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ADORA2A variation and adenosine A₁ receptor availability in the human brain with a focus on anxiety-related brain regions: modulation by ADORA1 variation

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Abstract

Adenosine, its interacting A₁ and A_{2A} receptors, and particularly the variant rs5751876 in the A_{2A} gene *ADORA2A* have been shown to modulate anxiety, arousal, and sleep. In a pilot positron emission tomography (PET) study in healthy male subjects, we suggested an effect of rs5751876 on in vivo brain A₁ receptor (A₁AR) availability. As female sex and adenosinergic/dopaminergic interaction partners might have an impact on this rs5751876 effect on A₁AR availability, we aimed to (1) further investigate the pilot male-based findings in an independent, newly recruited cohort including women and (2) analyze potential modulation of this rs5751876 effect by additional adenosinergic/dopaminergic gene variation. Healthy volunteers (32/11 males/females) underwent phenotypic characterization including self-reported sleep and A₁AR-specific quantitative PET. Rs5751876 and 31 gene variants of adenosine A₁, A_{2A}, A_{2B}, and A₃ receptors, adenosine deaminase, and dopamine D₂ receptor were genotyped. Multivariate analysis revealed an rs5751876 effect on A₁AR availability ($P = 0.047$), post hoc confirmed in 30 of 31 brain regions (false discovery rate (FDR) corrected P values < 0.05), but statistically stronger in anxiety-related regions (e.g., amygdala, hippocampus). Additional effects of *ADORA1* rs1874142 were identified; under its influence rs5751876 and rs5751876 \times sleep had strengthened effects on A₁AR availability ($P_{\text{both}} < 0.02$; post hoc FDR-corrected P s < 0.05 for 29/30 regions, respectively). Our results support the relationship between rs5751876 and A₁AR availability. Additional impact of rs1874142, together with rs5751876 and sleep, might be involved in regulating arousal and thus the development of mental disorders like anxiety disorders. The interplay of further detected suggestive *ADORA2A* \times *DRD2* interaction, however, necessitates larger future samples more comparable to magnetic resonance imaging (MRI)-based samples.

Introduction

Anxiety is a fundamental emotion eliciting considerable reactions like increased attention, heart rate, and energy

metabolism¹. In case of pathological anxiety/anxiety disorders, dysregulation in brain regions of the “fear network” occurs, e.g., hyperactivation of amygdala, hippocampus, thalamus, and cingulum, as well as decreased interconnectivity between these regions^{2,3}. Anxiety and arousal are tightly connected, both counteract sleep, and both are strongly linked to the adenosinergic system^{4–7}. The purine nucleoside adenosine is ubiquitous in body and brain and involved in the

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regulation of e.g., heart rate, energetic homeostasis, sleep, arousal, and anxiety^{4,5,8}. It acts through the four G-protein coupled adenosine receptors (AR) A₁AR, A_{2A}AR, A_{2B}AR, and A₃AR, of which particularly the first is widely distributed in the brain and has a high affinity to adenosine^{4–6,9}. An increase in A₁AR function was reported to elicit anxiolytic effects^{10,11} and quantification of A₁AR availability via positron emission tomography (PET) demonstrated an A₁AR increase after sleep loss^{12,13}. A further PET investigation revealed a modulation of A₁AR availability by caffeine¹⁴, which antagonistically binds to both A₁AR and A_{2A}AR¹⁵. Both receptors are coexpressed, form heteromers, and interact to regulate adenosinergic and glutamatergic neurotransmission in the brain, which, apart from various physiological functions, have been implicated in pathological alterations related to psychiatric and anxiety disorders (e.g.,^{4–6,16–18}). Genetic loss of A₁AR or A_{2A}AR enhanced anxiety-related behavior in knockout mice^{19,20} and variants in the corresponding human genes *ADORA1* and *ADORA2A* were reported to be associated with, for example, sympathetic arousal-related blood-injury phobia²¹, panic disorder^{22,23}, and anxiety-related autism spectrum disorder²⁴. Further associations were identified in healthy subjects with caffeine-induced anxiety^{25–27} and caffeine effects on sleep (e.g.,²⁸). In all these studies *ADORA2A* was reported to have the stronger impact compared with *ADORA1*. Especially the *ADORA2A* single-nucleotide variant rs5751876 (1976C/T, formerly 1083 C/T) revealed strongest and most consistent associations, and its rare T-allele repeatedly turned out at risk for elevated or pathological anxiety (e.g.,^{21–23,25–27}). Further studies support the notion that there is a relation between anxiety and caffeine challenge in female rs5751876 TT homozygotes, indicating also a female sex influence in increased anxiety^{29,30}.

Among this direct *ADORA2A* rs5751876 effect on anxiety, a previous pilot study reported the T-allele to be associated with increased brain A₁AR availability in healthy participants as measured by PET³¹, suggesting indirect effects on anxiety via A₁AR modulation. Although this finding highlights the potential putative role of an interaction between both receptors, the pilot study was restricted to a small sample of 28 male individuals only, allowing only exploratory analyses of few *ADORA2A* and *ADORA1* variants. However, there is evidence to suggest that female sex and additional adenosinergic or dopaminergic genetic variants might as well have a modulatory impact on the rs5751876 effect on A₁AR availability. Female sex is associated with a higher risk of anxiety/anxiety disorders as well as with a higher risk of sleep- (disruption-) related disorders like insomnia or hypertension (e.g.,^{29,32}). A_{2B}AR, A₃AR, and the adenosine deaminase as members of the adenosinergic

system mediate adenosine actions via receptor-receptor interactions³³ and were linked to anxiety- or arousal-related behavior in mice^{9,34,35}. The dopamine D₂ receptor (D₂R) functions as major interaction partner of A_{2A}AR as demonstrated by their colocalization, heteromerization, and cross-desensitization^{36,37}. Genetic interaction of *DRD2-ADORA2A* variants has been shown to affect caffeine-induced anxiety²⁶, whereas *DRD2* variants alone, notably the *DRD2/ANKK1* variant rs1800497 (Taq1A polymorphism), were reported to be associated with anxiety symptoms/disorders (e.g.,^{38–41}).

Taken together, previous studies suggest that female sex and additional genetic variations might further modulate the *ADORA2A* rs5751876 effect on brain A₁AR availability. Therefore, the present study aimed to expand on the original pilot study findings by analyzing a larger set of potentially interacting genetic variants in an independent newly recruited male/female mixed cohort. We hypothesized firstly, that the pilot male-based rs5751876 effect on in vivo brain A₁AR availability³¹ can be observed also in an independent male-female based sample of healthy humans. Second, we hypothesized that genetic variants of A₁AR, A_{2B}AR, A₃AR, ADA, and D₂R contribute to the modulation of the rs5751876 effect on in vivo brain A₁AR availability.

Subjects and methods

Subjects

All subjects were newly recruited via advertisement and a subsequent interview by phone. Forty-three healthy volunteers were finally included in the study (mean age 34.2 ± 14.1 y; 32 males, 11 females; for further details see supplemental material) after obtaining written informed consent for imaging procedure, blood sampling, and genetic testing. Exclusion criteria were neurological or psychiatric disorders, head trauma, systemic diseases, or substance/drug abuse interfering with ARs. All these subjects were phenotypically characterized by a further standardized interview (subjects demographics/characteristics in Table 1) and underwent medical examination before PET imaging at the PET laboratory of the Institute of Neuroscience and Medicine (Forschungszentrum Jülich). All subjects had a history free of psychiatric and neurological diseases. Self-reported habitual sleep duration, current medication, presence of allergies, and consumption of cigarettes, alcohol, coffee and caffeine-containing drinks were obtained by self-rating on a standardized questionnaire routinely used in the PET laboratory (for answers/results see Table 1), which all subjects filled-in before PET imaging. Average sleep duration per night was given in hours and coffee consumption in cups/day (0.15 mL). Whole amount of caffeine-containing drinks per day was assessed in liters. Prior to PET scanning participants were asked to avoid

Table 1 Participants' demographics/characteristics in present sample ($N = 43$) and confounding effects on A₁AR availability and across ADORA2A genotype groups.

Demographics/characteristics	<i>N</i>	Range	Mean (\pm SD)	<i>P</i> value ⁶	A ₁ AR availability higher ⁶ in:	<i>P</i> value ⁷
Sex (male/female)	32/11	–	–	<0.05	Females	<0.05
Age (years)	43	18–67	34.22 (14.05)	<0.05	Younger subj.	n.s.
Body height (cm)	43	154–195	177.47 (8.09)	n.s.		n.s.
Body mass index (BMI)	43	18.4–36.2	24.44 (3.63)	n.s.		n.s.
History of disease ¹ (y/n)	7/36	–	–	n.s.		n.s.
Current medication ² (y/n)	6/37	–	–	n.s.		n.s.
Allergies ³ (y/n)	12/27	–	–	<0.05	Affected subj.	n.s.
Sleep duration (h)	43	5.5–8.5	7.35 (0.76)	<0.05	subj. with more sleep	<0.05
Coffee consumption ⁴ (y/n)	19/19	–	–	n.s.		n.s.
Caffeine consumption ⁴ (y/n)	30/8	–	–	n.s.		<0.05
Alcohol consumption ⁵ (y/n)	20/14	–	–	n.s.		<0.05
Cigarette consumption (y/n)	9/34	–	–	n.s.		n.s.
Application mode (bolus/infusion)	8/35	–	–	<0.05	Infused subj.	n.s.
Injected activity (MBq)	43	142–304	244.70 (45.92)	<0.05	subj. with less inj. act.	n.s.
Amount of substance (nmol)	43	0.73–7.67	2.88 (1.65)	<0.05	subj. with less amount	n.s.
Specific activity at scantime (GBq/ μ mol)	43	28.7–227.7	111.32 (54.20)	n.s.		n.s.

SD standard deviation, y/n yes/no, n.s. not significant ($P > 0.05$), subj. subjects, inj. injected, act. activity.

¹Including hypertension, pancreatitis, benign prostatic hyperplasia, sarcoidosis, or psoriasis.

²Medications were Metoprololsuccinat, Cardiovan/Ibuprofen, Concor 5 Plus, Pangrol, Teracid, or Timo Stulln 0.5%.

³Data missing for four subjects.

⁴"Coffee" stands for coffee drinks alone while "Caffeine" sums drinks of coffee, cola and black tea, data missing for five subjects.

⁵Data missing for nine subjects.

⁶Check for potential confounding effect on brain region specific A₁AR availability.

⁷Check for potential confounding effects across genotype groups.

caffeine consumption for at least 24 h. All procedures were approved by the Ethics Committee of the Medical Faculty of the University of Duesseldorf and the German Federal Office for Radiation Protection.

Imaging procedures

PET acquisitions and high-resolution three-dimensional T1-weighted magnetic resonance (MR) images were performed as previously reported³¹ while postprocessing for calculations of ¹⁸F-8-cyclopentyl-3-(3-fluoropropyl)-1-propylxanthine ([¹⁸F]CPFPX⁴²) binding in cerebral tissues differed slightly. In brief, realignment, coreregistrations, segmentation, and normalization of three-dimensional PET and corresponding MRI data were done with PMOD software (version 3.408, PMOD Group, Zürich, Switzerland). For definition of regions of interest, the AAL-template⁴³ implemented in the PMOD software was used. Regional time-activity curves (TACs) were corrected for decay and the contribution of intracerebral blood volume. Corrected TACs were used to estimate the A₁AR availability in terms of the [¹⁸F]CPFPX binding potential (BP_{ND} ⁴⁴) via the Logan Plot ($t^* = 20$ min, fixed k_2 ⁴⁵) with

the cerebellar gray matter as a reference region⁴⁶. Imaging procedures are further detailed in Table 1 and in the supplements. Investigated regions are given in Table 2.

Genotyping

DNA from blood samples was isolated and used for genotyping of selected variants as described previously³¹ and in detail in the supplements (text and Tabs. S1 and S2). In brief, ADORA2A and ADORA1 variants were the same as used for the pilot sample based on the initially described selection process³¹ (using tagging information of the international HapMap Project, functional potential information of the UCSC Genome browser and association information of previous studies^{21–30}). Additional pairwise linkage disequilibrium (LD) analyses performed in the pilot study sample provided LD information and redundancy of several variants³¹. These were dropped, leaving five ADORA2A variants (rs5751862, rs5760405, rs2236624, rs5751876, rs4822492) and seven ADORA1 variants (rs1874142, rs10920568, rs12135643, rs3766566, rs17511192, rs6677137, rs3753472) for the present study (for all see Suppl. Tab S1). All other adenosinergic/dopaminergic variants were selected

Table 2 ADORA2A rs5751876 effects on human in vivo A₁AR availability in a mixed male/female sample.

Human brain region	Model 1:		Model 2 under modulation of ADORA1 rs1874142:			
	rs5751876 effect		rs5751876 effect		rs5751876 × sleep effect	
	(Multivariate $P = 0.047$),		(Multivariate $P = 0.018$),		(Multivariate $P = 0.020$),	
	Post hoc ANCOVAs:		Post hoc ANCOVAs:		Post hoc ANCOVAs:	
	P values	P_{corr}^1	P values	P_{corr}^1	P values	P_{corr}^1
Precentral gyrus	0.014	0.021	0.0048	0.025	0.0059	0.030
Rolandic operculum	0.002	0.025	0.0043	0.033	0.0049	0.038
Supplementary motor area	0.008	0.029	0.0053	0.021	0.0060	0.027
Olfactory cortex	0.012	0.025	0.0225	0.030	0.0240	0.032
Superior frontal gyrus	0.011	0.025	0.0114	0.024	0.0130	0.027
Middle frontal gyrus	0.015	0.022	0.0091	0.024	0.0109	0.028
Inferior frontal gyrus	0.011	0.024	0.0040	0.041	0.0047	0.049
Gyrus rectus	0.007	0.031	0.0144	0.025	0.0153	0.026
Insula	0.018	0.023	0.0205	0.029	0.0219	0.034
Anterior cingulate cortex	0.035	0.038	0.0518	n.s.	0.0478	0.049
Middle cingulate cortex	0.034	0.037	0.0469	0.050	0.0463	0.049
Posterior cingulate cortex	0.010	0.027	0.0176	0.027	0.0201	0.033
Hippocampus/Parahippocampus	0.003	0.022	0.0047	0.029	0.0050	0.031
Amygdala	0.009	0.029	0.0070	0.024	0.0081	0.028
Calcarine fissures	0.001	0.040	0.0003	0.009	0.0004	0.012
Cuneus	0.008	0.031	0.0048	0.021	0.0063	0.024
Lingual gyrus	0.027	0.032	0.0271	0.034	0.0297	0.037
Occipital lobe	0.013	0.025	0.0136	0.025	0.0148	0.027
Fusiform gyrus	0.015	0.021	0.0107	0.026	0.0114	0.027
Postcentral gyrus	0.031	0.036	0.0302	0.035	0.0341	0.039
Supramarginal gyrus	0.016	0.021	0.0252	0.033	0.0301	0.036
Angular gyrus	0.018	0.022	0.0193	0.028	0.0230	0.032
Precuneus	0.013	0.023	0.0079	0.024	0.0097	0.027
Paracentral lobule	0.002	0.036	0.0017	0.026	0.0021	0.033
Caudate	0.013	0.022	0.0285	0.034	0.0285	0.037
Putamen	0.047	0.049	0.0363	0.040	0.0406	0.045
Pallidum	0.215	n.s.	0.2886	n.s.	0.2732	n.s.
Thalamus	0.004	0.022	0.0120	0.023	0.0122	0.027
Heschl gyrus	0.013	0.024	0.0172	0.028	0.0224	0.033
Parietal	0.010	0.026	0.0112	0.025	0.0130	0.025
Temporal lobe	0.005	0.028	0.0082	0.023	0.0091	0.028

Bold face indicates highly significant P values ($P < 0.01$); n.s. not significant.

¹Benjamini-Hochberg FDR correction for testing 31 brain regions.

based on association studies revealing significant variant effects on anxiety or psychiatric disorder-related context, or selected based on functional potential, tagging and frequency information (study references and variant details in Suppl. Tab. S1): rs758857, rs2535609 (A_{2B} AR gene *ADORA2B*); rs1890245, rs35254520, rs2786995, rs10776727, rs1544224, rs2229155 (A_{3} AR gene *ADORA3*); rs73598374, rs427483 (*ADA* gene *ADA*); rs4648317, rs7131056, rs4936272, rs4245146, rs17529477, rs55900980, rs1076560, rs6275, rs6277, rs1800497 (D_{2R} gene *DRD2*). Of these additional ten adenosinergic and ten dopaminergic gene variants no LD analyses existed so far. Genotypes were determined and controlled for genotyping errors by standard PCR-based methods blind with respect to the phenotypic characteristics of the subjects (for description, primers, and assay conditions see supplements (text and Tab. S2)).

Statistical analyses

Hardy-Weinberg equilibrium and pairwise LD were assessed using Haploview v.4.1 as reported before³¹. Genotypes were grouped separately for each variant into carriers of both common alleles (common homozygotes) vs. carriers of at least one rare allele (heterozygotes + rare homozygotes); see supplements (Tab. S1). All other analyses were conducted two-sided using SPSS v.25 (IBM, Chicago, IL, USA).

According to our first hypothesis the impact of *ADORA2A* rs5751876 (CC homozygotes vs. T-allele carrier; as previously reported³¹) on A_{1} AR availability was investigated in our male-female-based sample in a first analysis model. We started with testing the A_{1} AR availability data for mutual dependence (intercorrelation) between brain regions and with testing the participants' demographics/characteristics for potential confounding, both as reported before³¹ and as detailed in Table 1 as well as in the supplements. Based on the detected intercorrelation and confounders (see results section below) we then utilized general linear model (GLM) multivariate procedure for simultaneous analysis of multiple (intercorrelated) dependent variables⁴⁷ (i.e., the brain region specific A_{1} AR availabilities) by genotype group effect with additional confounders in a single model. Thereby the multivariate *ADORA2A* rs5751876 genotype group effect on global A_{1} AR brain availability was analyzed and, if significant, followed by post hoc ANCOVAs to identify single brain regions contributing to the global effect as detailed in the supplements. Significance level alpha was set to 0.05 for all pretests and the global multivariate analysis. The ANCOVAs were further corrected for testing 31 brain regions by controlling the false discovery rate (FDR) following the Benjamini-Hochberg procedure⁴⁸.

According to our second hypothesis the *ADORA2A* rs5751876 effect on A_{1} AR brain availability was investigated under the assumption of additional modulatory

effects of adenosinergic or dopaminergic gene variation. In analogy to the first model, GLM multivariate procedures were used to analyze the rs5751876 genotype group effect on A_{1} AR availability (same confounders and model composition), but now including one by one each genetic variant as main factor and in interaction with rs5751876. Significance level was adjusted to 0.025 because of the high similarity to the first model. In case of a significant global effect of rs5751876 on A_{1} AR availability, again FDR-corrected post hoc ANCOVAs followed to identify single brain regions contributing to this effect. Testing two to seven genetic variants per gene (*ADORA1*: 6, *ADORA2B*: 2, *ADORA3*: 6, *ADA*: 2, *DRD2*: 7) remained uncorrected in the second model approach.

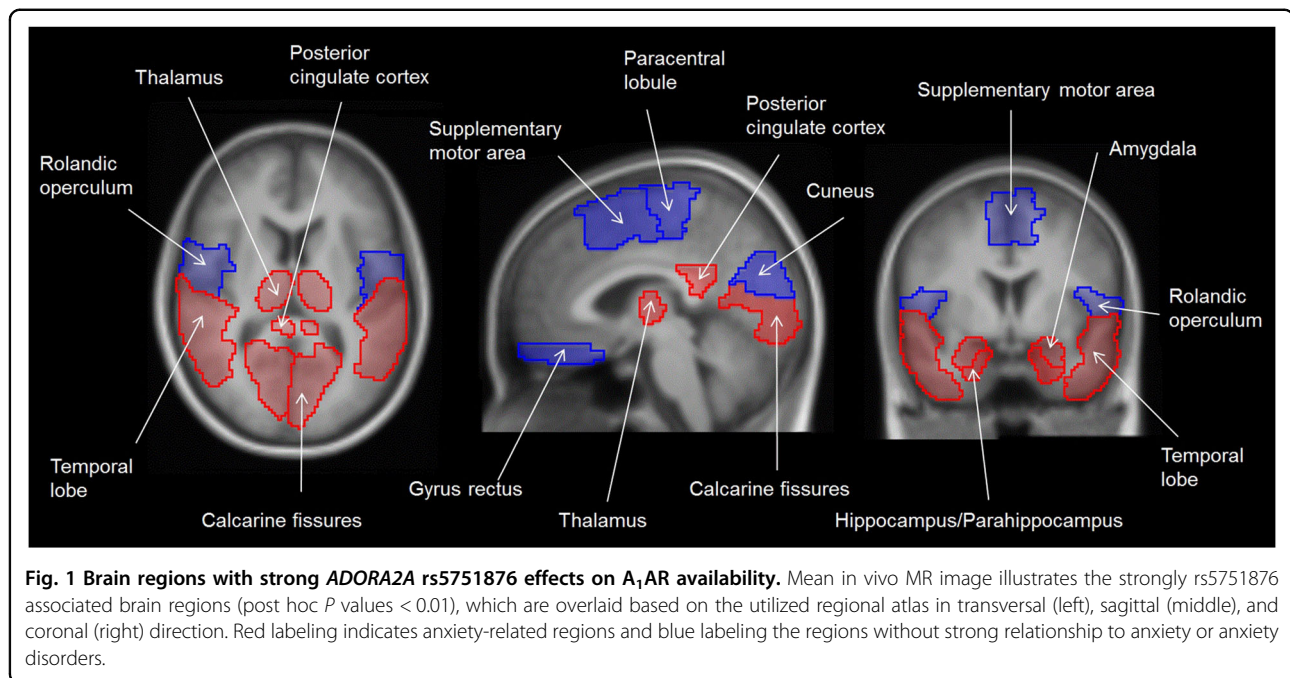
Results

All genotype distributions were in Hardy-Weinberg equilibrium ($P > 0.05$). Several variants in close/complete LD ($D' = 1.0$ and $r^2 > 0.8$: rs4822492, rs3766566, rs1076560, rs55900980, rs4936272; supplemental Tab. S3) were excluded from further analysis to avoid redundancy. All *ADORA2A* variants revealed high pairwise LD ($D' \geq 0.78$), thus potential confounding across individual genotype groups was considered relevant for the whole *ADORA2A* gene and included as confounder for our rs5751876-based analyses.

***ADORA2A* rs5751876 effect on A_{1} AR availability in mixed male/female sample**

High intercorrelation of A_{1} AR availability between brain regions was detected (all $P < 0.004$, pairwise correlation coefficients: mean = 0.832, range = 0.431–0.983). Analysis of participants' demographics/characteristics revealed the following statistically relevant confounders: sex, age, self-reported habitual sleep, self-reported presence of allergies, self-reported caffeine and alcohol consumption, application mode of radiotracer, injected activity of radiotracer, and total amount of injected substance ($P_{\text{all}} < 0.05$; Table 1). Since sex and sleep had effects on both, A_{1} AR availability and across *ADORA2A* genotype groups, additional interaction terms were formed (rs5751876 \times sex; rs5751876 \times sleep) and further included as confounders.

Our first analysis model comprising these confounders and interaction terms revealed a significant global multivariate effect of rs5751876 on A_{1} AR availability ($P = 0.047$). Post hoc ANCOVAs confirmed this effect for nearly all (30 of 31) investigated brain regions with nominal P values even robust to Benjamini-Hochberg FDR correction for testing multiple regions (corrected $P_{\text{all}} < 0.05$ (except pallidum); Table 2). Moreover, anxiety-related regions such as amygdala, hippocampus–parahippocampus, cingulate cortex, calcarine fissures, temporal lobe, and thalamus were found strongly associated with nominal P values < 0.01 (Fig. 1; Table 2).



Modulatory influence of *ADORA1* rs1874142 on rs5751876 and rs5751876 × sleep effects

Second model analyses revealed *ADORA1* rs1874142 to statistically strengthen the model, i.e. under the influence of rs1874142 stronger significances were detected for the effects of rs5751876 (global *P* = 0.018; Table 2) and the interaction rs5751876 × sleep (global *P* = 0.020) on A₁AR availability. Both these rs5751876-related terms revealed *P* values ranging below the adjusted significance level of 0.025. Further, both findings could be confirmed post hoc for nearly all investigated brain regions (Table 2; FDR-corrected *P* values < 0.05 (except pallidum and anterior cingulum for rs5751876; except pallidum for rs5751876 × sleep)). Again, anxiety-related regions such as amygdala, hippocampus/Parahippocampus, calcarine fissures, and temporal lobe were found strongly associated with nominal *P* values < 0.01 (Fig. 2; Table 2).

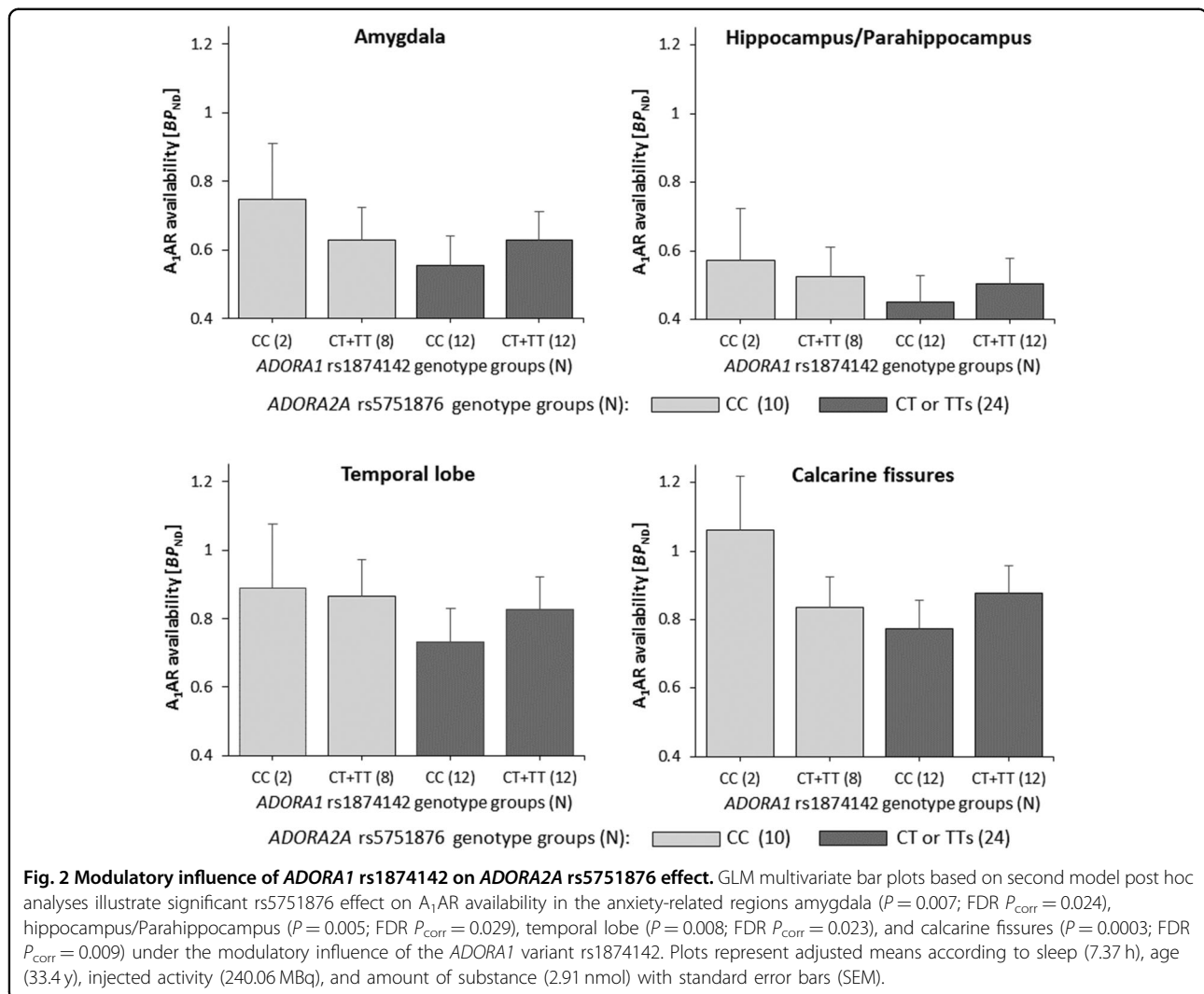
In addition, *ADORA2B* rs2535609 seemed to statistically strengthen the model. Under its influence, stronger significances were detected for the multivariate effects of rs5751876 (global *P* = 0.005) and rs5751876-related interactions (rs5751876 × sleep, global *P* = 0.005; rs5751876 × rs2535609, global *P* = 0.012) on A₁AR availability (Table 3). However, none of these multivariate findings could be confirmed post hoc for individual brain regions, as none of them survived correction for multiple brain region testing (all FDR-corrected *P* values > 0.05).

All other adenosinergic or dopaminergic gene variants seemed to statistically weaken second model analyses (for all: multivariate global *P* values > 0.05, see Table 3).

Discussion

Our present study revealed two main findings. First, we were able to corroborate the *ADORA2A* rs5751876 effect on A₁AR availability, originally detected in our pilot sample of males only³¹, in an independent male/female sample. This allowed a more-generalized interpretation compared with the pilot model and thus further underlined the important role of *ADORA2A* interacting with A₁AR as detailed in the introduction (e.g.,^{4–6,16–18}). Second, our findings showed modulation by *ADORA1* rs1874142, resulting in statistically strengthened effects of rs5751876 and rs5751876 × sleep on A₁AR availability. In these findings, anxiety-related brain regions were particularly involved, underscoring the close link between *ADORA2A* rs5751876, anxiety, and anxiety disorders. This is in line with the variety of anxiety-relevant investigations reported in the introduction (e.g.,^{21–27}). The recruitment of slightly more individuals compared with the pilot study appears to have increased the power of the rs5751876 effect enough (28 subjects in pilot vs. 43 in present sample), to have resulted in now statistically significant multivariate results in contrast to the pilot study³¹. Furthermore, the identified interactive effect of rs5751876 × sleep on A₁AR availability under the *ADORA1* rs1874142 modulation underscores the close interrelationship between the adenosine system, particularly the interplay of A₁ and A_{2A} receptors, and sleep as mentioned before^{5,8,12,13,28}.

However, though the rs5751876 effect on A₁AR availability was distinctly stronger in the present sample compared with the pilot study, the direction of the



rs5751876 effect disclosed discrepancies. In the pilot study the rs5751876 T-allele increased A₁AR availability³¹, whereas in the present sample the T-allele predominantly decreased A₁AR availability (in 31 regions in the first model; in 23 regions in the second model under modulation of ADORA1 rs1874142). Analysis of the underlying genotype effects (rs5751876 CC vs. CT vs. TT) uncovered consistent CT to TT (lower to higher A₁AR availability, respectively) gene-dose effects in both samples. In contrast, the CC carriers scored lowest (with respect to A₁AR availability) in the pilot, thereby completing the gene-dose distribution, but high in the present sample (in 23 of 31 brain regions even higher than TTs), thereby forming a U-shaped distribution (Suppl. Fig. S1). Though sex differences exist between both samples (males only vs. mixed males/females), female sex was not related to the detected discrepancy in the direction of the rs5751876 genotype effect between present and pilot sample (present sample

males alone: similar rs5751876 T-allele coupled decrease in A₁AR availability in 30 of 31 brain regions). Besides intended sex differences, both samples were similarly recruited with regard to demographic data like age, body mass index, history of diseases, and habits like sleep, coffee, and nicotine consumption.

To probe alternative explanations for this discrepancy in the direction of the rs5751876 genotype effect and based on the strong interaction of rs5751876 × sleep, we checked if sleep habit might contribute to the rs5751876 genotype effect discrepancies between present and pilot study³¹. As both samples show comparable sleep habits (mean ± SD self-reported habitual sleep duration of present sample 7.35 ± 0.76 vs. pilot sample 7.32 ± 1.01; $P = 0.811$). Thus, we dichotomized the variant in the subgroup categories “less sleep than mean” (less sleep) and “more sleep than mean” (more sleep) with comparable subgroup sizes in both samples (N (less/more sleep) in present sample: 21/22; in pilot sample: 14/13). In these subgroups, we qualitatively

Table 3 Putative modulatory effect of additional adenosinergic or dopaminergic gene variants on the effects of ADORA2A rs5751876 on human in vivo A₁AR availability in a mixed male/female sample.

Gene and putative modulatory variant:	Model 2 multivariate global effects (<i>P</i> values) of:			Post hoc ANCOVA	
	rs5751876	rs5751876 × sleep	rs5751876 × modulatory variant	Brain region specific rs5751876 <i>P</i> values	FDR corrected <i>P</i> values ¹
ADORA1					
rs1874142	0.018	0.020	0.032	<0.05	<0.05
rs10920568	0.639	0.664	0.387	–	–
rs12135643	0.448	0.494	0.577	–	–
rs17511192	0.078	0.082	0.077	–	–
rs6677137	0.121	0.137	0.154	–	–
rs3753472	0.129	0.138	0.084	–	–
ADORA2B:					
rs758857	0.207	0.218	0.327	–	–
rs2535609	0.005	0.005	0.012	<0.05	n.s.
ADORA3:					
rs1890245	0.308	0.407	0.148	–	–
rs35254520	0.071	0.086	0.050	–	–
rs2786995	0.691	0.745	0.461	–	–
rs10776727	0.384	0.432	0.521	–	–
rs1544224	0.255	0.265	0.346	–	–
rs2229155	0.264	0.261	0.193	–	–
ADA:					
rs73598374	0.057	0.063	0.102	–	–
rs427483	0.483	0.529	0.730	–	–
DRD2:					
rs4648317	0.318	0.346	0.338	–	–
rs7131056	0.578	0.634	0.835	–	–
rs4245146	0.536	0.521	0.390	–	–
rs17529477	0.563	0.601	0.713	–	–
rs6275	0.325	0.360	0.379	–	–
rs6277	0.451	0.512	0.347	–	–
rs1800497	0.642	0.678	0.423	–	–

Bold face indicates significant modulatory effect; *n.s.* not significant.

¹Benjamini–Hochberg FDR correction for testing 31 brain regions.

rechecked the respective rs5751876 genotype dependent distribution of A₁AR availability values with promising findings. In the less sleep group of the pilot sample most regions showed a linear gene-dose relationship, but however 7 of 19 brain regions (hippocampus, thalamus, caudate, putamen, parietal cortex, occipital cortex, sensorimotor cortex; Suppl. Tab. S4) revealed indeed U-shaped distribution, which resembles the U-shaped distribution in 31 brain regions in our present study (Suppl.

Tab. S5). In contrast, the more sleep group of the pilot sample revealed the above mentioned gene-dose distribution in almost all (17 of 19) regions (Suppl. Tab. S4; only posterior cingulate gyrus and putamen with inverted U-shaped distributions). This again resembles the more sleep group of our present study revealing as well gene-dose genotype distribution in 13 of 31 brain regions, including particularly the anxiety-relevant regions amygdala, hippocampus/Parahippocampus, cingulate cortex

(anterior, middle), thalamus, temporal lobe, calcarine fissures, and occipital lobe; Suppl. Tab. S5). Taken together, though self-reported and not measured by objective methods like actigraphy or EEG, sleep might explain at least several of the observed discrepancies. This is in line with previous findings of a tight connection between *ADORA2A* and sleep as well as between sleep and A_1AR availabilities. *ADORA2A* variants (including rs5751876) modulate psychomotor vigilance in a rested state and after sleep deprivation²⁸, as well as A_1AR availability increases after prolonged wakefulness^{12,13}. Slight A_1AR availability increases might also occur in our less sleep group participants owing to their shorter habitual sleep duration and therefore slightly prolonged wakefulness. Such slightly increased A_1AR availability might then have obscured or masked the presumably small effect size of the *ADORA2A* rs5751876 T-allele effect. In contrast, our more sleep group probably would not have slightly prolonged wakefulness and therefore presumably would have overall lower A_1AR availabilities. In such cases, the small effect of the rs5751876 T-allele and the resulting slightly upregulated A_1AR availability might be visible. Such slight but possibly permanent upregulation of A_1AR s by genotype might provide protection against overly increased arousal or anxiety, or even anxiety disorders. Indeed a protective role of upregulated A_1AR was reported toward hyperarousal associated with restless legs syndrome⁴⁹. In rodents, upregulation or positive allosteric modulation of A_1AR caused robust anxiolytic effects^{10,11}, whereas lack of A_1AR enhanced anxiety and arousal²⁰.

Finally, we checked all 31 additional adenosinergic and dopaminergic gene variants for potentially divergent genotype group distributions in pilot vs. present sample by nonparametric statistics (Fisher's exact test; see also Suppl. Tab. S6 with footnotes), which might further explain the rs5751876 genotype effect discrepancies between both samples. Strikingly, *DRD2* variants rs4245146 and rs1800497 were distributed differently between both samples (both $P < 0.05$; Suppl. Tab. S6), which might contribute to the gene-dose vs. U-shaped rs5751876 effects. Although 15 rs4245146 CC homozygotes existed in the present sample, only one was present in the pilot (Suppl. Tab. S6), and this rs4245146 CC-homozygosity seemed to further modulate *ADORA2A* rs5751876 effects. The 15 rs4245146 CC homozygotes had increased A_1AR availabilities in all 31 brain regions in rs5751876 CC carriers (11 regions $P < 0.05$; 10 regions $P < 0.1$) but had decreased A_1AR availabilities in all 31 brain regions in rs5751876 CT-/TT-carriers (one region $P < 0.05$; one region $P < 0.1$; Suppl. Tab. S7). Thus, rs4245146 CC-dependent modulation might interplay with the rs5751876 effect on A_1AR availability in the present sample (Suppl. Fig. S2) but not the pilot sample with only one rs4245146 CC-subject. As

well, carriers of the rare rs1800497 A-allele are four times more frequent in the present sample ($N = 16$) than in the pilot ($N = 4$; Suppl. Tab. S6). This higher number of A-allele carriers might have lowered individual D_2R levels, in line with a reduced striatal D_2R binding already shown in rs1800497 A-allele carriers⁵⁰. As A_{2A} and D_2 receptors have a tight spatial/functional interplay and interact antagonistically^{36,37}, lower D_2R levels could lead to increased $A_{2A}R$ levels in our 16 rs1800497 A-allele carriers. Via the similarly tight spatial/functional antagonistic interplay of A_{2A} and A_1 receptors (c.f. introduction), such putatively increased $A_{2A}R$ levels in the 16 subjects (=37% of present sample) might have decreased A_1AR levels and thereby covered or obscured the presumably mild *ADORA2A* rs5751876 effect on A_1AR availability. In comparison, Eisenstein et al.⁵⁰ reported normal striatal D_2R specific binding in rs1800497 GG-homozygotes, which might be the precondition to clearly identify the small effect size *ADORA2A* rs5751876 genotype group effects in our present study (63% of subjects vs. 86% of pilot subjects). Interestingly, both *DRD2* variants, rs1800497 and rs4245146, were described to play prominent roles in anxiety before (e.g.,^{39–41}), further supporting our more distinctive findings in anxiety-related brain regions. Moreover, dopaminergic genes including *DRD2* have been shown to be involved in inverted U-shaped relationships between dopamine signaling and prefrontal cortical function (e.g.,^{51,52}), supporting our assumption of *DRD2* variants presumably contributing to our sample *ADORA2A* rs5751876 differences. Unfortunately, the size of our present sample did not allow inclusion of additional genetic variants like rs4245146 or rs1800497 together with rs5751876 and rs1874142, as the model is underpowered then, warranting distinctly larger samples in subsequent investigations.

A next step could be to compare individuals with and without anxiety symptoms and heart rate measurements with regard to their objectively measured sleep duration and genotypes. Such multidimensional approach would demand higher statistical power, which underscores the need to extend future sample size. In our present study it was modest, albeit in the range of comparable recent PET-based studies (e.g.,^{13,53,54}) and well-powered enough for our *ADORA2A* rs5751876-focused analyses to detect the hypothesized effects. It did unfortunately not allow for additional detailed investigation of for example additional interacting effects of *DRD2* variants together with *ADORA2A* rs5751876 and *ADORA1* rs1874142 in the same multivariate models as mentioned above. Further, our study provided only cross-sectional data, whereas a longitudinal study might allow prospective insights and maybe the potential for interventional approaches.

Conclusion

Our present findings support the role of *ADORA2A* rs5751876 on in vivo A₁AR availability in the human brain. Further analyses suggested additional modulatory effects of *ADORA1* rs1874142 on the effects of rs5751876 and rs5751876 × sleep on A₁AR availability. Together, these effects might contribute to the regulation of the sleep-arousal system and thus might be involved in the development of particularly arousal-related mental disorders such as anxiety disorders. However, transferability of our results between present and pilot samples was difficult due to small effect sizes and complex gene × gene (*ADORA2A* × *ADORA1*, *ADORA2A* × *DRD2*) and gene × environment (*ADORA2A* × sleep) interactions, which modulated the direction of the biological outcome, i.e., A₁AR availability in the brain. This might complicate also comparisons with future samples and therefore necessitates increased sample sizes, more comparable to current MRI-based samples, to allow the detection of such complex underlying and modifying relationships. In the next steps, more in-depth analyses of the *ADORA2A* rs5751876 × sleep relation are required based on objectively measured individual sleep parameters ideally complemented by more-detailed information of individual anxiety sensitivity, life styles, (night shift) workload, and past or present stressful life events. Further, investigation of samples preferably including also individuals with anxiety symptoms and in a longitudinal design are needed.

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Conflict of interest

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