#### **RESEARCH ARTICLE**

# Cognitive reserve and midlife vascular risk: Cognitive and clinical outcomes

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#### Abstract

**Objective:** Examine whether cognitive reserve moderates the association of 1) vascular risk factors and 2) white matter hyperintensity burden with risk of clinical progression and longitudinal cognitive decline. Methods: BIOCARD Study participants were cognitively normal and primarily middle-aged (M = 57years) at baseline and have been followed with annual cognitive and clinical assessments (M = 13 years). Baseline cognitive reserve was indexed with a composite score combining education with reading and vocabulary scores. Baseline vascular risk (N = 229) was assessed with a composite risk score reflecting five vascular risk factors. Baseline white matter hyperintensity load (N = 271) was measured with FLAIR magnetic resonance imaging. Cox regression models assessed risk of progression from normal cognition to onset of clinical symptoms of Mild Cognitive Impairment. Longitudinal mixed effects models measured the relationship of these variables to cognitive decline, using a global composite score, and executive function and episodic memory sub-scores. Results: Both vascular risk and white matter hyperintensities were associated with cognitive decline, particularly in executive function. Higher vascular risk, but not white matter hyperintensity burden, was associated with an increased risk of progression to Mild Cognitive Impairment. Higher cognitive reserve was associated with a reduced risk of symptom onset and higher levels of baseline cognition but did not modify the associations between the vascular risk score and white matter hyperintensities with clinical progression or cognitive decline. Interpretation: Although cognitive reserve has protective effects on clinical and cognitive outcomes, it does not mitigate the negative impact of vascular risk and small vessel cerebrovascular disease on these same outcomes.

# Introduction

Vascular risk factors, particularly during midlife, are associated with steeper late-life cognitive decline and increased risk of dementia.<sup>1,2</sup> For example, among cognitively normal, middle-aged individuals, the presence of hypertension,<sup>1,3,4</sup> obesity,<sup>4,5</sup> or elevated total cholesterol<sup>1,6</sup> has been linked to subsequent cognitive impairment and decline. Likewise, among cognitively normal middle-aged and older individuals, white-matter hyperintensities (WMH) on magnetic resonance imaging (MRI) scans are associated with cognitive decline.<sup>7–9</sup> WMH are primarily considered markers of small vessel cerebrovascular disease<sup>10</sup> and have been associated with higher levels of cardiovascular risk.<sup>11–14</sup>

Given the importance of midlife cardiovascular disease (CVD) for late-life cognitive functioning, the current study sought to investigate whether cognitive reserve (CR) moderates the effect of midlife vascular risk factors and WMH burden on long-term clinical and cognitive outcomes. CR is thought to be a property of the brain that ameliorates the effects of brain pathology or damage on cognition.<sup>15,16</sup> It is most commonly measured using proxy variables, such as literacy or educational and

occupational attainment. We recently reported that higher CR, measured using a composite score, is associated with lower WMH burden among middle-aged, cognitively normal individuals<sup>17</sup>; however, CR was not associated with a decreased rate of WMH accumulation over time. It remains unclear to what extent CR reduces the impact of midlife measures of WMH and vascular risk on rate of change in cognition.

A number of cross-sectional studies across the clinical spectrum (i.e., cognitively normal, MCI, and dementia) suggest that higher levels of CR may reduce the impact of WMH load<sup>18-22</sup> or vascular risk factors<sup>23-28</sup> on cognitive performance, at least for some cognitive domains. However, few longitudinal studies have examined this issue<sup>29-</sup> <sup>33</sup> and to our knowledge, none were conducted among individuals who were primarily middle aged and cognitively normal at baseline. Moreover, studies among cognitively normal older individuals (mean baseline age 70-80 years) have been characterized by short follow-up periods (mean 2.5-5 years) and produced mixed results. One study reported that although a higher level of CR was associated with better cognitive performance, CR did not modify the association between CVD and cognitive trajectories.<sup>31</sup> Another study found that years of education moderated the association between severe WMH and risk of progression to MCI,<sup>29</sup> such that WMH predicted progression only among those with low education (<8 years).

To the extent that midlife vascular risk and WMH could have consequences for long-term cognitive health among individuals with different levels of CR, this may have implications for clinicians and public health efforts focused on reducing or managing CVD. The current study addresses this important question using data from the BIOCARD study. All participants were cognitively normal when first enrolled, primarily middle age (mean 57.9=years) and have been followed for an average of 13 years. The long follow-up period, extensive cognitive testing, and the fact that a substantial number of participants have progressed to MCI or dementia allowed us to examine both the time to clinical symptom onset of MCI, as well as long-term cognitive trajectories. We used global and domain-specific cognitive measures that may be particularly relevant to vascular risk factors and WMH.<sup>21,22</sup>

# Methods

#### Study design

These data were derived from the BIOCARD study, an ongoing longitudinal study that was initiated in 1995 at the National Institutes of Health (NIH) to identify predictors of progression from normal cognition to mild symptoms of Alzheimer's disease (AD). About 75% of

participants had a first-degree relative with AD-dementia. The study was stopped in 2005 for administrative reasons and re-initiated at Johns Hopkins University (JHU) in 2009. While at the NIH, the study included comprehensive neuropsychological testing annually, and the collection of magnetic resonance imaging (MRI) scans, cerebrospinal fluid (CSF) samples, and blood specimens approximately every 2 years. Since the study has been at JHU, the annual evaluations have included clinical and cognitive assessments, and the collection of blood. In 2015, the biennial collection of CSF and MRI scans was re-established, and amyloid imaging was initiated (see Fig. 1 for a study timeline). Supplementary Materials S1 provides additional details regarding the study design and participant recruitment. This study was approved by the JHU Institutional Review Board.

As described previously,<sup>34</sup> 349 participants were initially enrolled after providing written informed consent. Participants were excluded at baseline if they were judged to be cognitively impaired, or if they had significant medical problems, including severe CVD, epilepsy, or substance abuse. The current analyses involving WMH included 271 participants (of the 317 with baseline MRI scans); analyses involving vascular risk scores included 229 participants (see Supplementary Materials S2 for reasons for exclusion of participants).

# Clinical assessments and consensus diagnostic procedure

The annual clinical and cognitive assessments have been described previously (see also Supplementary Materials S1).<sup>34</sup> Consensus diagnoses have been completed annually for each participant. The BIOCARD Clinical Core employed the criteria recommended by the National Institute on Aging and Alzheimer's Association working group for the diagnosis of MCI<sup>35</sup> and dementia due to AD.<sup>36</sup> Briefly, for each case a syndromic diagnosis is first established using (1) clinical data pertaining to the individual's medical, neurological, and psychiatric status; (2) reports of changes in cognition by the individual and by collateral sources (based on the Clinical Dementia Rating scale (CDR)<sup>37</sup>); and (3) evidence of cognitive decline based on longitudinal neuropsychological test performance and comparison to published norms. Participants with contrasting information from the CDR interview and the cognitive test scores received a diagnosis of Impaired Not MCI (i.e., when there was evidence of decline in cognitive testing, but the subject or collateral source had no concerns about cognitive changes in daily life, or vice versa). Second, for subjects judged to be cognitively impaired, the likely etiology of the impairment was determined using all available information, including



Figure 1. Timeline showing the BIOCARD study design, including the types of data collected each year.<sup>17</sup> Abbreviations: CSF, cerebrospinal fluid; MRI, magnetic resonance imaging; NIH, National Institutes of Health; PET, positron emission tomography.

vascular risks (but not biomarker measures). Multiple etiologies could be endorsed (e.g., AD and vascular disease). Information from the CDR interview, conducted with both the subject and the collateral source, is used to estimate the age at which the clinical symptoms began, if a subject is judged to be cognitively impaired. The age of onset of symptoms of MCI was the primary clinical outcome variable in this study.

#### **Cognitive assessments**

The main cognitive outcome variable in this study was an a-priori derived global cognitive composite score based on four tests previously determined to provide the best combination of cognitive predictors of progression from normal cognition to MCI in the BIOCARD cohort,<sup>34</sup> including: Paired Associates immediate recall (Wechsler Memory Scale–Revised; WMS-R), Logical Memory delayed recall (Story A; WMS-R), Boston Naming, and Digit-Symbol Substitution (Wechsler Adult Intelligence Scale–Revised; WAIS-R). To calculate the composite score, these four measures were converted to z-scores (using all available observations) and then averaged, with the requirement that at least 2 of the 4 scores were present at a given time point.

To evaluate domain-specific cognitive performance, two additional scores were calculated, also using z-score averages (based on all observations): (1) a verbal episodic memory composite (using Paired Associates immediate recall and Logical Memory delayed recall); and (2) an executive functioning/speed of processing composite (using Digit-Symbol Substitution and Digit Span backwards (WMS-R)). Cognitive trajectories were calculated from an individual's baseline WMH measure or vascular risk score, through all available follow-up.

#### Cognitive reserve composite score

CR was measured with a composite score that included three commonly used proxy measures reflecting lifetime cognitive experiences: (1) years of education; (2) baseline scores from the National Adult Reading Test<sup>38</sup>; (3) baseline scores on the vocabulary subtest of the WAIS-R<sup>39</sup>. Because the three measures are highly correlated and load onto a single factor in a factor analysis,<sup>40</sup> they were z-scored (using baseline values only) and then averaged to create the composite score.

#### **APOE** genotyping and coding

APOE genotypes were determined by restriction endonuclease digestion of polymerase chain reaction amplified genomic DNA (Athena Diagnostics, Worcester, MA). APOE4 carrier status was coded dichotomously (i.e.,  $\epsilon$ 4 carriers vs. non-carriers). Analyses including APOE4 excluded APOE  $\epsilon$ 2/ $\epsilon$ 4 carriers since these alleles have contrasting effects on dementia risk.<sup>41,42</sup>

#### Summary vascular risk score

The baseline vascular risk composite score was based on medical records or self-report during a medical history interview. The score was calculated by summing five dichotomous vascular risk factors (each coded as 0 = absent vs. 1 = recent/remote), as previously published<sup>43</sup>: hypertension; hypercholesterolemia; diabetes; current smoking (i.e., within the last 30 days); and obesity (i.e., measured body mass index >30). Because few individuals had 2 (n = 29) or 3 or more (n = 7) risk factors, analyses were conducted using both categorical (0, 1, 2, 3, 4) and dichotomous (0 vs. 1+) composite vascular risk scores.

#### White matter hyperintensity volumes

WMH volumes were derived from axial fluid-attenuated inversion recovery (FLAIR) images obtained on a GE 1.5T scanner while the study was at the NIH (TR = 9,002, TE = 157.5, FOV =  $256 \times 256$ , thickness/gap = 5.0/0.0 mm, flip angle = 90, 28 slices). An automated method, described previously,<sup>44,45</sup> was used to quantify global WMH volumes (for details, see Supplementary Materials S3). Because the distribution of baseline WMH

 Table 1. Baseline characteristics for participants included in the WMH volume analyses. Values reflect mean (SD) [range] unless otherwise indicated.

	Participants in WMH Analyses			
	All participants (N = 271)	Remained cognitively normal (n = 211)	Progressed to MCI or dementia (n = 60)	
			C 4 4 (40 D)+	
Age Female gender, N (%)	57.9 (10.5) 161 (59.4%)	56.0 (9.9) 130 (61.6%)	64.1 (10.3)* 31 (51.7%)	
White race/ethnicity, N (%)	264 (97.4%)	208 (98.6%)	56 (93.3%)	
APOE ε4 carrier, N (%)	86 (31.7%)	65 (30.8%)	21 (35.0%)	
Years of education Mini-Mental State Examination	17.1 (2.3) 29.7 (0.7)	17.2 (2.3) 29.7 (0.6)	16.7 (2.4) 29.6 (0.9)	
Baseline cognitive composite score	-0.16 (0.7)	-0.02 (0.6)	-0.67 (0.8)*	
Most recent cognitive composite score	-0.09 (1.0)	0.20 (0.7)	-1.27 (1.3)*	
Years of follow-up	12.9 (3.5) [0.9-17.5]	12. 9 (3.6) [0.9-17.5]	13.0 (3.0) [2.0-17.0]	
No. of cognitive measures over time	8.1 (3.0) [1-14]	8.3 (3.0) [1- 14]	7.2 (3.0) [1-12]*	
Years from baseline to onset of clinical symptoms of MCI	-	_	6.4 (3.4)	
Cognitive reserve composite score	0.00 (0.7)	0.17 (0.6)	-0.7 (0.8)*	
WMH volume, cm <sup>3</sup>	3.2 (5.5) [0.03- 52.0]	2.6 (4.6) [0.03-52.0]	5.2 (7.6) [0.09-44.9]*	
WMH volume in upper quartile, N (%)	65 (24.0%)	42 (19.9%)	23 (38.3%)*	
WMH volume in lower three quartiles, N (%)	206 (76.0%)	169 (80.1.%)	73 (61.7%)*	

volumes was skewed, all analyses were run using WMH both as a continuous variable and dichotomized based on quartiles (1 = highest quartile; 0 = lowest three quartiles).

#### **Statistical analyses**

Baseline age was defined as the age at the first FLAIR scan (for WMH) or first vascular risk score respectively. The mean time from baseline visit to first FLAIR scan was 0.9 years (SD = 1.5), and from baseline visit to first vascular risk score was 0.06 years (SD = 0.75).

We used Cox regression models to examine the association between baseline CR score and baseline WMH volume or vascular risk score with risk of onset of clinical

 
 Table 2. Baseline characteristics for participants included in the vascular risk score analyses. Values reflect mean (SD) [range] unless otherwise indicated.

	Participants in Vascular Risk Score Analyses			
	All participants (N = 229)	Remained cognitively normal (n = 184)	Progressed to MCI or dementia (n = 45)	
Δαε	56.8 (10.3)	54 7 (9 7)	64 5 (8 0)*	
Female gender, N (%)	139 (60.7%)	118 (64.1%)	21 (46.7%)*	
White race/ethnicity, N (%)	222 (96.9%)	181 (98.4%)	41 (91.1%)*	
APOE ε4 carrier, N (%)	71 (31.0%)	56 (30.4%)	15 (33.3%)	
Years of education Mini-Mental State Examination	17.3 (2.2) 29.6 (0.7)	17.3 (2.2) 29.6 (0.7)	17.3 (2.2) 29.4 (0.9)	
Baseline cognitive composite score	-0.07 (0.6)	0.03 (0.6)	-0.52 (0.6)*	
Most recent cognitive composite score	-0.05 (1.0)	0.22 (0.7)	-1.26 (1.2)*	
Years of follow-up	14.3 (3.8) [0.0-20.7]	14.1 (4.0) [0.0-20.7]	14.9 (3.2) [4.2-20.0]	
No. of cognitive measures over time	9.7 (3.6) [1-18]	9.7 (3.7) [1-18]	9.4 (3.5) [2-16]	
Years from baseline to onset of clinical symptoms of MCI	-	-	8.0 (3.9)	
Cognitive reserve composite score	0.13 (0.7)	0.18 (0.7)	-0.05 (0.8)*	
Vascular risk score	0.59 (0.8) [0-4]	0.52 (0.8) [0-4]	0.89 (0.7) [0-2]*	
Vascular risk score = 0, N (%)	130 (56.8%)	115 (62.5%)	15 (33.3%)*	
Vascular risk score = 1+, N (%)	99 (43.2%)	69 (37.5%)	30 (66.7%)*	

Abbreviations: WMH, white matter hyperintensity.

\*Significant difference between outcome groups, P < 0.05.

\*Significant difference between outcome groups, P < 0.05.

symptoms of MCI. The models, which were adjusted for left-truncation,<sup>46</sup> compared two groups: (1) participants who were cognitively normal at both baseline and their last visit, and (2) participants who were normal at baseline but were diagnosed with MCI or dementia at last follow-up. For this latter group, the estimated age of clinical symptom onset was used as the outcome variable. The last date of diagnosis was used as the censoring time. Participants with a diagnosis of Impaired Not MCI (N = 38 and N = 31 for WMH volume and vascular risk analyses, respectively) were included in the cognitively normal group (as they do not meet criteria for MCI). Results were comparable when they were excluded. Hazard ratios (HR) were calculated, which represent the change in relative risk of progression for one-unit change in the predictor. The HRs for all continuous variables can be directly compared because all continuous variables were standardized (i.e., z-scored). Models included the following predictors: baseline age, gender, CR composite score, and baseline WMH volume or vascular risk score, as well as interaction terms (CR  $\times$  WMH or CR  $\times$  vascular risk score) for determining if level of CR moderates the relationship between baseline WMH or vascular risk scores and risk of progression.

We used longitudinal linear mixed effects models<sup>47</sup> to examine the association between baseline CR score and WMH volume or vascular risk score on longitudinal cognitive trajectories, using separate models for the three cognitive composite scores. Models were specified with random intercepts and slopes and included linear effects of time (in unit of years). All other continuous variables were standardized before model fitting. Models included terms for baseline age, gender, CR score, WMH volume or vascular risk score, time, and the interaction (crossproduct) of each predictor with time. Three-way interactions (CR  $\times$  WMH or vascular risk score  $\times$  time) evaluated whether CR moderates the relationship between baseline WMH or vascular risk scores and cognitive change. If the three-way interaction was not significant, we ran reduced models that excluded this term as well as the corresponding lower order interaction term (i.e.,  $CR \times WMH$  or vascular risk score).

Group differences in baseline characteristics of participants were assessed with two-tailed Wilcoxon rank sum tests for continuous variables or chi-square tests for dichotomous variables. We used a significance level of p<0.05, uncorrected for multiple comparisons.

All analyses were run in R, version 3.5.0.

### Results

Baseline characteristics for participants included in the WMH and vascular risk analyses are shown in Tables 1

and 2 respectively. Participants who progressed to MCI/ dementia tended to be older, have lower baseline cognitive composite scores, lower CR composite scores, and higher baseline WMH volumes and vascular risk scores than those who remained cognitively normal. AD was the most common etiology proposed for MCI/dementia cases (~90%), followed by vascular disease (~50%) (Supplementary Materials S4). See Supplementary Materials S5 for diagnostic frequencies as a function of baseline WMH and vascular risk burden.

# Baseline CR, WMH volumes, and vascular risk scores in relation to time to clinical symptom onset

In the Cox regression models, higher baseline CR was significantly associated with approximately a 50% decrease in the relative risk of progression (Table 3). Additionally, higher baseline vascular risk scores (both categorical and dichotomous) were associated with an increased risk of progression to MCI clinical symptom onset (Fig. 2). However, neither continuous nor dichotomous baseline WMH volumes were significant predictors of time to

 Table 3. Cox regression model results for baseline CR scores, and

 WMH volumes or vascular risk scores, in relation to onset of clinical symptoms of MCI.

			<i>P</i> -
	Estimate (SE)	HR (95% CI)	value
WMH Volume –			
Continuous			
CR composite score	-0.651 (0.136)	0.52 (0.40, 0.68)	< 0.001
WMH volume	0.145 (0.132)	1.16 (0.89, 1.51)	0.27
$CR \times WMH$	-0.072 (0.140)	0.93 (0.70, 1.23)	0.61
WMH Volume –			
Dichotomous			
CR composite score	-0.653 (0.161)	0.52 (0.38, 0.70)	< 0.001
WMH volume	-0.088 (0.325)	0.92 (0.48, 1.75)	0.79
$CR \times WMH$	-0.098 (0.263)	0.91 (0.54, 1.53)	0.71
Vascular Risk Score –			
Categorical			
CR composite score	-0.687 (0.202)	0.50 (0.34, 0.75)	0.001
Vascular risk score	0.411 (0.180)	1.51 (1.05, 2.16)	0.02
$CR \times vascular risk$	0.205 (0.157)	1.23 (0.90, 1.68)	0.19
score			
Vascular Risk Score –			
Dichotomous			
CR composite score	-0.695 (0.228)	0.50 (0.32, 0.79)	0.002
Vascular risk score	0.917 (0.347)	2.50 (1.25, 5.01)	0.008
CR×vascular risk score	0.309 (0.298)	1.36 (0.75, 2.47)	0.30

Note: All models adjusted for baseline age and gender.

Abbreviations: CI, confidence interval; CR, cognitive reserve; HR, hazard ratio; MCI, Mild Cognitive Impairment; SE, standard error; WMH, white matter hyperintensity.



Figure 2. Survival plots, based on Cox regression model, showing the proportion of subjects who remained cognitively normal over time as a function of their baseline WMH burden (top panel [A], low = 25th percentile [shown with triangles]; high = 75th percentile [shown with squares]) or vascular risk score (bottom panel [B], low = 0 [shown with squares]; high = 1+ [shown with triangles]) and baseline CR score (low = 25th percentile [shown with filled triangles and squares]. high = 75th percentile [shown with open triangles and squares]). WMH were not associated with risk of progression. Both high vascular risk and low CR were independently associated with a lower proportion of participants who remain cognitively normal (i.e., higher risk of progression; see text for details).

clinical symptom onset. Results were similar when APOE4 status was included as an additional predictor. Importantly, there was no evidence that baseline CR modified the relationship between baseline WMH volumes or vascular risk scores and risk of progression (Table 3).

### Baseline CR, WMH volumes, and vascular risk scores in relation to cognitive trajectories

Higher vascular risk scores were associated with greater rates of global cognitive decline (Table 4; Fig. 3). In the domain-specific analyses, higher baseline WMH volumes and vascular risk scores were associated with greater decline on the executive function/speed composite score (Table 6) but were unrelated to the episodic memory composite (Table 5). Additionally, higher baseline WMH

Table 4. Longitudinal mixed effects model results for baseline CR scores, and WMH volumes or vascular risk scores, in relation to the global cognitive composite score.

	WMH Volume – Continuous		WMH Volume – Dichotomous	
	Estimate (SE)	<i>P-</i> value	Estimate (SE)	<i>P</i> - value
Time CR composite score (level)	0.010 (0.006) 0.332 (0.046)	0.08 <0.001	0.014 (0.007) 0.334 (0.048)	0.03 <0.001
WMH volume (level)	-0.093 (0.043)	0.03	-0.227 (0.123)	0.07
CR composite score x time (slope)	0.001 (0.005)	0.76	0.001 (0.005)	0.80
WMH volume x time (slope)	-0.015 (0.005)	0.002	-0.021 (0.012)	0.08

	Vascular Risk S Categoric	Vascular Risk Score – Categorical		Vascular Risk Score – Dichotomous	
	Estimate (SE)	<i>P-</i> value	Estimate (SE)	<i>P-</i> value	
Time	0.014 (0.006)	0.02	0.015 (0.006)	0.02	
CR composite score (level)	0.273 (0.049)	<0.001	0.271 (0.049)	<0.001	
Vascular risk score (level)	-0.001 (0.061)	0.99	-0.051 (0.100)	0.61	
CR composite score × time (slope)	0.004 (0.004)	0.31	0.003 (0.004)	0.46	
Vascular risk score × time (slope)	-0.017 (0.005)	0.001	-0.025 (0.008)	0.002	

Note: All models adjusted for baseline age, gender, and their interactions with time. All two- and three-way interactions of CR x WMH volume or vascular risk score and CR x WMH volume or vascular risk score x time were not significant (all p>0.2) and therefore excluded from the final models.

Abbreviations: CI, confidence interval; CR, cognitive reserve; SE, standard error; WMH, white matter hyperintensity.

volumes (continuous) were associated with lower levels of global cognitive performance and greater rates of global cognitive decline (Table 4, for dichotomous WMH values, the association did not reach significance, both  $p \le 0.08$ ). Higher CR scores were consistently associated with higher levels of cognitive performance, but not with change in cognitive performance over time, as reflected in the reduced models for the global (Table 4; Fig. 3), episodic memory (Table 5), and executive function/speed (Table 6) composite scores. There was no evidence that CR modified the relationship between baseline WMH or vascular risk scores and cognitive trajectories (all three-way interactions,  $p \ge .13$ ; data not shown).



**Figure 3.** Estimates with 95% confidence bands from linear mixed effects models predicting longitudinal global cognitive composite scores over time as a function of baseline CR score (low = 25th percentile; high = 75th percentile) for four groups of participants: low baseline WMH burden (25th percentile, panel A); high baseline WMH burden (75th percentile, panel B); vascular risk score = 0 (panel C); and vascular risk score = 1+ (panel D). Estimates are adjusted for baseline age, sex, and their interactions with time. While higher CR scores were associated with higher level of cognitive performance, CR scores did not modify cognitive performance over time, as indicated by the slopes. Both WMH and vascular risk burden were associated with greater cognitive decline.

# Discussion

This study found that independent of relatively low levels of midlife vascular risk factors and WMH burden, higher baseline CR scores were consistently associated with a reduction in the risk of symptom onset of MCI and higher level of cognitive performance (both globally and in the domains of executive functioning and episodic memory) among individuals who were cognitively normal at baseline. CR was not, however, associated with rates of cognitive change, in line with prior work.<sup>16</sup> This suggests that the protective effects of CR are equivalent across the observed range of vascular risk and WMH burden.

This is the first study, to our knowledge, to examine interactions between CR and both WMH and vascular risk in relation to longitudinal clinical or cognitive outcomes among largely middle-aged individuals with normal cognition at baseline. Additionally, only two prior studies, conducted among cognitively normal individuals in their 70s, have examined interactions between CR and WMH on longitudinal cognitive and clinical trajectories. In line with our results, Vemuri et al.<sup>31</sup> reported no interaction between measures of CR and CVD on longitudinal cognitive decline. In contrast, Mortamais et al.<sup>29</sup> found that high WMH burden was associated with risk of MCI/ dementia only among individuals with low education ( $\leq$ 8 years) but not high education (8+ years); notably, the same interaction was not significant when education was dichotomized at 12 years. This may be suggestive of a nonlinear relationship between CR and WMH with respect to the risk of progression when CR is very low, although additional studies are needed to evaluate this hypothesis.

This study also showed that relatively low levels of midlife vascular risk and WMH were associated with greater rates of global cognitive decline. In line with prior studies, these declines were stronger for executive function/speed of processing compared to episodic memory.<sup>4,48–50</sup> However, only vascular risk factors (not WMH) were associated with risk of clinical symptom onset. While some prior studies among individuals with baseline ages in their 70s-80s have reported relationships between WMH and subsequent risk

**Table 5.** Longitudinal mixed effects model results for baseline CR scores, and WMH volumes or vascular risk scores, in relation to the verbal episodic memory composite score.

	WMH Volume – Continuous		WMH Volume – Dichotomous	
	Estimate	<i>P-</i> value	Estimate	<i>P-</i> value
Time	0.024 (0.006)	<0.001	0.027 (0.007)	<0.001
CR composite score (level)	0.230 (0.053)	<0.001	0.228 (0.055)	<0.001
WMH volume (level)	-0.065 (0.047)	0.17	-0.125 (0.141)	0.38
CR composite score x time (slope)	0.006 (0.005)	0.21	0.006 (0.005)	0.249
WMH volume x time (slope)	-0.006 (0.005)	0.22	-0.016 (0.013)	0.21

	Vascular Risk Score – Categorical		Vascular Risk Score Dichotomous	
	Estimate	<i>P-</i> value	Estimate	<i>P</i> - value
Time	0.024 (0.006)	<0.001	0.025 (0.007)	< 0.00
CR composite score (level)	0.157 (0.054)	0.004	0.152 (0.055)	0.00
Vascular risk score (level)	-0.007 (0.069)	0.92	-0.092 (0.112)	0.41
CR composite score x time (slope)	0.007 (0.004)	0.12	0.006 (0.004)	0.16
Vascular risk score x time (slope)	-0.010 (0.006)	0.06	-0.015 (0.009)	0.09

*Note:* All models adjusted for baseline age, gender, and their interactions with time. All two- and three-way interactions of CR x WMH volume or vascular risk score and CR x WMH volume or vascular risk score x time were not significant (all  $P \ge 0.13$ ) and therefore excluded from the final models.

Abbreviations: CI, confidence interval; CR, cognitive reserve; SE, standard error; WMH, white matter hyperintensity.

of MCI, findings have been mixed,<sup>8,9,51,52</sup> possibly reflecting differences in age, WMH burden, or overall levels of neurodegeneration.<sup>45</sup> We hypothesize that a composite measure of vascular risks may have a stronger relationship with clinical and cognitive outcomes because vascular risks have more widespread effects on the brain, including WMH burden, white matter integrity, brain atrophy, and infarcts, among others.<sup>4,53,54</sup> Although we did not examine interactions between AD and CVD, we further hypothesize that CVD may lower the threshold for the impact of AD on cognition, given recent findings from this and other cohorts that AD and CVD appear independently associated

**Table 6.** Longitudinal mixed effects model results for baseline CR

 scores, and WMH volumes or vascular risk scores, in relation to the executive function/speed of processing composite score.

	WMH Volun Continuo	WMH Volume – Continuous		ne – ous
	Estimate	<i>P-</i> value	Estimate	<i>P-</i> value
Time	-0.019 (0.004)	<0.001	-0.016 (0.004)	<0.001
CR composite score (level)	0.345 (0.047)	< 0.001	0.342 (0.048)	<0.001
WMH volume (level)	-0.056 (0.043)	0.20	-0.145 (0.124)	0.24
CR composite score × time (slope)	-0.001 (0.003)	0.70	-0.002 (0.003)	0.59
WMH volume × time (slope)	-0.010 (0.004)	0.003	-0.019 (0.008)	0.02

	Vascular Risk S Categoric	Vascular Risk Score – Categorical		Vascular Risk Score – Dichotomous	
	Estimate	<i>P-</i> value	Estimate	<i>P-</i> value	
ime IR composite score (level)	-0.014 (0.004) 0.273 (0.048)	<0.001 <0.001	-0.013 (0.004) 0.263 (0.048)	0.001 <0.001	
/ascular risk score (level)	-0.103 (0.061)	0.09	-0.211 (0.099)	0.03	
CR composite score × time (slope)	0.004 (0.003)	0.11	0.004 (0.003)	0.16	
/ascular risk score × time (slope)	-0.010 (0.003)	0.002	-0.014 (0.005)	0.006	

*Note:* All models adjusted for baseline age, gender, and their interactions with time. All two- and three-way interactions of CR x WMH volume or vascular risk score and CR x WMH volume or vascular risk score x time were not significant (all p>0.1) and therefore excluded from the final models.

Abbreviations: CI, confidence interval; CR, cognitive reserve; SE, standard error; WMH, white matter hyperintensity.

with the risk of  $MCI^{55}$  and cognitive decline<sup>56</sup> (also see 57,58).

This study has limitations. BIOCARD participants are primarily white, highly educated, and have a strong family history of AD, limiting the generalizability of these findings. Additionally, participants had relatively low levels of vascular risk and WMH burden at baseline. Therefore, results may differ in samples with greater variability in levels of vascular risk, cerebrovascular disease, or CR.

Taken together, these findings suggest that midlife levels of both CR and vascular risk and cerebrovascular disease have significant and independent consequences on long-term cognitive health. In light of the finding that higher levels of CR are also associated with lower WMH burden at midlife,<sup>17</sup> these findings further suggest that CR may impact cognitive and clinical outcomes in two ways: (1) by directly or indirectly reducing cerebrovascular disease (possibly by altering lifestyle factors that reduce vascular risk), and (2) by increasing baseline cognitive performance and thereby delaying the impact of vascular risk and WMH on clinical symptom onset. These findings also suggest that efforts to reduce and manage factors that affect cerebrovascular disease may benefit individuals with different levels of CR equally and may thereby substantially impact the prevalence of cognitive decline and dementia among older persons.

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# **Conflicts of Interest**

Dr. Soldan reports no disclosures. Dr. Pettigrew reports no disclosures. Dr. Zhu reports no disclosures. Dr. Wang reports no disclosures. Dr. Gottesman is an Associate Editor for the journal *Neurology*. Dr. DeCarli is a consultant to Novartis for a trial on heart failure. Dr. Albert is an advisor to Eli Lilly.

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# **Supporting Information**

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Supplementary Materials S1. Study Design, Participant Selection, Clinical Assessments, and Consensus Diagnosis Procedure, and Cognitive Assessments

Supplementary Materials S2. Reasons participants were excluded from the analyses

**Supplementary Materials S3.** White matter hyperintensity volume calculation

**Supplementary Materials S4.** Distribution of etiologies proposed to underly the clinical diagnoses of MCI or dementia

**Supplementary Materials S5.** Distribution of etiologies proposed to underly the clinical diagnoses of MCI or dementia as a function of baseline WMH and vascular risk burden