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# **Risk indicators for venous thrombosis in firstdegree relatives of patients with recurrent venous thromboembolism in Chinese**

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#### Abstract

Having encountered several subjects with venous thromboembolism (VTE) in 1 family in which 1 proband has recurrent VTE (rVTE), we aimed to assess the risk of VTE in first-degree relatives, especially the children of individuals with rVTE, and to investigate the association of endothelial nitric oxide synthase (eNOS) G894T polymorphism between Chinese persons with rVTE and their offspring.

We collected information about family histories and blood samples from 126 individuals with rVTE who had presented to our institute from 2003 to 2014, and 126 population-based controls and the first-degree relatives of subjects in these 2 groups. We tested blood samples for heritable thrombophilia and calculated odds ratios (ORs) and kappa coefficients.

First-degree relatives of individuals with rVTE patients had a statistically significant risk of developing VTE (OR 2.62, 95% confidence interval [CI] 1.61–4.26, P < 0.001). For siblings, the OR was 2.72 (95% CI 1.56–4.73, P < .001). Moreover, for each year that the patient was older, the OR was 0.98 (95% CI 0.97–0.99, P = 0.03). One (11.2%) of the 9 individuals who had the same eNOS G894T polymorphism as their probands had a history of VTE, whereas none of the 17 relatives without the same polymorphism had developed VTE. The associations between patients and their children were statistically significant for VTE (kappa=0.23, P < 0.001) and for eNOS G894T (kappa=0.03, P = 0.04).

In this case-controlled study, we demonstrated a higher risk of VTE among first-degree relatives of individuals with rVTE, especially in siblings of younger subjects with rVTE. We also found that eNOS G894T polymorphism may be a predictor of VTE in offspring of individuals with rVTE.

**Abbreviations:** CI = confidence interval, DVT = deep venous thrombosis, eNOS = endothelial nitric oxide synthase, NO = nitric oxide, OR = odds ratio, PE = pulmonary embolism, rVTE = recurrent venous thromboembolism, VTE = venous thromboembolism.

Keywords: endothelial nitric oxide synthase, family, recurrence, single-nucleotide polymorphism, venous thrombosis

## 1. Introduction

Venous thromboembolism (VTE), a multifactorial disease, involves an interaction between hereditary and acquired risk factors,<sup>[1,2]</sup> and is the third most common cardiovascular disease after ischemic heart disease and stroke.<sup>[3]</sup> Deep venous thrombosis (DVT), one of the presentations of VTE, has significant morbidity and pulmonary embolism (PE) is a lifethreatening disease with a short-term survival of less than 60%.<sup>[4]</sup>

During the past decade, many genetic risk factors have been found to be associated with VTE, with these factors varying among different regions and races.<sup>[5]</sup> Factor V Leiden<sup>[6]</sup> and the G202210A prothrombin gene<sup>[7]</sup> are the most common suscepti-

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bility alleles in Caucasians, whereas in Chinese individuals, inherited deficiency of antithrombin, protein C, and protein S may play important roles in the occurrence of VTE.<sup>[8–11]</sup> In addition, nitric oxide (NO) produced by endothelial NO synthase (eNOS) has both vasodilatory and antithrombotic properties. In a previously published meta-analysis, we found that eNOS G894T polymorphism may be a risk factor for venous thrombosis and may increase the risk of developing thrombotic disease in Asia.<sup>[12]</sup> In 1905, Briggs<sup>[13]</sup> was the first to report clustering of venous thrombophlebitis in a family. Evidence of familial aggregation is 1 avenue for studying the role of genetics in this disease. Several authors<sup>[14–16]</sup> have studied family history as a risk factor for VTE and the risk of VTE in first-degree relatives of patients with their first VTE.<sup>[17]</sup> However, few have studied the risk of VTE in first-degree relatives of patients with their first-degree relatives of patient

The current study was designed to estimate more accurately the risk of VTE in first-degree relatives of individuals with rVTE and to study the association of eNOS G894T polymorphism between Chinese patients and their first-degree relatives.

### 2. Methods

### 2.1. Study subjects

Individuals with rVTE who had been admitted to Beijing Chao-Yang Hospital, Capital Medical University, and Beijing An Zhen Hospital, Capital Medical University, between January 28, 2003 and August 23, 2014 were enrolled in this study. DVTs were

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diagnosed by color duplex ultrasound scanning and PE by high probability on ventilation-perfusion lung scans or spiral computed tomography (CT) pulmonary angiography. Healthy volunteers who had visited the Center for Health Checks of Beijing Chao-Yang Hospital, Capital Medical University, between September 20, 2012 and June 15, 2014 were also enrolled. No control subjects had any evidence or history of VTE or had received anticoagulant therapy. Control subjects were matched by age ( $\pm 2$  years) and sex with the patient group. Blood samples were obtained from all probands (both patients and controls) and at least 1 of the offspring in each family at least 3 months after discontinuation of vitamin K antagonist therapy. Recurrent DVT was defined as diagnosis of an acute thrombus in a previously uninvolved segment of vein or an increase of more than 4mm in the size of the residual material on color duplex ultrasound scanning.<sup>[18,19]</sup> Recurrent PE was defined as finding a new intraluminal filling defect on CT pulmonary angiography or a new perfusion defect in a segment with normal ventilation.<sup>[20]</sup>

Probands and first-degree relatives had to be at least 18 years old to be eligible. Data on first-degree relatives who had died could be included with the consent of related living study subjects. This study was approved by the Institutional Review Board of Beijing Chao-Yang Hospital, Capital Medical University, and was performed in accordance with the ethical standards as laid down in the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. All case and control subjects gave their written informed consent for participation in the study and for DNA analysis.

Diagnosis of rVTE required the absence of acquired risk factors such as injury, immobilization, or plaster cast for at least 1 week in the previous 3 months, diagnosis of malignancy within the previous 5 years, and receiving hormone therapy on the index date of VTE occurrence.

## 2.2. Clinical data

Demographic data and general characteristics of case and control probands were collected by one-to-one standardized interviewing using the same questionnaire. The family history of probands included the number of first-degree relatives (parents, siblings, and offspring) and the number of first-degree relatives with a history of VTE.

A standard questionnaire was also used to collect data on firstdegree relatives of cases and controls. Demographic data, general characteristics, history of VTE, age at diagnosis of VTE, category of relative, and type of VTE were obtained from probands and checked with those relatives by face-to-face or telephone interviews.

#### 2.3. Laboratory analysis

Venous blood samples were collected from participants into vacutainer tubes containing 1/10 volume of 0.105 mol/L trisodium citrate. After centrifugation at 2000g for 20 minutes, plasma was used for coagulation assays and white blood cells for extracting DNA.

Activities of protein C, protein S, and antithrombin were measured on a Sysmex CA 7000 Analyzer (Sysmex, Japan) using reagents from Siemens Healthcare Diagnostics Products (GmbH, Germany). Protein C and antithrombin activities were assayed by a chromogenic substrate method and activity of protein S by a clotting method based on prothrombin time. Deficiency was diagnosed only in cases where the activity of antithrombin, protein C, or protein S was below the lower limit of the normal range, and both liver and renal functions were normal for Chinese subjects.

The genotype for eNOS G894T polymorphism, which contributes the amino acid residues from Glu to Asp in code 298, was determined. Genomic DNA was extracted from white blood cells using a DNA extraction kit (RelaxGene Blood DNA System, TianGen, China). Oligonucleotide primers 5/-CAC GGA GAC CCA GCC AAT G-3/ (forward) and 5/-CCC ACC CAG TCA ATC CCT TT-3/ (reverse) were designed using Primer Premier 5.0.

Amplification by PCR was performed in a volume of  $50\,\mu\text{L}$  containing  $<1\,\mu\text{g}$  of genomic DNA,  $1\,\mu\text{L}$  of each  $10\,\mu\text{M}$  primer,  $5\,\mu\text{L}$  of  $10\times$  Pyrococcus furiosis buffer,  $4\,\mu\text{L}$  of  $2.5\,\text{mM}$  deoxyribonucleoside triphosphate mixture, and  $0.8\,\mu\text{L}$  of  $2.5\,\text{U}/\mu\text{L}$  Taq Plus DNA polymerase (TianGen, China) dilute in ddH<sub>2</sub>O. PCR conditions were initial denaturation stage at 94°C for 3 minutes, followed by 30 cycles of 94°C for 30 seconds,  $63^{\circ}\text{C}$  for 30 seconds,  $72^{\circ}\text{C}$  for 1 minute, and a final extension at  $72^{\circ}\text{C}$  for 5 minutes. The product of 287bp was directly sequenced and digested by MboI (New England Biolabs) for 16 hours at  $37^{\circ}\text{C}$  to be cleaved into 189 and 98 bp at nucleotide 894 if there was a T. All products were resolved by electrophoresis on a 2% agarose gel stained with GenGreen nucleic acid gel stain for analysis.

## 2.4. Statistical analysis

Normally distributed quantitative data are presented as mean (SD) and those distributed non-normally as median (25th and 75th); qualitative data are presented as frequency (percentage). Odds ratios (ORs) and 95% confidence intervals (CIs) were calculated to assess associations between risk of VTE in firstdegree relatives and characteristics of probands with rVTE. Logistic regression by the method of generalized estimating equations, which accounts for within-family correlations among relatives, was used to compute the ORs and 95% CIs. Student t test was used for continuous data and the chi-square or Fisher exact test was used to compare genotypic frequencies of eNOS G894T between probands and between their offspring. Prediction of family history was calculated by a diagnostic test for identifying genetic risk (eNOS G894T). The kappa coefficient was calculated to estimate the association between probands and their offspring. A P value of <0.05 was considered to indicate significant difference. All statistical analyses were performed with SPSS 19.0 (SPSS, Chicago, IL).

#### 3. Results

#### 3.1. Characteristics of the study subjects

Between January 28, 2003 and August 23, 2014, 126 patients with rVTE were eligible to be enrolled in this study. Between September 20, 2012 and June 15, 2014, 126 healthy people attending a Center for Health Checks were selected to be controls (Fig. 1). As shown in Table 1, 60.3% of case probands and 61.9% of control probands were female. Case and control probands were of similar age. The age range of the case probands was 21 to 90 years (mean $\pm$ SD, 62.8 $\pm$ 14.9 years) and of the control probands 23 to 91 years (mean $\pm$ SD, 63.2 $\pm$ 13.5 years). DVT and PE, which account for most VTE, were the diagnoses in 73.8% of the cases.

The study subjects also included 933 first-degree relatives of cases and 950 first-degree relatives of controls. As shown in

Table 1						
Characteri	stics o	of cas	e and	control	proband	s

	Case probands $(n = 126)$	Control probands (n = 126)	Р
Sov. po. (0/)	(11-120)	(11-120)	
Sex, 110. (%)			
Male	50 (37.9)	48 (38.1)	
Female	76 (60.3)	78 (61.9)	0.79
Mean (SD) age, y	62.8 (14.9)	63.2 (13.5)	0.79
Type of VTE, no. (%)			
DVT	8 (6.4)	—	
PE	25 (19.8)	—	
DVT and PE	93 (73.8)	_	

 $\mathsf{DVT}\!=\!\mathsf{deep}$  venous thrombosis,  $\mathsf{PE}\!=\!\mathsf{pulmonary}$  embolism,  $\mathsf{SD}\!=\!\mathsf{standard}$  deviation,  $\mathsf{VTE}\!=\!\mathsf{venous}$  thromboembolism.

Table 2, the sex distribution was similar for case relatives and control relatives, with 51.1% of case relatives and 50.6% of

 Table 2

 Characteristics of case and control first-degree relatives.

	Case relatives	<b>Control relatives</b>	
	(n = 933)	(n = 950)	Р
Sex, no. (%)			
Male	457 (48.9)	469 (49.4)	
Female	476 (51.1)	481 (50.6)	0.86
Relatives intervie	ewed, no. (%)		
Parents	252 (27)	252 (26.5)	
Siblings	387 (41.5)	395 (41.6)	
Children	294 (31.5)	303 (31.9)	0.97
Relatives of VTE	, no. (%)		
Parents	9 (0.96)	4 (0.42)	0.15
Siblings	47 (5.0)	19 (2)	< 0.001
Children	5 (0.54)	1 (0.11)	0.21

VTE = venous thromboembolism.

# 3.2. Association between history of VTE in probands and VTE risk in first-degree relatives

More case relatives than control relatives had been diagnosed

with VTE (6.5% vs 2.5%) (Table 2). As shown in Table 3,

according to a multivariable model (including age, sex, and

family history of rVTE), the association between diagnosis of

VTE in first-degree relatives and a family history of rVTE was

statistically significant (OR 2.62, 95% CI 1.61–4.26, P<0.001).

This was especially true for siblings (OR 2.72, 95% CI

1.56-4.73, P<0.001), whereas the OR was 2.09 (95% CI

control relatives being female; this difference is not statistically significant (P=0.97) in the distribution of types of relatives. The mean (SD) number of first-degree relatives assessed (in addition to the probands) was 7.4 (1.8) in case families and 7.5 (1.9) in control families (P=0.30). The number of siblings in case families (mean  $\pm$  SD,  $3.1 \pm 1.4$ ) was not significantly different from that in control families (mean  $\pm$  SD,  $3.1 \pm 1.3$ ) (P=0.47), nor was the number of offspring (for case probands: mean  $\pm$  SD,  $2.3 \pm 1.1$ ; for control probands: mean  $\pm$  SD,  $2.4 \pm 1.1$ ) (P=0.51). Sixty-one case relatives and 24 control relatives reported having had VTE.



		Univariabl	e	Multivariab	le
	Number of first-degree relatives	Odds ratio	Р	Odds ratio	Р
Characteristics of probands					
Age (per y)	1883	0.98 (0.97, 0.99)	0.02	0.98 (0.97, 0.99)	0.03
Age (subjects of case)	933	0.98 (0.97, 1.00)	0.052	0.98 (0.97, 1.00)	0.051
Age (subjects of control)	950	0.98 (0.95, 1.01)	0.28	0.98 (0.96, 1.01)	0.24
Female vs male	1883	0.63 (0.41, 0.97)	0.04	0.65 (0.42, 1.002)	0.051
Female vs male (subjects of case)	933	0.84 (0.50, 1.41)	0.50	0.84 (0.50, 1.42)	0.52
Female vs male (subjects of control)	950	0.34 (0.15, 0.78)	0.01	0.33 (0.15, 0.77)	0.01
Type of VTE of probands					
Pulmonary embolism	166	2.09 (0.45, 9.73)	0.35	2.14 (0.45, 10.1)	0.34
Deep vein thrombosis and pulmonary embolism	706	2.15 (0.51, 9.08)	0.30	2.08 (0.49, 8.79)	0.32
Deep vein thrombosis	61	Reference		Reference	
Type of relatives					
All first-degree relatives	1883	2.70 (1.67, 4.37)	< 0.001	2.62 (1.61, 4.26)	< 0.001
Parents	504	2.30 (0.70, 7.56)	0.17	2.09 (0.64, 6.82)	0.22
Siblings	782	2.74 (1.57, 4.75)	< 0.001	2.72 (1.56, 4.73)	< 0.001
Children	597	5.23 (0.61, 44.99)	0.13	4.97 (0.57, 43.15)	0.15

VTE = venous thromboembolism.

0.64–6.82, *P*=0.22) and 4.97 (95% CI 0.57–43.15, *P*=0.15) for parents and offspring, respectively.

# 3.3. Association between characteristics of probands and VTE risk in first-degree relatives

As shown in Table 3, there was an inverse relationship between the risk of VTE in first-degree relatives and the age of probands. For each additional year of age of a proband, the OR was 0.98 (95% CI 0.97–0.99, P=0.03) for all subjects; it was 0.98 (95% CI 0.97–1.00, P = 0.051) for subjects in the case group. The sex of the proband was associated with a higher risk of VTE in firstdegree relatives for all subjects according to univariable analysis (female vs male: OR 0.63, 95% CI 0.41–0.97, P=0.04); however, this association was no longer statistically significant according to multivariable analysis (female vs male: OR 0.65, 95% CI 0.42–1.002, P = 0.051); for subjects in the control group, ORs were statistically significant according to both univariable (OR 0.34, 95% CI 0.15–0.78, P=0.01) and multivariable analysis (OR 0.33, 95% CI 0.15-0.77, P=0.01). The type of VTE of proband was not predictive of thrombosis in the firstdegree relatives of subjects with rVTE.

# 3.4. Association of genetic factors between case and control probands and their first-degree relatives

As shown in Table 4, there was a significant difference between case probands and control probands, so was between case relatives and control relatives for various genetic factors (including protein C, protein S, and eNOS G894T, but not antithrombin). When 26 relatives of the 26 cases with rVTE who had eNOS G894T polymorphism were tested for the same gene abnormality, it was found that 1 (11.2%) of the 9 relatives who did have the eNOS G894T polymorphism had a history of VTE, whereas none of the 17 relatives without this polymorphism had developed VTE. However, the area under the receiver-operating characteristic curve for family history of rVTE and identified eNOS polymorphism was only 50.3%, which indicates a pool association between them.

# 3.5. Association of VTE and eNOS G894T polymorphism between probands and offspring

Blood samples were collected from 1 offspring of every proband (both case and control group). The kappa coefficients for VTE and eNOS G894T between probands and their offspring in the 2 groups were calculated. As shown in Table 5, there was a statistically significant association in the case group (for VTE: kappa=0.23, P < 0.001; for eNOS G894T: kappa=0.03, P = 0.04); however, the correlation for eNOS G894T was poor. The correlation was not statistically significant in the control group (for VTE: kappa=0.001, P = 0.52; for eNOS G894T polymorphism: kappa=0.01, P = 0.10).

# 4. Discussion

This case-control family clustering study aimed to assess associations between thrombophilic subjects with rVTE and their first-degree relatives. First, we found that the risk of VTE in

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Genetic risk factors of probands and first-degree relatives.

	Definifition of abnormal	Case (n=126)	Control (n=126)	OR	Р	Case relatives (n=126)	Control relatives (n = 135)	Р
Protein C	Activity <70%	18 (14.3%)	2 (1.6%)	10.33 (2.34, 45.55)	0.002	8 (6.4%)	1 (0.74%)	0.03
Protein S	Activity <76%	20 (15.9%)	3 (2.4%)	7.74 (2.24, 26.76)	0.001	11 (8.7%)	2 (1.5%)	0.007
Antithrombin eNOS G894T	Activity <83% Heterozygote G/T	9 (7.1%) 26 (20.8%)	3 (2.4%) 13 (10.3%)	3.15 (0.83, 11.94) 2.26 (1.10, 4.63)	0.09 0.03	4 (3.1%) 14 (11 1%)	1 (0.74%) 6 (4.4%)	0.32 0.04

eNOS = endothelial nitric oxide synthase.

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Relationship of eN	OS G894T polymor	rphism in probands	and their offspring.
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	Number of subjects					
	Subjects with GG	Subjects with GT	Карра	Patients with VTE	Subjects without VTE	Карра
Case group						
Probands	100	26		126	0	
Offspring	112	14	0.03 (P=0.04)	5	289	0.23 (P<0.001)
Control group						
Probands	113	13		0	126	
Offspring	120	6	0.01 (P=0.10)	1	302	0.001 (P=0.52)

eNOS = endothelial nitric oxide synthase, VTE = venous thromboembolism.

the first-degree relatives of individuals with rVTE is 2.62-fold that in healthy controls (P < 0.001). This association is even more pronounced in siblings of subjects with rVTE (OR 2.72, P< 0.001). Most of the study subjects' parents had died; their histories were obtained from their relatives. A response of "I don't know" to a question about a parent's history was taken to indicate a negative VTE history; this may have contributed to the lack of statistical significance. Additionally, most offspring were too young to have developed VTE at the time of the study, which may also have contributed to the lack of statistical significance. Second, we demonstrated that the younger a case proband was at diagnosis, the higher the risk of VTE in their first-degree relatives. For each additional year of age of a proband, the OR was 0.98. These 2 factors would have affected the risk of VTE in first-degree relatives independently of the genetic factors in the case group. Third, the sex of a proband with rVTE also likely influences the risk for first-degree relatives, with the OR being 0.65 for female versus male for all subjects (borderline P value, P=0.051); this influence was clearly significant in the control group (OR 0.33, P=0.01). In our cohort, 43.5% of male subjects and 34.2% of female subjects had relatives with VTE, indicating the importance of sex in heritance of VTE. Fourth, the ability to predict occurrence of VTE in affected subjects' relatives is likely greater in those with the same genetic abnormality (eNOS G894T) as the corresponding probands. Fifth, there was a statistically significant association between occurrence of VTE and carrying eNOS G894T polymorphism in Chinese offspring and their parents in the case group.

Some studies of the association between family history and risk of VTE in relatives of patients have been published<sup>[14–17,21]</sup>; however, the patients in those studies had experienced only 1 episode of VTE, whereas our patients had rVTE, that is, thrombophilia. Additionally, we studied the influence of proband characteristics on their first-degree relatives rather than the effects of history of first-degree relatives on probands. The genetic factors for VTE vary among different regions and races.<sup>[5]</sup> We are not aware of previous studies that have explored the risk of VTE in first-degree relatives of Chinese subjects.

The strengths of our study include the case probands' history of rVTE and our assessment of genetic factors associated with VTE. We also demonstrated that family history is an independent risk factor for occurrence of VTE and could therefore be added to a prior VTE history to diagnose clinical thrombophilia that is not necessarily related to carrying a known inheritable risk factor.<sup>[15]</sup> Although antithrombin, protein C, and protein S<sup>[8,22,23]</sup> are important risk factors in Chinese patients, investigators have found no preponderant mutation.<sup>[24,25]</sup> Many mutations in the genes encoding these factors can cause deficiency; the activities of the corresponding proteins serve as surrogates for assessing genetic defects. Recent studies<sup>[26–28]</sup> have demonstrated the relationship of

eNOS G894T polymorphism with venous thrombosis, especially in Chinese subjects. We explored this genetic factor in patients with rVTE and their first-degree relatives.

Our study has several limitations. First, not all the interviews in the study were face to face; some were telephonic interviews. Because most parents had died, information about them was obtained only by asking their relatives. Second, the sample size was small, including only patients with records of rVTE. Some patients who had been treated as outpatients and not registered were not included in our study. The small sample size prevents drawing any firm conclusions, especially concerning the value of eNOS G894T polymorphism in predicting VTE risk among relatives of VTE patients: only 1 of 9 relatives with eNOS G894T polymorphism had a history of VTE. A larger sample may have resulted in more significantly statistical outcomes. Third, thrombosis is a multifactorial disease; the only heritable factors we evaluated were antithrombin, protein C, protein S, and eNOS G894T. Fourth, for the analysis of associations between offspring and parents, we did not collect blood samples from all offspring.

Our study has important clinical implications for relatives of individuals with rVTE. First, all such persons should inform their first-degree relatives, especially their siblings, that they have a higher risk than normal of developing thrombosis and should therefore get prophylaxis when in high-risk situations. Although we identified only a weak correlation with eNOS G894T, which demonstrates that VTE is a multifactorial disease involving several genetic risk factors, the association of VTE occurrence between parents and offspring was relatively strong. Second, genetic causes should be further studied; we have not yet identified the predominant factor associated with VTE. Relatives with the same genetic factors as their proband have a higher risk of developing VTE.

### 5. Conclusions

In conclusion, this study revealed a higher risk of VTE in firstdegree relatives of rVTE patients, especially in siblings of younger patients, and also found that eNOS G894T polymorphism may be a predictor of VTE in offspring of individuals with rVTE.

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