Review Article

Tetramic and Tetronic Acids as Scaffolds in Bioinorganic and Bioorganic Chemistry

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Tetramic and tetronic acids are naturally occurring molecules with a variety of biological activities. In this review article, we present the general strategies for the synthesis of these compounds and we reveal the functionalized groups that are responsible for their properties. We also set out their coordinating modes with up-to-date bibliographical references.

1. Introduction

Tetramic acids, pyrrolidine-2,4-dione derivatives, are naturally occurring molecules synthesized by numerous organisms and found in a variety of natural products [1, 2]. This class of five membered heterocycles has attracted significant attention due to the broad range of biological activities they exhibit. This activity comprises of antibiotic and antiviral, cytotoxicity, mycotoxicity, as well as inhibition of the cell cycle. Various examples of tetramic acid derivatives isolated from the nature are streptolydigin which inhibits RNA polymerase [3], the melophlin family of compounds which have shown antimicrobial activity [4], equisetin and its homologue trichosetin with inhibitory activity against Gram positive bacteria [5, 6], and reutericyclin which exhibits a wide range of pharmacological activities [7, 8]. In addition, a series of derivatives have been patented by Bayer CropScience as ingredients for fungicidal and herbicidal use [9].

On the other hand, tetronic acids, 4-hydroxy-[5H] furan-2-ones, are compounds with antibiotic, antiviral, antineoplastic, and anticoagulant activity [10, 11]. Compounds which have been isolated from natural products and exhibit such activity are tetronasin [12], RK-682 [2, 13], the wellknown family of compounds named vulpinic acids [14, 15] and many others.

For a long time, we have been involved in the chemistry of tetramic and tetronic acids and the design of new strategies

for the preparation of small heterocyclic molecules. Their synthesis has been accomplished based on a similar strategy starting from the appropriate precursors, suitably protected α -amino acids for tetramic and α -hydroxy acids for tetronic acids, using the N-hydroxybenzotriazole methodology for the synthesis of their active esters.

2. Synthesis of Tetramic Acids

Owing to the importance of tetramic acid derivatives, numerous approaches to their synthesis have been developed. They mainly make use of amino acid-derived precursors whose stereochemical integrity remains more or less conserved in the structure of the products. Significant studies on the synthesis of such optically active compounds have been made by Ley et al. [16] who used a series of β -ketoamides as intermediates for the preparation of enantiomerically pure 3-acyl tetramic acids, based on the Lacey methodology for the synthesis of tetramic acids by N-acylation of α -amino acids (Scheme 1).

On the other hand, Andrews et al. [17] provided an Nacyloxazolidine derivative of L-serine as a suitable precursor for the construction of chiral substituted tetramic acids with high enantiomeric excess. Other methodologies based on the enantioselective Lacey-Dieckmann cyclization, requiring strongly basic conditions, have also been reported [18, 19]



SCHEME 1: Synthesis of optically active tetramic acids by Leyet al. [16].

whereas Jouin and coworkers have proposed the use of Meldrum acid in the presence of isoprenyl chloroformate and DMAP reagents [20]. Recently,Schobert and Jagusch proposed an expedient synthesis of tetramic acids from α -amino esters, in which the cyclization route involved a domino addition-Wittig alkenation reaction with immobilized triphenylphosphoranylidene ketene under neutral nonracemizing conditions [21]. Acylation to 3-acyltetramic acids was then performed with the appropriate acyl chloride and boron trifluoride-diethyl etherate under microwave irradiation. This route was followed in the synthesis of natural products like reutericyclin (Scheme 2).

Our first attempt to use N-hydroxybenzotriazole in the synthesis of heterocyclic compounds was made in the field of tetramic acids [22]. We applied the "one-pot" synthetic strategy which comprises of a C-acylation reaction between the N-hydroxybenzotriazole ester of the appropriate optically active amino acid 1 and diethyl malonate 3. When the product was not the corresponding tetramic acid 4–6 but the C-acylation compound A, a cyclization reaction under basic conditions was performed to afford the corresponding tetramic acid 7–9 (Scheme 3).

The crucial parameter on the synthesis of the N-acylated-3-ethoxycarbonyl tetramic acids 4-6 or N-H-3-ethoxycarbonyl tetramic acids 7-9 is the molar ratio between the N-acylated amino acid 1 and diethyl malonate 3. We observed that when diethyl malonate 3 was used in molar excess (2 equiv.), the oily product containing the C-acylation compound A and diethyl malonate 3 was obtained. On the other hand, when diethyl malonate 3 was used in sto-ichiometric ratio (1 equiv.), the N-acetyl-3-ethoxycarbonyl tetramic acids 4-6 were obtained as white solids. The enantiomeric purity of the final products was tested by HPLC and the results were in the range 82%-96%ee.

These results indicate the success of the proposed methodology to maintain the stereochemical integrity of the corresponding α -amino acids. Another advantage of the proposed methodology is that there is no need for isolating the intermediate N-hydroxybenzotriazole esters of the chiral α -amino acids, in contrast to previously described methodologies. This fact reduces the time for the synthesis of the desired products and is beneficial for the overall yield of the reaction (45%–75%). Therefore, the reaction is simple, inexpensive, easily scaled up and proceeds with low racemization.

3. Synthesis of Tetronic Acids

Given our interest on the synthesis of tetramic acids and their coordination compounds, we have oriented our interest on the chemistry of tetronic acids.

In the literature, there are a number of reliable methods for the synthesis of such derivatives. Several methodologies include Dieckmann cyclization [23], cycloaddition [24], oxidation [25], Wittig-Claisen [26], lactonization [27], and enzymatic reactions [28]. A few years ago, the synthesis of 3-acyl-5-methoxycarbonyl tetronic acids has been reported from our research group [29]. A new strategy for the synthesis of functionalized tetronic acids was developed by Schobert and coworkers [30], applying the "domino" process, which comprises of the reaction between the esters of α -hydroxy acids and the cumulated phosphorus ylide ketenylidenetriphenylphosphorane (Scheme 4).

In addition, the one pot synthesis of 3-aryl- unsubstituted, mono- and disubstituted at 5 position of the heterocyclic nucleus tetronic acids, as well as three natural vulpinic acids have been recently studied byMalligner and



SCHEME 2: Synthesis of reutericyclin by Schobertand Jagush [21].

coworkers based on a tandem transesterification/Dieckmann condensation reaction (Scheme 5) [31].

As a logical extension of our previous efforts on the synthesis of small heterocyclic compounds, we decided to investigate the condensation reaction of N-hydroxybenzotriazole esters of O-protected α -hydroxy acids and active methylene compounds bearing appropriate substituents suitable for preparing highly functionalized tetronic acid derivatives with pharmacological interest [32]. Generally, a definite shortstep methodology for producing chiral 3-substituted tetronic acids or their γ -hydroxy ester precursors via a C-acylation reaction between the N-hydroxybenzotriazole ester of an appropriate O-protected- α -hydroxy acid and the desired active methylene compound was accomplished (Scheme 6).

The proposed strategy comprises of a C-acylation reaction between an active methylene compound 3 and the Nhydroxybenzotriazole ester of the appropriate O-protected- α -hydroxy acid 1. In cases where the main product of the C-acylation reactions were the functionalized 4-acetoxy-3hydroxybutenoates 7-14, we used these y-hydroxy esters for the preparation of the corresponding tetronic acid derivatives 15-21 under acidic conditions (MeOH, 10%) HCl). At this point, it is important to notice that the lactonization of the β -hydroxybutenoates proceeded without racemization of stereogenic centers at C-5. One first remark in our proposed synthetic route is that only the O-acetylglycolic acid 1a gave the corresponding tetronic acids 4-6 via one-step reaction. In contrast, S-mandelic acid 1b, α hydroxyisobutyric acid 1c, L- α -hydroxyisovaleric acid 1d, and L- α -hydroxyisocaproic acid 1e gave the corresponding *y*-acetoxy- β -hydroxybutenoates 7–14 as oily products. These intermediates were treated with 10% HCl in MeOH at room temperature for 24 or 48 hours to afford the corresponding tetronic acids 15–21. Additionaly, the tetronic acids 15, 16, and 18–21 have been found to be optically active as shown by their optical rotations. This observation is in full accordance with the results obtained in the synthesis of tetramic acids [22].

4. Tetramic Acids as Quorum Sensing Molecules

The survival of microorganisms may contain the mechanism of eliminating the presence of other such organisms through the destruction of their transmembrane permeability. In such a context, the scientific team of D. K. Janda has extensively studied the role of 3-oxo-dodecanoyl homoserine lactone (3-oxo-C₁₂-HSL) in *P. aeruginosa* sp [33–35]. Based on the fact that 3-oxo-C₁₂-HSL can be easily converted to the corresponding tetramic acid (C₁₂-TA) through a nonenzymatic Claisen "internal rearrangement", the antimicrobial activity of these two compounds was examined. Therefore, 3-oxo-C₁₂-HSL has an action mainly on host cells acting as "quorum sensing" molecule (QS), whereas its conversion to the C₁₂-TA is important in order to inhibit the life of bacterial competitors. In addition, C12-TA has no inhibitory activity on mammalian cells in contrast to other tetramic acids which were developed as potent antibiotics [36, 37] and the precursor 3-oxo-C₁₂-HSL. Although the mode of action of tetramic acid remains to be established, this molecule is a potent "iron chelator" (see [35] and references



SCHEME 4: Synthesis of tetronic acids bySchobert et al. [30].

therein). Consequently, it is clear that the discovery and synthesis of tetramic acids which are derived from naturally existing "homoserine lactones" is a new challenging avenue in medicinal chemistry.

5. Tetramic Acid Coordination Compounds

Early studies on fungal toxins have demonstrated that tetramic acids tend to occur naturally as metal-chelate complexes [38]. Metal chelation by tetramic acid nucleus seems to be important for transport across membranes in biological tissues [2]. Tetramic acids possessing a 3-acyl group have the ability to chelate divalent metal ions. For instance, tenuazonic acid from the fungus *Phoma sorghina* has shown to form complexes with Ca(II) and Mg(II) [39] as well as heavier metals such as Cu(II), Ni(II), and Fe(III) [40, 41]. Furthermore, the research group ofBiersack et al. has extensively studied melophlins, a group of 3-acyl-N-methyl tetramic acids, as far as their synthesis and biological activity is concerned,and it has presented the synthesis of complexes



SCHEME 5: Synthesis of 3-aryltetronic acids as precursors for vulpinic acids by Mallinger et al. [31].



SCHEME 6: Synthesis of tetronic acids.

of melophlins with Mg, Zn, Ga, La, and Ru [42]. The chelation mode is the well-known complexation through the oxygen atom of the exocyclic carbonyl group (attached at position 3 of the heterocyclic nucleus) and the ketonic moiety of position 4 (E-isomer) or position 2 (Z isomer), respectively (Scheme 9). The biological evaluation of the new complexes showed antiproliferative activity against various cancer cells. Likewise, cyclopiazonic acid (CPA) [43] is a toxic indole tetramic acid produced by various fungi and found to inhibit SERCA (a well-studied member of the P-type ATPase family in the rabbit skeletal muscle). The way CPA works was

studied through its chelation mode with Mg(II), Mn(II), and Ca(II), and it was revealed that the bivalent way of chelation is desirable in order to enhance the cytoplasmic cation access pathway.

Our research group interest deals with the coordination capabilities of various heterocyclic compounds containing the β , β' -dicarbonyl system. Among others, we have prepared new metal complexes with pyrrolidine-2,4-dione derivatives, in order to improve their pharmacological profile by binding them to metal ions. As it was already reported in the literature [1, 2], the biological activity of some tetramic acid derivatives

significantly has been enhanced by binding to metal ions. It was found that in some cases the metal complexes obtained revealed higher biological activity than their ligands.

Over the past years, we synthesized two novel ligands based on tetramic acid core, the N-acetyl-3-benzoyl and 3-butanoyltetramic acids, with binding sites suitable for chelation of Co(II), Ni(II), Cu(II), Cd(II), and Hg(II) species [44] (Scheme 7). Starting from these ligands, complexes with 1:1 and 1:2 metal to ligand stoichiometries were prepared. The magnetic and spectroscopic properties of the Co(II), Ni(II), and Cu(II) halide and thiocyanate complexes of formula MX_2L (L = tetramic acid ligand) indicate that these contain six-coordinated metals with both bridging anions and tetramic acids. The acids appear to be bonded to the metals possibly through the nitrogen atom and a carbonyl oxygen atom and the IR spectra indicate the Cd(II) and Hg(II) complexes to be possibly tetrahedral.

The rhodium (I) complexes $[Rh(acac){P(OPh)_3}_2]$ and $[Rh(acac)(CO)PPh_3]$ (acac = acetylacetonate) in the presence of triphenyl-phosphite or phosphine, respectively, are catalyst precursors for the hydroformulation of olefins under mild conditions [45]. The substitution of acac by other chelating molecules, including the β -diketonate, moiety has been less well studied for rhodium complexes.

The preparation of rhodium(I) complexes containing the N-acetyl-3-butanoyltetramic acid (Habta) together with their structural characterization via X-ray analysis of [Rh(abta){P(OPh)₃}_2], their ¹H, ¹³C, ³¹P NMR spectra, and IR measurements have been investigated [46].

The addition of 1 equiv of Habta to a solution of $[Rh(acac)(CO)_2]$ in CH_2Cl_2 results in complete substitution of acac by abta with formation of $[Rh(abta)(CO)_2]$ which underwent displacement of CO by either $P(OPh)_3$ or PPh_3 to give $[Rh(abta)(CO)L] L = P(OPh)_3$ or PPh_3 and $[Rh(abta)\{P(OPh)_3\}_2]$.

The ¹³C NMR spectrum of [Rh(abta)(CO)₂] consists of two equally intense resonances due to rhodium carbonyls which are equivalents as a result of the asymmetry of coordinated abta. Spectroscopic data for all the abta complexes are consistent with its coordination O,O'-mode through the functionalities associated with C(4) and the acyl group at C(3) in the pyrrolidinone ring, as by X-ray crystallography. The IR spectrum of [Rh(abta)(CO)₂] showed two equally intense ν (CO) bands at 2095 and 2027 cm⁻¹ owing to the fast substitution of acac (ν CO 2085, 2014 cm⁻¹ in [Rh(acac)(CO)₂]), whereas a strong absorption in the range 1605–1612 cm⁻¹ can be attributed to a combination of the ν (CO) and ν (C=C) vibrations of coordinated data.

The 5-arylidene-3-alkanoyl tetramic acids contain important structural adjuncts, namely, an enolic β , β' tricarbonyl moiety, a lipophilic 3-alkanoyl substituent, and a hydrophobic group at the 5-position which allow them to anticipate versatile activity. Moreover, the β , β' -tricarbonyl moiety provides them with sites available for metal complexation. These properties prompted us to study the synthesis and the complexation reaction of 5-benzylidene-3-hexanoyl tetramic acid (BHTA) with the halides of Mg(II), Ba(II), and Zn(II) [47]. Interest in complexes of Mg(II) arises from the antibiotic "Magnesidin", containing the 5-ethylidene-3-alkanoyl acids with Mg(II) [48]. The structure of the novel complexes of Mg(II) and Ba(II) followed the pattern of two metal ions and three ligands in the complex structure whereas the complexation reaction with Zn(II) halide afforded a complex comprising of the metal ion and two ligands (Scheme 8). Elemental analyses and FAB MS spectra revealed structures of the formulae $Mg_2L_3(OH) \cdot 4H_2O$, $Ba_2L_3(OH) \cdot 6H_2O$, and $ZnL_2 \cdot 4.5H_2O$. In the ¹³C NMR spectra of the complex with Zn, the appearance of two signals at different values for each carbonyl carbon is the proof of the existence of two five-membered inequivalent chelate rings, whereas in the complexes of Mg and Ba the NMR spectra exhibit three resonances for the carbonyl carbons. These signals are not equally intense, an indication for the presence of three tautomers which are interconverted by a relatively slow metal-oxygen dissociation-association process on the NMR time scale.

The structural investigation of the metal 5-benzyliden-3-alkanoyl tetramic acid is important to analyze both the ligating abilities of tetramic acids and the effects of coordination on the conformation of the HL/L^- molecules.

It is well-known that N and O play a key role in the coordination of metals at the active sites of numerous metallo-biomolecules. Therefore, a number of Cu(II), Co(II), Ni(II) and Zn(II) acetate complexes containing the enolate N-acetyl-3-butanoyltetramic acid and its phenylhydrazone derivative analogues were studied [49]. The reaction in 1:1 ratio afforded complexes of the general formula M(OAc)(L-H)·H₂O whereas the reaction in 1:2 ligand to metal ratio gave complexes of the formula M(L- H_{2} , $\gamma H_{2}O$. The way the ligand is complexed to the metal ion was proved by X-ray analysis of the crystals obtained from the reaction of the ligand with $Cu(OAc)_2 \cdot H_2O$. The enolate of the ligand is complexed through the oxygen atoms of the hydroxyl group of position 4 and the carbonyl oxygen of the acyl moiety attached at position 3 of the heterocyclic ring. In this complex, copper possibly adopts a slightly distorded octahedral coordination geometry. The reaction of the ligand with Zn(II) acetate in 1:1 and 1:2 ratio, respectively, gave complexes where the depronotaded ligand was further deacylated at the nitrogen atom in the first situation but not in the second one. In addition, a new ligand was then synthesized, the phenylhydrazone of the previously used tetramic acid (Scheme 9), and its complexes with Cu(II) and Co(II) in 1:1 and 1:2 ratio were formed. The structures exhibited the general formulae M(OAc)(L-H) and M(L-H)₂, respectively, as described for the tetramic acid. In contrast to the situation with Zn(II) acetate, the reaction of the phenylhydrazone of tetramic acid with $Zn(OAc)_2 \cdot 2H_2O$ irrespective of the metal to ligand ratio afforded Zn(OAc)(L-H) containing the deacylated ligand.

Finally, the solid state structure of $[Cu(abta)_2 (py)_2] \cdot 2H_2O$ has been determined by single crystal X-ray diffraction. It shows that copper adapts a slightly distorted octahedral coordination geometry with ligand adopting an O,O' mode of coordination via the functionalities associated with C4 and the acetyl group at C3 in the pyrrolidine ring.

New platinum (II) complexes containing 3-alkanoyl tetramic acids have shown to exhibit a broad spectrum





M = Mg, Ba

SCHEME 8: Metal complexes of 5-benzylidene-3-acyl tetramic acids.

of biological properties. Although the synthesis and the antitumor activity of these complexes is mentioned in two patents [50, 51], no details are given concerning the structure of the complexes. There is a large body of experimental evidence suggesting that the success of platinum complexes in killing tumor cells results from the ability to damage DNA by forming various types of covalent adducts [52, 53]. Encouraged by promising chemotherapeutic properties of "cisplatin" complexes, we investigated the coordination ability of N,3-diacetyl tetramic acid (Hata) with

cis-(NH₃)₂PtCl₂, (dach)PtCl₂, (en)PtCl₂ and K₂PtCl₄. The structure of the isolated complexes was investigated by means of IR, NMR, ESI-MS Spectroscopy, and molar conductivity measurements [54]. The pattern of complexation of the deprotonated ligand follows possibly the known bidentate mode through the oxygen atoms of the 3-acyl moiety and the hydroxyl group of position 4 of the heterocyclic nucleus (Scheme 10). The coordination sphere around Pt(II) can be described as distorted square-planar and the stability of the ligand in its complexation ability remains except



SCHEME 9: N-acetyl-3-butanoyl tetramic acid and its phenylhydrazone derivative.



SCHEME 10: The cationic diamineplatinum tetramic acid complexes.



SCHEME 11: Pd complexes of substituted tetramic acids.

for the situation of performing the NMR experiments in DMSO-d₆ in which the ligand is fully replaced by the solvent molecules in the metal complex. These complexes have similarities with complexes between alkanoyl tetramic acids and Pt(II) which were patented since they exhibited interesting biological activities. In this context the structure evaluation of our complexes is very useful in order to perform structure-activity relationship experiments with the previous complexes.

The ability of N-acetyl-3-butanoyltetranic acid (Habta) enolate ligand to substitute acetylacetonate from [Rh(acac) $(CO)_2]$ prompted us to study the progressive displacement of acac from $[Pd(acac)_2]$ complexes which occurs on reaction with different tetramic acids [L = N,3-diacetyl (Hata), Nacetyl-3-butanoyl (Habta), and N-acetyl-3-ethoxycarbonyl(Haceta)] [55]. In the first two situations (3-acyl and 3butanoyl tetramic acids), the displacement afforded complexes of the general formulae <math>[Pd(acac)(L-H)] in 1:1 and 1:2 ratio of reaction, but in the situation of 3ethoxycarbonyl tetramic acid the only isolated complex was





SCHEME 12: Metal complexes of substituted tetronic acids.

[Pd(acac)(L-H)] even in 1:4 ratio of reaction. On the other hand, the reaction of all the above tetramic acids in aqueous solution of K₂[PdCl₄] gave complexes of the general formula $[Pd(L-H)_2]$. The study of the structure of complexes with NMR Spectroscopy showed that there is only one isomer in complexes [Pd(acac)(L-H)] whereas in complexes $[Pd(L-H)_2]$ two isomers are apparent, which are evaluated as the "*cis*" and "*trans*" isomers based on the possible bidentate complexation of the ligand through the oxygen atoms of the pyrrolidine nucleus (Scheme 11). Addition of a Lewis base, such as pyridine, to a chloroform solution of $[Pd(abta)_2]$, forms a Lewis base adduct, $[Pd(py)_4(abta)_2]$ which has been characterized by X-ray analysis and shown to contain a square planar Pd(py)_4 group with *trans*-monodentate weeky bonded abta groups.

6. Tetronic Acid Coordination Compounds

The coordination mode of tetronic acids is a research field with great interest and many examples in the recent literature can be found. Complexes of tetronic acids with Cu(II) have been synthesized and their biological activity was elucidated [56], whereas a number of complexes of 3-acyl tetronic acids with Pd(II) and Pt(II) have also been reported [57, 58]. Finally, complexes with several metal ions have proved the existence of 1:2 or 1:3 ratios (metal:ligand) either by conductometric or pH-metric titrations [59] or by X-Ray crystallographic analysis [60].

The complexation mode of 3-ethoxycarbonyl tetronic acid (L = HETA) with acetates and chlorides of Cu(II) and Co(II) was studied based on measurements of magnetic susceptibility and EPR Spectroscopy [61]. The complexes isolated were Cu(OAc)(L-H), Cu₂(OAc)₂(L-H)₂(H₂O)₂, Cu(L- $H_{2}(H_{2}O)_{2}^{\prime}$ and $Co_{2}(OAc)_{2}(L-H)_{2}(MeOH)_{2}$ (Scheme 12). The isolated complexes of Cu(II) and Co(II) acetates with HETA in 1:1 ratio have a possible octahedral stereochemistry with bidentate coordination mode through O(4) and O(6) of the tetronate ring as indicated by the shift to lower wavenumbers of the lactone and diketone characteristic bands. In addition, magnetic susceptibility measurements showed that no reduction to Cu(I) occurred whereas the result for Co(II) complex gives evidence of octahedral stereochemistry. The chloride Cu(II) complex with HETA in 1:2 ratio has a possible octahedral stereochemistry, whereas the exclusion of dinuclear species was achieved through EPR measurements. However both Cu(II) compexes showed the presence of two sets of EPR signals indicating an unhomogenity of centers; some of them point to a mononuclear structure, while the others adopt a dinuclear structure. Moreover, EPR studies for these compounds showed the possible mononuclear and dinuclear structures, respectively. In summary, we have prepared a plausible model for the copper, cobalt β , β' -tricarbonyl coordination compounds. Our proposed model may help define some of the unusual features associated with copper and cobalt metallobiochemistry.

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