

# Ketamine and esketamine in suicidal thoughts and behaviors: a systematic review

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## Abstract

**Background:** More than 2% of the general population experience suicidal ideas each year and a large number of them will attempt suicide. Evidence-based therapeutic options to manage suicidal crisis are currently limited.

**Objectives:** The aim of this study was to overview the findings on the use of ketamine and esketamine for the treatment of suicidal ideas and acts.

**Design:** Systematic review.

**Data Sources and methods:** PubMed, article references, and Clinicaltrials.gov up to June 30, 2022. Meta-analyses published within the last 2 years were also reviewed.

**Results:** We identified 12 randomized controlled trials with reduction of suicidal ideation as the primary objective and 14 trials as secondary objectives. Intravenous racemic ketamine was superior to control drugs (placebo or midazolam) within the first 72 h, in spite of large placebo effects. Adverse events were minor and transient. In contrast, intranasal esketamine did not differ from placebo in large-scale studies. Limitations, clinical considerations, and opportunities for future research include the following points: large placebo effects when studying suicidal ideation reduction; small concerns about blinding quality due to dissociative effects; no studies on the risk/prevention of suicidal acts and mortality; lack of studies beyond affective disorders; no studies in adolescents and older people; lack of knowledge of long-term side effects, notably liability for abuse; no robust predictive markers; limited understanding of the mechanisms of ketamine on suicidal ideas; need for improved assessment of suicidal ideation in clinical trials; need for studies in outpatient settings, emergency room, and liaison consultation; need for research on ketamine administration; limited knowledge on the positive and negative effects of concomitant treatments.

**Conclusion:** Overall, there is compelling evidence for a favorable short-term benefit-risk balance with intravenous racemic ketamine but not intranasal esketamine. The place of ketamine will have to be defined within a multimodal care strategy for suicidal patients. Caution remains necessary for clinical use, and pharmacovigilance will be essential.

**Keywords:** efficacy, esketamine, drugs, intervention, ketamine, randomized controlled trial, RCT, review, suicidal ideation, suicide attempt

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## Introduction

In spite of a growing number of publications in suicidology over the last 30 years,<sup>1</sup> the prevalence of suicidal thoughts and behaviors remains high and therapeutic options limited.<sup>2</sup> The identification over the last two decades of the rapid

antidepressive and anti-suicidal properties of ketamine<sup>3,4</sup> has given rise to high hopes.

Ketamine was first synthesized in 1964 and originally used as a general anesthetic and analgesic veterinary drug since 1970.<sup>5,6</sup> It is a racemic

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mixture containing equal parts of (*R*)-ketamine (or arketamine) and (*S*)-ketamine (or esketamine). It is a non-competitive antagonist of the N-methyl-D-aspartate receptor (NMDA-R), but pharmacological mechanisms of action extend beyond this receptor (e.g. AMPA receptors, opioid receptors, etc.) and possibly include a variety of intracellular alterations explaining their clinical effects.<sup>7</sup> It is available for various modes of administration including intravenous (IV), intranasal, intramuscular, intrarectal, and oral. This drug has several properties and indications, notably in acute and chronic pain treatment.<sup>8</sup> While initially described in anecdotal reports since the 1970s, its antidepressant properties have only been studied since the early 1990s.<sup>9</sup> In 2019, an intranasal spray of esketamine (Spravato<sup>®</sup>, Eskesia<sup>®</sup>) was approved for treatment-resistant depression and then the short-term treatment of moderate-to-severe depressive episodes by drug agencies, including the US Food and Drug Administration and the European Medicines Agency.

This article aims to review the scientific literature on the therapeutic and side effects of ketamine and esketamine on suicidal thoughts and behaviors. In our opinion, the recent publication of large studies justified an update.<sup>10</sup> To this aim, we systematically reviewed published randomized controlled trials (RCTs) and the most recent reviews and meta-analyses on this topic. Contrary to some previous reviews, we distinguished studies with a primary objective of reduced suicide risk from those in which suicidal improvement was only a secondary objective. These latter studies may lack statistical power and adequate measures of suicidal risk.

Our objective was to determine whether ketamine and esketamine can be recommended for the management of the suicidal crisis and highlight research direction based on the level of evidence and issues. Indeed, we want to underline here many problems about the investigation and treatment of suicidal thoughts and behaviors. These are notably difficult to define, assess, predict, understand, treat, and prevent, as discussed in Supplemental material. These issues should be integrated into the discussion about ketamine in suicidal thoughts and behaviors, and the interpretation of findings from clinical trials.

## Methods

This was a systematic review. Writing of this article followed the Preferred Reporting Items for

Systematic reviews and Meta-Analyses (PRISMA) guidelines (see checklist in Supplemental material). This review was not registered, and no protocol was prepared.

## Search strategy

Studies were identified through searches in PubMed (suicid\* AND ketamine OR esketamine) until June 30, 2022, ClinicalTrials.gov, and our own reference lists. We additionally considered several recent reviews within the last 2 years until June 30, 2022, including (1) one systematic review of systematic reviews,<sup>11</sup> two meta-analyses,<sup>12,13</sup> and five reviews considered of good quality<sup>14–18</sup> with (es)ketamine in suicidal ideation as the primary objective; (2) two reviews on interventions targeting suicidal risk and prevention,<sup>19,20</sup> (3) and two Cochrane reviews,<sup>21,22</sup> one meta-analysis<sup>23</sup> and one systematic review of meta-analyses<sup>24</sup> comprising secondary analyses of suicidal ideation.

## Study selection

Eligibility criteria were as follows: double-blind randomized controlled trials (RCTs), using ketamine or esketamine (any administration modality and dose) *versus* a control drug, with suicidal thoughts or behaviors (but not non-suicidal self-injury) as primary or secondary objectives, in all types of mental disorders. We searched for articles published in English. Of the 1012 identified records (and 2 additional from reviews), 28 articles were finally selected (Figure 1). Two investigators (F.J. and R.C.) reviewed literature and extracted data into standardized spreadsheets: Countries of recruitment, year of publication, funding, sample size (total and per group), population including age, psychiatric condition, and setting, design (including parallel *versus* crossover), intervention including mode of administration, dose, duration of follow-up, and mono- *versus* multicentric feature, control drug, measure of suicidality, and main outcomes related to suicidality.

## Results

### Global description of RCTs

No RCT investigated the effect of ketamine on the prevention of self-harming behaviors or suicide attempts or suicide mortality. Table 1 presents RCTs investigating the effect of ketamine

on suicidal ideation as the main outcome (thereafter named ‘primary RCTs’) while Table 2 presents RCTs for which suicidal ideation was a secondary outcome (‘secondary RCTs’).

We identified 12 primary RCTs published between 2015 and 2022 (total participants = 955) and 14 secondary RCTs between 2000 and 2022 (total participants = 798). Two additional articles reported analyses of pooled data from previously published RCTs (total participants = 516). Among the 26 studies, five RCTs used a crossover design (all secondary RCTs) and the rest a parallel design. Sample sizes ranged 10–230 in primary RCTs and 9–223 in secondary RCTs. Six primary RCTs (50%) and seven secondary RCTs (50%) included fewer than 40 participants. Four studies were multicentric among primary RCTs, and two among secondary RCTs. The United States accounted for 53.8% of all identified RCTs, and middle-income countries for 19.2%.

### Population studied

Participants were mostly middle-aged adults (18–65 years), with a few studies allowing the recruitment of people above 65 years. None recruited adolescents, or specifically focused on young adults below 25 years or on people above 65 years. Gender representation was mixed in all studies.

Patients were suicidal at inclusion (with a specific suicidal inclusion criteria) in 11 primary RCTs (for 1 RCT, criteria about suicidal risk were not reported but patients were described as being suicidal) but in only 5 secondary RCTs (35.7%). The definition of suicidal ideation at inclusion varied greatly across these 17 studies: 5 used the Beck Scale for Suicidal Ideation (SSI, clinician or patient-rated), 2 studies combined it with the Columbia – Suicide Severity Rating Scale (C-SSRS), and 1 used the modified SSI (MSSI). Five studies used the suicide item of the Montgomery–Asberg Depression Rating Scale (MADRS). Three studies used specific items of the Mini International Neuropsychiatric Interview (MINI) combined with a need for hospitalization. Two studies used the suicidal item of the Hamilton Depression Rating scale (HDRS), combined with C-SSRS for one of them.

Patients were most often recruited during hospitalization or were hospitalized for the trial [92% of primary (one unknown) but only 28.6%

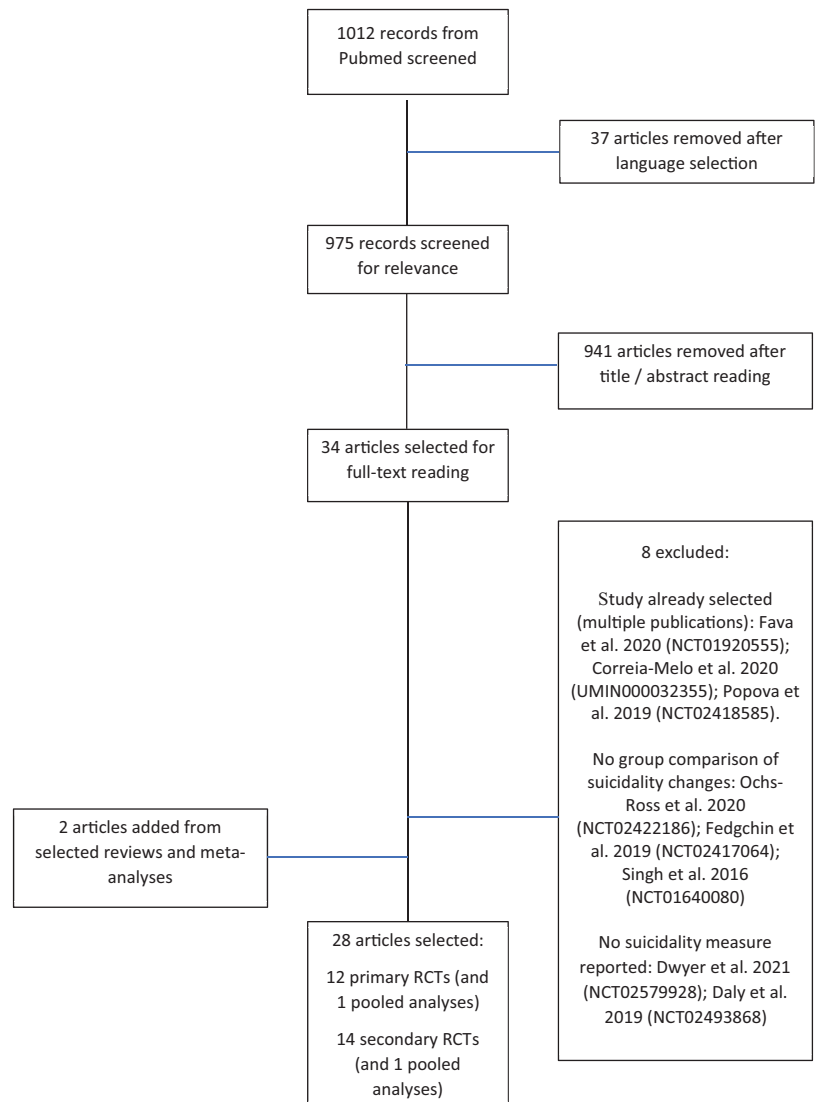


Figure 1. Flowchart.

of secondary RCTs]. Four studies recruited exclusively or partially from an emergency department.

‘Affective disorders’ were the main diagnoses for all studies. For the 12 primary RCTs, main diagnoses were various mood disorders ( $N=4$ ), bipolar disorders only (1), major depressive disorders only (4), depressed patients with various diagnoses at the emergency room (excluding psychosis; 2), and depressed patients with a newly diagnosed cancer (1). Among the 14 secondary RCTs, main diagnoses were treatment-resistant depressive disorders (8), major depressive disorder (2), bipolar disorder (2), various mood disorders (mainly major depressive disorder; 1), and depressed patients undergoing orthopedic surgery (1).

**Table 1.** RCTs investigating the effect of ketamine on suicidal ideation as the primary outcome.

Study, country, registration	Sample size (intervention versus control)	Population	Design	Intervention	Control drug	Main measure(s) of suicidal ideation	Main findings related to suicidal ideation
Abbar <i>et al.</i> <sup>10</sup> ; France; NCT02299440	156 (73 versus 83; bipolar: 26 versus 26; depressive disorder: 26 versus 30; other: 21 versus 27)	Suicidal inpatients (SSI score > 3), stratified by center and diagnosis: bipolar disorder, depressive disorders, other; 18–76 years	Double-blind RCT, parallel; multicenter	IV ketamine (0.5 mg/kg); 40-min infusion; two infusions at 24-h interval; as an adjunctive treatment; blind follow-up for 6 weeks	IV placebo (saline)	Clinician-rated SSI	- At 72h: higher remission rate [score ≤ 3] in ketamine arm (63.0% versus 31.6%) - Significant effect in the bipolar group but not in other two groups - At week 6: persistence of effect (69.5% versus 56.3%, no significant arm difference)
Ionescu <i>et al.</i> <sup>25</sup> ; several countries; NCT03097133; funded by Janssen Research and Development, LLC	230 (115 versus 115)	Suicidal (response yes to questions B3 and B10 of the MINI) depressed inpatients with a major depressive disorder without psychotic features; 18–65 years	Double-blind RCT, parallel; multicenter	Intranasal esketamine (84 mg), twice per week for 25 days, then 9-week follow-up without intervention; as an adjunctive treatment (except benzodiazepines around administration)	Intranasal placebo	SIBAT	- At 24h: no significant arm difference in severity of suicidal ideation
Pathak <i>et al.</i> <sup>26</sup> ; India; unregistered	60 (30 versus 30)	Suicidal depressed inpatients (MSSI > 20), approximately 60% unipolar disorder; 18–60 years	Single-blind RCT, parallel; monocenter	IV ketamine (0.5 mg/kg); 60 drops per minute; at day 0, day 2, and day 4 if still suicidal; as an adjunctive treatment; 6-day follow-up	IV placebo (saline)	Clinician-rated MSSI	- At 6 h and daily timepoints until day 6: lower MSSI score in ketamine arm (6 h: 20.1 ± 3.1 versus 27.5 ± 3.0; day 6: 10.1 ± 1.1 versus 23.7 ± 1.6)
Domany and McCullumsmith <sup>27</sup> ; USA; NCT02183272	30 (15 versus 15)	Suicidal patients (SSI score ≥ 3 for the first five items, and C-SSRS score ≥ 3), presenting in the emergency room and in need of psychiatric hospitalization; various diagnoses but not psychosis (details not given); 18–65 years	Double-blind RCT, parallel; monocenter	Intranasal ketamine (40 mg); single dose; as an adjunctive treatment; 28-day follow-up	Intranasal placebo (saline)	Self-report SSI, MADRS-SI	- At 4 h: higher reduction in MADRS-SI scores [4.89 ± 0.4 versus 3.35 ± 0.5] but non-significant reduction in SSI scores (17.4 ± 9.4 versus 10.5 ± 8.21) in the ketamine arm; higher remission rates (MADRS-SI score = 0; 80% versus 33%) - Day 28: Persistence of low SSI and MADRS-SI scores - trend for lower duration of hospitalization with ketamine

(Continued)

Table 1. (Continued)

Study, country, registration	Sample size (intervention versus control)	Population	Design	Intervention	Control drug	Main measure(s) of suicidal ideation	Main findings related to suicidal ideation
Fu <i>et al.</i> <sup>28</sup> several countries; NCT03039192; funded by Janssen Research and Development, LLC	226 (114 versus 112)	Suicidal (response yes to questions B3 and B10 of the MINI) and need for acute hospitalization) depressed patients seen in the emergency room and inpatients; with a major depressive disorder without psychotic features; 18–65 years	Double-blind RCT, parallel; multicenter	Intranasal esketamine (84 mg), twice per week for 4 weeks; then 9-week follow-up without intervention; as an adjunctive treatment	Intranasal bitter placebo	SIBAT	- At 24 h: no significant arm difference in severity of suicidal ideation
Domany <i>et al.</i> <sup>29</sup> ; USA; NCT01887990	18 (9 versus 9)	Suicidal patients (SSI score $\geq 3$ for the first five items, and C-SSRS score $\geq 3$ ), with major depression, bipolar depression, depression not otherwise specified, or dysthymia, presenting in the emergency room and in need of psychiatric hospitalization; 18–65 years	Double-blind RCT, parallel; monocenter	IV ketamine (0.2 mg/kg); 5-min infusion; single dose; as an adjunctive treatment (excluding lamotrigine, acamprosate, memantine, riluzole, or lithium); 14-day follow-up	IV placebo (saline)	Self-report SSI, MADRS-SI	- Up until 180 min: lower SSI scores in the ketamine arm - Up until 120 min: lower MADRS-SI scores in the ketamine arm - At 90 min: higher remission rate (MADRS-SI $\leq 2$ ) in the ketamine arm (88% versus 33%)
Canuso <i>et al.</i> <sup>30</sup> ; USA; NCT02133001; funded by Janssen Research and Development, LLC	68 (36 versus 32)	Suicidal (response yes to questions B5 and B9 of the MINI) and need for acute hospitalization) depressed patients seen in an emergency room and inpatients; with a major depressive disorder without psychotic features; 19–64 years	Double-blind RCT, parallel; multicenter	Intranasal esketamine (84 mg), twice per week for 4 weeks; then 8-week follow-up; as an adjunctive treatment	Intranasal bitter placebo	MADRS-SI, SIBAT, self-rated SSI	- Lower MADRS-SI score with ketamine at 4 h after first dose (effect size = 0.61) but not 24 h or day 25. - No significant arm difference in SIBAT or SSI scores
Grunebaum <i>et al.</i> <sup>31</sup> ; USA; NCT01700829	80 (40 versus 40)	Suicidal inpatients (SSI score $\geq 4$ ), with major depressive disorder, stratified by psychiatry medication (yes/no) and baseline SSI score (cutoff = 8). Around 50% with a prior suicide attempt; 18–65 years	Double-blind RCT, parallel; remitters at 24 h remained blind; for non-remitters at day 1, blinding was lifted and non-remitters under midazolam could receive ketamine; monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; 6-week follow-up; as an adjunctive treatment (excluding benzodiazepines since 24 h)	IV midazolam (0.02 mg/kg)	Clinician-rated SSI	- At 24 h: higher mean reduction in SSI scores [-4.96 points (2.33–7.59); effect size = 0.75] and higher rate of responders [55% versus 30%] in ketamine arm - At week 6: improvement maintained during open-label phase

(Continued)



Table 1. (Continued)

Study, country, registration	Sample size (intervention versus control)	Population	Design	Intervention	Control drug	Main measure(s) of suicidal ideation	Main findings related to suicidal ideation
Grunebaum <i>et al.</i> <sup>32</sup> ; USA; NCT01944293	16 (7 versus 9)	Suicidal depressed inpatients (SSI score $\geq 4$ ) with a bipolar disorder; 18–65 years	Double-blind RCT, parallel; remitters at 24 h remained blind; for non-remitters at day 1, blinding was lifted and non-remitters under midazolam could receive ketamine; monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; 6-week follow-up; as an adjunctive treatment (excluding benzodiazepines since 24 h)	IV midazolam (0.02 mg/kg)	Clinician-rated SSI	- At 24 h: no significant arm difference (although six points lower in the ketamine arm)
Fan <i>et al.</i> <sup>33</sup> ; China; no known registration	37 (20 versus 17)	Patients with newly diagnosed cancer; they were depressed and suicidal but this is not identified as inclusion criteria; 18–70 years	Double-blind RCT, parallel; two-center	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; 7-day follow-up; as an adjunctive treatment	IV midazolam (0.05 mg/kg)	SSI (version unknown), MADRS-SI	- At day 1: lower SSI ( $9.53 \pm 9.53$ versus $16.79 \pm 7.07$ ) and MADRS-SI scores ( $1.69 \pm 1.93$ versus $3.42 \pm 1.75$ ) in ketamine arm - At day 3: lower SSI ( $9.07 \pm 8.21$ versus $16.93 \pm 8.27$ ) and MADRS-SI scores ( $1.77 \pm 1.84$ versus $3.52 \pm 1.89$ ) in ketamine arm - Day 7: no group difference (SSI scores remained low but MADRS-SI re-increased).
Burger <i>et al.</i> <sup>34</sup> ; USA; no known registration	10 (3 versus 7), early termination	Suicidal military service member in active duty (SSI $\geq 4$ ), presenting to the emergency room and in need of hospitalization (no psychosis or bipolar disorder); 18–65 years	Double-blind RCT, parallel; monocenter	IV ketamine (0.2 mg/kg); 2-min infusion; single dose; follow-up up max 3 weeks (including 2 weeks after hospital discharge); as an adjunctive treatment	IV placebo (saline)	Self-rated SSI	- Until 4 h: significant decrease in SSI scores in the ketamine but not placebo arm, but no group difference. - Effect seemed to be maintained over the 2-week follow-up

(Continued)

Table 1. (Continued)

Study, country, registration	Sample size (intervention versus control)	Population	Design	Intervention	Control drug	Main measure(s) of suicidal ideation	Main findings related to suicidal ideation
Murrough <i>et al.</i> <sup>35</sup> ; USA; NCT01507181	24 (12 versus 12)	Suicidal in- and outpatients (MADRS-SI > 3), with anxiety and mood disorders (54% major depressive disorder, 29% bipolar disorder; 62.5% history of suicide attempt); 18–80 years	Double-blind RCT, parallel; monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; 7-day follow-up; as an adjunctive treatment	IV midazolam (0.045 mg/kg)	Self-rated SSI, MADRS-SI	- At 24 h: no significant between-group difference in mean SSI scores ( $10.8 \pm 8.5$ versus $14.0 \pm 10.2$ ) but lower MADRS-SI in ketamine arm ( $1.8 \pm 1.9$ versus $3.3 \pm 1.6$ ) - At 48 h: lower mean SSI scores in the ketamine arm ( $8.8 \pm 8.3$ versus $15.3 \pm 10.9$ ) but no group difference in MADRS-SI ( $1.8 \pm 1.9$ versus $3.2 \pm 1.8$ ) - At 72 h and 7 days: no arm difference for any score but low SSI and MADRS-SI scores maintained
Pooled analyses							
Canuso <i>et al.</i> <sup>36</sup> ; several countries; data pooled from Ionescu <i>et al.</i> <sup>26</sup> and Fu <i>et al.</i> <sup>28</sup> (see above); funded by Janssen Research and Development, LLC	456 (229 versus 227)	Suicidal (response yes to questions B3 and B10 of the MINI) depressed inpatients with a major depressive disorder without psychotic features; 18–65 years	Double-blind RCT, parallel; multicenter	Intranasal esketamine (84 mg), twice per week for 4 weeks then 9-week follow-up without intervention; as an adjunctive treatment (except benzodiazepines around administration)	Intranasal placebo	SIBAT	- At 24 h: no significant arm difference in severity of suicidal ideation
C-SSRS, Columbia – Suicide Severity Rating Scale; IV, Intravenous; MADRS-SI, Montgomery-Asberg Depression Rating Scale – Suicide item; MINI, Mini International Neuropsychiatric Interview; MSSJ, Modified Scale for Suicidal Ideation; RCT, randomized controlled trial; SIBAT, Suicide Ideation and Behavior Assessment Tool; SSI, Beck's Scale for Suicidal Ideation.							

**Table 2.** RCTs investigating the effect of ketamine on suicidal ideation as a secondary outcome.

Study, country, registration	Sample size	Population	Design	Intervention (drug, dose, and follow-up duration)	Control drug	Main measure of suicidal ideation	Main findings related to suicidal ideation
Floden <i>et al.</i> <sup>37</sup> ; several countries; NCT02418585; funded by Janssen Research and Development, LLC	223 (114 versus 109)	Moderate to severe depressed outpatients with treatment-resistant major depressive disorder; $\geq 18$ years	Double-blind RCT, parallel; multicenter	Intranasal esketamine at flexible dose (56 or 84 mg); twice weekly during 4 weeks; in addition to an open-label antidepressant	Intranasal placebo	PHQ-9-SI; MADRS-SI	At days 15 and 28 versus baseline: No significant group difference
Feeney <i>et al.</i> <sup>38</sup> ; USA; NCT01920555;	56 (40 versus 16)	Suicidal depressed outpatients (MADRS-SI score $\geq 2$ ) with a treatment-resistant major depressive disorder; stratified by body mass index, medication washout period (duration undefined); 18–70 years	Double-blind RCT, parallel [but secondary analyses of Fava <i>et al.</i> <sup>39</sup> in only patients who were suicidal at baseline]; multicenter	Four groups: IV ketamine 0.1 or 0.2 or 0.5 or 1.0 mg/kg (analyzed together); 40-min, single infusion; as an adjunctive treatment; 30-day follow-up	IV midazolam (0.045 mg/kg)	MADRS-SI	At day 30: lower MADRS-SI scores in the ketamine pooled group - Among patients who had a rapid reduction in MADRS-SI scores (at day 3), 45.5% had a recurrence of suicidal ideas at day 30 in the ketamine arm versus 71.4% in the midazolam arm
Vieira <i>et al.</i> <sup>40</sup> ; Brasil; UMIN000032355	59 (29 versus 30)	Suicidal depressed patients (MADRS-SI $\geq 1$ ) with a treatment-resistant depressive disorder; $\geq 18$ years	Double-blind RCT, parallel; monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; as an adjunctive treatment (excluding benzodiazepines); 7-day follow-up	IV esketamine (0.25 mg/kg)	MADRS-SI	- Significant decrease in MADRS-SI scores in both arms with no arm difference at 24 h, 72 h, and 6 days post-infusion
Phillips <i>et al.</i> <sup>41</sup> ; Canada; NCT01945047	37	Suicidal depressed outpatients (MADRS-SI $\geq 2$ ) with treatment-resistant major depressive disorder; 18–65 years	Double-blind RCT, crossover (7 days apart, phase I); monocenter	IV ketamine (0.5 mg/kg); 40-min, single infusion (1-week interval for crossover); as an adjunctive treatment (except benzodiazepines for one day); then six open-label ketamine infusions thrice-weekly for 2 weeks (phase II) in case of depressive relapse; then, participants who obtained an antidepressant response to ketamine received once-weekly ketamine infusions for a further 4 weeks (phase III)	IV midazolam (0.03 mg/kg)	MADRS-SI, QIDS-SI	- Lower MADRS-SI scores in ketamine arm at 2 h and day 7 (difference 1.7 points) but not 24 h. - A significant decrease was found during phase II; the effect was maintained for the following 4 weeks (phase III).
Chen <i>et al.</i> <sup>42</sup> ; China; NCT02305349	132 (126 versus 126)	Depressed patients with major depressive disorder, undergoing ECT with propofol anesthesia; 18–65 years	Double-blind RCT, parallel; monocenter	IV ketamine (0.3 mg/kg), with anesthesia at each bitemporal ECT session, three times per week; as an adjunctive treatment; 6-month follow-up	IV placebo (saline)	HDRS-SI	- At the end of ECT, no group difference in rates of HDRS-SI scores $\geq 3$ - However, reduction of this rate may have occurred earlier in ketamine arm.

(Continued)



Table 2. (Continued)

Study, country, registration	Sample size	Population	Design	Intervention (drug, dose, and follow-up duration)	Control drug	Main measure of suicidal ideation	Main findings related to suicidal ideation
Chen <i>et al.</i> <sup>43</sup> , Taiwan; registration unclear	48 (16 versus 16 versus 16)	Depressed patients with a treatment-resistant major depressive disorder; 20–65 years	Double-blind RCT, parallel; monocenter	Two groups: IV ketamine 0.5 and 0.2 mg/kg; 40-min, single infusion; as an adjunctive treatment; 3-day follow-up	IV placebo (saline)	MADRS-SI	- At day 3: no group difference in MADRS-SI scores
Ionescu <i>et al.</i> <sup>44</sup> ; USA; NCT01582945	26 (13 versus 13)	Outpatients with a treatment-resistant depression and suicidal ideas for more than 3 months (score $\geq 1$ at the C-SSRS-SI and $\geq 2$ at HDRS-SI but no need for immediate hospitalization); 18–65 years	Double-blind RCT, parallel; monocenter	IV ketamine (0.5 mg/kg); 45-min infusion; two infusions per week for 3 weeks, that is, total of six infusions; as an adjunctive treatment (except St John's wort, theophylline, tramadol, and any use of illicit narcotics or barbiturates within the previous 6 months)	IV placebo (saline)	C-SSRS-SI	- No group difference in C-SSRS-SI score or intensity
Hu <i>et al.</i> <sup>45</sup> ; China; ChiCTR-TRC14004936	30 (15 versus 15)	Suicidal depressed (HDRS-SI $\geq 3$ ) outpatients with major depressive disorder; 18–60 years	Double-blind RCT, parallel; all patients received 10 mg escitalopram after a 2-week washout period; monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; as an adjunctive treatment; 30-day follow-up	IV placebo (saline)	QIDS-SR-SI	- At 1 h: no arm difference in QIDS-SR-SI - At 2, 24, and 72 h: lower scores in the ketamine arm - At 1, 2, 3, and 4 weeks: no arm difference following increase in scores in ketamine arm
Price <i>et al.</i> <sup>46</sup> ; USA; NCT00768430	57 (36 versus 21)	Depressed outpatients (hospitalized overnight) with treatment-resistant depressive disorder; 21–80 years	Double-blind RCT, parallel; two-center	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; medication-free (a stable dose of a non-benzodiazepine hypnotic was allowed)	IV placebo (saline)	SSI, composite score (SSI + QIDS-SI + MADRS-SI), IAT-death, IAT-escape	- At 24 h: lower composite scores and higher remission rates (i.e. score = 0 on all three scales; 53% versus 24%) in the ketamine arm. No group difference in IAT but significant decrease in the ketamine but not midazolam arm.
Zarate <i>et al.</i> <sup>47</sup> ; USA; NCT00088699	15	Depressed inpatients with a bipolar I or II disorder, non-psychotic, and under lithium or valproate; 18–65 years	Double-blind RCT, crossover (2 week-interval between the two doses); monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single infusion; medication-free other than lithium or valproate for 2 weeks (5 weeks for fluoxetine); 14-day follow-up	IV placebo (saline)	MADRS-SI, BDI-SI, HDRS-SI	- Lower scores after ketamine for MADRS-SI (40 min until day 3), BDI-SI (40 min until day 2 and at day 10), HDRS-SI (40–80 min and at day 2).

(Continued)

Table 2. (Continued)

Study, country, registration	Sample size	Population	Design	Intervention (drug, dose, and follow-up duration)	Control drug	Main measure of suicidal ideation	Main findings related to suicidal ideation
Diazgranados <i>et al.</i> <sup>4</sup> ; USA; NCT00088699	18	Depressed inpatients with a bipolar I or II disorder, non-psychotic, and under lithium or valproate; should not be clinically considered at high risk of suicide; 18–65 years	Double-blind RCT, crossover (2-week interval between the two doses); monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; medication-free for 2 weeks; 14-day follow-up	IV placebo (saline)	MADRS-SI	No arm differences (no details given)
Zarate <i>et al.</i> <sup>48</sup> ; USA; NCT00088699	18	Depressed inpatients with treatment-resistant depressive disorder (medication washout period of 2–5 weeks); 18–65 years	Double-blind RCT, crossover (2-week interval between the two doses); monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; probably medication-free; 7-day follow-up	IV placebo (saline)	HDRS-SI	'Significant main effect of drug' (no details given)
Kudoh <i>et al.</i> <sup>49</sup> ; Japan; no known registration	70 (35 versus 35) + 25 non-depressed controls	Patients with major depression undergoing orthopedic surgery; 35–63 years	RCT, double-blind unclear; parallel; all patients received an anesthesia with propofol and fentanyl; bicenter	Ketamine (1.0 mg/kg); administration route unclear; in addition to anesthesia, single dose; as an adjunctive treatment; 4-day follow-up	No control drug, only anesthesia	HDRS-SI	- At day 1: lower HDRS-SI scores in the ketamine arm (0.3 ± 0.5 versus 1.1 ± 0.8)
Berman <i>et al.</i> <sup>3</sup> ; USA; no known registration	9	Depressed outpatients, with mainly a major depressive disorder; all unmedicated for at least 2 weeks; 23–56 years.	Double-blind RCT, crossover (2 days); monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; single dose; medication-free for at least 2 weeks	IV placebo (saline)	HDRS-SI	- At 72 h: significant reduction in HDRS-SI in the ketamine arm only (no details given)
Pooled analyses							
Ballard <i>et al.</i> <sup>50</sup> ; USA; data drawn from two previous RCT, including Zarate <i>et al.</i> <sup>47</sup> , Diazgranados <i>et al.</i> <sup>4</sup> , and Zarate <i>et al.</i> <sup>48</sup>	60	Depressed inpatients with treatment-resistant depressive disorder (N = 27) or depressed bipolar I or II disorders (N = 37); acutely suicidal patients (MADRS-SI ≥ 4) excluded; 18–65 years	Double-blind RCT, crossover (2-week interval between the two doses); monocenter	IV ketamine (0.5 mg/kg); 40-min infusion; medication-free for 2 weeks (5 weeks for fluoxetine)	IV placebo (saline)	MADRS-SI, BDI-SI, HDRS-SI, SSI total and first five items	- More than 3 days post-infusion, lower scores in the ketamine arm for all measures and all time points except SSI total score after 40 min, and MADRS-SI at day 3 only

BDI-SI, Beck Depression Inventory – Suicide item; C-SSRS, Columbia – Suicide Severity Rating Scale; ECT, electroconvulsivotherapy; HDRS-SI, Hamilton Depression Rating Scale – Suicide item; IAT, Implicit Association Test; IV, intravenous; MADRS-SI, Montgomery-Asberg Depression Rating Scale – Suicide item; PHQ-9-SI, Patient Health Questionnaire – Suicide item; QIDS-SI, Quick Inventory of Depressive Symptomatology – Suicide item; QIDS-SR, Quick Inventory of Depressive Symptomatology – Self-Report; RCT, Randomized Controlled Trial; SSI, Beck's Scale for Suicidal Ideation.

### *Intervention: mode of administration, drug and control condition*

Among the 12 primary RCTs, one single dose of IV ketamine at 0.5 mg/kg over 40 min was used in four studies while one study used two infusions at 24-h interval and one study gave the possibility of two additional infusions after the first one according to the clinical response. Two studies used one single dose of IV ketamine at 0.2 mg over 2 or 5 min. One study used one single dose of intranasal ketamine at 40 mg. Intranasal esketamine at 84 mg twice a week for 4 weeks was used in the three studies funded by Janssen pharmaceutical company. The control condition always used the same protocol as for the intervention condition. IV or intranasal saline placebo was used in eight studies and IV midazolam at 0.02–0.05 mg/kg in four studies. In all these studies, drugs were given as adjunctive treatments. Four studies excluded benzodiazepines and one study excluded selected psychotropic drugs.

For the 14 secondary RCTs, one single dose of IV ketamine at 0.5 mg/kg over 40 min was used in seven studies. One study used a single dose of 1.0 mg/kg during the anesthesia for orthopedic surgery. One study had two groups of IV ketamine (single dose of 0.2 or 0.5 mg/kg) and another study had four groups (single dose between 0.1 and 1.0 mg/kg although data were pooled for the secondary analyses reported here). Three studies used repeated doses: one had a dose of 0.5 mg/kg twice a week for 3 weeks; one offered an initial single dose with possible repeated doses of ketamine over 6 weeks; and one used IV ketamine at 0.3 mg/kg during the anesthesia of each electroconvulsivotherapy (ECT) session. One study used repeated intakes of intranasal esketamine at 56 or 84 mg. The control conditions were an IV or intranasal saline placebo in 10 studies, midazolam (0.03 or 0.045 mg/kg) in 2 studies, IV esketamine at 0.25 mg in 1 study, and simple anesthesia in 1 study. Drugs were given as adjunctive treatments in nine studies, while a washout period was implemented in the five other studies. One study excluded benzodiazepines before intervention and one study various psychotropic drugs.

### *Scales used to measure outcomes*

Different scales were used to measure suicidal ideation as a primary outcome. SSI (whether clinician- or patient-rated versions) was the main scale used. It was used alone in four primary

RCTs and combined with the suicide item of MADRS in four studies. One study only used the MSSSI. Finally, the three studies investigating intranasal esketamine used the Suicide Ideation and Behavior Assessment Tool (SIBAT).

In secondary RCTs, analyses over-relied on suicide items of depression scales. Four studies used only the suicide item of the HDRS, four studies used the suicide item of the MADRS [which was combined with the suicide items of Quick Inventory of Depressive Symptomatology – Self-Report (QIDS) in one additional study, the suicide item of Patient Health Questionnaire – Suicide item (PHQ-9) in one study, and those of Beck Depression Inventory (BDI) and HDRS in one further study], and one study used the suicide item of the QIDS. One study not only constructed a composite score from MADRS, SSI, and QIDS but also used a specific version of the neuropsychological test – Implicit Association Task. One study used the C-SSRS.

### *Duration of follow-up*

The duration of follow-up was variable. In primary RCTs, durations were 7 days post-treatment ( $N=3$ ), 14 days (1), 28 days (1), 3 weeks (1), 6 weeks (3), and 13 weeks (3, intranasal esketamine studies). Most main outcomes were measured within the first 3 days. In secondary RCTs, durations of follow-up were also highly variable: 24 h ( $N=1$ ), below 30 days (9), 3 weeks (1), 4 weeks (1), 6 weeks (1), and 6 months (1).

### *Results of RCTs with suicidal ideation as primary outcome*

Among the 12 RCTs for which suicidal ideation was a primary outcome, significant reduction in suicidal ideation was found within the first 72 h post-infusion in five studies.<sup>10,26,29,31,33</sup> Among them, one large study reported higher overall remission rates (and not only response) *versus* placebo at 72 h: 63.0% *versus* 31.6%.<sup>10</sup> All used IV infusion of ketamine, at 0.5 mg/kg for 40 min in four studies and 0.2 mg/kg for 5 min in one study. Two studies used two infusions while the other studies used a single dose. The control drug was a saline placebo in three studies and midazolam in two studies. Diagnoses were various mood disorders in three studies, major depressive disorder in one study, and depressed patients with a cancer in one study. Although group differences tended to be non-significant with time, the

maintenance of effect in the ketamine arm was observed over the observation period in all studies (6 days for two studies, 28 days for one study, and 6 weeks for two studies).

Two primary RCTs conducted in patients with various mood disorders<sup>27,35</sup> yielded mixed results, depending on the clinical scale used and time-points. Of note, both studies showed an initial decrease in suicidal scores that was maintained at 7 days for one study and 28 days for the other. Both used single doses of ketamine (one IV and one intranasally) in comparison to placebo or midazolam. Importantly, both studies had total sample sizes of only 24 and 30.

Five primary RCTs showed no significant effects on suicidal ideation as compared to the control arm.<sup>28,30,32,34</sup> These include the three studies using repeated doses of intranasal esketamine *versus* placebo. Pooled analyses of two of these studies did not report positive effects.<sup>36</sup> The two other studies, one in bipolar disorder and one in ‘affective’ patients, used IV ketamine *versus* placebo or midazolam in only 10 and 16 participants, respectively. All demonstrated an initial decrease in suicidal ideation scores but insufficient for statistical group difference.

#### *Results of RCTs with suicidal ideation as secondary outcome*

Among the 14 RCTs for which suicidal ideation was a secondary outcome, seven studies reported a significant reduction in suicidal ideation at 24–72 h.<sup>3,38,45–47,49</sup> One additional study<sup>48</sup> reported a positive effect on suicidal scores without giving details. One pooled analysis Ballard<sup>50</sup> of the two studies by Zarate *et al.*<sup>47,48</sup> in addition to DiazGranados *et al.*<sup>4</sup> confirmed a significant positive effect at day 3. All used a single dose of IV ketamine at 0.5 mg/kg (except one study for which doses ranged from 0.1 to 1.0; and one study at 1.0) in comparison to placebo in five studies, to midazolam in one study, and to control anesthesia in one study. Two studies reported a significant re-increase in suicidal ideation after a few days, while one study found a maintenance of effects at 4 weeks. Among these seven studies, two explicitly recruited suicidal patients. Three studies were conducted in patients with treatment-resistant depression, two with major depressive disorder, one with bipolar disorder, and one study in depressed patients undergoing orthopedic surgery.

One secondary RCT in treatment-resistant depression had mixed findings,<sup>41</sup> with non-significant group difference at 24 h post-infusion. However, a group difference was found at 2 h and 7 days in favor of ketamine. The effect was maintained at week 6. This study compared IV ketamine (0.5 mg/kg) to midazolam.

Finally, six secondary RCTs found no significant effect of ketamine<sup>4,40,42–44</sup> {Floden *et al.*, 2022, #143543}. Five used IV ketamine at 0.5, or 0.3 or 0.2 mg/kg (three single doses and two repeated doses) *versus* placebo for four studies *versus* IV esketamine for one study. One used intranasal esketamine at 56 or 84 mg. Four studies were in treatment-resistant depression, one in depressed patients necessitating ECT, and one in a small sample of patients with bipolar disorder.

Pooling data from five secondary RCTs, Ballard *et al.*<sup>51</sup> identified three groups: non-responders (29%), responders (44%), and remitters (27%). In responders and remitters, maximal improvements were achieved at 24 h.

#### *Results of recent meta-analyses*

Witt *et al.*<sup>12</sup> examined 15 trials/25 studies (including one with intranasal esketamine) published until December 2018 for a total of 572 patients. They reported a significant effect of ketamine *versus* control within 4 h post-infusion (10 studies), 12–24 h (10 studies), 24–72 h (8 studies), but not 72 h–2 weeks (11 studies), 2–4 weeks (5 studies), or more than 1 month (3 studies). For all analyses, a high heterogeneity was found.

Xiong *et al.*<sup>13</sup> reviewed publications until July 1, 2020. Nine studies testing the effect of a single dose of ketamine (including one with intranasal esketamine) were analyzed. Pooled analyses for all timepoints and formulation yielded a large effect size in favor of ketamine (Hedge’s  $g = 1.0$ ). Effects of ketamine were also significant at 2, 4, and 24 h post-intake. Again, a high heterogeneity was observed.

Finally, Bahji *et al.*<sup>23</sup> compared the efficacy of racemic ketamine *versus* esketamine. ‘Suicidality’ was assessed in 11 trials published until December 2019. Overall, there was a significant effect of ketamine on ‘suicidality’ scores, even after accounting for publication bias [standardized mean differences =  $-0.50$  ( $-0.82, -0.19$ )]. However,

the effect was positive for racemic ketamine but not for intranasal esketamine.

### Adverse events

We extracted adverse effects from the 12 primary RCTs identified here. Adverse events from six RCTs were available in articles ( $n=288$  patients) (Table 3). Four assessed only very short-term adverse events (minimum 2h post-infusion and maximum 7 days post-infusion). Importantly, ketamine was mainly delivered combined with other psychotropic medication, and side effects in subgroups treated with ketamine in monotherapy were not provided. Altogether, adverse events were similar to those observed in studies designed for depression. The most frequent adverse events reported were sedation/drowsiness, nausea/vomiting, dizziness, headache, and elevated blood pressure. The three studies using repeated doses of intranasal esketamine<sup>25,28,36</sup> reported similar adverse events as for racemic ketamine in the short term (Table 4).

## Discussion

### In summary

Suicidal thoughts and behaviors are heterogeneous, multifactorial, and complex phenotypes. Prediction of the transition from ideas to acts is weak suggesting that, until prediction is much improved, all suicidal patients should be considered at risk of acting out and adequate care should be implemented (and therefore available). Therapeutic options that are efficient to reduce the risk of suicidal acts with limited negative impact (side effects, financial cost, and stigma) for the patients and society may be more adapted to our low predictive capacities. Moreover, as most suicides occur in low- to middle-income countries, there is a special need for low-cost and easily available treatments. To date, there are limited options to manage the suicidal crisis and usual care often lacks strong evidence of efficacy. There are currently no pharmacological options available rapidly active on suicidal ideas. A multimodal approach to treatment of suicidal patients is recommended. Ketamine is a promising molecule to address some of these issues.

Our review confirmed that one single dose of IV racemic ketamine (but not intranasal esketamine) is an effective and rapid way to reduce suicidal ideation in many patients with a positive benefit-risk balance in the short term (less than 72h) as discussed below.

### Efficacy of ketamine on suicidal ideation

Indeed, we report that out of nine RCTs primarily investigating the effect of racemic ketamine on suicidal ideation, five found a significant reduction of suicidal ideation. Out of 13 RCTs using racemic ketamine and analyzing changes in suicidal ideation as secondary outcomes, 7 showed a significant reduction following ketamine. Negative findings in several studies could often be explained by low sample sizes or more complicated disorders including treatment-resistant depression and patients requiring ECT. Full suicidal remission was reached in 30–60% of cases.<sup>10,51</sup> Most studies used a single IV dose, the mode of administration with the highest bioavailability, and effects were sustained over several days in most studies. Group differences were usually not statistically significant after 72h, largely due to a reduction of suicidal ideas in the control group more than a secondary increase in the ketamine arm. These findings are in line with previously published meta-analyses.<sup>12,13</sup>

In contrast, the four large studies (including three as primary objectives) using intranasal esketamine were all negative, even when data were pooled. A recent meta-analysis confirmed the overall superiority of IV racemic ketamine over placebo or midazolam, and the lack of significant benefits in terms of suicidal ideation reduction of intranasal esketamine over the control group.<sup>23</sup>

### Adverse events

In the population examined in this review, ketamine was usually well-tolerated with only minor and transitory short-term effects. Few patients experienced an exacerbation of depressive symptoms or suicidal ideation during treatment.

Most previous reports about adverse events following ketamine intake in patients with psychiatric disorders come from the studies designed to treat depression and particularly treatment-resistant depression. Several reviews have been published.<sup>18,24,52–55</sup> Side effects were more frequent with ketamine than placebo, usually of short duration (a few hours) following one single intake, and mostly minor.<sup>52</sup> These include headaches, dizziness, dissociation, and other psychomimetic symptoms, increased blood pressure, blurred vision, sedation or drowsiness, faintness or light-headedness, anxiety, elevated heart rate, nausea, urinary tract side effects, and cognitive side effects.



**Table 3.** Adverse events after racemic ketamine treatment for suicidal ideation in RCTs with suicidal ideation as the primary outcome.

Study registration	Murrough et al. <sup>35</sup> NCT01507181	Burger et al. <sup>34</sup> No registration	Grunebaum et al. <sup>31</sup> NCT01700829	9	Domany et al. <sup>29</sup> NCT01887990	9	Domany et al. <sup>27</sup> NCT02183272	15	73	Abbar et al. <sup>10</sup> NCT02299440	83
N	12	3	40	40	9	9	15	15	73		83
Disorders	MDD (n=6) BP (n=4) Others (n=2)	MDD (n=7) BP (n=3) Others (n=2)	MDD	MDD	MDE±opioid use	MDE±opioid use	MDE±opioid use	Not described	BP n=26 MDD n=30 Others n=27	BP n=26 MDD n=30 Others n=27	BP n=26 MDD n=26 Others n=21
Ketamine and comparator	IV ketamine 0.5 mg/kg One infusion	IV ketamine 0.2 mg/kg One infusion	IV ketamine 0.5 mg/kg One infusion	IV midazolam 0.02 mg/kg One infusion	IV ketamine 0.2 mg/kg One infusion	IV ketamine 0.2 mg/kg One infusion	IV ketamine 40 mg IN saline	IN saline	IV ketamine (0.5 mg/kg) Two infusions in 24 h	IV ketamine (0.5 mg/kg) Two infusions in 24 h	IV saline Two infusions in 24 h
Co-treatment	Current treatment	Not reported	Current treatment	Current treatment	Current treatment	Current treatment	Current treatment	Current treatment	Current treatment	Current treatment	Current treatment
Follow-up	7 days	14 days	Immediate post-infusion/24 h	Immediate post-infusion/24 h	14 days	14 days	28 days	28 days	6 weeks	6 weeks	6 weeks
Suicide attempt	Not reported	Not reported	3 (7.5)	1 (2.5) before treatment intake	Not reported	Not reported	Not reported	Not reported	6 (8.2) over the study	6 (8.2) over the study	8 (9.8) over the study
Suicide	Not reported	Not reported	2 (5) after several months	0 (0)	Not reported	Not reported	Not reported	Not reported	1 (1.4)	1 (1.4)	0 (0)
Any adverse event, n (%)	0	0	21 (53)/10 (25)	33 (80)/12 (30)	1 (11.1)	0					
Numbness, n (%)			6 (15)/0	1 (2.5)/0							
Perceptual problems/depersonalization/derealization, n (%)			5 (13)/2 (5)	0/1 (2.5)			0.17 (0.4)	0.2 (0.6)	7 (9.6)	7 (9.6)	0
Dissociative symptoms	0	0			ns	ns					
Psychotic symptoms	0	0			ns	ns	0	0.1 (0.32)			
Sedation/drowsiness, n (%)			5 (13)/0	16 (40)/0	1 (11.1)	0	0.33 (0.6)	0	8 (11.0)	8 (11.0)	2 (2.4)
Nausea/vomiting, n (%)	1 (8.3)		2 (5)/0	1 (2.5)/0			0.33 (0.6)	0	5 (6.8)	5 (6.8)	1 (1.2)
Dizziness, n (%)	2 (16.7)		5 (13)/1 (3)	0/0			0.33 (0.6)	0.1 (0.3)	3 (4.1)	3 (4.1)	2 (2.4)
Headache, n (%)	7 (58.3)		2 (5)/1 (3)	5 (13)/3 (8)							
Agitation/restlessness, n (%)	2 (16.7)						0.25 (0.6)	0.22 (0.44)	2 (2.7)	2 (2.7)	0
Tremor, n (%)									2 (2.7)	2 (2.7)	0
Blurred vision, n (%)	1 (8.3)								2 (2.7)	2 (2.7)	0

(Continued)



Table 3. (Continued)

Study registration	Murrough <i>et al.</i> , <sup>35</sup> NCT01507181	Burger <i>et al.</i> , <sup>34</sup> No registration	Grunebaum <i>et al.</i> , <sup>31</sup> NCT01700829	Domany <i>et al.</i> , <sup>29</sup> NCT01887990	Domany <i>et al.</i> , <sup>27</sup> NCT02183272	Abbar <i>et al.</i> , <sup>10</sup> NCT02299440
Anger, <i>n</i> (%)						1 (1.4) 0
Hallucination, <i>n</i> (%)						1 (1.4) 0
Cold sensation, <i>n</i> (%)			1 (3)/0	4 (10)/0		
Sweating, <i>n</i> (%)						1 (1.4) 0
Elevated blood pressure, <i>n</i> (%)				2 (22)	1 (11) 0.64 (1.0)	0.29 (0.49)
Hypotension, <i>n</i> (%)						1 (1.4) 0
Tachycardia, <i>n</i> (%)			1 (3)/0	0/0	0.36 (0.8)	0.78 (1.09) 1 (1.4) 0
Dry mouth, <i>n</i> (%)	0 (0)		2 (5)/1 (3)	0/0		1 (1.4) 1 (1.2)
Diarrhea, <i>n</i> (%)	0 (0)		0/2 (5)			1 (1.4) 1 (1.2)
Vagal syncope/general malaise, <i>n</i> (%)	2 (16.7)					1 (1.4) 0
Poor concentration, <i>n</i> (%)	2 (16.7)					
Poor coordination, <i>n</i> (%)	2 (16.7)					
Addiction potential			ns	ns	ns	

BP, bipolar disorder; IV, intravenous, empty cell in case of non-reported effect; MDD, major depressive disorder; MDE, major depressive episode; ns, non-significant differences between groups.

<sup>a</sup>In this study, mean scores (standard deviation) are given.

**Table 4.** Adverse events after esketamine treatment for suicidal ideation in RCTs with suicidal ideation as the primary outcome.

Study	Canuso <i>et al.</i> <sup>30</sup> NCT02133001		Fu <i>et al.</i> <sup>28</sup> NCT03039192 (ASPIRE I)		Ionescu <i>et al.</i> <sup>25</sup> NCT03097133 (ASPIRE II)	
N	36	32	113	112	114	113
Disorders	MDD With suicide risk	MDD With suicide risk	MDD With suicide risk	MDD With suicide risk	MDD With suicide risk	MDD With suicide risk
Ketamine and comparator	Intranasal esketamine 84 mg 2/week for 4 weeks	Intranasal placebo 2/week for 4 weeks	Intranasal esketamine 84 mg 2/week for 4 weeks	Intranasal placebo 2/week for 4 weeks	Intranasal esketamine 84 mg 2/week for 4 weeks	Intranasal placebo 2/week for 4 weeks
Co-treatment	Current treatment	Current treatment	Current treatment	Current treatment	Current treatment	Current treatment
Follow-up	25/26–81 days	25/26–81 days	25/26–90 days	25/26–90 days	25/26–90 days	25/26–90 days
Suicide attempt	0/0	0/3 (9.4)	1 (0.9)/3 (2.6)	1 (0.9)/2 (1.8)	3 (2.6)/4 (4.5)	3 (2.7)/1 (1.1)
Suicide	0/0	0/0	0/1 (0.9)	0/0	0	0
Any adverse event, <i>n</i> [%]	33 (94.3)/13 (48.1)	25 (80.6)/33 (94.3)				
Numbness, <i>n</i> (%)					6 (5.3)	1 (0.9)
Perceptual problems/ depersonalization/ derealization, <i>n</i> (%)	6 (17.1)/0	1 (3.2)/0	8 (7.1)	1 (1.8)	23 (20.2)	7 (6.2)
Dissociative symptoms	11 (31.4)/0	4 (12.9)/0	33 (29.2)	4 (8.9)	44 (38.6)	9 (8.0)
Sedation/drowsiness, <i>n</i> (%)	6 (17.1)/0	2 (6.5)/0	21 (18.6)	20 (17.9)	26 (22.8)	12 (10.6)
Nausea/vomiting, <i>n</i> (%)	13 (37.1)/0	1 (3.2)/1 (4.5)	23 (20.4)	15 (13.4)	38 (33.3)	16 (14.2)
Dizziness, <i>n</i> (%)	12 (34.3)/1 (3.7)	4 (12.9)/0	40 (35.4)	10 (8.9)	47 (41.2)	21 (18.6)
Dysgeusia, <i>n</i> (%)	11 (31.4)/1 (3.7)	5 (16.1)/0	16 (14.2)	11 (9.8)	29 (25.4)	18 (15.9)
Headache, <i>n</i> (%)	11 (31.4)/2 (7.4)	8 (25.8)/2 (9.1)	21 (18.6)/6 (5.9)	20 (17.9)/7 (7.7)	25 (21.9)/7 (7.9)	26 (23.0)/10 (10.6)
Anxiety, <i>n</i> (%)	6 (17.1)/0	1 (3.2)/0	6 (5.3)/3 (3.0)	10 (8.9)/9 (9.9)	17 (14.9)/8 (9.0)	7 (6.2)/9 (9.6)
Euphoric mood, <i>n</i> (%)	4 (11.4)/0	2 (6.5)/1 (4.5)			13 (11.4)	1 (0.9)
Vertigo, <i>n</i> (%)	4 (11.4)/0	0/0	7 (6.2)	1 (0.9)	7 (6.1)	0
Blurred vision, <i>n</i> (%)			10 (8.8)	5 (4.5)	17 (14.9)	6 (5.3)
Elevated blood pressure, <i>n</i> (%)			19 (16.8)	6 (5.4)	7 (6.1)	3 (2.7)
Dry mouth, <i>n</i> (%)					8 (7.0)	5 (4.4)
Constipation, <i>n</i> (%)			15 (13.3)	5 (4.5)	7 (6.1)	9 (7.9)

MDD: major depressive disorder.

Studies assessing long-term side effects of ketamine and esketamine are lacking. Genito-urinary symptoms have been observed in recreational

ketamine users with both dose and frequency effects. These adverse events ceased in most patients after cessation of the drug.<sup>56,57</sup> Preclinical

studies have reported brain lesions with repeated exposure to ketamine; however, there is no known report of cognitive disorders in patients treated with single or repeated infusion.<sup>58</sup> The potential for ketamine abuse in recreational users has been described.<sup>59</sup> However, whether single or repeated ketamine administrations in professionally controlled settings result in misuse or dependence remain to be investigated. Of note, preclinical studies suggest a lower abuse liability in (*R*)- versus (*S*)-ketamine.<sup>59</sup> Importantly, patients with a history of substance abuse are usually excluded from clinical trials.

In a recent systematic review of studies in suicidal patients, suicide attempts during follow-up occurred in 2.4% of the treatment group versus 1.5% of the control group. Exacerbation of depressive symptoms or suicidal ideation occurred in 2.4% and 2.2%, respectively.<sup>18</sup> Analysis of spontaneously reported post-marketing side effects with intranasal esketamine found a risk of potential serious events, including suicidal ideation [reported odds ratio (OR) = 24.03, 95% confidence interval (CI) = 18.72–30.84] and completed suicide (Reported OR = 5.75, 95% CI = 3.18–10.41).<sup>60</sup> More studies will be necessary to investigate the effect of ketamine in terms of suicidal behaviors.

#### *Predictive clinical markers of response to ketamine*

The identification of predictive markers of response to ketamine is limited by the small sample size of most studies. Globally, studies have been quite inconclusive.

In a meta-analysis,<sup>23</sup> subgroup analyses showed a significant effect on suicidality when treatment was adjunctive but not monotherapy (of note, most studies were not monotherapy). Moreover, there was no difference according to treatment-resistance, depression type (unipolar versus bipolar), or trial type (crossover versus parallel).<sup>23</sup> However, Abbar *et al.*<sup>10</sup> showed a highly significant effect of racemic ketamine on suicidal ideation at 72 h in bipolar disorder but not major depressive disorder or other affective disorders. Importantly, diagnostic groups were *a priori* defined in this study. Wang *et al.*<sup>61</sup> further suggested a faster reduction in suicidal ideas in depression with melancholic-anxious features.

Regarding baseline suicidal characteristics, Grunebaum *et al.*<sup>31</sup> found no interaction between response to ketamine and baseline levels of suicidal ideation. In contrast, Price *et al.*<sup>46</sup> found an increased response in patients with higher baseline suicidal ideation (but not non-suicide-related depression symptoms) and in those with past suicide attempts. Ballard *et al.*<sup>51</sup> reported that more suicidal ideation and a history of self-injury at baseline increased the likelihood for not being in remission of suicidal ideation after ketamine, while a history of sexual abuse had the opposite effect. Of note, a history of childhood maltreatment, a significant risk factor of suicidal thoughts and behaviors, was associated with an *increased* likelihood of response to repeated doses of ketamine in treatment-resistant depressive disorder.<sup>62</sup>

Using Bayesian networks and data from a longitudinal open study in patients with chronic suicidal ideation, one group showed that younger age, female gender, normal body mass index, higher level of education, being single, being unemployed, previous suicide attempts, and suffering from a less severe level of depression all predicted a prolonged response to oral ketamine.<sup>63</sup> Finally, one study showed a good predictive capacity for the anti-suicidal response to ketamine by a combination of an early clinical response and early measures of kynurenic acid levels in serum.<sup>64</sup>

#### *Potential clinical mechanisms of action of ketamine on suicidal ideation*

Mechanisms leading to the rapid reduction of suicidal ideas following ketamine intake remain largely unknown for several reasons: We have a limited understanding of the mechanisms of ketamine in general, a drug characterized by various pharmacological properties; a limited understanding of the processes underlying suicidal ideation; and a limited number of studies investigating the specific processes linking ketamine and suicidal ideation reduction. Therefore, only speculations can be made at this stage.

At the clinical level, the effect of ketamine on suicidal ideation may ‘simply’ be explained by its antidepressant effects. Indeed, suicidal ideation is highly associated with depression, and antidepressants other than ketamine reduce both suicidal ideation and the risk of suicide attempt and suicide in adults.<sup>65–67</sup> Several studies have,

however, suggested that the effect of ketamine on suicidal ideation may be partially independent of effects on other depressive symptoms,<sup>29,31,51,68,69</sup> although this assumption is not supported by all studies.<sup>35,46</sup> Moreover, it cannot be excluded that the lack of association is mainly due to error variance in different scales used.

Previous studies have suggested that ketamine in depressed patients may target specific symptoms, notably suicidal ideas, depressed mood, negative cognition, anhedonia, and lack of motivation.<sup>70</sup> In one qualitative study in suicidal patients, patients identified improvements in anxiety, ability to think and function as important mechanisms.<sup>71</sup> In a factor analysis, one recent study found that reduction in suicidal ideation following ketamine was strongly related to reduction in subjective/psychic depression, anxiety, and fatigue, but not somatic symptoms or complaints, sleep, or anger.<sup>72</sup> In patients with chronic suicidal ideation, oral ketamine over 6 weeks reduced the feeling of stress and increased the feeling of being able to cope with unexpected stressors.<sup>73</sup> In severe suicidal patients seen in the emergency room, Domany *et al.* reported reduced hopelessness after ketamine.<sup>29</sup> Murrough *et al.*<sup>35</sup> found a significantly higher reduction in panic and irritability in suicidal patients receiving ketamine *versus* midazolam.

Anhedonia may be another interesting target of ketamine explaining its anti-suicidal properties. One study found reduction of anhedonia partially explained the variance in the reduction of suicidal thoughts,<sup>74</sup> and anhedonia was found to mediate the effect of ketamine on suicidal ideation in depressed patients.<sup>75</sup>

Mental pain is one of the core clinical factors associated with the emergence of suicidal ideations and suicidal behavior.<sup>76</sup> Abbar *et al.*<sup>10</sup> showed a mediating effect of mental pain on the effect of ketamine on suicidal ideation. This effect may be related to the opioid or serotonergic systems as both were previously associated with mental pain in depressed patients.<sup>77</sup> In contrast, a recent study pooling data from three RCTs found no association between psychological pain (or hopelessness) and suicidal ideation in the short- and long-term following ketamine administration.<sup>78</sup> Interestingly, the opioid agonist naltrexone reduces the anti-suicidal effect of ketamine.<sup>79</sup> Possibly, the effect of ketamine on peri- and subgenual parts of the anterior cingulate cortex, as a

central region of both a putative mental pain network and suicidal ideation, could explain its ability to reduce the mental pain associated with suicidal ideation and acts.<sup>77</sup> Ketamine may modulate the activity of brain regions involved in top-down inhibition of pain centers.<sup>81</sup> In addition to their roles in cognitive control and reward-based learning, the anterior cingulate cortical regions are implicated in a circuit controlling the emotional aspect of pain.<sup>82</sup> While ketamine was found to improve cognitive control, a deficit associated with suicidal behavior<sup>83,84</sup> and the suicidal transition,<sup>85</sup> these changes were not correlated with changes in suicidal ideation.<sup>86</sup>

#### *Clinical considerations and research direction*

Findings from our review should, however, be interpreted in light of several unanswered questions. These have to be taken into account for clinical care if used in this indication (within local regulation rules). They also represent direction for future research. We refer readers to consensus statements published on the clinical use of ketamine in depression (see for instance).<sup>53,87</sup> They notably recommend that ketamine is given by trained physicians in settings with cardiovascular monitoring and emergency material available even if the risk is low. We identified 12 major points of discussion.

1. *A significant placebo effect on suicidal ideation reduction was found in RCTs.* This was particularly the case in inpatients, with a considerable reduction in suicidal ideas over the first days of treatment explaining most of the lack of group difference after 3 days (and sometimes earlier e.g. in esketamine studies). This is also our experience. Hospitalization – and possibly empathetic social connection and support in general – has a potent impact on suicidal ideation in the short term, as regularly observed in clinical practice. If ketamine were indicated in the treatment of suicidal patients, its position in the clinical algorithm must be clearly defined to prevent inadequate or excessive use.
2. *Blinding is not fully guaranteed in RCTs with ketamine.* This is due to very recognizable side effects of ketamine, notably depersonalization. This could lead to a biased assessment of effects in studies. Use of midazolam instead of placebo is common in more recent studies to reduce this risk of bias and

did not dramatically changed findings, suggesting that imperfect blinding is unlikely to explain group differences.

3. *The definition and assessment of suicidal ideation raises important concerns.* As discussed in the supplemental material, most questionnaires give a very global evaluation, lacking fine characterization, and none was built for repeated assessment of suicidal ideas, especially within very short periods of time (e.g. a few hours). Self-assessments and verbally based external assessments are also subjected to various limitations, notably in the case of suicidal ideation. Moreover, the fluctuating characteristics of suicidal ideas may necessitate more complex tools and analyses, such as ecological momentary assessment.<sup>88,89</sup> Novel approaches have been proposed that should be tested in future studies with ketamine and other interventions.<sup>90</sup>
4. *All RCTs published with ketamine in suicidal ideation were conducted in affective disorders.* Furthermore, most studies excluded depressive episodes with psychotic features. Thus, generalization of findings cannot yet be extended, notably to other mental disorders with a high suicidal risk, such as borderline personality disorders, schizophrenia, alcohol and substance abuse, or anorexia nervosa, among others. More research is necessary. Of note, one study<sup>10</sup> suggested that benefits of IV ketamine over placebo may be important in patients with a bipolar disorder (due to both a higher efficacy of ketamine and a low placebo effect) but less so in depressive disorders or in other affective disorders (due to both a lower efficacy of ketamine and a higher placebo effect). This will have to be confirmed.
5. *RCTs with ketamine in suicidal ideation have mainly been conducted in middle-aged individuals.* Future studies will have to be conducted in adolescents, the group for which suicide is a leading cause of death<sup>91</sup> and the suicide transition rate is the highest<sup>92</sup>, and in older patients, the age group with the highest rates of suicide.<sup>93</sup>
6. *No study specifically investigated the impact of ketamine on the prevention of suicidal acts and suicide and overall mortality.* RCTs further suggest no clear advantage of ketamine over control drugs, with overall 2.2% of suicide attempts in the ketamine arm and 1.5% in the control arm in RCTs.<sup>18</sup> The low total number of participants, however, limits comparison of these rates, and large-scale studies and meta-analyses will be necessary to clarify this important point. Caution is, however, warranted. The rapid short-term positive effects of ketamine may lead to reduced emphasis on a multimodal and exhaustive (and therefore costly and time-consuming) treatment strategy (including psychiatric, somatic, and social assessment and care) and on good follow-up. This is especially true in a context of financial constraint on the health system and limited mental health service availability.
7. *Long-term adverse events of ketamine remain largely unknown.* This includes the risk of drug abuse. The risk of abuse has to be seriously investigated when considering the facilitated online access to ketamine. While it cannot be excluded that some patients may require repeated intake of ketamine for a sustained effect on depression or chronic suicidal ideation; for instance, it will have to be distinguished from intakes aiming at non-therapeutic benefits.<sup>59</sup> Preclinical and clinical studies suggest a low overall risk of abuse, and a higher risk of abuse with (*R*, *S*)- and (*S*)- than (*R*)-ketamine.<sup>59</sup>
8. *Most RCTs of ketamine in suicidal ideation were conducted in academic settings with most patients being hospitalized.* This was, however, not the case for treatment-resistant depression with repeated doses of ketamine being administered in outpatient facilities. A few studies<sup>27,29</sup> were conducted in the emergency room and suggest that ketamine may be given in these settings. Importantly, there is no strong evidence that hospitalization is an efficient means of suicide prevention, while one study suggested an association between outpatient service availability and lower suicide rates.<sup>94</sup> Studies in outpatient settings, day hospitals, or in somatic hospital departments as part of psychiatry liaison consultation are needed to test feasibility and effectiveness.
9. *Robust markers predicting the efficacy of ketamine in suicidal ideation reduction are lacking.* Preliminary findings reported in this review could not identify replicated markers of response or resistance. At this stage, it is therefore not possible to identify a subgroup of patients that should be prioritized.

10. *Mechanisms explaining the action of ketamine on suicidal ideation are unknown.* A few studies suggest that ketamine action may be mediated by an effect on mental pain, 'psychic depression', anxiety, and anhedonia.<sup>10,51,72</sup> However, it is too early for definitive conclusions. There is also a need for clarification of the molecular and brain-network mechanisms in humans and for studies targeting endophenotypes of suicidal behavior in humans and animal models,<sup>95</sup> for instance, risky decision-making and cognitive control.<sup>96</sup> This may also help developing novel safer drugs.
11. *The best therapeutic strategies when using ketamine for suicidal ideation will have to be investigated.* While IV ketamine administration seems to outperform intranasal esketamine, and one single dose at 0.5 mg/kg may be sufficient in most cases in the short term, different administration designs will have to be directly compared. This will need to include variations in frequency, doses, and mode of administration. For instance, does a second consecutive dose of ketamine improve rates of remission? IV administration is currently not only cheaper than intranasal administration but also relatively difficult to implement. Another question pertaining to patients with chronic or recurrent suicidal ideation will be the benefit of repeated intakes of ketamine but also the risk of psychological and physical dependence.
12. *The effects of concomitant medication on efficacy and safety necessitate more research.* Most studies of ketamine in suicidal ideation have been conducted as an adjunctive treatment. However, how this influences efficacy and safety is still limited. A recent review of literature suggests reduced antidepressive efficacy of ketamine with benzodiazepines or lamotrigine, and possible interaction with antipsychotics but not lithium.<sup>97</sup>

#### *Limitations of the review*

Although comprehensive, several studies may have escaped our attention, particularly those with the reduction of suicidal ideation as a secondary objective. Also, we chose to limit the search tools to PubMed, article references, and several published literature reviews. Moreover, it is always difficult to synthesize results measured in a variety of ways, on clinically heterogeneous

populations, and recruited in different locations and countries. Different authors might therefore have a different conclusion from ours. Finally, we did not run a formal assessment of bias for each of the 28 selected studies.

#### **Conclusion**

Racemic ketamine (notably one single IV dose of 0.5 mg/kg) was superior to control drugs to reduce suicidal ideation within the first 72 h in several RCTs with this primary objective and in meta-analyses. Beyond this timepoint, no group difference with placebo was found in most studies, mainly due to a high placebo effect and more rarely to a re-increase in suicidal ideation. The short-term safety profile of ketamine was also very good, with minor and usually transitory side effects. In contrast, intranasal esketamine did not differ from placebo in terms of suicidal ideation reduction. Racemic ketamine, with its excellent benefit-risk ratio in the short term, therefore appears to be a valuable pharmacological intervention with a rapid effect. Many questions still need to be addressed and many issues to be resolved, in terms of therapeutic strategy and long-term risks and management. Caution is warranted if ketamine should be integrated in clinical practice (within local and national regulation), and pharmacovigilance on a large scale will be essential. Finally, as ketamine is not efficient in all suicidal patients, alternative therapeutic options still have to be investigated.

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*Ethics approval and consent to participate*  
Not applicable.

#### *Consent for publication*

All authors gave consent for publication.

#### *Author contributions*

**Fabrice Jollant:** Conceptualization; Writing – original draft; Writing – review & editing.

**Romain Colle:** Conceptualization; Writing – original draft; Writing – review & editing.

**Thi Mai Loan Nguyen:** Conceptualization; Writing – original draft; Writing – review & editing.

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### Availability of data and materials

Not applicable.

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### Supplemental material

Supplemental material for this article is available online.

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