



# Comparing the Antileishmanial Activity of Gold(I) and Gold(III) Compounds in *L. amazonensis* and *L. braziliensis* *in Vitro*

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**Abstract:** A series of mononuclear coordination or organometallic Au<sup>I</sup>/Au<sup>III</sup> complexes (1–9) have been comparatively studied *in vitro* for their antileishmanial activity against promastigotes and amastigotes, the clinically relevant parasite form, of *Leishmania amazonensis* and *Leishmania braziliensis*. One of the cationic Au<sup>I</sup> bis-*N*-heterocyclic carbenes (3) has low EC<sub>50</sub> values (ca. 4 μM) in promastigotes cells and no toxicity in host macrophages. Together with two other Au<sup>III</sup> complexes (6 and 7), the compound is also extremely effective in intracellular amastigotes from *L. amazonensis*. Initial mechanistic studies include an evaluation of the gold complexes' effect on *L. amazonensis*' plasma membrane integrity.

Leishmaniasis is a vector-borne disease caused by *Leishmania* protozoan parasites that leads to cutaneous (localized, mucosal, diffuse, disseminated) or visceral manifestations, depending on the species, immune status of the host and geographic distribution.<sup>[1]</sup> *Leishmania amazonensis* and *Leishmania braziliensis* are important species related to cutaneous leishmaniasis in the New World, especially in Brazil, where about 73k to 120k people are infected every year.<sup>[2]</sup> While vaccines for humans are not available, antimony-based compounds, such as sodium stibogluconate (Pentostam®, Figure 1), amphotericin B (AMB), paromomycin, miltefosine, and pentamidine have been used for treating patients for several decades, despite their severe side effects and long-term parenteral administration in the majority of the cases.<sup>[3]</sup> In this context, a key area in

leishmaniasis research is focused on the identification of less toxic new therapeutic schemes. Moreover, a large increase in cases of resistance to treatment with antimonials has been reported.<sup>[4,5,6]</sup>

Due to the necessity to overcome the limitations of the actual chemotherapy, in the last 30 years other metal-based compounds, targeted to different parasite relevant pathways, have been investigated for their anti-leishmanial activity.<sup>[7,8]</sup> In this context, gold compounds have recently attracted attention as they are thiophilic agents recognized by their anti-inflammatory properties, which already hold promise as anticancer, antiparasitic and antibacterial agents.<sup>[9–16]</sup> Thus, some coordination Au<sup>I</sup> and Au<sup>III</sup> compounds featuring phosphane ligands have been assessed on different strains of *Leishmania*, often showing interesting antiparasitic activity, but different selectivity ratios based on the macrophage toxicity *in vitro*.

For example, the antirheumatic Au<sup>I</sup> complex auranofin (Figure 1) showed bioactivity against promastigotes cultures, and the X-ray structure of the metallodrug bound to *Leishmania infantum* trypanothione reductase (TR) revealed a dual mode of inhibition.<sup>[17]</sup> Moreover, organometallic Au<sup>I</sup> complexes with quinoline functionalized *N*-heterocyclic carbene (NHCs) ligands are active against *L. infantum* promastigote and amastigotes.<sup>[18,19]</sup> Interestingly, a heteronuclear complex featuring both Au<sup>I</sup> NHC and ferrocene moieties showed the highest activities (nM level) against *Leishmania major* amastigotes.<sup>[20]</sup>

Recently, Monte-Neto and co-workers reported on the effects on promastigote and amastigote proliferation from *L. infantum* and *L. braziliensis* of a series of Au<sup>I</sup> phosphane complexes with thiol-containing ligands.<sup>[21,22]</sup> Moreover, some of the reported derivatives were effective for experimental cutaneous leishmaniasis in animal models.<sup>[22]</sup> The mode of action of these compounds involves oxidative damage through TR inhibition and mitochondrial damage.<sup>[22]</sup>

The present study aims at extending the investigation to different families of Au<sup>I</sup> and Au<sup>III</sup> compounds to identify possible structure–activity relationships. Thus, nine gold compounds, previously characterized for their anticancer effects, were synthesized according to reported procedures, including Au<sup>I</sup> mono- and bis-NHC complexes (1–4),<sup>[23–25]</sup> Au<sup>III</sup> complexes coordinated to a bidentate N<sup>^</sup>N scaffold (5, 6),<sup>[26,27,28]</sup> and three cyclometallated Au<sup>III</sup> complexes with C<sup>^</sup>N ligands (7–9)<sup>[29,30,31]</sup> (Figure 1).

Initially, the compounds were tested *in vitro* against promastigote cultures of *L. amazonensis* and *L. braziliensis*, following standard procedures (see the Experimental Section for details,

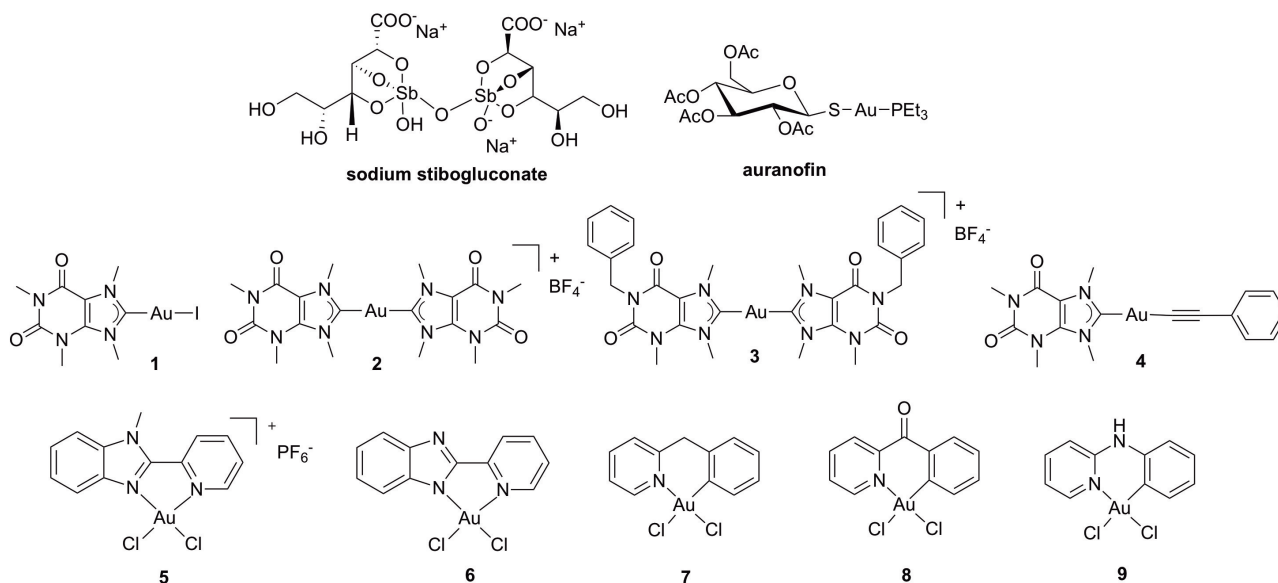
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**Figure 1.** Structures of the first-line treatment for leishmaniasis: the  $Sb^V$  complex with *N*-methyl-D-glucamine (sodium stibogluconate), of the oral anti-arthritis  $Au^I$  complex auranofin, and of the  $Au^I$  and  $Au^{III}$  complexes (1–9) reported in this study.

Supporting Information available). The compounds were also tested against a model of host macrophages, namely BALB/c mouse primary macrophages (BMDM) to assess their selectivity. After 24 h incubation, the MTT colorimetric assay<sup>[32]</sup> was performed and dose–response curves for parasites and host cells were obtained. The activities of gold compounds in terms of 50% effective concentrations for promastigotes of *L. amazonensis* and *L. braziliensis* ( $EC_{50}$ ) and 50% cytotoxic concentrations ( $CC_{50}$ ) for BMDM are presented in Table 1.

Within the series, the cationic  $[Au^I(1\text{-benzyl-3,7,9-trimethylxanthin-8-ylidene})_2]BF_4$  complex **3** shows the lowest  $EC_{50}$  values for both species and a remarkably favourable selectivity index ( $SI=CC_{50}/EC_{50}$ ) > 23.5, higher than those previously reported for other  $Au^I$  NHCs,<sup>[18]</sup> and with respect to the reference second-line anti-leishmanial drug AMB (Table 1). Compound **3** is also markedly more active than the two analogues  $[Au^I(9\text{-methylcaffeine-8-ylidene})_2]BF_4$  **2** and  $[Au^I(9\text{-methylcaffeine-8-ylidene})(\text{ethynylphenyl})]$  **4**. The neutral  $[Au^I(9\text{-methylcaffeine-8-ylidene})]$  complex **1** has some activity against both parasite species as complex **3** (Table 1), but it is also more toxic against BMDM cells. The latter effect may be due to the different reactivity of **1** with respect to the bis-NHC complexes, particularly with respect to the propensity to undergo ligand exchange reactions with different nucleophiles, which may lead to macrophage cell damage.

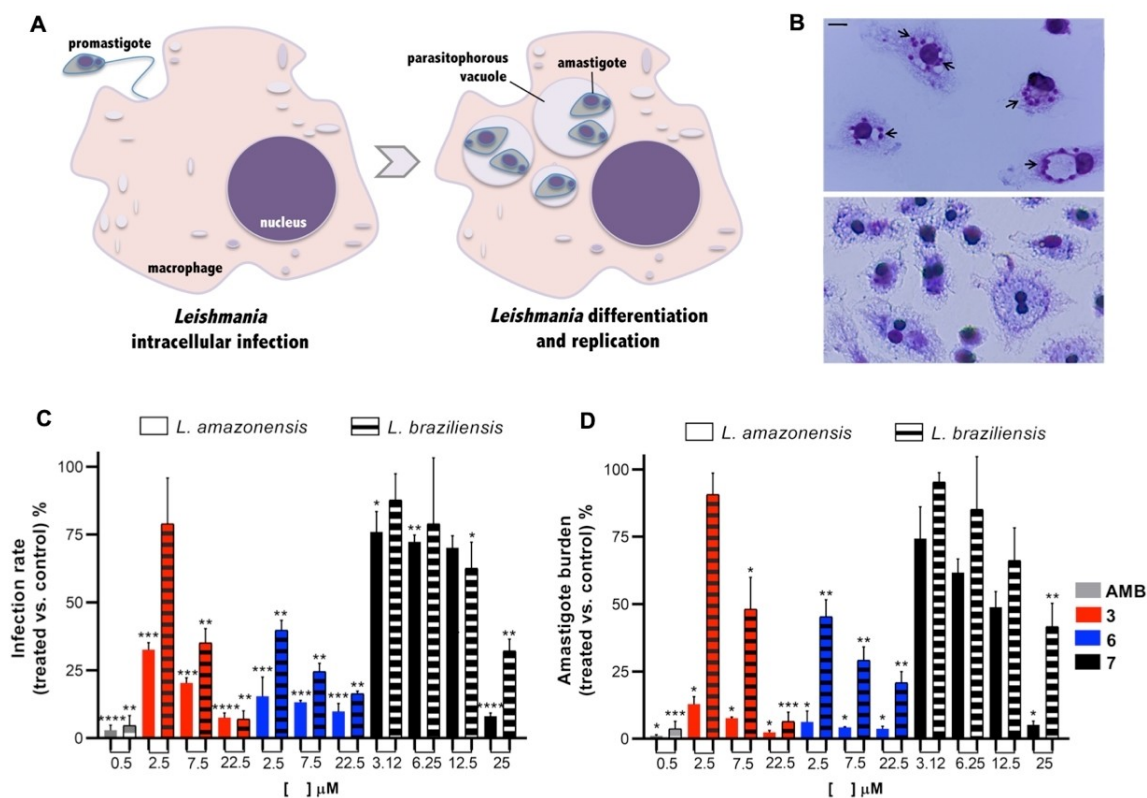
Concerning  $Au^{III}$  complexes, both coordination and organometallic compounds show good to moderate activity against promastigotes of both species; being compounds  $[Au^{III}(2\text{-[(pyridin-2-yl)-benzimidazole]}Cl_2)]$  **6** ( $SI=6.3$  and  $7.0$ ) and  $[Au^{III}(2\text{-benzylpyridine})Cl_2]$  **7** ( $SI=3.3$  and  $3.0$ ) the most active and selective derivatives. The  $Au^{III} C^N$  complexes  $[Au^{III}(2\text{-benzoylpyridine})Cl_2]$  **8** and  $[Au^{III}(N\text{-phenylpyridin-2-amine})Cl_2]$  **9** show some selectivity towards the *L. braziliensis*, but overall their  $SI$  is

**Table 1.** Gold-compounds' 50% effective ( $EC_{50}$ ) and cytotoxic ( $CC_{50}$ ) concentrations against *Leishmania* promastigotes and BALB/c mouse primary macrophages (BMDM) after 24 h. SD: standard deviation; CI 95%: 95% confidence interval; ND: not determined. Two independent experiments were performed in triplicates.

Comp	$EC_{50} \pm SD$ (CI 95%) $\mu M$	$EC_{50} \pm SD$ (CI 95%) $\mu M$	$CC_{50} \pm SD$ (CI 95%) $\mu M$	Selectivity index ( $CC_{50}/EC_{50}$ ) <i>L. amazonensis</i> / <i>L. braziliensis</i>
	<i>L. amazonensis</i>	<i>L. braziliensis</i>	BMDM	
1	13.96 $\pm$ 0.46 (13.6–14.3)	4.73 $\pm$ 0.20 (4.57–4.89)	23.53 $\pm$ 0.61 (25.0–26.0)	1.7/5.0
2	53.88 $\pm$ 0.21 (53.7–54.0)	50.36 $\pm$ 0.14 (50.2–50.5)	87.85 $\pm$ 0.45 (87.4–88.3)	1.6/1.7
3	4.24 $\pm$ 0.23 (4.08–4.4)	4.25 $\pm$ 0.17 (4.11–4.39)	> 100 (ND)	> 23.6 / > 23.5
4	117.30 $\pm$ 0.21 (117.1–117.5)	113.20 $\pm$ 0.10 (113.1–113.3)	156.60 $\pm$ 0.10 (156.5–156.7)	1.3/1.4
5	37.02 $\pm$ 0.29 (36.8–37.3)	33.2 $\pm$ 0.31 (33.0–33.4)	117.30 $\pm$ 0.20 (117.2–117.4)	3.2/3.5
6	9.44 $\pm$ 0.22 (9.26–9.62)	8.42 $\pm$ 0.20 (8.26–8.58)	59.34 $\pm$ 0.20 (59.2–59.5)	6.3/7.1
7	15.89 $\pm$ 0.43 (15.5–16.2)	17.49 $\pm$ 0.21 (17.3–17.7)	51.90 $\pm$ 0.33 (51.6–52.2)	3.3/3.0
8	48.63 $\pm$ 0.29 (48.4–48.9)	19.96 $\pm$ 0.19 (19.8–20.1)	87.54 $\pm$ 0.47 (87.2–87.9)	1.8/4.4
9	24.95 $\pm$ 0.38 (24.7–25.3)	5.12 $\pm$ 0.15 (5.00–5.24)	7.08 $\pm$ 0.19 (6.92–7.24)	0.3/1.4
AMB	0.53 $\pm$ 0.11 (0.4–0.6)	0.29 $\pm$ 0.09 (0.2–0.3)	1.97 $\pm$ 0.29 (1.7–2.2)	3.7/6.8

less favourable than that of the related compound **7**. Finally,  $[Au^{III}(1\text{-methyl-2-(pyridin-2-yl)-benzimidazole})Cl_2]PF_6$  **5** was among the least effective, in line with its scarce cytotoxic activity against human cancer cells.<sup>[27]</sup>

The efficacy of the most promising compounds, **3**, **6** and **7**, was further assessed against intracellular amastigotes, parasitic forms involved in the pathogenesis of leishmaniasis, as they replicate within macrophages (Figure 2). To this aim, BMDM

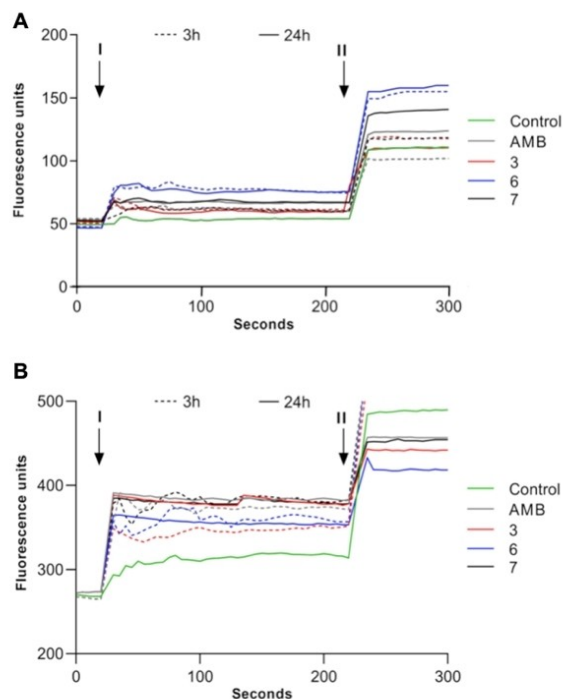


**Figure 2.** Gold complexes against *Leishmania*-infected BALB/c mouse primary macrophages (BMDM). A) Cartoon representation of macrophagic infection by *Leishmania* promastigotes (left) and established intracellular infection by amastigotes residing in parasitophorous vacuoles (right). *In vitro* infections were established (multiplicity of infection (MOI) = 2 for *L. amazonensis* and 5 for *L. braziliensis*) and kept in fresh culture medium with addition of 3, 6 and 7 at different concentrations every 24 h up to 48 h. B) Representative image of one optical field showing untreated, infected *L. braziliensis* cells (top) and infected cells treated with 6 (22.5 μM; bottom), as described in the Experimental Section. Methanol-fixed BMDM were stained with Instant ProV Kit (NewProv), and images were obtained on an Invitrogen EVOS XL Core Cell Imaging System microscope. Arrows point to intracellular amastigotes. Scale bar: 6 μm. Effects on the C) infection rate and D) amastigote burden were calculated in relation to untreated control infections (100%) for two independent assays run in triplicates. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ , \*\*\*\*  $p < 0.0001$ ; treated infection vs. untreated infections.

were cultivated in glass coverslips in 24-well plates followed by the infection with stationary-phase promastigotes of *L. amazonensis* and *L. braziliensis* (Figure 2A; left). After 24 h, established infections (Figure 2A, right, and B) were incubated with each compound at increasing concentrations (see the Experimental Section for details). Next, two *in vitro* infection parameters were assessed: the infection rate (Figure 2C) and the amastigote burden (Figure 2D), respectively. AMB was used as a positive control drug for *Leishmania* killing in BMDM. At variance with the promastigote studies, in this assay *L. amazonensis* amastigotes were more sensitive to 3 and 6 than *L. braziliensis* even at the lowest tested concentrations. In the case of 7, the number of infected cells was drastically reduced only at the highest tested concentration (25 μM). Despite requiring slightly increased concentrations, *L. braziliensis* replication was also significantly inhibited by the compounds. In general, the gold complexes were able to reduce more than 90% of infections and intracellular parasites' number (Figure 2C and D), in some cases at markedly lower concentrations when compared with the EC<sub>50</sub> values obtained for promastigotes. It has been shown

that infected-BMDM treated with meglumine antimoniate for 6 days, inhibits 50% of the amastigotes load between 39 and 196 μM for *L. braziliensis*, and between 296 and 407 μM for *L. amazonensis* in different field isolates.<sup>[33]</sup> Our data show that 3, 6 and 7 are markedly more active at lower concentrations, similarly to previously tested Au<sup>I</sup> complexes,<sup>[18,21,22]</sup> and do not require prolonged incubation times, suggesting a more immediate effect on the parasites, without affecting the host cell (Figure 2B).

In an attempt to shed light into our compounds' mechanism of action against *Leishmania*, their possible direct effect regarding *L. amazonensis*' plasma membrane integrity was investigated in promastigotes and in the clinically relevant stage for the disease, namely the amastigote stage. Thus, both parasite forms incubated with 3, 6 and 7 were tested for their permeabilization to ethidium bromide.<sup>[34]</sup> Control assays were carried out using AMB, as it is known that this polyene antibiotic disrupts *Leishmania*'s plasma membrane integrity.<sup>[35]</sup> Figure 3A shows that all gold compounds increased the permeabilization of promastigotes after short (3 h) and pro-



**Figure 3.** *L. amazonensis* parasite's plasma membrane permeabilization induced by treatment with gold complexes. A)  $4 \times 10^6$  promastigotes and B)  $8 \times 10^5$  axenic amastigotes were incubated with  $EC_{50}$  of AMB, 3, 6 and 7 at neutral and acidic pH, respectively, for 3 h (dashed lines) and 24 h (solid lines). I: addition of 10 mM ethidium bromide; II: addition of digitonin at 100 mM.

longed time points (24 h), with compound 6 being the most effective. In fact, incubation with 6 led to ~50% increased fluorescence signals of ethidium bromide upon nucleic acid binding compared to the AMB control in the same conditions. The membrane permeabilization possibly contributes to the triggering of the observed antiproliferative effects.

In parallel, assays were run using amastigotes incubated in acidic medium (pH 5.2) to mimic the intracellular milieu of the parasitophorous vacuole. Notably, ethidium bromide incorporation was higher for all the compounds, especially for 3 and 7, and equivalent levels to the fluorescence detected for AMB were observed after 24 h. Amastigotes treated with compound 6 showed similar levels of fluorescence signal at both 3 and 24 h time points. In general, the gold compounds were able to destabilise the parasite's membrane permeability control already after 3 h incubation (Figure 3B), time at which we can exclude cytotoxic effects by the tested compounds' concentrations.

In conclusion, our findings further highlight the potential of gold complexes as antileishmanial agents with low toxicity to host cells. In both the promastigote and amastigote assays, the cationic bis-NHC complex 3 featuring a caffeine-like scaffold is certainly the best performer with the highest activity towards the two *Leishmania* species and selectivity with respect to the host macrophages. We hypothesize that an ideal combination

of hydrophilic/lipophilic character and reduced metaldrug speciation due to the high stability of the Au–C bonds may be responsible for the overall observed effects of 3 in the parasite. Noteworthy, caffeine-based metal complexes have already shown promising pharmacological properties as anticancer and antimicrobial agents.<sup>[36,37,38]</sup>

Complex 6 is one of the few Au<sup>III</sup> complexes with N-donor ligands reported so far for their anti-promastigote effects.<sup>[8,39]</sup> Its reduced stability towards Au<sup>III</sup> reduction in biological environment with respect to the other reported organometallic Au<sup>III</sup> C<sup>N</sup> compounds,<sup>[26]</sup> might lead to parasite death through oxidative damage.

The cyclometallated compound 7, showing promising activity, is the first of this family of organometallics to be studied for the antileishmanial activity. The robustness of the C<sup>N</sup> scaffold could be exploited to functionalize the compound with parasite targeting moieties. Moreover, 7 was recently reported to selectively arylate cysteine residues in protein domains by Au<sup>III</sup>-templated reductive elimination,<sup>[40,41]</sup> leading to irreversible modification of the protein structure. We cannot exclude that a similar reactivity could account for the observed antiparasitic effects.

Our data on compounds 3, 6 and 7, showing the remarkable effect of these compounds in *in vitro* infections, combined with their activity against axenic amastigotes cultured in acidic medium, suggest that the leishmanicidal effect is preserved in the vacuolar environment; representing a pharmacological requirement of relevance for the development of novel leishmanicidal candidates.<sup>[3]</sup>

Further studies are certainly necessary to elucidate the mechanisms of action of the gold compounds in the *Leishmania* parasites, although knowledge of their targets against cancer cells is already available in the literature.<sup>[42]</sup> For example, pharmacologically relevant DNA secondary structures, G-quadruplexes, have been targeted by cationic Au<sup>I</sup> bis-NHC compounds (2 and 3).<sup>[23,43]</sup> Oxidative damage by gold complexes, also affecting membrane permeabilization, might also be relevant. Moreover, specific membrane protein channels and zinc-binding proteins are inhibited by the herewith investigated coordination and cyclometallated Au<sup>III</sup> complexes (5–7).<sup>[26,44,45]</sup> While these studies focused mostly on the human targets, leishmanial homologues systems could also be considered.<sup>[46]</sup>

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## Conflict of Interest

The authors declare no conflict of interest.



**Keywords:** amastigotes · antiprotozoal agents · gold compounds · leishmaniasis · promastigotes

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