# Capturing sclera anisotropy using direct collagen fiber models. Linking microstructure to macroscopic mechanical properties.

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#### 41 Abstract

Because of the crucial role of collagen fibers on soft tissue mechanics, there is great interest 42 in techniques to incorporate them in computational models. Recently we introduced a direct 43 44 fiber modeling approach for sclera based on representing the long-interwoven fibers. Our 45 method differs from the conventional continuum approach to modeling sclera that homogenizes the fibers and describes them as statistical distributions for each element. At large scale our 46 47 method captured gross collagen fiber bundle architecture from histology and experimental 48 intraocular pressure-induced deformations. At small scale, a direct fiber model of a sclera 49 sample reproduced equi-biaxial experimental behavior from the literature. In this study our goal 50 was a much more challenging task for the direct fiber modeling: to capture specimen-specific 3D 51 fiber architecture and anisotropic mechanics of four sclera samples tested under equibiaxial and 52 four non-equibiaxial loadings. Samples of sclera from three eves were isolated and tested in five 53 biaxial loadings following an approach previously reported. Using microstructural architecture 54 from polarized light microscopy we then created specimen-specific direct fiber models. Model 55 fiber orientations agreed well with the histological information (adjusted R2's>0.89). Through an 56 inverse-fitting process we determined model characteristics, including specimen-specific fiber 57 mechanical properties to match equibiaxial loading. Interestingly, the equibiaxial properties also 58 reproduced all the non-equibiaxial behaviors. These results indicate that the direct fiber 59 modeling method naturally accounted for tissue anisotropy within its fiber structure. Direct fiber 60 modeling is therefore a promising approach to understand how macroscopic behavior arises from microstructure. 61

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#### 63 **1. Introduction**

64 Collagen fibers serve as the primary load-bearing component of soft tissues, and in 65 particular of sclera [1-5], Hence, they are crucial for understanding the mechanical behavior of the eye, and how it relates to eye physiology and pathology [4, 6-8]. Because of the fragility and 66 67 difficulty in accessing the eye, especially the posterior pole, computational modeling has 68 emerged as a key approach to study the relationship between scleral fiber structure and 69 mechanics [5, 9-14]. The most popular techniques for modeling sclera, however, are based on a 70 continuum mechanics framework and do not account for several potentially crucial 71 characteristics such as fiber interweaving, fiber-fiber interactions and the long-distance effects 72 of fibers. Neglecting interweaving and fiber-fiber interactions can, for example, lead to significant 73 errors when estimating the mechanical properties of sclera fibers by inverse fitting [15]. 74 Accurately incorporating fiber characteristics at the microscale is also likely crucial to predicting 75 correctly the effects at the cellular and axonal scales [16].

76 We recently introduced a direct fiber modeling technique [17]. The approach is based on 77 detailed histology-based specimen-specific fiber orientations obtained through polarized light 78 microscopy (PLM) [18] while considering fiber interweaving, fiber-fiber interactions, and long 79 fibers. We have demonstrated the direct fiber modeling approach in two studies with different 80 scales. In the first we reconstructed a model of a small sample of temporal sclera, and then 81 used an inverse fitting approach to match experimental equi-biaxial stress-strain data from the 82 literature, simultaneously capturing the behavior in both radial and circumferential directions [17]. 83 In the second study, we applied the direct fiber modeling approach to reconstruct a model of the 84 optic nerve head and adjacent tissues, a substantially larger region than the sclera sample. 85 Because of the size and complexity of the region modeled we had to modify how we used the histological data to reconstruct the model. For example, we focused on modeling fiber bundles 86 200-400um-thick over small fibers. The model successfully captured the gross behavior of the 87 optic nerve head under inflation caused, including the emergent nonlinear behavior despite 88 89 having been simulated with linear tissue mechanical properties [19]. Although both studies show 90 the promise of the direct fiber modeling approach, they have important limitations. First, the 91 histological information was from a sheep eye and the experimental tests data from a pig eye. 92 Second, only one posterior sclera sample was analyzed, and therefore we could not establish 93 that the technique can recover specimen-specific information. Third, our previous testing of the 94 model's behavior was limited to equi-biaxial loading conditions, neglecting the more complex anisotropic conditions that the sclera may experience in physiological contexts [2]. 95

Our aim in this study was to conduct a much more challenging test of the direct fiber modeling's approach ability to capture sclera microstructure and anisotropic mechanics. First, we evaluated the accuracy of a direct fiber model representation of the complex specimenspecific collagen structure of four sclera samples from different eyes. Second, we evaluated the ability of a direct fiber model to capture specimen-specific anisotropy under equibiaxial and nonequibiaxial conditions. This work helps understand better the capabilities of direct fiber modeling of sclera so that the tool can later be used to study other soft fibrous tissues.

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#### 104 2. Methods

105 This section is organized in three parts according to the project steps. First, biaxial mechanical testing was conducted on healthy sheep posterior pole samples to obtain their 106 stress-strain responses under five different loading protocols. Second, the same samples used 107 108 for mechanical testing were fixed and sectioned, and the sections imaged using PLM. The PLM 109 images were post-processed and used to build direct fiber models with the fiber orientation data. 110 Each direct fiber model consisted of a fibrous component embedded in a matrix representing 111 the non-collagenous components. The combined fiber and matrix model was used in an inverse 112 fitting optimization process to match the simulated stress-strain behaviors with experimental data acquired under equi-biaxial testing. The inverse process produced specimen-specific fiber 113 114 material properties and pre-stretching strains.

All the image processing was done in MATLAB v2020 (MathWorks, Natick, MA, USA) and FIJI is Just ImageJ (FIJI) [20, 21]. Modeling was done in Abaqus 2020X (Dassault Systemes Simulia Corp., Providence, RI, 171 USA). Customized code and the GIBBON toolbox [22] for MATLAB v2020 (MathWorks, Natick, MA, USA) were used for model pre/post-processing and inverse fitting.

### 120 2.1 Biaxial mechanical testing

121 The experimental procedures were conducted in Northeastern University, utilizing a 122 methodology previously described [23, 24]. The study was conducted in accordance with the tenets of the Declaration of Helsinki and the Association of Research in Vision and 123 124 Ophthalmology's statement for the use of animals in ophthalmic and vision research. Three 125 fresh sheep eyes were obtained from a local slaughterhouse within 24 hours postmortem and 126 immediately transferred to the lab in a cold isotonic phosphate buffer saline (PBS) solution. 127 Upon arrival, two posterior sclera samples measuring 11mm x 11mm were carefully excised from both temporal and superior quadrants, approximately 2 to 3 mm away from the sclera 128 129 canal (Figure 1 A). For the purpose of optical tracking and tissue deformation/strain analysis, 130 four submillimeter glass markers were affixed to the surface of each sample.

The sample was then mounted onto the biaxial testing equipment using fish hooks, with the loading axes aligned with the circumferential and radial directions of the sample (Figure 1 B and C). A 0.5g tare load was initially applied to flatten the sample. Subsequently, each sample was subjected to biaxial stress control and underwent five distinct loading protocols (Table 1). Each loading protocol consisted of ten 20-second cycles. The first nine cycles served as a preconditioning phase, while data from the last loading cycle was used for subsequent analysis.
Based on preliminary tests, a maximum stress level of 150kPa was applied, as it allowed the
sample to maintain sample shape without incurring damage. Once the stress-strain data were
obtained, the samples were carefully unmounted from the biaxial testing equipment and
immersion fixed in 10% formalin for 24 hours. We chose formalin because it has been shown to
cause only minimal changes in the shape or size of ocular tissues [25].

Temporal and superior samples from three eyes produced six samples. Two of the samples were excluded from further analysis because of tissue damage incurred during the experimental procedures. Hence, the rest of the analysis is based on stress-strain responses from four samples. More details regarding these samples can be found in Table 2.

At the initial stages of the loading tests, the experimental stress-strain data exhibited relatively high noise and variability compared with more stable later steps. These points were excluded from the inverse fitting process. We applied a moving average smoothing algorithm to reduce the noise in the rest of the stress-strain curves [26]. For the rest of the analysis, we used the experimental data after smoothing.

## 151 **2.2 Histology, polarized light microscopy and image post-processing**

152 Following the fixation process, the samples were cryo-sectioned into 20 µm slices (Figure 2). 153 To be able to reconstruct accurately the 3D architecture of the samples we used a process 154 involving both coronal sections (parallel to the surface of the sample) and sagittal sections 155 (perpendicular to the surface sample). For coronal sections, serial sectioning was performed at 156 the center of the sample, every section was collected starting when there was visible sclera and 157 stopping when the sclera was no longer visible. The number of sections collected varied among 158 the different samples, and the specific quantities are detailed in Table 2. For sagittal sections, 159 two slabs from the edge of the square-shaped sample were obtained. In this way we were able 160 to obtain high resolution coronal data from the core of the tested sample, and high-resolution 161 transverse data at the core edge. Coronal and sagittal sections approximate two orthogonal 162 views of the collagen structure, providing information on the three-dimensional organization of 163 the fibers.

All sections were imaged with PLM as described before [18, 27]. Briefly, two polarized filters (Hoya, Tokyo, Japan) were used, one a polarizer and the other an analyzer, to collect images at four filter orientations 45° apart. The images were all captured using an Olympus MVX10 microscope (1× magnification setting, 6.84 µm/pixel).

168 PLM images were processed to derive the in-plane collagen orientation at each pixel (in 169 Cartesian coordinates) and a parameter that we referred to as "energy" [28]. Energy helped 170 identify regions without collagen, such as outside of the section, and regions where the collagen fibers were primarily aligned out of the section plane, so that they can be accounted for in the 171 172 orientation distribution. Following the processing of PLM images, all images obtained from a single sample were sequentially stacked and registered based on the sharp edges [29]. After 173 174 registration, the original images underwent reprocessing to obtain "corrected" orientation angles 175 that are consistent across all the sections.

176 To focus on a specific area for subsequent construction of the direct fiber model, we 177 selected a square-shaped block positioned at the center of the coronal sections. The 178 dimensions of this selected block were 4.1x4.1mm (Figure 3A). However, due to the irregular 179 shape of the tissue and the folds caused during tissue sectioning, the stack of cropped blocks 180 exhibited variations in thickness (Figure 3C). In order to facilitate fiber tracing for the 181 construction of the direct fiber model, we implemented a linear interpolation algorithm, as described by Akima [30, 31]. The interpolation algorithm was employed to interpolate angle and 182 energy values at each location in-depth within the stack, thereby converting the inconsistent 183 thicknesses into a uniform value. As a result of this interpolation, the image stack was 184 185 transformed into a uniform and regular block (Figure 3D). Importantly, this interpolation process 186 did not alter the orientation distribution of the fibers within the stack.

To validate the geometry of the direct fiber model, we computed both the coronal and sagittal collagen fiber orientation distributions. For the coronal orientation distribution, we utilized the pixel-level PLM data obtained from the original image stack, taking into account the local "energy" at each pixel. Similarly, for the sagittal orientation distribution, we summed up the PLM data obtained from the cropped block of the two sagittal sections (depicted in Figure 3B). Again, the energy weighting was applied to ensure accurate representation of the collagen fiber orientations in the sagittal plane.

#### 194 **2.3 Direct fiber modeling**

#### 195 **2.3.1 Model construction**

We constructed four models (Figure 4A) based on the procedure described previously [17], corresponding to each sample listed in Table 2. Briefly, fibers were simulated using 3dimensional linear truss elements (T3D2 in Abaqus). The locations of fibers were defined by sampling orientation values from PLM images at regularly spaced "seed" points (437 µm apart). Straight fibers, 13.68  $\mu$ m in diameter, were traced at each seed point based on its orientation angle. The process was repeated for each layer, resulting in a stack of 2D layers with interpenetrating fibers. An algorithm was employed to resolve interpenetrations by iteratively shifting the elements until they no longer overlapped [32, 33]. Fiber elements were re-meshed to maintain lengths between 82 $\mu$ m and 164 $\mu$ m, while controlling the minimum radius of curvature for smoothness. The amplitudes of the fiber undulations in-depth were adjusted to more accurately represent the distribution of fibers in three dimensions.

To account for the natural curvature of the sclera, an additional step was performed to adjust the flat fiber model and match it to the curvature of the eyeball. The external radius of three sheep globes was manually measured, and the average radius was determined to be 14856  $\mu$ m. The flat model was then projected onto a sphere with an external radius of 14856  $\mu$ m, effectively introducing curvature to the model. It was important to note that this implied assuming that the sheep eye locally resembled a sphere.[34]

To assess the similarity between the model and the PLM images, the orientation distribution 213 214 of the curved model was quantified and compared with the distribution obtained from the PLM images. This comparison aimed to evaluate how well the model captured the observed fiber 215 216 orientations in the images. The quantification involved counting the occurrences of element 217 orientations within the model, where each element's orientation represented the slope angle in the section plane. This approach accounted for varying element sizes and enabled a proper 218 219 comparison with the pixel-based measurements obtained from PLM. To evaluate the fitness of the orientation distributions, adjusted R-squared (adjusted R<sup>2</sup>) values were employed [35]. The 220 adjusted R<sup>2</sup> values provided a measure of how well the model's orientation distribution fit the 221 distribution observed in the PLM images. The use of adjusted R<sup>2</sup> values allowed for the 222 consideration of the complexity of the model and the number of parameters involved, providing 223 a more robust evaluation metric than a conventional  $R^2$ . 224

In addition to the fiber model, a matrix model was also constructed (Figure 4B). The matrix model was designed to have the same dimensions and shape, including the same curvature, as the fiber model, ensuring consistency between the two. The end-nodes of the fibers were positioned on the surfaces of the matrix, creating a cohesive representation of the fiber-matrix structure.

#### 230 2.3.2 Model inverse fitting

# 231 2.3.2.1 Meshing and material properties

Fibers were modeled as a hyperelastic Mooney-Rivlin material [36]:

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$$W = C_{10}(I_1 - 3) + C_{01}(I_2 - 3) + \frac{1}{2}K(J - 1)^2$$
(1)

where W was the strain energy density,  $C_{10}$  and  $C_{01}$  were the material constants, restricted by  $C_{10} + C_{01} > 0$  and would be determined by inverse fitting,  $I_1$  and  $I_2$  were the first and second invariants of the right Cauchy-Green deformation tension, K was the bulk modulus and J was the determinant of the deformation gradient. The matrix was modeled as a neo-Hookean material with a shear modulus of 200 kPa [3, 37].

239 The fiber components were modeled using 3-dimensional linear truss elements (T3D2) in Abagus. The length of the fiber elements ranged from 82 µm to 164 µm, resulting in aspect 240 241 ratios between 6 and 12. The matrix was meshed using linear eight-noded hybrid hexahedral 242 elements (C3D8H) in Abagus. The element size for the matrix varied among the samples due to 243 differences in sample thickness, with 4 to 6 elements spanning the shell thickness. To ensure 244 model accuracy, a mesh refinement study was conducted. The fiber model's mesh density was doubled, while the matrix model had its mesh density doubled in both in-plane directions and 245 the thickness direction. The study's findings indicated that altering the mesh density had a 246 247 negligible impact on stress predictions, with maximum stress values changing by less than 1%. 248 Based on these findings, the chosen mesh density was deemed sufficient to ensure numerical 249 accuracy in the obtained results.

# 250 **2.3.2.2 Interactions**

Fiber-fiber interactions were simulated in the following two ways. First, the interactions were 251 252 considered by preventing fiber interpenetrations using Abagus' general contact with no friction. 253 Second, to enhance the interweaving effect of fibers and prevent them from sliding apart during 254 stretching, a method involving the constraint of nodes was employed. Approximately 10% of the 255 nodes, primarily located in the outer surface or boundary of the model, were selected. These 256 nodes were connected to their closest neighboring nodes, and their relative motion in the Z direction was constrained to zero using linear constraint equations in Abagus. By applying these 257 258 constraints, the free ends of the fibers were better controlled, resulting in a more stable and 259 realistic model.

Fiber-matrix interactions were ignored, as is usual in biomechanical models of the eyes [5, 38, 39].

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# 263 2.3.2.3 Finite element analysis procedure

The fiber-matrix assembly underwent a quasi-static biaxial stretching process to match the experimental stress-strain data obtained in Method Section 2.1. The matrix was simulated using Abaqus standard implicit procedure, while the direct fiber model utilized Abaqus dynamic explicit procedure to enhance convergence and computational efficiency. The resulting stresses  $\sigma$  in the radial and circumferential directions were a combination of matrix and fiber contributions, with the matrix stress weighted by the fiber volume fraction (VF) as shown in Equation (2):

270  $\sigma = (1 - VF)\sigma_{matrix} + VF\sigma_{fibers}$ 

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To ensure efficient dynamic analysis, mass scaling was implemented, allowing for a stable time increment of 1e-5. The simulation was conducted within a time period where inertial forces remained negligible, with the maximum kinetic energy kept below 5% of the internal energy to confirm the insignificance of inertial effects.

(2)

# 276 **2.3.2.4 Boundary conditions and inverse fitting procedure**

277 In the biaxial stretching process of the fiber-matrix assembly (Figure 4C), a two-step approach was employed to simulate the experimental conditions. In the first step, biaxial 278 279 stretching was applied to pre-stretch the model. This step aimed to simulate the initial stretching 280 that occurs when a 0.5g tare load was applied to flatten the tissue. The resulting stress and 281 strain values from this step were not recorded, as they were not included in the reported 282 experimental stress-strain data. In the second step, the model was further stretched, and the same strains observed in the experiment were assigned as the displacement boundary 283 284 condition to the fiber-matrix model. In this step, the model stress and strain values were 285 recorded, starting from 0. This approach was consistent with the experimental setup, where the 286 stress and strain resulting from the tare load were not included in the reported experimental 287 stress-strain data. By applying this two-step biaxial stretch with the appropriate displacement boundary conditions, the model aimed to replicate the mechanical behavior observed in the 288 289 experiment and allow for a comparison between the model's stress-strain behaviors and the 290 experimental data.

During the inverse modeling procedure, there were four parameters to determine. The first two parameters were the pre-stretching strains along the radial and circumferential directions in the first step of the biaxial stretch. Since the resultant strains caused by the tare load were not characterized in the experiment, these values were unknown and needed to be determined through the inverse modeling process. The second two parameters were the material properties of the fibers ( $C_{10}$  and  $C_{01}$ ).

297 In the inverse fitting procedure, two optimizations were performed to determine the optimal 298 parameters and match the stress-strain data of the five loading protocols. In the first 299 optimization, all four parameters (pre-stretching strains and fiber material properties) were 300 optimized to match the stress-strain data obtained using loading protocol 1:1. Further discussion on the selection of loading protocol 1:1 can be found in the Discussion section. A simplex 301 302 search method was used for this optimization [40]. The algorithm aimed to find the values of the 303 parameters that minimized the residual sum of squares (RSS) between the simulated and experimental stress-strain curves. The optimization process continued until the adjusted  $R^2$ 304 value between the curves exceeded 0.9, indicating a good fit between the model and 305 306 experimental data. This optimization allowed for the determination of the optimal pre-stretching 307 strains and fiber material properties ( $C_{10}$  and  $C_{01}$ ).

In the second optimization, only the two pre-stretching strains were optimized, using the derived fiber material properties from the first optimization. This optimization aimed to match the stress-strain data obtained from the remaining four loading protocols. The same search method as before was employed, and the optimization was concluded when the adjusted  $R^2$  value between the stress-strain curves exceeded 0.9 in both loading directions.

By performing these two optimizations, the model was able to find the optimal parameters that resulted in stress-strain curves closely aligned with the experimental data for all the five loading protocols.

316 **Preliminary analysis:** During the preliminary analysis of the inverse fitting procedure using 317 Sample #1, it was observed that the experimental data from loading protocol 0.5:1 exhibited 318 negative strains along one loading direction. This suggested the presence of anisotropic mechanical properties, with one loading direction being much softer than the other direction. 319 320 When assigning negative strains to the direct fiber model, it led to instability in the model. This 321 was problematic because the direct fiber model is capable of accurately representing fiber 322 tension, but not as well longitudinal fiber compression and buckling. As a result, all the loading 323 protocols that showed negative strains were excluded from the analysis. Based on the

mechanical testing results, the loading protocol 0.5:1 of all the samples presented negative strains (Supplemental data - Figure 1). Therefore, the results related to loading protocol 0.5:1 were not reported in this study. The focus was placed on the remaining loading protocols that did not exhibit negative strains.

#### 328 3. Results

Figure 5 presents the fiber orientation distributions of the direct fiber model and corresponding PLM images for the four samples. The agreement between the model and PLM images was observed in both the coronal and sagittal planes. The alignment of these orientation curves yielded adjusted  $R^2$  values exceeding 0.89 in all cases, indicating a strong match between the model and experimental data.

Figure 6 shows fiber displacements and stresses at full stretch of an example sample (Sample #4). The visualization revealed heterogeneous behaviors of the fibers, with varying deformations and stress distributions at the microscale. The model effectively captured the nonuniform response of the fibers under applied loading conditions, highlighting the intricate mechanical behavior within the tissue.

Isometric views of the Sample #4 direct fiber model during loading protocol 1:1 are shown in Figure 7. The visualization uses color to represent the maximum principal stress (left column) and displacement magnitudes (right column). Initially, the model showed curvature, which gradually flattened during stretching. As expected, more fibers experienced higher stress as stretching was applied, in a process of recruitment.

344 Stress-strain curves of the optimized models are shown in Figure 8, showcasing the 345 excellent agreement with experimental data in both the radial and circumferential directions for 346 most of the loading protocol cases. The majority of adjusted R<sup>2</sup> values exceeded 0.9, indicating 347 a robust fit between the model predictions and experimental observations. The derived 348 parameters that achieved this high level of agreement were provided in Table 3.

Figure 9 presents the fiber orientation distributions of the direct fiber model and the model's mechanical anisotropy at maximum strain state. The findings suggest that the stiffness along each direction is approximately proportional to the amount of fibers in the loading direction at the maximum strain state.

# 353 4. Discussion

354 Our goal was to continue advancing direct fiber models of soft tissues. Specifically, we 355 aimed to conduct a more challenging test of the ability of the direct fiber modeling approach to capture sclera microstructure and anisotropic mechanics. We developed direct fiber models to 356 simulate four sclera samples, incorporating specimen-specific three-dimensional fiber 357 358 orientation distributions. Subsequently, we conducted an inverse fitting study and matched the 359 models with specimen-specific anisotropic stress-strain behaviors from biaxial testing. The study 360 yielded two main findings. First, the direct fiber models successfully captured the collagen 361 structure of multiple sclera samples from different quadrants and eyes. Second, the macroscopic mechanical properties of the models matched with the experimental stress-strain 362 363 data obtained under various anisotropic loading conditions. Notably, this was achieved by 364 having fit the models to the equi-biaxial experiment. The derived material properties were then 365 appropriate to represent the other loading conditions. This indicates that the direct fiber models 366 inherently incorporated the anisotropy of tissue mechanical behaviors within their fiber structure. thereby eliminating the necessity for separate optimization with different loading conditions. 367 368 Below we discuss the findings in detail.

# Finding 1. The direct fiber models can accurately capture the collagen fiber structure across different samples.

371 In our previous study, the primary focus was on introducing the direct fiber modeling 372 methodology, which involved utilizing experimental data from different samples and species 373 obtained for other research purposes [17]. In this study, we deliberately chose to construct 374 models that simulate multiple sclera samples from different quadrants and eyes. This decision 375 was driven by the fact that the collagen fiber architecture of the sclera varies both spatially 376 between locations and between different eyes [29]. Furthermore, it is known that the mechanical 377 behavior of the sclera exhibit varying degrees of anisotropy across different quadrants, such as 378 superior and temporal quadrants [41, 42]. By employing samples from both superior and 379 temporal quadrants of multiple eves, our study successfully demonstrated the robustness of the direct fiber model reconstruction approach in capturing the varying fiber structure. It is important 380 381 to emphasize that our models were specimen-specific, meaning that the fiber structure was 382 individually constructed based on each sample, and the model's behaviors were optimized 383 using experimental data obtained from the same sample.

Finding 2: The macroscopic mechanical properties of the models matched with the experimental stress-strain data obtained under multiple anisotropic loading conditions.

386 In our previous study, we constructed a single model and validated it against a specific equi-387 biaxial experimental dataset. In this study, we set the much tougher task of matching the stress-388 strain behaviors under multiple loading conditions. Our study thus indicates that the direct fiber 389 modeling technique can account not only for equi-biaxial, but for the more complex anisotropic 390 conditions that the sclera is subjected to [2]. We would like to point out here that we were 391 unable to find examples of studies deriving material properties of sclera that simultaneously 392 match multiple loading conditions. To the best of our knowledge, the standard seems to be to fit single experimental tests [43, 44]. Capturing tissue behavior under multiple loading conditions is 393 394 a tougher challenge.

# Finding 3. The direct fiber models inherently incorporate the anisotropy of tissue mechanical behavior within their fiber structure.

397 We have shown that the material properties obtained by fitting the equi-biaxial loading conditions were also adequate to produce good predictions (adjusted  $R^2 > 0.9$ ) for other biaxial 398 loading tests. This indicates that the model reconstruction must have captured not only the 399 400 tissue microarchitecture, as noted above in Finding 1, but also the anisotropic mechanical 401 behavior encoded in the microarchitecture. We argue that these two, structural and mechanical 402 anisotropies, while related, are not identical. Collagen fibers are the primary load-bearing 403 component of the sclera, and therefore capturing sclera mechanical anisotropy requires a good representation of the microarchitecture [11, 44]. However, this may not be sufficient. The 404 405 mechanical behavior, and particularly the nonlinear components, are heavily dependent on fiber undulations at multiple scales, and fiber-fiber interactions.[45, 46] Thus, we have shown a 406 407 strength of the direct fiber modeling approach compared with the conventional continuum 408 mechanical approach that requires inverse fitting several loading conditions [24]. While we 409 acknowledge that our method is still an approximation of the actual fibrous structure of the 410 sclera (more on this later in the subsection on Limitations), we argue that it represents a step 411 forward for specimen-specific modeling.

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#### 413 Interpretation of the derived fiber material properties

In our study, we initially derived the fiber material properties by matching the experimental data of loading protocol 1:1. Concerns may arise regarding the extent to which the results would be different if the material properties were obtained by matching experimental data from other loading protocols. To address this concern, we randomly selected a sample and derived the 418 fiber material properties by independently fitting the loading protocols 1:0.75, 0.75:1, and 1:0.5. 419 The fiber material properties were initially assigned random values and iteratively optimized to 420 match the experimental data. Importantly, the fitting processes were performed without any reference to the results obtained from other loading protocols. Interestingly, we observed that 421 422 the derived fiber material properties obtained by fitting different loading protocols fell within a 423 similar range, as shown in Figure 10. To further evaluate the physical significance of these 424 properties, we examined the resulting fiber elastic modulus and bulk modulus. Remarkably, 425 these two properties demonstrated consistency across the results obtained from fitting different 426 loading protocols. These findings emphasize the robustness of our method and confirm that the 427 derived material properties are independent of the choice of loading protocols for fitting.

428 The "uniqueness" of so-called optimal parametes is a common concern in inverse fitting 429 techniques [10, 11]. To address this concern, we conducted the inverse fitting process using 430 four different sets of starting parameters to assess the consistency and uniqueness of the 431 results. The derived fiber material properties,  $C_{10}$  and  $C_{01}$ , exhibited significant variation among 432 the four samples. To better understand those values which lack specific physical interpretation, 433 we further estimated the elastic modulus of individual fibers by simulating uniaxial stretch of a single straight fiber with the optimal  $C_{10}$  and  $C_{01}$  values of the hyperelastic Mooney-Rivlin 434 435 material. The estimated fiber elastic modulus ranged from 0.47 GPa to 23.94 GPa, and the detailed values can be found in Table 3. This wide range of values aligned with the 436 437 experimentally reported elastic modulus values found in the literature, which ranged from 0.2 GPa to 7 GPa in bovine Achilles tendon fibers [47, 48], and 5 GPa to 11.5 GPa in rat tail [49]. It 438 is worth noting that one value fell outside this range, which we believe may be attributed to two 439 440 factors. Firstly, the load was primarily borne by a small portion of the fibers, leading to an 441 overestimation of the fiber material properties. Secondly, the volume fraction of the models in 442 this study was approximately 15%, which may not accurately represent the actual tissue 443 composition. The estimated fiber material properties were closely associated with the fiber 444 volume fraction in the model reconstructed. The difficulty of reconstructing models increases 445 with the fiber density, as it becomes harder to follow the fibers in the histolory, and then 446 reconstruct them accurately with their turns and undulations. Further research is necessary to 447 comprehensively understand how variations in fiber volume fraction influence the behavior and 448 derived material properties of the direct fiber model.

Another concern pertaining to the derived material properties and pre-stretching strains is the possibility of achieving an excessively favorable match between the models and 451 experimental data across all loading protocols. To address this concern, we conducted a 452 specific analysis using the fiber structure of Sample #1 and attempted to match the 453 experimental data of sample #2. The results revealed that we could successfully match the experimental data for loading protocols 1:1, 1:0.75, and 0.75:1. However, when attempting to 454 455 match the experimental data for loading protocol 1:0.5, the model could not achieve a satisfactory fit (Figure 11). This indicates that the model is not universally applicable but rather 456 457 depends on accurately matching the orientation distribution of the sample in order to replicate 458 the experimental data of that specific sample.

# 459 Strengths of direct fiber models

The models developed in this study inherited several strengths, some of which we have 460 461 discussed above or elsewhere [17]. Briefly, the direct fiber models have considered fiber interweaving and the resulting fiber-fiber interactions that play an important role in determining 462 463 the structural stiffness of the sclera [15]. The models also have included collagen fibers of the 464 sclera that are long and continuous. Thus, they can transfer forces over a long distance [2, 14], 465 where it's commonly recognized that it's important to account for long fiber mechanics [19, 50-466 52]. This is in contrast to the conventional continuum mechanics approaches where fibers exist only within a given element, transferring their loads at the element boundaries [53]. Furthermore, 467 468 the direct fiber models in this work incorporate three-dimensional specimen-specific collagen 469 architecture, whereas previous fiber-aware models tend to overlook or simplify the in-depth 470 orientations of the collagen fibers [42, 54, 55]. Collagen fiber variations in-depth of the tissue are 471 crucial in determining the sclera's load-bearing capacity, particularly in bearing shear stresses, 472 and may have clinical implications [56, 57].

473 In comparison to our preliminary study, we implemented two improvements to the approach 474 when building direct fiber model structures. Firstly, we incorporated the natural curvature of the tissue into the model, enabling a more accurate representation of the physiological shape of the 475 476 sclera. This enhancement ensured that the model mimicked more closely the three-dimensional 477 geometry of the sclera, improving its fidelity. Secondly, we introduced a constraint function in 478 Abaque to deal with fibers that were not sufficiently constrained. This helped avoid, for example, 479 cases where a fiber could be "pulled out" of the model. This constraint function served to tightly 480 constrain the interconnections between fibers. As a result, the model not only exhibited 481 increased stability, but also better matched the actual interweaving and cohesion of collagen fibers in the sclera. This optimization helped overcome the challenge of inaccuracies caused by 482 483 floating fibers and enhances the model's reliability and validity in representing the real tissue

484 structure. We suspect that this constraint would not be necessary if we had modeled in more 485 detail the fiber-fiber and fiber-matrix interactions. The simplifications we assumed on these 486 interactions allow fibers much freedom to displace and slide. It is also possible that our modeling of somewhat thick fiber bundles instead of small scale fibers may not have captured 487 488 the full extent of the fiber entanglement that prevents fiber sliding. Further work is necessary to characterize these aspects of the sclera and how to account for them accurately in models. 489 490 Herein we just want to comment that we acknowledge that our treating of fiber-fiber and fibermatrix interactions, while limited, is still more comprehensive than that in conventional 491 492 continuum models where these are generally not only ignored but cannot be incorporated 493 without cumbersome kludges. Our direct fiber modeling approach highlights the assumptions on 494 the interactions and provides a platform for improving their modeling.

#### 495 Limitations

496 The first limitation we would like to comment on was observed in the matching of stressstrain curves between the model and experimental data. While the majority of loading conditions 497 exhibited strong agreement with adjusted  $R^2$  values exceeding 0.9, there were instances, 498 particularly in the loading protocol 1:0.5, where the model's fit was relatively low. We do not 499 500 know the cause, but this discrepancy may result from the sequential application of five different 501 loading protocols during the testing process. After multiple rounds of testing, the tissue may become softer than its original condition. As a result, the model's behavior appeared to be stiffer 502 503 than the corresponding experimental data in these cases. Future studies should either 504 randomize the loading protocols, or explicitly study the effects of protocol order. Despite this 505 limitation, it is important to emphasize that the direct fiber modeling technique demonstrated 506 robustness and effectiveness in capturing the overall mechanical behaviors of the sclera, as 507 evidenced by the strong agreement between the model and experimental data in the majority of 508 the cases. The observed discrepancies in certain loading conditions provide valuable insights 509 for future refinements of the model and highlight the need for further investigation into the 510 dynamic changes in tissue properties during sequential loading.

511 Second, we encountered difficulties in accurately matching the loading protocol 0.5:1 due to 512 the model's inability to perform well under compression. This limitation indicated that further 513 optimization of the approach is required to enable stable simulations under compression. Future 514 efforts should be focused on addressing this issue to enhance the applicability of the direct fiber 515 modeling approach. By improving the model's performance in compression scenarios, we can

516 broaden its utility in studying the mechanical behaviors of the sclera across a wider range of 517 loading conditions.

Third, our study used sheep eyes as the sample model, and thus the question arises as to how well this would work with other tissues, or with other species. Considering the robustness of the approach in capturing the complex fiber structure and anisotropic mechanical behaviors of sheep sclera, we anticipated that the direct fiber modeling technique can serve as a valid and effective tool for investigating scleral biomechanics in other species and potentially in other collagen-based tissues as well. Future studies can extend the application of this approach to validate its broader utility and ensure its broad application across various tissues.

525 Fourth, the direct fiber models, while consistent with experimentally measured fiber 526 orientation distribution, are still approximations of the actual tissue. Several aspects were simplified or ignored in the modeling process, which may introduce discrepancies between the 527 528 models and the real tissue. One such simplification was the assumption of uniform fiber or 529 bundle diameters within the models. In reality, collagen fibers in the sclera can exhibit variations 530 in diameter [58-60]. Additionally, sub-fiber level features were not explicitly included in the 531 models, such as fiber crimp [5]. Future work would benefit from incorporating more detailed and realistic microstructural features, where the models can provide a more accurate representation 532 533 of the scleral tissue and enhance our understanding of its mechanical behavior.

534 Fifth, the matrix mechanical properties were kept constant at literature values and not 535 optimized iteratively like the fiber properties. This simplification was made for simplicity. 536 Although the matrix properties could potentially affect fiber load-bearing and parameter fitting, 537 their impact is considered minor. The fibers, being the primary load-bearing component, exhibit 538 significantly greater stiffness compared to the matrix [11, 44]. Analysis of our model indicated 539 that the matrix bears only 4%–6% of the total reaction forces at the maximum strain. Therefore, 540 we believe that the fiber properties predominantly influence the model's behavior, while the 541 matrix stiffness has minimal influence on the derived parameters. In future work, it would be 542 worthwhile to explore the role of matrix properties and consider more detailed matrix-fiber interactions for further refinement. 543

544 Sixth, we ignored fiber-matrix interactions and considered simplified fiber-fiber interactions, 545 similar to our previous work [17]. However, it should be noted that the interactions between 546 fibers and the matrix can be much more complex and may influence the tissue behaviors [61, 547 62]. Future research could benefit from incorporating more realistic and complex interactions

within the direct fiber modeling framework. The direct fiber modeling technique has the potential
to be a valuable tool for studying and considering such complex interactions in future studies.

550 In conclusion, we performed a comprehensive and challenging test of the direct fiber 551 modeling approach through the simulation of multiple sheep posterior sclera samples. We 552 characterized the macroscopic and anisotropic stress-strain behaviors of the samples through 553 biaxial mechanical testing. Then direct fiber models were generated based on the 554 microstructural architecture of each sample. An inverse fitting process was employed to 555 simulate biaxial stretching conditions, enabling the determination of optimal pre-stretching 556 strains and fiber material properties. Our findings demonstrated the efficacy of the direct fiber 557 modeling approach in simulating the scleral microarchitecture, capturing critical fiber 558 characteristics, and accurately describing its anisotropic macroscale mechanical behaviors. 559 Moreover, we highlighted the capability of the direct fiber models to inherently incorporate the 560 anisotropy of tissue mechanical behaviors within their fiber structure. Overall, the direct fiber 561 modeling approach proved to be a robust and effective tool for characterizing the biomechanics 562 of sclera.

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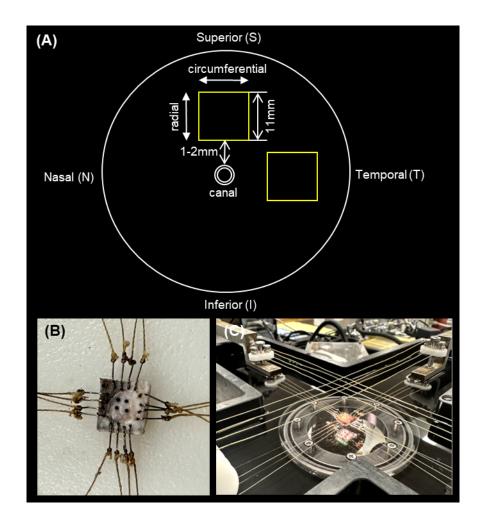
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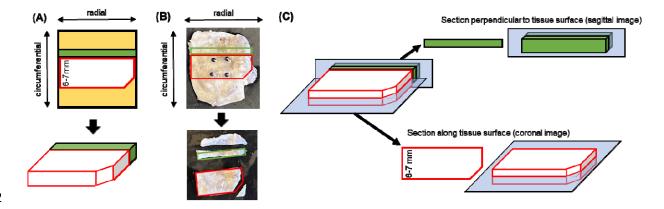
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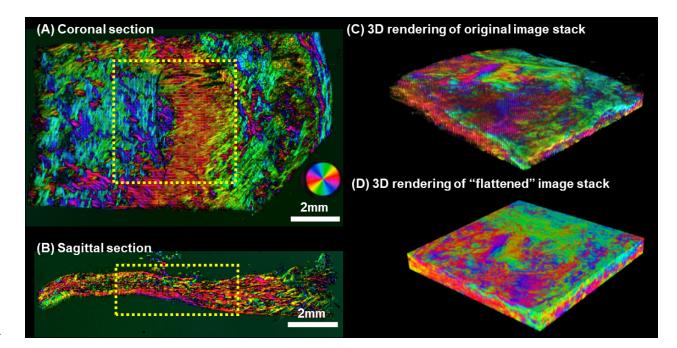
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732 Figure 1. Key aspects of the experimental setup and procedures for the biaxial testing. (A) Schematic diagram showcasing the posterior pole of the eye, highlighting the locations of the 733 734 two samples (depicted as yellow boxes) that were obtained specifically for the biaxial testing. (B) 735 An example image showcasing a sample with hooks attached, ready for biaxial testing. The 736 hooks ensure proper mounting and fixation of the sample during the experimental procedures. 737 (C) The sample was mounted on a custom-built biaxial mechanical testing system. The loading 738 axes of the system were aligned with the circumferential and radial directions of the sample, 739 ensuring precise application of stress in the desired directions. The sample was then subjected 740 to the five loading protocols. Note that the crossed strings, as depicted at the lower right of the 741 prior conducting experiment. sample, were corrected to the



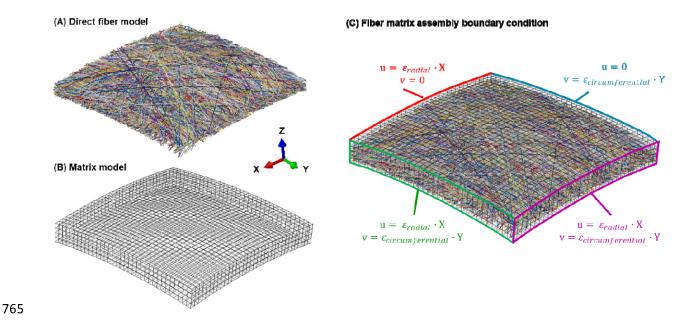
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Figure 2. Process of sectioning a sclera sample. (A) Sclera sample after biaxial testing was 743 processed for sectioning (top: 2D view; bottom: 3D view of the region for sectioning). A notch at 744 745 the corner of the sample was used to indicate the tissue directions. Since it was not feasible to section the same piece of tissue both coronally and sagittally, a sample (depicted as a white 746 block, the shorter length was 6-7mm) was obtained from the center of the tissue. Additionally, 747 another sample was acquired from the adjacent tissue next to the white block (shown as a 748 green block). (B) An example image of the sclera tissue with the two samples dissected. Prior to 749 750 dissection, the fiberglass markers attached to the tissue were carefully removed. (C, top) The 751 green block was sectioned sagittally, resulting in sagittal sections of the tissue. (C, bottom) The white block was coronally sectioned, allowing for the acquisition of serial sections without any 752 753 loss. The blue surface depicted in the image represented the plane of sectioning.



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755 Figure 3. Example PLM images and process of image post-processing. (A) Example PLM image of a coronal section. A square-shape block was cropped from the stack and used as a 756 reference to build the direct fiber model. (B) Example PLM image of a sagittal section. The 757 758 model's in-depth fiber orientation distribution was adjusted based on the orientations obtained from the yellow block. The position of this block corresponded to the position of the square-759 760 shaped block obtained from the coronal section. The colors indicate the local fiber orientation in the section plane, with brightness proportional to the "energy" parameter. (C) The original stack 761 762 showed irregular thickness from one location to another. (D) After interpolation, the thickness of 763 the stack was uniformized which facilitates the tracing of fibers during the construction of the 764 direct fiber model.



766 Figure 4. Isometric view of an example (A) direct fiber model and (B) matrix model. (C) 767 Displacement boundary conditions were applied to the fiber matrix assembly, with components 768 u, v representing displacement in X (radial) and Y (circumferential) direction, respectively. The displacement in Z direction was not constrained, given that fish hooks and strings did not restrict 769 the sample's displacement in the Z direction. In the first step biaxial stretch, the value of  $\varepsilon_{radial}$ 770 771 and  $\varepsilon_{circumferential}$  were optimized and derived in the inverse fitting procedure. In the second step 772 biaxial stretch, the experimental strain values were assigned to  $\varepsilon_{radial}$  and  $\varepsilon_{circumferential}$ , aiming to 773 match the model with the stress-strain behaviors observed in the experimental data.

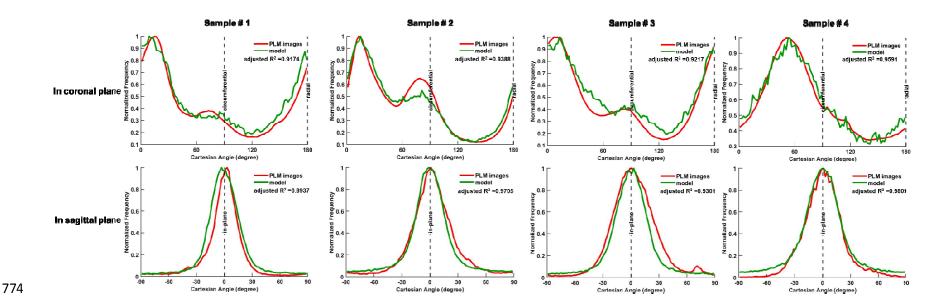
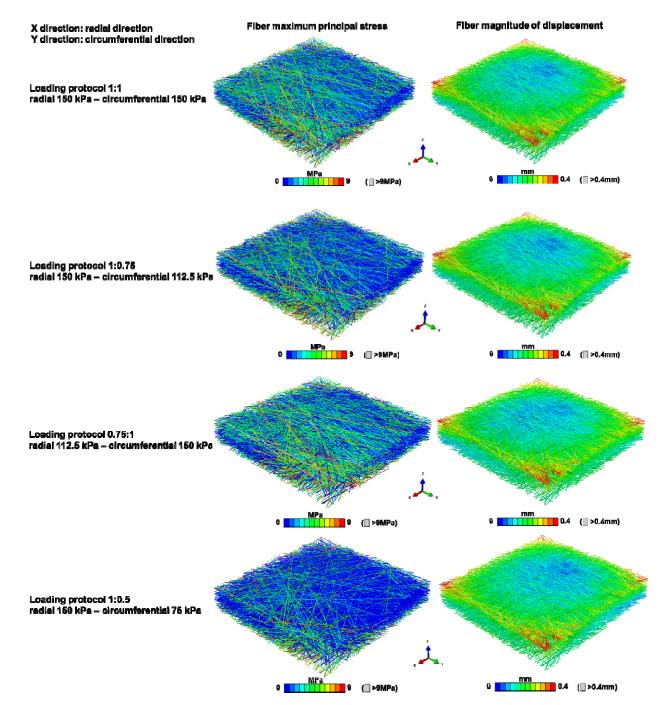
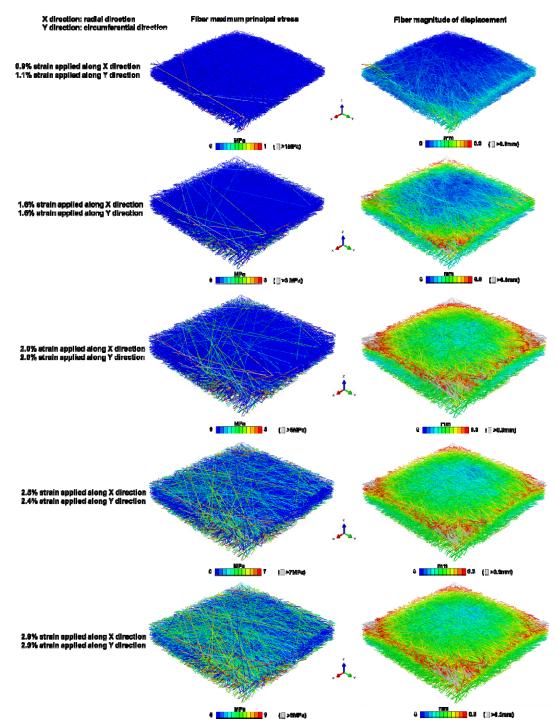


Figure 5. Fiber orientation distributions of the direct fiber model (green lines) and the corresponding PLM images (red lines). The 775 analysis was performed in both the (top row) coronal and (bottom row) sagittal planes of the four samples. In the coronal plane, the 776 777 PLM orientation was determined by analyzing a stack of all coronal images, with the radial direction represented by 0 and 180°, and the circumferential direction represented by 90°. The sagittal plane displayed the average orientation distribution obtained from two 778 sections, with the in-plane direction represented by 0°. The frequencies of fiber orientations have been normalized by the total sum of 779 frequencies for effective comparison. The results demonstrate a strong agreement between the fiber orientation distributions of the 780 direct fiber model and those observed in the PLM images, in both the coronal and sagittal planes. All the adjusted R<sup>2</sup> values, which 781 782 high experimental exceeded 0.89. indicate similarity model and data. а level of between the



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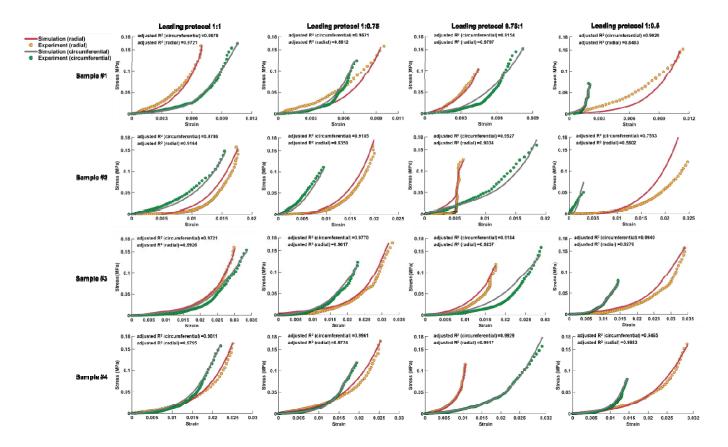
**Figure 6.** Isometric views of the direct fiber model of Sample #4 at full stretch. The visualization was enhanced by coloring the fibers based on two important mechanical parameters: the maximum principal stress (shown in the left column) and the magnitudes of displacement (shown in the right column) for each loading protocol. The variations in stress and displacement patterns can be observed, highlighting the non-uniform distribution of stresses and displacements within the tissue.



**Figure 7**. Isometric views of the direct fiber model of Sample #4 at different stages of the simulation while undergoing loading protocol 1:1. The visualization was colored based on the maximum principal stress (left column) and the magnitudes of displacement (right column). In the early stage of the simulation, the model exhibited some curvature. As it underwent

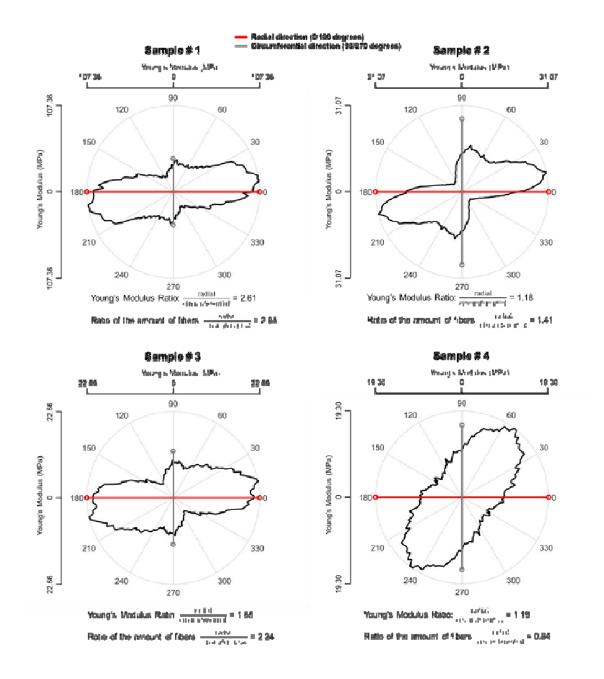
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stretching, the model gradually transformed into a flattened configuration. As stretching was
applied, a larger number of fibers experience higher levels of stress.



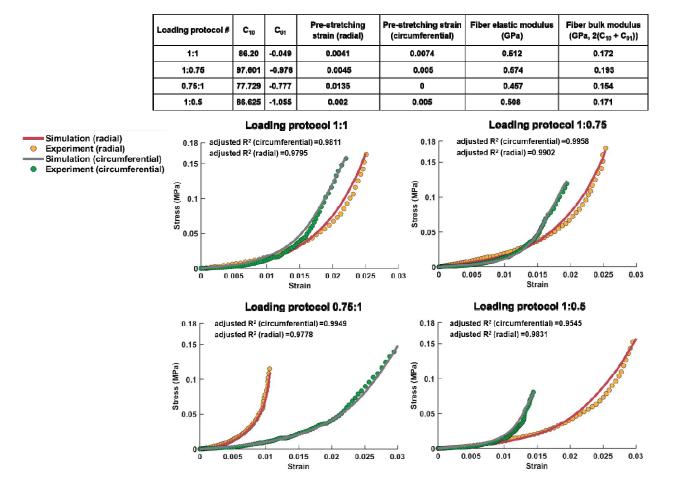
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Figure 8. Stress-strain responses of the fiber-matrix assembly and the corresponding experimental data. The stress-strain curves 798 were presented for both the radial and circumferential directions in each of the loading protocols. The fiber material properties were 799 800 determined by fitting the model to the stress-strain data of loading protocol 1:1 (first column). These derived material properties were 801 subsequently utilized directly for the inverse fitting in other loading protocols. The results demonstrated a successful fit between the 802 model and the experimental data, with consistent agreement achieved simultaneously in both the radial and circumferential directions for each loading protocol. The goodness of fit was guantified using the adjusted R<sup>2</sup> value, which exceeded 0.9 in most of the cases. 803 Notably for each sample, the stress-strain responses were obtained using the same fiber material properties throughout the 804 with 805 simulations. the variations observed solely the pre-stretching strains. in



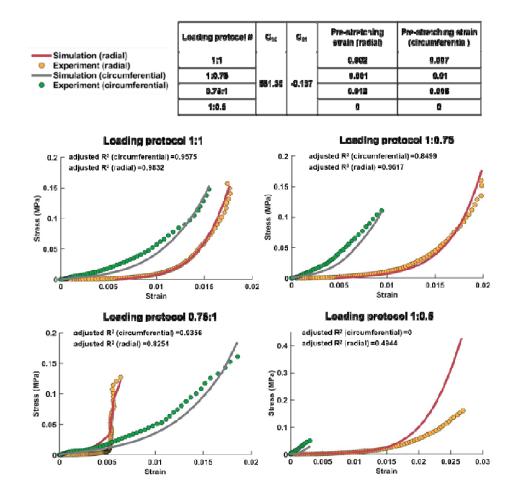
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**Figure 9.** Orientation distribution and mechanical anisotropy of all the models. The orientation distributions are depicted in polar plots. Young's modulus of each sample was estimated by calculating the slope of the model's stress-strain curve at maximum strain state. The results indicate that at maximum strain state, the stiffness along each direction is approximately, but not exactly proportional to the amount of fibers aligned in the loading direction.



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Figure 10. This figure illustrates the findings from the analysis using Sample #4 to assess the 813 similarity of derived material properties when fitting the model to experimental data from 814 different loading protocols. The table demonstrates that the values of C<sub>10</sub> and C<sub>01</sub> derived from 815 fitting the model to different loading protocols are closely aligned, resulting in comparable fiber 816 elastic modulus and fiber bulk modulus. Additionally, the stress-strain curves between the model 817 and experimental data exhibit a strong overall fit, with an adjusted R<sup>2</sup> greater than 0.9. These 818 819 results support the conclusion that the choice of loading protocol for fitting does not influence 820 the derived fiber material properties.



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Figure 11. This figure presents the results of using the model fiber structure of Sample #1 to fit 822 the experimental stress-strain data of Sample #2, aimed at assessing the possibility of achieving 823 an overly favorable match between the models and experimental data. Following the process 824 described in the main text, the fiber material properties  $C_{10}$  and  $C_{01}$  were derived by fitting the 825 model to loading protocol 1:1. The results indicate successful matches between the model and 826 experimental data for loading protocols 1:1, 1:0.75, and 0.75:1, with overall adjusted R<sup>2</sup> values 827 828 exceeding 0.8. However, for loading protocol 1:0.5, the model exhibits softer behavior than the 829 experiment in the circumferential direction and stiffer behavior in the radial direction, resulting in 830 adjusted R<sup>2</sup> values lower than 0.5. As such, it cannot be considered as a valid match. These findings reinforce that the model's applicability is not universal but rather dependent on 831 accurately matching the orientation distribution of the specific sample in order to replicate its 832 experimental data. 833

Loading Protocol #	Radial (kPa)	Circumferential (kPa)	
1:1	150	150	
1:0.75	150	112.5	
0.75:1	112.5	150	
1:0.5	150	75	
0.5:1	75	150	

**Table 1.** Maximum radial (anterior-posterior) and circumferential (equatorial) stress values for

each biaxial loading protocol.

Sample #	Temporal/Superior	Sheep #	Thickness(µm)	Number of Coronal Sections
1	Superior	Eye-1	528	50
2	Temporal	Eye-2	1168	87
3	Superior	Eye-2	635	60
4	Temporal	Eye-3	838	74

**Table 2.** Information about the four posterior sclera samples used for direct fiber modeling. The

samples were obtained from both the superior and temporal quadrants of three sheep eyes.

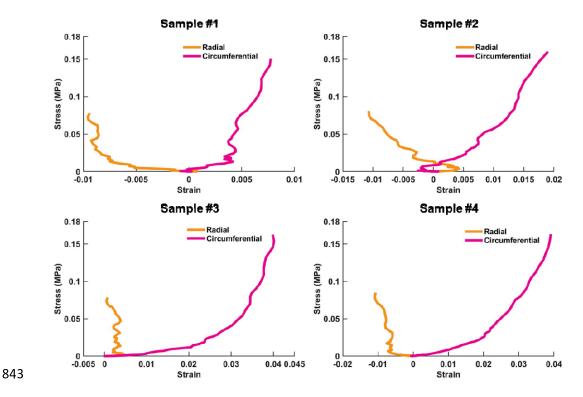
838 Each sample had a different thickness, resulting in a variation in the number of collected coronal

839 sections.

Sample #	Loading protocol #	C <sub>10</sub>	C <sub>01</sub>	Pre-stretching strain (radial)	Pre-stretching strain (circumferential)	Fiber elastic modulus (GPa)
1	1:1	4030	-2.0150	0.00825	0.00475	23.97
	1:0.75			0.00600	0.00725	
	0.75:1			0.00950	0.00750	
	1:0.5			0.00450	0.01050	
2	1:1	542.7	-0.1740	0.00050	0.00775	3.22
	1:0.75			0	0.01	
	0.75:1			0.01000	0.00625	
	1:0.5			0	0	
3	1:1		-0.0058	0	0.00400	0.47
	1:0.75	78.98		0	0.01100	
	0.75:1	70.90		0.01	0.01000	
	1:0.5			0	0.01350	
4	1:1			0.00410	0.00740	0.51
	1:0.75	86.20	0.0495	0.00600	0.00510	
	0.75:1	00.20	-0.0485	0.01300	0	
	1:0.5			0.00150	0.00550	

**Table 3.** The optimized fiber material properties ( $C_{10}$  and  $C_{01}$ ) and the pre-stretching strains along radial and circumferential directions.

# 842 Supplemental data



**Figure 1.** Stress-strain curves of the loading protocol 0.5:1 of the four samples. Under this loading condition, where the circumferential direction experienced higher stress compared to the radial direction, the radial direction of the sample exhibited a contraction, leading to negative strains.