

**REVIEW** 

# What is the functional/organic distinction actually doing in psychiatry and neurology? [version 1; peer review: 3 approved]

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#### **Abstract**

The functional-organic distinction aims to distinguish symptoms, signs, and syndromes that can be explained by diagnosable biological changes, from those that cannot. The distinction is central to clinical practice and is a key organising principle in diagnostic systems. Following a pragmatist approach that examines meaning through use, we examine how the functional-organic distinction is deployed and conceptualised in psychiatry and neurology. We note that the conceptual scope of the terms 'functional' and 'organic' varies considerably by context. Techniques for differentially diagnosing 'functional' and 'organic' diverge in the strength of evidence they produce as a necessary function of the syndrome in question. Clinicians do not agree on the meaning of the terms and report using them strategically. The distinction often relies on an implied model of 'zero sum' causality and encourages classification of syndromes into discrete 'functional' and 'organic' versions. Although this clearly applies in some instances, this is often in contrast to our best scientific understanding of neuropsychiatric disorders as arising from a dynamic interaction between personal, social and neuropathological factors. We also note 'functional' and 'organic' have loaded social meanings, creating the potential for social disempowerment. Given this, we argue for a better understanding of how strategic simplification and complex scientific reality limit each other in neuropsychiatric thinking. We also note that the contribution of people who experience the interaction between 'functional' and 'organic' factors has rarely informed the validity of this distinction and the dilemmas arising from it, and we highlight this as a research priority.

#### **Keywords**

neuropsychiatry, neurology, psychiatry, functional, organic

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The functional-organic distinction attempts to differentiate symptoms, signs and syndromes that can be explained by diagnosable biological changes from those that cannot<sup>1</sup>. It has been a central conceptual tool used to categorise cause and organise diagnosis in neuroscientific medicine<sup>2,3</sup>. It is cited as one of the main distinguishing characteristics of patients seen by, and referred to, psychiatrists and neurologists<sup>4</sup>. It remains one of the central organising principles in current diagnostic systems, despite efforts to deemphasise the distinction in recent years<sup>5</sup>.

The distinction has long been derided. In his landmark textbook of neurology, Wilson (1940)<sup>6</sup> wrote that the functional-organic distinction "lingers at the bedside and in medical literature, though it is transparently false and has been abandoned long since by all contemplative minds". More recently it has been criticised for maintaining an artificial distinction between psychiatry and neurology<sup>7</sup>, promoting naïve dualism in neuroscientific medicine<sup>1</sup>, promoting diagnostic incoherence<sup>8</sup>, and encouraging the continued stigmatisation of mental health problems<sup>9</sup>.

Although there has been much discussion of the required conceptual basis of the functional-organic distinction, much less has been written on how it is actually used in practice. Following a pragmatist approach to conceptual analysis in psychology and medicine<sup>10,11</sup>, we examine how the functional-organic distinction has been, and is, used in medical classification, by clinicians, and in research. We use this analysis to highlight inconsistencies and contradiction. We go on to illustrate the many roles the functional-organic distinction attempts to fulfil, and then suggest how future research programmes could address some of the practical and conceptual shortcomings we identify.

Historical shifts in the meaning of 'functional' and 'organic' Historically, the categories 'functional' and 'organic' have not retained a consistent meaning, scope, or relationship to diagnostic categorisation<sup>2,3,12</sup>. 'Madness' has been considered primarily 'organic' or primarily 'functional' at different times or by different classification schemes, regardless of neurological findings<sup>13,14</sup>. Brain pathologies without structural lesions (such as seizures) have been included in both 'functional' and 'organic' categories<sup>2</sup>. More recently, neuropsychiatric disorders have been interpreted in the light of cognitive science, suggesting that syndromes could be explained by impairment to distinct levels of function – either information processing (functional) or implementation (organic)<sup>1,15,16</sup>.

Diagnostically, 'organic' has been used to label a specific syndrome of cognitive disturbance that explicitly excludes certain neurological disorders (as in the DSM-II diagnosis of "organic brain syndrome") or categories of psychiatric syndromes akin to 'functional' diagnoses but accompanied by diagnosable neuropathology (e.g. "organic psychosis"). More recent diagnostic manuals have attempted to de-emphasise the functional-organic distinction although the changes are mostly cosmetic – by altering the terminology used to refer to 'organic' and changing how diagnoses are grouped. Psychiatric syndromes are now more commonly labelled as "secondary" to "disorders or diseases classified elsewhere" or

"due to another medical condition" rather than 'organic' in both the DSM-5 and ICD although the implications are virtually identical.

#### Inconsistencies in the conceptual scope of the functionalorganic distinction

Although the functional-organic distinction is often cited as a tool used to differentially diagnose 'organic' from 'non-organic' disorders<sup>17</sup>, the terms 'functional' and 'organic' are clearly deployed in ways that indicate more complex scope when used in practice.

'Functional' is often used to indicate that there is no diagnosable pathophysiology sufficient to account for the aetiology of the symptoms – as implied by the use of the term 'functional psychiatric disorder'. However, this applies to some diagnoses and not others, despite them being identical in this regard. For example, discussion of 'functional psychosis' and 'functional depression' but not 'functional autism' or 'functional Tourette syndrome'.

Indeed, tic disorders are diagnosed solely on behavioural characteristics, and, in fact, specifically require the exclusion of "underlying neurological disorder" (e.g. F95 Tic disorders, ICD-10) and so might be considered 'functional'. However, 'functional' or 'psychogenic' tics are considered to be a distinct category from tics diagnosed using tic disorder criteria which are considered 'organic' 20,21. This is also despite the existence of tic disorders that are attributed to the direct effects of neurological disorders such as traumatic brain injury<sup>22,23</sup> and stroke<sup>24,25</sup>. As currently used, 'organic' tic disorder refers to the diagnosis established through the orthodox diagnostic criteria that excludes neurological damage, but also refers to tic disorder after acquired brain injury, while 'functional' refers to tic disorder without neurological damage but with atypical presentation and 'psychological' causation. Here, the conceptualisation of 'organic' in tic disorders covers what would otherwise be considered 'functional' in other disorders.

One important use of 'functional' is to categorise disorders that appear 'organic' but aren't<sup>26</sup>. For example, 'functional neurological disorders' are disorders that present similarly to neurological disorders but without evidence for impaired neurophysiology in the individual patient that would explain the disability, indicating their aetiology is 'not organic'<sup>27</sup>. However, the use of 'functional' more broadly to signal 'not organic' may solely refer to diagnosable damage to the nervous system, or may also include disorders that include damage to other bodily systems. For example, the 'functional erectile dysfunction' indicates an erectile problem in the absence of neurological or vascular impairment<sup>28</sup>. Here, both uses of 'functional' imply 'not organic' but the scope to which 'organic' refers, differs.

With the rise of 'functional neurological disorder' as the preferred terminology for conditions previously labelled 'hysteria' or 'psychogenic'<sup>29</sup>, authors have been increasingly careful to distinguish between functional disorders, malingering and other forms of illness deception<sup>30</sup>. Nevertheless, disability that presents like neurological disorder but arises without diagnosable damage to the nervous system and is not under voluntary control ('functional neurological disorder'), is still often grouped together with the faking of symptoms under the banner of 'functional' syndrome or disorder<sup>31–33</sup>. Here, problems of markedly different causation, and indeed, a markedly different nature, are equally referred to as 'functional'.

These cases illustrate that 'functional' and 'organic' are often used to indicate 'not the other', although the scope of the 'other' varies greatly depending on the context of use.

### Varying relationship to diagnostic practices that establish causality

The distinction between 'functional' and 'organic' is often treated as if the distinction is self-evident within diagnostic systems and is used as an unambiguous exclusion criteria in research ("Patients were excluded if they had an organic disorder") and a maxim in clinical practice ("Always exclude organic causes of psychiatric symptoms")<sup>34</sup>. In practice, however, this process can be far more complex, and far more uncertain, than such statements would suggest.

David<sup>17</sup> has noted that "it is clear that the line of demarcation between organic and non-organic psychiatric disorders is not hard and fast, and in a substantial number of cases there can be continuing uncertainty" although stresses that this is not an excuse to abandon "very real distinctions between classes of disorder". Importantly, we are not arguing here for abandoning the functional-organic distinction as entirely incoherent or futile. Indeed, there are clearly problems that unambiguously arise as a result of diagnosable biological changes, and clearly those that arise without. Nevertheless, ambiguity is probably the rule rather than exception in many practical instances of differential diagnosis.

One of the central tasks in making this distinction is attributing causality. Even when disturbed physiology is identified, clinicians then need to confidently identify it as the cause of the relevant signs or symptoms. Lishman's criteria<sup>17</sup> suggests that organic disorders are diagnosed on the basis of "a high probability that appropriate examination and investigation will uncover some cerebral or systemic pathology responsible for, or contributing to, the mental condition". What counts as "high probability" here remains undefined and, often, largely unexamined. In fact, the extent to which diagnosable biological changes need to be established, or causality can be confidently attributed, varies significantly between disorders as an inherent consequence of their diagnostic criteria and the investigative methods that become relevant because of them.

Delirium, a confusional state involving disturbances to cognition, behaviour and emotion, has a varying relationship to diagnosed physiological change in diagnostic manuals. In the ICD-10, "F05 Delirium, not induced by alcohol and other psychoactive substances" is an organic disorder but requires no physiological findings for confirmation. If someone fulfils the criteria for delirium ("disturbances of consciousness

and attention, perception, thinking, memory, psychomotor behaviour, emotion, and the sleep-wake schedule") they have an organic disorder by definition. The DSM-5 definition of delirium lists similar symptoms but includes the specifier that "There is evidence from the history, physical examination, or laboratory findings that the disturbance is a direct physiological consequence of another medical condition" although does not state how to establish what counts as a "direct physiological consequence". Diagnostically, delirium is defined in a way that implies its organic nature from its presentation to the point where, in one definition, no further investigation is necessary and in another, simply stating it should be a 'physiological consequence' is sufficient, despite the fact that the causes of delirium are typically nonspecific and multifactorial<sup>35</sup>.

In some cases, an organic basis for a disorder can be established through a hypothetico-deductive approach. For example, a patient presenting with symptoms fulfilling a DSM-5 diagnosis of panic disorder and hypercalcemia may suggest the hypothesis that the anxiety symptoms are primarily caused by hyperparathyroidism, which can cause a disturbance in blood calcium levels and increase anxiety. If the anxiety symptoms resolve or reduce after high calcium levels are treated, a diagnosis of an organic anxiety syndrome is recommended<sup>36</sup>. Here a diagnosis is based on a mechanistic understanding of the pathophysiology, and an interventionist approach to hypothesis testing.

Other forms of 'organic' aetiology are established through apparent temporal relationship between the incident disturbance of the nervous system and the onset of psychiatric symptoms. Indeed, the psychoses of epilepsy are primarily diagnosed based on their temporal relationship to seizure events<sup>37</sup> and substance-induced psychosis is primarily diagnosed based on its temporal relationship to drug use<sup>38</sup>. However, the extent to which the timing of these events can be confidently established is likely to vary due to the reliability of the informants, and the difficulty with judging the onset of psychosis itself, potentially leading to a significant role of informed speculation in the diagnostic process to help account for uncertainty.

In contrast, some organic disorders are diagnosed on a more general process of inductive inference. As Gagnon et al.39 note, socially challenging or inappropriate behaviour is often diagnosed as organic personality disorder following a brain lesion without establishing that the particular lesion is causally responsible for the change or that personality difficulties were not present before the brain injury occurred. Evidence suggests that personality change can occur regardless of lesion location although personality change is more common in those with pre-frontal cortex lesions<sup>40</sup>. However, the process of attributing the cause to a specific lesion, rendering it 'organic personality change', is under-determined by the presence of a lesion itself. This is particularly in light of the wide range of biopsychosocial factors that can lead to personality change after the experience of brain injury<sup>41</sup>. In law, the process of attributing cause is conceptualised as the 'but for test' where causation is granted where the outcome would not occur 'but for' the injury,

although even with this depth of examination, considerable ambiguity can remain<sup>42</sup>. Hence, a diagnosis of 'organic personality change' requires a separation of 'organic' causes from 'psychological' ones, before ordering them into a hierarchy of likely importance which can only be made on a 'most likely' basis.

#### Inconsistent use and interpretation in clinical practice

Considering there are no accepted criteria for distinguishing 'functional' from 'organic' problems across diagnoses, nor are there reliable concepts to which the terms apply across all use cases, one question is how clinicians understand the terms and concepts they regularly use. Given the importance of the functional-organic distinction for diagnosis and the prioritisation of treatment, it is perhaps surprising this has not been researched more widely. However, some existing studies have examined the question.

A mixed-methods study by Kanaan *et al.*<sup>26</sup> asked neurologists what they understood by the term 'functional'. Survey options included "Abnormal brain function", "Abnormal body function", "Psychiatric problem", and "Not organic". The results are reproduced in Table 1 but notably all options were considered to be valid meanings of 'functional' by at least 20% of respondents with "not organic" being the most frequently chosen with many respondents choosing several meanings.

An earlier study by Kanaan *et al.*<sup>43</sup> conducted in-depth interviews with consultant neurologists about how they understood conversion disorder – perhaps the paradigmatic functional disorder for neurologists. They endorsed psychological models of causation but didn't feel that it was their role to derive a psychological explanation and didn't clearly distinguish involuntary symptoms from deliberately feigning and deception under this definition.

A survey by Mace and Trimble<sup>44</sup> asked 168 British neurologists which terminology they preferred for syndromes that lack a physical explanation for the symptoms and also included a question on which syndromes should be classified as 'functional'. The top three responses covered a remarkably wide range and included "pseudoseizures" (68%) – episodes that typically resemble tonic-clonic seizures but without accompanying seizure activity in the brain, "anxiety neurosis"

(62%) – psychiatric disorders of disabling anxiety, and "Munchausen's syndrome" (61%) – a form of illness deception involving the conscious presentation of sham symptoms.

A survey of 391 Canadian psychiatrists and psychiatric residents by Benrimoh *et al.*<sup>45</sup> asked respondents to give opinions on the use of the phrase "organic causes" in their clinical work, and in psychiatry more generally. Over half of respondents (55.9%) reported they used the phrase regularly. There was considerable variation in whether the phrase was considered stigmatising, implied dualism, or led to unhelpful treatment by the medical system. Indeed, while almost 56% of psychiatrists reported using it regularly, far fewer (just under 30%) thought its use was appropriate. Many reported using it due to its assumed pragmatic function within the healthcare system, assuming, for example, that other clinicians would dismiss psychiatric patients' reports of physical health symptoms unless they communicated 'organic' causation on the patients' behalf.

Although small in number, these studies suggest that clinicians do not have a clear or consistent conceptual basis when interpreting or deploying the terms 'functional' and 'organic', despite using them frequently.

#### Cultural perceptions and political uses

The functional-organic distinction has an important political dimension as attributing causes at the level of mind and body, to give a typical lay reading, or to 'functional' or 'organic', in its broader and more complex bio-medical application, imply very different things about the patient's autonomy, responsibility and deservedness with 'organic' disorders seen as more deserving of care and individuals less responsible for their predicament<sup>46,47</sup>. The distinction also affects the prestige of the illness, with 'organic' disorders considered more prestigious than 'functional' disorders by both professionals and the public<sup>48,49</sup> and with the prestige conferred on relevant medical specialities tending to reflect this same hierarchy<sup>50</sup>.

The functional-organic distinction is also a basis for challenging medical authority. Challenges to the legitimacy of psychiatry have frequently suggested that valid medical specialities are necessarily identified by their focus on 'organic' conditions<sup>51,52</sup> with some authors explicitly adopting the functional-organic distinction to argue against the legitimacy of psychiatric practice in

**Table 1. Responses to survey question by neurologists on the meaning of 'functional' from Kanaan et al.**<sup>26</sup>**.** This table is reproduced under the terms of the Creative Common Attribution Non-commercial 2.0 (CC BY-NC 2.0) license.

Selection	Proportion (%) choosing the selection at all	Proportion (%) of those choosing only that selection
Abnormal brain function	127/349 (36%)	45/127 (35%)
Abnormal body function	77/349 (22%)	17/77 (22%)
Psychiatric problem	104/349 (30%)	29/104 (28%)
Not organic	216/349 (62%)	128/216 (59%)

the domain of 'functional' diagnoses<sup>53,54</sup> although seemingly without a critical insight into the difficulties inherent in this distinction itself. Similarly, most debates over the legitimacy of syndromes included under the broad category of 'medically unexplained symptoms' tend to involve grassroots patient pressure to accept a largely or solely 'organic' explanation for the symptoms<sup>55</sup>. Examples where patients lobby for non-organic explanations of controversial syndromes are far harder to come by.

#### **Implications**

# The functional-organic distinction is unhelpfully linear and unhelpfully static

One of the notable things about the functional-organic distinction is its implied commitment to 'zero sum' causality¹. 'Functional' and 'organic' aetiology is conceived as if attributing more 'organic' causality necessarily implies the attribution of less 'functional' causality. This is apparent in the concept of "functional overlay"<sup>56,57</sup> where a certain proportion of the total presentation is attributed to either 'organic' or 'functional' aetiology, and the wide-ranging discussion of differential diagnosis between syndromes apparently on either side of the aetiological distinction<sup>58–60</sup>. These diagnostic categories imply syndromes exist in "purely functional" or "purely organic" equivalents, presumably representing the far ends of a functional-organic spectrum.

This conceptualisation largely rejects a dynamic relationship between neurological disorder, experience, behaviour, and context. This is despite the fact that the interaction between neurocognitive capacity, perception, affect, action, and context is perhaps one of the central assumptions of the neurocognitive sciences of the central assumptions of the neurocognitive sciences of the considering the range of difficulties likely to be analysed in terms of potential 'functional' and 'organic' components. While functions like memory may provide a relatively straightforward case (and are clearly complicated enough as they are), emotional responses and related psychiatric disorders become more complex still.

Taking depression as an example, it is very likely that the risk of depression after stroke is raised by damage to brain circuits involved in the control of emotion<sup>66</sup> and although there may be instances of post-stroke depression which are almost entirely accounted for these brain changes, the causal factors for the majority of patients are likely to include a dynamic interaction between personal, social and neuropathological factors<sup>67–69</sup>. Here, it is clear there is a marked disconnect between the best available science on the causes of depression after neurological disorder and the extent to which the functional-organic distinction can encapsulate these complex causal pathways, either through formal diagnoses or as a way of 'apportioning causality'.

## Current diagnostic technology defines the limits of the functional-organic distinction

Another limitation of the functional-organic distinction is its reliance on clinical diagnostic technology as an arbiter of what is considered 'organic'. Consequently, its limits lie within the extent to which this technology can detect neuropathology on an individual basis, rather than the best available science on likely causation.

In several instances, we know that damage to the nervous system is a major contributor to causality but because clinical diagnosis is unable to measure its presence, the relevant syndromes are rarely considered 'organic'. For example, Haag et al.'s70 review of brain injury in women subject to intimate partner violence report a prevalence of between 19% and 75% - most commonly in the form of mild traumatic brain injury where no changes can be detected on diagnostic neuroimaging. However, brain changes can be detected in group studies as altered cognition and disturbed functional connectivity<sup>71</sup>. More generally, the neuropathological contributions to mild traumatic brain injury have been well-established72 and the increased risk of mental health problems confidently identified<sup>73</sup>. Nevertheless, the mental health consequences of intimate partner violence are almost always conceptualised in terms of social and emotional causality, with no mention of brain injury<sup>74,75</sup>. Importantly, this is not simply a matter for researchers and the development of better theoretical models. A clinician who is presented with someone who has mental health problems for which mild traumatic brain injury has been a significant causal factor will be unable to confidentially establish any 'organic' changes through neurological examination because such damage does not lead to neuropathology than can be currently detected on an individual basis<sup>76</sup>.

It is also worth noting the reverse scenario, where clinical diagnostics regularly result in evidence for neuropathology that is often dismissed as aetiologically irrelevant despite good evidence that it is a risk factor for poor functioning and poor mental health. For example, clinically abnormal computed tomography (CT) or magnetic resonance imagining (MRI) findings are present in high proportions of individuals with first episode psychosis (64.2%<sup>77</sup>; 17.6%<sup>78</sup>; 19.2%<sup>79</sup>). The vast majority of these findings are small but detectable pathologies, typically white matter hyperintensities, that are frequently dismissed as 'not clinically relevant'. This is despite the fact that exactly these changes have been found to predict mental health problems, poor outcome for mental health problems, poor outcome for mental health problems<sup>80–83</sup> and poor cognition<sup>84</sup> in otherwise neurologically unaffected adults across the lifespan.

We note psychosis is typically considered a 'functional' disorder, a framing which we speculate might at least partly account for why such clinical neurological findings are more likely to be dismissed in terms of explaining causality. But we also note the criterion for which abnormal findings were considered 'organic' and aetiologically relevant to psychosis in these studies<sup>77–79</sup>, namely that they were of a nature that 'changed clinical management' – presumably leading to a referral to neurologists for additional treatment. Here, 'organic' is not signifying the best evidence for likely causality but indicating a need to change clinical management.

#### Social power in definition and application

Epistemic and testimonial injustice refers to the situation where a person's testimony and the credibility of their claims are questioned on the basis of negative stereotypes<sup>85</sup>. Kidd and Carel<sup>86</sup> have cited ill persons as particularly likely to be subjective to testimonial injustice, due to wide-ranging stereotypes about the effect of pathologies on individuals. Neurological disorders can obviously affect the accuracy of someone's testimony (for example, through memory deficits). However, as Kidd and Carel note, this does not change the fact that people with neurological disorders may still be subject to unjustified dismissals of valid concerns based on inaccurate ideas about personal unreliability.

We note here the significant potential for epistemic injustice given common stereotypes about 'functional' and 'organic' illnesses in terms of autonomy, responsibility and deservedness<sup>46</sup>. Research on carer and professional perceptions of 'challenging' behaviour in survivors of brain injury show clear evidence for the active construction of the causes of behaviour87,88. Here, the extent to which the person's troubling behaviour is given a 'brain injury' or 'intentional' explanation depends heavily on the motivations of the individual doing the interpretation. Huet et al.89 reported exactly this process of active interpretation by health professionals who tended to reframe aggressive and angry behaviour as involuntary, thereby maintaining a 'good person' understanding of the patient. However, this interpretation also has the potential to erase any valid frustrations or concerns that may have motivated the behaviour and renders the individual socially inert.

Although not widely researched, we note that the concept of 'inappropriate' or 'challenging' behaviour relies heavily on social and cultural norms and has the potential to raise important ethical issues. Cases of changes in sexual preference and sexual orientation after brain injury have been interpreted in terms of pathological alteration to the brain circuits mediating sexual preference<sup>90</sup>. But it is also possible that the brain injury altered the capacity to strategically inhibit pre-existing desires, or that the change was a conscious decision after an important life event, although these latter interpretations require a starker form of social attribution that may involve re-evaluating, rightly or wrongly, the person in question, depending on others' approval of their new behaviour. We note that changes subject to fewer prejudices and typically seen in more benign light, such as a sudden interest in producing art after brain injury, are usually explained in terms of 'disinhibition' 91,92 which has the function of attributing the new socially acceptable activity to the 'self' rather than to pathology, which has simply 'released' it.

Common to these accounts is that the testimony of patients features little in the explanation of the behaviour and we suggest that this situation occurs frequently in the process of providing both clinical accounts and scientific explanations. Furthermore, we also note that the testimony of people affected by neurological and neuropsychiatric conditions is almost entirely absent from the scientific and clinical debates

that have formed the conceptual basis of the functional-organic distinction. Here, we argue that inclusion of first-person perspectives is essential to inform several important areas of practice and scientific understanding.

Firstly, it would inform clinical work in terms of better understanding the process of being subject to the functional-organic distinction, how it is perceived, experienced and understood by patients. Secondly, in terms of scientific understanding, it would provide a phenomenology of experience to better understand the interaction between, for example, injury and autonomy. These approaches are now commonplace in psychiatry, where understanding experience is considered to be a central component in advancing the development and delivery of health care systems<sup>93</sup> and where understanding subjective experience informs neuropsychological theories of causation94. Although some studies have been conducted on the experience of health care, as far as we know, no research has ever been conducted with, for example, survivors of brain injury that aims to inform the science of how neurological-level and personal-level processes interact.

It is also the case that the priorities of people who use healthcare systems may differ markedly from the priorities of healthcare systems themselves. Similarly, the research priorities of researchers and patients have been found to differ substantially<sup>95</sup>. We note here that the functional-organic distinction is a conceptual tool developed by medicine to try and solve a particular set of problems, but one important focus of research should be to investigate how well these problems actually map onto the priorities of those seeking help.

#### **Conclusions**

Before tackling the question of what the functional-organic distinction is doing in psychiatry and neurology, it is perhaps worth noting what it is not doing. It is not reliably distinguishing between aetiology at different levels (physiological, psychological etc) across contexts. Indeed, the extent to which it can reliably distinguish between types of causes for particular signs, symptoms and syndromes seems to differ depending on the signs, symptoms and syndromes being assessed. In some cases, conceptual inconsistencies and difficulties with practical diagnosis render this an ambition rather than a reliable outcome, partly due to the multiplicity of meanings represented by the terms themselves. Rather than a general distinction, it is more akin to various local distinctions, each defined and limited by context.

Importantly, it seems that one of the major functions of this distinction is to provide a justification and language to allow clinicians to prioritise healthcare interventions. Indeed, considering the complex nature of neuropsychiatric disorders where causes are likely dynamic, reciprocal and span levels of explanation, the functional-organic distinction often seems like a tool that helps determine treatment priority dressed up in the language of causation. To reiterate, it is clear that there are syndromes almost entirely accounted for by diagnosable pathophysiological changes, and those that are not, but most

neuropsychiatric disorders are not at these extremes, and are caused by multiple interacting factors.

Rhetorically, however, talk of 'organic' causation retains a caché, influence and credibility that 'functional' causation does not, and it is clear that this rhetoric is used strategically by health-care professionals to work within healthcare systems – mostly, it must be said, in good faith attempts to provide effective care. Nevertheless, the extent to which the strategic simplification and complex scientific reality influence and limit each other should be more widely investigated.

Perhaps most striking is the fact that these debates almost entirely exclude the priorities and experiences of those most affected by them – namely patients with challenges of mind, brain, emotion, behaviour, and society, whose difficulties are interpreted in terms of 'functional' and 'organic' components. We highlight how the inclusion of these perspectives are likely to be essential for better science and better healthcare in this area.

#### **Data availability**

#### Underlying data

No data are associated with this article.

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### **Open Peer Review**

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#### Ana Gómez-Carrillo (ii)



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This incisive narrative review by Bell et al. provides an overview of the tensions – representatively captured in ongoing use of the organic/functional distinction — that likely divide(d) and continue to plague the fields of psychiatry and neurology.

The authors do an excellent job at reviewing a complex issue with a broad scope and bring imperative concerns to bear that research and practice must re-visit. In so doing it raises more questions than it answers. While serving to illustrate the complex scope of the distinction in practice the pragmatist approach falls short of considering deeper and earlier roots of the distinction further afield.

The review is timely in that it points out how clinical practice is stuck in paradigms that have not caught up with more contemporary theories in cognitive sciences central to psychiatry and neurology. Although hinted at in the abstract and in the section on implications ("the dynamic relationship between cognitive capacity, affect, perception, action and context") the pragmatist approach taken leaves this divide between research and practice insufficiently addressed – as such this important discussion feels slightly stuck, seemingly engaging with older conceptions and less so with more contemporary ones. Perhaps this is simply a reflection of the slow uptake and resistance to change that characterizes clinical practice which is what the authors chose to focus on here.

By exposing the inconsistencies and contradictions of the uses of the f/o distinction the authors clearly question the usefulness and validity of this distinction and expose the dichotomies that it perpetuates. Beyond what the distinction is or is not doing - is the question of why it is still there (conceptually and causally)? There seems to be some value to this distinction, some functions or needs that it fulfills which apparently outweigh its conceptual (and pragmatic) incoherencies. In referring to Wilson's quote on whether more contemporary understandings of the brain have perhaps left this distinction irrelevant the authors approach this issue but take it no further. A more explicit positioning or discussion on whether and, if so, how more contemporary theories may or may not be an alternative would strengthen the manuscript.

In what follows I share some suggestions that may help add clarity in structure and argumentation as well as reflections to further this discussion:

- When reviewing historical shifts in the meaning of f/o, briefly linking the distinction to its conceptual origins would help untangle and frame some of the contradictions the authors eloquently exemplify. I was surprised to find no reference to the psychodynamic literature that largely shaped the use of "functional" as it relates to conversion disorders and variations thereof, including historical links to the polymorphous concept of hysteria. Aware that the literature on this is extensive and controversial as well as perhaps not central to this review much of the uses of the 'functional' classifier across disciplines rely explicit or implicitly on psychodynamic theories.
- Also, briefly characterizing 'dualism' in a bit more detail and how it relates to the f/o distinction would serve to set the stage for the examples and analysis that follow e.g. substance dualism vs epistemic dualism or how the distinction relates to amoral naturalistic and moral super-naturalistic domains.
- As the authors note, the use of this distinction varies significantly by context and although they provide a thorough overview of how the f/o distinction is used across contexts attempting to review its use in both psychiatry and neurology and research and practice at once poses a challenge. At times this comes at the cost of glossing over more necessary differentiations and risks producing an overview that is more complex than the use of this distinction already is. A clearer picture may emerge if a more systematic approach based on when, where and by whom the distinction is being applied structured the examples provided.
- For example, psychosis is noted to be typically considered functional from whose perspective? Interestingly, while from a neurologist perspective the f/o distinction may draw the boundaries of neurology and psychiatry, artificially or not, mainstream psychiatry currently continues to prioritize organic etiological understandings for its 'classic' diagnoses despite lacking the necessary evidence for now.
- Indeed, the organic-functional distinction is intimately related to and perhaps even sustained by issues that underlie the stigma of mental disorders. But does the stigmatization stem from this distinction—or does this distinction merely reinforce it? Stigma and the f/o distinction share origins and possibly persist in one form or another globally because of an implicit ontology that emerges from a residual form of mind-body dualism. If so is it a matter of ridding ourselves from this distinction?
- Regarding the inconsistent use of the f/o distinction, differentiating between the use of this terminology formally and its use as part of medical jargon across medical specialties (beyond its use for 'turfing') would lead to a clearer picture in the discussion and the examples provided. The authors seem to allude to this in saying that clinicians use the distinction strategically. But even the use of this distinction in medical jargon varies significantly across specialties, their agenda's and who is being communicated with so while generalizing allows to make the point, it may fail to capture the nuance needed to advance this analysis. Functional is used in some contexts as a pseudo-euphemism for psychosomatic (as if to avoid stigma related reactions), others use the terms interchangeably and some make a distinction. The authors may consider thinking one of the examples of the f/o distinction in detail through to the end including the multiple explanatory models and tensions it captures as well as the specific clinical niche and cultural practice it originates in. This would expose the potential imperatives that lead to the use of this distinction as well as the pitfalls. In the example of delirium, despite its multifactorial etiology, it is a clinical imperative for clinicians to consider delirium a consequence of an underlying medical disorder or physiological imbalance why is this so? What would be the consequences of not making the

- distinction here? The example serves to convey the complexity of this distinction however a straightforward pragmatic clinical predicament underlies the issue here. The examples provided in the manuscript are very good and I am not suggesting others should necessarily be added.
- While in some cases the uses of the f/o distinction in multifactorial pathologies relate more to clinical management of ambiguity and uncertainty e.g. delirium requiring a binary clinical decision tree, other uses are better explained by the forms of ambiguity and uncertainty that underlie cultural ascriptions and understandings of agency, moral and responsibility e.g. organic personality change. It is always some degree of both. The authors rightly highlight how the terminology fails to cover the pragmatic and philosophical function of this use but no alternative is discussed. The extent to which the tensions uncovered are bound to management of ambiguity and uncertainty in both clinical practice and a person's illness experiences remains to be discussed and the insights of people who experience the interaction between function and organic will be of great value here. Ambiguity lends itself to be exploited to project one's own intentions, motivations, understandings, models and needs. The ambiguity will likely remain and be shaped by individuals' needs and perspectives again and again. Overcoming these tensions will require better training in and integration of complex thinking on one level, and alternate ways to reduce complexity to the binary choices that permit action on another. This is easier in theory and discourse but harder when faced with the pragmatic reality of life, clinical decision making, insurance claims or court rooms...

This is a worthy discussion with impact for people who experience the interaction between function and organic and clinicians alike. The points above may help look beyond the conundrum of this distinction to see if the issues that are linked to it are deeper rooted and prone to prevail until a more widespread social paradigm change is achieved, independently of changes to nomenclature or advances in theoretical understandings. Can the f/o distinction be viewed as other than two complementary aspects of illness and disease in line with Bohr's notion of complementarity? The contribution of people who experience the interaction between function and organic will be central here – more than to clarify its use or validity, to unpack the origin of the problems this distinction has tried to solve and help advance better alternatives. I look forward to more work on this topic by the group and to the conclusions they may reach.

Is the topic of the review discussed comprehensively in the context of the current literature? Partly

Are all factual statements correct and adequately supported by citations?

Is the review written in accessible language?

Are the conclusions drawn appropriate in the context of the current research literature? Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: transcultural psychiatry, philosophy and psychiatry, mood disorders

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Reviewer Report 06 July 2020

Yes

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This is a necessary scientific essay dealing with the conceptual duality between "the organic" and "the functional" as analitic categories in clinical practice. Opening review and the definitions provided by the authors are useful and I would not recommend further bibliography regarding this part. Also, the critique is well developed, although I think the authors should discuss the alternatives to this conceptual duality in clinical practice. A few notes:

- 1. The paragraphs dedicated to Historical shifts in the meaning of 'functional' and 'organic' are fine and I have no further comments.
- 2. Regarding the paragraphs dedicated to *Inconsistencies in the conceptual scope of the* functional-organic distinction and Varying relationship to diagnostic practices that establish causality: I agree with the authors' discussion, although some other clinical problems merit consideration:
  - 1. First, the case of migraine and other disorders which are considered "neurological" and are attended by neurologists, although the clinical diagnosis is not based in biological markers; the scientific epistemology and the clinical approach to patients with migraine is similar to the epistemology/approach which is used in psychiatric patients (a diagnosis based in symptoms by means of clinical interviews, etc). However, this clinical problems are not grouped traditionally with the "functional" disorders nor are dismissed as "psychological".
  - 2. Second: Parkinson's Disease, among other neurological diagnoses, is interesting also for the discussion, because in clinical practice, rarely the diagnosis is based in technological procedures. Some difficult cases may be solved with support of PET, but the significant majority of cases are diagnosed by means of the identification of a clinical phenotype which is captured by means of the highly trained visual and tactile abilities of the clinician, and some times with support of clinical scales and pharmacologic trials (UPDRS, I-dopa test). Although the neuropathology of Parkinson's Disease is well stablished, the recognition of the disease in clinical practice is based in most cases purely by clinical skills, and other resources (brain imaging, etc) are used to rule out other etiologies which may produce similar phenotypes. Certainly, Parkinson's Disease is considered organic. Clinicians may use similar approaches in field of dementias, although in this case the technological devices have a more prominent role. In our center, MRI and PET scans are quite relevant in the diagnosis of Alzheimer's Disease, Frontotemporal Dementia and so on, but those diagnosis are also made with less emphasis in technology in different settings, and the logic of this issue is the same: the recognition of the clinical phenotype is enough to classify the patient as having an "organic" disorder. The authors pose a similar problem regarding the "delirium" diagnosis.

- 3. I include this discussion related to migraine, Parkinson's Disease and the Dementias as it serves me to pose some general questions, although I don't think these diagnoses should be necessarily included in the manuscript.
- 3. The paragraphs related to *Inconsistent use and interpretation in clinical practice* and *Cultural perceptions and political uses* are fine from my perspective.
- 4. Regarding the *Implications* section, I only have two comments:
  - 1. I totally agree with the paragraphs related to Social power in definition and application, but it is not clear to me how this is particularly relevant to the discussion between "Organic vs Functional". Or is it a general issue related to psychiatric stigma?
  - 2. I totally agree that the utility and the scientific value of the "Organic vs Functional" distinction is limited, to say the least. However, I wonder if the authors can discuss more the alternatives. In the Perminder Sachdev paper, some of the alternative terms and views are discussed, including concepts as "primary" "secondary" "symptomatic" "idiopathic". This terminologies, along with their conceptual implications, are relevant from my point of view to the discussion. Although I understand that the authors are not arguing simply for a change in terminologies and go on to discuss the validity of the dichotomic perspectives, it would be of great value if they can advance at some degree their alternative proposals. Perhaps this could be related to the following paragraph: "No research has ever been conducted with, for example, survivors of brain injury that aims to inform the science of how neurological-level and personal-level processes interact." The Sachdev paper discusses practical approaches beyond terminology that go to the attribution of causality.
  - 3. Perhaps a general discussion of what clinical-epidemiological sciences tell us of the attribution of causality in groups of patients is important, as a background reflection. Myself, I find a great approach in the Elwood's textbook: Critical Appraisal of Epidemiological Studies and Clinical Trials. However, a different question is how clinicians establish causality at the individual level? This question is quite relevant along the neurological-psychiatric spectrum of problems and is probably overlooked in the scientific & philosophic literature.

Is the topic of the review discussed comprehensively in the context of the current literature? Yes

Are all factual statements correct and adequately supported by citations?

Yes

Is the review written in accessible language?

Yes

Are the conclusions drawn appropriate in the context of the current research literature?

Yes

Competing Interests: No competing interests were disclosed.

**Reviewer Expertise:** Clinical neuropsychiatry

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Reviewer Report 25 June 2020

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Bell et al's paper is a useful and thoughtful reappraisal of the widely used but under examined functional vs organic distinction in neurology and psychiatry. In particular, it draws attention to the incoherence of the distinction, which differs in content across its various applications and they illustrate the point with well observed examples such as differentiating between organic and functional tics.

Given the longstanding conceptual incoherence of the distinction - they approve Wilson's skewering of it in his 1940's textbook - why then does it endure in clinical practice and research? The evidence they cite addressing this is limited but telling. Neurologists are prone to equate functional with feigned or demarcating a psychological terrain not to be explored while psychiatrists employ organic when they fear their patient's symptoms will be ignored by medical colleagues. This functional - that word again! analysis seems to me to approach the nub of the issue and overlaps with but is not quite the same as the question of aetiology.

It is probably a reification of the dichotomy to believe that it does any real work differentiating biomedical from psychosocial causality and the biopsychosocial model can be applied to most conditions doctors treat. In clinical practice the terms are largely used where there is a dispute about which specialism should take the lead in the patient's care. What is being communicated when neurologists say 'functional' and psychiatrists invoke 'organic' is really one saying to the other, 'Over to you.' This can be read as a base abdication of clinical responsibility or an act of deference to the appropriate specialism, and in truth is probably a bit of both.

It has become a truism that organic conditions and their attendants are somehow superior in the hierarchy of disease and this narrative review falls prey to the same assumption. The organic/functional dichotomy maps neatly on to folk theorising about mental disorder; lay people quite naturally understand mental symptoms to be biologically or psychologically caused with the one negating the other<sup>1</sup>. However, the assumptions that flow from this turn out to be unreliable. Biological explanations are in fact more stigmatising and fatalistic than those that utilise psychological concepts<sup>2</sup>.

This tendency to dichotomise ought to be challenged though, as it does not conform to current scientific knowledge while fostering therapeutic nihilism, and this paper marks a significant attempt to do just that. It accords moves elsewhere in the literature. After facing some sustained criticism the concerns about vagueness<sup>3</sup> and incompatible levels<sup>4</sup> within the biopsychosocial model of disease are being addressed. Doctors must recognise and communicate aetiological complexity to protect themselves and their patients from falling into simplistic, but seemingly inherent, patterns of thought.

Yet I was left slightly confused as to whether the authors are rejecting the distinction outright, or not. And if so what would it be replaced with? I am in full agreement that it fails to carve nature at her joints aetiologically, but perhaps less convinced that this is its real purpose. The clinical use of 'functional' exposes biomedicine's abhorrence of the 'other' while 'organic' is psychiatry's codeword for medics to take the patient seriously. Sadly, it will take more than a new set of terms for that to change.

#### References

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Is the topic of the review discussed comprehensively in the context of the current literature? Yes

Are all factual statements correct and adequately supported by citations? Yes

Is the review written in accessible language?

Yes

Are the conclusions drawn appropriate in the context of the current research literature? Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Neuropsychiatry, Philosophy and psychiatry, Psychopathology

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

### Comments on this article



Author Response 07 Jul 2020

Sam Wilkinson, Exeter University, Exeter, UK

Thanks so much, Anneli, for your extremely helpful and insightful comments! I'll address them in order (NB. I don't presume to speak for my co-authors, so apologies to them if I say anything they'd disagree with)

1. What would a positive step forward look like?

I guess the options are: jettison or regiment. In other words, we should either stop using the terms altogether and replace them with terms that are more precise and carry less in the way of arbitrary historical baggage, or we can keep the terms but make sure that we are all using them in the most useful (and above all in the same) way. The latter would require us to come up with the "best" way of using them, and it's not clear who has a "claim" on the terminology, so I'd lean towards the former. Can the same things be achieved without the terms "functional" and "organic"? Almost certainly.

2. How does the organic/functional distinction map onto the brain disorder/mental disorder distinction?

Very good and complex question. (That requires a paper unto itself!) It's certainly not a straightforward alignment. I think mental/brain disorder (which gets institutionally codified as psychiatry/neurology) fits in with our (relatively culture-specific) thinking about what is part of "mind" and what isn't. Take two organic conditions. Person A has a brain injury and loses the ability to recognize faces – a neurological condition. Person B has a brain injury and has delusions of misidentification – a (neuro)psychiatric condition. Our ways of thinking encourage us to think of Person A's mind as somehow intact, but their engagement (interface) with the world has been impaired (compare blindness, deafness, walking on crutches). Person B's mind, in contrast, is deemed to have changed/been impaired. It is not their interface with the world that has changed. Their mind has, to some extent. Conditions with "functional" aetiology can likewise alter either mind or mind-world interface. So, although I'm too much of a pragmatist/constructivist at heart to think of the distinctions as fixed or objective/absolute, to the extent that we can sensibly talk about them, I'd say they are orthogonal. You can in principle get organic brain disorder, and organic mental disorder. You can also get functional mental disorder, but also functional brain (neurological) disorder. This latter category seems somewhat contradictory, but to me that reveals how different kinds of category can seem to clash when they actually don't. For example, blindness (or erectile dysfunction) with a "functional" aetiology is precisely that category of functional neurological rather than functional psychiatric. The mind-world interface is affected, not the mind itself (according to our culturally-specific way of thinking). (All of this is academic though, of course, since I'd prefer to just jettison the organic/functional distinction altogether!)

3. (Paraphrasing your point) Isn't the connection between organic disorder and lack of agency/responsibility far too strong, since this would imply that finding out more about any kinds of underlying brain causes for behaviour would undermine agency?

Really great point! In our paper we mainly sought to highlight these (highly questionable) connections. Clearly we need to think about good cases of rational/responsible agency as ground-able in physical stuff. In other words (as philosophers of action have long been aware) we need physicalist-friendly notions of rationality, responsibility, autonomy, control etc. This would mean that discovering "brain causes" might simply be looking "under the hood" of agency, not (necessarily) undermining it. This reminds me of the loose thinking coming out of the Libet studies in the 80's. "We found brain activation before our participants moved their arms! That means there's no free will!", "Well, the really surprising thing would have been to have found no activation at all! No-one is claiming human agency is magic!" The challenge is, starting from the truism that agency has to be grounded in the (spatiotemporally extended) physical, how is this achieved? What are the conditions of agency? When is it interfered with?

Competing Interests: No competing interests were disclosed.

Reader Comment 21 Jun 2020

#### Anneli Jefferson, Cardiff University, UK

This is a great paper, thanks! The authors do an excellent job at outlining the inconsistencies in usage – do they think that these can be resolved? What would a positive step forward look like? Is it possible to resolve the problem at the terminological level, given that it seems like functional can be opposed to structural (as in brain lesion versus difference in function) but there are also functional (metabolic) differences which seem clearly organic (as in the hypercalcemia example)?

There is some overlap with the mental disorder/brain disorder discussion (For example, Szasz is cited). How do the authors see the functional organic distinction aligning with that? Are brain disorders organic disorders?

In the section on social power and stereotyping the authors make the very interesting observation that brain trauma or other problems labelled as organic can lead to seeing people as less responsible and denying their agency. This sounds right and fits in with other evidence in this area. (For example 'by-passing' intuitions in the literature on free will, moral responsibility and neuroscience) The authors suggestion of paying more attention to first person accounts is excellent.

It seems to me that a further way one could try to break the strong association between organic disorders and lack of agency/responsibility would be by pointing out (to clinicians, but also to those affected) that part of the problem is that we are not good at switching levels of explanation and are tempted to see them as mutually exclusive. But thought through to the end, this would imply finding out more about any kinds of underlying brain causes for behaviour would undermine agency.

#### Copy-editing:

Sentence containing footnote 66 insert 'by' before 'these brain changes' in "there may be instances of post-stroke depression which are almost entirely accounted for these brain changes," Section Social Power in Definition and Application, 2<sup>nd</sup> sentence in the section: 'subjective' should be 'subjected'

Competing Interests: no competing interests