







# Hemoglobin $A_{1c}$ and Mortality in Older Adults With and Without Diabetes: Results From the National Health and Nutrition Examination Surveys (1988–2011)

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## **OBJECTIVE**

Hemoglobin  $A_{1c}$  (HbA $_{1c}$ ) level has been associated with increased mortality in middle-aged populations. The optimal intensity of glucose control in older adults with diabetes remains uncertain. We sought to estimate the risk of mortality by HbA $_{1c}$  levels among older adults with and without diabetes.

### RESEARCH DESIGN AND METHODS

We analyzed data from adults aged  $\geq$ 65 years (n = 7,333) from the Third National Health and Nutrition Examination Survey (NHANES III) (1998–1994) and Continuous NHANES (1999–2004) and their linked mortality data (through December 2011). Cox proportional hazards models were used to examine the relationship of HbA<sub>1c</sub> with the risk of all-cause and cause-specific (cardiovascular disease [CVD], cancer, and non-CVD/noncancer) mortality, separately for adults with diabetes and without diabetes.

### **RESULTS**

Over a median follow-up of 8.9 years, 4,729 participants died (1,262 from CVD, 850 from cancer, and 2,617 from non-CVD/noncancer causes). Compared with those with diagnosed diabetes and an  $HbA_{1c}$  <6.5%, the hazard ratio (HR) for all-cause mortality was significantly greater for adults with diabetes with an  $HbA_{1c}$  >8.0%. HRs were 1.6 (95% CI 1.02, 2.6) and 1.8 (95% CI 1.3, 2.6) for  $HbA_{1c}$  8.0–8.9% and  $\geq$ 9.0%, respectively (P for trend <0.001). Participants with undiagnosed diabetes and  $HbA_{1c}$  >6.5% had a 1.3 (95% CI 1.03, 1.8) times greater risk of all-cause mortality compared with participants without diabetes and  $HbA_{1c}$  5.0–5.6%.

# CONCLUSIONS

An HbA $_{1c}$  >8.0% was associated with increased risk of all-cause and cause-specific mortality in older adults with diabetes. Our results support the idea that better glycemic control is important for reducing mortality; however, in light of the conflicting evidence base, there is also a need for individualized glycemic targets for older adults with diabetes depending on their demographics, duration of diabetes, and existing comorbidities.

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Studies in middle-aged adults report that higher levels of glycated hemoglobin (HbA<sub>1c</sub>) are associated with an increased risk of mortality among individuals with diabetes (1). In a nationally representative sample of adults 20 years of age and older from the National Health and Nutrition Examination Survey (NHANES), higher levels of HbA<sub>1c</sub> were associated with a higher risk of all-cause and cause-specific (e.g., cardiovascular disease [CVD] and cancer) mortality (1). However, few studies have had sufficient data to assess the association between  $HbA_{1c}$  and mortality in an exclusive sample of adults aged 65 years and older with and without diabetes, a population at potentially higher risk of adverse diabetes-related complications.

In the late 1990s, the UK Prospective Diabetes Study (UKPDS) showed a reduction in microvascular complications (e.g., neuropathy and retinopathy) associated with intensive glucose lowering (2,3), which led to the recommendation of more intensive treatment to lower  $HbA_{1c}$  (i.e.,  $HbA_{1c}$  <7.0%) in adults with diabetes. However, in the late 2000s, the Action to Control Cardiovascular Risk in Diabetes (ACCORD) Study Group found that very intensive therapy (i.e.,  $HbA_{1c} < 6.5\%$ ) resulted in increased mortality over 3.5 years (4). The Veterans Affairs Diabetes Trial (VADT) also found that very intensive glucose lowering did not reduce mortality or diabetes-related complications (5). Post hoc analyses of these and other trials have also shown benefits with respect to cardiovascular events and mortality (6,7). Largely, data on the benefits and outcomes associated with HbA<sub>1c</sub> lowering remain heterogeneous, particularly among populations of older adults (8-11). Therefore, more studies of the HbA<sub>1c</sub>-mortality relationship are needed to affirm the current clinical practice recommendations and guidelines related to the care of diabetes for older adults (12).

In 2013, the American Geriatrics Society (AGS) Expert Panel on the Care of Older Adults with Diabetes Mellitus published updated guidelines on improving the care of older adults with diabetes (13). General recommendations for glycemic control include the following: 1) target HbA<sub>1c</sub> goal between 7.5 and 8.0%, 2) target HbA<sub>1c</sub> may be lowered to between 7.0 and 7.5% if the older adult is considered healthy with few comorbidities and good functional status, and 3) target HbA<sub>1c</sub> may be increased to between 8 and 9% if the older adult has multiple comorbidities, is in poor health, or has a limited life expectancy. It is recommended that glycemic goals be individualized depending on the patient goals, life expectancy, and overall health status. Consensus panel recommendations from the American Diabetes Association (ADA), as well as the current American Association of Clinical Endocrinologists (AACE) and European Association for the Study of Diabetes (EASD) guidelines and position statements, promote the individualization of glycemic targets in older adults based on health status (14,15).

Given these recent recommendations, we sought to examine the risk of all-cause and cause-specific mortality across HbA<sub>1c</sub> levels to inform these clinical recommendations in a nationally representative sample of older adults. We analyzed data from the NHANES III (1988-1994) and Continuous NHANES (1999-2004) and their linked mortality data (through December 2011) to determine the risk of mortality by levels of HbA<sub>1c</sub> in older adults with and without diabetes.

### RESEARCH DESIGN AND METHODS

## NHANES and Linked Mortality File

The National Center for Health Statistics (NCHS) established the NHANES, a series of cross-sectional examinations that were designed to study diseases and their risk factors among community-dwelling individuals 20 years of age and older in the U.S. The NHANES examinations include interview survey questions on health and nutrition and a physical exam with laboratory testing. We analyzed data from the survey interview and physical examination within NHANES III (1988-1994, n = 33,994) and Continuous NHANES (1999–2004, n = 31,126) and their linked mortality data (through 31 December 2011). In total, 8,969 participants were 65 years of age and older from NHANES III (n = 5.252) and Continuous NHANES (n = 3,717). For this analysis, the study population was limited to adults ≥65 years of age who had available data on physician diagnosis of diabetes, oral and/or insulin medication use, and  $HbA_{1c}$  (n = 7,333).

# Diabetes and HbA<sub>1c</sub> Assessment

At the NHANES examination, participants were asked the following questions about their health and diabetes: "Have you ever been told by a doctor or other health professional you had diabetes or sugar diabetes?"; "Are you now taking insulin?"; and "Are you now taking diabetes pills?" Individuals were categorized by diabetes status (no diabetes, undiagnosed diabetes, and diagnosed diabetes) based on their response to these questions and their measured HbA<sub>1c</sub> at baseline. If participants answered no to 1) a physician diagnosis of diabetes and 2) oral and/or insulin medication use, they were categorized as not having diagnosed diabetes. These participants were further stratified into the following HbA<sub>1c</sub> categories: <5.0% (excluding participants with  $HbA_{1c} < 4.5\%$ from the analytic sample), 5.0-5.6%, or 5.7-6.4%. Individuals were classified as having undiagnosed diabetes if they met all of the following criteria: 1) no self-report of a physician diagnosis of diabetes, 2) no self-report of oral and/or insulin medication use, and 3) an  $HbA_{1c} \ge 6.5\%$ . Older adults were considered to have diagnosed diabetes if they met any of the following criteria: 1) selfreport of a physician diagnosis of diabetes or 2) self-report of oral and/or insulin medication use. These individuals were further stratified into the following HbA<sub>1c</sub> categories: <6.5% (excluding participants with  $HbA_{1c} < 4.5\%$  from the analytic sample), 6.5-6.9%, 7.0-7.9%, 8.0–8.9%, or ≥9.0%.

# **Mortality Outcomes**

Ascertainment of mortality in NCHS, which includes those survey participants from NHANES, was based on a probabilistic record match between participants in NHANES and the National Death Index (NDI) death certificate records (16). Briefly, the NDI is an NCHS centralized database of all deaths in the U.S. beginning in 1979. Several sources are used to determine vital status, including linkages with the U.S. Social Security Administration and/or through active follow-up of survey participants. Mortality outcomes of interest in this analysis include allcause and cause-specific (CVD, cancer, and non-CVD/noncancer), based on ICD-10 codes defined in NHANES.

# Statistical Analyses

An initial descriptive analysis utilized  $\chi^2$ and ANOVA tests to examine significant differences in baseline demographic and disease characteristics across levels of HbA<sub>1c</sub> and diabetes status. Cox care.diabetesjournals.org Palta and Associates 455

proportional hazards regression models were used to calculate hazard ratios (HRs) and 95% CI for the association of HbA<sub>1c</sub> levels with all-cause and causespecific mortality (CVD, cancer, and non-CVD/noncancer), adjusted for potential confounders of the HbA<sub>1c</sub>mortality associations including age, sex, race/ethnicity, education, BMI, smoking status, HDL cholesterol, and hypertension. Models were run separately among 1) participants without diabetes or with undiagnosed diabetes (reference: no diabetes and HbA<sub>1c</sub> 5.0-5.6%, given a larger sample size and prior literature that suggests an increased risk of mortality for very low HbA<sub>1c</sub> levels [17]) and 2) participants with diagnosed diabetes (reference: diabetes and  $HbA_{1c}$  < 6.5%). We also performed stratified analyses for all-cause mortality by age (65-75 years vs. >75 years), sex, race (non-Hispanic white, non-Hispanic black, or Mexican American/other Hispanic), and presence of CVD. Additionally, among individuals with diagnosed diabetes only, we examined all-cause mortality by duration of diabetes and treatment modality (any oral vs. any insulin). Independent of diagnosed diabetes status, a subsidiary analysis was performed to quantify the HR of allcause mortality across all HbA<sub>1c</sub> levels (reference: HbA<sub>1c</sub> 5.0% for all comparisons). All analyses were weighted to represent the U.S. population and to account for the complex survey design. Analyses were performed using STATA 13.0 (STATA Corp, College Station, TX).

### Sensitivity Analysis

Because diabetes type is not available within the NHANES data, we are uncertain as to whether the participants with diabetes have type 1 or type 2 diabetes. To address this, we performed a sensitivity analysis excluding participants with a diagnosis of diabetes before the age of 30 years (n = 279).

### **RESULTS**

Baseline demographic and clinical characteristics of the study population are provided in Table 1 by diabetes status and HbA<sub>1c</sub> level. Participants without diabetes and with a higher HbA<sub>1c</sub> level were more often non-Hispanic black, had a higher BMI, and had lower HDL cholesterol. As expected, individuals without diabetes and in the highest category of HbA<sub>1c</sub>

(≥6.5%), who would be classified as having undiagnosed diabetes, exhibited common metabolic and sociodemographic risk factors for this group (i.e., these participants were more often non-Hispanic black and had a less than high school education, a higher CVD burden, and lower HDL cholesterol). Among those with diagnosed diabetes, there were a greater proportion of women across higher HbA<sub>1c</sub> categories. There were also a higher proportion of participants who had a less than a high school education and prevalent CVD across higher HbA<sub>1c</sub> categories. Mean HDL cholesterol was lower across higher HbA<sub>1c</sub> categories among those with diabetes. Duration of diabetes was shorter among individuals with diabetes across higher HbA<sub>1c</sub> categories (P < 0.001). Insulin use was reported more frequently among adults with diabetes in higher HbA<sub>1c</sub> categories (P < 0.001).

The median follow-up was 8.9 years. Among those participants who died (n =4,729 [64.5%], 73.7 per 1,000 personyears), 1,262 (26.7%) died due to CVD, 850 (18.0%) died due to cancer, and 2,617 (55.3%) died due to non-CVD/ noncancer related causes. Table 2 provides the unadjusted mortality rate (per 1,000 person-years) across HbA<sub>1c</sub> levels and diabetes status for all-cause and cause-specific mortality. The mortality rate increased from 77.5 per 1,000 personyears among individuals with diagnosed diabetes (n = 1.279) who have an  $HbA_{1c}$  <6.5%, to 97.1 and 104.4 per 1,000 person-years for individuals with diabetes and an HbA<sub>1c</sub> of 8.0–8.9% and  $\geq$ 9.0%, respectively. For persons with diabetes, modest mortality rate increases were observed across HbA1c levels for CVDrelated (20.0 per 1,000 person-years for  $HbA_{1c}$  <6.5% compared with 25.9 and 31.5 per 1,000 person-years for  $HbA_{1c}$  8.0–8.9% and  $\geq$ 9.0%, respectively) and cancer-related mortality (11.1 per 1,000 person-years for HbA<sub>1c</sub> <6.5% compared with 15.6 per 1,000 person-years for  $HbA_{1c}$  8.0–8.9%). The HR for allcause mortality increased significantly across HbA<sub>1c</sub> levels among older adults with and without diabetes (both P for trend <0.001). Specifically, an  $HbA_{1c} > 8.0\%$  was associated with increased risk of all-cause and causespecific mortality in older adults with diabetes. Compared with participants with diabetes and an  $HbA_{1c}$  <6.5%, the

risk of CVD mortality increased significantly only for those participants with an  $HbA_{1c} \ge 9.0\%$  (HR 2.5 [95% CI 1.1, 5.3]).

Among individuals with no diabetes or undiagnosed diabetes (n = 6,054), the HR for all-cause and non-CVD/noncancer mortality increased significantly across HbA<sub>1c</sub> levels compared with individuals with normoglycemia (HbA<sub>1c</sub> 5.0–5.6%) (Table 2). However, the HRs for CVD-and cancer-specific mortality did not differ significantly across HbA<sub>1c</sub> levels.

Stratified analyses were performed to examine possible differences in HRs for all-cause mortality by age strata (65-75 years and >75 years), sex, race, presence of CVD, duration of diabetes, and diabetes treatment modality (Table 3). The risk of all-cause mortality increased across all HbA<sub>1c</sub> categories among adults aged 65-74 years, while mortality was not significantly higher among adults aged 75 years and older across any  $HbA_{1c}$  category. Associations were stronger in non-Hispanic whites and Mexican American/other Hispanic individuals than in non-Hispanic blacks. The risk of all-cause mortality was most pronounced among females and individuals with prevalent CVD and an  $HbA_{1c} > 8.0\%$ . In this sample of older adults, duration of diabetes and diabetes treatment modality did not significantly impact the risk of mortality among individuals with diagnosed diabetes, although this finding may be confounded by indication for disease severity.

Figure 1 presents the adjusted HR of allcause mortality by HbA<sub>1c</sub>, overlaid by the population distribution of HbA<sub>1c</sub>. This figure indicates that the risk of all-cause mortality appears to increase significantly above an HbA<sub>1c</sub> of 6.5% compared with the referent HbA<sub>1c</sub> of 5.0%. The adjusted relative HRs (95% CI) for the association between HbA<sub>1c</sub> levels among individuals with and without diabetes and all-cause and cause-specific mortality (reference: no diabetes and HbA<sub>1c</sub> 5.0-5.6% for all comparisons) is provided in Supplementary Table 1. The risk of allcause mortality was significantly increased among those with diagnosed diabetes and an  $HbA_{1c}$  <6.5% (HR 1.3 [95% CI 1.0, 1.6]), HbA<sub>1c</sub> 6.5–7.0% (HR 1.6 [95% CI 1.1, 2.5]), HbA<sub>1c</sub> 7.0-7.9% (HR 1.6 [95% CI 1.2, 2.3]), HbA<sub>1c</sub> 8.0-8.9% (HR 2.2 [95% CI 1.3, 3.7]), and HbA<sub>1c</sub>  $\geq$  9.0% (HR 2.6 [95% CI 2.0, 3.4]) compared with the referent group (no diabetes, HbA<sub>1c</sub> 5.0-5.6%). The HR for CVD mortality among individuals with

Table 1-Baseline characteristics of 7,333 NHANES III (1988-1994) and Continuous NHANES (1999-2004) participants aged 65 years and older by HbA1c levels and diabetes status

|                                  |                    | No diabetes             |                         | Undiagnosed diabetes    |                    | Dia                   | gnosed diabe          | etes                  |                         |
|----------------------------------|--------------------|-------------------------|-------------------------|-------------------------|--------------------|-----------------------|-----------------------|-----------------------|-------------------------|
| Characteristic                   | <5.0%<br>(n = 381) | 5.0–5.6%<br>(n = 3,252) | 5.7–6.4%<br>(n = 2,080) | ≥6.5% ( <i>n</i> = 341) | <6.5%<br>(n = 447) | 6.5–7.0%<br>(n = 193) | 7.0–7.9%<br>(n = 272) | 8.0–8.9%<br>(n = 166) | ≥9.0% ( <i>n</i> = 201) |
| Age (years)                      | 73.5 (0.2)         | 72.7 (0.4)              | 74.0 (0.2)              | 73.8 (0.5)              | 73.7 (0.4)         | 74.2 (0.6)            | 72.7 (0.5)            | 72.5 (0.6)            | 72.5 (0.6)              |
| Female sex*                      | 59.1               | 54.4                    | 57.6                    | 44.0                    | 57.8               | 56.1                  | 49.4                  | 53.5                  | 65.8                    |
| Non-Hispanic black*              | 4.4                | 7.3                     | 9.2                     | 16.4                    | 11.1               | 14.5                  | 9.7                   | 13.5                  | 14.1                    |
| Less than high school education* | 33.3               | 37.0                    | 41.5                    | 41.8                    | 41.5               | 45.6                  | 46.2                  | 43.7                  | 52.5                    |
| Current smoker                   | 20.3               | 25.3                    | 25.0                    | 17.9                    | 18.1               | 16.4                  | 21.7                  | 7.4                   | 16.7                    |
| Cancer                           | 23.7               | 24.5                    | 22.9                    | 22.4                    | 24.0               | 16.4                  | 19.6                  | 22.7                  | 23.7                    |
| CVD*                             | 26.1               | 31.5                    | 31.0                    | 33.8                    | 42.5               | 48.8                  | 47.0                  | 52.4                  | 58.1                    |
| BMI (kg/m <sup>2</sup> )*        | 26.5 (0.1)         | 25.9 (0.3)              | 27.6 (0.2)              | 29.4 (0.3)              | 29.4 (0.4)         | 30.3 (0.7)            | 29.3 (0.5)            | 30.0 (0.6)            | 29.6 (0.7)              |
| HDL cholesterol (mg/dL)*         | 55.5 (0.5)         | 56.4 (1.4)              | 51.0 (0.5)              | 45.9 (1.2)              | 50.3 (1.1)         | 48.9 (1.2)            | 44.3 (1.3)            | 46.2 (1.4)            | 47.1 (1.7)              |
| Diabetes duration (years)*       |                    |                         |                         |                         | 30.6 (2.7)         | 32.8 (2.3)            | 25.1 (1.7)            | 19.1 (2.7)            | 19.1 (1.6)              |
| Oral diabetes medication user    |                    |                         |                         |                         | 52.4               | 65.3                  | 58.2                  | 63.2                  | 55.0                    |
| Insulin user*                    |                    |                         |                         |                         | 15.8               | 23.1                  | 38.7                  | 37.0                  | 53.0                    |

diagnosed diabetes was only significant at an HbA<sub>1c</sub> of  $\geq$ 9.0% (HR 3.2 [95% CI 1.8, 5.7]) when compared with individuals without diabetes and with an  $HbA_{1c}$  between 5.0 and 5.6%. Cancer mortality risk increased significantly at an HbA<sub>1c</sub> between 8.0 and 8.9% (HR 2.7 [95% CI 1.3, 5.6]) only. The risk of non-CVD/noncancer mortality was significantly increased among those with diagnosed diabetes and  $HbA_{1c}$  <6.5% (HR 1.7 [95% CI 1.3, 2.3]), HbA<sub>1c</sub> 6.5–7.0% (HR 2.1 [95% CI 1.2, 3.5]), HbA<sub>1c</sub> 7.0-7.9% (HR 1.8 [95% CI 1.2, 2.9]), and HbA<sub>1c</sub>  $\geq$  9.0% (HR 2.9 [95% CI 2.0, 4.4]) compared with the referent group (no diabetes, HbA<sub>1c</sub> 5.0-5.6%).

## Sensitivity Analysis

Excluding participants with a diagnosis of diabetes before the age of 30 years, we observed a significant association with increased risk of all-cause mortality at an  $HbA_{1c} \ge 9.0\%$  (HR 1.7 [95% CI 1.2, 2.5]); however, the association of HbA<sub>1c</sub> level and cardiovascular mortality was attenuated and no longer significant across HbA<sub>1c</sub> levels after this exclusion (P > 0.05). The results and inferences were similar for both mortality due to cancer and non-CVD/noncancer mortality.

# CONCLUSIONS

Results from this analysis of a nationally representative sample of adults 65 years and older showed that the risk of allcause, CVD, and cancer mortality appears

to increase significantly above an HbA<sub>1c</sub> of 8.0% among older adults with diabetes. The current recommendations for older adults with diabetes put forth by the ADA suggest a reasonable HbA<sub>1c</sub> goal of <7.5% for healthy patients with few comorbidities and intact functional abilities (18). Our findings also suggest a higher risk of mortality among subgroups of participants aged 65-75 years, non-Hispanic white or Mexican American/other Hispanic individuals, and those with prevalent CVD. Particularly for participants with diabetes and prevalent CVD, the risk of all-cause mortality increased significantly for those with an  $HbA_{1c} \ge 8.0\%$ , confirming the ADA's recommendations of a reasonable HbA<sub>1c</sub> goal of <8.0% for those patients with existing comorbidities (18). For participants without diabetes or with undiagnosed diabetes, the risk of all-cause and non-CVD/ noncancer mortality also increased with a higher HbA<sub>1c</sub> level, compared with a referent of HbA<sub>1c</sub> 5.0-5.6%. The mechanisms underlying these associations remain unclear. One explanation is that a higher HbA<sub>1c</sub> exacerbates cardiovascular complications and therefore subsequently increases the risk of death. Moreover, higher HbA<sub>1c</sub> may be a marker of suboptimal self-management, resulting in a higher risk of diabetesrelated complications, such as nephropathy and microvascular disease, that are associated with all-cause mortality (19). Other

potential mechanisms include access to health care/quality of health care, diabetes education, and progressive loss of β-cell function. Unfortunately, the limitations of the NHANES data do not allow us to examine these potential mechanisms.

In general, data on outcomes associated with glycemic control among older adults are sparse. In a retrospective cohort study of 71,092 patients with type 2 diabetes 60 years of age and older enrolled in Kaiser Permanente Northern California, a U-shaped relationship was observed between HbA<sub>1c</sub> and mortality (20). Compared with the patients with  $HbA_{1c}$  <6.0%, mortality risk was lower for HbA<sub>1c</sub> levels between 6.0 and 9.0% and higher at HbA<sub>1c</sub> ≥11.0%. In the UK General Practice Research cohort study of patients aged 50 years and older with type 2 diabetes, compared with patients with  $HbA_{1c}$  decile (median  $HbA_{1c}$  7.5% [interquartile range (IQR) 7.5, 7.6]), the adjusted HR of all-cause mortality in the lowest HbA<sub>1c</sub> decile (median 6.4% [IQR 6.1, 6.6]) was 1.52 (95% CI 1.32, 1.76) and in the highest HbA<sub>1c</sub> decile (median 10.5% [IQR 10.1, 11.2]) was 1.79 (95% CI 1.56, 2.06). Results showed a general U-shaped association, with the lowest HR at an HbA<sub>1c</sub> of  $\sim$ 7.5% (21). In a clinic-based setting, the ZODIAC-20 study researchers in the Netherlands showed that among type 2 participants with diabetes aged 75 and older with a duration of disease <5 years, an increase of 1% in care.diabetesjournals.org Palta and Associates 457

7,333 adults aged 65 years and older from NHANES III (1988–1994) and Continuous NHANES (1999–2004) through December 2011 Table 2—Relative HRs (95% CI) for the association between HbA $_{
m ic}$  cut points among individuals with and without diabetes and all-cause

Undiagnosed

diabetes ≥6.5%

Data are weighted estimates. Models are adjusted for age, sex, education, race, current smoking status, BMI, HDL cholesterol, and hypertension. \*P < 0.05. \*\*P for trend < 0.001

Non-CVD/noncancer

Rate per 1,000

person-years

42.9 (36.7, 50.0)

34.2 (32.2, 36.3)

36.1 (33.5, 38.8)

37.4 (31.3, 44.6)

46.4 (40.0, 53.8)

49.0 (38.8, 61.9)

51.6 (43.0, 62.0)

55.6 (44.3, 69.7)

59.7 (48.6, 73.4)

1.0 (0.8, 1.3)

1.0\*\* (reference)

1.2\* (1.0, 1.5)

1.6\* (1.1, 2.4)

1.0 (reference)

1.1 (0.7, 1.9)

1.0 (0.6, 1.5)

1.0 (0.4, 2.4)

1.6\* (1.01, 2.4)

Cancer mortality

Rate per 1,000

person-years

14.3 (10.9, 18.7)

11.8 (10.7, 13.0)

13.3 (11.8, 15.0)

12.8 (9.4, 17.3)

11.1 (8.2, 15.0)

1.5 (0.4, 5.2) 5.6 (2.8, 11.2)

10.4 (6.9, 15.7)

15.6 (10.1, 23.9)

13.1 (8.5, 20.4)

All-cause mortality

0.9 (0.7, 1.2)

1.0\*\* (reference)

1.2\* (1.01, 1.3)

1.3\* (1.03, 1.8)

1.0\*\* (reference)

1.2 (0.8, 1.7)

1.2 (0.8, 1.7)

1.6\* (1.02, 2.6)

1.8\* (1.3, 2.6)

 $\ge$ 9.0% (*n* = 201)

<5.0% (n = 381)

5.0–5.6% (n = 3,252)

(n = 2,080)

(n = 341)

(n = 447)

6.5-7.0% (n = 193)

7.0–7.9% (n = 272)

8.0-8.9% (n = 166)

Diagnosed diabetes

and cause-specific mortality among

5.7-6.4%

No diabetes

Rate per 1,000

person-years

75.4 (67.1, 84.7) 0.9 (0.5, 1.6)

61.8 (59.2, 64.6)

67.7 (64.1, 71.4)

70.8 (62.3, 80.5)

1.4 (0.8, 2.6)

1.0\*\* (reference)

77.5 (69.1, 86.9)

89.6 (75.3, 106.5)

83.8 (72.5, 96.8)

97.1 (81.8, 115.2)

104.4 (89.4, 121.9)

2.5\* (1.1, 5.3)

1.5 (0.6, 4.1)

1.7 (0.8, 3.4)

1.1 (0.5, 2.6)

1.1 (0.8, 1.4)

1.0 (reference)

CVD mortality

Rate per 1,000

person-years

18.3 (14.4, 23.1)

15.9 (14.6, 17.3) 1.0 (reference)

18.2 (16.5, 20.2)

20.7 (16.3, 26.2)

20.0 (16.1, 25.1)

35.0 (26.5, 46.2)

21.7 (16.4, 28.8)

25.9 (18.6, 36.1) 4.7\* (1.8, 12.6)

31.5 (23.7, 41.8)

2.4 (0.8, 6.8)

1.4 (0.6, 3.4)

0.8 (0.4, 1.6)

1.0\*\* (reference)

1.1 (0.8, 1.5)

0.8 (0.5, 1.3)

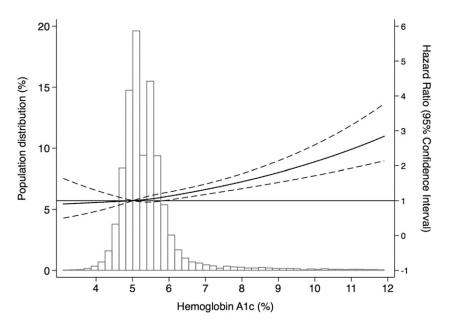
cision to aggressively treat an individual patient's glucose levels is highly nuanced and cannot be based solely on findings from studies of general populations like NHANES. A post hoc epidemiologic analysis of the ACCORD trial showed that those participants with a higher mortality in the intensive treatment arm were individuals whose HbA<sub>1c</sub> did not respond to intensive glucose management (23). A lack of positive outcomes associated with intensive glucose management, and therefore differential risk of mortality, may be a result of an individual's comorbidities and risk-factor profile. In particular, the results from one post hoc analysis of the ACCORD study highlighted the need to characterize and examine comorbid conditions when identifying risk of mortality in the setting of glycemic control, as the overall health of a participant bears a large effect on the mortality risk. Specifically, Papademetriou et al. (24) demonstrated that the excess mortality among the intensively treated group in the ACCORD study was in individuals who exhibited detectable but low levels of renal function that were not large enough to warrant exclusion from study entry. In addition to the ACCORD trial, several other studies have examined relevant factors (i.e., level of comorbidity [25], duration of diabetes [26]) that may modify the potential benefits of intensive blood glucose control. The results from ACCORD and other clinical studies affirm what has been put forth by the ADA, AGS,

HbA<sub>1c</sub> was associated with an increase in all-cause and CVD mortality risk of 51% (95% CI 17, 95%) and 72% (95% CI 19, 148%), respectively. No association between HbA<sub>1c</sub> and mortality was observed for patients with diabetes duration >5 years (10). Insurance claim information from the Sharon-Shomron District of Israel found that among 2,994 older adult participants with newly diagnosed diabetes, an HbA<sub>1c</sub> level ≥7.5% was associated with an increased risk of all-cause mortality, compared with those with HbA<sub>1c</sub> between 6.5 and 7% (HR 1.4 [95% CI 1.1, 1.6]) (22). Although informative, these studies may not be generalizable to populations of older adults at high risk for adverse outcomes. To the best of our knowledge, our study is the first nationally representative study in the U.S. to examine the associations between HbA<sub>1c</sub> and mortality specifically in older adults. In the setting of older adults, the de-

Table 3—Subgroup analysis of relative HRs (95% CI) for the association between HbA<sub>1c</sub> cut points for individuals with and without diabetes and all-cause mortality among 7,333

|                                                                                      |                                  | No diabetes                        |                                   | Undiagnosed<br>diabetes            |                                    |                                  | Diagnosed diabetes                 |                                    |                                    |
|--------------------------------------------------------------------------------------|----------------------------------|------------------------------------|-----------------------------------|------------------------------------|------------------------------------|----------------------------------|------------------------------------|------------------------------------|------------------------------------|
|                                                                                      | <5.0% (n = 381)                  | 5.0-5.6% ( $n = 3,252$ )           | 5.7-6.4% ( $n = 2,080$ )          | $\geq$ 6.5% ( <i>n</i> = 341)      | <6.5% (n = 447)                    | 6.5-7.0% $(n = 193)$             | 7.0-7.9% ( $n = 272$ )             | 8.0-8.9% ( $n = 166$ )             | $\geq$ 9.0% ( <i>n</i> = 201)      |
| Age $65-74$ years $(n = 3,964)$ $\geq 75$ years $(n = 3,369)$                        | 0.9 (0.7, 1.2)<br>1.2 (0.9, 1.6) | 1.0 (reference)<br>1.0 (reference) | 1.3* (1.1, 1.5)<br>1.0 (0.8, 1.2) | 1.4* (1.03, 1.9)<br>1.3 (0.9, 1.9) | 1.0 (reference)<br>1.0 (reference) | 1.1 (0.7, 2.0)<br>1.2 (0.7, 1.9) | 1.4 (0.9, 2.1)<br>0.8 (0.5, 1.4)   | 2.1* (1.2, 3.9)<br>1.0 (0.5, 1.9)  | 2.0* (1.0, 3.5)<br>1.5 (0.9, 2.6)  |
| Sex<br>Male ( <i>n</i> = 3,574)<br>Female ( <i>n</i> = 3,759)                        | 1.0 (0.8, 1.3)                   | 1.0 (reference)<br>1.0 (reference) | 1.1 (1.0, 1.4)                    | 1.2 (0.9, 1.7)<br>1.8* (1.2, 2.6)  | 1.0 (reference)<br>1.0 (reference) | 1.4 (0.7, 2.7)<br>1.2 (0.6, 2.2) | 1.5* (1.03, 2.3)<br>1.1 (0.5, 2.7) | 1.5 (0.8, 2.7)<br>3.0* (1.5, 6.2)  | 1.9* (1.1, 3.1)<br>1.7 (0.6, 4.5)  |
| Race/ethnicity Non-Hispanic white                                                    | 0.9 (0.6, 1.2)                   | 1.0 (reference)                    | 1.2 (1.0, 1.3)                    | 1.4* (1.1, 1.9)                    | 1.0 (reference)                    | 1.3 (0.8, 2.0)                   | 1.3 (0.8, 2.1)                     | 2.0* (1.1, 3.5)                    | 2.2* (1.4, 3.4)                    |
| (n = 4,5/0)<br>Non-Hispanic black                                                    | 1.2 (0.8, 1.7)                   | 1.0 (reference)                    | 0.9 (0.7, 1.1)                    | 1.3 (0.9, 1.9)                     | 1.0 (reference)                    | 0.7 (0.2, 2.2)                   | 0.5* (0.3, 0.8)                    | 0.7 (0.4, 1.3)                     | 1.1 (0.4, 2.6)                     |
| (n = 1, 1/6)<br>Mexican American/other<br>Hispanic $(n = 1, 302)$                    | 1.6 (0.8, 3.1)                   | 1.0 (reference)                    | 1.5* (1.1, 2.1)                   | 2.6* (1.5, 4.6)                    | 1.0** (reference)                  | 1.3 (0.7, 2.6)                   | 0.9 (0.5, 1.9)                     | 1.1 (0.5, 2.7)                     | 1.3 (0.6, 2.8)                     |
| CVD<br>Yes ( <i>n</i> = 1,383)<br>No ( <i>n</i> = 2,469)                             | 1.0 (0.5, 2.1)                   | 1.0 (reference)<br>1.0 (reference) | 1.3 (1.0, 1.8)                    | 1.2 (0.7, 2.0)                     | 1.0 (reference)<br>1.0 (reference) | 1.6 (0.8, 3.3)<br>1.3 (0.5, 3.1) | 1.3 (0.7, 2.5)<br>0.8 (0.4, 1.7)   | 4.1* (1.7, 10.0)<br>0.9 (0.2, 3.3) | 2.6 (0.8, 8.0)<br>3.9* (1.4, 11.3) |
| Diabetes duration $\leq 10 \text{ years } (n = 469)$ $> 10 \text{ years } (n = 779)$ |                                  |                                    |                                   |                                    | 1.0 (reference)<br>1.0 (reference) | 1.2 (0.6, 2.3)<br>1.1 (0.7, 1.9) | 1.7 (0.9, 3.0)<br>0.9 (0.5, 1.5)   | 1.7 (0.9, 3.2)                     | 2.0 (0.9, 4.4)<br>1.6* (1.03, 2.6) |
| Diabetes treatment modality<br>Any oral $(n = 757)$<br>Any insulin $(n = 373)$       |                                  |                                    |                                   |                                    | 1.0 (reference)<br>1.0 (reference) | 1.6 (1.0, 2.8)<br>0.9 (0.4, 2.0) | 1.2 (0.8, 1.7)<br>0.6 (0.3, 1.1)   | 1.4 (0.7, 2.8)<br>1.5 (0.6, 3.7)   | 1.5 (0.9, 2.5)<br>1.0 (0.5, 1.9)   |

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**Figure 1**—Adjusted HRs of all-cause mortality compared with the reference of 5.0% among persons with and without diabetes, by HbA<sub>1c</sub> level.

AACE, and EASD: that glycemic goals be individualized depending on the patient goals, life expectancy, and overall health status. In particular, a highlight from the ADA position statement on intensive glucose treatment asserted that "potential risks of intensive glycemic control may outweigh its benefits in other patients, such as those with a very long duration of diabetes, known history of severe hypoglycemia, advanced atherosclerosis, and advanced age/frailty" (27).

Our study is not without some limitations. Considering the cross-sectional nature of the NHANES data, we were not able to investigate the time-varying changes in HbA<sub>1c</sub> across the median follow-up of 8.9 years. Second, the smaller sample size of older adults in NHANES resulted in reduced numbers in the highest categories of HbA<sub>1c</sub> and may introduce a bias into our associations. However, this bias is expected to act on the results conservatively, therefore biasing our results toward the null. Furthermore, diabetes type is not available within the NHANES data. To address this limitation, we performed a sensitivity analysis excluding those participants within our analytic sample to those diagnosed with diabetes before the age of 30 years, and the majority of our findings were robust, with the exception of the association of HbA<sub>1c</sub> with CVD mortality. For those participants with an  $HbA_{1c}$  <5.0%, we are unable to determine whether they truly had normal

glycemic control or if they had a preexisting disease (i.e., sickle cell disease) at the time of blood draw, which may alter the red blood cell turnover and therefore the HbA<sub>1c</sub>-glucose associations due to that particular underlying disease process. Therefore, there is a possibility that inclusion of such participants may bias the results away from the null in those with very low HbA<sub>1c</sub>. Last, data are not available in NHANES to examine the prospective associations between HbA<sub>1c</sub> levels and diabetes-related complications such as nephropathy and neuropathy. Despite these limitations, there are several strengths to this study that should be noted. This study utilizes data from a nationally representative sample and, to the best of our knowledge, is the largest nationally representative study of older adults that has examined the association between  $HbA_{1c}$  and mortality. For these analyses, we made comparisons across categories of individuals without diabetes and individuals with diabetes (both undiagnosed and diagnosed) among older adults to examine a spectrum of glucose states. Utilizing the large sample size, data were available to examine the relative HRs across important subgroups where mortality risk is expected to be differential, including, age, race, presence of CVD, duration of diabetes, and diabetes treatment modality. Similar to an analysis of adults aged 20 years and older from NHANES III, we observed a greater risk of mortality

with increasing  $HbA_{1c}$  levels among non-Hispanic white older adults compared with non-Hispanic black older adults (28).

Updated consensus statements have been put forth by both the ADA and AGS based on new evidence between 2002 and 2012 (13,14). The consensus statements make recommendations regarding potentially less aggressive glycemic goals for older adults, but these were largely based on expert opinion given the paucity of existing studies at the time. Our results show that for the general population of U.S. older adults with diabetes, an  $HbA_{1c}$  <8.0% is associated with reduced risk of mortality, which is consistent with ADA-recommended goal for healthier older adults. The ADA and AGS both propose that glycemic goals should be individualized and depend on the patient's health status, life expectancy, and personal goals. This recommendation is supported by our results, which showed differences in the risk of mortality across demographics, history of CVD, duration of diabetes, and type of antidiabetic medication use. Expanding on this current work, future studies should examine prospective associations between HbA<sub>1c</sub> control and diabetes-related health care utilizations and quality of life.

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as submitted. H.-C.Y. is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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