



# Prevalence and Molecular Characteristics of Carbapenemase-Producing *Enterobacteriaceae* From Five Hospitals in Korea

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**Background:** The emergence of carbapenemase-producing *Enterobacteriaceae* (CPE) represents a major clinical problem because these bacteria are resistant to most antibiotics. CPE remain relatively uncommon in Korea. We report the prevalence, clinical characteristics, and molecular epidemiology of CPE isolates collected from five university hospitals in Korea.

**Methods:** Between January and December 2015, 393 non-duplicated isolates that were nonsusceptible to ertapenem were analyzed. Production of carbapenemase, extended-spectrum  $\beta$ -lactamase, and AmpC  $\beta$ -lactamase was determined by genotypic tests. Antimicrobial susceptibility profiles were determined by using an Etest. Clonality of *Klebsiella pneumoniae* carbapenemase (KPC)-2-producing and oxacillinase (OXA)-232-producing *Klebsiella pneumoniae* isolates was determined by pulsed-field gel electrophoresis (PFGE).

**Results:** Of the 393 isolates tested, 79 (20.1%) were CPE. Of these 79 isolates, 47 (59.5%) harbored the *bla*<sub>OXA-232</sub> gene while the remaining isolates carried genes *bla*<sub>KPC-2</sub> (n=27), *bla*<sub>IMP-1</sub> (n=4), and *bla*<sub>NDM-1</sub> (n=1). Among the 24 KPC-2 *K. pneumoniae* isolates from hospital B, 100% were resistant to carbapenems, 8% to colistin, and 0% to tigecycline. Among the 45 OXA-232 *K. pneumoniae* at hospital C, 95% were resistant to ertapenem, 68% to imipenem, 95% to meropenem, 10% to colistin, and 24% to tigecycline. PFGE analysis revealed a unique pattern for KPC-2 *K. pneumoniae* and identified 30 isolates belonging to the dominant pulsotypes (PT)1 and PT2 among 41 OXA-232 *K. pneumoniae* isolates.

**Conclusions:** CPE strains are present in Korea, with the majority of *K. pneumoniae* isolates producing OXA-232 and KPC-2. The prevalence and predominant genotypes of CPE show hospital-specific differences.

**Key Words:** *Enterobacteriaceae*, *Klebsiella pneumoniae*, KPC-2, OXA-232, Korea

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## INTRODUCTION

The emergence of carbapenemase-producing *Enterobacteriaceae* (CPE) is a major clinical concern because these bacteria are

resistant to multiple classes of antibiotics, which can lead to therapeutic failure [1]. CPE produce enzymes that fall into three classes according to the Ambler classification: class A  $\beta$ -lactamases (*Klebsiella pneumoniae* carbapenemase [KPC]), class B metallo- $\beta$ -

lactamases (New Delhi metallo- $\beta$ -lactamase [NDM], imipenemase [IMP], and Verona integron-encoded metallo- $\beta$ -lactamase [VIM]), and class D  $\beta$ -lactamases (oxacillinase [OXA]-48). The carbapenemase genes in *Enterobacteriaceae* have been shown to be associated with mobile genetic elements such as plasmids or transposons, thereby facilitating infection outbreaks [2].

The first strain of KPC-producing *K. pneumoniae* was identified in North Carolina, USA, in 1996 [3]. Several outbreaks associated with these strains have been reported in the USA, South America, Europe, and China [4]. After the first identification of an OXA-48-producing *K. pneumoniae* strain in Istanbul, Turkey, in 2001 [5], numerous outbreaks caused by strains like OXA-48 have been reported in Europe, the Indian subcontinent, the Middle East, and Northern Africa [6]. In the present study, we report the prevalence and molecular epidemiology of CPE isolates collected from five university hospitals in Korea in 2015.

## METHODS

### 1. Study design

From January to December 2015, five university hospitals in a central province (two hospitals in Seoul, two hospitals in Gyeonggi, and one hospital in Gangwon) of Korea collected prospectively ertapenem-nonsusceptible *Enterobacteriaceae* isolates including species such as *Escherichia coli*, *Klebsiella pneumoniae*, *Enterobacter cloacae*, *Enterobacter aerogenes*, *Serratia marcescens*, and *Citrobacter freundii* by means of a Vitek 2 (bioMérieux Vitek, Hazelwood, MO, USA) or MicroScan system (Siemens, Sacramento, CA, USA). The isolates were included in the study, if they were not susceptible to ertapenem (minimal inhibitory concentration [MIC]  $>0.5$   $\mu\text{g/mL}$ ) [7]. This study protocols was approved by the Institutional Review Board of each institution, which decided to waive the informed consent.

### 2. Genotypic detection of $\beta$ -lactamase genes

All ertapenem-nonsusceptible isolates were tested for carbapenemase by multiplex PCR. The carbapenemase gene was detected by PCR primers encompassing the entire coding region of genes *bla*<sub>KPC</sub>, *bla*<sub>NDM</sub>, *bla*<sub>IMP</sub>, *bla*<sub>VIM</sub>, *bla*<sub>GES</sub>, and *bla*<sub>OXA-48</sub> [8]. All carbapenemase-positive isolates were tested for extended-spectrum  $\beta$ -lactamase (ESBL) and plasmid-mediated AmpC genes by PCR according to previously described methods [9, 10]. All PCR products were directly sequenced with an automatic sequencer (model 373xl; Applied Biosystems, Weiterstadt, Germany).

### 3. Antimicrobial susceptibility testing

Antimicrobial susceptibility was tested in two hospitals that experienced an outbreak for 24 KPC-2 *K. pneumoniae* in hospital B and 45 OXA-232 *K. pneumoniae* in hospital C. The MICs of the CPE isolates were determined by using an Etest (bioMérieux, Marcy-l'Etoile, France). The antimicrobial agents tested were: cefotetan, cefotaxime, ceftazidime, cefepime, aztreonam, ertapenem, imipenem, meropenem, amikacin, ciprofloxacin, tigecycline, and colistin. When available, the MIC results were interpreted according to the CLSI criteria, 2014 [7]. For tigecycline and colistin, the European Committee for Antimicrobial Susceptibility Testing (EUCAST) criteria ([http://www.eucast.org/clinical\\_breakpoints](http://www.eucast.org/clinical_breakpoints), January 2014) were used.

### 4. Molecular typing by pulsed-field gel electrophoresis (PFGE)

Genetic relatedness of KPC-2 *K. pneumoniae* and OXA-232 *K. pneumoniae* isolates was collectively evaluated by PFGE at one time. Plugs containing *Xba*I-digested genomic DNA were prepared, and DNA fragments were separated for 20 hr at 6 V/cm at 11°C on a CHEF-DRII System (Bio-Rad, Hercules, CA, USA) with initial and final pulse times of 0.5 sec and 30 sec, respectively. A lambda ladder (Bio-Rad) was used as a DNA size marker. Gels with PFGE-separated fragments of chromosomal DNA were blotted onto nylon membranes (Bio-Rad) and hybridized with probes by using the DIG DNA Labeling and Detection Kit (Roche Diagnostics GmbH, Mannheim, Germany). Similarity coefficients were calculated from Dice coefficients. Cluster analysis was conducted by the unweighted pair group method with arithmetic averages (UPGMA). Isolates that had a PFGE profile with more than 90% similarity (pulsotype [PT]) were considered closely related strains.

### 5. Patient characteristics

The clinical characteristics collected from patients who contracted KPC-2 *K. pneumoniae* and OXA-232 *K. pneumoniae* isolates were age, sex, sampling date, isolation site, hospitalization ward, hospitalization days, and in-hospital death by reviewing the medical records.

### 6. Statistical analysis

All calculations were performed using R software, version 3.2.4 (R Development Core Team 2016; <http://www.R-project.org/>). Categorical variables were compared by Chi-square test or Fisher's exact test, and continuous variables were compared by Student's t-test. All tests were two-sided, and differences with *P* value  $\leq 0.05$  were considered significant.

## RESULTS

### 1. Distribution of CPE isolates

Between January and December 2015, 393 (3.0% of all 13,005 *Enterobacteriaceae* isolates) ertapenem-nonsusceptible, non-duplicated isolates were collected. These 393 isolates were 177 *K. pneumoniae* isolates (45.0%), 108 *Enterobacter cloacae* isolates (27.5%), 46 *S. marcescens* isolates (11.7%), 36 *Escherichia coli* isolates (9.2%), 21 *Enterobacter aerogenes* isolates (5.3%), and five *C. freundii* isolates (1.3%) (Table 1).

The distribution of different carbapenemases among the *Enterobacteriaceae* isolates is summarized in Table 2. Carbapenemases were responsible for resistance in 79 isolates (0.6% of all 13,005 *Enterobacteriaceae*, 20.1% of all 393 ertapenem-resistant *Enterobacteriaceae*). Among the 79 CPE isolates, 47 (59.5%), 27 (34.2%), 4 (5.1%), and 1 (1.2%) were OXA-232, KPC-2, IMP-1, and NDM-1 producers, respectively. All CPE isolates co-produced ESBLs; for example, KPC-2 *K. pneumoniae* isolates also had CTX-M-65, and OXA-232 *K. pneumoniae* isolates also had CTX-M-15. The most prevalent species among the OXA-232 and the KPC-2 strains were *K. pneumoniae* (89.8%: OXA-232 at 58.2% and KPC-2 at 31.6%). Two hospitals experienced an outbreak (hospital B: KPC-2 *K. pneumoniae*, hospital C: OXA-232 *K. pneumoniae*), and no CPE were isolated in hospital D.

### 2. Patient characteristics

Fifty-three patients (76.8%) were male. The mean age of the patients was 64.6 yr. The following specimens were provided: respiratory secretions (n=31, 44.9%), urine (n=17, 24.6%), wound or pus (n=9, 13.1%), and blood (n=5, 7.3%). No CPE was isolated from outpatients. Forty-nine percent (n=34) of isolates were from patients in intensive care units (ICU). All isolates were collected from patients after more than two days of hospitalization. The median number of hospitalization days before CPE isolation from the patients with KPC-2 and OXA-232 isolates was 40 and 16 days ( $P=0.006$ ), respectively. Twenty-eight percent (n=19) of patients died during hospitalization (Table 3).

### 3. Antimicrobial susceptibilities

One-hundred percent and 92% of KPC-2 *K. pneumoniae* isolates were susceptible to tigecycline and colistin, respectively, while 100% were resistant to all cephalosporins, aztreonam, carbapenems, amikacin, and ciprofloxacin. Seventy-eight percent and 89% of OXA-232 *K. pneumoniae* isolates were susceptible to tigecycline and colistin, respectively. More than 90%

**Table 1.** Ertapenem susceptibility of *Enterobacteriaceae* isolates as determined by commercial broth microdilution systems\*

Hospital	N (%) of isolates																				
	<i>E. coli</i> (n=8,167)			<i>K. pneumoniae</i> (n=2,946)			<i>E. cloacae</i> (n=639)			<i>E. aerogenes</i> (n=622)			<i>S. marcescens</i> (n=363)			<i>C. freundii</i> (n=268)			Total (n=13,005)		
	S	I	R	S	I	R	S	I	R	S	I	R	S	I	R	S	I	R	S	I	R
A	1,501 (99.9)	0 (0)	2 (0.1)	623 (97.2)	2 (0.3)	16 (2.5)	96 (72.7)	6 (4.6)	30 (22.7)	116 (92.8)	0 (0)	9 (7.2)	101 (81.5)	0 (0)	23 (18.5)	43 (97.7)	0 (0)	1 (2.3)	2,480 (96.5)	8 (0.3)	81 (3.2)
B	1,273 (99.4)	5 (0.4)	2 (0.2)	393 (91.8)	7 (1.7)	28 (6.5)	120 (85.7)	13 (9.3)	7 (5.0)	104 (95.4)	2 (1.8)	3 (2.8)	72 (96.0)	0 (0)	3 (4.0)	52 (100)	0 (0)	0 (0)	2,014 (96.6)	27 (1.3)	43 (2.1)
C	2,251 (99.6)	0 (0)	9 (0.4)	729 (89.5)	5 (0.6)	81 (9.9)	139 (82.7)	0 (0)	29 (17.3)	159 (98.8)	0 (0)	2 (1.2)	85 (84.2)	0 (0)	16 (15.8)	83 (97.6)	0 (0)	2 (2.4)	3,446 (96.0)	5 (0.1)	139 (3.9)
D	1,900 (99.4)	7 (0.4)	4 (0.2)	507 (99.2)	3 (0.6)	1 (0.2)	117 (89.3)	2 (1.5)	12 (9.2)	149 (98.0)	0 (0)	3 (2.0)	41 (93.2)	2 (4.5)	1 (2.3)	40 (97.6)	0 (0)	1 (2.4)	2,754 (98.7)	14 (0.5)	22 (0.8)
E	1,206 (99.4)	2 (0.2)	5 (0.4)	517 (93.9)	4 (0.7)	30 (5.4)	59 (86.8)	2 (2.9)	7 (10.3)	73 (97.3)	0 (0)	2 (2.7)	18 (94.7)	0 (0)	1 (5.3)	45 (97.8)	1 (2.2)	0 (0)	1,918 (97.3)	9 (0.4)	45 (2.3)
Total	8,131 (99.5)	14 (0.2)	22 (0.3)	2,769 (94.0)	21 (0.7)	156 (5.3)	531 (83.1)	23 (3.6)	85 (13.3)	601 (96.6)	2 (0.3)	19 (3.1)	317 (87.3)	2 (0.6)	44 (12.1)	263 (98.1)	1 (0.4)	4 (1.5)	12,612 (97.0)	63 (0.5)	330 (2.5)

\* Isolated from hospital A, C, D, and E were tested with the Vitek 2 system, and those from hospital B were tested with the MicroScan system. Abbreviations: S, susceptible; I, intermediate; R, resistant.

**Table 2.** Distribution of carbapenemase-producing *Enterobacteriaceae* isolates per hospital

Carbapenemase	Organism	N of carbapenemase-producing <i>Enterobacteriaceae</i> isolates*					Total
		Hospital A	Hospital B	Hospital C	Hospital D	Hospital E	
OXA-232	<i>K. pneumoniae</i>	0	0	45	0	1	46
	<i>E. coli</i>	0	0	1	0	0	1
KPC-2	<i>K. pneumoniae</i>	0	24	0	0	1	25
	<i>E. coli</i>	1	0	0	0	0	1
	<i>E. cloacae</i>	1	0	0	0	0	1
IMP-1	<i>K. pneumoniae</i>	0	0	0	0	2	2
	<i>E. cloacae</i>	0	0	2	0	0	2
NDM-1	<i>E. coli</i>	0	0	1	0	0	1
Total		2	24	49	0	4	79

\*1 KPC-2 *E. coli* harbored SHV-12 + CTX-M-65, 1 KPC-2 *E. cloacae* harbored CTX-M-15 (hospital A); 24 KPC-2 *K. pneumoniae* harbored CTX-M-65 (hospital B); 45 OXA-232 *K. pneumoniae* harbored 42 CTX-M 15, 1 SHV-2, 1 SHV-12, and 1 SHV-38, respectively, 1 OXA-232 *E. coli* harbored CTX-M-15, 2 IMP-1 *E. cloacae* harbored CTX-M-14, 1 NDM-1 *E. coli* harbored CTX-M-15 (hospital C); 1 OXA-232 *K. pneumoniae* harbored CTX-M-15, 1 KPC-2 *K. pneumoniae* harbored SHV-12 + CTX-M-15, 2 IMP-1 *K. pneumoniae* harbored 1 SHV-12 and 1 SHV-12+CTX-M-15, respectively (hospital E).

Abbreviations: OXA, oxacillinase; KPC, *Klebsiella pneumoniae* carbapenemase; IMP, imipenemase; NDM, New Delhi metallo- $\beta$ -lactamase.

**Table 3.** Clinical characteristics of patients with KPC-2-producing *K. pneumoniae* and OXA-232-producing *K. pneumoniae* isolates

Characteristic	N (%) of patients			P values
	Total (n=69)	KPC-2 (n=24)	OXA-232 (n=45)	
Age (mean $\pm$ SD)	64.6 $\pm$ 11.8	66.9 $\pm$ 8.6	63.4 $\pm$ 13.1	0.189
Male gender	53 (76.8)	19 (79.2)	34 (75.6)	0.969
Specimen				0.059
Respiratory	31 (44.9)	6 (25.0)	25 (55.6)	
Urine	17 (24.6)	8 (33.4)	9 (20.0)	
Wound or pus	9 (13.1)	6 (25.0)	3 (6.7)	
Blood	5 (7.3)	2 (8.3)	3 (6.7)	
Others	7 (10.1)	2* (8.3)	5 <sup>†</sup> (11.0)	
ICU hospitalization	34 (49.3)	11 (45.8)	23 (51.1)	0.869
Hospitalization days [median (range)]				
Before CPE isolation	17 (1-187)	40 (2-142)	16 (1-187)	0.006
After CPE isolation	21 (1-132)	30 (3-124)	12 (1-132)	0.142
Died during hospitalization	19 (27.5)	5 (20.8)	14 (31.1)	0.486

\*These two isolates were recovered from bile juice; <sup>†</sup>These five isolates were recovered from catheter tips (n=3), ascites (n=1), and bile juice (n=1).

Abbreviations: KPC, *Klebsiella pneumoniae* carbapenemase; OXA, oxacillinase; ICU, intensive care unit; CPE, carbapenemase-producing *Enterobacteriaceae*.

of them were resistant to all cephalosporins, aztreonam, ertapenem, meropenem, and ciprofloxacin, but the imipenem resistance rate was 69% (Table 4).

#### 4. Pulsed-field gel electrophoresis typing

Of the 24 KPC-2 *K. pneumoniae* and 45 OXA-232 *K. pneumoniae* isolates, 61 were available for PFGE. This analysis revealed a unique pattern for 20 KPC-2 *K. pneumoniae* isolates in hospital B (Fig. 1). PFGE of 41 OXA-232 *K. pneumoniae* isolates from hospital C identified seven PTs, of which 21 isolates belonged to the dominant PT1, and nine were PT2 (Fig. 2).

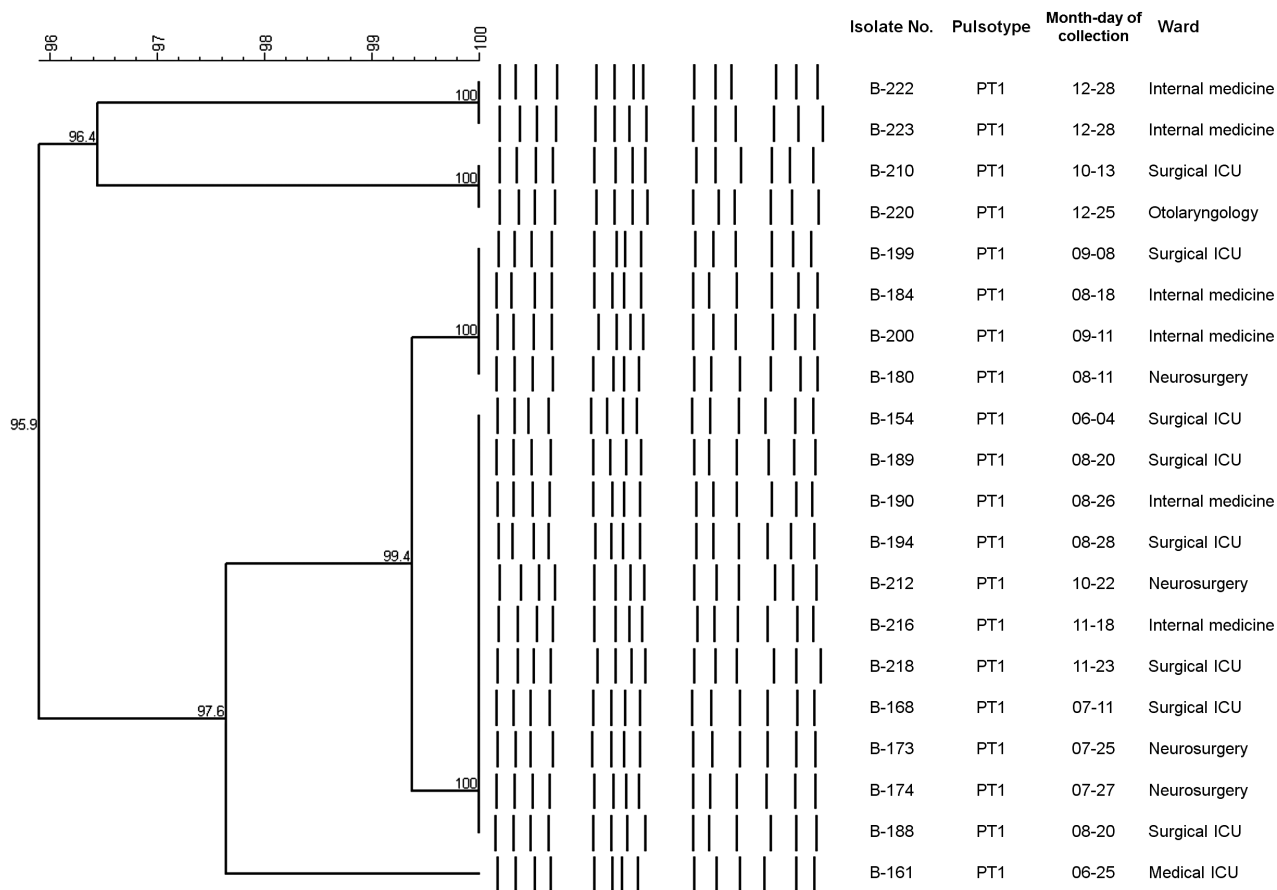
## DISCUSSION

Until now, the carbapenem resistance rate among *Enterobacteriaceae* isolates from Korea has been relatively low and stable [11]. The present study showed that the incidence of ertapenem-nonsusceptible *Enterobacteriaceae* is 3% and the incidence of CPE is 0.6% (out of 13,005 isolates) of *Enterobacteriaceae* and 20.1% (out of 383 isolates) of ertapenem-nonsusceptible *Enterobacteriaceae*. The most common CPE organism was *K. pneumoniae* (92.4%). The overall incidence of carbapenem-resistant *Enterobacteriaceae* (CRE) in the USA is estimated to be

**Table 4.** Antimicrobial susceptibility of carbapenemase-producing *K. pneumoniae* isolates

Antimicrobial agent	KPC-2-producing <i>K. pneumoniae</i> isolates (n=24)				OXA-232-producing <i>K. pneumoniae</i> isolates (n=45)			
	MIC (µg/mL)			% R	MIC (µg/mL)			% R
	Range	MIC <sub>50</sub>	MIC <sub>90</sub>		Range	MIC <sub>50</sub>	MIC <sub>90</sub>	
Cefotetan	128->256	>256	>256	100	1->256	128	256	89
Cefotaxime	>32	>32	>32	100	1->32	>32	>32	98
Ceftazidime	128->256	256	>256	100	0.25->256	>256	>256	96
Cefepime	128->256	>256	>256	100	0.25->256	>256	>256	93
Aztreonam	>256	>256	>256	100	<0.125->256	>256	>256	93
Ertapenem	>32	>32	>32	100	1->32	>32	>32	96
Imipenem	32->32	>32	>32	100	0.5->32	4	16	69
Meropenem	>32	>32	>32	100	0.5->32	16	>32	96
Amikacin	>256	>256	>256	100	2->256	>256	>256	84
Ciprofloxacin	>32	>32	>32	100	<0.125->32	>32	>32	93
Tigecycline	0.25-2	0.5	1	0	0.5-4	2	4	22
Colistin	0.126-16	0.25	0.5	8	0.125-32	0.5	4	11

Abbreviations: KPC, *Klebsiella pneumoniae* carbapenemase; OXA, oxacillinase; MIC, minimum inhibitory concentration; MIC<sub>50</sub>, minimum inhibitory concentration for 50% of isolates; MIC<sub>90</sub>, minimum inhibitory concentration for 90% of isolates; % R, % of resistance.



**Fig. 1.** Pulsed-field gel electrophoresis (PFGE) patterns of KPC-2-producing *K. pneumoniae* isolated in hospital B (n=20). Isolates that exhibited PFGE dendrograms with more than 90% similarity were considered as one pulsotype (PT). Abbreviations: KPC, *Klebsiella pneumoniae* carbapenemase; ICU, intensive care unit.



losporins and can be susceptible or resistant to carbapenems [6]. Only tigecycline and colistin remained effective against most, but not all, KPC-2 and OXA-232 *K. pneumoniae* isolates.

The PFGE analysis revealed a unique pattern for 20 KPC-2 *K. pneumoniae* and 41 OXA-232 *K. pneumoniae* isolates, of which 21 isolates belonged to the dominant PT1, and nine were PT2. These findings highlighted the risk of clonal dissemination of KPC-2 *K. pneumoniae* and OXA-232 *K. pneumoniae* in certain wards, especially in ICUs.

In summary, CPE strains are present in Korea, with the main *K. pneumoniae* isolates producing OXA-232 and KPC-2. Interestingly, the prevalence and predominant genotypes of CPE in Korea showed hospital-specific differences such as epidemic presence in two hospitals, sporadic presence in two hospitals, and absence in one hospital. These findings indicate that CPE dissemination is at an early stage in Korea. Therefore, greater efforts to control the nosocomial spread of CPE are warranted. Our results were based on isolates from five university hospitals. Among the strains, most were derived from two hospitals. This situation does not reflect the general epidemiology of CPE in Korea; hence, further large-scale research including isolates from acute care and long-term care hospitals is needed.

## Authors' Disclosures of Potential Conflicts of Interest

No potential conflicts of interest relevant to this article were reported.

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