



# Metabolomic signatures after bariatric surgery – a systematic review

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## Abstract

Metabolomics emerged as an important tool to gain insights on how the body responds to therapeutic interventions. Bariatric surgery is the most effective treatment for severe obesity and obesity-related co-morbidities. Our aim was to conduct a systematic review of the available data on metabolomics profiles that characterize patients submitted to different bariatric surgery procedures, which could be useful to predict clinical outcomes including weight loss and type 2 diabetes remission. For that, the Preferred Reporting Items for Systematic Reviews and Meta-Analyses - PRISMA guidelines were followed. Data from forty-seven original study reports addressing metabolomics profiles induced by bariatric surgery that met eligibility criteria were compiled and summarized. Amino acids, lipids, energy-related and gut microbiota-related were the metabolite classes most influenced by bariatric surgery. Among these, higher pre-operative levels of specific lipids including phospholipids, long-chain fatty acids and bile acids were associated with post-operative T2D remission. As conclusion, metabolite profiling could become a useful tool to predict long term response to different bariatric surgery procedures, allowing more personalized interventions and improved healthcare resources allocation.

**Keywords** Metabolomics · Bariatric surgery · Weight loss · Type 2 diabetes remission

## Abbreviations

BMI	Body mass index	FFA	Free fatty acid
T2D	Type 2 diabetes	BA	Bile acid
PRISMA	Preferred reporting items for systematic reviews and meta-analyses	PL	Phospholipid
SG	Sleeve gastrectomy	BCAA	Branched chain amino acid
LAGB	Laparoscopic adjustable gastric band	MCSFA	Medium-chain saturated fatty acid
RYGB	Roux-en-Y gastric bypass	SM	Sphingomyelins
DJB	Duodenal jejunal bypass	LPC	Lysophosphatidylcholine
BPD	Biliopancreatic diversion	PC	Phosphatidylcholine
DS	Duodenal switch	PE	Phosphatidylethanolamine
SADI-S	Single-anastomosis duodeno-ileal bypass with sleeve gastrectomy	TMAO	Trimethylamine N-oxide
AA	Amino acid	HbA1c	Glycated hemoglobin
AC	Acylcarnitine	HOMA-IR	Homeostasis model assessment-insulin resistance
		QUICKI	Quantitative insulin sensitivity check index
		AAA	Aromatic amino acid
		3-HAA	3-Hydroxyanthranilic acid
		L-DOPA	L-dihydroxyphenylalanine
		TCA	Tricarboxylic acid
		VLDL	Very-low-density lipoprotein
		LDL	Low-density lipoprotein
		HDL	High-density lipoprotein
		CDCA	Chenodeoxycholic acid
		5-HT <sub>trp</sub>	5-Hydroxytryptophan
		5-HIAA	5-Hydroxyindoleacetic acid
		BPL	Biliopancreatic limb

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FXR	Farnesoid X receptor
TGR5	Takeda G protein-coupled receptor 5
TLR4	Toll-like receptor 4

## 1 Introduction

The increasing prevalence of obesity in modern society makes it one of the main public health concerns [1]. Obesity is a major risk factor for several other medical conditions and particularly for non-communicable diseases [2–4]. Bariatric surgery is the most effective treatment for severe obesity and its associated co-morbidities, since it has proven to successfully achieve a significant and sustained body mass index (BMI) decrease, besides improving several obesity related diseases, such as type 2 diabetes (T2D) [4]. Although bariatric surgery is a highly effective intervention for obesity treatment in majority of patients, 10–20% of individuals fail to achieve clinically relevant BMI reduction or T2D remission, a percentage that tends to increase along the post-operative timespan [5–7]. In order to prevent the raising number of primary or secondary failures derived from the widespread use of bariatric surgery for obesity and obesity related disorders treatment has rendered the identification of biomarkers able to predict surgery outcomes imperative. This aim became tangible by recent advances in omics technologies, which include genomics, transcriptomics, epigenomics, proteomics, metabolomics, metagenomics, which also provided the opportunity to unravel the mechanisms underlying phenomena, such as weight loss and metabolic improvement. Additionally, these are now well-recognized as crucial research tools to achieve the progress required to enact personalized medicine approaches [8, 9]. Particularly, metabolomics represent a valuable tool to study dynamic metabolic responses and biological systems adaptations to a given stimulus [3, 10]. Metabolomics has been widely used across several scientific areas in the quest for molecular fingerprints that could act as diagnostic and prognostic biomarkers. Metabolomics analyses are usually performed by stand-alone hydrogen nuclear magnetic resonance technique or mass spectrometry technique, combined with different metabolite chromatographic separation methods, such as capillary electrophoresis, liquid or gas chromatography [11]. These metabolite detection methods allow the characterization of low molecular weight metabolites from several different classes [11]. The use of metabolomics in the study of obesity has empowered, not only the understanding of the biochemical and metabolic disruptions underlying this disease condition, but also the acknowledgement of the metabolic and physiological impact of therapeutic interventions, such as bariatric surgery [12]. Metabolomics studies enable to evaluate to what extent does the anatomical modifications of the gastro-intestinal tract induced by

bariatric surgery alter the individual's metabolomics profile [11]. Furthermore, these techniques also allow to understand how different bariatric surgery techniques that result in distinct anatomical rearrangements of the gut, impact on the individuals' metabolic profiles [13]. Some metabolomics signatures harbor the potential to provide a mechanistic explanation on the heterogeneity of patient outcomes elicited by bariatric surgical interventions [14, 15].

Thus, the aim of this review was to systematize the available data in order to describe the metabolic fingerprints that characterize patients' submitted to different bariatric surgery procedures. Our goal was also to identify a preoperative or early postoperative metabolite profile potentially useful to predict weight loss response and T2D remission after bariatric surgery.

## 2 Methods

### 2.1 Protocol and registration

This project was submitted to PROSPERO (registration number CRD42021235341) and it is available at [https://www.crd.york.ac.uk/prospéro/display\\_record.php?ID=CRD42021235341](https://www.crd.york.ac.uk/prospéro/display_record.php?ID=CRD42021235341). The review was performed according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines [16].

### 2.2 Information sources and search approach

Publications reporting original data on metabolomics profiles induced by bariatric surgery were searched in three different electronic bibliographic databases: PubMed, Scopus and Isi Web of Knowledge, in February 2021. The detailed search approaches for each database are described in the Supplementary File 1.

### 2.3 Study selection and inclusion criteria

The main domain of this review were metabolomics studies carried out in patients submitted to bariatric surgery for the primary treatment of obesity and obesity related-disorders. The inclusion criteria comprised studies conducted in adult individuals submitted to bariatric surgery, including Sleeve Gastrectomy (SG), Laparoscopic Adjustable Gastric Band (LAGB), Roux-en-Y Gastric Bypass (RYGB), Duodenal Jejunal Bypass (DJB), Biliopancreatic Diversion (BPD), Duodenal Switch (DS), and Single-anastomosis Duodenoileal Bypass with Sleeve Gastrectomy (SADI-S). The exclusion criteria were study data pertaining to children and pregnant women.

## 2.4 Data extraction

Studies provided by the bibliographic databases were screened to eliminate duplicates. Afterwards, the publications were independently reviewed by two authors, based on title and abstracts, in order to assess the eligibility criteria of each study. Thereafter, full text examination was also required in case of doubt. A third author conducted an independent review of the discordant articles and solved disagreements by majority consensus.

The papers selected for inclusion were scored according to the Newcastle–Ottawa Scale, to assess the quality and risk of bias (Supplementary Files 2 and 3). Only studies considered to be at least of moderate quality, i.e. with a score of 6 or higher, were included for data analysis.

The selected studies were divided among authors for individual data extraction, and later reviewed by other author in a cross-over manner. Data was retrieved and summarized according to the following information: author(s), study name, reference, experimental design, number of subjects enrolled, most relevant patient features (age, sex, BMI and presence of T2D), type of bariatric surgery procedure, type of biological fluid, time points of the sample collection, experimental approach, study outcomes when applicable and major findings.

The articles were also subdivided into four different groups: (1) studies considering preoperative and postoperative metabolomic signatures; (2) studies that disclosure preoperative and early postoperative metabolomic signatures associated with T2D or (3) with weight loss response; (4) studies comparing metabolomic profiles after different bariatric surgery interventions.

## 3 Results

Our search identified 378 papers in Scopus, 227 in PubMed and 233 in Isi Web of Knowledge, resulting in a total of 838 papers. After elimination of duplicates ( $n = 328$ ), a total of 510 papers were submitted to an initial screen by reading papers' titles and abstracts by two independent researchers. From those, 461 were out of scope resulting in a total of 50 full-text papers to be evaluated for eligibility. After reading the full texts, 6 papers were eliminated due to the following reasons: repeated data ( $n = 1$ ), out of scope ( $n = 3$ ), no description of metabolites analyzed ( $n = 1$ ) and metabolomics studies conducted in biological fluids other than urine or blood ( $n = 1$ ). Additionally, 3 papers were identified from reading the full texts reference list, resulting in a total of 47 papers to be included in the systematic review (Fig. 1). Among these, 46 studies included conducted metabolomic analysis on plasma or serum and only 1 study was conducted on urine.

## 3.1 Metabolomic profiles induced by bariatric surgery

Several studies focused on evaluating the changes in metabolomic profile induced by bariatric surgeries (Fig. 2 and Supplementary File 4). Amino acids (AAs), lipids, energy metabolism-related metabolites and gut microbiota-related metabolites were the most frequently studied metabolite classes.

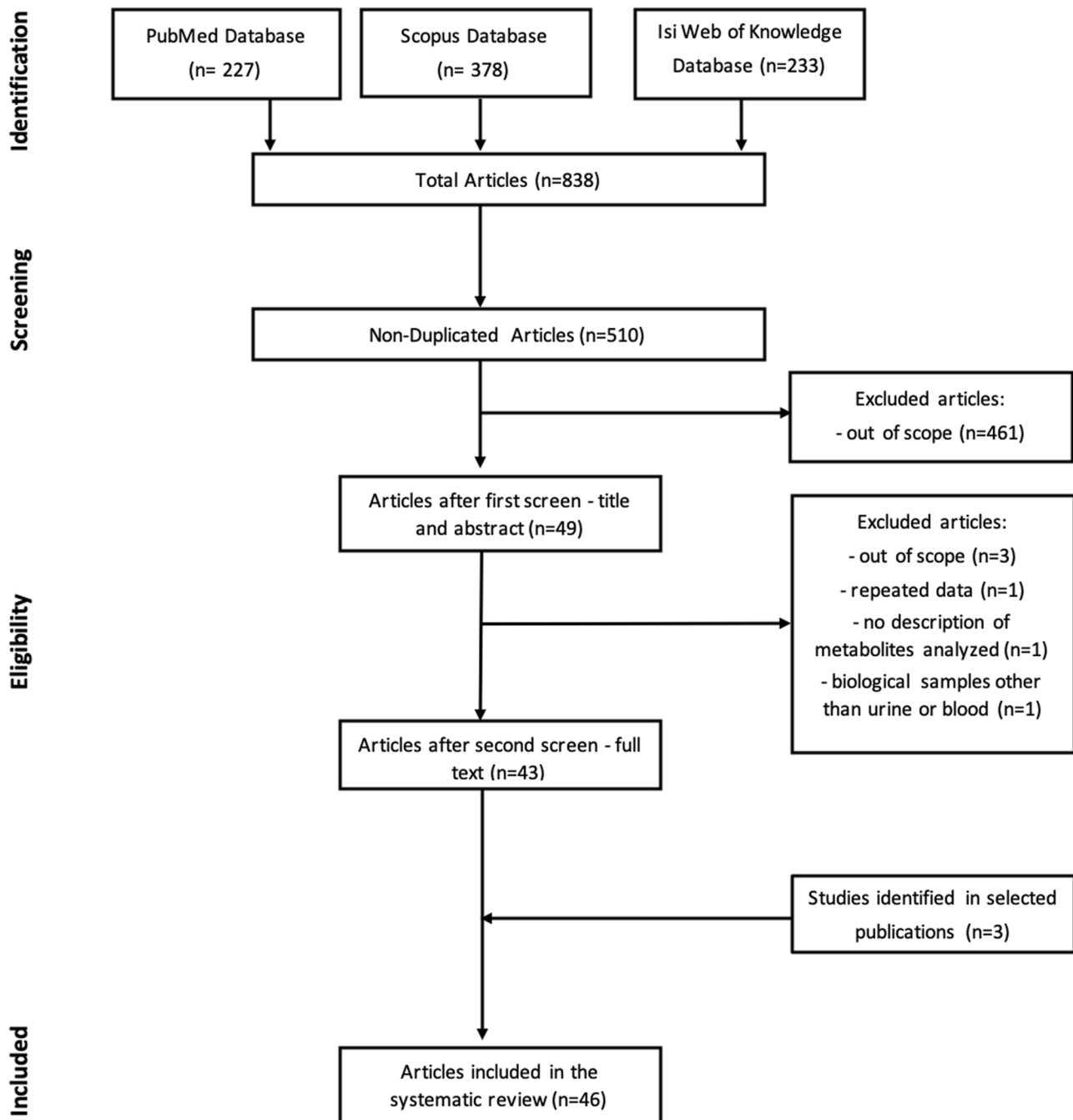
Numerous studies demonstrated that circulating levels of valine [17–34], isoleucine [17–24, 26, 27, 29–36], leucine [17–24, 26, 27, 29–33, 35–37], phenylalanine [17, 18, 20, 21, 23, 24, 26–29, 37], tyrosine [17–21, 23, 24, 27, 29, 30, 37], tryptophan [17, 21, 24, 29, 37, 38], alanine [17–21, 23, 28, 30, 33, 39], proline [17–19, 21, 28, 39], methionine [18, 23, 30], aspartate [21], threonine [19, 21, 32], lysine [19] and ornithine [18, 21, 24, 30, 40] decrease after bariatric surgery. Contrarily, circulating levels of glycine [18–22, 24, 26, 27, 31, 36, 38, 41] and serine [18, 28, 31, 38] were reported to increase after bariatric procedures, while other AAs, such as glutamine, histidine, arginine and asparagine were inconsistently reported as being either increased [20, 24, 27, 36, 41, 42] or decreased [18, 19, 21, 23, 28, 30, 39], after bariatric surgery. No pattern, regarding the type of surgical procedures or the postoperative time, was recognized as potentially responsible for the differences in these specific AA profiles observed across the studies.

Among the lipid class, acylcarnitines (ACs), free fatty acids (FFAs), bile acids (BAs) and phospholipids (PLs) were the metabolites most frequently studied in patients submitted to bariatric surgery interventions.

Acylcarnitines are esters of L-carnitine and fatty acids. The short chain AC acetylcarnitines were reported to increase rapidly after interventions and to remain elevated for 6 to 12 months after bariatric surgery [17, 21, 28, 32, 37, 43]. Contrarily, the short chain acylcarnitines derived from the branched chain amino acids (BCAAs) catabolism, C3 and C5, were shown to decrease after bariatric surgery [17, 18, 28, 30, 33, 34, 43]. A transient increase in long-chain ACs levels was observed two-weeks after surgery [28, 44], while most studies report a decrease in medium- and long-chain ACs over the long-term in patients submitted to bariatric surgery [17, 18, 42, 43].

Analysis of circulating fatty acids' profiles identified a decrease in unsaturated and long-chain saturated fatty acids and non-esterified fatty acids after bariatric surgery, despite some inconsistent results across different studies [17, 19, 22, 25, 32, 45]. The opposite pattern was observed for medium-chain saturated fatty acids (MCSFA), in particular for the decanoic acid, which was found to increase after surgery [20, 22, 25, 32, 37].

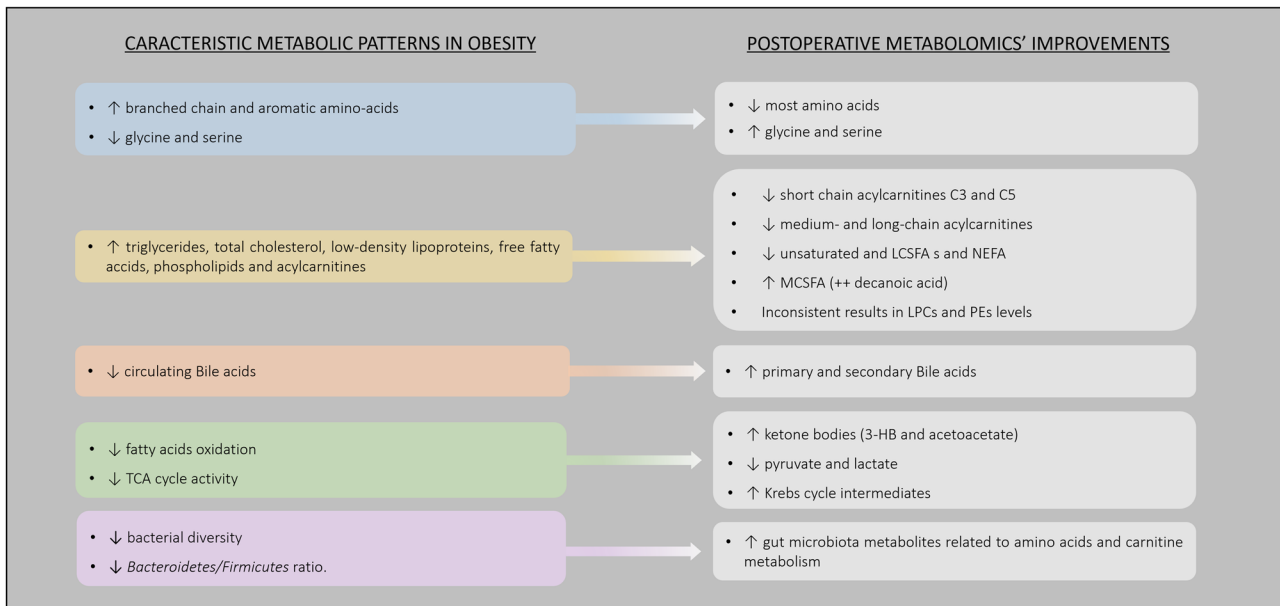
Fasting primary and secondary circulating BA levels were also found to increase significantly after bariatric surgery



**Fig. 1** Flowchart of the search, eligibility criteria approaches and study inclusion for systematic review

interventions with a malabsorption component, namely RYGB and BPD [44, 46, 47]. This effect was demonstrated to be even more pronounced in the postprandial for total BA, glycine- and taurine-conjugated BA [48]. In contrast, studies conducted in patients submitted to restrictive procedures yielded inconsistent results, with some studies reporting no differences between pre and postoperative BA levels and others reporting increased levels in fasting and postprandial level of glycine-conjugated BA [47, 49].

Phospholipids are important molecules within the cell membrane structure, which include several subclasses such as sphingomyelins (SMs), lysophosphatidylcholines (LPCs), phosphatidylcholines (PCs) and phosphatidylethanolamines (PEs). SM is the dominant sphingolipid in mammalian cells membranes. After BPD, most saturated and unsaturated SMs were found to be decreased [47]. A decrease in saturated SMs levels [43, 50] and increase in unsaturated SMs levels [37, 43, 50] after RYGB was identified by the majority of studies,



**Fig. 2** Main metabolomic alterations induced by bariatric surgery, in patients with obesity. Abbreviations: 3-HB – 3-hydroxybutyrate; LCSFA – Long-Chain Saturated Fatty Acids; LPC – Lysophosphati-

dylcholine; MCSFA – Medium-Chain Saturated Fatty Acids; NEFA – Non-Esterified Fatty Acids; PE – Phosphatidylethanolamine; TCA – Tricarboxylic Acid

although results are not consistent across reports [17, 21, 25, 37, 43–45, 50].

Likewise, an increase in ketone bodies (3-hydroxybutyrate and acetoacetate) levels was also identified after bariatric surgery [19, 27, 37, 38, 43, 51]. Although, carboxylic acids and ketone bodies levels were only observed or were more pronounced in short-term period after surgery [22, 27].

Most studies reported a decrease in pyruvate [27, 28, 35, 41] and lactate [19, 28, 32] levels after bariatric surgery. Contrarily, the Krebs cycle intermediates (citrate [19, 24, 27, 37], succinate [24, 38], fumarate [38, 41], malate [24, 41], oxalacetate [35]) were found to increase after surgery.

Gut microbiota metabolites related to AAs and carnitine metabolism were also found to increase after bariatric surgery. In particular, in the levels of p-cresol [17, 19, 32, 37], indole and indoxyl sulfate [20, 25, 29], trimethylamine N-oxide (TMAO) [20, 27], phenol sulfate [37], 3-indolelactic acid [37] and 4-hydroxy-L-proline [37].

### 3.2 Metabolomic profiles induced by different bariatric surgeries

Only reports comparing the metabolomic profiles induced by different types of bariatric surgery procedures from parallel arm studies were included in this review section. Nine prospective cohort studies and two cross-sectional studies compared the metabolomic profiles induced by different bariatric procedures [18, 27, 31, 33, 37, 39, 46, 47, 52] or its variants [27, 53] (Table 1). From those, seven

studies compared the effects of RYGB with two different restrictive bariatric surgeries: LAGB [18, 31, 50] and SG [27, 33, 37, 39], at several time points after surgery, ranging from 3 days to 1 year. Metabolomic signatures associated with each type of bariatric surgery procedure were found. However, one study reported that metabolic signatures differences between RYGB and SG tend to be less prominent 12 months after surgery [37]. When comparing the two types of surgeries, changes in AAs and gut microbiota-related metabolites were the most differentially altered metabolite classes [27, 31, 33, 37, 39]. In addition, a rapid decrease in the majority of lipid classes was observed after both RYGB and LAGB surgeries in the short-term (1 month). However, some PC and SM species returned or tended to return to baseline values 3 months after LAGB, but not after RYGB [50].

One study that compared the effect of restrictive (SG) and malabsorptive (BPD) bariatric surgeries on metabolomics found that sphingolipids, PLs and BAs levels were differentially altered by the two bariatric surgery procedures. BPD induced an overall decline in sphingolipids and PLs and an increase in BAs levels. Contrarily, SG induced an increase in sphingolipids and PLs and no changes in BAs levels [47].

In contrast, in another study comparing RYGB and BPD, BAs levels were found to be similar after both surgeries [46].

Comparison of fasting and postprandial metabolomics profile of patients submitted to two different malabsorptive surgeries (SADI-S and BPD-DS) was explored in a cross-sectional study. Higher postprandial BCAAs levels after

**Table 1** Summary of parallel arm studies comparing the metabolomic profiles induced by different bariatric surgery interventions

FIRST AUTHOR AND YEAR	EXPERIMENTAL DESIGN	PARTICIPANTS	SURGERY GROUPS	AGE AT SURGERY (YEARS)	FEMALE: MALE	PRE-OPERATIVE BMI (kg/m <sup>2</sup> )	TIME AFTER SURGERY	POST-OPERATIVE BMI (kg/m <sup>2</sup> )	SAMPLE TYPE	METABOLOMIC METHOD	MAIN FINDINGS
<b>LAGB vs RYGB</b>											
Magkos et al. (2013) [18]	Prospective cohort study	Patients with obesity and NGT (N=20)	LAGB (n=10) RYGB (n=10)	47 ± 14 43 ± 7	9:1 8:2	46.5 ± 8.8 45.6 ± 6.7	22 ± 7 weeks 16 ± 2 weeks	37.6 ± 7.3 36.4 ± 5.0	Fasting plasma	Targeted MS/MS (AAs and acylcarnitine)	↓ BCAAs and acylcarnitines after both surgeries in similar proportions
Lips et al. (2014) [31]	Prospective cohort study	Patients with obesity and NGT (N=74; n=27 submitted to bariatric surgeries)	LAGB (n=11) RYGB (n=16)	46.3 ± 1.9 48.6 ± 1.6	11:0 16:0	43.1 ± 0.9 44.2 ± 0.8	3 weeks 3 months 3 weeks 3 months	41.10 ± 0.85 39.02 ± 0.82 40.48 ± 0.85 36.63 ± 0.82	Fasting plasma	Targeted UPLC–tandem MS (AAs analysis)	↓ BCAAs after both surgeries ↓ BCAAs was higher in patients submitted to RYGB ↑ Glycine and serine after RYGB ↓ AAAs after RYGB
Kayser et al. (2017) [50]	Prospective cohort study	Patients with obesity and at least one severe obesity-related comorbidity (N=59)	LAGB (n=22) RYGB (n=37)	34.5 ± 1.6 37.3 ± 1.9	22:0 37:0	43.6 ± 0.7 46.5 ± 1.0	1 month 3 months 1 month 3 months	40.4 ± 1.0 38.3 ± 1.0 41.1 ± 1.1 38.0 ± 1.2	Fasting serum	Targeted LC–MS/MS (lipidomic)	↓ equivalently in the majority of lipids between both surgical groups ↓ some PC and SM species at 1 month after surgery and remained suppressed 3 months after RYGB, while either returned or tended to return to baseline values 3 months after LAGB
<b>SG vs RYGB</b>											
Jüllig et al. (2014) [39]	Prospective cohort study	Patients with obesity and T2D (N=15)	SG (n=7) RYGB (n=8)	46.8 ± 2.9 41.0 ± 3.1	6:1 8:0	42.1 ± 4.0 42.1 ± 4.0	3 days 3 days	NA NA	Fasting plasma	Untargeted GC–MS	↓ histidine, proline, citrate and decanoic acid after RYGB ↑ 2-hydroxybutyrate and 3-methyl-2-oxo-pentanoic acid after SG

**Table 1** (continued)

FIRST AUTHOR AND YEAR	EXPERIMENTAL DESIGN	PARTICIPANTS	SURGERY GROUPS	AGE AT SURGERY (YEARS)	FEMALE: MALE	PRE-OPERATIVE BMI (kg/m <sup>2</sup> )	TIME AFTER SURGERY	POST-OPERATIVE BMI (kg/m <sup>2</sup> )	SAMPLE TYPE	METABOLOMIC METHOD	MAIN FINDINGS	
Sancezak et al. (2018) [37]	Prospective cohort study	Patients with obesity and T2D (N=54)	SG (n=34)	49.3±8.7	14:20	50.92±7.33	1 month	45.90±6.9	Fasting serum	Untargeted GC-MS and LC-MS	↓ AAAs induced by SG was higher ↑ P-cresol after SG sulfate-containing metabolites after RYGB ↓ PC, LPC, PE and LPE after both surgeries ↑ sphingomyelins and choline after both surgeries	
			RYGB (n=20)	50.1±9.3	15:5	45.79±5.5	1 month	40.97±5.15				
Tan et al. (2016) [33]	Prospective cohort study	Patients with obesity* (N=22, 13 with T2D)	SG (n=12)	36.3±8	13:9	38.8±1.3	12 months	NA	Fasting serum	Targeted LC/MS (AAAs and acylcarnitine)	↓ BCAAs after both surgeries in similar proportions ↓ AAAs after SG	
			RYGB (n=10)	45.6±9.1			12 months	NA				
Graika et al. (2015) [27]	Prospective cohort study	Patients with obesity (N=106)	SG (n=19)	43.6±1.0	73:33	53.6±10.0	3 months	46.0±10.1	Fasting serum	Untargeted <sup>1</sup> H-NMR	Metabolomic effect of RYGB are higher compared with SG	
			Proximal RYGB (n=27)			43.0±5.8	6 months	38.3±10.0				↓ BCCAs and AAAs after both bariatric surgeries. ↓ in valine was weaker after SG
								9 months	33.3±6.1			Carboxylic acids anions levels were modified similarly by the different bariatric surgeries
								12 months	31.7±4.1			↑ TMAO after SG
								3 months	36.7±5.5			↑ in dimethyl sulfates after surgery was higher in the distal RYGB
								6 months	32.6±5.4			
<b>RYGB with short BPL vs RYGB with long BPL</b> Jarak et al. (2020) [53]	Cross-sectional study	Patients with obesity and NGT (N=20)	RYGB with short BPL (n=9)	38±3	8:1	41.8±1.1	1.6±0.3 years	28.1±2.3	Fasting and post-prandial plasma	Untargeted <sup>1</sup> H-NMR	Fasting similar global profiles between groups	
			RYGB with long BPL (n=11)	43±2	10:1	40.6±0.9	1.5±0.3 years	26.2±2.8				↑ post-prandial acetate in patients submitted to RYGB with long BPL

Table 1 (continued)

FIRST AUTHOR AND YEAR	EXPERIMENTAL DESIGN	PARTICIPANTS	SURGERY GROUPS	AGE AT SURGERY (YEARS)	FEMALE: MALE	PRE-OPERATIVE BMI (kg/m <sup>2</sup> )	TIME AFTER SURGERY	POST-OPERATIVE BMI (kg/m <sup>2</sup> )	SAMPLE TYPE	METABOLOMIC METHOD	MAIN FINDINGS
<b>SG vs BPD</b>											
Ramos-Molina et al. (2018) [47]	Prospective cohort study	Patients with obesity and NGT (N=37)	SG (n=25) BPD (n=12)	47.0±6.7 44.4±8.2	16:9 6:6	47.9±6.1 51.8±6.9	6 months 6 months	36.5±4.5 39.7±4.4	Fasting plasma	Targeted UPLC-MS (lipidomic)	Lipidomic profiles induced by the two surgeries are different BPD: ↓ sphingolipids and phospholipids; ↑ bile acids SG: ↑ sphingolipids and phospholipids; no changes in bile acids levels
<b>BPD-DS vs RYGB</b>											
Ahlin et al. (2019) [46]	Prospective cohort study	Patients with obesity and NGT (N=15)	BPD (n=9) RYGB (n=6)	44.7±8.1 43.7±9.4	5:4 0:6	55.8±9.5 45.3±5.7	183.7±61.8 187.8±93.6	39.1±8.5 35.5±5.5	Fasting plasma	Targeted UPLC-MS (bile acids analysis)	No differences in fasting bile acid levels were observed between the RYGB and BPD groups
<b>BPD-DS vs SADI-S</b>											
Pereira et al. (2020) [52]	Cross-sectional study	Patients with obesity and NGT (N=18)	BPD-DS (n=9) SADI-S (n=9)	36±12 43±7	6:3 7:2	51.9±4.0 52.0±3.7	1.6±0.3 years 1.5±0.3 years	29.7±4.3 30.0±3.6	Fasting and post-prandial plasma	Untargeted <sup>1</sup> H-NMR	Fasting similar global profiles between groups ↑ post-prandial BCAAs in SADI-S group

AA amino acids, AAA aromatic amino acids, BCCA branched chain amino acids, BMI body mass index, BPD biliopancreatic diversion, BPD-DS biliopancreatic diversion with duodenal switch, BPL biliopancreatic limb, GC gas chromatography, <sup>1</sup>H-NMR proton nuclear magnetic resonance, LAGB laparoscopic adjustable gastric band, LC liquid chromatography, MS mass spectrometry, NA not available, NGT normal glucose tolerance, PC phosphatidylcholines, LPC lysophosphatidylcholines, PE phosphatidylethanolamines, SG sleeve gastrectomy, RYGB roux-en-Y gastric bypass, SADI-S single anastomosis with duodeno-ileal bypass with sleeve gastrectomy, T2D type 2 diabetes, TMAO trimethylamine N-oxide, UPLC ultra-performance liquid chromatography

\* Asian cohort



SADI-S, the least malabsorptive surgery, was the only difference observed [52].

The effects of two different RYGB variants on circulating metabolomics profiles was evaluated in a cross-sectional study and in another prospective study. However, the differences in RYGB limb lengths used in the two studies do not allow direct comparisons [53]. Gralka et al. included patients submitted either to a proximal RYGB consisting of a biliopancreatic limb (BPL) of 60 cm and an alimentary limb of 150 cm; or to a distal RYGB that had both BPL and common limb lengths of 60–100 cm [27, 53]. In contrast, the RYGB variants described by Jarak et al. had different BPL length (short-BPL: 60–100 cm vs long-BPL: 200 cm) but the same alimentary limb length (120 cm) [53]. Despite the dissimilarities between RYGB variants, no differences on the postoperative AAs levels were noticed. Both studies found diverse changes in gut microbiota-related metabolites, namely acetate and dimethyl sulfone, when comparing the RYGB variants [27, 53].

### 3.3 Metabolomic signatures associated with post-bariatric weight loss response

The analysis of pre and postoperative metabolomics according to post-bariatric weight loss responses was assessed in 4 independent prospective studies, conducted in patients submitted to SG [49, 54] or RYGB [20, 55] (Fig. 3 and Supplementary File 5).

AAs were the metabolic class that portrait more pronounced changes after bariatric surgeries [20, 54, 55]. Higher baseline BCAAs levels, in particular isoleucine, were correlated with greater weight loss at 3 and 6 months after SG [54]. In the postoperative period, there was a significant decrease in creatine, ornithine, arginine and valine levels in the sub-group of patients with greater weight loss 1 year after RYGB [20].

Moreover, Abidi et al. compared metabolite pattern of patients with sustained weight loss with those of patients who experienced weight regain years after RYGB. The study unraveled that metabolomics' profile of patients with poor long-term weight loss outcomes were characterized by lower levels of metabolites related to serine, glycine and threonine pathways; lower metabolites from phenylalanine, alanine and glutamate metabolism; lower levels of TCA cycle' byproducts, as well as higher levels of AAs. In the opposite analysis, higher glycine levels were found in patients with sustained weight loss [55].

Serotonin, a molecule derived from the AA tryptophan, and its metabolites were also proposed as weight loss response molecular fingerprints. A preoperative profile with lower serotonin levels and serotonin/5-hydroxytryptophan (5-HTp) ratio, and higher 5-hydroxyindoleacetic acid

(5-HIAA) levels and 5-HIAA/serotonin ratio were identified in patients with greater weight loss at 3 and 6 months after SG [54].

Patients with sustained weight loss also presented upregulated lipolysis and consequently depletion of triacylglycerols, diacylglycerols and cholesterol esters, in addition to higher levels of 3-hydroxybutyrate [55].

Increase in multiple BAs subtypes, more pronounced in postprandial rather than fasting period, was found to correlate with early (6 weeks) and short-term (12 weeks) weight loss response after SG. The authors highlighted the augmented postprandial total and G-CDCA, as being significantly correlated to the 6 weeks BMI loss, as well as the increased postprandial G-hyochoic acid, which was significantly correlated to a greater weight loss percentage at both 6 and 12 weeks [49].

### 3.4 Metabolomic signatures associated with post-bariatric T2D remission

Metabolomics profiles associated with glucose and insulin homeostasis after bariatric surgery were analyzed in fourteen independent prospective cohort studies. While seven papers focused on T2D remission after bariatric surgery, another seven studies addressed the specific profile associated with T2D related parameters, namely glycated hemoglobin (HbA1c) levels, insulin secretion and sensitivity, as inferred from insulinogenic index, homeostasis model assessment-insulin resistance (HOMA-IR) [56] and Quantitative Insulin Sensitivity Check Index (QUICKI) [29]. The majority of studies included individuals submitted either to SG [29, 34, 57] or RYGB [17, 20, 25, 56, 58–61] (Fig. 4 and Supplementary File 5).

Circulating AAs were the most differentially altered metabolite class distinguishing patients that achieved T2D remission from those with persistent disease after bariatric surgical interventions [29, 34, 57, 58, 61, 62]. BCAAs decrease after SG and RYGB, being more pronounced in patients achieving T2D remission [29, 33, 34, 56, 58, 61]. Kwon et al. described, not only an early decline in BCAAs, but also aromatic amino acids (AAAs) [29] in patients depicting improved insulin resistance 3 months after SG.

Preoperative lipid metabolite fingerprints of patients who achieve T2D remission as compared to those without disease remission was characterized by higher PEs, triglycerides and PLs with long-chain fatty acids [25]; while postoperative metabolite profile was characterized by lower very-low-density lipoproteins (VLDL), low-density lipoproteins (LDL), N-acetyl glycoproteins and unsaturated lipids, and increased high-density lipoproteins (HDL) and PCs [17, 57, 58, 63] Arora et al. studied the metabolic profile of patients submitted to RYGB who experienced T2D remission 2 years after surgery [25]. Postoperative fasting plasma lipid profile was characterized by a significant

reduction of most lipid species after 4 days and an increase of the same metabolites at 42 days after surgery.

The utility of BAs levels as biomarkers of T2D improvement after bariatric surgery was explored in three independent studies [17, 46, 59]. Two studies addressing T2D remission after RYGB identified higher total BA levels at baseline in the sub-groups of patients with better T2D outcomes [17, 59]. Yu et al. even highlighted that chenodeoxycholic acid (CDCA) and the ratio of CDCA/total BA correlated with T2D remission 2 years after RYGB. Ahlin et al. found that increased total BA levels at 185.3 ( $\pm$  72.9) days after RYGB and BPD were correlated to insulin resistance improvement regardless the type of surgical procedure [46].

## 4 Discussion

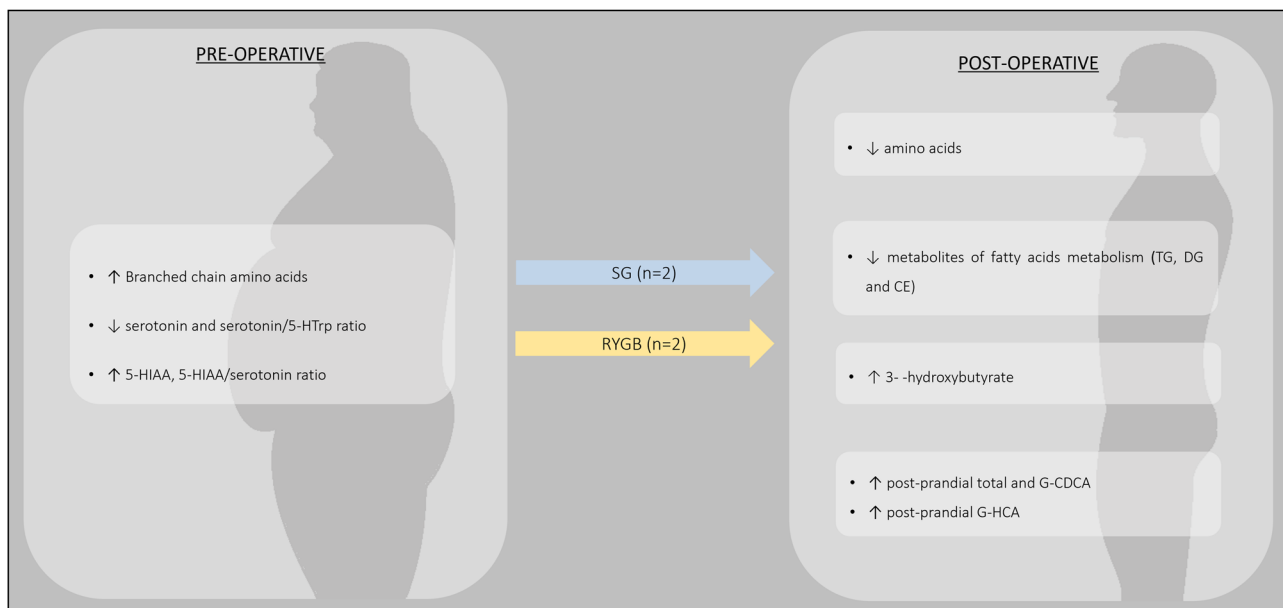
High levels of circulating AAs is a well-known feature of patients with obesity [64]. BCAAs levels are particularly high and experience a rapid decrease after bariatric surgery [17–32, 35–37, 65]. The reduction in BCAA has been attributed to a combination of multiple factors including decreased protein intake; decreased amino acids absorption; increased BCAA catabolism and decreased protein catabolism as a consequence of insulin sensitivity improvement and metabolic amelioration [3]. The upregulation of BCAAs catabolism is supported by the consistent postoperative decline of specific subproducts of BCAAs' mitochondrial oxidation, namely short chain acylcarnitine's C3 and

C5 [17, 18, 28, 30, 43]. Although BCAAs decrease after both restrictive and malabsorptive surgeries [17–32, 35–37], parallel arm studies reported a greater effect of RYGB in BCAAs levels [27, 31]. Since the differences found in BCAA levels when comparing RYGB and the restrictive procedures seem to be independent of the weight loss, these were hypothesized to be related to impaired AAs absorption induced by the RYGB intestinal rearrangement [27, 31].

Similarly, AAAs were also elevated in patients with obesity and decrease after bariatric surgery [27, 37]. This is consistent with the increase of AAAs derived gut microbiota metabolites (p-cresol, indoxyl sulfate, phenol sulfate and 3-indolelactic acid) after bariatric surgery [17, 19, 20, 29, 32, 37].

Multiple studies reported that BCAAs and AAAs modifications induced by the bariatric procedures could play a relevant role predicting T2D remission [29, 34, 57, 58, 62]. Obesity associated hyperaminoacidemia is a consequence of insulin resistance, which positively affects protein synthesis and proteolysis [66]. BCAAs can also modulate insulin secretion and promote diabetes via hyperinsulinemia, considering its role as insulin secretagogues. Chronic hyperinsulinemia can further stimulate compensatory insulin resistance and potentially lead to pancreatic  $\beta$ -cell exhaustion [67, 68]. Contrariwise, improved insulin sensitivity and secretion after bariatric surgery are associated with decreased circulating AAs [26, 29, 58].

In opposition to most of AAs, the levels of the non-essential AA glycine and serine are known to be low in subjects



**Fig. 3** Metabolomic profiles of patients with successful weight loss/maintenance. Abbreviations: 5-HIAA – 5-hydroxyindoleacetic acid; 5-HTp – 5-hydroxytryptophan; CDCA – Chenodeoxycholic Acid;

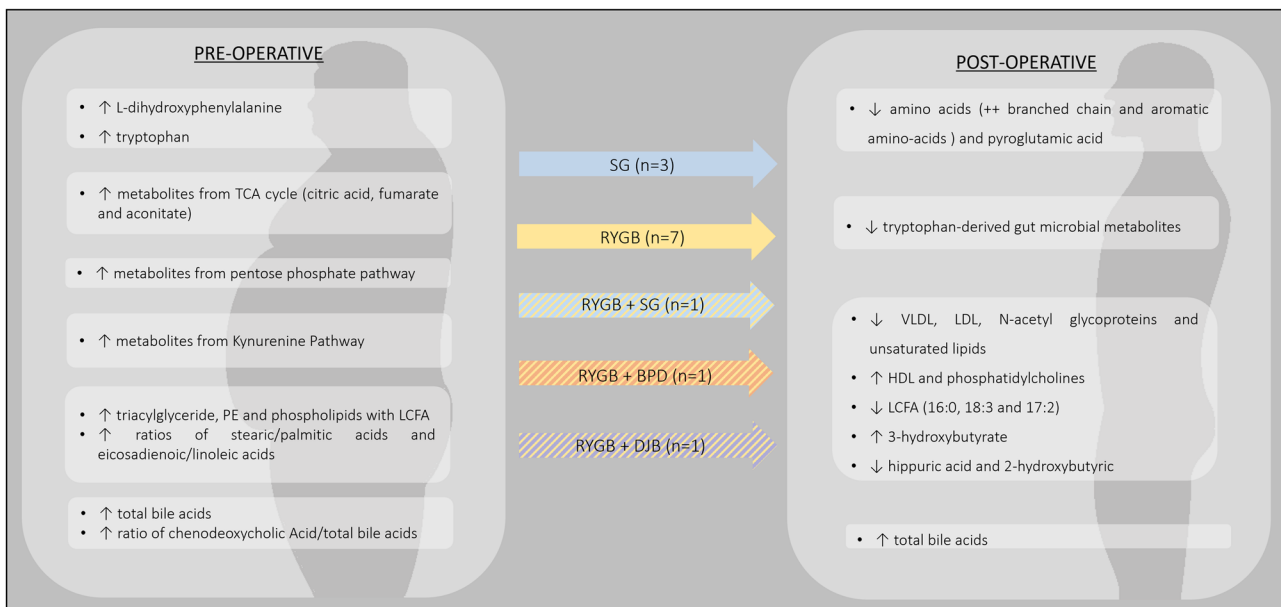
CE – cholesterol esters; DG – diacylglycerols; G- – glycine amidated; HCA – hyocholic acid, SG – Sleeve gastrectomy; RYGB – Roux-en-Y Gastric Bypass; TG – triacylglyceride

with obesity and increase after bariatric surgery [18–22, 24, 26–28, 31, 36, 38, 41]. In healthy subjects, glycine is interconvertible with serine. Glycine participates in multiple biological functions, such as the glutathione synthesis, purines and primary bile salts [69]. Previous studies described low fasting levels of glycine in individuals with impaired glucose tolerance and proposed glycine as an early marker for insulin resistance [70].

Gut microbiota plays an important role in various physiological processes, including metabolism of dietary components and some host-generated substances, with an impact on the use and storage of energy [71]. Gut microbiota of individuals with obesity is characterized by a reduced bacterial diversity and lower ratios of Bacteroidetes to Firmicutes [72]. After bariatric surgery, different gut microbiome-related metabolites arise that differ depending on the type of bariatric procedure. In particular, p-cresol, a metabolite of phenylalanine and tyrosine fermentation by gut microbiota [73, 74], was reported to increase after SG [37]. *Bacteroides fragilis* is one of the bacteria responsible for phenylalanine and tyrosine fermentation. *Bacteroides* were previously demonstrated to increase after SG in individuals with prior T2D diagnosis [75]. So, the effect in tyrosine and phenylalanine levels found in patients with T2D after SG when compared to RYGB, may be related to increased fermentation by gut microbiota. Sulfate-containing metabolites and TMAO, two other gut microbiome-related metabolites were identified to increase after RYGB but not after SG [37]. The differences

observed in sulfate-containing metabolites' results could easily be justified by the fact that the major group of sulfate-reducing bacteria is mainly present in the duodenum, which is trespasses in RYGB [76]. Contrary, the effects of RYGB in TMAO levels are not well understood and unexpected because high TMAO levels have been linked to cardiovascular diseases, whereas RYGB is known to reduce the cardiovascular risk and events [77, 78]. The increase in TMAO levels observed after RYGB, but not after SG, could be explained by the shortening of the small bowel and a less anaerobic metabolism by the gut microbiota, in result of the increase in microbes, such as *E.coli* and *Pseudomonas*, responsible for raising TMAO levels [79]. Gut microbiota-related metabolites were also differentially altered by RYGBs with different absorptive limbs. Gralka et al. found that RYGB with a shorter common limb led to a higher increase in dimethyl sulfone levels compared to a more absorptive RYGB [27]. A different study also found that postprandial levels of acetate were higher in patients submitted to RYGB with a longer BPL [53]. Both metabolites are produced in the colon by bacterial fermentation [80], which is enhanced by the higher quantity of undigested food that reaches the colon in the less absorptive RYGB.

The obesity-associated energy metabolic disruption is characterized by decreased oxidation of ketone bodies and down-regulation of TCA cycle [81]. Metabolomic analysis suggests that after bariatric surgery a strong and early upregulation of catabolism and lipolytic activity occurs. Most of



**Fig. 4** Metabolomic profiles of patients with T2D remission/improved insulin parameters. Abbreviations: BPD – Biliopancreatic diversion; DJB – Duodenal-jejunal bypass; HDL – High-density Lipoprotein; LCFA – long-chain free fatty acids; LDL – Low-density

Lipoprotein; PE – Phosphatidylethanolamines; SG – Sleeve gastrectomy; RYGB – Roux-en-Y Gastric Bypass; TCA – Tricarboxylic Acid; VLDL – Very-low-density Lipoprotein

intermediate and end products of  $\beta$ -oxidation and ketogenesis increase after intervention, in particular in short-term period after surgery [19, 27, 28, 37, 38, 43, 44, 51]. These findings support a shift in energy metabolism from anabolic to catabolic status, possibly triggered by surgery and caloric restriction.

Obesity is also characterized by a blunted postprandial BAs response. Bariatric surgery, in particular RYGB and BPD normalizes that response, leading to an increase in postprandial BAs that can be justified by the accelerated delivery to the distal intestine where BAs are absorbed [46, 48, 59]. Since BPD leads to an earlier delivery of food to the more distal gut, BPD would be expected to have a higher effect on BAs levels when compared to RYGB. However, BAs levels were found to be similar after both bariatric surgeries [46]. Accordingly, bile diversions to the mid-jejunum or mid-ileum in rats led to the same BAs plasma levels, suggesting that BAs absorption is not necessarily proportional to the length of the gut segment [82]. BAs are reported to have a key role on mediating the anti-diabetic effects of the bariatric surgery. Indeed, higher baseline levels of CDCA were correlated with better outcomes after RYGB [17, 59]. In addition, higher postoperative values of conjugated secondary BAs were associated with an increase of insulin sensitivity after both RYGB and BPD [46]. BAs metabolic effects are mainly mediated by the activation of two receptors: Farnesoid X Receptor (FXR) and Takeda G protein-coupled receptor 5 (TGR5). TGR5 activation in the enteroendocrine cells increases the release of the incretin hormone, glucagon-like peptide-1, known for its anti-diabetic effects [83]. In addition, the receptor TGR5 is also expressed in human muscle and brown adipose tissue, leading to an increase of muscle energy expenditure via T4-T3 conversion, which can further contribute to increase insulin sensitivity [84, 85].

Circulating levels of fatty acids, acylcarnitines, sphingolipids, triglycerides, total cholesterol, and LDL are frequently elevated in individuals with obesity and particularly with obesity-related metabolic disorders [64]. Bariatric surgery induces an overall improvement in lipid profile with a marked decrease in most lipid classes. Apart from this trend stands the postoperative increase of MCSFA, known for suppressing fat deposition due to enhanced thermogenesis and fat oxidation in animals and humans [86]. Additionally, previous studies proposed the pharmacological potential of MCSFA for preserving insulin sensitivity in T2D [87, 88].

A preoperative lipid profile with higher levels of PEs, triglycerides and PLs with long-chain fatty acid could also be useful to assess the likelihood of T2D remission after surgery. Long-chain fatty acid metabolism is known to be abnormal in diabetes, leading to impaired formation of specific fatty acids dependent on delta-9, delta-6, and delta-5 desaturation, as well as on chain elongation [89]. Thereby,

greater levels of triglycerides with higher carbon number are associated with a decreased risk of T2D [90]. Palmitic acid (FFA 16:0) is also strongly associated with T2D, since this main even-chain fatty acid is significantly decreased before [17] and after [60] RYGB in patients with better T2D outcomes. Palmitic acid was shown to activate Toll-Like Receptor 4 (TLR4) and, subsequently prompt inflammatory cytokines and lipotoxicity in pancreatic  $\beta$  cells, with consequent impaired insulin action [91].

Lipidomic profiles induced by BPD and SG were reported to be distinct [47]. Most sphingolipids and PLs decrease after BPD, which can be attributed to decreased lipid absorption after surgery. On the other hand, PLs levels increased after SG. Circulating levels of PLs are reduced in patients with obesity, which is associated with an increased oxidative status [92, 93]. SG seems to efficiently restore PLs levels to those found in subjects with normal weight by decreasing patients' oxidative profile. Sphingolipids levels also increase after SG. However, this observation is more difficult to understand since sphingolipids are associated to obesity-related disorders such as insulin resistance and cardiovascular diseases [94].

Nonetheless, the interpretation of the summarized data must be evaluated in the context of the limitations of the reported studies. There are some discrepant results across different analysis, raising the possibility of some bias, independent from the anatomical rearrangements of the surgery itself, such as patients' dietary habits, which could also have a significant impact on the metabolome. Additionally, it is important to mention that the time points of assessment before and after surgical interventions differed substantially across the studies, in some cases, the follow-up was not long enough for an adequate appreciation of patients' outcomes. Most of the studies reported the metabolomic profile induced by a single bariatric surgery procedure. Although comparison between studies can be made for understanding the metabolomic profile induced by different surgeries, it raises several constraints derived from the comparison of non-paired patients regarding pre and postoperative characteristics and from the use of different methodological approaches.

In addition, there is still a small number of studies analyzing the importance of metabolomics as predictors of bariatric surgery outcomes. Furthermore, most studies include a small number of patients with short post-operative periods and some of the conclusions are based on the analysis of patients submitted to different technical procedures.

In order to identify metabolomic signatures to predict bariatric surgery response, prospective studies with larger cohorts and longer follow-up periods are needed. Ideally, studies should avoid the inclusion of subjects with heterogeneous pre-operative characteristics and submitted to different bariatric surgery. Food intake dairies prior to the analysis should also be taken into account as an additional source of bias.

By conducting these research trials different patient subgroups metabolomics profiles could be compared and correlated with surgical outcomes, thus harboring the potential to identify a pre-operative metabolomic pattern that could act as a decision aid for the surgeon to choose the bariatric surgery procedure that is more likely to achieve successful weight loss and T2D improvement.

## 5 Conclusions

This review summarizes the impact of bariatric surgery on the individuals' metabolomics profile and how different bariatric procedures result in distinct metabolomics signatures, which could contribute to explain the heterogeneity of surgical outcomes. Some preoperative metabolomics fingerprints were identified as harboring the potential to be used as prognostic biomarkers for weight loss response and T2D remission. Among these, higher pre-operative levels of lipids including phospholipids, long-chain fatty acids and bile acids are associated with post-operative T2D remission.

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**Author contribution** Conceptualization: Sofia S. Pereira and Mariana P. Monteiro; Studies selection and Data extraction: Sofia S. Pereira and Matilde Vaz; Writing—original draft preparation: Sofia S. Pereira and Matilde Vaz; Writing—review and editing: Mariana P. Monteiro. All authors approved the final version of the manuscript.

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## Declarations

**Ethics approval** Not applicable.

**Consent to participate** Not applicable.

**Consent for publication** Not applicable.

**Conflict of interest** The authors have nothing to disclose.

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