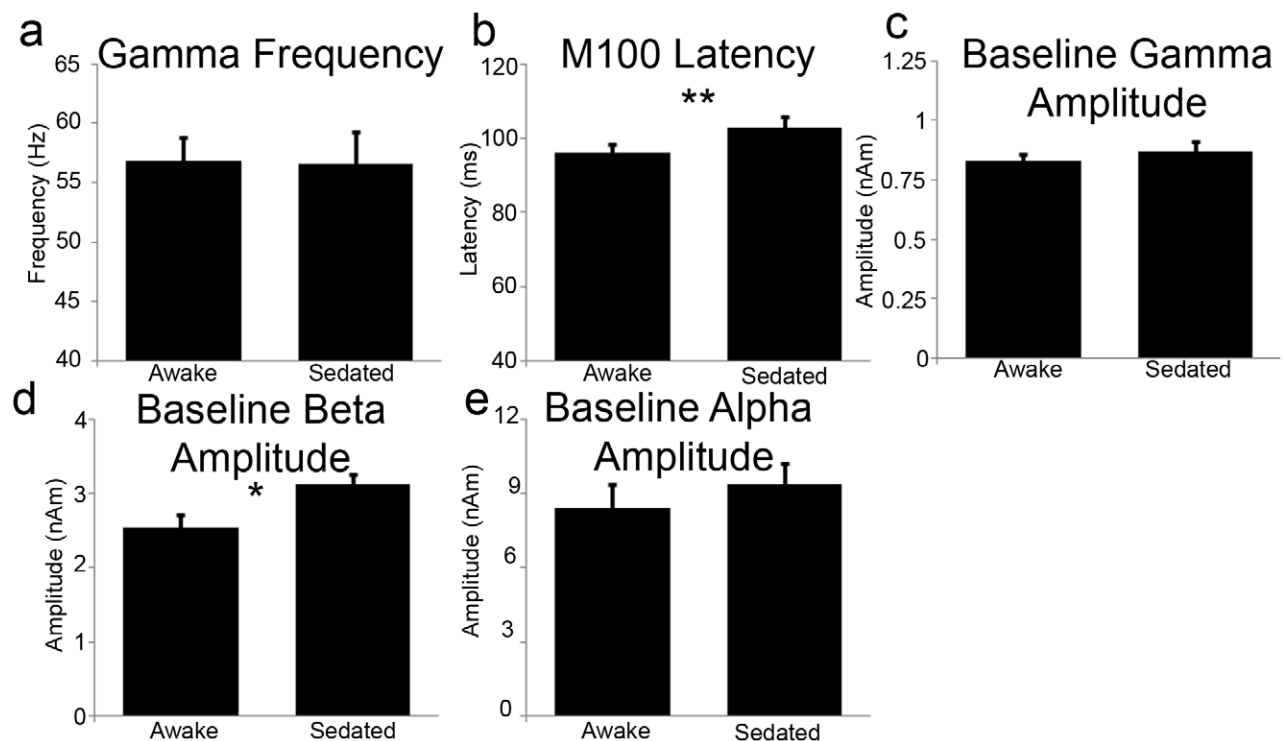
0 to 0.2 s time window (i.e. where Figure 2A indicates that bulk of evoked activity occurred). Figure 2B indicates significantly less evoked power in the sedated state. Figure 2C presents the time-averaged evoked responses and demonstrates significant reductions in both the amplitude of the M100 (46%) and M150 (94%) components during propofol sedation. We also noted significant ( $t = 3.16$ ,  $p = .007$ ) slowing of the M100 component (Figure 3B). The M150 component was reduced to such a level during propofol sedation that we were unable to adequately quantify latency for a number of participants. Figure 3A demonstrates that there was no shift in peak gamma frequency, while peak alpha frequencies could not be reliably estimated across participants. We then tested whether the changes in alpha and gamma activity could be driven by changes in the baseline power spectrum. To do this, we computed the absolute amplitudes of the virtual sensor amplitude spectra in the baseline period. No changes were seen in baseline gamma or alpha amplitude but an increase in resting beta amplitude ( $p = .05$ ) (Figure 3C–E) was seen.

We conducted exploratory correlational analyses between each of the parameters we had found to be significantly modulated by propofol (differences in, reaction time, gamma amplitude, alpha amplitude, M100 latency, M150 latency, and beta baseline amplitude). The only correlation that emerged was between M100 latency differences and alpha amplitude differences ( $r = .57$ ,  $p < .003$ ) and will require subsequent confirmation.

## Discussion

In this experiment, we demonstrate that during mild propofol sedation there is an increase in visually-induced gamma band responses, increased alpha amplitude suppression, and a concurrent reduction in the visually evoked response compared to the awake state. Thus, there is an overall amplification of the

oscillatory response seen with visual stimulation under propofol sedation but a decrease in evoked activity. This provides an *in vivo* demonstration in humans, that stimulus-induced gamma oscillations in visual cortex can be modified pharmacologically. The increase in induced gamma and alpha stimulus reactivity occurred concurrently with a reduction in the evoked response, that is, the evoked and induced responses showed a pharmacologically-induced dissociation. One particularly striking feature of this dissociation is that this occurred in the same MEG data. This suggests that these two MEG responses may reflect the activity of different generator populations in primary visual cortex or that these generators are differentially pharmacologically sensitive. Indeed, in primary visual cortex gamma band responses are primarily generated in layers II, III and IV [31], whereas early evoked responses are mostly generated in layer IV [32]. The present dissociation appears comparable to the dissociation between ERP and the gamma responses recorded during an adaptation (double pulse paradigm) task, using subdural recordings. While there was a reduction in the ERP the gamma-band response remained constant [33]. An important aspect of this dissociation is that it argues against other, more prosaic, interpretations of the data. For example, one might argue that the reduction in the M100 amplitude evoked response is due to reduced task vigilance, attention [34] as participants' state of consciousness changed. However, these effects would also decrease the amplitude of oscillatory responses [18,34]. The concurrent increase in oscillatory signals is therefore inconsistent with such arguments. Another possibility is that the decreased evoked responses we observed might be due to altered fixation control during propofol sedation. However, loss of fixation control would be expected to decrease the amplitude of both the evoked response [35] and the gamma-band response [36,18] whereas these components change in opposite directions in our data. Neverthe-



**Figure 3. Bar charts showing peak gamma frequency (a), M100 Latency (b), and baseline gamma (c), beta (d) and alpha amplitudes (e).** (\* $p < .05$ , \*\* $p < .01$ , \*\*\* $p < .001$ , bars represent SEM). doi:10.1371/journal.pone.0057685.g003

less, measurement of fixation position via either eye-tracking or electrooculography would be a useful addition to future experiments.

EEG studies of the resting spectra during mild propofol sedation demonstrate decreased posterior alpha and increased central beta power [37]. Increased sedation levels are marked by increased delta and theta power and frontal alpha with increased peak frequency [38]. Neural modelling of the changes in the resting EEG spectra during propofol anaesthesia suggests that these are caused by increased inhibition within local interneuron circuits [39,40]. While the scalp EEG is a mixture of many generators, the advantage of the MEG beamformer approach used here is that it allows activity from a spatially confined region of interest to be analysed [19]. The baseline spectra in our primary visual cortex virtual sensors demonstrated only a relatively minor increase in beta power and no changes in resting gamma or alpha activity. As such, the event-related amplitude changes we demonstrate here do not appear to be related to baseline spectral changes with the drug. The other advantage of the well-validated MEG beamformer [13,28,30] approach used here is that we can be very confident that the gamma-band activity here does not reflect the influence of muscle activity, be it from microsaccades [14,41], or neck/head muscles [11].

In a recent observational study in humans we found that, across individuals, the frequency of stimulus-induced network gamma oscillations in primary visual cortex is positively correlated with the concentration of GABA measured with edited magnetic resonance spectroscopy [42]. A similar correlation between GABA concentration and gamma frequency has been observed in the motor cortex [43]. Based on these results, it might be expected that gamma frequency would increase with propofol but instead we found that gamma amplitude increased. Because, magnetic resonance spectroscopy is an indirect measure of synaptic GABA function our previous correlational results could be influenced by a number of anatomical, biochemical or even genetic variables. In particular, recently Schwarzkopf et al. [44] found across individuals, that gamma frequency correlates with the surface area of V1 defined by retinotopic mapping with fMRI, suggesting anatomical factors may have driven our previous results. While we observed here a change in gamma amplitude and not frequency, and gamma amplitude and frequency are not correlated across individuals [13], across experimental manipulations they often change together and perhaps they should not be viewed as isolated parameters. For example, in both animals [45] and humans [18], it has been shown that moving stimuli lead to gamma oscillations of both higher frequency and amplitude. Similarly, when the contrast of stimuli changes, induced gamma oscillations (dynamically) change in both amplitude [46] and frequency [47]. In addition, stimuli of different spatial frequency elicit not only different gamma amplitudes [48] but also alter the spectral shape

of the gamma response [49]. Finally, recent computational modelling studies suggest that individual variability in both spatial integration across V1 columns [50] and synaptic excitation/inhibition [50,51] can drive variability in induced visual gamma frequency, suggesting a possible dependence on multiple parameters.

While propofol exerts a small amount of activity on nACh, AMPA and NMDA receptors as well as sodium channels its principal mechanism of action is thought to be via potentiation of GABA<sub>A</sub> receptors [52]. In vitro, the primary action of propofol at low concentrations is to potentiate GABA evoked hyperpolarising Cl<sup>-</sup> currents [53,54] and at higher concentrations directly activate Cl<sup>-</sup> currents via the  $\beta$ -subunit in human recombinant GABA<sub>A</sub> receptors [55]. At clinically relevant concentrations propofol causes a concentration dependent increase in the duration of synaptic miniature IPSCs [56], an increase in extrasynaptic tonic inhibitory currents [57] and, in hippocampal neurons, increases both the amplitude and decay time length of IPSCs [58]. Computational modelling [59] suggests that gamma activity can be generated by networks of gap junction connected interneurons [60] providing large synchronised IPSPs to excitatory cells [61]. Indeed, in barrel cortex, driving fast-spiking interneuron activity, but not pyramidal cell activity, selectively amplifies gamma activity [62]. Given all of these previous results, the amplified gamma response we observe here seems most likely to be caused by the potentiation of GABA<sub>A</sub> activity by propofol. Gamma amplitude changes could result from the enhancement of either phasic or tonic GABA currents, as propofol amplifies both [63,64,65] and both can modify gamma activity [62,66]. The fact that both gamma amplitude and alpha suppression were enhanced suggests an overall increase in excitatory oscillatory effects with propofol. The significant effects seen here with propofol certainly warrant future investigations using more targeted GABAergic agents.

Finally, we note another very recent study using the cholinergic agonist physostigmine which found a selective modulation of alpha oscillation amplitude in response to visual stimuli in humans with MEG [67]. This study, which included a more attentionally demanding task than ours, also found pharmacologically altered gamma-band activity in the right frontal cortex (but not in visual cortex). Taken together, these studies demonstrate the potential of MEG to non-invasively characterise the selective effects of pharmacological agents on quantitative neuronal biomarkers.

## Author Contributions

Conceived and designed the experiments: NS SM AD KS JH RW. Performed the experiments: NS AD. Analyzed the data: NS SM. Contributed reagents/materials/analysis tools: SM KS. Wrote the paper: NS SM AD KS JH RW.

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