

ORIGINAL RESEARCH



Multiplex bead-based measurement of humoral immune responses against tumor-associated antigens in stage II melanoma patients of the EORTC18961 trial

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ABSTRACT

Purpose: Determine the prognostic and predictive significance of tumor associated antigen (TAA)-specific serum antibodies in melanoma patients of a large adjuvant vaccination phase III trial.

Patients and methods: Serum IgG antibodies were measured against a panel of 43 antigens by a bead-based multiplex assay in 970 stage II melanoma patients of the EORTC18961 trial, evaluating adjuvant ganglioside GM2-KLH/QS-21 vaccination versus observation. Primary end point was relapse-free survival (RFS). Patients' sera at baseline, after 12 and 48 weeks of study treatment and at the last available time point (at recurrence/remission) were evaluated.

Results: Prognostic clinical variables are gender, surgical confirmation of lymph node-negative status, Breslow thickness and ulceration of the primary. Prognostic spontaneous antibody responses were associated with a significant dismal (GM2, Rhod_E2, SSX2) or good prognosis (CyclinB1, SCYE1v1) for RFS, distant metastasis-free (DMFS) or overall survival (OS). Predictive spontaneous antibody responses based on significant interaction with treatment were RhodN $p = 0.02$, Rab38 $p = 0.04$ for RFS, RhodE2 $p = 0.006$, Recoverin $p = 0.04$ for DMFS and RhodE2 $p = 0.003$; Recoverin $p = 0.04$, NA17.A $p = 0.04$, for OS respectively. The subgroups of patients according to antibody responses for RFS were determined for RhodN sero-negative ($n = 849$, HR = 1.07, $p = 0.6$); RhodN sero-positive ($n = 121$, HR = 0.42, $p = 0.01$) and Rab38 sero-negative ($n = 682$, HR = 1.12, $p = 0.42$), Rab38 sero-positive ($n = 288$, HR = 0.65, $p = 0.04$) patients respectively.

Conclusion: We identified prognostic serum antibody responses against TAA in stage II melanoma patients. A set of antibody responses correlated with a beneficial outcome for GM2 vaccination.

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Introduction

The identification of tumor associated antigens (TAA) is an important goal of cancer immunology.¹ The cause of tumor transformation (e.g. mutagens, viruses) generates a panel of new antigens and initiates an anti-tumor adaptive immune response. Also, *ex vivo* mutagenesis on non-immunogenic tumor cells showed the emergence of immunogenic tumor clones that are efficiently rejected and confer a long-lasting immunity in syngenic mouse models.^{2,3}

Tumor specificity of TAA is variable and may account for the efficiency of the adaptive immune response.⁴ TAA are classified according to their (i) low (i.e. overexpressed antigens or

tissue specific differentiation antigens) or (ii) high (i.e. cancer-testis antigens (CTA) and neoantigens) tumor specificity.¹ The dynamic overall neoantigen load may reflect cancer heterogeneity and genetic instability and correlate with the clinical efficacy of immunotherapies (e.g. immune checkpoint blockers (ICB) and adoptive T-cell therapy).⁵⁻¹² Of note, the panel of neoantigens in patients with a long-term clinical benefit to ICB (i.e. CTLA-4 Blockade) shows an increased homology with known bacterial and viral pathogens.¹³ This underlines the role of the host gut microbiota in the regulation of the systemic immune responses^{14,15} and its integration in the scientific rationale for the design of future therapeutic combinations.¹⁶ It is

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 Supplemental data for this article can be accessed on the [publisher's website](#).

List of where and when the study has been presented in past elsewhere, if applicable Preliminary data have been presented: ESMO Symposium on Immuno-Oncology 2015, poster session, *Annals of Oncology* 26 (Supplement 8): viii5–viii14, 2015 ASCO Annual Meeting 2016, poster session, *J Clin Oncol* 34, 2016 (suppl; abstr 3032).

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conceivable that TAA-directed humoral responses may reflect part of the immune contexture of cancer patients.

We conducted a fluorescent bead-based multiplex assay evaluating humoral responses against a panel of 43 TAA in stage II melanoma patients enrolled in a large randomized phase III Ganglioside GM2 vaccination trial. The EORTC18961 trial failed to show a beneficial effect of the GM2-KLH/QS-21 vaccination administered for 3 years in an adjuvant setting.¹⁷ The primary end point was relapse-free survival (RFS), the secondary end points were distant metastasis-free (DMFS) and overall survival (OS). The trial was stopped after an interim analysis showing a trend for a detrimental effect of the vaccine for DMFS and OS. The analysis of serum from primary resected MM patients and healthy volunteers revealed a frequent detection of antigen-specific humoral responses at baseline, after tumor resection and throughout the course of the trial (e.g. Appendix Fig. A1). We found a prognostic impact for spontaneous IgG responses against several TAA. Moreover, a set of spontaneous antibody responses correlated with the outcome for GM2 vaccination.

Patients and methods

Patients

A total of 970 patients from the EORTC18961 Randomized Phase III Vaccine Trial were selected for this study as previously described.¹⁷ Patients' sera at baseline, after 12 weeks (ws), 48 ws of study treatment and at the last available time point (at recurrence/remission) were evaluated. The distribution for each blood collection time point is shown in Appendix Fig. A2. The flow diagram describes the available patients' samples in both treatment arms (vaccination arm: n = 479, observation arm: n = 491, Appendix Fig. A3)

Treatment consisted of subcutaneous injections once per week from week 1 to 4, then every 3 months for the first 2 years and every 6 months during the third year. Patients'

characteristics and treatment modalities are described in Table 1. Hazard ratios (HR) with corresponding 95% CI describe a univariate effect of clinical variables on PFS and OS, respectively. 28 healthy donors' sera from the Heidelberg/Mannheim blood bank (median age of 50 years (range 23–66 years)) served as controls.

Serological analyses were approved as translational program of the EORTC18961 trial by the EORTC Protocol Review Committee and by the Ethics Committee of the medical faculty of the University of Heidelberg (Ethic vote S-634/2014). Written informed consent was obtained from each participant.

Selection of TAA and GST-tag fusion protein production

We selected 43 TAA with low or high tumor specificity as described in Appendix Table A1. Genes encoding for selected TAA were cloned into the pGEX4T3 tag vector for expression in *E.coli* BL21¹⁸ as double fusion proteins with N-terminal glutathione-S-transferase (GST) and a small C-terminal tagging epitope (tag) as previously described.¹⁹ The parental vector encoding the GST-tag fusion protein was used to determine serological background. Anti-GST (GEHealthcare, Munich), anti-tag¹⁸ and anti-mouse HRP secondary antibodies (Dianova) were used to confirm full-length protein expression and protein integrity.

Multiplex assay

The multiplex analysis with *in situ*-purified GST-tag fusion proteins¹⁹ based on the Luminex technology was performed with minor modifications in 96-well plates as previously described.¹⁹ Briefly, for each antigen and bead set, 2000 Glutathione-Casein coated beads per sample were used and sera were measured at 1:1000 dilutions in triplicates. Reporter fluorescence of the beads was determined with the Bio-Plex analyzer (Biorad) and expressed as median fluorescence intensity (MFI) of at least 100 beads per set per well. Antigen-specific

Table 1. Patients' clinical characteristics and univariate survival analyses for RFS, DMFS and OS. P values smaller than 0.001 are denoted as <0.001.

	Patients No (%)	RFS		DMFS		OS	
		HR (95% CI)	<i>p</i>	HR (95% CI)	<i>p</i>	HR (95% CI)	<i>p</i>
Age, years							
18 to <50	377 (39)	1		1		1	
50 to <65	398 (41)	1.04 (0.80 1.35)	0.78	1.16 (0.81 1.65)	0.42	1.16 (0.81 1.66)	0.42
≥ 65	195 (20)	1.14 (0.84 1.55)	0.41	1.41 (0.94 2.11)	0.10	1.41 (0.94 2.11)	0.10
Gender							
Female	472 (49)	1		1		1	
Male	498 (51)	1.47 (1.16 1.86)	0.001	1.41 (1.03 1.92)	0.03	1.41 (1.03 1.92)	0.03
Confirmation of lymph node-negative involvement							
No, clinically non palpable nodes	480 (49)	1		1		1	
Yes, by sentinel node or elective node dissection	490 (51)	0.59 (0.47 0.75)	<0.001	0.63 (0.46 0.86)	0.004	0.63 (0.46 0.86)	0.004
Breslow thickness, mm							
1.51 to 3.00	598 (62)	1		1		1	
.01 to 3.01 – 4.00	175 (18)	1.44 (1.05 1.97)	0.02	1.35 (0.88 2.06)	0.16	1.35 (0.88 2.06)	0.16
>4.0	197 (20)	2.83 (2.18 3.67)	<0.001	2.59 (1.83 3.66)	<0.001	2.59 (1.83 3.66)	<0.001
Ulceration of primary							
No	581 (60)	1		1		1	
Yes	389 (40)	2.19 (1.73 2.76)	<0.001	2.76 (2.01 3.80)	<0.001	2.77 (2.01 3.80)	<0.001
GM2-KLH/QS-21 Vaccine							
No	491 (51)	1		1		1	
Yes	479 (49)	0.95 (0.76 1.20)	0.67	1.07 (0.78 1.45)	0.68	1.07 (0.78 1.45)	0.68

reactivity was calculated as the difference between antigen-MFI and GST-tag-MFI. The median of the 3 triplicate FI values for each TAA and each serum sample was used for further analyses. The cut-off was calculated iteratively for each antigen as the mean of the median of 28 healthy donors' sera plus three times the standard deviation. Positive healthy donor sera are excluded and the cut-off is calculated again in the same manner until all healthy donors remain below the cut-off allowing for a maximum of 20% of the healthy donor sera to be sero-positive. As controls, two patients' sera with known reactivity were analyzed on each plate showing good inter- and intra-assay reproducibility (Appendix Fig. A7). Primary data analyses were performed with Microsoft Excel (Office 2007).

Statistical analyses

OS, RFS and DMFS were calculated from random assignment to the appropriate endpoint as previously defined.¹⁷ For all end points, patients who did not experience the specified event were censored at the date of last contact. Distributions of survival times were estimated by the method of Kaplan and Meier.²⁰

Univariate and multivariate Cox regression analyses²¹ were performed to investigate the prognostic impact of antibody responses and clinical parameters on survival endpoints (RFS, OS, DMFS). Multivariate analyses were adjusted for gender, Breslow thickness, ulceration status, lymph node-negative (LN) status evaluation by clinical (no node dissection (noND)) or surgical (i.e. node dissection (ND) by sentinel or elective node dissection) examination and treatment arm. The p-values below 0.05 are considered statistically significant. All analyses were applied as complete cases analyses, where samples with missings in any of the covariates included in the model were automatically excluded; no missing data imputation was performed.

Predictive power of antibody responses at baseline was investigated by including an interaction term between antibody response and treatment in multivariate Cox regression models with RFS and OS as survival endpoints. Likelihood ratio test was used to compare two nested models with and without an interaction term. In case the model with an interaction term gave a significant improvement (p values for comparison were under 0.15), Cox regressions were performed for subgroups according to their antibody response (i.e. positive or negative). The forest plots illustrate subgroup analyses results.

Time-dependent antibody responses (at baseline, 12, 48 weeks of study treatment and last available time point) were evaluated for having an impact on RFS, DMFS and OS. In addition, generalized estimating equations were applied to investigate the impact of the treatment and the antibody response at baseline on the antibody response over the time.²²

Heatmaps illustrate the results of complete linkage hierarchical clustering of antigen responses and patients' samples using Manhattan distances.

No adjustment for multiple testing was performed due to exploratory nature of this study.

Calculations were done using the statistical software environment R, version 3.0.1, together with the R packages *geepack*, version 1.2-1, *forestplot*, version 1.7, *heatmap*, version 1.0.8, *survival_2.40-1*. All statistical tests were two-sided.

Results

Antibody responses against TAA in the observation and vaccination arm

1,314 stage II melanoma patients were enrolled in the EORTC18961 trial between March 2002 and December 2005. Sera were available in 970 patients at baseline before the study treatment was initiated and in more than 50% of patients at sequential time points (i.e. 12 and 48 weeks and last available time point of the follow-up) (Appendix Fig. A3). Patients' characteristics are depicted in Table 1. The strongest prognostic factors according to univariate analyses are tumor thickness (RFS: HR(3–4mm vs, <3 mm) = 1.44, 95% CI 1.05–1.97, $P = 0.02$; HR(>4 mm vs, <3 mm) = 2.83, 95% CI 2.18–3.67, $P < 0.001$) and ulceration (RFS: HR = 2.19, 95% CI 1.73–2.76, $P < 0.001$) according to the AJCC Melanoma Classification (Table 1).²³ Of note, the confirmation of lymph node-negative involvement by surgical confirmation (i.e. ND by sentinel or elective node dissection) is of good prognosis as compared to clinical evaluation (noND)) (RFS: HR = 0.59, 95% CI 0.47–0.75, $P < 0.001$). Humoral immune responses were evaluated for a panel of 43 TAA (Appendix Table A1) classified according to tumor specificity in all the available sera of the 4 aforementioned time points.¹ We frequently observed serum antibodies against all tested antigens in all patients (i.e. observation and vaccination arm) except for 22 patients, who did not have any antibody responses at all (Appendix Table A2). Patients were sero-positive against multiple TAA at baseline (more than 5 TAA in 62.5% and 67% or more than 10 TAA in 35.2% and 38.4% patients in the observation and vaccination arm respectively) (Appendix Table A2). Antibody responses were little affected by vaccination or prognostic factors over time (Appendix Fig. A1, Fig. A4). However, there was a significant increase in positive antibody responses for several TAA (i.e. NY-ESO-1 OR = 1.75, $p = 0.01$; OY-TES-1 OR = 1.6, $p = 0.01$; CEA OR = 1.52, $p = 0.02$; GM2 OR = 1.64, $p = 0.04$) for patients in the vaccination arm over time (i.e. at 12, 48 weeks and last available time point) as compared to the observation arm (Appendix Table A3). According to the final analysis of the trial the vaccination failed to improve prognosis (RFS, DMFS and OS) as an adjuvant therapy among evaluable patients ($n = 970$) for humoral immune responses (Fig. 1).¹⁷

Prognostic antibody responses

Since tumor thickness, ulceration, lymph node evaluation (i.e. ND, noND) and gender had an effect on survival (Table 1), these covariates were included in the multivariate analyses. Antibody responses for GM2 (HR = 1.4, $p = 0.04$), RhodE2 (HR = 1.26, $P = 0.06$), SSSX2 (HR = 1.42, $p = 0.01$) were associated with RFS, RhodE2 (HR = 1.37, $p = 0.03$) with DMFS and RhodE2 (HR = 1.43, $p = 0.02$), Cyclin B1 (HR = 0.67, $p = 0.03$) and SCYE1v1 (HR = 0.63, $p = 0.02$) with OS (Table 2 shows estimated effect of antibody response from univariate and multivariate Cox regressions for selected antigens and RFS, DMFS and OS as endpoints; Table A4 presents results from multivariate Cox regressions for all antibodies and the 3 survival endpoints). Of note, TAA specific antibody responses over time (i.e. baseline, 12 and 48 weeks and last available time

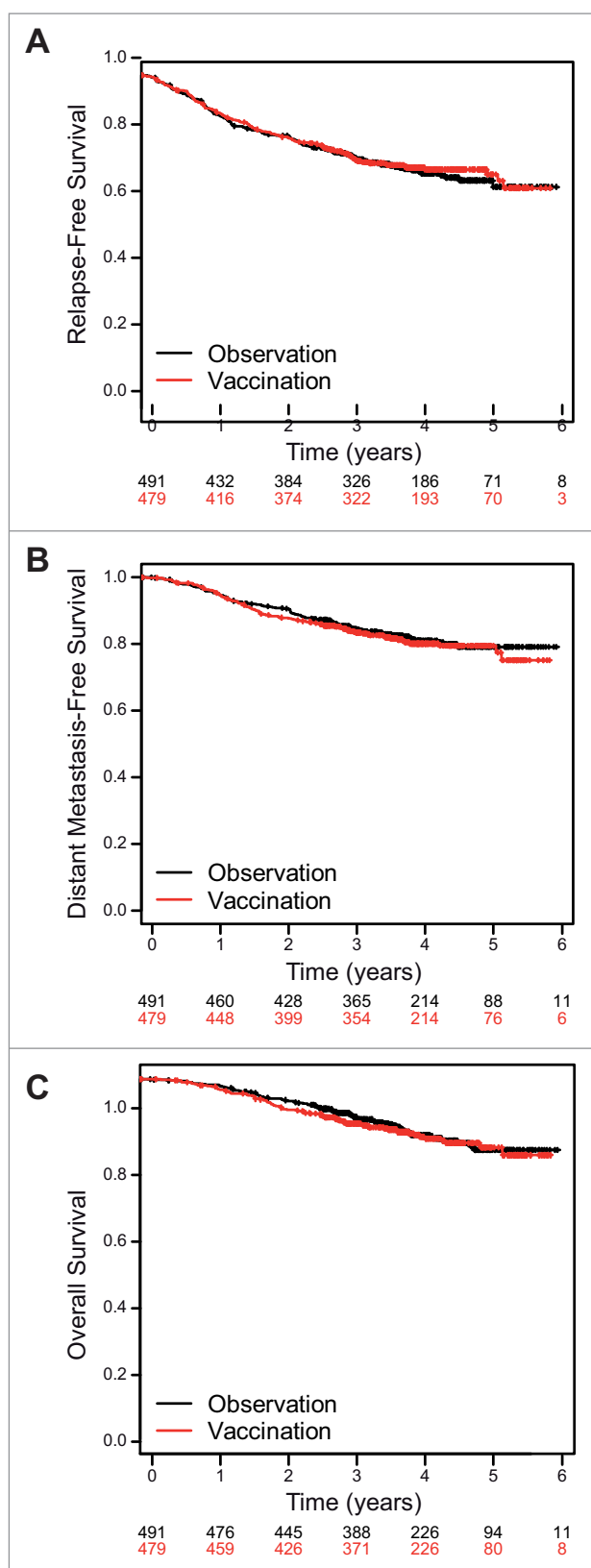


Figure 1. Kaplan-Meier curves of (A) relapse-free (RFS) (hazard ratio [HR], 0.97; 95% CI, 0.77 to 1.22; $P = 0.77$), (B) distant metastasis-free (DMFS) (hazard ratio [HR], 1.07; 95% CI, 0.81 to 1.42; $P = 0.62$) and (C) overall survival (OS) (HR, 1.09; 95% CI, 0.80 to 1.49; $P = 0.57$) from random assignment by treatment group (vaccination or observation). Cox models stratified by Breslow thickness, lymph node dissection, ulceration and sex.

point of the follow-up) showed the same prognostic significance in multivariate analysis for RFS with MPHOSPH6 (HR = 1.33, $p = 0.03$), RhodeE2 (HR = 1.27, $P = 0.05$), SSX2 (HR = 1.35, $p = 0.02$) for DMFS with RhodeE2 (HR = 1.37, $P = 0.03$) and for OS with RhodeE2 (HR = 1.40, $P = 0.04$) (Table A5, presents univariate and multivariate time-dependent Cox regression). Altogether the humoral immune response directed against RhodeE2 is prognostic for dismal survival for RFS, DMFS and OS at baseline and over time.

Predictive antibody responses at baseline

A trend for a detrimental effect of the vaccination was observed in the RhodeE2 positive patients' population, whereas a positive effect was depicted in the RhodeE2 negative patients' population for RFS and OS (Fig. 2E, Fig. 2F). Predictive spontaneous antibody responses were depicted based on significant interactions with treatment for RFS (RhodN $p = 0.02$; Rab38 $p = 0.04$; RhodeE2 $p = 0.08$; EGFR2 $p = 0.11$; Recoverin $p = 0.11$), DMFS (RhodeE2 $p = 0.006$; Recoverin $p = 0.04$; Rab38 $p = 0.11$; MAGE-A3 $p = 0.12$) and OS (RhodeE2 $p = 0.003$; Recoverin $p = 0.04$; NA17-A $p = 0.04$) as shown in the forest plots (Fig. 3 and Appendix Fig. A5 and Table A6). All variables with p values below 0.15 were considered.

Patients' subgroups according to antibody responses were determined for RhodN negative ($n = 849$) HR(vacc vs. obs) 1.07; $p = 0.62$, RhodN positive ($n = 121$) HR(vacc vs. obs) 0.42, $p = 0.01$, Rab38 negative ($n = 682$) HR(vacc vs. obs) 1.12; $p = 0.42$, Rab38 positive ($n = 288$) HR(vacc vs. obs) 0.65, $p = 0.04$, RhodeE2 negative ($n = 633$) HR(vacc vs. obs) 0.82; $p = 0.19$, RhodeE2 positive ($n = 337$) HR(vacc vs. obs) 1.24, $p = 0.25$ for RFS and RhodeE2 negative ($n = 633$) HR (vacc vs. obs) 0.72; $p = 0.13$, RhodeE2 positive ($n = 337$) HR (vacc vs. obs) 1.85, $p = 0.01$, Recoverin negative ($n = 702$) HR(vacc vs. obs) 1.36; $p = 0.10$, Recoverin positive ($n = 268$) HR(vacc vs. obs) 0.65, $p = 0.16$, NA17-A negative ($n = 826$) HR(vacc vs. obs) 1.25; $p = 0.20$, NA17-A positive ($n = 144$) HR(vacc vs. obs) 0.49, $p = 0.11$ for OS (Fig. 3). Moreover for DMFS patients' subgroups for Rab38 negative ($n = 682$) HR(vacc vs. obs) 1.24; $p = 0.20$, Rab38 positive ($n = 288$) HR(vacc vs. obs) 0.73, $p = 0.26$, RhodeE2 negative ($n = 633$) HR(vacc vs. obs) 0.77; $p = 0.16$, RhodeE2 positive ($n = 337$) HR(vacc vs. obs) 1.70, $p = 0.02$ and Recoverin negative ($n = 702$) HR(vacc vs. obs) 1.29; $p = 0.12$, Recoverin positive ($n = 268$) HR(vacc vs. obs) 0.67, $p = 0.14$ were determined (Fig. A5).

Of note, there was a trend toward the GM2-KLH/QS-21 vaccination being detrimental as adjuvant therapy for resected stage II noND melanoma patients ($n = 480$), whereas being beneficial for ND patients ($n = 490$) (Fig. 4). Patients overall survival was positively impacted by the vaccination according to the evaluation of the lymph node involvement HR(ND vs noND) 0.46, 95%CI = 0.29–0.73, $p = 0.001$, whereas no difference was observed in the observation arms HR (ND vs noND) 0.84, 95%CI = 0.54–1.31, $p = 0.44$ (Fig. 4C). Predictive spontaneous antibody responses were determined in the noND cohort and all variables with p values below 0.15 were considered. Significant interactions with treatment were found for RFS, p16 ($p = 0.02$), EGFR2 ($p = 0.06$), SGK1v1 ($p = 0.11$), Rab38

Table 2. Prognostic antibody responses for patients at baseline (before study treatment). Univariate Cox regression has an antigen as a variable and relapse free survival (RFS) and Overall survival (OS) as endpoint, respectively. Multivariate Cox regressions are adjusted to gender, Breslow thickness, ulceration, confirmation of lymph node-negative involvement and treatment arm.

Antibodies	RFS				DMFS				OS			
	univariate		multivariate		univariate		multivariate		univariate		multivariate	
	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p	HR (95% CI)	p
GM2	1.55 (1.12 2.15)	0.008	1.40 (1.01 1.94)	0.04	1.49 (1.01 2.19)	0.04	1.26 (0.85 1.87)	0.24	1.43 (0.93 2.20)	0.11	1.21 (0.78 1.87)	0.40
MIA	1.53 (1.13 2.08)	0.006	1.23 (0.90 1.68)	0.19	1.55 (1.08 2.23)	0.02	1.25 (0.87 1.80)	0.24	1.63 (1.10 2.42)	0.01	1.32 (0.88 1.96)	0.18
RhodE2	1.31 (1.03 1.66)	0.02	1.26 (0.99 1.59)	0.06	1.40 (1.06 1.85)	0.02	1.37 (1.03 1.82)	0.03	1.48 (1.08 2.01)	0.01	1.43 (1.05–1.96)	0.02
MPHOSPH6	1.34 (1.03 1.74)	0.03	1.24 (0.95 1.61)	0.12	1.10 (0.79 1.53)	0.58	0.99 (0.71 1.38)	0.95	1.04 (0.72 1.51)	0.82	0.94 (0.64 1.36)	0.73
SSX2	1.26 (0.97 1.64)	0.08	1.42 (1.09 1.85)	0.01	1.20 (0.88 1.65)	0.25	1.31 (0.95 1.80)	0.10	1.06 (0.74 1.52)	0.76	1.13 (0.79–1.63)	0.50
CyclinB1	0.84 (0.65 1.09)	0.19	0.83 (0.64 1.08)	0.17	0.79 (0.58 1.07)	0.13	0.77 (0.57 1.06)	0.11	0.69 (0.48 0.98)	0.04	0.67 (0.47 0.95)	0.03
SCYE1v1	0.99 (0.77 1.28)	0.96	0.87 (0.67 1.13)	0.30	0.91 (0.67 1.24)	0.55	0.80 (0.58 1.09)	0.16	0.72 (0.50 1.05)	0.08	0.63 (0.44–0.92)	0.02

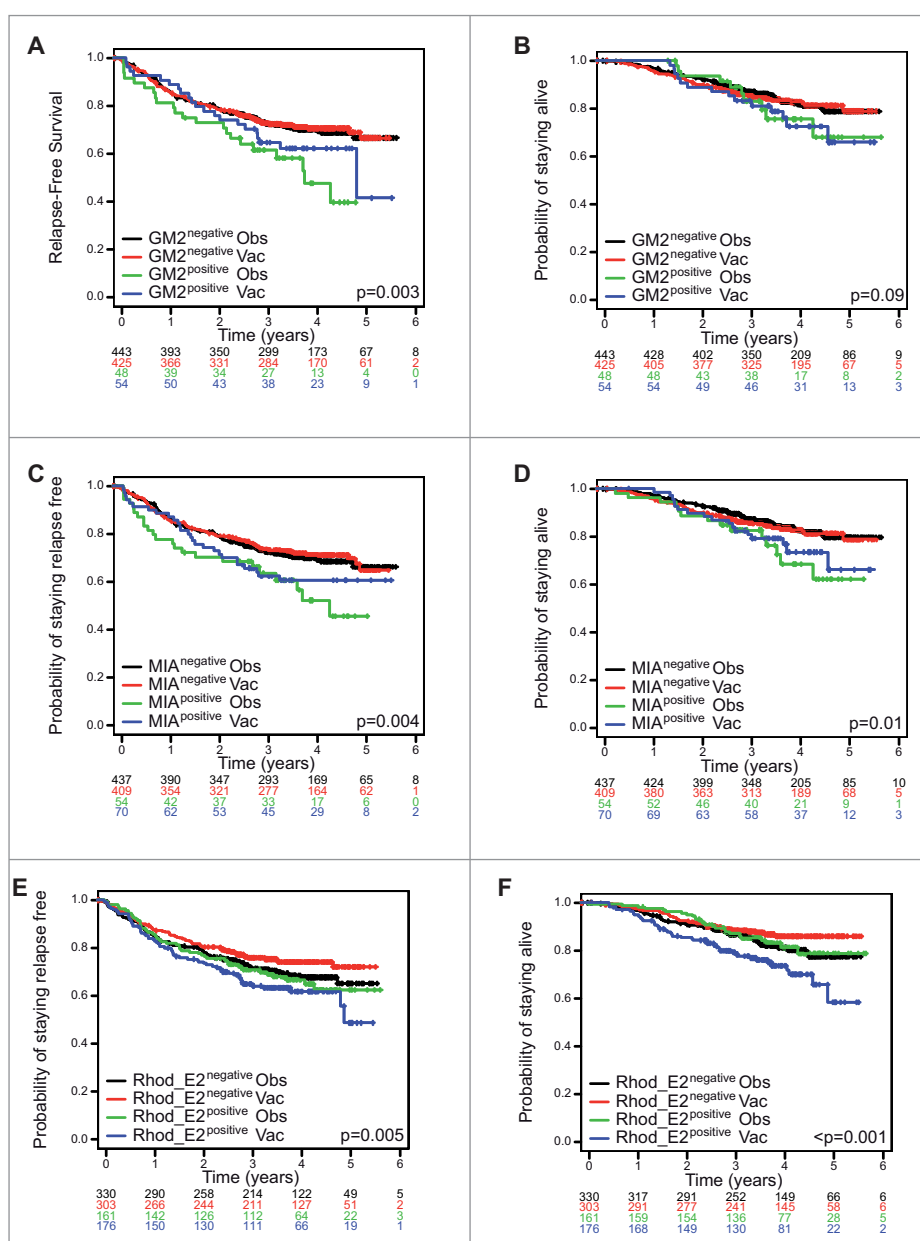


Figure 2. Kaplan-Meier curves of (A) relapse free (RFS) and (B) overall survival (OS) according to the humoral immune response (negative or positive) at baseline against the GM2 antigen, of (C) RFS and (D) OS against the MIA antigen and of (E) RFS and (F) OS against the Rhod_E2 antigen (univariate analysis) from random assignment by treatment group (vaccination (vac) or observation (obs)).

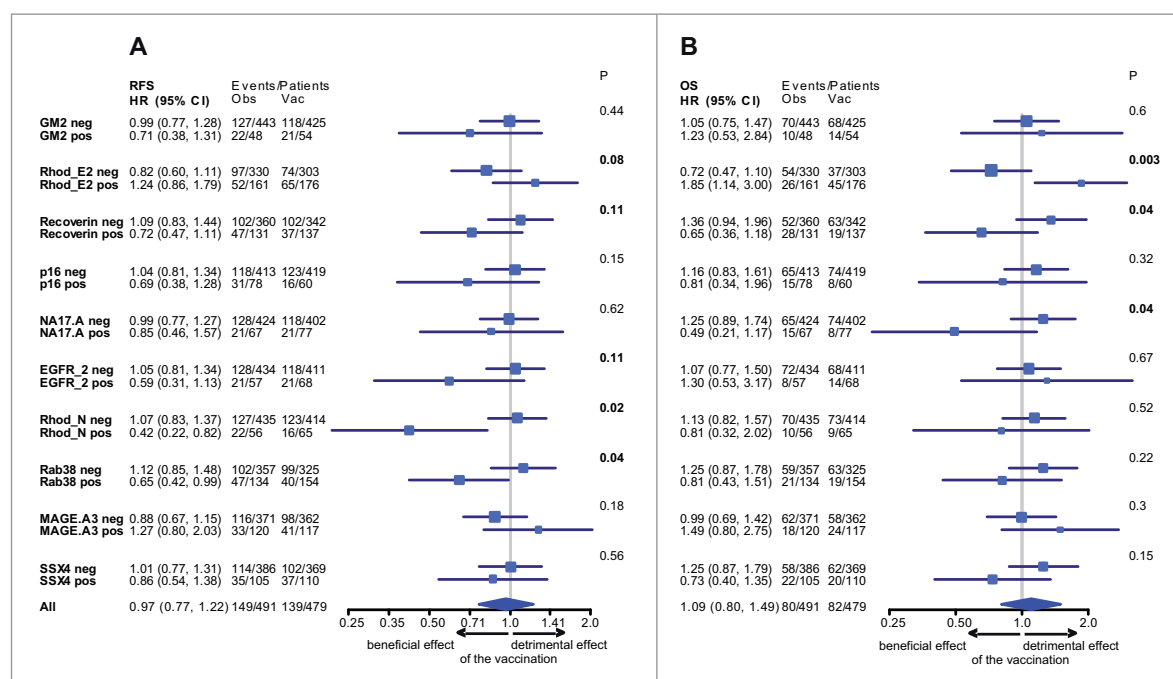


Figure 3. Forest plot shows the effect of vaccination as compared with observation, on (A) relapse-free, (B) distant metastasis-free and (C) overall survival, stratified according to the antigen response. Likelihood ratio test was used to evaluate the interaction between antibody response and treatment.

($p = 0.12$), GM2 ($p = 0.13$) and for OS, p16 ($p = 0.02$), NA17-A ($p = 0.03$), RhodE2 ($p = 0.07$), Snap25 ($p = 0.11$), Recoverin ($p = 0.12$), respectively with patients' subgroups reported in the forest plot (Appendix Fig. A6).

Discussion

We showed that the humoral immune responses against specific TAA were of dismal (i.e. GM2 ganglioside, RhodE2, SSX2) or good (i.e. Cyclin B1, SCYE1v1) prognosis at baseline and under treatment with an adjuvant GM2-KLH/QS-21 vaccination in stage II melanoma patients enrolled in the randomized EORTC18961 trial. Moreover, GM2-KLH/QS-21 vaccination had a detrimental effect in patients with a positive RhodE2 serology whereas there was a trend for a beneficial effect in the Recoverin positive patients.

Retina-specific photoreceptor proteins (arrestin, recoverin, rhodopsin) that are responsible for visual transduction show aberrant expression in different tumors (i.e. renal cell carcinoma, melanoma) and are considered as a new class of cancer antigens (i.e. cancer-retina antigens).²⁴⁻²⁷ Their expression in melanoma cells was shown to be light-dependent.²⁸ Rhodopsin is a light-sensing G protein-coupled receptor and a phospholipid scramblase responsible for the translocation of phospholipids between the two monolayers of a cell membrane lipid bilayer, whose mutations are often associated with blinding diseases.²⁹ Ganglioside GM2, a glycosphingolipids (GSLs) at the cell surface membrane contributes to the structure of lipid rafts and is implicated in the control of cell motility³⁰ by inhibiting hepatocyte growth factor (HGF)-induced c-Met kinase activity.³¹ Gangliosides have been linked to tumor progression by increasing the cellular mobility and invasiveness promoting

metastasis³² and by inducing angiogenesis.³³ Moreover gangliosides are reputed to inhibit immune responses by direct induction of T-cell apoptosis via the suppression of nuclear factor-KB activation,^{34,35} inhibition of cytokine production, such as IFN- γ ³⁶ and antigen presentation by impairing functions of dendritic cells.³⁷ Enhanced production of GM2 is observed in different human tumor types (e.g. renal cell carcinoma, melanoma, breast cancer stem cells, leukemia).³⁸⁻⁴³ However anti-GM2 vaccines (i.e. GM2/BCG, GM2 conjugated to keyhole limpet hemocyanin KLH and administered with QS-21)^{17,44-46} showed limited efficacy in melanoma patients in an adjuvant setting. Cyclin B1 is known to be upregulated in melanomas as shown in a whole genome based array⁴⁷ and to be overexpressed in metastatic lesions as compared to primary melanomas.⁴⁸ Cyclin B1 inhibits wild-type p53 in melanoma cells by CyclinB1/CDK1 phosphorylation of iASPP that inhibits p53 binding site and transcriptional regulation on apoptosis-related genes.⁴⁹ Thus a beneficial effect on prognosis in melanoma patients of a humoral immune response directed against cyclinB1 is intuitive.

Vaccination was detrimental in patients with only clinical evaluation of the lymph node involvement. However, this was not found in surgically confirmed lymph node negative patients who presented a trend of a beneficial effect from the vaccination. Clinical evaluation underestimated the lymph node involvement and might misclassify at least 20% of patients.⁵⁰ Moreover sentinel node (SN) biopsy prolongs RFS.⁵¹ Thus patients with noND showed a higher amount of events and might drive the results of our study. The detrimental effect of the vaccination on RhodE2 positive and the beneficial effect on Recoverin but also on GM2, NA17A, SGK1v1, Rab38, p16 and EGFR2 positive patients were found in that subgroup of patients.

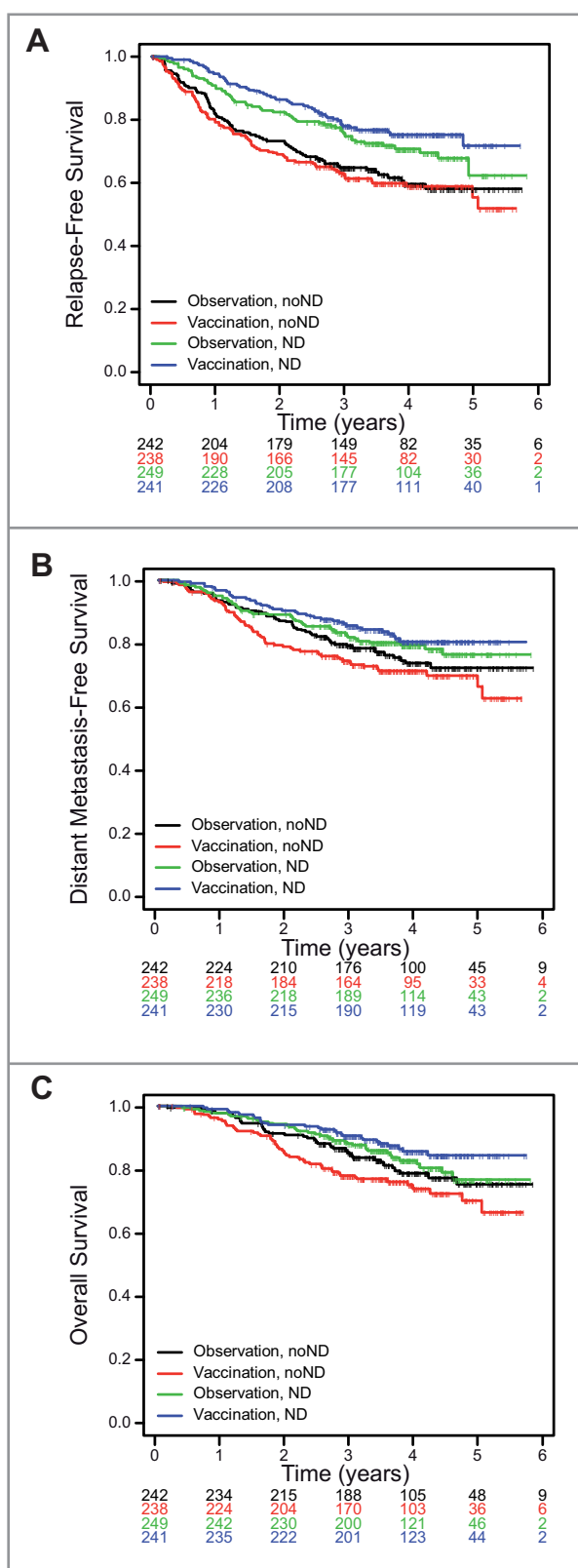


Figure 4. Kaplan-Meier curves from random assignment by treatment group (vaccination or observation) upon stratification of patients according to the lymph node-negative status confirmation (clinical evaluation also called no node dissection (noND) or node dissection (ND)) of (A) relapse-free (RFS), (hazard ratio [HR] (ND vs noND^{vaccination}), 0.50; 95% CI, 0.36 to 0.71; $P = 0.0001$; HR(ND vs noND^{observation}), 0.68; 95% CI, 0.49 to 0.95; $P = 0.022$) (B) distant metastasis free (DMFS), (HR (ND vs noND^{vaccination}), 0.55; 95% CI, 0.36 to 0.82; $P = 0.003$; HR(ND vs noND^{observation}), 0.82; 95% CI, 0.55 to 1.22; $P = 0.33$) and (C) overall survival (OS), (HR(ND vs noND^{vaccination}), 0.46; 95% CI, 0.29 to 0.73; $P = 0.001$; HR(ND vs noND^{observation}), 0.84; 95% CI, 0.54 to 1.31; $P = 0.44$).

We conclude that cancer-retina antigens (i.e. rhodopsin, recoverin) are prognostic and predictive in stage II melanoma patients. Spontaneous humoral immune responses against TAA may not have a therapeutic effect but could point out patients' subgroups that may benefit from effective immunotherapies.

Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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