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Crystal Polymorphs of Barbital: News about a Classic Polymorphic System

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Supporting Information

ABSTRACT: Barbital is a hypnotic agent that has been intensely studied for many decades. The aim of this work was to establish a clear and comprehensible picture of its polymorphic system. Four of the six known solid forms of barbital (denoted I^0 , III, IV, and V) were characterized by various analytical techniques, and the thermodynamic relationships between the polymorph phases were established. The obtained data permitted the construction of the first



semischematic energy/temperature diagram for the barbital system. The modifications I^0 , III, and V are enantiotropically related to one another. Polymorph IV is enantiotropically related to V and monotropically related to the other two forms. The transition points for the pairs I^0/III , I^0/V , and III/IV lie below 20 °C, and the transition point for IV/V is above 20 °C. At room temperature, the order of thermodynamic stability is $I^0 > III > V > IV$. The metastable modification III is present in commercial samples and has a high kinetic stability. The solid-state NMR spectra provide information on aspects of crystallography (viz., the asymmetric units and the nature of hydrogen bonding). The known correlation between specific N–H…O=C hydrogen bonding motifs of barbiturates and certain IR characteristics was used to predict the H-bonded pattern of polymorph IV.

KEYWORDS: barbital, thermal analysis, crystal polymorphism, IR spectroscopy, Raman spectroscopy, solid-state NMR spectroscopy, *X-ray powder diffraction, thermodynamics, phase transition*

INTRODUCTION

Barbital (Btl; 5,5-diethyl-2,4,6(1H,3H,5H)-pyrimidinetrione or 5,5-diethylbarbituric acid; Scheme 1)¹ was the first commer-

Scheme 1. Molecular Structure of Btl and Atom Numbering Scheme



cially available barbiturate, which was applied as a sedative and hypnotic drug during the first half of the 20th century. This compound was marketed as tablets under the brand name Veronal (Bayer) and was also used in combination with other drug compounds. Because of its disadvantages² (tolerance development, addiction potential, and lowering of blood pressure, heart rate, and respiration) and its high potential for abuse and fatalities, it has been replaced by alternative medications, in particular benzodiazepines. Btl is only rarely applied in human drug therapy today but is still listed in pharmacopoeias (e.g., in the European and in the Japanese Pharmacopoeias). It is used as a buffer substance and as a model or reference compound in various research disciplines in medicine, pharmacy, and chemistry. The global annual manufacture of barbital of approximately 100 tons accounts for 4% of the total production of barbiturates (2009), and within this group it is exceeded only by phenobarbital (77%), butalbital, and pentobarbital.³

Previous reports on the crystal polymorphism of Btl are listed in Table 1, including the methods employed and the forms examined. In this study, a nomenclature system will be applied where Roman numerals indicate the order of decreasing melting points (Kofler notation). The thermodynamically most stable polymorph at 25 °C is additionally indicated by a superscript zero, i.e., forms I⁰ to VI. The correlation between this and alternative nomenclature systems used by other authors is given in Table S1 (Supporting Information).

Polymorphs I⁰, III, and IV were first reported by Fischer and Kofler in 1932,⁴ who reported their production, morphologies, thermal behavior, and melting points. In 1939, Lindpaintner found a new metastable form (V) by sublimation.⁵ The PXRD patterns of the polymorphs I⁰, III, IV, and V were reported by Huang.⁶ Cleverley and Williams recorded the infrared spectra

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Table 1. Studies on Solid Forms of Btl

author(s)	$form(s)^a$	method(s) ^b	ref
Fischer and Kofler (1932)	I ⁰ , III , IV	optical crystallography; HSM, sublimation studies	4
Lindpaintner (1939)	I ⁰ , III, IV, V	HSM, sublimation studies, melt film preparation	5
Brandstätter (1942)	I ⁰ , III , IV	HSM, isomorphism and polymorphism,	16
Kofler (1947, 1954)	I ⁰ , III, IV, V	crystallization (solvents, melt, sublimation), enantiotropic relationship of \mathbf{IV}/\mathbf{V} in binary system studies	17
Huang (1951)	I ⁰ , III, IV, V	PXRD of impure forms; PXRD of a contact preparation	6a
Huang (1953)	I ⁰ , III, IV, V	identification of solid solutions of aprobarbital (allypropymal) III and Btl-III ("diemal")	6b
Cleverley and Williams (1959)	I^0 , III, V^c	PXRD; IR	7
Brandstätter-Kuhnert and Aepkers (1962, 1963)	I ⁰ , II, III, IV, V, VI	HSM on binary barbiturate systems	8
Nogami (1969)	I^0 , III, V	dissolution studies	12a
Craven et al. (1969, 1971)	I^0 , III, V	SXRD	11
Kuhnert-Brandstätter et al. (1971)	I ⁰ , III, IV, V	IR	10
Willis et al. (1972)	III	Raman spectroscopy	18
Kaliszsan et al. (1975)	I^0 , III, IV^c , V^c	IR; poor quality spectra, interpretation very difficult	19
Sekiguchi et al. (1975)	I^0 , III, V	dissolution tests	12b
Grabowska et al. (1976)	I^0 , III, IV^c , V^c	crystallization studies, thermal analysis, PXRD, interpretation on the basis of the data provided very difficult	13
McMullan et al. (1978)	III	SXRD from neutron data	20
Hollenbach et al. (1979–1982)	I ⁰ , III	formulation properties (compaction, dissolution etc.)	12c-h
Burger and Ramberger (1979)	I ⁰ , II, III, IV, V, VI	thermochemical data, thermodynamic relationships between forms	14
Caillet and Claverie (1980)	I^0 , III, V	discussion of the structural differences	21
Craven et al. (1982)	III	charge density study	22
Chauvet et al. (1986)	I ⁰ , III	DTA, TGA	23
Fournival et al. (1987)	I^0 , III, V	DTA, TGA	24
-		1	

^aWith reference to the nomenclature system used in this study. ^bAbbreviations: HSM, hot-stage microscopy studies; DTA, differential thermal analysis; TGA, thermogravimetric analysis; PXRD, powder X-ray powder diffraction; IR, infrared spectroscopy. ^cAssignment is doubtful.

of three Btl polymorphs.⁷ Thermoanalytical studies of binary mixtures of Btl with other 5,5-disubstituted barbiturates led Brandstätter-Kuhnert and Aepkers to the discovery of two other forms (II and VI),⁸ which crystallized only in the presence of an isomorphic additive (similar characteristics are known from five metastable forms of phenobarbital⁹). Modification II (mp 183.5 °C) forms an isomorphic series with dormin II (5-allyl-5-ethyl barbituric acid) and form II of aprobarbital (numal, 5-allyl-5-isopropylbarbituric acid). Polymorph VI (159 °C) is isomorphous with modifications I of thiothyr (5,5-diethyl-2-thiobarbituric acid) and I of inactin (thiobutabarbital, 5-ethyl-5-(1-methyl-propyl)-2-thiobarbituric acid). Having discovered these two forms and having determined their melting points, these authors decided to update the nomenclature of the known Btl forms following the Kofler notation and renamed some previously described phases.8

In 1971, Brandstätter-Kuhnert and Bachleitner-Hofmann¹⁰ reported the IR spectra of forms I^0 , III, IV, and V. The crystal structures of I^0 , III, and V were determined by Craven et al.¹¹ Other studies by various groups¹² dealing with the dissolution behavior, compaction properties, processing, etc. are not very consistent with regard to the information given on the stability and behavior of individual forms. Moreover, in many cases it is difficult for the reader to clearly identify what form was actually present, and the application of different nomenclature systems complicates this situation even more. The existence of Btl solvates is not explicitly mentioned in any of the reports, but it appears that a dimethylformamide solvate was present during the study by Grabowska and Kaliszan.¹³ A full set of thermochemical data for the forms I^0 , III, IV, and V was given by Burger and Ramberger.¹⁴ The stability of the

polymorphs and their thermodynamic relationships were evaluated on this basis, but details on how the data have been obtained were not reported.

Our interest in reinvestigating this old and classic compound stems from teaching courses on hot-stage microscopy in which Btl is a model compound for the demonstration of solid-solid phase transitions and the concomitant sublimation of different polymorphs. This investigation is part of a wider study of barbiturates as a model class for structure-property relation-ships, packing features, and isomorphism.^{9,15} The main aim of the study was to establish a clear picture of the thermodynamic relationship between the Btl forms and to largely eliminate obscurities and inconsistencies from previous reports. All previously described polymorphs of Btl were reproduced, and new solvates were discovered in a selective solvent screening program. In this contribution, we provide new analytical data, including Raman and solid-state NMR and PXRD data for the polymorphs I⁰, III, IV, and V, which can be produced without isomorphic seeding. Relationships between these forms and their thermodynamic stability are interpreted with the help of a semischematic energy/temperature diagram based on experimental thermochemical data. The metastable forms II and VI, which can be produced by isomorphic seeding, and the new solvates will be described elsewhere.

EXPERIMENTAL SECTION

Materials. Barbital was purchased from Merck (Darmstadt, D, Art. 500535; purity 99.0%). All solvents used for crystallization experiments and solvent mediated transformation studies were of analytical grade.

Instruments and Procedures. *Thermomicroscopy.* Experiments were performed with an Olympus BH2 polarization



Figure 1. Phase transformation pathways of Bbtl polymorphs.

microscope (Olympus Optical, J) equipped with a Kofler hot stage (Reichert, Vienna, A) and digital camera (Olympus ColorView IIIu).

Melt Film Preparations and Sublimation Experiments. A Kofler hot bench (Reichert, Vienna, Austria) was employed for the preparation of quench cooled melt films. After fusing a few milligrams of Btl between a glass slide and coverslip, the preparations were quickly cooled on the surface of a metal block. Sublimation experiments were performed between two glass slides separated by a spacer ring of 5-30 mm height. A series of preparations were placed side by side onto the surface of the hot bench (temperature range from 60 to $150 \,^{\circ}$ C), and the deposited sublimates on the top glass slide were further investigated with optical- or IR microscopy.

Crystallization from Solvents. A selection of 20 solvents was used for crystallization experiments, which were performed under different conditions, mainly solvent evaporation at about 20 °C, as well as a limited number of fast and slow cooling crystallization experiments from hot saturated solutions (see Table S8 in the Supporting Information). After filtration, the crystals were air-dried and analyzed with PXRD and/or IR spectroscopy and/or DSC. The unstable solvates were tested (PXRD) without prior drying.

Lyophilization. A Lyolab B LSL secfroid lyophilizer (Aclens-Lausanne, CH) equipped with a mechanical fine vacuum pump 2400 A (Alcatel, Annecy, F) was used for freeze-drying experimets.

Solvent Mediated Transformation (SMT). In a glass cylinder, the solid was suspended in water. The suspension was kept at 20 °C (thermostatted water bath) and stirred (magnetic stirrer, 900 rpm). A few milliliters of the suspension was removed at regular intervals, and the microcrystalline solid residue obtained after filtration was analyzed with PXRD and IR or Raman spectroscopy.

Differential Scanning Calorimetry (DSC). Experiments were performed with a DSC 7 instrument (Perkin-Elmer, Norwalk, Ct., USA) using the Pyris 2.0 software. Between 1 and 3 mg of sample (weighed with a UM3 ultramicrobalance, Mettler, Greifensee, Switzerland) was placed in a 25 μ L Al pan (purge gas: dry nitrogen; 20 mL min⁻¹), which was then sealed (Btl has a high propensity for sublimation). The instrument was calibrated for temperature with pure benzophenone (mp 48.0 °C) and caffeine (mp 236.2 °C). The energy calibration was performed with pure indium (mp 156.6 °C; heat of fusion 28.45 J g⁻¹). Errors quoted for temperature and enthalpy data are based on multiple (4 to 20) measurements and correspond with 95% confidence intervals.

Fourier Transform–Infrared (FT-IR) Spectroscopy. Crystal samples were prepared on ZnSe discs. In the case of the unstable form IV, a melt film was prepared between two ZnSe disks. FTIR spectra were recorded using a Bruker IFS 25 spectrometer and a Bruker IR microscope I (Bruker, Karlsruhe, D) with 15× Cassegrain objectives (spectral range 4000 to 600 cm⁻¹, resolution 4 cm⁻¹, and 100 scans).

Fourier Transform-Raman (FT-Raman) Spectroscopy. Spectra were recorded with a Bruker RFS 100 Raman spectrometer (Bruker, Ettlingen, D) equipped with a Nd:YAG Laser (1064 nm) as excitation source and a liquidnitrogen-cooled high-sensitivity Ge detector (laser power 200 mW, 64 scans per spectrum, and resolution of 4 cm^{-1}). Samples were placed on Al sample holders, except for that of the unstable form **IV** which was placed in a capillary tube.

Powder X-ray Diffraction (PXRD). Diffraction patterns were recorded with a Siemens D-5000 diffractometer (Siemens, Karlsruhe, D; theta/theta goniometer, CuKα radiation, 1.5406 Å) with a 0.15° soller slit collimator, parallel beam optic (Goebel mirror; Bruker, Karlsruhe, D), and scintillation counter (tube voltage/current, 40 kV/35 mA; scan rate, 0.005° 2θ/s; 2θ range, 2° to 40°).

Solid-State NMR Studies. Experiments on forms I^0 , III, and V were performed at the University of Durham. Carbon-13 and nitrogen-15 cross-polarization magic-angle spinning (CPMAS) spectra were obtained at 75.40 and 30.39 MHz, respectively, using a Varian Unity Inova spectrometer based on a 7.05 T Oxford Instruments superconducting magnet. The probe accepts 7.5 mm (outside diameter) zirconia rotors, which were fitted with Kel-F end-caps. A flip-back procedure was implemented. Proton decoupling at a power equivalent to a frequency of ca. 60 kHz was employed during acquisition. The MAS rates were 5 kHz. Contact times were 10 ms. The numbers of transients were generally between 200 and 800 for ¹³C and between 10 000 and 40 000 for ¹⁵N. Recycle delays

property\modification	\mathbf{I}^0	III	IV	V
$T_{\text{fus}} \left[{}^{\circ}\text{C} \right]$				
HSM (refs 6 and 28)	190	183	181	176
HSM (ref 5)	190	183	181	178
DSC (onset) ^b	189.9 ± 0.2	183.3 ± 0.3	181.2 ± 0.7	175.6 ± 0.2
$\Delta_{\text{fus}}H [\text{kJ mol}^{-1}]^b$	25.4 ± 0.1	26.8 ^c	24.8 ^c	27.3 ^c
from ref 14	25.5 ± 0.5	27.05 ^c	24.95 ^c	27.25 ^c
transformation		$\rightarrow I^0$	\rightarrow III	\rightarrow III
$T_{\rm trs}$ (exp.) [°C] ^b		140-160	100-120	110-130
$\Delta_{\rm trs} H [\rm kJ mol^{-1}]^b$		$+1.4 \pm 0.1$	-2.0 ± 0.1	$+0.5 \pm 0.2$
from ref 14		$+1.55 \pm 0.13$	-2.1 ± 0.2	$+0.25 \pm 0.05$
order of thermodynamic stability at 25 $^{\circ}\mathrm{C}$	1	2	4	3
density [g cm ⁻³] at 22 °C				
d_x (ref 13)	1.285	1.237	-	1.297
$d_{\rm exp}$ (ref 26)	1.284	1.242	-	1.303
selected IR bands [cm ⁻¹]				
ν N-H	3241, 3111	3208, 3167, 3080	3213, 3094	3256
νC=0	1749, 1711, 1700	1765, 1719, 1680	1740, 1719, 1691	1770, 1723, 1677
ν N–H out-of-plane	848	873	813	835
selected Raman bands [cm ⁻¹]				
ν C-H	2989, 2961, 2941, 2881, 2745	2985, 2955, 2943, 2925, 2869, 2739	2988, 2966, 2941, 2884, 2761	2973, 2942, 2881, 2755
νC==0	1735, 1714, 1697	1763, 1734, 1702	1731, 1687	2756,1737, 1665
δCH_3	1462	1457, 1473	1459	1464
δCH_2	1448	1449	1445	1445
$\delta O = C - N$	630	626	623	627
crystallographic data				
crystal system	trigonal	monoclinic		monoclinic
space group	$R\overline{3}$	C2/c		$P2_1$
Z/Z'	18/1	4/0.5		8/4
N–H…O=C type (ref 15e)	C-4	C-2	$C-1^d$	L-1
CSD refcode	DETBAA01 (ref 11a)	DETBAA02 (ref 11a)		DETBAA03 (ref 11b)

^{*a*}Abbreviations: T_{fus} = melting point; T_{trs} = transition temperature; $\Delta_{\text{fus}}H$ = enthalpy of fusion; $\Delta_{\text{trs}}H$ = transition enthalpy; d_x = experimental density from single crystal data; d_{exp} = experimental density from He pycnometry; Z = number of molecules in the unit cell; Z' = number of molecules in asymmetric unit. Quoted errors correspond to a 95% confidence interval. ^{*b*}This work. ^{*c*}Calculated as ($\Delta_{\text{fus}}H + \Delta_{\text{trs}}H$). ^{*d*}Inferred from characteristics of the IR spectrum.

were 2 or 5 s. The ¹³C spectra were referenced via the high-frequency signal for a replacement sample of adamantane ($\delta_{\rm C}$ = 38.4 ppm) and are reported relative to the resonance of neat TMS. The ¹⁵N spectra were analogously referenced to the resonance of neat nitromethane via the nitrate signal of ammonium nitrate ($\delta_{\rm N} = -5.1$ ppm).

RESULTS AND DISCUSSION

Preparation of the Crystal Forms. The production and transformation pathways based on thermal methods are shown in Figure 1, and essential data of the polymorphs are summarized in Table 2. A summary of the crystallization methods from solvents and typical morphologies encountered is given in Figures S1 and S2 (Supporting Information).

Form I^0 . This polymorph was prepared by the following methods: (a) annealing the commercial form III at 160 °C, (b) sublimation at 180 °C, (c) slow-cooling of the melt, and (d) solvent mediating the transformation of either form III or V (or a mixture of these forms) in water at 25 °C. The transformations $V \rightarrow I^\circ$ and III $\rightarrow I^\circ$ were complete after 15 h and 3 days, respectively. Largely phase pure form I^0 was additionally obtained by drying the acetonitrile and dimethylformamide solvates. Hexagonal needles or prisms of this form were obtained from diisopropylether, dioxane, *n*-hexane, *n*- heptane, and tetrahydrofurane by slow cooling the hot supersaturated solution. From other solvents such as water, acetone, or mixtures of these two solvents, form I^0 also crystallized concomitantly with form III and form V. Moreover, Sekiguchi et al.^{12b} obtained form I^0 by freeze-drying a dioxane solution containing 10% barbital. Nogami et al.^{12a} produced this modification by evaporating an alcohol solution of Btl to dryness and by applying a pressure of 300 to 500 MPa on crystals of polymorph III for 30 min.

Form III. Crystals of this polymorph can be recognized by their distinct prismatic morphology. Form III was most commonly encountered in solvent crystallization products but mostly along with form V and occasionally with form I⁰. Largely phase pure samples of form III were only obtained from dichloromethane, chloroform, and dimethylsulfoxide, through solvates that readily desolvate to form III on harvesting. Other solvents that yielded a higher fraction of form III were ethanol, diethylether, ethylacetate, and nitromethane. Crystals of III formed also in sublimation experiments above 100 $^{\circ}$ C.

Form IV. This polymorph can be produced on a microscopic scale by quench cooling the melt. In melt film preparations, it exists as spherulites displaying an internal broad beam structure and characteristic fissures. Fine needles of **IV** are obtained by

sublimation (Figure 2). This modification is unstable at room temperature and transforms over time into more stable forms



Sublimation

Figure 2. Polarized light micrographs of Btl crystals grown from the melt and by sublimation between 100 and 120 $^{\circ}$ C (I⁰, acicular; IV, fine curved needles; V, platelets; III, prismatic). A larger image can be found in the Supporting Information (S7).

(primarily III). This process is accelerated by mechanical stress and exposure to air.

Form V. The crystals of this metastable form are easily recognized by their rectangular, platy morphology. Phase-pure samples of form V were produced by lyophilization of an aqueous solution. In crystallization products obtained by rapid cooling of a hot saturated Btl solution in 1-propanol or acetone/water or by solvent evaporation of 1-butanol, methanol, pyridine, and ethyl acetate solutions, form V was identified as the dominant polymorph besides form III. Form V was also observed in sublimates, typically those produced below 100 $^{\circ}$ C.

Thermomicroscopy. Form I^{0} (mp 190 °C). There was no solid–solid phase transition of the acicular crystals below their melting point. Sublimation and the subsequent growth of new

needles (I^0) on the coverslip were observed at 160 °C and melting of the sample at 190 °C.

Form III (mp 183 °C). Sublimation started above 100 °C and was followed by the growth of prismatic sublimates (III) on the coverslip. The solid–solid transformation III \rightarrow I⁰ ensued at approximately 150 °C in the main sample and 160 °C in the sublimates. Crystal edges became somewhat rounded during this process, but otherwise the external crystal habit remained unchanged.

Form IV (mp 181 °C). A supercooled melt film of Btl crystallizes to either polymorph IV (after quench cooling) or polymorph I⁰ (after slow cooling). These two modifications are easily distinguishable from one another by their different appearance in polarized light (Figure 2) and different transformation behavior on heating. Both crystallize as aggregates with an internal broad beam structure, but only the crystals of form IV contained characteristic cross-cracks. Polymorph I^0 does not transform on heating, but with IV as the initial phase, there are two solid-solid transformations (Figure 3). The transition IV \rightarrow III (100–120 °C) started first at cracks and resulted in randomly oriented small crystals, which produce an irregular multicolor pattern under polarized light. The transformation III \rightarrow I⁰ occurred at 140–150 °C and produced larger sections of uniform low-order interference colors (brown, gray, and black) in the sample.

Form V (mp 176 °C). The platelets of this polymorph underwent a solid-solid transformation V \rightarrow III on heating between 120 and 130 °C. This process was indicated by a change in interference colors, but the external habit of the crystals was preserved. At 150–160 °C, transformation III \rightarrow I⁰ occurred, which was indicated by a change to brighter interference colors. An alternative preparation method for modification V from a melt film of polymorph IV was described by Kofler et al.²⁵ After removal of the coverslip from the melt film preparation, some droplets of 96% ethanol were added, yielding pyramidal grains of form III and platelets of V. This experiment was reproduced by us and demonstrates clearly that at room temperature polymorph IV is less stable than V.

Differential Scanning Calorimetry. The DSC curves for forms I^0 , III, IV, and V are shown in Figure 4. The melting endotherm and enthalpy of fusion (Table 2) of polymorph I^0 were determined directly at heating rates of 10 K min⁻¹. It was not possible to record the pure fusion peaks and enthalpies of the forms III, IV, and V directly because each of them undergoes a solid–solid transformation to a more stable polymorph below its melting temperature. However, we determined the enthalpies of the polymorphic transitions $III \rightarrow I^0$, $IV \rightarrow III$, and $V \rightarrow III$ (Table 2), and on this basis, it was possible to estimate heat of fusion values for all forms.

The transition $III \rightarrow I^0$ at around 146 °C is endothermic, indicating that the two polymorphs are enantiotropically related



Figure 3. Polarized light microphotographs of melt film preparations illustrating the transformations of Btl polymorphs $IV \rightarrow III \rightarrow I^0$.



Figure 4. DSC curves of Btl polymorphs (heating rate 10 K min⁻¹). The insert shows an enlarged section of the heating curve of form V with two endothermic transitions.

to one another. The exothermic transition $IV \rightarrow III$ occurs at approximately 110 $^{\circ}$ C and is followed by the endothermic III \rightarrow transition at about 145 °C. Even though phase-pure polymorph IV can be readily prepared as a melt film on a glass slide, it was very difficult to do the same with a sample placed in a DSC aluminum pan by means of quench cooling. The aluminum surface appears to favor the nucleation of the more stable phases so that mixtures of forms I⁰, III, and IV (rarely V) were usually obtained and Figure S3 (Supporting Information) contains a neat example for a heating curve of a sample produced by quench cooling the melt in a DSC pan, showing the melting endotherm of all four forms. Therefore, more than 60 experiments were conducted to find the ideal conditions for producing the phase-pure form IV, in which we varied (a) the sample weight (1 to 10 mg), (b) the surface temperature (20, 4, and -20 °C) of the metal block to achieve different cooling rates of the melt, (c) the relaxation time of the quench cooled and recrystallized melt samples (0, 5, 10, 30, and 60 min), (d) the melting time at 200 °C (between 5 and 60 s), and (e) the DSC heating rate (5 to 50 K min⁻¹). The main criterion for the amount of form IV was the enthalpy value obtained by integrating the exothermic transition peak (form IV to III) around 110 °C. The highest values for $\Delta_{trs}H_{IV \rightarrow III}$ were around -2.0 kJ mol⁻¹, and lower transition enthalpies $(-0.1 \text{ to } -1.8 \text{ kJ mol}^{-1})$ indicated the presence of form III and/or form I. Samples with the highest amount of form IV could finally be produced by melting a 1 mg sample within an aluminum pan (by heating on a Kofler hot bench for 20 s at 200 °C) and subsequent quench cooling on a metal block (-20)°C). The sample was then allowed to relax for 5 min before the DSC experiment was started. The endothermic transformation V→III occurred typically between 110 and 130 °C and was followed by the transition $III \rightarrow I^0$ between 145 and 160 °C (Figure 4). The presence of form III after the first transition was confirmed with IR microscopy.

The values measured by us for the heat of phase transitions agree very well with those reported by Burger and Ramberger¹⁴ (Table 2). This is somewhat surprising because convenient

computer-assisted data evaluation methods were not available to these authors, whose work relied on an analog instrument, plotter, and planimeter.

Thermodynamic and Kinetic Stability. The thermodynamic relationships between III, IV, and V were derived from the melting points of the forms, the enthalpy of fusion of form I^0 , and the experimental transition enthalpies of polymorphs III, IV, and V (Table 2) by application of the Burger-Ramberger rules,²⁶ and a semischematic energy/temperature (ET) diagram was constructed (Figure 5) based on these data.



Figure 5. Energy/temperature diagram for the Btl forms I^0 , III, IV, and V (T_{fus} melting point; *G*, Gibbs free energy; *H*, enthalpy; $\Delta_{fus}H$, enthalpy of fusion; T_{trs} transition point; $\Delta_{trs}H$, transition enthalpy; *liq*, liquid phase). The bold vertical arrows indicate experimental enthalpies, and the horizontal two-headed arrows indicate ranges of thermodynamic stability of forms V, III, and I^0 .

The heat of transition rule was crucial for the interpretation of this system because only the melting enthalpy of the highest melting form I⁰ can be measured accurately. DSC experiments showed two endothermic transitions on heating $(III \rightarrow I^0$ and $V \rightarrow III$), which indicated that III/I^0 and V/III are two pairs of enantiotropically related polymorphs. Therefore, the enthalpy curve of polymorph III runs below that of I⁰, and the curve of polymorph V below that of III. The transition $IV \rightarrow III$ is exothermic, which means that the two forms concerned are monotropically related to one another. The enthalpy of the transition IV \rightarrow III ($\Delta_{trs}H_{IV\rightarrow III} = -2.0 \text{ kJ mol}^{-1}$) is higher than that of III \rightarrow I⁰ ($\Delta_{trs}H_{III\rightarrow I} = 1.4 \text{ kJ mol}^{-1}$). Accordingly, the enthalpy curve of polymorph IV runs above that of I⁰. It follows that the order of relative enthalpies is $V < III < I^0 < IV$ (Figure S4, Supporting Information). This is also the order of the Gibbs free energies of these polymorphs at 0 K (G = H) if we consider the third law of thermodynamics (S = 0 at T = 0 K)and the Gibbs energy function (G = H - TS). By connecting the corresponding points (enthalpies at 0 K) in the ET diagram with the melting points of the individual forms (indicated by open circles on the free energy curve of the melt), four intersections (transition points) of G curves are obtained. These intersections indicate an enantiotropic relationship for each of the pairs V/III, V/I⁰, III/I⁰ and V/IV. By contrast, the two G curves for each of the pairs IV/I^0 and IV/III do not

intersect, meaning that the corresponding relationships are monotropic. According to the DSC experiments, the phase transitions associated with the enantiotropic pairs III/ I^0 and V/III are close to 145 and 115 °C, respectively, which is in agreement with their order in the ET-diagram. However, solid–solid transitions are kinetically controlled and may therefore occur far above a true (thermodynamic) transition point.

Solution mediated transformation experiments were performed to overcome the kinetic barrier of these transformations and to verify whether the point of the $III \rightarrow I^0$ transition lies above or below 20 °C. These experiments showed that the transitions $V \rightarrow I^0$ and $III \rightarrow I^0$ both occur at approximately 20 $^{\circ}$ C. These results strongly indicate that I⁰ is the thermodynamically stable form between ambient temperature and its melting point, and they are also in agreement with the findings of Burger and Ramberger.¹⁴ However, a rather different order of stability at room temperature, $V > III > I^0$, was postulated by Sekiguchi et al.^{12b} on the basis of solubility studies. These authors determined 93 $^{\circ}$ C as the transition point of the pair I 0 / III. By contrast, our solution mediated transformation experiments showed that form I^0 is indeed stable at 20 °C, and therefore, the transition point must be below this temperature. It should be noted that the findings obtained by Sekiguchi et al.^{12b} are based on solubility data with "little statistical significance."^{12b} Polymorph III is thermodynamically unstable at 20 °C, but it has a high kinetic stability. This was shown by the PXRD analysis of a 20 year old sample (original label "mod III"), which still consisted of phase-pure form III. It is not unusual that a metastable polymorph is the predominant crystallization product from organic solvents because such processes often yield the kinetic rather than the thermodynamic form. The kinetic stability of modification V is clearly lower than that of form III. Accordingly, we found that a 20 year old sample (original label "mod V") has undergone a complete transition $V \rightarrow III$. The formation of modification III instead of the most stable polymorph I^0 is a distinctive demonstration of Ostwald's rule of stages and shows again that the activation energy barrier between III and I⁰ is high.

Our finding that polymorph I^0 is the thermodynamically stable polymorph from the melting point to some temperature below 0 °C is in good agreement with earlier studies.^{14,17a} At lower temperatures, there is a window in which III is the stable form (Figure 5), and form V is the stable polymorph below this temperature interval. This is in accordance with the density rule²⁶ (the most densely packed form has the lowest free energy at absolute zero). This rule holds for polymorph V, but it would also indicate a reversal of the stability order of forms I^0 and III at 0 K, which indicates an exception to the density rule. This exception is not entirely unexpected as hydrogen bonding dominates the intermolecular interactions in the Btl polymorphs. The density differences between the Btl forms are significant (V/I, 1.5%; V/III, 4.8%; I/III, 3.3%). Nogami et al.^{12a} reported a transformation of III to the denser form I^0 at elevated pressure, which is in line with expectations. However, this is a rare example of a pressure-induced polymorphic phase transition at conditions (300 to 500 MPa) that can be readily achieved with a conventional tablet press. Interestingly, Sekiguchi et al.^{12b} did not note any phase transition when the forms I⁰, III, and V were compressed at 370, 440, and 490 MPa, respectively. However, these authors observed a transition, $V \rightarrow III$, during mechanical grinding, thought to be induced by the heat of friction.

On the basis of thermomicroscopic investigations of eutectic mixtures of barbital polymorphs with methylacetanilide, dinitrosopiperazine, and dimethylpyrone, ^{17a} Kofler determined 135 °C as the point of the polymorphic transition $V \rightarrow IV$. This is consistent with our ET diagram, which indicates a higher temperature for the $V \rightarrow IV$ transition than for the transitions $V \rightarrow III$, $V \rightarrow I^0$, and $III \rightarrow I^0$.

Powder X-ray Diffraction. The forms I^0 , III, IV, and V are readily distinguishable from one another by their PXRD patterns (Figure 6), and the experimental patterns of I^0 , III, and



Figure 6. PXRD patterns of Btl forms (experimental, solid lines; simulated on the basis of single-crystal data from ref 11, dotted lines).

V were consistent with the relevant literature data.^{6,7,11} A special preparation method was required for form IV, which transforms readily to III upon mechanical treatment. Representative diffractograms of form IV could be finally recorded from film preparations prepared on a coverslip, which then was mounted on a silicon holder. Only a rather poor quality diffraction pattern affected by preferred orientation was obtained with this design. Better diffractograms were obtained with melt preparations that were directly produced in a capillary. However, the quality of the obtained PXRD data for IV was not sufficient for the determination of unit cell parameters, but these data matched very well with reflections reported by Huang^{6a} ("form III"; no indices; PDF 00-005-0111, ICDD 2005).

Crystal Structures. The molecules of Btl and analogous barbiturates contain two NH and three C=O functional groups, which are potential bond donor and acceptor sites for hydrogen bonds, respectively. Accordingly, the aggregation of barbiturate molecules in the solid state is directed by intermolecular N-H…O=C interactions. Geometrical constraints arising from the rigidity of the pyrimidinetrione unit mean that only a few N-H…O=C-bonded topology types are

frequently observed in this class, most notably four chain types which are denoted C-1 to C-4.^{15b,e} For the classification of these topologies, one has to distinguish the O2 acceptor function from the two equivalent O4/6 functions (Scheme 1). Known N-H···O=C-bonded structures of Btl polymorphs are the ladder C-4 (form I⁰), looped chain C-2 (form III), and layer L-1 (form V) (Figure 7). Moreover, certain characteristics in the IR spectrum of polymorph IV suggest the presence of the looped chain type C-1 (see below).

The C-4 ladder in the crystal of I⁰ (space group $R\overline{3}$; one independent molecule) displays inversion symmetry. Six of these N-H···O=C-bonded structures are arranged around a crystallographic $\overline{3}$ axis and three around a 3₁ axis (Figure S6, Supporting Information). O2 and one of the two topologically equivalent O4/6 carbonyl groups are utilized in hydrogen bonding (Table 3). The C-4 structure displays a sequence of fused $R_2^2(8)$ and $R_4^4(16)$ rings (Table S2, Supporting Information).²⁷ Other examples of the C-4 type were found in polymorphs of barbituric acid,²⁸ butobarbital (soneryl),^{15a} cyclopentobarbital (cyclopal),⁹ and heptobarbital (rutonal).⁹

The Btl molecule of form III (space group C2/*c*) lies on a 2fold axis. Both O4/6 sites per molecule are employed in one N-H…O=C bond, whereas the O2 acceptor function is not used. The molecules are linked via centrosymmetric $R_2^2(8)$ rings into a looped chain of the C-2 type. This type is also present in the acetonitrile solvate of Btl²⁹ and in forms of 5-fluoro-5phenylbarbituric acid,³⁰ 6-oxocyclobarbital,³¹ and phenobarbital (form III).³² The looped chain topology C-1 is similar to the C-2 type and can be described as a sequence of two kinds of alternating $R_2^2(8)$ rings, with the first linking two molecules via two N-H…O=C4/6 interactions and the second via two N-H…O=C2 bonds.

The space group of polymorph V is $P2_1$, and its asymmetric unit contains four molecules (denoted A–D; Figure S6c, Supporting Information). In each molecule, one O4/6 carbonyl group accepts two H-bonds, while O2 and the second O4/6 site are not engaged in hydrogen bonding. The resulting N– H…O=C bonded layer structure L-1 is built up from two independent types of interlinked dimeric building blocks with a central $R_2^2(8)$ ring (A + B and C + D). N–H…O=C-bonded layers of the L-1 type were also found in crystal forms of vinbarbital,³³ heptabarbital (medomin),⁹ and nembutal (form IV).^{15g} The L-1 net is uninodal, e.g., all four independent molecules of form V have the same connectivity. This is illustrated by the comparison to a corresponding Z' = 1structure with L-1 topology (Tables S5 and S6, Supporting Information).

The common building blocks of the C-4, C-2, L-1, and C-1 structures were indentified, and their graph set representations²⁷ are given in Tables S2–S6 (Supporting Information). The following formal relationships exist between polymorphs of Btl: (a) the $C_2^2(6)$ chain with O4/6 as H-bond acceptor are present in I⁰, III, and V; (b) the $R_2^2(8)$ ring with O2 as H-bond acceptor in I⁰, IV, and V; and (c) the counterpart $R_2^2(8)$ unit with O4/6 in polymorphs III and IV (Figure 7e.) One could speculate whether these units are maintained in a polymorphic phase transition. The hydrogen bond capabilities of Btl have inspired different groups to design cocrystals with other components bearing complementary functional groups.³⁴

FTIR and Raman Spectroscopy. The distinct characteristics of the FT-IR spectra of forms I^0 , **III**, **IV**, and **V** (Figure 8) make it easy to identify the Btl polymorph in hand. Spectroscopic differences, especially in the N–H stretching



Figure 7. $(a-d) N-H\cdots O=C$ bonded structure types according to ref 15e in polymorphs of Btl. H atoms engaged in hydrogen bonding and all O atoms are represented as balls, the O2 site is indicated by an additional white circle, and the alkyl chains are omitted for clarity. (e) The common chain and ring motifs of the four types.

vibrations region at around 3200 cm⁻¹ and in the carbonyl bands at around 1700 cm⁻¹ (Table 2) arise from different N–H⁻O=C interactions. We have recently investigated the

Table 3. Geometrical Parameters for the N-H···O=C Bridges in Polymorphs of Btl Shown in Figures 7a (I^0), b (III), and d (V)^{*a*}

form	acceptor molecule	acceptor type	donor molecule	N…O [Å]	H…O [Å]	∠NHO [deg]
\mathbf{I}^0		C2		2.888	2.02	170
		C4/6 (half)		2.871	1.94	173
III		C4/6		2.876	2.03	176
V A B C D	Α	C4/6 (half)	в	2.869	1.93	173
			С	2.904	2.07	158
	В	C4/6 (half)	Α	2.913	2.08	169
			D	2.905	2.03	162
	С	C4/6	D	2.905	2.07	169
		(half)	Α	2.923	2.05	168
	D	C4/6 (half)	С	2.852	1.82	166
			В	2.916	2.17	155

^{*a*}All parameters were computed from the CSD data associated with refs 11a and 11b. The accepting carbonyl group employed in a bridge is named in the third column, i.e., C2 or C4/6 (half = only one of two available sites per molecule).



Figure 8. FT-IR spectra of the Btl forms I⁰, III, IV, and V.

general correlation between the IR characteristics of barbiturates and their N-H $^{-}$ O=C bond topologies.³⁵ Our experiments on Btl forms confirmed these earlier assertions about specific IR characteristics associated with the types C-4, C-2, and L-1.

The IR spectrum of polymorph I^0 displays one very strong and sharp N-H vibration at higher wavenumber and a weak N-H vibration at lower wavenumber. A doublet with shoulder found at higher wavenumber is due to the C=O groups. These characteristics are typical for the presence of the C-4 ladder structure and were previously found in IR spectra of heptobarbital (rutonal, forms I and II), phenobarbital (form XI), and cyclopentobarbital (cyclopal, form I).⁹

The spectrum of form III exhibits three significant N–H vibrations in addition to two strong and one weak C=O vibration, which are similar to the C-2 characteristics in the IR spectra of polymorphs III of phenobarbital^{15e} and III of nembutal.^{15g} In general, the N–H valence vibrations of modification III occur at lower wavenumbers than those of

 I^0 , which is commonly interpreted as an indication of stronger hydrogen bonds.^{14,36} This result is consistent with the solid-state NMR data (see below).

The form IV shows two N–H absorption bands of approximately equal intensity in the range of 3500-3000 cm⁻¹ and two main C==O vibrations between 1800 and 1600 cm⁻¹. Such characteristics are typically associated with the presence of the looped chain C-1. The early work of Brandstätter-Kuhnert and Aepkers contains more evidence linking the C-1 structure to form IV.^{8b} According to these authors, IV is isomorphous with probarbital (ipral, form I) as well as with butobarbital (soneryl, form I), and both were subsequently shown to contain the N–H···O==C bonded C-1 structure by single crystal structure analysis.^{9,37} In the course of an ongoing, comprehensive study of more than 50 5,5-disubstituted barbiturates, we have recorded the IR spectra of 85 solid forms and found that no less than 45% of these show characteristics that indicate the presence of the C-1 type.³⁵

Polymorph V produces one strong N–H absorption band at $\sim 2900 \text{ cm}^{-1}$ and three weak absorption bands at lower wavenumbers. The C=O groups produce three distinct absorption bands. These features are similar to IR characteristics⁹ of polymorphs of heptabarbital (medomin, form I), pentobarbital (nembutal, form IV),^{15g} and vinbarbital (form I),³³ which all contain the N–H…O=C bonded L-1 structure. The FT-Raman spectra of the Btl forms show clear

differences over almost the entire spectral range (Figure 9),



Figure 9. FT-Raman spectra of forms I⁰, III, IV, and V.

particularly in the $-CH_2-CH_3$ stretching vibrations (at around 3000 cm⁻¹), the $-CH_2-CH_3$ deformation bands close to 1465 cm⁻¹, and the C=O vibrations at around 1750 cm⁻¹ (Table 2). The most intense band between 623 and 630 cm⁻¹ arises from the symmetric "breathing" of the pyrimidine ring¹⁸ and is suitable for a quick identification of barbiturates based on Raman spectroscopy data. Differences in the low frequency bands between 50 and 200 cm⁻¹ clearly indicate the presence of fundamentally different crystal structures.

Solid-State NMR Spectroscopy. Figure 10 shows the 75.40 MHz ¹³C CPMAS spectra of three Btl forms (I^0 , III, and V), and Table 4 lists the chemical shifts. Unfortunately, the low stability of polymorph IV means it is not feasible to produce a sufficiently large amount of sample for the recording of a ¹³C CPMAS spectrum. The five chemically distinct carbon atoms



Figure 10. Carbon-13 CPMAS spectra at 75.40 MHz of the Btl forms I^0 , III, and V. The atomic numbering giving the assignments is as in Scheme 1. The samples of III and V used to obtain these spectra were slightly contaminated by the presence of polymorphs I^0 and III, respectively. The spectra shown have been "cleaned" by a subtraction procedure.

and the corresponding signals are marked with numbers as in Scheme 1. Assignment of the signals to the chemically different carbons is easy because of the very different chemical shifts. The small splittings seen for the signals from carbons 2 and 4/6arise from second-order effects caused by coupling to the quadrupolar ¹⁴N nuclei.³⁸ The spectra increase in complexity in the order III $< I^0 < V$, indicating clearly the differing contents of the asymmetric units of the three forms. When the secondorder effects mentioned above are ignored, form III gives a single signal for each chemically distinct carbon. Thus, if accidental near-equivalence of resonances is ruled out, the asymmetric unit must be half a molecule, i.e., symmetry relates the two halves of the ring and also the two ethyl groups. In contrast, the spectrum of form I^0 shows two signals for C4/6, C7/9, and C8/10, from which it can be deduced that the asymmetric unit is one molecule. The possibility that it is two half-molecules (i.e., halves of two different molecules, each of which has 2-fold symmetry) can be ruled out by the fact that the only single signals are seen for C2 and C5. The spectrum of polymorph V is significantly more complicated. There are many resonances from C7/9 and C8/10, though the other carbons give rise to fewer signals (presumably from accidental nearoverlaps). Deconvolution for the C7/9 and C8/10 bands (see Figure S5, Supporting Information) shows that the best fitting comes from the partial overlap of 8 signals each, though it is difficult to obtain unambiguous chemical shifts. It can be concluded that the asymmetric unit of form V consists of 4

Table 4. Carbon-13 and Nitrogen-15 Chemical Shifts for Forms I, III, and V

molecules. There is clearly much overlapping in the signals for carbons 2, 4, 5, and 6 (though two peaks can be distinguished for C5), indicating that environmental differences are minor in nature. All of the conclusions about asymmetric units are in accord with the crystal structures but can be made independently from NMR.

The chemical shifts for C2 and C4/6 give immediate information about hydrogen bonding since it causes highfrequency shifts. It is evident that there is hydrogen bonding at C2 for Btl-I⁰, but not for form III or V. It can also be deduced that polymorph III is hydrogen bonded at C4/6, whereas only half of the C4/6 carbons are hydrogen bondind for modifications I⁰ and V. It seems that this hydrogen bonding is particularly strong in the case of form V. These conclusions are also borne out by the crystal structures (see Table 3). In particular, half of the C4/6 carbons which are hydrogen bonded for Btl-V are involved in two such bonds each, thus causing the substantial high-frequency shift. Moreover, in this case the range of hydrogen bond lengths (Table 3) is relatively small (the 8 distances between heavy atoms are between 2.852 and 2.923 Å), so the lack of resolution is not surprising.

The ¹⁵N spectra are displayed in Figure 11, and the shifts are listed in Table 4. As expected, **III** gives rise to a single



Figure 11. Nitrogen-15 CPMAS spectra at 30.39 MHz for (left to right) barbital forms III, I^0 , and V.

resonance, whereas two signals are seen for form I^0 (representing nitrogens hydrogen-bonded to C2 and C4/6). Somewhat unexpectedly, polymorph V also produces only two lines, which is hard to explain in detail. The signals for all the forms fall within a relatively small chemical shift range (ca. 5 ppm), which is in accord with the fact that all of the nitrogens are directly involved in hydrogen bonding and that the range of distances between the participating nitrogen and oxygen atoms (Table 3) is only 0.07 Å.

The three Btl polymorphs provide an excellent example of NMR crystallography,³⁹ e.g., of the way in which NMR signals give information about intermolecular hydrogen bonds and unit-cell symmetry.

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C4/6 ^a	$C2^{b}$	C5	C7/9	C8/10	Ν
178.4/177.7	148.0	58.6	33.3	9.9	-226.7
176.5/175.7	152.7	58.8	34.8	9.6	-226.5
173.4/172.7			31.8	9.0	-228.5
180.3/179.7	149.0	58.4	$34.4 - 32.0^{\circ}$	$10.3 - 9.0^d$	-223.5^{e}
172.1/171.4		57.9			-227.1^{e}
	C4/6 ^a 178.4/177.7 176.5/175.7 173.4/172.7 180.3/179.7 172.1/171.4	C4/6 ^a C2 ^b 178.4/177.7 148.0 176.5/175.7 152.7 173.4/172.7 180.3/179.7 180.3/179.7 149.0 172.1/171.4 149.0	C4/6 ^a C2 ^b C5 178.4/177.7 148.0 58.6 176.5/175.7 152.7 58.8 173.4/172.7 180.3/179.7 149.0 58.4 172.1/171.4 57.9 57.9	C4/6 ^a C2 ^b C5 C7/9 178.4/177.7 148.0 58.6 33.3 176.5/175.7 152.7 58.8 34.8 173.4/172.7 31.8 31.8 180.3/179.7 149.0 58.4 34.4–32.0 ^c 172.1/171.4 57.9 57.9 57.9	C4/6 ^a C2 ^b C5 C7/9 C8/10 178.4/177.7 148.0 58.6 33.3 9.9 176.5/175.7 152.7 58.8 34.8 9.6 173.4/172.7 31.8 9.0 180.3/179.7 149.0 58.4 34.4–32.0 ^c 10.3–9.0 ^d 172.1/171.4 57.9 57.9 57.9 57.9 57.9 57.9 57.9

^{*a*}The doublet splittings for I^0 and III are caused by second-order effects of coupling to one ¹⁴N nucleus. This also affects the splittings of V. The true chemical shifts (in ppm) lie closer to the more intense (low-frequency) components. ^{*b*}The apparent triplet splitting arises from the second-order effects of coupling to two ¹⁴N nuclei. ^{*c*}In principle, there are 8 signals. Four maxima of differing intensities can be observed. ^{*d*}In principle, there are 8 signals. Three maxima of differing intensities, together with a shoulder can be observed. Deconvolution results are consistent with the existence of 8 signals (see Figure S5 in the Supporting Information). ^{*e*}The signals are in an approximately 3:5 intensity ratio. In principle, there should be 8 resonances.

CONCLUSIONS

The polymorphism of barbital involves many interesting phenomena, and this system highlights the problems in the generation of reliable and consistent data for solid-state forms exhibiting complex thermodynamic relationships. Even though the best accessible polymorphs (I^0 , III, and V) have been studied extensively in the past, the available literature data did not permit one to establish a clear and consistent picture of their thermodynamic and kinetic stabilities. The energytemperature diagram derived in this study now allows the clear identification of the relative stability of each form. A remarkable characteristic of this system is that the lowest melting polymorph V (i.e., the least stable at T_{fus}) exhibits the highest density and becomes the most stable form at absolute zero. Form V is therefore the polymorph with the lowest entropy and is enantiotropically related to all other forms. Modification I⁰ was identified as the thermodynamically stable form at room temperature and above, and it exhibits the highest entropy. Polymorph III can be regarded as the "kinetic form," which is primarily obtained by solvent crystallization. Therefore and because of its high kinetic stability, this polymorph is present in most commercial products. As the energetic differences between I⁰ and III are small, these two forms show similar solubility in water so that it may be irrelevant which of them is used for the preparation of drug products. For industrial purposes (processing), their differences in other parameters such as density and morphology may be more relevant, and their different solid-state spectra are definitely relevant for identification assays employing spectroscopic techniques. This concerns, for example, the common infrared spectroscopy test in pharmacopoeias. Interestingly, barbital is one of only a few compounds where the European Pharmacopoeia specifies the determination of a mixed melting point as an identity test. Other highlights of this polymorphic system are (a) the exception to the density rule regarding forms I^0 and III, (b) the observation^{12a} of a pressure induced transformation $III \rightarrow I^0$, and (c) the formation of concomitant polymorphs⁴⁰ by sublimation and in solvent crystallization processes.

The high thermal stability of Btl enables crystallization approaches such as melt crystallization and sublimation, which are rarely applied in polymorph screening⁴¹ programs in contrast to solvent based crystallization techniques. As has been demonstrated in many past and recent studies, these methods are very successful in generating new metastable poly-morphs,^{15b,g} producing single crystals for crystal structure analysis^{42,43} or suitable seeds for the subsequent crystallization of a specific polymorph from solvents.⁴⁴ Moreover, microscopic melt crystallization techniques can be successfully employed in cocrystal screens^{45,46} and allow the quick evaluation of the characteristics of a binary system, i.e., to ascertain whether two compounds form only a simple eutectic, a solid solution or a molecular compound (which is usually meant by the term cocrystal). Many pharmaceutically relevant coformers melt without or with only weak thermal decomposition, and the lack of solvent effects may simplify experimental efforts in cocrystal screens compared to solvent based approaches. Additionally, microscopic melt crystallization techniques require only a small amount of substance and may enhance the chance for detecting and isolating such binary crystals. Two forms of Btl (II and VI) were detected by melt crystallization experiments in the presence of isomorphic additives.⁸ This concept of "isomorphic seeding"9 is an intriguing alternative route for exploring the

polymorph landscape and may give access to new metastable forms, which do not appear in other crystallization experiments. If applicable, melt crystallization and sublimation (vapor deposition) offer valuable routes to different solid state forms in addition to solvent based polymorph or cocrystal screening practices, which in industry are increasingly performed with automated high throughput (HT) screening⁴⁷ technologies. Presumably, such HT-technologies would detect the most important polymorphs (I⁰, III, and V) of Btl as well as the five (or even additional) solvates, which we found in a quick solvent screen. However, the identification of polymorphs is only one step in the solid form selection process and cannot provide a crucial solid understanding of the thermodynamics and phase transition processes of those forms, which may occur under storage, stress, and/or manufacturing conditions.

This study confirms the previously established classification,⁹ linking certain characteristics in the IR spectrum of a solid barbiturate form to its specific hydrogen-bonded structure, and this scheme was also used to determine the hydrogen-bonded structure of Btl-IV. Moreover, it was shown that Raman spectroscopy is well suited to discriminate between the different forms. The solid-state NMR spectra of the Btl polymorphs I⁰, III, and V provide a neat teaching example for demonstrating how solid-state NMR signals relate to molecular and crystal symmetry.

We think that most of the contradictions concerning the stability of the relevant polymorphs of Btl have been eliminated as a result of this study. The investigated compound had been in use for more than 100 years, and it was surprising to find that the existing literature did not contain consistent data on its polymorphism. A similar situation may exist with regard to many other small organic molecules that are used in important applications and exhibit polymorphic behavior of similar complexity.

ASSOCIATED CONTENT

Supporting Information

Solvent crystallization scheme; table assigning the Btl forms to the appellations used in the literature; photomicrographs of Btl forms crystallized from solvents (characteristic morphologies); DSC curve showing melting endotherms of four polymorphs; scheme demonstrating the energetic phase transformation data for the Btl polymorphs; deconvolution of the methyl ¹³C NMR signal for Btl-V; graph set representations of N–H···O==C bonded structures C-4, C-2, C-1, and L-1; a table, listing performed solvent crystallization experiments; an enlarged image of Figure 2. This material is available free of charge via the Internet at http://pubs.acs.org.

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